DOE/RW-0160

Chapter 8

Nuclear Waste Policy Act
(Section 113)

Section 8.3.2

N

Consultation Draft

REPOSITORY PROGRAM

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

8808110353 · 880131

DOE/RW-0160

Nuclear Waste Policy Act
(Section 113)

Consultation Draft

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

Prepared Under Contract No. DE-AC08-87NV 10576

DOCUMENT ORGANIZATION

Introduction

Part A: Description of the mined geologic disposal system
Introduction
Chapter 1--Geology
Chapter 2--Geoengineering
Chapter 3--Hydrology
Chapter 4--Geochemistry
Chapter 5--Climatology and meteorology
Chapter 6--Conceptual design of a repository
Chapter 7--Waste package

Part B: Site characterization program (Chapter 8)
8.0 Introduction
8.1 Rationale
8.2 Issues
8.3 Planned tests, analyses, and studies

8.3.1 Site program
8.3.1.1 Site overview
8.3.1.2 Geohydrology
8.3.1.3 Geochemistry
8.3.1.4 Rock characteristics
8.3.1.5 Climate
8.3.1.6 Erosion
8.3.1.7 Rock dissolution
8.3.1.8 Postclosure tectonics
8.3.1.9 Human interference
8.3.1.10 Population density and distribution
8.3.1.11 Land ownership and mineral rights
8.3.1.12 Meteorology
8.3.1.13 Offsite installations
8.3.1.14 Surface characteristics
8.3.1.15 Thermal and mechanical properties
8.3.1.16 Preclosure hydrology
8.3.1.17 Preclosure tectonics

8.3.2 Repository program
8.3.2.1 Repository overview
8.3.2.2 Configuration of underground facilities (postclosure)
8.3.2.3 Repository design criteria for radiological safety
8.3.2.4 Nonradiological health and safety
8.3.2.5 Preclosure design and technical feasibility

8.3.3 Seal program
8.3.3.1 Seal overview
8.3.3.2 Seal characteristics

DOCUMENT ORGANIZATION (continued)

8.3.4 Waste package
8.3.4.1 Waste package overview
8.3.4.2 Waste package characteristics (postclosure)
8.3.4.3 Waste package characteristics (preclosure)
8.3.4.4 Waste package production technologies
8.3.5 Performance assessment program
8.3.5.1 Strategy for preclosure performance assessment
8.3.5.2 Waste retrievability
8.3.5.3 Public radiological exposures--normal conditions
8.3.5.4 Worker radiological safety--normal conditions
8.3.5.5 Accidental radiological releases
8.3.5.6 Higher-level findings--preclosure radiological safety
8.3.5.7 Higher-level findings--ease and cost of construction
8.3.5.8 Strategy for postclosure performance assessment
8.3.5.9 Containment by waste package
8.3.5.10 Engineered barrier system release rates
8.3.5.11 Seal performance
8.3.5.12 Ground-water travel time
8.3.5.13 Total system performance
8.3.5.14 Individual protection
8.3.5.15 Ground-water protection
8.3.5.16 Performance confirmation
8.3.5.17 NRC siting criteria
8.3.5.18 Higher level findings--postclosure system and technical guidelines
8.3.5.19 Completed analytical techniques
8.3.5.20 Analytical techniques requiring development

8.4 Planned site preparation activities
8.5 Milestones, decision points, and schedule
8.6 Quality assurance program
8.7 Decontamination and decommissioning

Glossary and acronyms

8.3.2 REPOSITORY PROGRAM

This section summarizes the repository program and provides an overview of the research, development, and engineering activities required to ensure that the repository is capable of satisfying applicable technical criteria. Issue resolution strategies for Issue 1.11 (Configuration of underground facilities), Issue 2.7 (Repository design criteria for radiological safety), Issue 4.2 (Nonradiological health and safety), and Issue 4.4 (Preclosure design and technical feasibility) are presented in this section. Plans for the future work are based in large part on the current designs and the results of analyses completed to date; the current designs and analyses are presented in Chapter 6, "Conceptual Design of a Repository."

All schedule and milestone information provided in this section should be regarded as preliminary and tentative. Section 8.5 describes the assumptions used for estimating milestone completion dates and provides a discussion of recent changes in the overall schedule for the repository program.

DOE/RW-0160

Chapter 8

Nuclear Waste Policy Act
(Section 113)

Section 8.3.2.1

Consultation Draft

REPOSITORY OVERVIEW

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

8.3.2.1 Overview of repository program

The primary purpose of Section 8.3.2 is to describe the plans for completing the repository design work as it progresses through the sequence of design phases leading to construction of the repository. Those aspects of the design concerned specifically with site characteristics are discussed fully in this document. Other aspects, such as certain equipment designs and operations plan development (and supporting analyses and demonstrations), that do not rely heavily on site data are not discussed in detail. Rather, only enough information on these aspects is provided to define their role in issue resolution. A complete treatment of such aspects will be provided in the repository design plan (RDP). Thus, the RDP will describe fully all plans for completing the repository design work as it progresses through the sequence of design phases leading to construction of the repository, including work that relies directly on site data and that that does not. For example, substantially more detail will be provided in the RDP than in the SCP regarding the equipment development program and the nonsite-related aspects of radiological safety.

The plans described here in Section 8.3.2 are organized under four repository design issues that are part of the issues hierarchy. (Sections 8.1 and 8.2 list the issues and describe the general strategy and methodology for resolving them). Plans for completing the work necessary to resolve these four issues are discussed in the following four subsections:

Subsection	Issue	Issue short title
8.3.2.2	1.11	Configuration of underground facilities (postclosure)
8.3.2.3	2.7	Repository design criteria for radiological safety
8.3.2.4	4.2	Nonradiological health and safety
8.3.2.5	4.4	Preclosure design and technical feasibility

The work planned to resolve the four design issues is interrelated. Further, work described under several issues in other subsections of Section 8.3 is also tied closely to the work planned to be done under the four issues discussed here. Figure 8.3.2.1-1 graphically depicts the relationships between design-related issues by showing pathways along which information will be passed between the issues. Details about the information passed between issues are presented in the SCP sections in which the specific issues are discussed. As seen in the figure, the related issues include some performance issues as well as other design issues.

Numerous requirements and constraints are imposed on the overall repository design (i.e., on the work to be done under the repository design issues) because of the necessity for the repository to meet certain preclosure and postclosure performance requirements (i.e., by performance issues found in Section 8.3.5). For example, from the preclosure perspective, the repository must be designed, constructed, and operated in a manner that (1) preserves the option to retrieve waste until the license amendment for permanent closure is granted and (2) meets the requirements of radiological health and safety. Performance requirements involving retrievability (Section 8.3.5.2) and radiological health and safety (Sections 8.3.5.3 through 8.3.5.5) are developed in the preclosure subsections of Section 8.3.5 (performance assessment program) and communicated to the repository design

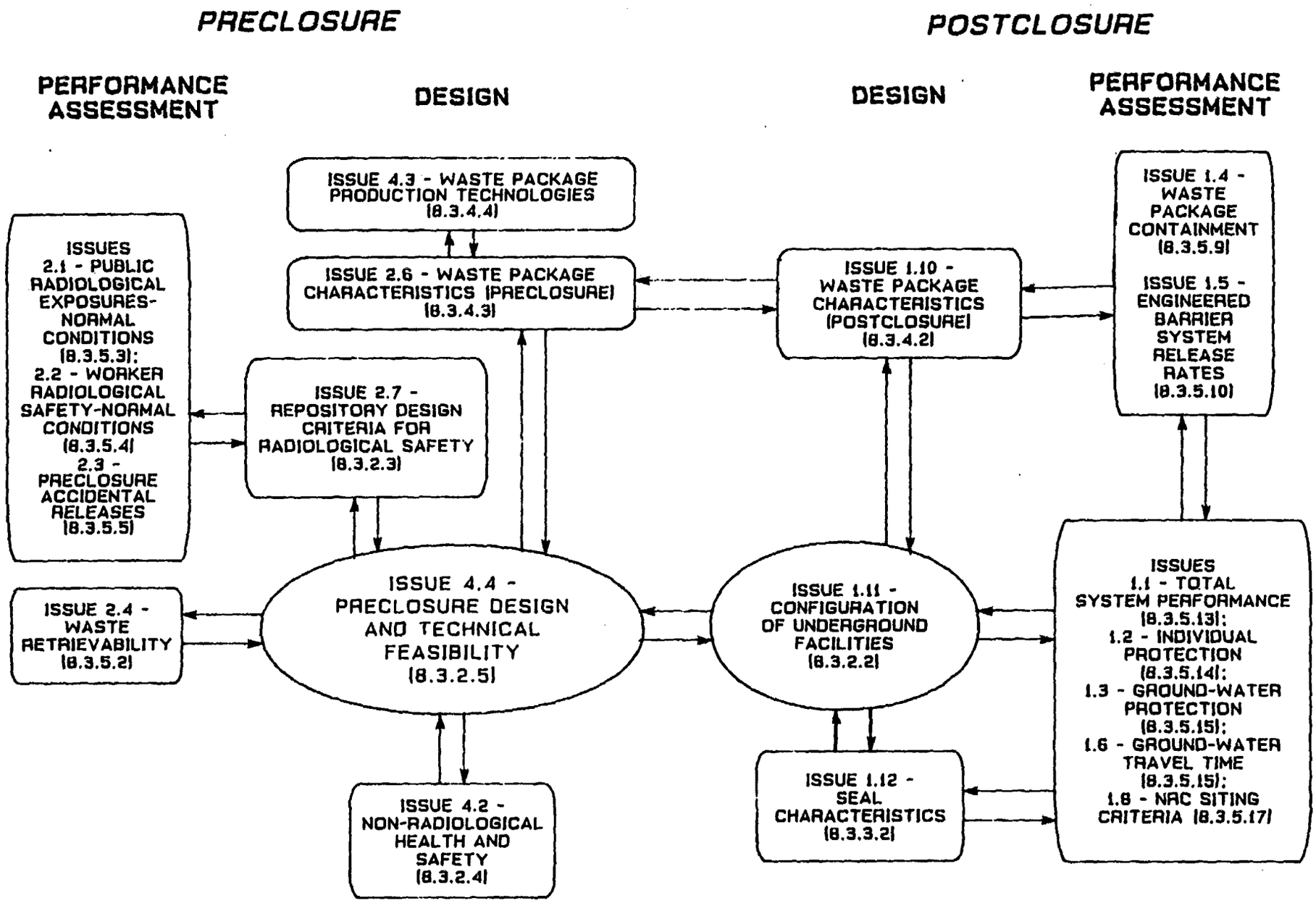


Figure 8.3.2.1-1. Relationships between design and performance related issues used directly in performance allocation.

CONSULTATION DRAFT

issues. Also, for preclosure operations, the repository facilities must be designed to handle the waste and waste packages and to accommodate the waste package design requirements and constraints described under the waste package issues in Section 8.3.4 (waste package program). The requirements and constraints placed on the design issues by performance issues (and even other design issues) are usually accompanied by requests of the design to prepare certain specific products, the primary use of which is to help resolve the issue specifying the requirements. The output of many of the issues identified in Figure 8.3.2.1-1 consists of items like design activity and evaluation reports, equipment demonstration reports, design drawings and supporting analyses, operations plans, etc. These are often called products in the issues under which they are developed. These products may be requested by other issues (perhaps along with site parameters) as input to perform required tasks. The products are called "input items" by the requesting issue, to distinguish them from the products of that same issue (Figure 8.3.2.1-2). The input items needed by an issue are generally listed along with the site parameters in the appropriate tables within that issue.

From the postclosure perspective, the repository facilities must be designed to accommodate the performance requirements and constraints developed and described under the waste package, engineered barrier system, and total system performance issues in Section 8.3.5. In addition, the repository design must accommodate the emplacement of seals for the shafts, ramps, exploratory boreholes, and underground facilities, as described under Issue 1.12 in Section 8.3.3 (seal program).

In addition to the general relationships between issues indicated by the above discussion, there is an additional division of responsibility among the design-related issues shown in Figure 8.3.2.1-1. The following example concerning the topic of mined openings will serve to describe this division of responsibility. Issue 4.2 (Section 8.3.2.4) is concerned with the stability of mined openings as it relates to the nonradiological health and safety of workers. Issue 2.4 (Section 8.3.5.2) is concerned with maintaining stable openings for the entire period of retrievability in order to preserve access to the waste. Radiological safety issues 2.3 and 2.7 (Sections 8.3.5.5 and 8.3.2.3) are concerned with opening stability as it relates to potential initiating events for release of radionuclides into the environment of the workers and public. Issue 1.11 (Section 8.3.2.2) is concerned with the stability of those same openings after closure, because their long-term instability might create preferred pathways for water migration toward waste packages and compromise containment of the waste within the waste package. Finally, Issue 4.4 (Section 8.3.2.5) is concerned with providing stable mined openings large enough for moving equipment, personnel, materials, and ventilation air. With this spectrum of interest in the topic, it would be easy to perform duplicate work in resolving the different issues. To avoid such duplication, a careful division of responsibility between issues has been adopted. Issue 4.4 has been assigned the responsibility for the design of mined openings and for conducting the necessary stability analyses in support of the design. Other issues will impose design requirements or constraints upon Issue 4.4 pertinent to their needs, and will receive the design and other input items (e.g., supporting analyses) back from Issue 4.4. Under each issue the design and the supporting analyses will be evaluated to judge whether the requirements for mined opening stability have been satisfied.

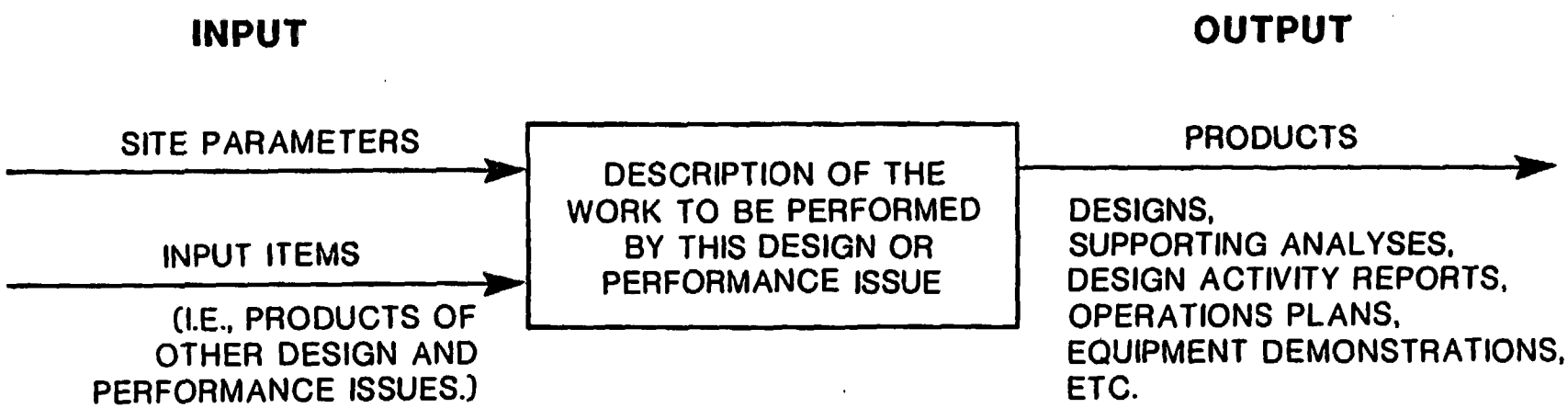


Figure 8.3.2.1-2. Schematic diagram of the input to and output from a design or performance issue.

In a like manner, where Issue 4.4 shares similar design requirements with another issue on a particular facet of the repository design, Issue 4.4 has been assigned the responsibility for developing the design and providing the other three parts of the four-part design package (namely, the operating plan, supporting analyses, and any necessary demonstrations). The related issue(s) provide requirements and constraints (and requests for other input items) to Issue 4.4 and receive the design package in return from Issue 4.4. The logic diagram for Issue 4.4 illustrates these relationships. With this division of responsibility, Issue 4.4 is the issue that requests most of the data on site characteristics. This division of responsibility is also indicated in the logic diagrams and the supporting text and tables that discuss the design-related issues shown in Figure 8.3.2.1-1.

Figure 8.3.2.1-1 illustrates the interfaces by which design and performance issues exchange information. As the figure caption indicates, the specific design and performance issues portrayed in the figure are those that were used directly in the performance allocation process. Two general aspects of the figure are worth emphasizing. First, the figure was prepared primarily to illustrate the exchange of design criteria and design products between design issues. Accordingly, the figure does not provide specific detail about how the performance issues exchange information. The second general aspect of the figure worth noting is that not all of the design and performance issues in the issues hierarchy are portrayed on the figure.

Three of the issues absent from the figure are concerned with the higher level findings required by 10 CFR Part 960. These are Issues 1.9 (higher level findings--postclosure, Section 8.3.5.18), 2.5 (higher level findings--preclosure radiological safety, Section 8.3.5.6), and 4.1 (higher level findings--ease and cost of construction, Section 8.3.5.7). The primary reason for excluding higher level finding issues from the figure is that, at the current time, the resolution strategies for these issues do not require any data other than that requested for the resolution of the other design or performance issues. This is not expected to change, but if it should, an SCP progress report would be the vehicle to convey changes in the interrelationship diagram as well as changes to the preliminary performance allocation.

The other two issues absent from the figure are Issue 4.5 (total system costs, Section 8.2.2.3.2.4) and Issue 1.7 (performance confirmation, Section 8.3.5.16). Issue 4.5 is absent from the figure because the current issue resolution strategy involves only a comparative evaluation of costs between the three sites being characterized and thus does not directly impact the performance allocation process. Issue 1.7 is absent from the figure because it is not considered possible at this time to develop a detailed, site-specific issue resolution strategy for performance confirmation. As site characterization progresses, however, the performance allocation for the Yucca Mountain site may change in response to a defined issue resolution strategy for performance confirmation. This information would also be reported in SCP progress reports.

The conceptual design of the repository is presented in Chapter 6 (and described in detail in the SCP Conceptual Design Report (SCP-CDR)) (SNL, 1987), along with extensive discussions that synopsize the analyses completed in support of the conceptual design. In Section 6.4 of this document, the analyses are discussed in the context of resolving the design issues and

design-related performance issues (i.e., it provides a status of the work done to resolve the issues). Section 6.4 also provides background information for the additional work necessary to complete resolution of issues, as described in various subsections of Section 8.3. Site information used in the conceptual design and supporting analyses is reported in Chapters 1 through 5 of this SCP. (Those chapters also contain data that in some instances supersedes or postdates data used in the SCP-CDR.) Additional site data needed to support the design efforts will be acquired under the site program described previously in Section 8.3.1. Figure 8.3.2.1-1, however, does not show any ties to the site program; these ties were omitted in the interest of clarity in showing the ties between the design-related issues. The issue resolution logic diagrams, presented in each 8.3 issue-related section, however, do show the specific calls for data from the site program.

Before proceeding to the four design issues to be discussed in this section, the repository design phases are described, followed by a discussion of four specific subject areas:

1. Host rock environment (Section 8.3.2.1.1).
2. Coupled interaction tests (Section 8.3.2.1.2).
3. Design optimization activities and tests (Section 8.3.2.1.3).
4. Repository modeling (Section 8.3.2.1.4).

The design of the systems, components, excavations, structures, and barriers necessary to establish and demonstrate compliance with the performance objectives of 10 CFR Part 60 should be substantially completed at the time the license application is submitted to the NRC. To accomplish this, the DOE has divided the design of the repository as follows (DOE, 1985b):

1. Conceptual design phase.
   a. Conceptual design for the site characterization plan.
   b. Advanced conceptual design.

2. Title I and II design.
   a. License application design.
   b. Final procurement and construction design.

Design phases

Conceptual design for the site characterization plan

The SCP conceptual design phase is complete. This design phase concentrated on the surface and underground system, structure, and component designs that require site characterization data and provide the information to ensure that data-gathering plans relative to design will be adequate. Known site-specific data have been incorporated to help identify additional data needs, and sufficient design detail was developed to ensure that site data needs are identified and site-specific licensing issues related to site characterization have been identified. In addition, where available, data accuracy requirements have been identified. This design has been extensively referenced in Chapter 6.

Advanced conceptual design

The advanced conceptual design phase will be used to explore design alternatives and will firmly fix and refine the design criteria and concepts to be used in later design efforts. The project feasibility will be demonstrated, the life-cycle cost estimated, preliminary drawings prepared, and a construction schedule developed as required in DOE Order 6410.1 (DOE, 1983b). The design will be developed with Project and configuration control as required by the DOE procedures for major system acquisitions and will comply with the configuration control program requirements of 10 CFR Part 50, Appendix B. This design will also identify any remaining design-related licensing issues not defined in the SCP conceptual design.

During the advanced conceptual design phase, the DOE objective is to develop appropriate solutions to all identified design-related licensing issues through consultation with the NRC as established by the Procedural Agreement between the U.S. Nuclear Regulatory Commission and the DOE identifying guiding principles for interface during site investigation and site characterization (NRC/DOE, 1983).

License application design

The license application (LA) portion of the Title I and Title II design phases will start after the completion of the advanced conceptual design (ACD) report; it will conclude with the development of an LA design report. The LA design phase will support the resolution of design and licensing issues identified and assessed in earlier design phases and will develop the design of the items necessary to demonstrate compliance with the design requirements and performance objectives of 10 CFR Part 60. Therefore, sufficient design information will be developed during the LA design phase to meet the requirements of 10 CFR 60.21 for the license application. Design requirements resulting from detailed safety and reliability analyses will be fully integrated into the LA design and will form the basis for information required in the safety analysis report. The design of nonlicensing-related ancillary systems will be developed only to the extent necessary to ensure their proper function and adequate cost and schedule planning.

Final procurement and construction design

This portion of the Title I and II phases will be done only for the one site recommended from the three characterized candidate sites. It will develop the final (working) drawings and specifications for procurement and construction. The completion of this design phase will match the completion of the Title II design effort for the entire repository. This design phase will emphasize the completion of the design of ancillary support items, final design refinement for the items necessary to demonstrate compliance with the design criteria and performance objectives of 10 CFR Part 60, the development of construction bid packages for all systems, and the development of final construction and procurement schedules.

The DOE is currently initiating an effort to combine several program activities under a single System Engineering Development and Management (SEDM) contractor. In this approach, the SEDM contractor would prepare the ACD. Detailed plans for the design sequence under the SEDM contractor have not been developed. It is expected, however, that the design work to be accomplished under the plan described in this SCP will be the same, in total, as that performed under an SEDM contractor.

8.3.2.1.1 Verification or measurement of host-rock environment

Information from site explorations before the site characterization phase have permitted an understanding to be developed of the host-rock environment within which the underground facilities will be constructed. This understanding is described in detail in Chapters 1 through 7, in particular, (1) the geology, geophysics, seismicity, and tectonics of the site and surrounding area are described in Chapter 1 (geology); (2) the mechanical and thermal properties of the rock and soils at the site are described in Chapter 2 (geoengineering); (3) the hydrologic conditions and properties are described in Chapter 3 (geohydrology); and (4) the geochemical conditions and properties are described in Chapter 4 (geochemistry).

Plans to verify the current understanding and acquire necessary additional information about the host-rock environment are described here in Chapter 8. Section 8.3.1 (site program) describes the site investigations (studies, tests, and analyses) that will be conducted to acquire the additional site data. For convenience, the descriptions of the site investigations are grouped according to topical areas (e.g., geology program, rock characteristics program, and hydrology program).

Plans for completing the design of the repository facilities, the seals, and the waste packages are found in Section 8.3.2 (repository program), 8.3.3 (seal program), and 8.3.4 (waste package program), respectively. Similarly, plans for conducting assessments of the performance of the total mined geologic disposal system (MGDS) and the appropriate components are described in Section 8.3.5 (performance assessment program). These design and performance assessment plans are organized in accordance with the issues hierarchy described in Section 8.2. The site data needed to resolve each design and performance issue is defined within the discussion of the plans for resolution of the respective issue. The need for site data is then transmitted to the appropriate site program where the detailed plans for acquiring the data are described. No plans for acquiring site data are described within the design or performance issues themselves. These issues simply describe how the site data and other items of information will be used to resolve the issue. Correspondingly, only site data that are required to resolve a design or performance issue, or are needed to support another characterization program are acquired under the site program.

It is within this context that the topic of this section, verification or measurement of the host-rock environment, is addressed for design issues. The site data identified in the data chapters noted previously have been used to develop conceptual models of the host-rock environment within which the repository facilities will be constructed. These conceptual models and their

influence on the conceptual design of the repository facilities are described in Chapter 6 (conceptual design of the repository). This conceptual design, the existing site data, the conceptual models, and the understanding they convey, taken together with the regulatory and other requirements upon the MGDS, form the basis for the plans for resolution of the design issues.

The role of the host-rock environment in the process can be divided into three phases or conditions: (1) pre-waste-emplacement environment (the host-rock conditions in the pre-excavation, pristine, in situ state), (2) post-subsurface-excavation environment (e.g., the conditions of rock stress and deformation as a result of excavation), and (3) post-waste- emplacement environment (e.g., the conditions resulting from the combined effects of excavation and added heat from the emplaced waste). In the subsections that follow, topics of concern during each of the phases will be identified, and the reader will be directed to those design issues (and their respective SCP subsections) in which the topics are addressed. In addition, the specific site program will be identified from which site data are requested in order to resolve host-rock environment questions in the respective design issues.

8.3.2.1.1.1 Pre-waste-emplacement environment

Current knowledge of the pre-waste-emplacement geologic, geotechnical, hydrologic, and geochemical environment of the host rock has been used to develop models or descriptions of characteristics of the site in its pristine state. As noted in the previous section, the current description of the host-rock environment, together with some descriptive models, is found in Chapters 1 through 4. These data and models have assisted in identifying the site location, repository elevation, usable area, boundary conditions, and predicted response of the host rock to excavation and thermally induced loads. Further, during the site exploration phase of the characterization program, conceptual models were developed to assist in repository conceptual design as presented in Chapter 6 (preliminary performance assessments were also developed--refer to Section 8.3.5).

To conduct the design analyses and activities necessary to resolve the four design issues included in this section (8.3.2, repository program), additional site data pertaining to pre-waste-emplacement and preexcavation conditions are needed to

1. Confirm the three-dimensional model of the thermal and mechanical stratigraphy and structure of Yucca Mountain.

2. Develop a three-dimensional model for estimating the in situ stress state at Yucca Mountain.

3. Develop a three-dimensional model of the in situ temperature field at Yucca Mountain.

4. Evaluate mineability, fragmentation characteristics, dust characteristics, and potential excavation methods of the host rock.

5. Design and evaluate a ground support system for the underground facility and egresses.

6. Determine rock-mass deformation and estimate strength properties.

7. Determine the effects of confining pressure upon intact rock strength and fracture slip such that a strength and slip criterion, respectively, may be developed.

8. Determine the effects of time (the strain rate effect) upon intact rock strength.

9. Determine the sample size effect upon intact rock strength.

10. Determine the sensitivity of potential designs of system elements to material properties and their variability.

11. Provide pretest support of all mechanical, thermal, and thermo-mechanical experiments planned for the exploratory shaft facility.

12. Design a ventilation system for the repository facilities.

13. Evaluate the effects of radon gas emanation.

14. Evaluate the effect of structural features for standoff and special construction considerations.

15. Evaluate the potential for water inflow into the underground facilities.

Site data required to conduct these design analyses and activities will be acquired under the following specific site programs:

SCP section	Site program
8.3.1.4	Rock characteristics
8.3.1.6	Erosion
8.3.1.14	Surface characteristics
8.3.1.15	Thermal and mechanical properties
8.3.1.16	Preclosure hydrology

Further, to resolve Issue 4.4 (preclosure design and technical feasibility), additional site data are needed to

1. Validate predictive equations of ground motion at any point in the target horizon.

2. Validate methodology for predicting seismic hazards due to natural earthquakes for ground motion and surface rupture.

3. Determine fault activities at the site including total displacements, displacement rates, evidence of surface rupture, type, and orientation and age of recent activity of the faults in the site area.

4. Determine the attenuation of ground motion with depth (both for acceleration and frequency) as it applies to surface and subsurface facility design.

5. Determine the effects of wave propagation, amplitude, and direction upon surface and subsurface facility design.

Site data required to resolve these seismotectonic design questions will be acquired under the tectonics programs described in Sections 8.3.1.8 and 8.3.1.17.

8.3.2.1.1.2 Post-subsurface-excavation environment

The post-subsurface-excavation geologic and geotechnical environment considers the host-rock environment that reflects, for example, rock stress as a result of rock excavation. Models conceptualized and developed from the standpoint of pre-waste-emplacement, including material response, boundary conditions, and usable area, will be confirmed or modified to assist in resolving the issues identified previously. Certain of the analyses and tests identified for the post-subsurface-excavation condition are meant to continue through development and monitoring programs during the post-waste-emplacement phase (Section 8.3.2.1.1.3) of repository development in order to supplement or confirm earlier concepts.

To conduct the design analyses and activities necessary to resolve the four design issues included in this section (8.3.2, repository program), additional site data pertaining to post-subsurface-excavation conditions are needed to

1. Validate models that are intended to predict the response of the fractured rock mass to excavation loads.

2. Assess the state of stress and deformation at the emplacement borehole scale, drift scale, and repository scale as a result of excavation.

3. Assess the potential impact of rock mass creep upon state of stress and deformations around opening.

4. Evaluate potential excavation methods based on application of candidate methods during the underground exploration program.

5. Evaluate the planned ground support methods based on application of candidate methods to underground openings in the exploratory shaft facility.

6. Evaluate the mechanical response of the rock mass.

Site data required to conduct these design analyses and activities will also be acquired under the following specific site programs:

SCP section	Site program
8.3.1.4	Rock characteristics
8.3.1.6	Erosion
8.3.1.14	Surface characteristics
8.3.1.15	Thermal and mechanical properties
8.3.1.16	Preclosure hydrology

8.3.2.1.1.3 Post-waste-emplacement environment

The post-waste-emplacement geologic and geotechnical environment considers the host-rock environment that reflects, for example, the combined effects of excavation and heat (from heat-generating waste). For this condition certain of the analyses and tests identified in Sections 8.3.2.1.1.1 (pre-waste-emplacement environment) and 8.3.2.1.1.2 (post- subsurface-excavation environment) are meant to continue through development and monitoring programs during this phase of repository development in order to supplement or confirm earlier results.

To conduct the design analyses and activities necessary to resolve the four design issues included in this section (8.3.2, repository program) and to resolve Issue 2.4 (waste retrievability, Section 8.3.5.2), additional site data pertaining to post-waste-emplacement conditions are needed to

1. Validate models that are intended to predict the response of the fractured rock mass to excavation and thermal loads.

2. Assess the temperature distribution as a function of time and position as a result of thermal loads from heat-generating waste. Analyses will be performed at the emplacement borehole scale, drift scale, and repository scale.

3. Assess the state of stress and deformation as a result of excavation and superposed thermal loads. Response will be predicted at the emplacement borehole scale, the drift scale, and the repository scale.

4. Assess the potential impact of rock creep (time-dependent deformation) upon state of stress and deformations around mined openings.

5. Determine the potential impact of changes in the orientation of the principal stress components upon the intact rock and rock-mass response (deformation and strength behavior).

6. Determine the thermomechanical response of intact samples and representative volumes of the rock mass.

Site data required to conduct these design analyses and activities will also be acquired under the following specific site programs:

SCP section	Site program
8.3.1.4	Rock characteristics
8.3.1.6	Erosion
8.3.1.14	Surface characteristics
8.3.1.15	Thermal and mechanical properties
8.3.1.16	Preclosure hydrology

8.3.2.1.2 Coupled interaction tests

The design, construction, operation, and assessment of the future performance of a geologic repository must consider five general classes of phenomena. These are thermal, hydrologic, mechanical, chemical, and radiological phenomena. These phenomena may occur individually at a particular time or spatial location without affecting the other phenomena; often, however, the occurrence of one phenomenon may affect the behavior of another phenomenon and, therefore, couple the phenomena and the processes of which they are a part. The number of coupled processes that could exist under the various combinations of coupling the five general classes of phenomena is very large. It is not feasible to perform multivariable tests (i.e., coupled interaction tests) covering all these combinations. Testing will, therefore, occur only if it is relevant to licensing arguments.

Performing multivariable interaction tests is relevant to licensing arguments only if performing those tests is necessary to characterize behavior to show compliance with regulatory criteria. If the investigation of any particular combination of processes does not aid in showing compliance with at least one regulatory criterion, then that investigation is not relevant, and testing to determine the behavior and effects of those coupled processes is not necessary.

Regulatory criteria that must be satisfactorily addressed to receive a construction authorization are addressed within the issues hierarchy incorporated into this document (Section 8.2). Strategies to resolve all issues within the hierarchy, and thereby determine the level of compliance with the regulatory criteria, are addressed in Section 8.3.5 The approach used to determine the relevance of coupled behavior (as well as uncoupled behavior) follows the fundamental issue resolution strategy presented in Section 8.2 and used throughout Section 8.3.5. As a part of that strategy, those licensing arguments and concomitant tests that involve multivariable (i.e., coupled) effects are defined under the issues as information required to resolve those issues. To determine whether the consequences of certain coupled processes are significant, the performance allocated to the various system components is of major importance. It is inherent within the planning approach for site characterization that prior estimates be made to determine what parameters are important in showing regulatory compliance. These estimates of importance have been based upon previous laboratory and field data, performance analyses, and professional judgment.

From the performance allocation, parameters are derived that must be investigated during site characterization. No distinction within the issues is made among parameters that involve coupled interactions and those that do

not; all are simply information required to resolve the issue using the approach stated under the particular issue. All the requirements for the parameters (whether involving coupled interactions or not), as well as the rationale for determining that the set of parameters are necessary and sufficient to show regulatory compliance, are discussed for each issue. Therefore, this section does not discuss a particular subset of tests (i.e., coupled interaction tests) or how the results of those tests are necessary and sufficient. In other words, the strategy for the approach to coupled interaction tests is to let the individual issues specify the parameters necessary for resolving the issue and for showing compliance with the related regulatory criteria. The investigation of coupled interactions considers them not as a specific subset of data requirements, but merely as part of all the information needed to address the regulatory criteria. The tests (some with multivariable interactions and some without) that meet the parameter requirements are described under the appropriate characterization program.

Although no detailed description of coupled interaction tests is provided here, Table 8.3.2.1-1 shows the title of the study or activity involving investigation of multivariable effects, study or activity designation number, and an indication of the processes that will be investigated within the test or tests. The first three numbers of the study or activity designation number reflect the issue and information need under which the coupled interaction test is described.

Although the identification of which tests are considered as coupled interaction tests is somewhat subjective, Table 8.3.2.1-1 attempts to list all of the tests to be performed during site characterization that have a specific objective of investigating one or more processes that are directly interacting with another process. The tests listed in the table either are designed to test the interactions between two or more processes or to provide information to support evaluation of the effects of interactions between two or more processes. The reader should refer to the specific study or activity descriptions within the SCP, as well as the associated study plans and test procedures, for further details on the tests. There are other tests that have not yet been completely defined that will also investigate coupled interactions. These tests will be described in future SCP progress reports when the scopes of the tests are more completely defined.

Many processes involving multivariable phenomena are expected to be shown to be of secondary importance through analyses of their significance to determining the level of compliance with regulatory criteria. Those analyses must be performed under the individual issues because of the variety of regulatory criteria addressed by the issues and the subsequent variety of approaches used to determine the level of compliance. Like the strategy for dealing with multivariable interaction tests, the approach to multivariable interaction analyses is for each performance and design issue to call for the analyses it needs for its resolution. In this document, therefore, those analyses are described under the issues that call for them.

Table 8.3.2.1-1. Studies or activities for coupled interaction tests

Study or activity designation	Study or activity title	Processes investigated[a]
1.5.2.1.1	Dissolution and leaching of spent fuel (Section 8.3.5.10.2.1.1)	C-R-T
1.5.2.1.2	Oxidation of spent fuel (Section 8.3.5.10.2.1.2)	C-R-T
1.5.2.1.3	Corrosion of Zircaloy (Section 8.3.5.10.2.1.3)	C-H-R-T
1.5.2.1.4	Corrosion of and radionuclide release from other materials in the spent fuel waste form (Section 8.3.5.10.2.1.4)	C-H-R-T
1.5.2.1.5	Evaluation of the inventory and release of carbon-14 from Zircaloy cladding (Section 8.3.5.10.2.1.5)	C-R-T
1.10.4.1.1	Rock-water interaction at elevated temperatures (Section 8.3.4.2.4.1.1)	C-T
1.10.4.1.4	Dissolution of phases in the waste package environment (Section 8.3.4.2.4.1.4)	C-T
1.10.4.1.5	Effects of radiation on water chemistry (Section 8.3.4.2.4.1.5)	C-R-T
1.10.4.1.6	Effects of container and borehole liner corrosion products on water chemistry (Section 8.3.4.2.4.1.6)	C-T
1.10.4.2.1	Single-phase fluid system properties (Section 8.3.4.2.4.2.1)	C-H-T

Table 8.3.2.1-1. Studies or activities for coupled interaction tests (continued)

Study or activity designation	Study or activity title	Processes investigated[a]
1.10.4.2.2	Two-phase fluid system properties (Section 8.3.4.2.4.2.2)	C-H-T
1.10.4.4.1	Repository horizon near-field hydrologic properties (Section 8.3.4.2.4.4.1)	H-M-T
1.10.4.4.2	Repository horizon rock-water interaction (Section 8.3.4.2.4.4.2)	C-H-T
1.12.2.1.1	Detailed property determination of cementitious-based material suitable for a tuffaceous environment (Section 8.3.3.2.2.1.1)	C-H-M-T
8.3.1.2.2.4.1	Intact-fracture test in the exploratory shaft facility	C-H-M
8.3.1.2.2.4.4	Radial-borehole tests in the exploratory shaft facility	H-M
8.3.1.2.2.4.5	Excavation-effects test in the exploratory shaft facility	H-M
8.3.1.2.2.4.8	Hydrochemistry tests in the exploratory shaft facility	C-H
8.3.1.2.2.8.1	Gaseous-phase chemical investigations	C-H
8.3.1.2.2.8.2	Aqueous-phase chemical investigations	C-H
8.3.1.2.3.1.5	Testing at the C-hole sites with conservative tracers	C-H
8.3.1.2.3.1.6	Well testing with conservative tracers throughout the site	C-H

Table 8.3.2.1-1. Studies or activities for coupled interaction tests (continued)

Study or activity designation	Study or activity title	Processes investigated[a]
8.3.1.2.3.1.7	Testing at the C-hole sites with reactive tracers	C-H
8.3.1.2.3.1.8	Well testing with reactive tracers throughout the site	C-H
8.3.1.3.2.2.2	Smectite, zeolite, and glass dehydration and transformation	C-H-T
8.3.1.3.3.2.1	Kinetic studies of zeolite and related framework silicates	C-T
8.3.1.3.4.1.3	Sorption as a function of ground-water composition	C-H
8.3.1.3.5.1.1	Solubility measurements	C-H-R-T
8.3.1.3.5.1.2	Speciation measurements	C-H-R-T
8.3.1.3.6.1.1	Crushed tuff column experiments	C-H
8.3.1.3.6.1.2	Mass transfer kinetics	C-H
8.3.1.3.6.1.3	Unsaturated tuff columns	C-H
8.3.1.3.6.1.4	Fractured tuff column studies	C-H
8.3.1.15.1.4.2	Effects of variable environmental conditions on mechanical properties of fractures	H-M-T
8.3.1.15.1.3.2	Effects of variable environmental conditions on compressive mechanical properties	H-M-T
8.3.1.15.1.6.1	Heater experiment in unit TSw1[b]	H-M-T
8.3.1.15.1.6.2	Canister-scale heater experiment	H-M-R-T
8.3.1.15.1.6.3	Yucca Mountain heated block experiment	H-M-T

Table 8.3.2.1-1. Studies or activities for coupled interaction tests (continued)

Study or activity designation	Study or activity title	Processes investigated[a]
8.3.1.15.1.6.4	Thermal stress measurements	M-T
8.3.1.15.1.6.5	Heated room experiment	M-T

[a]The general classes of processes to be investigated include chemical (C), hydrologic (H), mechanical (M), radiation (R), and thermal (T). No degree of importance or test emphasis is implied by the listed order.

[b]TSw1 is the lithophysal portion of the Topopah Spring Member located above the potential repository horizon. In general, tests at the site are in TSw2, the proposed repository horizon, unless otherwise indicated.

8.3.2.1.3 Design optimization activities and tests

Optimization implies improving or refining the design to better meet the goals or objectives of a system for a given set of conditions. This is achieved by defining the project as a system with components that meet specific objectives, appraising the performance of the system, and feeding back the information gained through the evaluation to improve the design and its performance. Successive iterations lead to an optimum design.

As the design changes, only a snapshot of it is available at any time before construction; hence, this document reflects that fact, and only the current design is presented. Improvements to the design will follow. Both the design and its detailing are refined as the design progresses through its various phases. The present design phase is conceptual, which includes the SCP conceptual design that accompanies this SCP and the advanced conceptual design (ACD). Future phases are the license application design, which is required to accompany license application to the NRC; the final procurement and construction design; and design revisions required during construction.

For large projects or systems requiring multiple interactions, the design progresses toward an optimum solution, but does not accomplish it in the mathematical sense. Therefore, the goal of the NNWSI Project is not an optimum design solution, but a design that has been optimized to the point of providing an acceptable solution emphasizing certain characteristics. The desired characteristics or attributes of the system are discussed in the following paragraph.

The repository design and operation must be licenseable by the NRC and be safe and cost effective according to the DOE. To facilitate addressing

these overall system requirements, the design should be as simple as possible. Simple designs, the performance and reliability of which can be most readily predicted, will facilitate review and expedite licensing. The system will be described in the systems description document. The current repository design is discussed in Chapter 6. The requirements, by which the system will be evaluated, will be provided in the systems requirement and repository design requirement documents. These repository-specific documents will be useful in assessing the degree to which the desirable attributes are present in the Yucca Mountain mined geologic disposal system.

In arriving at a design with the preceding attributes, many tradeoff analyses will be required. The role of tradeoff analyses is to assess alternatives and provide the technical basis for making decisions that will improve the design and hence, system performance. Such studies are required when two or more design alternatives are considered and the most beneficial one is not obvious. The tradeoff analyses determine which design will be most favorable for meeting the system requirements. For example, technical feasibility or the probability of successfully constructing the design and achieving design goals may be tradeoffs in some design choices. Cost and performance may also be traded off for each of the design choices. The choice between alternatives may not always be clearly defined as the impact on each of the system elements must be assessed along with the resulting interactions. In Table 8.3.2.1-2, the ongoing and planned tradeoff analyses are listed along with their related issue. No special site data are required for design resolution other than that needed for the general underground facility design. Only those that significantly impact the design and performance of the system are listed. The many other analyses of less significant impact are not mentioned.

8.3.2.1.4 Repository modeling

This section identifies and describes the planned qualification of models and codes identified for performance, safety, and design analyses. These numerical models are derived from conceptual models of system and subsystem response of the host rock to excavation and waste emplacement.

The need to develop a particular conceptual model results from goals established through the issue resolution strategy. From these conceptual models, numerical models are developed. The need to qualify these models through quality assurance, including verification and validation, is demonstrated within the issue resolution strategy. Specific requirements for experiments to validate the numerical models are also contained within the issue resolution strategy. The details of experiments planned to meet those validation requirements are contained within characterization programs. What follows is a generic description of criteria to be applied to model and code qualification cited within the NNWSI Project issue resolution strategy.

Collectively, the conceptual models developed should address the needs identified for performance, safety, and design analyses. This section addresses the qualification of models and codes intended to be applied to geomechanical, ventilation, seismic, and safety analyses. For geomechanical

Table 8.3.2.1-2. Nevada Nuclear Waste Storage Investigations Project design tradeoff analyses

Design tradeoff analyses	Related issue
Horizontal versus vertical waste emplacement orientation (includes separate cost, performance, and technical feasibility analyses, as well as single-package short-hole and multipackage long-hole variants)	4.4 (Section 8.3.2.5)
Underground ventilation reversibility (use or not use)	4.2 (Section 8.3.2.4)
Selection of material handling method, hoist type, and waste transporter	4.4
Selection of repository orientation	1.11 (Section 8.3.2.2)
Underground emplacement of backfill	1.11
Selection of areal power density of emplaced waste	1.11
Selection of borehole spacing and length and waste standoff	1.11
Selection of excavating technique(s)	1.11, 4.4
Selection of excavation geometry and dimensions	4.4
Selection of underground rock support systems	4.4

analyses, loads imposed upon the rock mass from excavation and heat generating waste will be evaluated (Issue 1.11, configuration of underground facilities, Section 8.3.2.2). For ventilation analyses, design of the ventilation system will include equipment and worker health and safety considerations (Issue 4.2, nonradiological health and safety, Section 8.3.2.4). For seismic considerations, hazards due to ground and fault motion will be analyzed to determine the potential effects of underground nuclear events and earthquakes upon surface and subsurface facilities (Issues 4.4, technical feasibility Section 8.3.2.5, and 1.11). Safety analyses include both radiological concerns (Issue 2.7, repository design criteria for radiological safety, Section 8.3.2.3) and nonradiological concerns (Issue 4.2).

The qualification process includes development, utilization, verification, and validation activities that require site characterization data. Models and codes derived from conceptual models are developed on the basis of

the needs identified for performance, safety, and design analyses. Utilization involves application of models and codes to problems of interest using geometries, boundary conditions, and material properties from the reference information base. Verification is assurance that the software correctly represents the mathematical description of a physical model and validation is assurance that the physical model as embodied in software is a correct representation of the intended physical system or process. Discussions of verification and validation are a direct application of the NNWSI Project standard operating procedure NNWSI SOP-03-02 (Software Quality Assurance) to the models and codes identified for performance, safety, and design analyses. This standard operating procedure (SOP) defines the requirements to be met to satisfy the NRC guidance (NUREG 0856; Silling, 1982) on the quality of software used in a nuclear waste repository license application. The discussion that follows is intended to supplement the SOP in areas where interpretive measures are required. Definitions of terms are consistent with those found in the SOP. Repository component and subsystem models are discussed, together with their use to conduct performance, safety, and design optimization analyses.

8.3.2.1.4.1 Development and utilization

Models and codes identified for performance, safety, and design analyses are developed and utilized based on needs dictated by the requirement to understand the expected physical phenomena at the site or the response of designed facilities. This section addresses models and codes that are intended to be applied to geomechanical, ventilation, seismic, and safety analyses. The need to understand physical phenomena of each system and subsystem component are created through the NNWSI Project issue resolution strategy.

8.3.2.1.4.1.1 Geomechanical analyses

Geomechanical analyses consider mechanical, thermal, and thermomechanical response of the rock mass through the emplacement and retrieval periods. Within the geomechanical area, the goals cited indicate the need to understand the response of the host rock to excavation and thermally induced loads. Excavation-induced loads depend primarily on the in situ stress field with additional stresses resulting from the geometry of the opening considered. Thermal loads depend primarily on magnitude of individual or combined heat sources. Heat is transmitted through the rock mass by considering the thermal properties of the rock and the heat transfer mechanism; thus, these loads vary with time and position. The effects of excavation-induced loads are first evaluated and then the effects of thermal loads are evaluated.

Our current understanding of mechanical response is that the fractured rock mass can be treated as an elastic, elastic-plastic, or compliant-jointed medium (Section 6.4.2). The current understandings of the mechanical response of the fractured tuff have been based on and supplemented by three

integrated sources of data: (1) field studies of the local and regional geology of the site (Chapter 1); (2) laboratory and field data indicative of the mechanical and thermal response of the rock from Yucca Mountain (Chapter 2, Introduction); and (3) laboratory and field experiments data collected in situ at nearby Rainier Mesa (Section 2.3.3). The mechanical response considered in a given analysis or set of analyses may depend on the scale, loading, and boundary conditions of the problem or the purpose of the analyses.

The approach to understanding and predicting mechanical response has been to use progressively more complex models through the course of design, performance, and safety analyses. For example, standard engineering practices are often applied at the onset to provide initial guidance to developing repository design. This practice is qualified by data available before site characterization. The resultant preliminary design warrants evaluation and confirmation through use of boundary element and finite element methods. Although the complex numerical models will be the principal analyses methods used for license application, all of the design techniques will require some form of qualification. This qualification is meant to occur as part of meeting the requirements established in NNWSI Project standard operating procedure SOP-03-02. A segment of the qualification process includes verification and validation. In Sections 8.3.2.1.4.2 and 8.3.2.1.4.3, these two topics are addressed as they apply to model and code qualification in the context of their application. In the remainder of this section, the development of design techniques for geomechanical analyses is discussed.

Three types of analytical tools are being developed to provide perspectives of the rock mass mechanical response. These tools will be applied to address concerns of Issues 1.11 (configuration of underground facilities (postclosure), Section 8.3.2.2); 2.4 (retrievability, Section 8.3.5.2); 4.2 (nonradiological health and safety, Section 8.3.2.4), and 4.4 (preclosure design and technical feasibility, Section 8.3.2.5). The analytical tools are

1. Tunnel index methods (Barton et al., 1974; Bieniawski, 1974) that are empirical methods that permit conclusions to be made regarding ground support requirements. As yet, these methods do not account for the effects of heat.

2. Calculations using boundary element techniques (numerical methods that treat the rock mass as an elastic media) will be used for an initial degree of confirmation or qualification to be placed on ground support requirements determined by the tunnel indexing methods. The effects of excavation and heat may be included in the analysis. Rock strength may be used to interpret results. These methods allow efficient parametric studies to be undertaken in which design tradeoffs and potential effects of parameter sensitivities to design can be evaluated through the predictions of deformations and stress at specific locations as a function of time. The usefulness of boundary element methods will be qualified through comparison with closed-form analytic solutions, finite-element codes, and underground exploration.

3. The predominant calculational tool for planned license application analyses will probably be the finite-element method. Thus far, this method allows for modeling the most complex combinations of geometries, boundary conditions, and material behavior. Calculations by this method, which treats the rock mass as an elastic, elastic-plastic, or compliant-jointed medium, will attempt to confirm or qualify the conclusions drawn from tunnel indexing and boundary-element methods based on the effects of excavation and heat predicted throughout the area of interest as a function of time. These methods have the potential to consider rock strength, fracture slip, and fracture deformability either as part of the computation or as useful to interpret results. Finite-element methods will be qualified through comparison with closed-form analytic solutions, boundary-element codes, underground exploration, and laboratory and field testing.

Our current understanding is that the dominant heat transfer mechanism in the unsaturated tuff is temperature-dependent conduction. These understandings are based on a data base of laboratory and field tests and analyses (Section 2.5.3, relationship between intact rock and large-scale properties). The types of analytical tools being developed to provide perspectives of the rock mass thermal response to address Issues 1.11 (configuration of underground facilities (postclosure), Section 8.3.2.2), 4.2 (nonradiological health and safety, Section 8.3.2.4), and 4.4, (preclosure design and technical feasibility, Section 8.3.2.5), include

1. An analytic solution for heat conduction for finite cylinder heat sources with a decaying exponential source term with time and linear superposition of sources. This analytical tool will serve the purpose of making preliminary design assessments for the repository. This method will be qualified using closed-form analytic solutions.

2. An analytic solution for the heat conduction for infinite line heat sources that may be constant or exponentially decaying with time. This analytical method is an integral part of the boundary-element method used to perform design and sensitivity analyses. This method will be qualified using closed-form analytic solutions.

3. A numerical model capable of steady and transient linear and nonlinear thermal conduction analyses in at least two dimensions. Possible boundary conditions include constant temperature at a node, constant or time-dependent temperature along a side, adiabatic surface, forced convection, natural convection, and thermal radiation. Material properties (densities, specific heats, and conductivity tensors) may be dependent on temperature. This method will be qualified using closed-form analytic solutions, benchmarking activities (Section 8.3.2.1.4.2), and laboratory and field experiments. Heat transfer codes similar to the ones being considered have been successfully applied to analyses of heat transfer in salt (Waste Isolation Pilot Plant and Project Salt Vault), granite (Stripa and Spent Fuel Test-Climax), and tuff (SCP Sections 2.5.2.1.1 and 2.5.3). The reasons for field validation of these codes will be to attempt to show their application on partially saturated tuff at the repository horizon because the currently existing studies are not

sufficient. The results of these analyses are used as input for thermomechanical analyses.

Our current understanding of the combined contributions of the excavation and thermal effects upon rock-mass response (termed the thermomechanical response) is based on laboratory and field investigations of the thermomechanical response of rocks at the site (Section 2.5, thermal and thermomechanical properties--large scale). Based on this understanding, two methods are currently being applied to predict thermomechanical response. In the first, using boundary-element techniques, thermal stresses and displacements are calculated by treating the thermal stress and the initial stress as a time-varying boundary condition. In the second, using finite-element techniques, mechanical responses from excavation and thermal responses from the heat source are separately analyzed, and then the thermal results are input to the mechanical analysis to determine the thermomechanical response. The basis for our understanding of the mechanical, thermal, and thermomechanical response of the rock mass will be supplemented through the model verification and validation process.

The types and scales of analyses being considered to address Issues 1.11, 4.2, and 4.4 include the following:

1. The state of stress and deformation in the vicinity of boreholes, rooms, access ways, shafts, ramps, and the repository will be studied to determine short- and long-term stability through analyses in which the thermomechanical response resulting from excavation and subsequent thermal loading is assessed.

2. Surface uplift and subsidence will be studied to determine repository scale manifestations of short- and long-term thermomechanical response resulting from excavation and subsequent thermal loading (e.g., to aid in establishing acceptable areal power densities).

3. The state of stress and deformation resulting from excavations and construction of the surface facilities will be studied to ensure structural integrity and safety of the facilities throughout the course of operations.

4. Rock-mass deformation properties (anisotropic elastic properties) will be calculated by applying state-of-the-art numerical techniques (compliant joint models) to the current data base of intact rock and fracture characteristics. Such anisotropic properties will be included in stability analyses as warranted.

8.3.2.1.4.1.2 Seismic analyses

For seismic considerations, hazards due to vibratory ground motion and surface fault rupture will be analyzed to determine the potential effects of underground nuclear events and earthquakes upon surface and subsurface facilities. Currently, to satisfy site characterization information needs for Design Issues 1.11 (configuration of underground facilities, Section 8.3.2.2) and 4.4 (preclosure design and technical feasibility, Section

8.3.2.5), as well as for Investigations 8.3.1.17.2 (fault movement) and 8.3.1.17.3 (seismicity); the phenomena being considered for analyses include

1. The attenuation of ground motion with depth (both for acceleration and frequency) as it applies to surface and subsurface facilities.

2. The effect of local geology upon seismic wave propagation and direction.

3. Fault activities at the site including total displacements, displacement rates, evidence of surface rupture, type and orientation, and age of recent activity of the faults in the site area.

4. Seismic hazards related to ground motion and surface rupture resulting from natural earthquakes.

5. Ground motion at any point in the repository horizon as a result of seismic loads, its effect on the state of stress and deformation, and the stability of underground openings.

8.3.2.1.4.1.3 Ventilation analyses

Ventilation analyses will be conducted initially to size and design the ventilation system and to assess its performance during the course of operations. Even in the unsaturated environment, removal of some water in the form of humidity will be accomplished by the ventilation system. The codes being considered for this work will be ones that have been used extensively in the past. Analyses may be attempted that could qualify these codes based on current applications of these codes at underground operations. Since the use of these codes in the past has not routinely included thermal effects to the extent expected for the repository, the codes should be qualified for that purpose. The types of analyses being considered to help address Issues 1.11 (configuration of underground facilities, Section 8.3.2.2), 2.7 (repository design criteria for radiological safety, Section 8.3.2.3), 4.2 (nonradiological health and safety, Section 8.3.2.4), and 4.4 (technical feasibility, Section 8.3.2.5), include

1. Analyses to ensure worker safety in the context of maintaining appropriate air quality for dust, humidity, radon daughter products, and temperature considerations.

2. Analyses to ensure that requirements for equipment operations are met.

8.3.2.1.4.1.4 Safety analyses

Safety analyses will be conducted in addressing Issues 2.7, repository design criteria for radiological safety, and 4.2, (nonradiological health and safety). For Issue 2.7, analyses will ensure that limits of radiological exposure of workers and the public are met. Important to these analyses are

the source terms and configurations of the underground and surface facilities coupled with the operations plan for the facilities. Codes being considered for this work will be ones that have been proven through extensive past use in the nuclear industry.

For Issue 4.2, analyses will be used to ensure worker health and safety in the daily course of operations. These analyses are similar to those listed in Sections 8.3.2.1.4.1.1 and 8.3.2.1.4.1.3.

8.3.2.1.4.2 Verification

The correctness of the software and engineering methods shall be verified to the extent appropriate. Verification is assurance that the software correctly represents the mathematical models. Documented extensive use of the software by peers is an acceptable form of verification. The verification process for the models or engineering methods may include any of the following steps:

1. Review of the model or methods by an independent peer to provide assurance that they are indeed applicable to this repository, and any changes made as a result of this review shall be described.

2. The correctness of the model translation into software shall be verified by the software developer and by an independent peer. Verification is a cumulative process and should consist of a combination of the following steps; it should be controlled through methods described in NNWSI Project standard operating procedure SOP-03-02, Software Quality Assurance:

   a. Comparisons of the numerically generated response of the software with a closed-form solution should be demonstrated. The closed-form solution and numerical solution should be judged the same by a peer review for successful verification to be achieved.

   b. General exercising of the model to expected load and boundary conditions of the repository using representative data from the site should be demonstrated appropriate to the satisfaction of a review panel. The appropriateness of the demonstration will be judged based on a rational understanding of the composite workings of the model components and comparisons with closed-form analytic solutions to appropriate boundary value problems. For this latter case the closed-form analytic solution and numerical solution should be judged the same by a peer review for successful verification to be achieved. Not all models, however, can be compared with closed-form analytic solutions.

3. Benchmarking is the comparison of the results of one item of software with the results of another item of software designed to solve a comparable problem to show that they produce similar results. Documented benchmarking and other documented comparisons

to independently derived results are acceptable forms of verification. The independently derived results could include closed-form analytic solutions. These activities should be controlled through procedures similar to NNWSI Project standard operating procedure SOP-03-02. At least one benchmarking analysis should be run for each model for each scale problem to be encountered in repository design. For example, if one must demonstrate the ability to calculate the thermal response on the scale of a borehole (meters to tens of meters), drift (tens to hundreds of meters), and repository (hundreds to thousands of meters), one should successfully run benchmarking activities on problems within each of these scales. Furthermore, the material properties, in situ conditions, boundary conditions, and loading conditions for these problems should be representative of those expected of the repository. For certain instances, it is implied that at least two codes should be carried through this phase of qualification because (as can be seen from the preceding material) this is the first cross-check on a model. Whether a particular model is applicable to a given scale of problems should be determined independently. The results of all benchmarking activities will be evaluated and judged by the peer review panel based on satisfying the same criteria listed in the following discussion. For each benchmarking problem the criteria associated with it (i.e., design criteria) should be identified before the activity begins.

a. The calculated response for each physical process should have the same trend as all others. This criteria is set because each model used in a particular benchmarking activity is intended to model the same physical process. It may be desirable to examine each portion of a coupled analysis separately.

b. The differences between individual models for the same benchmarking calculation should be less than or equal to the limits set by repository design criteria. For example, if design criteria requires knowing the temperature 1 m from the borehole wall within 5 Celsius degrees as a function of time, and the two or more calculated results differ by at most 3 Celsius degrees as a function of time at that position, then this criteria would be satisfied.

8.3.2.1.4.3 Validation

The applicability and adequacy of the software to the analyses of a problem shall be validated to the extent appropriate and possible. Validation is assurance that the physical model as embodied in software is a correct representation of the intended physical system or process. The applicability of the models and their components to the geologic repository, including any extrapolations, restrictions, and effects of unusual or extreme conditions peculiar to the repository, shall be described. In the context of what the models collectively were developed for, and given the appropriate parameters, the models should collectively perform such that the design, safety, and performance goals for the repository are addressed.

The validation process includes conducting laboratory and field experiments specifically designed to test the physics of rock response for potential repository excavation and waste emplacement conditions. The need for validation is generally discussed within the logic sections for the information needs. The following steps are being considered for inclusion in the process through which individual validation exercises (experiments and analyses) are conducted:

1. Identify criteria and physical phenomena within information needs that are derived from design or performance goals. For example, waste package centerline temperature has been fixed at 350°C. To maintain this temperature constraint, the rock borehole wall is predicted to experience a temperature of about 275°C, and a temperature of 200°C is predicted 1 m radially away from the borehole wall. These environmental predictions identify physical phenomena, which should be modeled to provide guidance as to which models should be validated.

2. Perform experiment design analysis to develop the experiment concept into a design which will address the phenomena of interest. The analysis will be done using reference information base data (RIB).

3. Collect site-specific data for the model input.

4. Perform pretest analysis.

5. Conduct the experiment.

6. Reevaluate pretest analysis based on actual experiment procedures.

7. Perform posttest comparison of experiment and analysis by peer review panel.

The design of these experiments is such that all the following generic criteria should be considered:

1. The analyses should be controlled through procedures similar to those in NNWSI SOP-03-02, Software Quality Assurance.

2. Each component of a model should be tested. This may include laboratory tests that address component response in a well-controlled environment.

3. Models should be tested such that certain composite response elements judged important to design criteria are rigorously exercised by both measurement and calculation tools. This may include laboratory tests that address composite response in a well-controlled environment.

4. The NNWSI SOP-03-02 review process should conclude that the experiment is an appropriate and tractable problem in terms of model applicability, the physics, abstraction, scale, experimental geometry, boundary, and loading conditions of the experiment.

5. The loads imposed in the experiments should be comparable to the expected in situ with superposed repository (excavation and heat) generated loads. The use of an experiment in this context should be determined through pretest analyses. Thus, the judgment of whether experiments will be appropriate for validation will be conducted after the pretest analyses are complete.

6. The time frame of the experiment cannot always be the same as that desired for the application of the model. Thus, some method of extrapolation of the model to time frames of interest should be developed.

7. For conditions where nonlinear or transient behavior is predicted, the experiment should be designed so that the nonlinearities or transients are evident. Similarly, where steady state behavior is predicted, the experiment should be designed so that the steady state response is obtained.

8. The scale of the experiments should be such that representative volumes of rock should be measurably influenced. The scale of the experiment, however, cannot always be the same as that desired for the application of the model. Thus some method of extrapolation of the model(s) to scales of interest should be developed.

9. A comparison and judgment will be made between measured and calculated results by a peer review panel. Some of the considerations appropriate for judging the comparison of results to be valid are that

   a. The calculated and measured results are of the same trend. The trend of individual component responses may be evaluated as well as the composite response. Sufficient experimental data should be collected to clearly define the response as predicted from pretest analysis.

   b. The observed differences between measured data and calculated response should be within certain limits predicted by uncertainties in the range of field or laboratory data used as input for the calculated response.

DOE/RW-0160

Chapter 8

Nuclear Waste Policy Act
(Section 113)

Section 8.3.2.2

N

CONFIGURATION OF UNDERGROUND FACILITIES (POSTCLOSURE)

Consultation Draft

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

8804810353 880131

8.3.2.2 Issue resolution strategy for Issue 1.11: Have the characteristics and configurations of the repository and repository engineered barriers been adequately established to (a) show compliance with the postclosure design criteria of 10 CFR 60.133 and (b) provide information for the resolution of the performance issues?

The postclosure design criteria of 10 CFR 60.133 addressed by this issue require that the underground facility and engineered barrier system be designed to

1. Contribute to containment and isolation.

2. Assist the geologic setting in meeting performance objectives.

3. Take into account the thermal and thermomechanical response and the need for sufficient flexibility to accommodate site-specific conditions.

This postclosure design issue provides the mechanism for identifying repository design characteristics and configurations important to the resolution of Key Issue 1, quantifying how these characteristics and configurations are in compliance with 10 CFR 60.133, and incorporating postclosure performance concerns into the design. Characteristics and configurations considered in developing the approach to this issue include repository depth, dip, orientation, geometry, layout, access location, thermal loading, drainage or moisture control, construction materials, backfill, excavation techniques, and opening stability.

The approach to resolving this issue will be to establish tentative performance goals through the issue resolution process and to develop reference postclosure designs of the repository that are in compliance with these goals. The impact of design characteristics and configurations on the postclosure performance of the repository will be documented in a series of topical reports. The reference postclosure design will be documented in the reference information base (RIB) (together with other components of the overall design) and in major design documents for use in postclosure performance assessments.

In practice, this issue will be resolved in step with the planned design stages. Specifically, preliminary assessments and design concepts are an integral part of the conceptual design summarized in Chapter 6. Updating of this information will continue through the advanced conceptual design and the license application design. Advancing from one step to the next in the design process requires that the goals of the issue be met or that there be reasonable expectancy that they will be met as detailed studies are completed in succeeding phases of the design.

The general approach used to determine compliance with 10 CFR 60.133 and to provide adequate information to support resolution of performance issues, which is summarized in Figure 8.3.2.2-1, is to

1. Apply the issue resolution process as a means of establishing the overall logic of Figure 8.3.2.2-1, specifically identifying the performance goals of the issue.

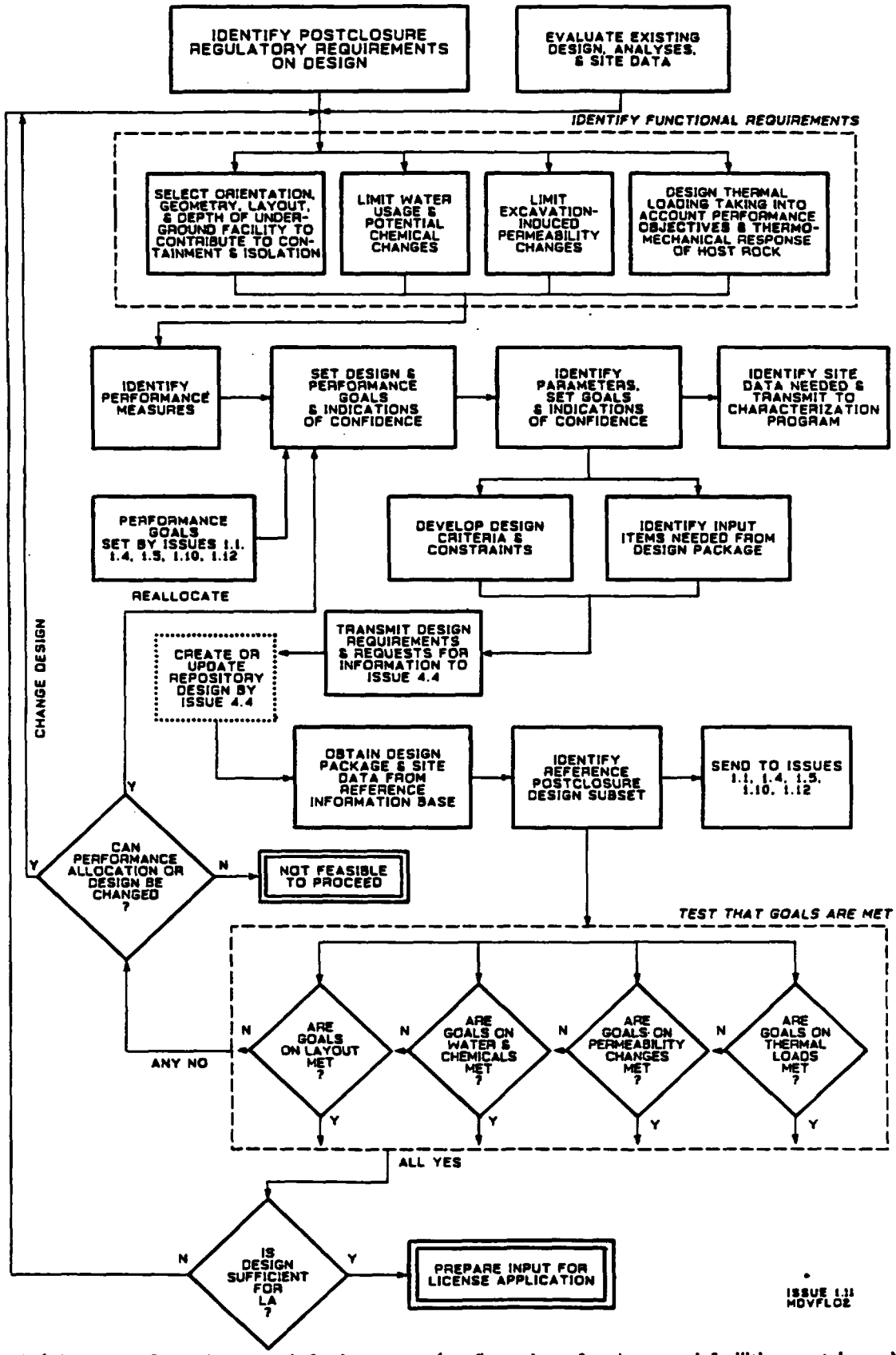
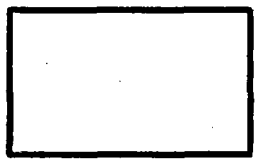
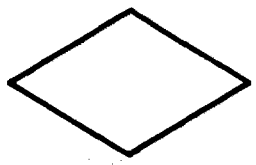


Figure 8.3.2.2-1a. General approach for Issue 1.11 (configuration of underground facilities–postclosure). See Figure 8.3.2.2-1b for legend. Section 8.3.2.1 describes the relationships and interfaces between design and performance issues.

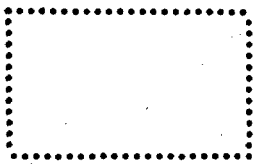
LEGEND



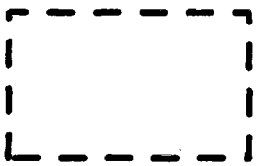
ACTIVITY PERFORMED TO RESOLVE ISSUE



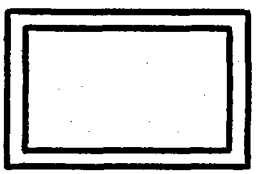
TEST TO DETERMINE SUBSEQUENT ACTIVITY



ACTIVITY PERFORMED BY INDICATED ISSUE



ACTIVITY WITH MULTIPLE SIMILAR ACTIVITIES OR TESTS



DECISION ABOUT ISSUE RESOLUTION

Y - YES N - NO LA - LICENSE APPLICATION

Figure 8.3.2.2-1b. Legend for Figure 8.3.2.2-1a.

2. Perform technical studies (design activities) to evaluate compliance with the goals, complete reference calculations for use by other issues and perform sensitivity studies that evaluate data needed for design (middle part of Figure 8.3.2.2-1).

3. Establish data requirements (accuracy and confidence) as guidance to data gathering, assimilate site and waste package data, and establish RIB inputs (data request and inputs on Figure 8.3.2.2-1).

4. Establish reference postclosure design as the basis for postclosure performance assessment of the repository.

The goals may be modified, extended, or eliminated as more detailed evaluations are completed.

A significant part of the role of this issue (1.11) in resolving Key Issue 1 is to provide the interface between postclosure performance and design (see Figure 8.3.2.2-1). The design is produced by Issue 4.4 (Section 8.3.2.5). This issue provides the interface to postclosure performance issues by taking their performance goals and converting them into design requirements that can be used by Issue 4.4 to control the design through the repository design requirements document. Figure 8.3.2.2-1 shows Issue 1.11 as the bridge between the total system and Issue 4.4. The relationship between performance issues, this issue, and the design is embodied in the logic of Figure 8.3.2.2-1.

Regulatory basis for the issue

The parts of 10 CFR 60.133 that apply to postclosure performance and are addressed by this issue are listed in the following discussion. All parts of 10 CFR 60.133 not listed in the following discussion are considered preclosure criteria and are therefore addressed by other issues, specifically Issues 2.3 (accidental radiological releases, Section 8.3.5.4); 2.7 (repository design criteria for radiological safety, Section 8.3.2.3); 4.2 (nonradiological health and safety, Section 8.3.2.4); and 4.4 (preclosure design and technical feasibility, Section 8.3.2.5).

10 CFR 60 section	Subject applicable to Issue 1.11
133(a)(1)	Design layout and engineered barriers to contribute to performance
133(b)	Accommodate site-specific conditions
133(e)(2)	Design to reduce deleterious rock movement
133(f)	Select excavation methods that limit preferential pathways
133(h)	Assist performance of geologic setting in meeting the postclosure performance objectives
133(i)	Predict thermal and thermomechanical response

The general standard required for compliance with these regulations is "A reasonable assurance . . . that the objectives and criteria will be met" (10 CFR 60.101(a)(2)) based on predictive models "...supported by using an appropriate combination of such methods..." (10 CFR 60.21(c)(1)(ii)(F)) (Section 8.3.2.2.4).

To understand the scope of these regulations and how they apply to this issue, some discussion is provided below on the work to be conducted under this and related issues.

The characteristics and configurations of the waste package and its interaction in the near-field environment are governed by 10 CFR 60.135, which is addressed by Issue 1.10 (waste package characteristics (post-closure), Section 8.3.4.2), and hence is considered an interface to this issue. As such, Issue 1.10 places requirements on this issue that are reflected in the choice of processes, performance measures, and performance goals of this issue.

The NRC definition of the underground facility excludes shafts, boreholes, and seals for shafts and boreholes but does not specifically exclude drift seals. Drift seals could be considered part of the underground facility, and, in turn, part of the engineered barrier system. However, they are explicitly addressed by Issue 1.12 (shaft and borehole seals characteristics, Section 8.3.3.2), and governed by 10 CFR 60.134 and 10 CFR 60.112. Both their function and time of emplacement are very closely allied with the shaft and borehole seals. Issue 1.12 established the requirements for sealing and how sealing will be accomplished. Thus, seals, including drift seals are considered to be an interface to this issue rather than a part of this issue. As such, Issue 1.12 places requirements on this issue that are reflected in the choice for processes, performance measures, and performance goals of this issue.

To provide the technical basis for meeting the higher level findings (Issue 1.9, Section 8.3.5.18), this design issue (1.11) must, in addition to compliance with 10 CFR Part 60, address the requirements of 10 CFR Part 960. This is done by using 10 CFR Part 60 to organize the issue resolution strategy while ensuring that the goals determined by the issue resolution approach include 10 CFR Part 960 requirements. Specific applicable parts of 10 CFR Part 960 include Subpart C, Sections 4-2-3 (Rock Characteristics) and 4-2-5 (Erosion).

Approach to resolving the issue

The issue resolution strategy for this issue started from the regulations and applied four steps: (1) functions, (2) processes, (3) performance measures, and (4) performance goals and confidence.

The "function" step is an expression of what must be done by the System Element 2.0, postclosure waste disposal system (Section 8.2.1). The functions for this issue were derived directly from 10 CFR 60.133 and provide the means for compliance of the design of the underground facility with the regulations. All the subsections of 10 CFR 60.133 noted under the regulatory basis are important to resolving this issue and are contained within these functions.

The "process" step describes how the function will be accomplished. Processes were assigned so that each function would be complete in its contribution to resolution of the issue. The processes identified for Issue 1.11 are closely related to the design activities for the issue. Because the system elements listed in the System Element 2.0 (postclosure waste disposal system) requirements are not currently defined to the level of detail of characteristics and configurations of the underground facility required by this issue, a separate checklist of such characteristics and configurations was prepared. This list was then used to guide selection of processes and was used in workshops on this issue to ensure that the list of processes was reasonable and essentially complete.

The "performance measures" step identifies the measure that will allow a determination of whether the process is being performed as required by the function. That is, to resolve the issue, the performance measure will serve as the indicator in determining how well the function is performed.

Each performance measure has an associated "goal and level of confidence" step. The goal is the value for the performance measure that will be adequate for the issue to be favorably resolved, and the level of confidence is an indication of the importance assigned to meeting the goal.

The assignment of these performance measures and associated performance goals and levels of confidence was based on professional judgment and peer reviewed in a series of workshops held in Albuquerque, New Mexico, in the spring of 1986. Completing this issue resolution completes the approach to resolving Issue 1.11 (configuration of underground facilities (postclosure)).

The results of the issue resolution approach for Issue 1.11, including each process, performance measure, and performance goal and associated confidence required, are discussed by function in the following paragraphs.

The four functions that the postclosure waste disposal System Element 2.0 (postclosure waste disposal system) must perform to resolve this issue are given in the following list together with the regulatory section they address. These functions were selected so that there is a direct correlation between the functions and the postclosure design criteria as expressed in 10 CFR 60.133.

1. Select orientation, geometry, layout, and depth of the underground facility to contribute to containment and isolation, taking into account flexibility to accommodate site specific conditions (10 CFR 60.133(a)(1) and 10 CFR 60.133(b)).

2. Limit water usage and potential chemical changes during construction and operations of underground facility so as to not adversely affect performance of natural and engineered barriers following permanent closure (10 CFR 60.133(a)(1) and 10 CFR 60.133(h)).

3. Limit potential for excavation-induced changes in rock mass permeability during construction of the underground facility so as to not adversely affect performance of natural and engineered barriers following permanent closure (10 CFR 60.133(f)).

4. Design thermal loading taking into account performance objectives and thermomechanical response of host rock (10 CFR 60.133(i), 10 CFR 60.133(e)(2), and 10 CFR 60.133(h)).

In this section, the specific processes contributing to each of the four functions are identified. Subsequently, one or more performance measures and corresponding performance goals are selected for each of the processes. The link between the processes, performance measures, and performance goals is illustrated by use of a series of tables in which the performance goals are expressed quantitatively whenever possible and appropriate. The tables are supported by descriptive text intended to provide a brief background and rationale for the tabulated material. The text and tables also identify interfaces with other issues. Such interfaces exist either when resolution of this issue contributes to resolution of another, or when resolution of another issue automatically satisfies performance measures identified here.

The tables identify two types of processes; namely, those upon which reliance is placed and those that provide additional, or backup, assurance that the function of the repository and its engineered components meet the postclosure performance criteria. The confidence with which the performance goals associated with each process need to be met at the license application stage are designated as high, medium, or low. Those designated as high are considered to be critical to providing reasonable assurance that the repository system will comply with the performance criteria. Definition of the approach to be used to meet the goals, with appropriate level of confidence, will ensure identification of the type and quality of the data required to support resolution of the performance issue.

Function 1: Select orientation, geometry, layout, and depth of the underground facility to contribute to containment and isolation, taking into account flexibility to accommodate site-specific conditions

To meet the requirements of 10 CFR 60.133a(1) and 10 CFR 60.133(b), the repository will be configured so that its orientation, layout, geometry, and depth contribute to or do not adversely affect containment and isolation. The primary system elements affected by function 1 are the disturbed zone (System Element 2.1.1) and the repository engineered barriers (System Element 2.2.2). Processes that contribute to meeting the function, and the related performance measures and goals are listed in Table 8.3.2.2-1. The following discussion treats the processes in three groups, which deal with the repository configuration, the environment for the waste containers, and the need for flexibility.

Repository configuration

The NWPA (1983) requires that there be sufficient space within the repository to accommodate the equivalent of 70,000 metric tons of uranium. As indicated in Table 8.3.2.2-1, this criterion must be met subject to goals relating to depth of overburden and an objective to stay above the water table in unsaturated, welded tuff of the Topopah Springs Member. Those goals were established to ensure compliance with containment and isolation performance criteria for the facility. They must be met during design

Table 8.3.2.2-1. Performance measures, goals, and needed confidence for selecting orientation, geometry, layout and depth of underground facility (postclosure design function 1)[a] (page 1 of 3)

Process: Possible	Process: Relied on	Performance measure		Tentative goal	Needed confidence
Vary depth, dip, orientation and lateral extent of underground facility to provide host rock with favorable containment and isolation characteristics	Yes	Usable area: Is usable area adequate for 70,000 metric tons of uranium (MTU) of waste?	1-A[b]	Area available >> area needed	High
				- >200 m overburden	High
				- stay in TSw2[c]	Medium
				- stay >70 m above water table[d]	High
				- stay in primary area	Medium
				- areal power density (APD) <allowable far-field APD	High
				- probability <0.1 in 1,000 yr that >0.5% of waste packages will be breached by tectonic processes or events	High
				- offset from exploratory boreholes >15 m (cf. Issue 1.12)	High
Select emplacement borehole orientation and design to limit potential for adverse effects	Backup	Performance measures as defined by Issues 1.1, 1.6, and 1.10 will be used as part of choosing borehole orientation[e]	1-B	Performance goals as defined by Issues 1.1, 1.6, and 1.10 will be used as part of choosing borehole orientation	Medium
Limit the amount of water in contact with container to provide favorable isolation and containment environment	Yes	Regions of dehydration or condensation and drainage pattern	1-C	Design subsurface facility so that convection and natural drainage is away from containers and so that goals of Issues 1.1, 1.10 and 1.12 can be met[f]	High
Skip and isolate unfavorable areas	Yes	Ground quality (technical feasibility performance measure)	1-D	Goals for determining technical feasibility of underground development considering local variability will be set by Issue 4.4	High

CONSULTATION DRAFT

Table 8.3.2.2-1. Performance measures, goals, and needed confidence for selecting orientation, geometry, layout and depth of underground facility (postclosure design function 1)[a] (page 2 of 3)

Process: Possible	Process: Relied on	Performance measure		Tentative goal	Needed confidence
Skip and isolate unfavorable areas (continued)		Extent of change in	1-E	Emplace in ground that satisfies	
		- saturation		- average saturation: 75%[g] - local saturation <90%[g]	High Low
		- water chemistry		- water chemistry requirements established in Issue 1.10[h]	Medium
		Distance from ground with unfavorable properties	1-F	Stay far enough away to satisfy goals of 1-E above[i]	Medium
Adjust thermal load to accommodate local conditions	Yes	Temperature (to limit potential for adverse geochemical, etc. effects on the host rock)	1-G	Goals (4-O, 4-P, 4-R, 4-U, and 4-V) for determining allowable temperatures are set as part of function 4 (Table 8.3.2.2-4) of this issue	High
		Postclosure rock movements within acceptable limits under thermal loads	1-H	Goals (4-Q and 4-W) for determining if rock meets postclosure thermomechanical criteria will be set by function 4 (Table 8.3.2.2-4) of this issue	Medium

[a]The issues referenced in this table and its footnotes are addressed in the following sections: Issue 1.1 (total system performance, Section 8.3.5.13), Issue 1.6 (ground-water travel time, Section 8.3.5.12), Issue 1.10 (waste package characteristics-postclosure, Section 8.3.4.2), Issue 1.12 (seal characteristics, Section 8.3.3.2), and Issue 1.16 (climate).

[b]1-A indicates function 1 and goal A. This designator is carried over to Table 8.3.2.2-5 to associate data of that table with goals of this table.

[c]Goal is to stay in the TSw2 unit (low lithophysal Topopah Spring Member), but TSw1 (lithophysal Topopah Spring) may be considered. See Chapter 2 for complete description of units.

[d]The distance from the disturbed zone to the water table should be 70 m or more; preferably this should be 70 m of CHn (Calico Hills nonwelded unit).

[e]Design factors that will be considered in performance review of borehole orientation include drift size, extraction ratio, standoff distance, potential fault/fracture effects, borehole hydrology, liner effects, potential abnormal conditions, etc.

CONSULTATION DRAFT

Table 8.3.2.2-1. Performance measures, goals, and needed confidence for selecting orientation, geometry, layout and depth of underground facility (postclosure design function 1)[a] (page 3 of 3)

Footnotes (continued)

[f]Specific requirements of Issue 1.12 include: 1) locate surface portals in nonflood prone areas; 2) restrict the number of shafts and ramps; 3) retain capability to emplace seals at base of shafts; 4) provide adequate storage capacity at base of shafts; 5) grade drifts to drain away from emplacement areas; 6) ensure drift floors can be reconditioned to enhance drainage; 7) provide adequate storage at low point of subsurface facilities before water enters emplacement drifts; and 8) establish drainage of shops, etc., toward exploratory shaft 1 (ES-1) and men and material (MM) shafts and of emplacement areas away from ES-1 and MM shafts. Specific requirements of Issue 1.10 include keeping containers dry through drainage and maintaining temperatures greater than the boiling point of water for more than 300 yr.

[g]Goal is to emplace waste in areas consistent with Issue 1.1 by requiring average emplacement saturation to be about 75% or less and local saturation around emplacement boreholes to be less than 90%. Intent of goal is to assure that perturbations to the site due to construction, operations, and the addition of heat will not cause saturated conditions.

[h]Water chemistry goals as set by Issue 1.10. Conditions should not be disturbed from predevelopment conditions by more than a specified amount.

[i]Actual standoff is determined by conditions around the ground with unfavorable properties by requiring that goal 1-E be met. Faults are explicitly incorporated into the design through goals 1-A, however, the anomalous conditions of concern in goal 1-E (saturation and geochemistry) are most likely to occur near faults. During conceptual design the offset of Ghost Dance (approximately 14 m) was used to estimate how much bigger the layout would be if such a standoff were incorporated (SCP-CDR Appendix M (SNL, 1987)). If ground with unfavorable properties is found within the ESF, a better estimate (than offset) of appropriate standoff can be made.

CONSULTATION DRAFT

through appropriate selection of the depth, dip, orientation, and lateral extent of the underground facility. Postclosure considerations are important to that design process because the areal power density (APD) must be selected so as to meet temperature, stress, and displacement criteria discussed under this issue. In addition, the repository layout and dip must be selected so as to assist drainage and contribute to maintaining the container environment goals established under Issue 1.10 (Section 8.3.4.2), waste package characteristics (postclosure).

The APD is an average figure for the repository. The thermal output of individual containers and their spacing and orientation become important when considering near-field performance criteria. Preliminary evaluations indicate that the orientations of the underground openings relative to the in situ stress and fracture geometry may not be primary design considerations (Section 6.4.2 of the Site Characterization Plan-Conceptual Design Report (SCP-CDR) (SNL, 1987). However, potential performance differences between the horizontal and vertical emplacement options will be evaluated. The selected option must meet isolation and containment requirements, and contribute to meeting the requirements of other issues, namely, Issues 1.1 (total system performance, Section 8.3.5.13), 1.6 (ground-water travel time, Section 8.3.5.12), 1.10 (waste package characteristics (postclosure), Section 8.3.4.2), and 4.4 (technical feasibility, Section 8.3.2.5). The final recommendation regarding the disposition of the waste will be documented in the reference postclosure design.

Container environment

A goal to limit the amount of water that will come in contact with the waste container is established in Issue 1.10 (Section 8.3.2.5), which is concerned with waste package design. Measures taken to meet this goal and thereby contribute to containment and isolation include location of the repository in an unsaturated host rock; development of an underground layout that enhances natural drainage away from the containers; and selection of a design basis thermal loading (APD) that maintains temperatures above the in situ boiling temperature of water at the container surface for the first 300 yr after repository closure. The use of seals to reduce the entry of water into the underground facility will also affect container environment; seals are addressed in Issue 1.12 (seals characteristics, Section 8.3.3.2).

In Table 8.3.2.2-1, a general requirement to define the regions of dehydration and condensation and the pattern of drainage within the underground facility is identified. The amount of moisture that is anticipated to come in contact with the containers during the postclosure period will be considered during design and will be the subject of performance assessment. Performance measures and goals relating to thermal environment of the canister are discussed further under function 4.

Flexibility

The design of the repository will be sufficiently flexible that there will be freedom to respond to conditions encountered underground during construction and emplacement. Two possible options for responding to adverse ground conditions are identified in Table 8.3.2.2-1. These are either to skip and isolate unfavorable areas or to adjust the thermal load locally.

Function 2: Limit water usage and potential chemical changes.

In conformance with the regulatory requirements stated in 10 CFR 60.133(a)(1) and 10 CFR 60.133(h), the engineered barriers will contribute to isolation and containment and will assist the geologic setting in meeting performance objectives. Two processes are identified as important to assuring that the engineered barriers (System Element 2.2.2) adequately satisfy those requirements. These are concerned with avoiding potentially adverse changes in the chemical environment and the degree of saturation of the host rock. These two processes and their related performance measures and performance goals and confidence are listed in Table 8.3.2.2-2.

Limit adverse chemical changes

Potentially adverse chemical effects may arise, either directly or indirectly, as a result of materials introduced to the site during construction, operation, and decommissioning. Primary factors in meeting this functional requirement are the control of materials entering the repository.

In Table 8.3.2.2-2, cement and organics are identified as materials that will need to be carefully controlled, because they may adversely affect the pH and chemistry of the natural ground water. Goals with respect to pH and chemistry changes as they impact the waste package and waste isolation have been established by Issue 1.10 (Section 8.3.4.2), and Issue 1.1 (Section 8.3.5.13). This issue (1.11) (Section 8.3.2.2) will establish the allowable postclosure material quantities and impose these constraints on Issue 4.4 (Section 8.3.2.5). During design, particular attention has focused on the amount of cement used for all construction and operations, and in particular for the preparation of the emplacement boreholes, and any organics in the flushing fluid used during drilling. The goals of controlling the quantities of cement and organics, which are identified in Table 8.3.2.2-2, can be met with the required confidence by development and implementation of operating procedures for the underground facility.

Limit and control water used during construction

Control of water used during construction of the shafts, access ramps, and the excavations of the underground facility is identified as a process in Table 8.3.2.2-2, because of the desire to limit the changes in the degree of saturation of the host rock and the water reaching the containers. The degree of saturation of the rock in the immediate vicinity of the waste containers is a concern. As a result, it will also be important to limit and control the nature and quantity of the flushing medium used during drilling holes and the water used for drilling and dust control during construction of the other excavations of the repository.

The present design basis is that the emplacement boreholes will be drilled dry, using a vacuum chip removal system, and that criteria will be developed for control of any water used during construction, operation, and decommissioning. Other measures to be taken to control the amount of water that will come in contact with the containers are discussed under functions 1 and 4. These include, respectively, planning the repository so the natural

Table 8.3.2.2-2. Performance measures, goals, and needed confidences for limiting water usage and potential chemical changes (postclosure design function 2)

Process: Possible	Process: Relied on	Performance measure		Tentative goal	Needed confidence
Limit adverse chemical changes by using acceptable materials and fluids	Yes	Type, quantity, and location of materials (including materials for seals):	2-I[a]	Requirements specified by Issues 1.10 and 1.12 (Sections 8.3.4.2 and 8.3.3.2) applied here	
		Quantity of concrete		Limit cement in emplacement boreholes and drifts to that required for proper construction[b]	Medium
		Quantity of shotcrete		Limit shotcrete in drift and access linings to that required for proper construction	Medium
		Quantity of grout for bolt anchors		Limit grout used for bolt anchors to that required for proper construction	Medium
		Quantity of organics		Limit organics in drilling fluids and explosive residues from blasting	High
Limit the amount of water used in construction, operation, emplacement of seals, and decommissioning to provide favorable containment and isolation environment	Yes	Net water from operations that remains in host rock at emplacement	2-J	Water usage should be limited so that saturation at emplacement does not violate goal 1-E, Table 8.3.2.2-1	High
				Limit water to that required for dust control and proper equipment function	
				Remove all excess water	

[a]2-I indicates function 2 and goal I. This indicator is carried over to Table 8.3.2.2-5 to associate data of that table with goals of this table.

[b]Quantities and chemical composition may be impacted by requirements related to postclosure performance objective for the waste package.

CONSULTATION DRAFT

drainage is away from the waste containers, using seals to reduce the quantity of water that enters the repository, and maintaining container temperatures sufficiently high to maintain dry conditions around the waste containers during the first 300 yr following closure.

Function 3: Limit potential for excavation-induced changes in rock mass permeability

In conformance with requirements stated in 10 CFR 60.133(f) and 10 CFR 60.133(e)(2), the excavation method and the design of the underground openings of the repository will be selected so as to limit the potential for creation of preferential pathways to the accessible environment, particularly through deleterious rock movement and creation of new fractures in the rock mass. The system element contributing to satisfying this function is the disturbed zone (System Element 2.1.2). The disturbed zone is defined under Issue 1.6 (ground-water travel time, Section 8.3.5.12), as the region of the host rock in which there might be potentially adverse changes in the hydrologic properties (matrix permeability and porosity). Processes considered important to this function are identified in Table 8.3.2.2-3. Those processes are discussed individually in the following sections.

In addition to the potential for excavation-induced changes in rock mass permeability, thermal stresses can change fracture apertures and hence, fracture permeability. Goals controlling the potential for deleterious rock movement and preferential pathways that might result from thermal stresses have been established by performance allocation under function 4 (design thermal loading taking into account performance objectives and thermomechanical response of the host rock). Section 6.4.1 of the SCP-CDR (SNL, 1987) discusses the relationship of the current understanding of hydrologic processes in the unsaturated zone at Yucca Mountain to the goals established under function 4.

Permeability modification associated with excavation process

Damage to the rock mass that is associated with blasting can be limited through the use of appropriate blast control methods. Such procedures are important design concerns since they potentially impact support and maintenance (Issues 4.2 (Section 8.3.2.4), nonradiological health and safety, and 4.4 (Section 8.3.2.5), technical feasibility). Analyses in the SCP-CDR suggest that they are less important to postclosure performance concerns because movement of ground water is generally expected to be through the matrix rather than along fractures (see the Section 6.4.1 of SCP-CDR (SNL, 1987) for a complete discussion), and blast-induced fracturing typically extends only a short distance from an excavation compared with the distance to emplaced waste. Similarly, the use of drilling as the emplacement borehole construction method is important to preserving stability of the boreholes. Stable boreholes are important to maintaining the retrieval option during the preclosure period (Issue 2.4 (waste retrievability, Section 8.3.5.2)) and to meeting waste package criteria (Issue 1.10 (waste package characteristics--postclosure, Section 8.3.4.2)), as well as to minimizing block motion (caving) above the borehole that might result in adverse effects on waste package containment (Issue 1.10).

Table 8.3.2.2-3. Performance measures, goals, and needed confidences for limiting potential for excavation-induced changes in rock mass permeability (postclosure design function 3)

Process: Possible	Process: Relied on	Performance measure		Tentative goal	Needed confidence
Limit magnitude and extent of blast-induced permeability change by blast control	Backup	Permeability change due to blasting should not cause a change in disturbed zone boundary or unnecessarily complicate seal evaluation and emplacement	3-K[a]	Permeability change beyond 3 m should be less than one order of magnitude[b] (cf. Issue 1.6 (Section 8.3.5.12))	Medium
Limit potential for subsidence by limiting extraction ratio and drift size	Backup	Extraction ratio	3-L	Current design basis - Horizontal emplacement <10% - Vertical emplacement <30%	 High High
		Drift spans	3-M	<11 m	High
Backfill drifts at decommissioning	Backup	Amount of fill	3-N	Backfill to within 0.5 m of roof	Medium

[a]3-K indicates function 3 and goal K. This designator is carried over to Table 8.3.2.2-5 to associate data of that table with goals of this table.

[b]Drifts and emplacement boreholes; for description of constraints on shafts or seal areas see Issue 1.12 (Section 8.3.3.2).

CONSULTATION DRAFT

Limit potential for surface subsidence

Subsidence of the ground surface is observed to occur above mining excavations when a high proportion of the rock on a particular horizon is extracted. The possibility of subsidence can be reduced with a high degree of confidence, provided the span of any excavations is small and the pillars between excavations are large. For the repository, the requirements for containment and preservation of the retrieval option would be inconsistent with allowing significant roof falls or pillar damage. Hence, the potential for surface subsidence during the preclosure period must be excluded. In the longer term, the potential for subsidence will be limited by conservative pillar design. The role of backfilling is currently being investigated in subsidence control and creation of preferential pathways for water migration.

Limit postclosure drift collapse by backfilling

If the excavations of a repository are not backfilled before decommissioning, there is a potential for progressive collapse of at least portions of the underground excavations as the ground support system deteriorates. Whether such collapse constitutes a potentially adverse condition is addressed by this issue, by assessing if the potential for such collapse would cause the goals of performance issues to be violated (see function 4). The present design basis is that the underground excavations will be backfilled before closure of the underground facility.

Function 4: Design thermal loading, taking into account performance objectives and thermomechanical response of the host rock

As required by 10 CFR 60.133(i), the thermal loading of the repository will be selected so that the postclosure performance objectives will be met, taking into account the thermal and thermal-mechanical response of the host rock. In addition, there are performance objectives relating to environmental impacts at the ground surface above the repository. Because these are postclosure requirements, the repository engineered barriers (System Element 2.2.2) and the far-field (System Element 2.1.2) are identified as the most important to ensuring that these performance objectives are satisfied.

Table 8.3.2.2-4 identifies six processes that contribute to meeting this functional requirement. Of these six, three are directly concerned with the thermal field in the immediate vicinity of the waste package and below the underground facility, and two are related to potentially deleterious rock movement. The remaining process is concerned with surficial effects.

Limit temperature change in adjacent strata

The most credible path to the accessible environment is via the groundwater system. Because the repository is to be constructed in partially saturated material, this implies that the material between the underground facility and the water table below is important to the site performance. Some of the units below unit TSw2 (including the Calico Hills unit) are subject to mineralogic changes when they dehydrate. This may cause chemical and physical effects that could be detrimental to waste isolation. Accordingly, a tentative performance goal of limiting the extent of those units that may

Table 8.3.2.2-4. Performance measures, goals, and needed confidences for designing thermal loading taking into account performance objectives and thermomechanical response of host rock (postclosure design function 4) (page 1 of 2)

Process: Possible	Process: Relied on	Performance measure	Tentative goal	Needed Confidence
Limit temperature changes in selected barriers	Backup	Temperature	4-O[a] Limit temperature of CHn to <115°C[b]	Medium
			4-P Limit temperature of TSw3 to <115°[b]	Medium
Limit deleterious rock movement or preferred pathways	Backup	Potential for significant displacement (see Issue 1.1)	4-Q Relative motion <1 m at the top of TSw1[c] - No intact rock failure[d] - No continuous joint slip[e]	Medium
Limit impact on surface environment	Backup	Temperature	4-R Rise in surface temperature less than 6°C[f]	Low
		Surface uplift	4-S Surface uplift less than 0.5 cm per year[g]	Low
Vary borehole and drift spacing to control thermal loading and container temperature	Yes	Thermal loading	4-T Design basis thermal loading less than allowable thermal loading[h]	High
		Borehole wall temperature[i]	4-U Temperature <275°C	High
		Rock mass temperature[j]	4-V Temperature at 1 m from borehole <200°C[k]	High
Limit potential for borehole collapse	Yes	Stress, deformation, factor of safety, and potential rock fall	4-W Boreholes that do not load container beyond limits imposed under Issue 1.10 (Section 8.3.4.2)[l]	High
Limit corrosiveness of container environment	Yes	Time container is above boiling temperature of water (Issue 1.10)	4-X Majority of borehole walls above boiling temperature of water for >300 yr[m]	High

[a]4-O indicates function 4 and goal O. This designator is carried over to Table 8.3.2.2-5 to associate data of that table with goals of this table.

[b]Calculated with mean properties. See Issue 1.6 (Section 8.3.5.12) for a discussion of disturbed zone and basis for these goals.

CONSULTATION DRAFT

Table 8.3.2.2-4. Performance measures, goals, and needed confidences for designing thermal loading taking into account performance objectives and thermomechanical response of host rock (postclosure design function 4) (page 2 of 2)

Footnotes (continued)

[c]Issue 1.1 requirement is that the relative motion of the rock be less than 1 m (Section 8.3.5.13). To provide confidence that the goal is met, Issue 1.11 provides the additional constraints under goal 1-Q.

[d]Unconsolidated material and disturbed zone excluded from intact rock failure criteria.

[e]Continuous joint slip from surface to disturbed zone or from disturbed zone to water table.

[f]Unit evaluation goal retained in performance allocation process. Further evaluation of this goal is planned.

[g]Unit evaluation natural analogue goal retained and converted to rate in performance allocation process.

[h]Allowable thermal loading (APD) will be determined by goals (o) through (s). Current design basis is "equivalent energy density" through 2,000 yr to 10 yr old average burnup spent fuel emplaced at 57 kW/acre (as per unit evaluation).

[i]Borehole wall temperature chosen so that spent fuel waste temperature will not exceed 350°C using current canister designs (cf. Issue 1.10).

[j]To ensure as-built facility does not violate bounds of properties investigated in license application and to limit the potential for adverse stress conditions due to high temperature thermal expansion of the rock.

[k]200°C at 1 m may be replaced with some other measure of the volume of rock that may exceed the bounds of temperature for which the rock properties were investigated for license application.

[l]Goal is that borehole calculations using average properties (rock and waste) and design basis geometry will result in stresses, deformations, and factors of safety that are indicative of stable conditions for the average package. This will imply reasonable confidence that the goal is met.

[m]Goal is that borehole calculations using average properties (rock and water) and design basis geometry will result in the majority of the borehole walls being above boiling for more than 300 yr. This will imply reasonable confidence that the goal is met.

CONSULTATION DRAFT

be subject to dehydration due to increased temperature has been set. That goal, which is intended to minimize the extent of potentially adverse effects, should be dictated by performance assessment considerations, which are addressed under Issue 1.6 (ground-water travel time, Section 8.3.5.12).

Limit potential for deleterious rock movement or creating preferential pathways

During the postclosure period primary concern over deleterious rock movement or preferred pathways rests with maintaining the integrity of the natural barriers.

The thermomechanical effect most likely to affect natural barrier performance is permeability change. Away from the excavations, permeability change should be limited and occur primarily in existing fractures. Fracture permeability is not believed to be an important process in natural barrier performance (Section 6.4.1 of SCP-CDR) (SNL, 1987). The largest permeability changes will most likely be associated with rock movement around the emplacement boreholes, the emplacement drifts, or along faults. If the effects of such disturbances do not result in an extension of the disturbed zone, as described under Issue 1.6 (ground-water travel time), this should not impact the ability of the natural barrier to isolate the waste. Accordingly, limiting the potential for permeability changes is identified as a backup process.

An explanation of the relationship of thermomechanical effects to the current understanding of hydrologic processes in the unsaturated zone at Yucca Mountain is given in Section 6.4.1 of the SCP-CDR. Determination of the system performance goal (<1 m of relative motion of the rock) has been set by Issue 1.1 (total system performance, Section 8.3.5.13). If the goal does not adequately maintain the natural hydrologic processes at the site, then the potential exists to adversely affect system performance or releases to the accessible environment. This would most likely be associated with rock movement around the emplacement boreholes and drifts. Table 8.3.2.2-4 considers, as part of designing the thermal load, limiting the potential for deleterious rock movement and creating preferred pathways through controlling intact rock failure and continuous joint slip.

Limit surface impact

Temperature change and uplift are the plausible effects of the repository that may be detectable at the ground surface above the underground facility. A limit on the temperature change at the surface of 6 degrees Celsius has been selected. The performance goal for surface uplift, namely, that it should be comparable with natural analogues, is set so an analogy to naturally occurring rates of surface erosion can be made.

Limit near-field temperature changes

The temperature in the vicinity of the waste package is controllable, because it is dependent upon individual canister power generation and spacing and on overall thermal loading (areal power density (APD)). The spacing and APD, which are in turn functions of the emplacement borehole spacing and the

emplacement drift spacing, will be selected to satisfy the performance goals identified in Table 8.3.2.2-4.

For the immediate vicinity of the container, two performance goals are specified. These are the peak emplacement borehole wall rock temperature, which should be less than 275°C if the peak temperature criterion for the waste form is to be met (see also Issue 1.10, Section 8.3.4.2), and the volumetric limit on temperature rise, which ensures the design of the facility does not exceed the limits of properties measured. These goals are to be met subject to the additional constraint that the containers should be sufficiently hot, for the first 300 yr after emplacement, that the borehole walls should remain substantially dry. This constraint derives from a goal, defined under Issue 1.10, that the corrosiveness of the container environment be limited by ensuring that the containers remain dry.

Limit potential for borehole collapse

During the postclosure period, primary concern rests with the integrity of the waste package. The waste package is being designed considering loads during the preclosure and postclosure periods (Issue 1.10). The provisions for assurance that the design basis is not exceeded are discussed under function 1 and include skipping and isolating and locally adjusting the thermal load in regions that do not meet performance criteria. Such adjustments must be within the range of effects considered in the NRC license. If such measures do not adequately isolate the ground not meeting those criteria, then the potential exists for breaching containment. This would most likely be associated with rock movement around the emplacement boreholes. If the effects of such disturbances do not result in excessive loads on the waste package, this will not impact the ability of the repository to meet performance criteria.

Limit corrosiveness of container environment

This process is not derived directly from 10 CFR 60.133. Instead, it is a requirement placed on underground facility design by waste package design (Issue 1.10). The rationale for this requirement is discussed under that issue.

Interrelationships of the information needs

The seven information needs under this issue are

Information need	Subject
1.11.1	Site characterization information needed for design (Section 8.3.2.2.1)
1.11.2	Characteristics of waste package needed for design of the underground facility (Section 8.3.2.2.2)

Information need	Subject
1.11.3	Design concepts for orientation, geometry, layout, and depth of the underground facility that contribute to waste containment and isolation including flexibility to accommodate site specific conditions (Section 8.3.2.2.3)
1.11.4	Design constraints to limit water usage and potential chemical changes (Section 8.3.2.2.4)
1.11.5	Design constraints to limit excavation-induced changes in rock mass permeability (Section 8.3.2.2.5)
1.11.6	Repository thermal loading and predicted thermal and thermomechanical response of the host rock (Section 8.3.2.2.6)
1.11.7	Reference postclosure repository designs (Section 8.3.2.2.7)

As is shown on Figure 8.3.2.2-2, there is a direct relationship between the logic for issue resolution shown on Figure 8.3.2.2-1 and the information needs because the information needs were derived from what must be done for this issue to demonstrate compliance with 10 CFR 60.133. The information needs can be categorized as follows:

1. The first two (Information Needs 1.11.1 and 1.11.2) are collections of the information needed to resolve this issue and hence are closely related to the "parameter" step of performance allocation (Figure 8.3.2.2-2).

2. The next four (Information Needs 1.11.3 through 1.11.6) correspond to an issue resolution function of this issue (Figure 8.3.2.2-2).

3. The last (Information Need 1.11.7) is a summary of design information that will be provided by this issue to resolve performance assessment issues.

Data and analytical requirements for this issue are discussed in detail under the information needs. The data required to resolve this issue basically fall into one of three categories: (1) information from other issues, (2) site geologic data, and (3) rock properties data. The last two are discussed under the first information need in Section 8.3.2.2.1.

The first information need is satisfied by compiling all the site data requirements identified by Information Needs 1.11.3 through 1.11.6, addressing the question of how well these data need to be known and passing it to the site characterization issues.

PERFORMANCE ALLOCATION PROCESS

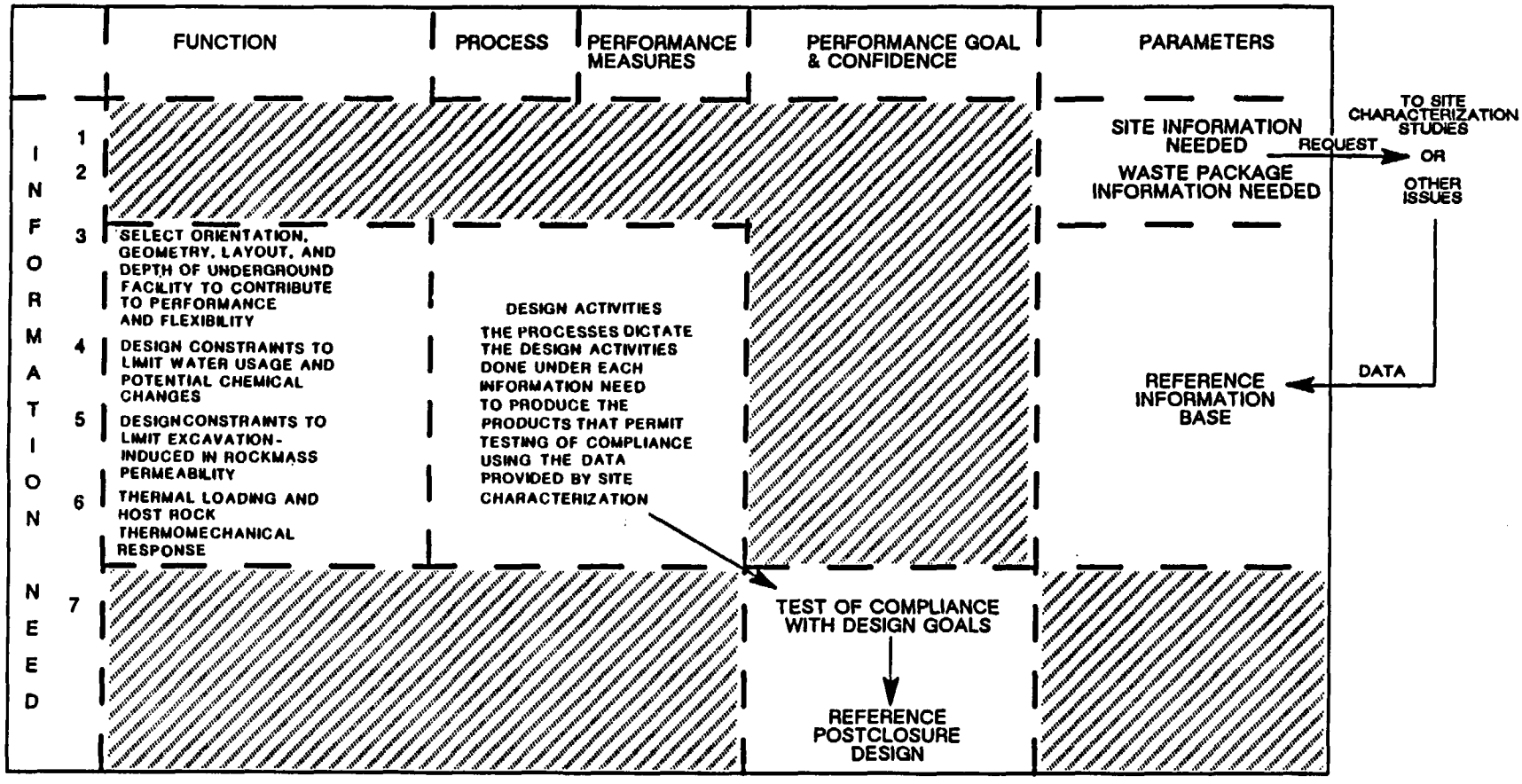


Figure 8.3.2.2-2. Relationship between subsumed information needs and issue functions and parameters for Issue 1.11, configuration of underground facilities (postclosure).

The second information need (similar to the first) compiles a list of waste package data needed to resolve this issue. This information need interfaces with Issue 1.10 (waste package characteristics (postclosure)).

Information Needs 1.11.3 through 1.11.6 discuss (1) the approaches (design activities) that will be used to ensure that performance goals are met with the appropriate level of confidence and (2) the data required by these approaches. The analytic techniques required to resolve this issue fall into one of two types: (1) the interactive graphics model or (2) geomechanical models. The first of these types is discussed in Information Need 1.11.3 and the second in Information Need 1.11.6.

The final information need under this issue documents the reference postclosure design and thereby provides the basis for satisfying Part b (provide information to support resolution of the performance issues) of the issue (1.11).

The schedule information provided for information needs in this section includes the sequencing, interrelationships, and relative durations of the activities in the information need. Specific durations and start/finish dates for the activities are being developed as part of ongoing planning efforts and will be provided in the SCP at the time of issuance and revised as appropriate in subsequent semiannual progress reports.

8.3.2.2.1 Information Need 1.11.1: Site characterization information needed for design

This information need does not correspond to an issue resolution function identified in the approach to resolving the issue discussion under Section 8.3.2.2. Rather, it summarizes the data requirements of other information needs of this issue and transmits these data requirements to the appropriate site characterization issues. This information need receives the experimental data from the characterization issues and then these data are properly converted from site measurements into reference information base (RIB) values that can be used by the other information needs of this issue and other issues. This information need will be satisfied by the following three products:

1. Data requirements list--identify site data needed from characterization issues (including how well data is needed to be known) to design the underground facility to contribute to containment and isolation.

2. Reference thermal/mechanical stratigraphy--document the three-dimensional thermal and mechanical stratigraphy of Yucca Mountain as contained in the interactive graphics information system as the reference basis for design and performance assessment.

3. Reference thermomechanical rock properties--conversion of measured data to reference data and transmission to the RIB for rock properties required by this issue.

Technical basis for addressing the information need

In the following subsections a link is established between this information need and the SCP technical data chapters and supporting documents. Specific site characterization parameters and the logic used in identifying how well they need to be known for resolution of this issue (1.11) are identified in this information need. Technical details of why a specific data item is needed are documented in the information need that identifies the input item. Where appropriate, the means of obtaining those parameters is then addressed.

Link to the technical data chapters and applicable support documents

Existing design activities and results, which are the basis for the approaches proposed in the logic subsection, are discussed in Section 6.4.2 of this document and in Chapters 7 and 8 of the Site Characterization Plan-Conceptual Design Report (SCP-CDR) (SNL, 1987). The current design data base used in existing design activities and used for comparison to the data needs given in the parameters subsection is given in SCP Section 6.1.2. A more complete discussion of current data is found in Chapter 2 for site data and in Chapter 7 for waste package data. Test plans for gathering additional site data needed by this issue (1.11) can be found under the rock characterization program (Section 8.3.1.4), the thermal and mechanical properties program (Section 8.3.1.15), the geohydrology program (Section 8.3.1.2), the geochemistry program (Section 8.3.1.3), the postclosure and preclosure tectonics programs (Section 8.3.1.8 and 8.3.1.17), and the climate program (Section 8.3.1.5).

Parameters

Data needs given in this section are listed by the performance allocation function and performance goal for which they are required. The rationale behind the required site data is given in the subsequent logic section and in the individual information needs. The data required are numbered by the function number followed by a dash and the goal letter. For example, 4-W indicates a data need for function "4" to satisfy goal "W." Where appropriate the data needs of individual functions and goals are grouped.

The data required for the design activities that determine if the goals of this issue are met are given in Table 8.3.2.2-5.

Logic

Inputs received from the other information needs of Issue 1.11 are used to compile an integrated list of site information and data to resolve this issue. The completeness of site information requirements needed to resolve Issue 1.11 will be checked by reviewing its processes and goals and by identifying the interactions and response of the site to the design (e.g., site-specific effects of excavation and release of heat by the waste); once the response of Yucca Mountain to the design is adequately described using site-specific data, the site information items needed to resolve this interaction will be deemed complete.

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 1 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
1-A	Layout	Drawing of underground facility location	Dimensions accurate to ±3 m	Medium	Dimensions accurate to ±0.5 m[e]	Medium	8.3.2.5
	Topography	Contour map of primary area and extensions	Contours accurate to ±7.5 m	Medium	Contours accurate to ±3 m	Medium	8.3.1.14
		Contour map of areas with minimum overburden	Contours accurate to ±3 m	High	Contours accurate to ±3 m	Medium	8.3.1.14
	Elevation of unit contacts for positioning underground facility[f]	Structure contour maps on upper and lower contact of TSw2 in primary area and extensions	Contours accurate to ±30 m	Medium	Contours accurate to ±30 m	Low	8.3.1.4
		Structure contour map on lower contact of TSw2 in areas of minimum overburden	Contours accurate to ±10 m	High	Contours accurate to ±10 m[e]	Low	8.3.1.4
		Structure contour map on upper contact of TSw2 in areas of minimum ground-water travel time	Contours accurate to ±10 m	Medium	Contours accurate to ±10 m[e]	Low	8.3.1.4
	Water table/ elevation	Contour map of water table in primary area and extensions	Contours accurate to ±7.5 m	Medium	Contours accurate to ±7.5 m[e]	Medium	8.3.1.2

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 2 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
1-A (continued)		Contour map of water table in areas with minimum ground-water travel time	Contours accurate to ±10 m	High	Contours accurate to ±10 m[e]	Medium	8.3.1.2
	Fault descriptions for positioning underground facility	Map of fault locations showing dip and displacement for primary area and extensions	Locations accurate to ±30 m[g]	High	Locations accurate to ±30 m	Medium	8.3.1.4
			Actual strike and dip ±10° for each fault	High	Average strike and dip ±10° for each fault[e]	Medium	8.3.1.4
			Displacement measurements accurate to ±2 m	Medium	Displacement measurements accurate to ±2 m[e]	Low	8.3.1.4
		Classification	Standard practice	Low	Standard practice	Low	8.3.1.4
		Recurrence time for 5 cm displacement	>1,000 yr	High	(h)	Low	8.3.1.8
	Exploratory borehole locations	Coordinates of position of boreholes	Coordinates accurate to ±3 m	High	Coordinates accurate to ±1 m[e]	Medium	8.3.3.2
1-B	Goals of Issues 1.1, 1.6, and 1.10	Performance objectives affected by emplacement borehole design	See Issues 1.1, 1.6, and 1.10	Not applicable	Not applicable	Not applicable	8.3.5.13, 8.3.2.12, 8.3.4.2
1-C	Hydrothermal model describing how moisture moves in host rock	Data of Issue 1.1	See Issue 1.1	High	(h)	Low	8.3.5.13

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 3 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
1-D	Results of rock mass classification work of Issue 4.4	Identification of acceptable rock mass classifications	See Issue 4.4	High	See Issue 4.4	Low	8.3.2.5
1-E 1-F	Saturation	During development around the excavations	<90%	High	65 to 85%	Low	8.3.1.2, 8.3.5.16
	Geochemistry	During development around the excavations	See Issue 1.10	Medium	See Issue 1.10	Low	8.3.1.3, 8.3.5.16
1-G	See function 4 for data required	Goal 1-G requires results of thermal calculations of function 4. Hence, data required (heat capacity, initial temperature, container output, etc.) are called for under that issue.					8.3.2.2
1-H	See function 4 for data required	Goal 1-H requires results of thermal calculations of function 4. Hence, data required (thermal properties, initial stress, strength, etc.) are called for under that issue.					8.3.2.2
2-I	Material inventory	Proposed inventory	See Issue 4.4	High	(h)	Low	8.3.2.5
	Geochemical effects	Effect of inventory	(i)	Medium	(h)	Low	8.3.4.2
2-J	Planned water usage	For mining operations	See Issue 4.4	High	(h)	Low	8.3.2.5
	Water usage in ESF	For mining operations	See Section 8.3.1.2	Medium	(h)	Low	8.3.1.2

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 4 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
	Goals of Issue 1.1	Allowable saturations	See Section 8.3.5.13	Medium	Not applicable	Not applicable	8.3.5.13
3-K	Excavation method	Proposed method	See Issue 4.4	Medium	See Issue 4.4	Medium	8.3.2.5
	Disturbed zone	Definition	See Section 8.3.5.12	Medium	Not applicable	Not applicable	8.3.5.12
	Blast-induced permeability change	Around excavations	±50% of in situ permeability	Medium	(h)	Low	8.3.1.15
3-L	Layout	Drawings of layout of underground facility	Dimensions accurate to ±0.5 m	High	Dimensions accurate to ±0.5 m	Medium	8.3.2.5
3-M	Layout	Excavation dimensions	Dimensions accurate to ±0.5 m	High	Dimensions accurate to ±0.5 m	Medium	8.3.2.5
3-N	Proposed backfill	Height of fill	Nonsite data	Medium	Nonsite data	NA	8.3.2.5
4-W	Peak ground acceleration from probability versus ground motion	For TSw2 in primary area and extensions	0.5 to 0.7 g with >10,000-yr return period	Medium	(h)	Low	8.3.1.17
4-X 4-T 4 0 4-P 4-Q 4-R 4-S	Topography	Contour map of location of ground surface in primary area and extensions for use in thermal modeling	Contours accurate to ±30 m	Medium	Contours accurate to ±30 m	Medium	8.3.1.4

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 5 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
4-X 4-T 4-O 4-P 4-Q 4-R 4-S	Geologic structure to water table (location of faults for thermal modeling)	Map of location of faults in primary area and extensions for use in thermal modeling	Location accurate to ±150 m	Medium	Location accurate to ±150m[e]	Low	8.3.1.4
4-X 4-T 4-O 4-P 4-Q 4-R 4-S	Geologic stratigraphy to water table (location of unit contacts for thermal modeling)	Structure contour maps on upper and lower contacts of TSw2 in primary area and extensions	Contours accurate to ±30 m	Medium	Contours accurate to ±30 m	Low	8.3.1.4
		Structure contour map on lower contact of TSw2 in areas with minimum overburden	Contours accurate to ±10 m	Medium	Contours accurate to ±10 m[e]	Low	8.3.1.4
		Structure contour map on upper contact of TSw2 in areas with minimum ground-water travel time	Contours accurate to ±10 m	Medium	Contours accurate to ±10 m[e]	Low	8.3.1.4
		Structure contour map on upper and lower contacts of other units in primary area and extensions	Contours accurate to ±60 m	Medium	Contours accurate to ±60 m[e]	Low	8.3.1.4

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 6 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
4-U 4-V 4-W 4-X	Emplacement geometry	Drawing locating boreholes and containers	Dimensions accurate to ±30 cm	High	Dimensions accurate to ±30 cm	Medium	8.3.2.5
		Drawing locating drifts	Dimensions accurate to ±3 m	Medium	Dimensions accurate to ±3 m	Medium	8.3.2.5
4-U 4-S 4-V 4-X	Container thermal output	Thermal output as a function of waste age and burnup	Nonsite data[j,k]	Not applicable	Nonsite data	Not applicable	8.3.4.2
4-W 4-O 4-P 4-Q	Container dimensions	(k)	Nonsite data[k]	Not applicable	Nonsite data	Not applicable	8.3.4.2
4-T 4-R	Waste inventory	(k)	Nonsite data[k]	Not applicable	Nonsite data	Not applicable	8.3.4.2
4-U 4-S 4-V 4-X	Initial temperature for thermal modeling	Of TSw2 in primary area and extensions	Temperature accurate to ±3°C[l]	Medium	Temperature = 23°C to 26°C ±1.5°C[e]	Medium	8.3.1.15
4-W 4-O 4-P 4-Q 4-T 4-R		Mean annual air temperature for primary area	Temperature accurate to ±3°C	Medium	Temperature = 16°C to ±1°C[e]	Medium	8.3.1.12
4-W 4-Q 4-S	Initial stress state for primary area and extensions	Vertical stress and magnitude and direction of minimum and maximum horizontal stress	Vertical stress[m] accurate to ± 1 MPa	Medium	Vertical stress = weight of overburden	Low to medium	8.3.1.15

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 7 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
			Horizontal stress[m] accurate to ± 2 MPa	Low	Horizonal/vertical stress ratio = 0.3 to 1 for TSw2	Low	8.3.1.15
4-U 4-S 4-V 4-X 4-W 4-O 4-P 4-Q 4-T 4-R	Heat capacity	TSw2[n] <275°C	Current mean[o] ±10%	High	See Table 6-16	Low to medium	8.3.1.15
		TSw3 and CHn1[n] <115°C	Current mean[o] ±10%	Medium	See Table 6-16	Low to medium	8.3.1.15
		Below CHn1[p] <100°C	Current mean[o] ±10%	Low	See Table 6-16	Low	8.3.1.15
		TSw1[n] <275°C	Current mean[o] ±10%	Medium	See Table 6-16	Low to medium	8.3.1.15
		Above TSw1[p] <50°C	Current mean[o] ±10%	Low	See Table 6-16	Low	8.3.1.15
4-U 4-S 4-V 4-X 4-W 4-O 4-P 4-Q 4-T 4-R	Thermal conductivity	TSw2[n] <275°C	Current mean[o] ±20%	High	See Table 6-16	Low to medium	8.3.1.15
		TSw3 and CHn1[n] <115°C	Current mean[o] ±20%	Medium	See Table 6-16	Low to medium	8.3.1.15
		Below CHn1[p] <100°C	Current mean[o] ±20%	Low	See Table 6-16	Low to medium	8.3.1.15
		TSw1[n] <275°C	Current mean[o] ±20%	Medium	See Table 6-16	Low to medium	8.3.1.15
		Above TSw1[p] <50°C	Current mean[o] ±20%	Low	See Table 6-16	Low to medium	8.3.1.15

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 8 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
4-W 4-Q 4-S	Coefficient of thermal expansion	TSw2 <275°C	Current mean° ±15%	High	See Table 6-16	Medium	8.3.1.15
		TSw3 and CHn1 <115°C	Current mean° ±15%	Medium	See Table 6-16	Low to medium	8.3.1.15
		Below CHn1 <100°C	Current mean° ±15%	Low	See Table 6-16	Low to medium	8.3.1.15
		TSw1 <275°C	Current mean° ±15%	Medium	See Table 6-16	Low to medium	8.3.1.15
		Above TSw1 <50°C	Current mean° ±15%	Low	See Table 6-16	Low to medium	8.3.1.15
4-W 4-Q 4-S	Young's modulus	TSw2 for 0-100 MPa	Current mean° ±15%	High	See Table 6-12	Medium	8.3.1.15
		TSw3 and CHn1[q]	Current mean° ±15%	Medium	See Table 6-12	Low	8.3.1.15
		Below CHn1[q]	Current mean° ±15%	Low	See Table 6-12	Low to medium	8.3.1.15
		TSw1 for 0-100 MPa	Current mean° ±15%	Medium	See Table 6-12	Medium	8.3.1.15
		Above TSw1[q]	Current mean° ±15%	Low	See Table 6-12	Low to medium	8.3.1.15
4-W 4-Q 4-S	Deformation modulus	TSw2 for 0-100 MPa	Current mean° ±15%	High	See Table 6-14	Low	8.3.1.15
		TSw3 and CHn1[q]	Current mean° ±15%	Medium	See Table 6-14	Low	8.3.1.15
		Below CHn1[q]	Current mean° ±15%	Low	See Table 6-14	Low	8.3.1.15
		TSw1 for 0-100 MPa	Current mean° ±15%	Medium	See Table 6-14	Low	8.3.1.15
		Above TSw1[q]	Current mean° ±15%	Low	See Table 6-14	Low	8.3.1.15

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 9 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
4-W 4-Q 4-S	Poisson's ratio	TSw2 for 0-100 MPa	Current mean[o] ±20%	Medium	See Table 6-12	Medium	8.3.1.15
		TSw3 and CHn1[q]	Current mean[o] ±20%	Low	See Table 6-12	Low to medium	8.3.1.15
		Below CHn1[q]	Current mean[o] ±20%	Low	See Table 6-12	Low to medium	8.3.1.15
		TSw1 for 0-100 MPa	Current mean[o] ±20%	Low	See Table 6-12	Low to medium	8.3.1.15
		Above TSw1[q]	Current mean[o] ±20%	Low	See Table 6-12	Low	8.3.1.15
4-W 4-Q 4-S	Matrix unconfined compressive strength for units other than TSw2 and TSw1	In situ conditions and representative strain rate[r]	Current mean[o] ±20%	Medium	See Table 6-12	Low to medium	8.3.1.15
4-W 4-Q 4-S	Matrix angle of internal friction for units other than TSw2 and TSw1	In situ conditions and representative strain rate[r]	Current mean[o] ±20%	Medium	See Table 6-12	Low to medium	8.3.1.15
4-W 4-Q 4-S	Matrix cohesion for units other than TSw2 and TSw1	In situ conditions and representative strain rate[r]	Current mean[o] ±20%	Medium	See Table 6-12	Low to medium	8.3.1.15

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 10 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
4-W 4-Q 4-S	Rock compressive strength for TSw1 and TSw2	0-100 MPa and representative strain rate[f]	Current mean[o] ±20%	High	(h)	Medium	8.3.1.15
4-W 4-Q 4-S	Joint angle of internal friction for units other than TSw2 and TSw1	In situ conditions and representative strain rate	Current mean[o] ±15%	Medium	See Table 6-13	Low	8.3.1.15
4-W 4-Q 4-S	Joint cohesion for units other than TSw2 and TSw1	In situ conditions and representative strain rate	Current mean[o] ±15%	Medium	See Table 6-13	Low	8.3.1.15
4-W 4-Q 4-S	Large-scale joint strength for TSw1 and TSw2	0-30 MPa normal stress and representative strain rate	Current mean[o] ±20%	High	(h)	Low	8.3.1.15
4-Q 4-W	Joint normal stiffness	TSw2	See Table 6-13[s]	Medium	See Table 6-13[t]	Low	8.3.1.15
		TSw1, TSw3, and CHn1	See Table 6-13[s]	Low	See Table 6-13[t]	Low	8.3.1.15
	Joint sheer stiffness	TSw2	See Table 6-13[s]	Medium	See Table 6-13[t]	Low	8.3.1.15
		TSw1, TSw3, and CHn1	See Table 6-13[s]	Low	See Table 6-13[t]	Low	8.3.1.15
	Joint spatial orientation	TSw2	See Table 6-15[s]	Medium	See Table 6-15	Low	8.3.1.4
		TSw1, TSw3, and CHn1	See Table 6-15[s]	Low	See Table 6-15	Low	8.3.1.4

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 11 of 12)

Related function and performance goal[b,c]	Performance parameter	Modifier	Tentative goal[d]	Needed confidence	Expected value	Current confidence	Obtained from SCP section
	Joint abundance	TSw2	See Table 6-15[g]	Medium	See Table 6-15	Low	8.3.1.4
		TSw1, TSw3, and CHn1	See Table 6-15[g]	Low	See Table 6-15	Low	8.3.1.4
	Joint persistence	TSw2	See Table 6-15[g]	Medium	See Table 6-15	Low	8.3.1.4
		TSw1, TSw3, and CHn1	See Table 6-15[g]	Low	See Table 6-15	Low	8.3.1.4
	Joint wall compressive strength	TSw2	See Table 6-15[g]	Medium	See Table 6-13	Low	8.3.1.15
		TSw1, TSw3, and CHn1	See Table 6-15[g]	Low	See Table 6-13	Low	8.3.1.15
	Joint roughness coefficient	TSw2	See Table 6-15[g]	Medium	See Table 6-13	Low	8.3.1.15
		TSw1, TSw3, and CHn1	See Table 6-15[g]	Low	See Table 6-13	Low	8.3.1.15

[a]Abbreviations used in this table are defined as follows: ESF = exploratory shaft facility; TSw1 = lithophysal portion of Topopah Spring welded unit; TSw2 = low lithophysal portion of Topopah Spring welded unit (preferred thermomechanical emplacement unit); TSw3 = basal vitrophyre Topopah Spring; CHn1 = tuffaceous beds of Calico Hills. See Chapter 2 for more complete description of units.

[b]Goals are ranked in judged order of importance of data item to issue resolution.

[c]1-A indicates function 1 and goal A. This designator is used to associate data requirements of this table with goals of Tables 8.3.2.2-1 through 8.3.2.2-4.

[d]Current mean is value given in current reference information (see Tables 6-11 through 6-16).

[e]Expected value based on judgement.

[f]Elevation of the contacts of the thermal/mechanical unit TSw2 determined using data that already exists plus data to establish the top and bottom of unit TSw2 at the following approximate locations: (1) Midpoint of western ridge; (2) Northern extension of primary area: a) N775000 - E559000, b) N772500 - E560500, c) N773500 - E563500; and (3) Southeast extension of primary area: a) N760500 - E563000, b) N758500 - E561000, c) N757000 - E560000; and also by reevaluating existing borehole data: (1) Drill Hole Wash series; (2) H Hole series. Further lateral drifting from exploratory shaft will be used to (1) confirm contact between TSw1 and TSw2; (2) confirm fault locations at underground facility depth (for 2 or 3 faults, as intersected by lateral drifts); (3) confirm fault offsets in current structural model, and (4) provide drifting construction experience in faulted welded tuff.

CONSULTATION DRAFT

Table 8.3.2.2-5. Performance parameters and tentative goals for Issue 1.11, configuration of underground facilities (postclosure)[a] (page 12 of 12)

Footnotes (continued)

[g]Averaged across the site, not accuracy at a given point.
[h]Inadequate information exists to establish expected value, but it is anticipated that a value satisfying the goal will be obtained.
[i]Accuracy determined by Issue 1.10 (Section 8.3.4.2).
[j]Calculations done to resolve this issue are based on power as a function of time (initial power and normalized decay function). As long as standard deviations of initial value and decay coefficients are no more than ten percent, calculations done to determine if goals of this issue are met should be valid.
[k]See Information Need 1.11.2 (Section 8.3.2.2.2) for details and accuracy.
[l]Existing data should be sufficient. Temperature could be a function of position in unit TSw2.
[m]Calculations are based on a vertical stress determined from the weight of the overburden and a horizontal to vertical stress ratio (K_o = 0.3 to 0.8). Calculations done to determine if the goals of this issue are met should be valid if the stresses so calculated are within the tentative goal specified for the in situ stress. Note: the tentative goal specified for the in situ stress is an estimate; if it can be shown with reasonable assurance that the minimum K_o is greater than 0.2, a lower accuracy for the tentative goal would be acceptable.
[n]Mean and standard deviation as a function of saturation.
[o]If the in situ rock at representative conditions has a distribution of property values which have the required confidences such that an adequate portion of the samples fall within the range prescribed by the current mean and percentage (percentage given in the table), then the calculations done to determine if the goals are met should be valid. If the distribution of property values does not meet this condition, then (a) the calculations will have to be reevaluated, (b) the calculations will have to be redone with new property values, (c) the design will have to be changed, (d) the accuracy of the data will have to be improved, or (e) the goal will not be met. Representative conditions are appropriate values of one or more of the following: saturation, stress, temperature, fracture, or jointing characteristics, etc., averaged over a representative volume.
[p]Mean and standard deviation representative at in situ saturation.
[q]At in situ conditions.
[r]Property representative of the volume of rock between dominate jointing.
[s]Sensitivity studies evaluating parameter range and goal have not yet been performed.
[t]These parameters can be calculated from values in Table 6-13.

CONSULTATION DRAFT

In the subsequent discussion, the logic behind the data needs is organized by products.

The area-needed determination (product 1.11.3-1) depends on site data through the allowable areal power density. Information Need 1.11.6 (Section 8.3.2.2.6) discusses the site data related to that parameter.

The usable area and flexibility evaluation (product 1.11.3-2) requires the use of the interactive graphics information system (IGIS). Information Need 1.11.3 (Section 8.3.2.2.3) explains how these data contribute to the product. Data required for the use of the IGIS include

1. Stratigraphic data including strike, dip, thickness, and geographical location of distinct intervals (isopach data) and densities, orientations, and apertures of stratigraphically controlled fractures and lithophysae.

2. Structural data including locations, offsets, and widths of faults and fault zones; densities, orientations, and apertures of tectonic fractures.

3. Hydrologic model of Yucca Mountain including hydrologic flow paths, location of water table, and potential for perched water.

4. The potential for ground movement, which will be determined as described in Section 8.3.1.8.

How well the data that are input into this model need to be known has been determined by interactively using this model or by geometric figures representing the intersection of surfaces in this model to determine how much error in the data would result in a violation of the goal. For example, fault dips were varied to determine how much angular error in dip would result in unacceptable impacts on positioning of the underground facility and usable area.

The horizontal versus vertical emplacement decision (product 1.11.3-3) requires no independent site data. This product draws on other issues and depends on site data through those issues.

The drainage and moisture control plan (product 1.11.3-4) approach is still under development. For the design of the underground facility to contribute to waste containment and isolation, the drainage and moisture control plan will be based upon the performance assessment approach to system performance (Issue 1.1, Section 8.3.5.13). This performance assessment approach is still being documented. The approach to developing the drainage and moisture control plan is expected to apply basic engineering practice supplemented by simple thermal calculations (heat transfer by conduction) to identify regions of vaporization and condensation. This approach would require the same site data as that used for Information Need 1.11.6 (8.3.2.2.6) of this issue. However, computer codes that model how moisture migrates through the host rock under hydrothermal conditions would be useful to verify that this approach is correct. These models are being developed for performance assessment (see Issues 1.1, total system performance (Section 8.3.5.13), and 1.4, containment by waste package (Section 8.3.5.9)), and the site data

required for these models is identified as part of those issues.

The criteria for the contingency plan (product 1.11.3-5) approach is still under development. For the design of the underground facility to contribute to waste containment and isolation, the contingency plan must be based on the performance assessment approach to system performance (Issue 1.1, total system performance (Section 8.3.5.13), and take into account the functions of each system element (e.g., the disturbed zone). The criteria for the contingency plan will consider ground quality and saturation (Table 8.3.2.2-1). Ground quality will be determined by tunnel indexing methods discussed in Issue 4.4, technical feasibility (preclosure) (Section 8.3.2.5); the site data for those empirical engineering techniques are identified by that issue. The determination of the hydrologic processes important in the unsaturated zone at Yucca Mountain and the site data required to verify these processes are identified in Issue 1.4, containment by waste package (Section 8.3.5.9).

The material inventory criteria (product 1.11.4-1) and water usage criteria (product 1.11.4-2) do not depend directly on site; instead they depend on work for other issues. The Information Need 1.11.4 (Section 8.3.2.2.4) discusses these interfaces.

The extraction methods criteria (product 1.11.5-1) and long-term subsidence control strategy (product 1.11.5-2) require that the intrinsic permeability change be measured around the drifts to determine the extent of the blast-induced modified permeability zone. This requirement is needed to verify that the excavation technique (such as blast control) does not cause sufficient formation damage to extend the disturbed zone boundary. On the basis of Issue 1.6, ground-water travel time (Section 8.3.5.12), an order-of-magnitude permeability change and a factor-of-two porosity change have been chosen as significant. To satisfy the design performance goals, the permeability change beyond 75 percent of the distance to the boundary of the disturbed zone should be less than one order of magnitude (cf. Section 8.3.5.12).

Thermal and thermomechanical modeling requires the following products:

1.11.6-1 Allowable areal power density.
1.11.6-2 Borehole spacing strategy.
1.11.6-3 Sensitivity studies.
1.11.6-4 Strategy for containment enhancement.
1.11.6-5 Reference calculations.

How well the data for thermal and thermomechanical modeling need to be known has been determined in a preliminary fashion by combining scoping sensitivity studies (included in Appendix N of the SCP-CDR (SNL, 1987)) and judgment. Properly controlled and documented sensitivity studies have been completed for the near-field (Ehgartner, 1987). When corresponding far-field work is completed, how well these data need to be known will be reviewed and possibly revised.

These sensitivity studies have evaluated the system response (temperature, stress, deformation, and factor of safety) to one standard deviation changes in model input parameters. By comparing system response to goals or

failure criteria (unconfined compressive strength), the model input parameter value that results in unacceptable system performance was determined. Engineering judgment was then used to choose the acceptable range and confidence for each parameter.

Data are required to validate the models; however, that activity is conducted by Issue 4.4 (Section 8.3.2.5) and the validated models made available to this issue. Hence, this issue does not call for data to validate models.

8.3.2.2.1.1 Design Activity 1.11.1.1: Compile a comprehensive list of all the information required from site characterization to resolve this issue

Objectives

This activity summarizes in one place all the information requirements needed to resolve this issue. These requirements are given in the logic section of this information need. Subsequent revisions will include applicable statistical requirements for each item (e.g., acceptable error and level of uncertainty).

8.3.2.2.1.2 Design Activity 1.11.1.2: Determine adequacy of existing site data

Objectives

If existing site data are not sufficient for licensing, a determination will be made whether additional site data should be gathered or changes be made in design to accommodate the existing data base. This activity will use statistical methods to evaluate site data and compare them to data requirements. Based on the results, recommendations for further data acquisition or tradeoff studies to determine if the underground facility should be redesigned to accommodate the updated data base will be made.

8.3.2.2.1.3 Design Activity 1.11.1.3: Document reference three-dimensional thermal/mechanical stratigraphy of Yucca Mountain

Objectives

Topical reports will be produced that describe the three-dimensional thermal and mechanical stratigraphy of Yucca Mountain. The description will rely on data collected from the site that will be analyzed using the interactive graphics information system (IGIS) and be recorded in the reference information base (RIB) to be used for subsequent analyses and studies.

8.3.2.2.1.4 Design Activity 1.11.1.4: Preparation of reference properties for the reference information base

Objectives

Topical reports will be produced giving properties and describing how these were determined from field and lab measurements. These reports will be compared to the requirements of this issue to assure the RIB contains the required data. This work includes rock characteristics, initial conditions (in situ stress and temperature), geology (stratigraphy and structure as reported in the three-dimensional graphics model of Yucca Mountain), and design data (e.g., areal power density and borehole spacing).

8.3.2.2.1.5 Application of results

Three products result from this information need. The first product is a tabulation of required site data. This product will be used by selected site characterization issues to guide site characterization testing to acquire the necessary site data.

The second product will be documentation of the reference site thermal/mechanical stratigraphy. This product will result from analysis of site data acquired from the site characterization issues (principally Issue 1.15, rock characteristics (postclosure) (Section 8.3.1.4)) in response to the first product. This product will be incorporated in the NNWSI Project reference information base for use in subsequent Project design and performance analyses. In particular, Information Need 1.11.3 (Section 8.3.2.2.3) will be a principal user of this product.

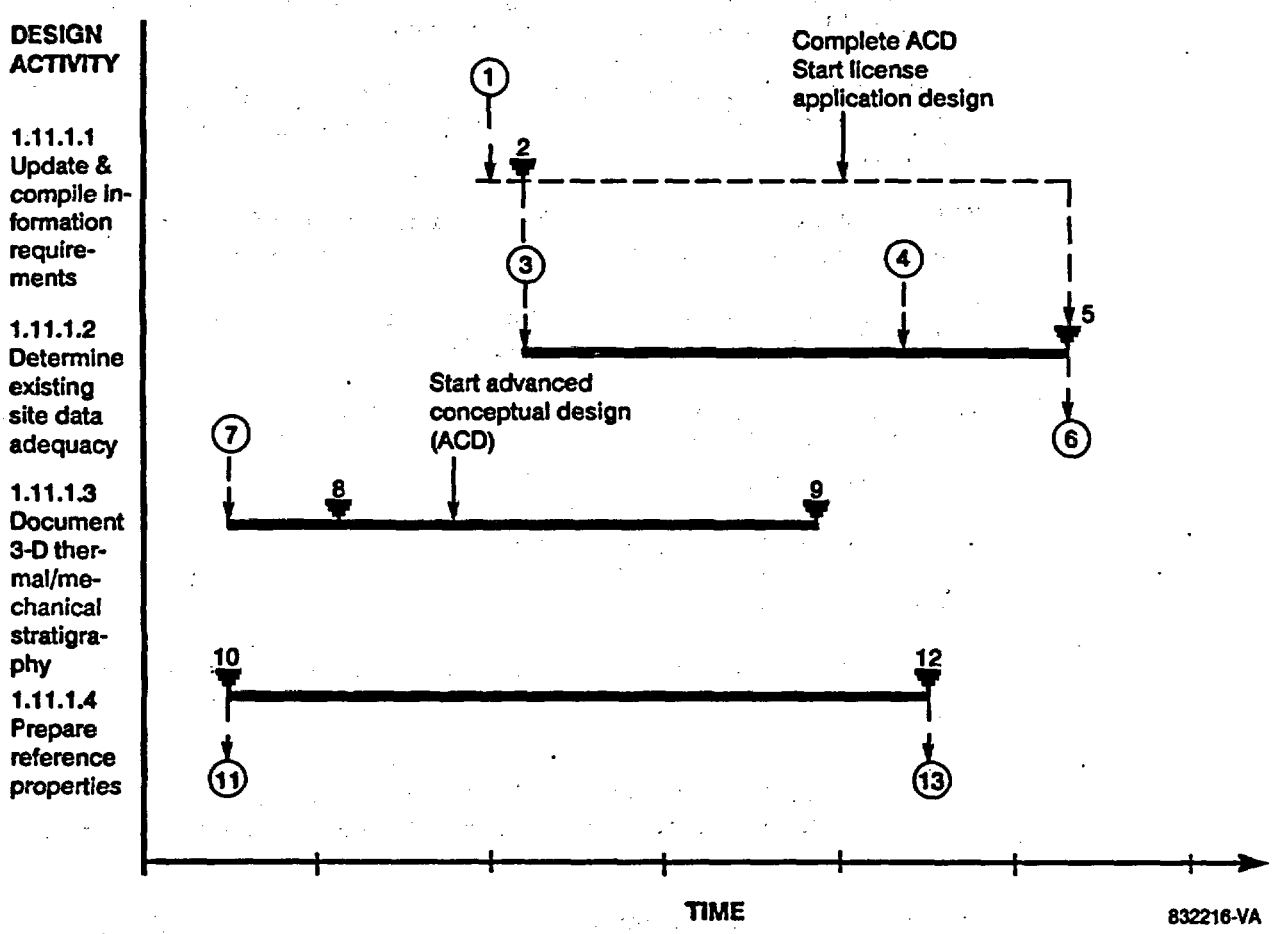
Finally, the third product will be a report defining the reference rock properties for postclosure design and performance. These properties will be derived from the data acquired under site characterization programs, principally the thermal and mechanical properties program (Section 8.3.1.15). The reference rock properties will be incorporated in the NNWSI Project reference information base for use in subsequent Project design and performance analyses. In particular, Information Need 1.11.6 (Section 8.3.2.2.6) will be a principal user of this product.

8.3.2.2.1.6 Schedule and milestones

This information need on site characteristics needed for design includes four design activities: 1.11.1.1 (compile a comprehensive list of all site characterization information required to resolve this issue), 1.11.1.2 (determine adequacy of existing site data), 1.11.1.3 (document reference three-dimensional thermal/mechanical stratigraphy of Yucca Mountain), and 1.11.1.4 (preparation of reference properties for the reference information base). The schedule information for these design activities is presented in the form of timelines, which extend to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this investigation can be found in Section 8.5.3.

Design Activities 1.11.1.1 and 1.11.1.2 are out-year activities, while Design Activities 1.11.1.3 and 1.11.1.4 are ongoing.

The design activities in this information need interact with each other and with other investigations, issues, and information needs as illustrated in the following figure. The design activity numbers and titles corresponding to the timelines are shown on the left of the following figure. The numbered points shown on the timelines represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input site characterization data required by Information Needs 1.11.3 (underground facility design), 1.11.5 (constraints on excavation), and 1.11.6 (thermal loading).
2	Milestone P578. Issue report updating data requirements for underground facility design (Issue 1.11).
3	Site characterization data converted to reference information base values for use by Design Activities 1.11.1.2, 1.11.1.3, and 1.11.1.4, as well as other issues.
4	Input of updated data needs required by the underground facility design and thermal loading (Information Needs 1.11.3 and 1.11.6).
5	Milestone Z094. Complete the determination of the adequacy of existing data needed for postclosure repository design.
6	Output results of the determination of adequacy of existing data for design to the compliance report.
7	Input data on underground facility layout from Information Need 1.11.3 (Section 8.3.2.2.3) and thermal mechanical properties from Investigation 8.3.1.15.
8	Milestone Z095. Complete initial topical report describing the three-dimensional thermal and mechanical stratigraphy of Yucca Mountain.
9	Milestone Z096. Complete final topical report describing the three-dimensional thermal and mechanical stratigraphy of Yucca Mountain.
10	Milestone M444. Complete topical report updating reference thermomechanical properties for advanced conceptual design studies.
11	Output reference properties to the Reference Information Base for use in subsequent design and performance analysis (M765).
12	Milestone M445. Complete topical reports updating reference thermomechanical properties for license application design (LAD).
13	Reference thermomechanical properties to Information Need 1.11.6 (thermal loading and thermal mechanical rock response) for LAD.

8.3.2.2.2 Information Need 1.11.2: Characteristics of waste package needed for design of the underground facility

This information need exists

1. To identify waste package information items needed in the reference information base by this issue (1.11).

2. To design the underground facility to contribute to containment and isolation and thus contribute to the resolution of this issue and Key Issue 1 (postclosure performance).

3. To decide how well each waste package input item needs to be known to provide reasonable assurance of compliance with any regulation addressed by this information need.

The waste package information stipulated in this information need is not provided by site characterization. The information is identified here because it (1) has a major impact on design of the underground facility and (2) is a major stimulus affecting the long-term response of the repository. These factors combine to make waste package characteristics a key element in the resolution of this issue.

This information need does not correspond to an issue resolution function identified in the overview of this section (8.3.2.2). Rather, the data needs summarized in this information need are derived from the approaches to satisfying the goals of the other information needs of this issue. This information need will be satisfied by the following product:

1.11.2-1 Waste package characteristics for design of underground facility.

These data will be obtained from Issue 1.10 (Section 8.3.4.2) and recorded in the subsystem design requirements document.

Technical basis for addressing the information need

In the following subsections, a link is established between this information need and the SCP technical data chapters and supporting documents. Specific waste package parameters and the logic used in identifying how well they need to be known for resolution of this issue (1.11) (Section 8.3.2.2) are identified in this information need. Technical details of why a specific data item is needed are documented in the information need that identifies the information item. Where appropriate, the means of obtaining those parameters is then addressed.

Link to the technical data chapters and applicable support documents

Existing design activities, which are the bases for the needed waste package data items identified in the subsequent logic subsection, are discussed in the SCP Sections 6.3 and 6.4 and in Chapters 3, 4, and 8 of the Site Characterization Plan-Conceptual Design Report (SCP-CDR) (SNL, 1987). The current design data base, used in existing activities and for comparison

to the data needs given in the parameters section, is given in SCP Section 6.1.2 and SCP-CDR Chapter 2. A complete discussion of current waste package data is found in SCP Chapter 7. The waste package data needed by this issue will be defined and transmitted to the reference information base by Issue 1.10, waste package characteristics (postclosure) (Section 8.3.4.2).

Parameters

The following waste package characteristics will be needed to resolve this issue (1.11):

Waste or waste package characteristics	Specific information needed	Accuracy or range
Thermal decay characteristics	Waste type	Not applicable
	Waste age	±1 yr
	Thermal power output as a function of burnup	±10 % for 0 to 5,000 yr after reactor
Waste package size	Length, overall	±1%
	Diameter	±1%
Waste package temperature constraints	Maximum allowable temperature of waste package surface	±1°C
Waste inventory	Metric tons uranium (MTU) per waste type	±5%
	MTU per assembly or container as a function of enrichment	±5%
	Receipt schedule (MTU each year by wastage and burnup)	Age ±1 yr Burnup ±5,000 MWd

Logic

Like Information Need 1.11.1, inputs received from the other information needs of this issue are used to compile an integrated list of waste package input items to resolve Issue 1.11. The completeness of input items needed to resolve this issue will be checked by reviewing the processes and goals of this issue and by identifying the interactions and predicted response of the site to the design (e.g., site-specific effects of excavation and release of heat by the waste). Once the response of Yucca Mountain to the design is adequately described, the waste package information items needed to resolve this interaction will be deemed complete.

The thermal decay characteristics of the waste affect the allowed areal power density for waste emplacement, the host rock thermal response, and the

placement of the waste. This information is needed as a function of waste type, age, and burnup to

1. Determine the total area required for the projected waste inventory and to develop the layouts for the underground waste emplacement area (Information Need 1.11.3, Section 8.3.2.2.3). The use of current information and status in resolving Issue 1.11 is described in Section 6.4.2.

2. Predict the thermal response of the host rock (Information Need 1.11.6, Section 8.3.2.2.6) for use in establishing the areal power density and borehole spacing. This prediction will depend on the time-dependent power output of the waste.

3. Calculate thermal history of the rock mass for input into analysis codes used for long-term opening stability analysis. The status of opening stability analysis is described in Section 6.4.2.

Waste package size will be needed to define the emplacement envelope and thermal loading of the host rock and to design the boreholes (Information Need 1.11.3).

Waste package temperature limits will be needed to determine the emplacement borehole spacing. Spacing determined by consideration of waste package temperature will be used to develop the underground repository layout. These temperature limits will be used to perform the analysis for resolution of Information Needs 1.11.3, design concepts for orientation, geometry, layout, and depth of the underground facility that contribute to waste containment and isolation, including flexibility to accommodate site-specific conditions, and 1.11.6, repository thermal loading and predicted thermal and thermomechanical response of host rock. The methods for using this information to address these information needs are discussed in Chapter 6.

The following methods will be used to determine this information:

1. The thermal decay and thermal output of the waste will be calculated using codes like the Oak Ridge ORIGEN2 code, which is an isotope generation code that follows the decay chain of each specimen produced in the fission of uranium. This information will be used to define the specific decay and thermal characteristics for all waste types. Calculations will include the effect of fuel burnup.

2. The information needs pertaining to the waste package size and design will be based on the waste package functional criteria.

3. Waste package temperature will be limited by the maximum fuel-cladding temperature of spent fuel and the temperature of devitrification in defense high-level waste (DHLW).

8.3.2.2.2.1 Design Activity 1.11.2.1: Compile waste package information needed for repository design

Objectives

The objective of this activity is to determine what waste package information is needed for design of underground facility, obtain such data from Issue 1.10 (Section 8.3.4.2), and document it in the repository design requirements document.

8.3.2.2.2.2 Application of results

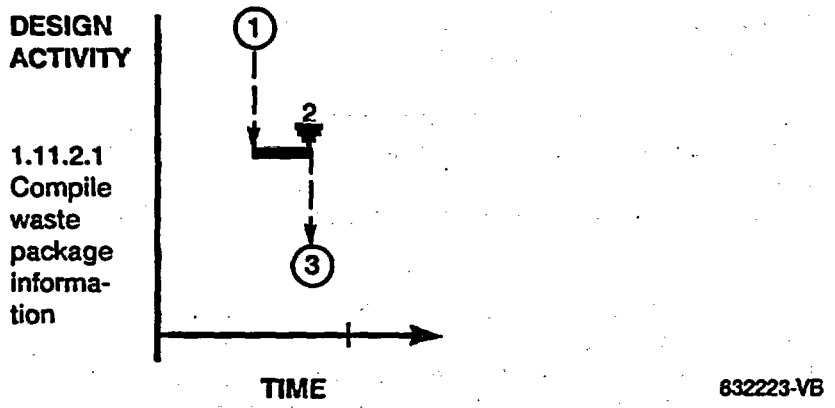
The information resulting from the resolution of Information Need 1.11.2 will be used to design the underground facility (specifically, Information Need 1.11.6 (Section 8.3.2.2.6)). Aspects of the underground design affected are opening size and shape, emplacement borehole spacing and depth, and the repository total area including contingency requirements.

8.3.2.2.2.3 Schedule and milestones

This information need on waste package characteristics needed for design includes one design activity: 1.11.2.1 (compile waste package information needed for repository design). This activity is an out-year activity.

The schedule information for this design activity is presented in the form of a timeline, which extends to the issuance of the final product associated with the design activity. Summary schedule and milestone information for this investigation can be found in Section 8.5.3.

This design activity interacts with other investigations, issues, and information needs as illustrated in the following figure. The design activity number and title corresponding to the timeline are shown on the left of the following figure. The numbered points shown on the timeline represents major events or important milestones associated with this activity. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input a list of waste package input items required from Issue 1.10 (waste package characteristics for design of underground facility) to resolve Issue 1.11.
2	Milestone Z097. Complete determination and compilation of waste package information required for design of the underground facility.
3	Waste package thermal output data required as input for the design of the underground facility, specifically for thermal loading and thermomechanical response of the rock formation (Section 8.3.2.2.6).

8.3.2.2.3 Information Need 1.11.3: Design concepts for orientation, geometry, layout, and depth of the underground facility that contribute to waste containment and isolation including flexibility to accommodate site-specific conditions

The discussion under this information need extends the discussion of function 1, which was presented in the issue resolution strategy section for this issue (1.11). The approaches or methods to be used to calculate or otherwise establish that the anticipated or actual performance will meet the performance goals stated in Table 8.3.2.2-1 are described here. In many instances, the approaches, which follow in the logic section, require site data, performance information, or design information to make such an evaluation. The site data needs of this information need (1.11.3) are identified in the following parameters subsection. These needs are passed to the site characterization programs. Performance and design information needs are also identified in the following parameters subsection. In many instances, these performance and design information needs are the results or conclusions of

work that depends on site data. The call for such site data is in the issue where the work is done and is not repeated here.

Table 8.3.2.2-1 identified five processes as contributing to meeting function 1. Each of these processes was related to one or more performance measures and their associated performance goals and desired confidences. The approaches and data needs discussed here are an extension of the horizontal relationships evident in that table.

This information need will be satisfied through five products that will be used to demonstrate that the performance goals of function 1 have been met. The products are the results of the design activities performed under this information need. The relationship of the five products to the five processes of function 1 is shown in Table 8.3.2.2-6.

These products may be delivered to other information needs under this issue or under other design or performance issues. In the following logic section, the products are used to group the approach and methods that will be used to show that the goals of this issue are met. Products are the results of the design activities and milestones identified later in this section.

Technical basis for addressing the information need

In the following subsection, the information needed by planned approaches for demonstrating that goals are satisfied is linked to data already available and plans for future data gathering. Specific parameters and the logic used to identify their importance to the resolution of Information Need 1.11.3 are then identified in subsequent subsections. The accuracy with which the parameters must be obtained is discussed under Information Needs 1.11.1 and 1.11.2 (Sections 8.3.2.2.1 and 8.3.2.2.2) where the site and waste package data needed to resolve this issue are consolidated.

Link to the technical data chapters and applicable support documents

The current design data base that has been used for existing design activities, and for comparison with data needs given in the following parameters subsection, is presented in the reference design data base in Section 6.1.2. A more detailed discussion of the current data in the reference design data base may be found in the data Chapters 1 through 5, and current waste package data are found in Chapter 7.

Data needed to resolve this issue already available are presented in Section 6.4.2. Section 6.4.2 provides the status of resolution of Issue 1.11 and also forms some of the bases for the approaches discussed in the following logic subsection.

Finally, Information Need 1.11.1 (Section 8.3.2.2.1) lists in detail the site data needs established in this information need, as well as for all other information needs under this issue. It also defines how well they need to be known to resolve the issue and identifies the site characterization issues under which the test plans for obtaining each site date need will be discussed.

Table 8.3.2.2-6. Relationship of Information Need 1.11.3 products to the processes associated with function 1 given in Table 8.3.2.2-1

Product	Process
1.11.3-1 Area needed determination	Vary depth, dip, orientation, and lateral extent of underground facility to provide host rock with favorable isolation characteristics
1.11.3-2 Usable area and flexibility evaluation	
1.11.3-3 Vertical or horizontal emplacement orientation decision	Select emplacement borehole orientation that limits potential adverse effects and considers retrievability
1.11.3-4 Drainage and moisture control	Limit the amount of water in contact with container to provide favor able containment and isolation environment
1.11.3-5 Criteria for contingency plan[a]	Skip and isolate unfavorable areas
	Adjust thermal load to accommodate local conditions

[a]Contingency plan will be developed in Issue 4.4, technical feasibility (Section 8.3.2.5). Criteria developed here will relate to postclosure performance (e.g., fault standoff and thermal load adjustments).

Parameters

Parameters are those data and other information items listed in this section in Table 8.3.2.2-7 that are required to complete the activities and analyses necessary to develop the products identified in Table 8.3.2.2-6. Those activities and analyses will establish that the goals defined in Table 8.3.2.2-1 are met with the required level of confidence. The logic for identification of the products as being necessary to satisfy the information need, as well as the basis for identification of specific parameters and information items, is provided by the following subsection.

Logic

The major purpose of this information need is to identify underground facility design options and related decisions important to containment and isolation, and to describe the approaches and methods to be used to develop the products that satisfy the information need. The logic used in developing

Table 8.3.2.2-7. Parameters and information required to satisfy Information Need 1.11.3

Product		Parameters and input items
1.11.3-1	Area needed determination	Geometrical requirements Minimum allowable spacing between emplacement boreholes for equipment operation (Issue 4.4, Section 8.3.2.5) Minimum allowable spacing between emplacement boreholes for meeting thermal response constraints (Information Need 1.11.6, Section 8.3.2.2.6) Waste handling equipment requirements placed on drift layout and design (Issue 4.4) Ventilation requirements placed on drift layout and design (Issue 4.4) Inventory of waste to be emplaced in the repository Total metric tons of uranium (MTU) and number of containers Thermal output per MTU as function of waste type, age, and burnup (Issue 1.10, Section 8.3.4.2) Sealing program requirements for underground layout (Issue 1.12, Section 8.3.3.2) Area requirements for nonwaste emplacement areas, including underground area for the exploratory shaft test facility (Issue 4.4) Typical panel layout, with borehole spacing and drift separations for all waste types, that will ensure waste and rock mass temperature criteria are met (Information Need 1.11.6) Allowable thermal loading of the underground facility (areal power density) by waste type (Information Need 1.11.6)
1.11.3-2	Usable area and flexibility evaluation	Site geologic data Stratigraphic data, including dip, thickness, and geographic locations of distinct intervals; densities, orientations, and apertures of stratigraphic controlled fractures and lithophysae Structural data, including location, offsets, and widths of faults and fault zones; spatial frequencies, orientations, and apertures of tectonic fractures

Table 8.3.2.2-7. Parameters and information required to satisfy Information Need 1.12.3 (continued)

Product		Parameters and input items
1.11.3-2	Usable area and flexibility evaluation (continued)	Hydrologic model of Yucca Mountain, including hydrologic flow paths, location of water table, and potential for perched water Topographic data
		Contingency plan for accommodating unsuitable areas for development and/or waste emplacement (Issue 4.4)
		Anticipated occurrence of conditions necessitating implementation of the contingency plan
1.11.3-3	Vertical vs. horizontal emplacement orientation decision	Performance criteria identified in Issues 1.4 (Section 8.3.5.9), 1.5 (Section 8.3.5.10), 1.10 (Section 8.3.4.2), and 2.4 (Section 8.3.5.2)
		Near-field thermomechanical analyses of boreholes and emplacement drifts (completed under Information Need 1.11.6)
		Results of field demonstrations of borehole construction methods (Issue 4.4)
		Total system performance assessment (Issue 1.1, Section 8.3.5.13)
1.11.3-4	Drainage and moisture control plan	Proposed panel layout, for alternate waste types characteristics
		Near-field thermal analyses of borehole (completed under Information Need 1.11.6)
		Criteria from Performance Issues 1.1, 1.4, and 1.10
		Propose ventilation system for the repository, including distribution, flow rates, temperature, and moisture content (Issue 4.4)
		Total system performance assessment (Issue 1.1)
1.11.3-5	Criteria for contingency plan	Criteria for ground quality that will satisfy Performance Issues 1.1, 1.10, and 1.12 (Section 8.3.3.2).

Table 8.3.2.2-7. Parameters and information required to satisfy Information Need 1.12.3 (continued)

Product	Parameters and input items
1.11.3-5 Criteria for contingency plan (continued)	Criteria for technical feasibility of construction (Issue 4.4) retrieval (Issue 2.4)
	Standoff distance from ground with unfavorable properties, as constrained by Performance Issue 1.1
	Thermal and thermomechanical analyses of excavations under normal and off-normal conditions (Information Need 1.11.6

these approaches and methods is described in this subsection, which also provides the basis for the needed site data and other information listed previously. Specific activities that will be performed are identified in the following material. If such activities have been initiated already, their status is discussed in Section 6.4.2.

To satisfy the information need, it is necessary to determine the area needed for disposal of the waste (product 1.11.3-1) and the usable area (product 1.11.3-2). The usable area product must consider the necessity of incorporating sufficient flexibility in the design to allow adjustments to accommodate specific site conditions that may be identified through in situ monitoring, testing, or excavation. Design concepts that contribute to performance and flexibility may be impacted by the decision between vertical and horizontal boreholes for waste emplacement (product 1.11.3-3); thus, this decision must be made to satisfy this information need. Water drainage control requirements may also impact the design concepts that contribute to performance and flexibility, so an evaluation of such requirements is necessary to satisfy this information need (product 1.11.3-4). Criteria that will be used to establish whether actual site conditions are consistent with the design bases, as well as the measures that will be taken to accommodate any such departures, are discussed in the contingency plan (product 1.11.3-5).

Product 1.11.3-1: Area needed determination.

For the waste emplacement areas, the area needed is the total thermal output of the waste divided by the allowable thermal load. In turn, the total thermal output is determined from the inventory of waste to be disposed, including the thermal output per metric ton of uranium as a function of waste type, age, burnup, and enrichment.

At present, it is expected that the actual thermal output of individual waste packages may vary as much as one order of magnitude. Such variations will be dealt with on a local basis by possibly skipping some holes and, in the case of horizontal emplacement, flexibility in spacing of waste packages within an individual borehole. These adjustments would be made subject to other geometrical constraints, including such items as minimum spacing between boreholes to ensure proper shielding during emplacement and noninterference at borehole collars for the transporter. In addition, constraints imposed by retrieval, decommissioning, and performance requirements must also be accommodated.

A preliminary determination of the area requirement has been made (Mansure, 1985). Data needs identified in the parameters section are based upon the information actually used in that study.

Product 1.11.3-2: Usable area and flexibility evaluation.

The usable area and amount of flexibility will be evaluated using an interactive graphics information system. This system uses a data base established from field data to produce a three-dimensional graphics model of Yucca Mountain. Displays of this model will then be used to identify areas of the mountain that satisfy all the performance goals identified in Table 8.3.2.2-1. Boundaries of the waste emplacement areas are thereby established. The boundaries are required to design a functional layout of the underground facilities and its relation with the surface facilities. Location of the waste emplacement area within the site permits a site-specific (location dependent) performance assessment of the underground facility to be undertaken.

A preliminary evaluation of subsurface waste emplacement areas and a preliminary location and shape for the underground facility has been determined (Mansure and Ortiz, 1984). Data needs identified in the parameters subsection are based upon the information used in that study.

Product 1.11.3-3: Vertical or horizontal emplacement orientation decision.

Vertical emplacement uses boreholes drilled in the floor of the emplacement drifts, with one waste package placed in each borehole. This mode requires a relatively high mining extraction ratio compared to the horizontal emplacement mode. The design basis for horizontal emplacement boreholes allows multiple waste packages per borehole. Equipment development and proof-of-concept demonstrations for horizontal drilling and waste emplacement are described under Issue 4.4, technical feasibility (Section 8.3.2.5).

The selection of one of the two alternative emplacement modes will be based on performance considerations discussed under Information Need 1.11.6, (Section 8.3.2.2.6) as well as Issues 1.1, total system performance (Section 8.3.5.13), 1.10, waste package characteristics (postclosure) (Section 8.3.4.2), and 4.2, nonradiological health and safety (Section 8.3.2.4).

Product 1.11.3-4: Drainage and moisture control plan.

The approach for meeting this goal is still under development since the approach to demonstrate performance by Issues 1.1, total system performance (Section 8.3.5.13) and 1.10, waste package characteristics (postclosure) (Section 8.3.4.2) is still being documented; however, it is expected that the approach of this information need will apply engineering practice supplemented with simple thermal calculations (heat transfer by conduction) to identify regions of vaporization and condensation so that the drifts and boreholes can be designed to control water migration. The system will be designed to promote the migration of moisture away from the containers.

Site data required to conduct these near-field thermal calculations are anticipated to be identical to the data required under Information Need 1.11.6 (Section 8.3.2.2.6) to calculate the thermomechanical response.

Product 1.11.3-5: Criteria for contingency plan.

In siting and constructing shafts, ramps, drifts, or boreholes within Yucca Mountain, unexpected conditions such as small zones of perched water, localized heavy fractured zones, water recharge pathways, or localized heavily lithophysae-rich zones may be encountered. A contingency plan for the layout must be established to deal with these possibilities and to provide confidence that the repository, as constructed, will provide waste containment and isolation for the required repository capacity. The contingency plan must also establish the methods and related criteria that will be used to initiate its implementation. Administration of the contingency plan will be the responsibility of performance confirmation (Issue 1.7, performance confirmation (Section 8.3.5.16)).

Development of the contingency plan will begin with the assumption that all the target area will be considered acceptable, except for local regions identified as being unacceptable during the site characterization phase. Such known and similar anticipated regions will have been subtracted from the available area during the determination of the usable area, as discussed previously under product 1.11.3-2. An additional contingency will be provided to accommodate regions where specific local site conditions found during development of the underground facility are not within the ranges assumed in licensing review. The contingency plan will establish procedures to accommodate regions where specific site conditions are found to be off normal during development of the underground facility. The licensing of this plan will include the establishment of parameter ranges that will be used to decide what course of action will be implemented.

The repository design will be based on certain anticipated ranges of parameters and conditions. These ranges will be clearly defined for the licensing review. Since many of the parameters and conditions cannot be easily measured over the total site during site characterization, a set of simple procedures must be established for determining if the site conforms to the ranges defined in the licensing review. These procedures will have associated quantitative criteria to determine whether development can continue without modification. Failure to meet these criteria at any location would result in a requirement for more detailed evaluation of the condition encountered. If such evaluation indicates that local conditions are not consistent

with meeting performance objectives and regulatory requirements using the baseline design, then design modifications would be required. The procedures for implementing such modifications would be licensed as part of the contingency plan, implemented by the performance confirmation program, and reported to and reviewed with NRC. These might include

1. Continued development but with design revisions such as increased ground support and reduced thermal loading.

2. Skipping and isolating an area unfavorable for development.

In this approach, parameters that describe site conditions encountered can be considered to be in one of three ranges: (1) the range for which the baseline design applies, (2) the range for which the contingency plan describes how modifications must be made to the design, or (3) the range that falls outside that approved in licensing. It is the function of the contingency plan to reduce the potential for the last range resulting in adverse effects on isolation, containment, and cost.

That faults and other discontinuities will be encountered is implicit in the preceding discussion. By design, the major faults (e.g., Solitario fault) will be worked around. Minor faults and other discontinuities cannot all be avoided entirely and some will have to be crossed. Most are not expected to have undesirable characteristics and will not affect the repository design or layout; others may have associated characteristics that trigger implementation of the contingency measures such as those suggested previously.

Specific procedures for establishing the need to adopt contingency measures have not yet been defined. However, it is anticipated that extensive use will be made of existing empirical engineering procedures. For example, Rock Mass Quality Designation (Barton et al., 1974) and Geomechanical Classification (Bieniawski, 1974) indices will be used to determine if the ground meets quality assumed in license application. Based upon these indices, the ground support system may be changed or an area may be skipped. (Actual application of these tunnel indexing methods will be in Issue 4.4, technical feasibility (Section 8.3.2.5)). The postclosure implications of such actions, and other site conditions that might trigger remedial action, will be evaluated according to the criteria established in Performance Issues 1.1, total system performance (Section 8.3.5.13) and 1.10, waste package characteristics (postclosure) (Section 8.3.4.2). This issue is concerned solely with ensuring that postclosure considerations are appropriately addressed in the contingency plan. This will involve establishing nonacceptance criteria and practical methods for identifying unacceptable conditions.

Development of the contingency plan requires that system performance of off-normal conditions be performed. Site data required to perform such assessments are the same as defined under product 1.11.3-1. In addition, a set of reasonable off-normal conditions that are consistent with the geologic setting need to be developed. These may be as simple as extreme variations on material properties, but may include extensive brecciated zones, large pockets of lithophysal material, and perched water tables.

8.3.2.2.3.1 Design Activity 1.11.3.1: Area needed determination

The objective of this activity is to determine the area required for the underground facility (product 1.11.3-1). This determination will be revised as input data change (particularly data on waste inventory and allowable thermal loading). The technical basis part of the previous section discusses the approach for determining the area needed and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.3.2 Design Activity 1.11.3.2: Usable area and flexibility evaluation

The objectives of this activity are to

1. Analyze the three-dimensional structure and stratigraphy of Yucca Mountain to identify usable areas and ensure sufficient area is characterized to allow flexibility in design.

2. Produce graphic cross sections and maps that can be used to layout the drift arrangements.

3. Compare drift arrangements to ensure they fit the geology and structure.

4. Identify site geologic data requirements.

This work will result in a series of graphic products in the reference information base, inputs into the data requirements (Information Need 1.11.1, (Section 8.3.2.2.1)) and topical reports. The technical basis part of Section 8.3.2.2.3 discusses the approach for this evaluation and Section 8.3.2.2.1 discusses the data requirement for the activity.

8.3.2.2.3.3 Design Activity 1.11.3.3: Vertical and horizontal emplacement orientation decision

The objective of this activity is to provide the performance evaluation necessary to document this decision. The technical basis part of Section 8.3.2.2.3 discusses the approach to the orientation decision and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.3.4 Design Activity 1.11.3.4: Drainage and moisture control plan

The objective of this activity is to provide postclosure design requirements for the layout of the underground facility to be used in Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5). The design requirements will limit the amount of water in contact with the container so

as to provide a favorable containment and isolation environment. The technical part of Section 8.3.2.3 discusses the approach to develop this plan and Section 8.3.2.2.1 gives the data requirements for this plan.

8.3.2.2.3.5 Design Activity 1.11.3.5: Criteria for contingency plan

The objective of this activity is to provide criteria for the contingency plan developed by Issue 4.4, technical feasibility (Section 8.3.2.5). The technical basis part of Section 8.3.2.2.3 discusses the approach to developing the contingency plan and Section 8.3.2.2.1 gives the data requirements for this plan.

8.3.2.2.3.6 Application of results

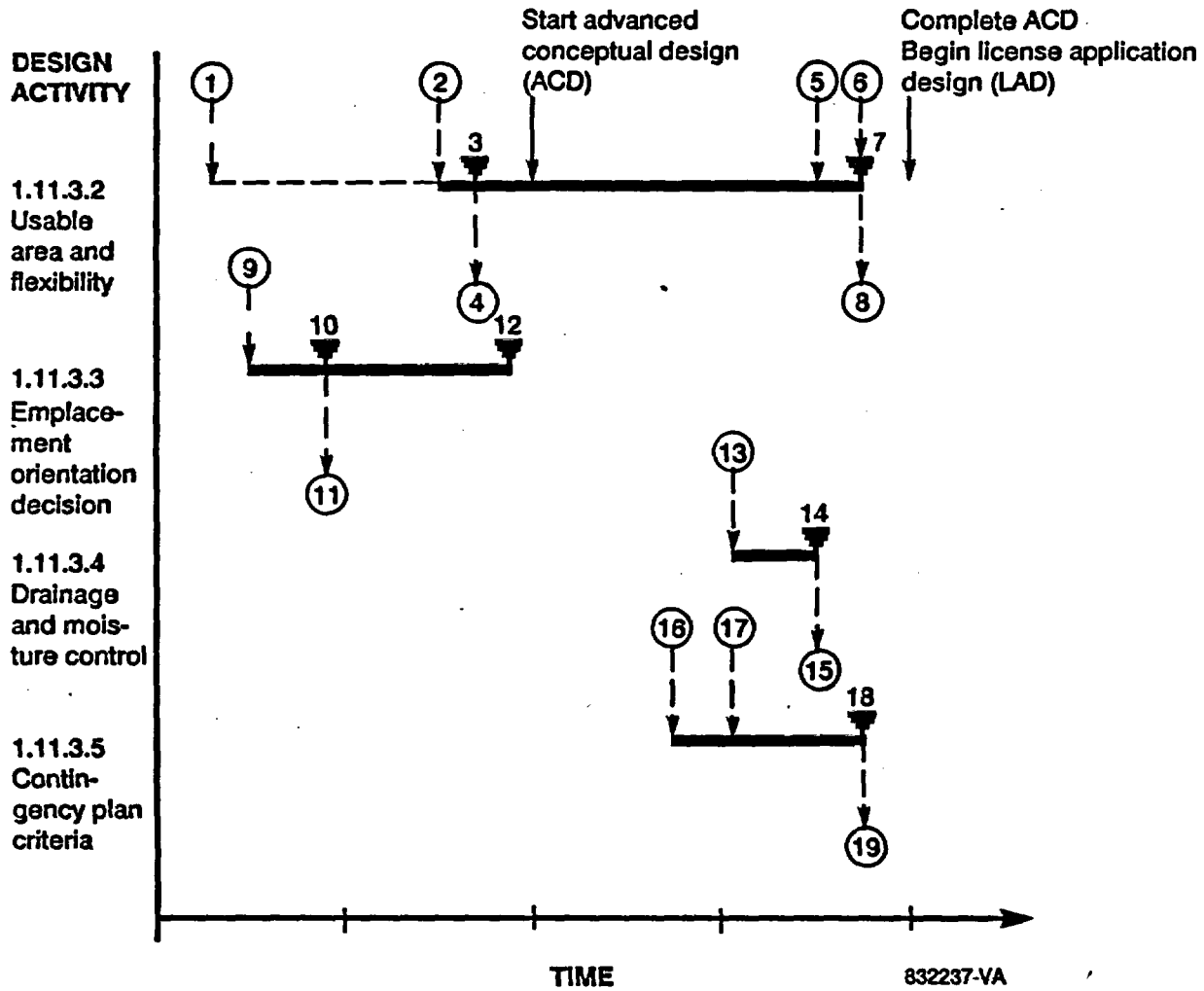
The products and information developed under this information need (1.11.3) will be used as input to the reference postclosure repository design discussed in Section 8.3.2.2.7, Information Need 1.11.7. Products 1.11.3-4 and 1.11.3-5 (Activities 1.11.3.4 and 1.11.3.5) will become criteria for the preclosure design work done by Issue 4.4 (Section 8.3.2.5). The site parameters identified under this information need are conveyed to the site characterization programs by Information Need 1.11.1 (Section 8.3.2.2.1) along with details about how well the site data should be determined.

8.3.2.2.3.7 Schedule and milestones

This information need on underground facility orientation and layout includes five design activities: 1.11.3.1 (area needed determination), 1.11.3.2 (useable area and flexibility evaluation), 1.11.3.3 (vertical and horizontal emplacement orientation decision), 1.11.3.4 (drainage and moisture control plan), and 1.11.3.5 (criteria for contingency plan). The schedule information for these design activities is presented in the form of timelines. The timelines extend to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Section 8.5.3.

Design Activity 1.11.3.1 was completed with issuance of the preliminary determination of the area requirements (Mansure, 1985). The remaining design activities are out-year work efforts.

These design activities interact with each other and other investigations, issues, and information needs as illustrated in the following figure. The design activity numbers and titles corresponding to the timelines are shown on the left of the following figure. The numbered points shown on the timelines represent major events or important milestones associated with these activities. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input preliminary area requirement from Activity 1.11.3.1.
2	Input site characterization data from Investigation 8.3.1.4.3 (3-D rock characteristics model) and data/information from Issue 4.4 (preclosure design and technical feasibility).
3	Milestone Z103. Complete evaluation of usable area and flexibility for the advanced conceptual design.
4	Output information/data on usable area and flexibility to Information Need 1.11.1 (site characteristics needed for design) and Issue 4.4.

Point number	Description
5	Input site characterization data from site investigation 8.3.1.4.3 and data/information from Issue 4.4.
6	Input data/information on 3-D thermal/mechanical stratigraphy from Design Activity 1.11.1.3.
7	Milestone P584. Issue report on usable area and flexibility for license application design.
8	Output data/information on usable area and flexibility to Issue 4.4 and Information Need 1.11.1.
9	Input data/information from Issues 1.1, 1.4, 1.5, 1.6, 1.10, 2.1, 2.2, 2.3, 2.4, 2.6, and 4.4; from Site Programs 8.3.1.2, 8.3.1.8, 8.3.1.15, 8.3.1.16, and 8.3.1.17.
10	Milestone R147. Complete preliminary performance comparison of vertical and horizontal emplacement of waste.
11	Output comparison of vertical and horizontal emplacement to Issue 4.4 (preclosure design and technical feasibility).
12	Milestone M466. Select vertical or horizontal emplacement option.
13	Input data/information from Information Needs 1.1.2, 1.1.4, 1.10.3, 1.11.6, 4.4.5, and 4.4.7.
14	Milestone P582. Issue underground facility moisture control plan.
15	Output data/information on moisture control to Information Needs 1.1.4, 1.4.4, 1.4.5, 1.8, 1.10.4, 1.11.2, and 4.4.5.
16	Input data/information on technology for underground facilities from Information Need 4.4.9.
17	Input data/information on technology for underground facilities from Information Needs 1.5.4, 1.6.5, 1.10.3, and 1.11.6.
18	Milestone P588. Issue underground facility contingency plan.
19	Output data/information on underground facility contingencies to Information Needs 1.1.2, 1.10.1, 4.4.4, and 4.4.5.

8.3.2.2.4 Information Need 1.11.4: Design constraints to limit water usage and potential chemical changes

The discussion under this information need extends the discussion of function 2, presented earlier in the issue resolution strategy section for the issue (1.11). The approaches or methods to be used to calculate or otherwise establish that the anticipated or actual performance will meet the performance goals stated in Table 8.3.2.2-2 are described here. In many instances, the approaches which follow in the logic subsection require site data, performance information, or design information to make such an evaluation. The site data needs of this information need (1.11.4) are identified in the following parameters section. These needs are passed to the site characterization programs. Performance and design information needs are also identified in the following parameters subsection. In many instances, the performance and design information needs are the results or conclusions of work that depends on site data. The call for such site data is in the issue where the work is done and is not repeated here.

Table 8.3.2.2-2 identified two processes necessary for meeting function 2. Each of these processes was related to one or more performance measures, and their associated performance goals and desired confidences. The approaches and data needs discussed here are an extension of the horizontal relationship evident in Table 8.3.2.2-2.

This information need will be satisfied through two products that will be used to demonstrate that the performance goals of function 2 have been met. The products are the results of the design activities performed under this information need. The relationship of the two products to the two processes of function 2 is shown in Table 8.3.2.2-8.

These products will be delivered to other information needs under this issue, and to Design Issue 4.4, technical feasibility (Section 8.3.2.5) and Performance Issue 1.1, total system performance (Section 8.3.5.13). In the following logic subsection, the products are used to group the approach and methods that will be used to show that the goals of this issue are met. Products are the results of the design activities and milestones identified later in this section.

Technical basis for addressing the information need

In the following subsection, the information needed by planned approaches for demonstrating that goals are satisfied is linked to data already available and to plans for future data gathering. Specific parameters and the logic used in identifying that they are important to resolution of Information Need 1.11.4 are then identified in subsequent subsections. The accuracy with which the parameters must be obtained is discussed under Information Needs 1.11.1 (Section 8.3.2.2.1) and 1.11.2 (Section 8.3.2.2.2) where the site and waste package data needed to resolve this issue are consolidated.

Table 8.3.2.2-8. Relationship of Information Need 1.11.4 products to the processes associated with function 2 (Table 8.3.2.2-2)

Product	Process
1.11.4-1. Material inventory criteria (total quantity, type, and location within the repository)	Limit adverse chemical effects by using acceptable materials
1.11.4-2. Water usage criteria (total and individual requirements)	Limit the amount of water used in construction, operation, emplacement of seals, and decommissioning to provide favorable containment and isolation environment

Link to the technical data chapters and applicable support documents

The current design data base that has been used for existing design activities and for comparison with data needs given in the following parameters subsection, is presented in the reference design data base Section 6.1.2. A more detailed discussion of the current data in the reference design data base may be found in the data Chapters 1 through 5, and current waste package data are found in Chapter 7.

The data needed to resolve this issue that are already available are presented in Section 6.4.2. Section 6.4.2 provides the status of resolution of Issue 1.11 and also forms some of the bases for the approaches discussed under the logic subsection.

Finally, Information Need 1.11.1 (Section 8.3.2.2.1), lists in detail the site data needs established in this information need, as well as for all other information needs under Issue 1.11. That information need also defines how well they need to be known to resolve the issue and identifies the site characterization issues under which the test plans for obtaining each site data need will be discussed.

Parameters

Parameters listed in this subsection (Table 8.3.2.2-9) are those site data and other input items required to complete the activities and analyses necessary to develop the products that are identified in Table 8.3.2.2-8. Those activities and analyses will be performed so as to establish that the goals defined in Table 8.3.2.2-2 are met with the required level of confidence. The logic for identification of the products as being necessary to satisfy the information need, as well as the basis for identification of

Table 8.3.2.2-9. Parameters and information items required to satisfy Information Need 1.11.4

Product	Parameters and input items
1.11.4-1. Material inventory criteria	Inventory of materials to be introduced into the repository site (from Site Characterization Plan-Conceptual Design Report and Issue 4.4, Section 8.3.2.5) Waste package environment constraints (from Performance Issue 1.10, Section 8.3.4.2) Total system performance analysis (from Performance Issue 1.1, Section 8.3.5.13)
1.11.4-2. Water usage criteria	Planned water usage (from Issue 4.4) Waste package environment constraints (from Performance Issue 1.10) Total system performance analysis (from Performance Issue 1.1)

specific parameters and information items, is provided in the following subsection. For this particular information need none of the data identified in the table is site data.

Logic

To satisfy the information need, two sets of criteria will be developed for use in control of the repository design, construction, operation, and closure. The first set of criteria concerns the modification of the post-closure geochemical environment of the waste package as a result of all pre-closure activities (product 1.11.4-1). The constraints on modification of that environment will be received from Performance Issue 1.10 (Section 8.3.4.2) for waste package containment requirements and from Performance Issue 1.1 (Section 8.3.5.13) for waste isolation requirements. The second set of criteria concerns changes in degree of saturation of the host rock as a result of all preclosure activities (product 1.11.4-2). Other criteria are established under Information Needs 1.11.3 and 1.11.5 (Sections 8.3.2.2.3 and 8.3.2.2.5).

Product 1.11.4-1: Material inventory criteria. Specific performance requirements are placed on the containment life of the waste packages. Design of a waste package that meets those performance requirements is based

on assumptions regarding the geochemical environment to which it will be exposed during the preclosure and postclosure periods. Verification of the site performance, including any geochemical changes, is based on performance assessment analyses, requiring an accurate description of the chemistry of the host rock and the ground water. The extent to which the construction and operation of the repository will modify the geochemistry of the host rock and the ground water is, therefore, an important data need.

Issue 1.10, (Section 8.3.4.2) identifies changes in the pH and organic content of the ground water that might interact with the waste package, and influence containment and isolation. Further, Issue 1.10 specifies limits on these changes as constraints on the postclosure repository design. Potential causes of such changes have been identified, for example, as cement used for repository construction and operation (especially for the emplacement hole construction and preparation), inorganic materials contained in any flushing medium during borehole construction (if the emplacement boreholes are not drilled dry as currently planned), shotcrete used for lining openings, and rock bolt anchor grouts or epoxies. Performance goals responsive to those concerns were set in Table 8.3.2.2-2. Repository design criteria and construction and operating procedures must be developed that will ensure all such goals are met.

Other changes to the geochemical environment, beyond those identified here, are identified in Issue 1.10, waste package characteristics (postclosure) (Section 8.3.4.2). Accordingly, the complete data need is identified as an inventory and distribution of all materials introduced into the site during repository construction and operation.

The responsibility of this information need is to establish design criteria for both the preclosure and postclosure periods based on the performance goals of Issues 1.1, total system performance (Section 8.3.5.13), and 1.10, waste package characteristics (postclosure) (Section 8.3.4.2). To do this (1) the potential impacts (pH changes, etc.) of a given quantity of materials must be assessed and (2) a plan must be developed to control the materials in the postclosure system so as to reasonably assure that performance goals will be met. The plan can meet the goals either by controlling the materials used during preclosure or by requiring the removal of materials during decommissioning. The design criteria will be an input into the decommissioning plan to be developed by Information Need 4.4.9 (Section 8.3.2.5.9).

Total system performance (Issue 1.1) should then analyze the plan to ensure that the repository would meet all performance requirements, given the proposed design and corresponding modification of the geochemical environment. Methods to control the materials inventory during construction and operation of the facility will be defined as part of the repository design, under Issue 4.4.7 (Section 8.3.2.5.7).

Product 1.11.4-2: Water management criteria. The current design basis for the waste container is that the surface of the container should remain substantially dry for at least 300 yr after closure. Measures taken to ensure that the design basis will be met include (1) location of the repository in unsaturated host rock, (2) design of an underground layout that will enhance the drainage of any free water away from the containers, and

(3) maintaining of a near-container temperature that will be sufficient to evaporate most free water. Drainage and evaporation, as means to control the amount of water to which the waste containers will be exposed, are discussed under Information Needs 1.11.3 (Section 8.3.2.2.3) and 1.11.6 (Section 8.3.2.2.6). Under this information need the potential for significantly changing the degree of saturation of the low lithophysal portion of the Topopah Spring Member unit during construction, operation, and closure of the repository is addressed.

The most probable source of a sufficient quantity of water to cause a long-term change in the degree of saturation of the low lithophysal portion of the Topopah Spring Member unit would be water used as a flushing medium during drilling of emplacement boreholes. Accordingly, the current design basis is that an air flushing system should be used for borehole drilling. The appropriateness of this approach will be evaluated considering such factors as cost, worker safety, and available technology.

As for the materials inventory discussed previously, the responsibility under this information need is to establish realistic design criteria that, if met, would ensure that the postclosure performance goals will be met. Such criteria should be developed through analyses that consider the expected interactions, including fluid penetration, changes in the saturation level, and chemical interactions between the flushing medium and the rock mass.

The method of drilling the emplacement boreholes is particularly important because of the direct connection to the container environment. The design basis for construction of the shafts, access drifts, and emplacement drifts is that conventional drill and blast or mechanical mining methods will be used. Appropriate amounts of water will be used during this construction for dust suppression. Measures for controlling the movement of such water through layout are discussed under Information Need 1.11.3 (Section 8.3.2.2.3). However, some water will enter the host rock. The quantity and distribution (e.g., points of application, method of application, etc.) of that water used in construction and operations will be investigated by this information need, and a water balance for the entire facility will be established. Associated operating procedures must then be developed to ensure that water entering the repository is managed appropriately. The responsibility under this information need is to determine reasonable water usage amounts, to develop tentative criteria for the repository design, and to define the performance assessment analyses needed to demonstrate compliance with postclosure performance goals.

8.3.2.2.4.1 Design Activity 1.11.4.1: Chemical changes resulting from the use of construction materials

The objective of this activity is to quantify the chemical changes (e.g., change in pH) that result from the use of a given quantity of construction material (e.g., cement). The technical basis part of the previous section discusses the role of this activity in Information Need 1.11.4, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.4.2 Design Activity 1.11.4.2: Material inventory criteria

The objective of this activity is to establish appropriate limits on the inventory of the materials that will be used in construction and operation of the underground facility and write criteria for the appropriate limits on the inventory of materials that will be left in the openings after decommissioning including backfill. The technical basis part of the previous section discusses the role of this activity in Information Need 1.11.4, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.4.3 Design Activity 1.11.4.3: Water management criteria

The objective of this activity is to establish appropriate limits on the amount of water that will be used for underground facility construction and operation, indicating amounts and locations for individual operations, and to convey those limits to Issue 4.4 (Section 8.3.2.2.5). The technical basis part of Section 8.3.2.4 discusses the role of these criteria in resolving Information Need 1.11.4, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.4.4 Application of results

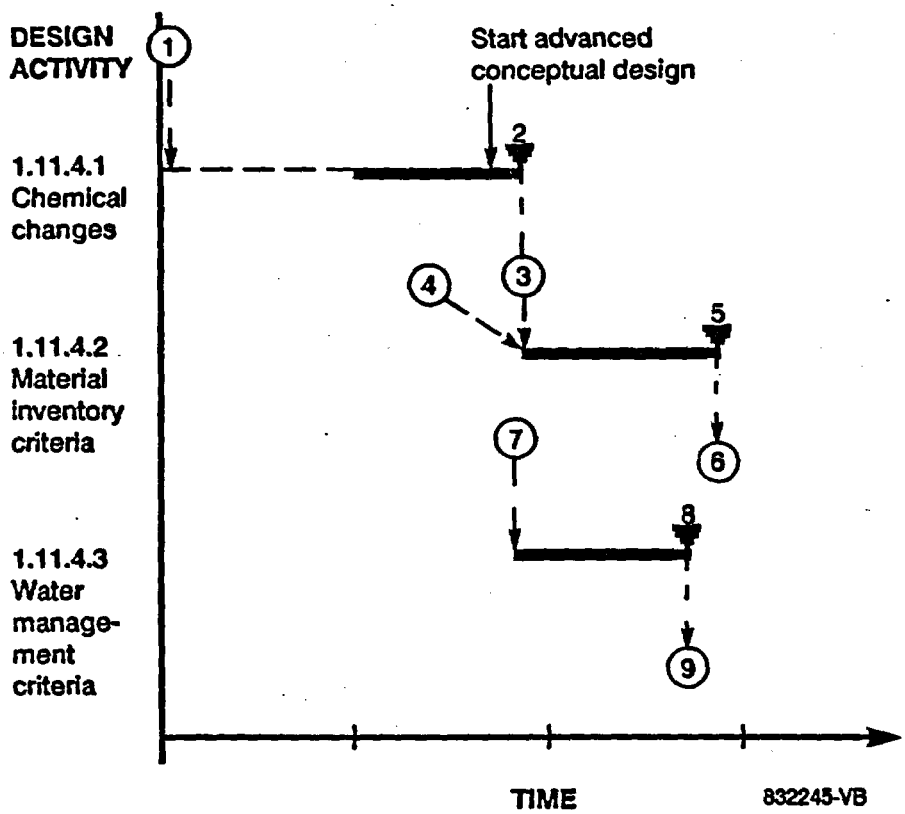
This information will serve as input to the advanced conceptual design and license application design reports and in the reference postclosure design (Information Need 1.11.7, Section 8.3.2.2.7). The results of this information need will be criteria placed on the preclosure design process controlled by Issue 4.4 (Section 8.3.2.2.5). The results will be used in Issues 1.1, total system performance (Section 8.3.5.13) and 1.10, waste package characteristics (postclosure) (Section 8.3.4.2), as part of performance assessment.

8.3.2.2.4.5 Schedule and milestones

This information need on design constraints to limit water usage includes three design activities: 1.11.4.1 (chemical changes resulting from the use of construction materials), 1.11.4.2 (material inventory criteria), and 1.11.4.3 (water management criteria). The schedule information for these design activities is presented in the form of timelines. The timelines extend to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Section 8.5.3. These design activities are out-year work efforts.

These design activities interact with each other, and with other investigations, issues, and information needs as illustrated in the following figure. The design activity numbers and titles corresponding to the timelines are shown on the left of the figure. The numbered points shown on the timelines represent major events or important milestones associated with

these activities. Solid lines represent activity durations; dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input data/information from Information Needs 1.11.1 (site information needed for design), 1.1.4 (release scenarios to be considered), and 1.10.3 (constraints on changes to emplacement environment).
2	Milestone Z098. Issue a report on the potential effects of construction materials on geochemistry.
3	Output effects of construction materials on geochemistry to Design Activity 1.11.4.2 and Information Needs 1.11.1 (site characteristics needed for design), and 4.4.5 (preclosure repository design).

Point number	Description
4	Input preclosure repository design information from Information Need 4.4.5.
5	Milestone P587. Underground facility material inventory criteria.
6	Output underground facility material inventory criteria to information needs on release estimates, waste package and repository design, and equipment development (1.1.5, 1.10.4, 4.4.4 and 4.4.6, respectively).
7	Input data/information from Information Needs 1.1.2 (significant release scenarios) and 4.4.5 (reference preclosure repository design).
8	Milestone P586. Issue water usage criteria report.
9	Output water usage criteria to information needs on significant release scenarios, repository design, and equipment development (1.1.2, 4.4.4, and 4.4.6).

8.3.2.2.5 Information Need 1.11.5: Design constraints to limit excavation-induced changes in rock mass permeability

The discussion under this information need extends the discussion of function 3, presented in the issue resolution strategy section for this issue (1.11). The approaches or methods to be used to calculate or otherwise establish that the anticipated or actual performance will meet the performance goals stated in Table 8.3.2.2-3 are described here. In many instances, the approaches, which follow in the logic section, require site data, performance information, or design information to make such an evaluation. The site data needs of this information need (1.11.5) are identified in the following parameters subsection. These needs are passed to the site characterization programs. Performance and design information needs are also identified in the following parameters subsection. In many instances, these performance and design information needs are the results or conclusions of work that depends on site data. The call for such data is in the issue where the work is done and is not repeated here.

Table 8.3.2.2-3 identified two processes as contributing to meeting function 3. Both of these processes were related to one or more performance measures and their associated performance goals and desired confidences. The approaches and data needs discussed are an extension of the relationships evident in Table 8.3.2.2-3.

This information need will be satisfied through two products that will be used to demonstrate that the performance goals of function 3 have been met. The products are the results of the design activities performed under

this information need. The relationships of the two products to the three processes of function 3 are shown in Table 8.3.2.2-10.

These products will be delivered to Design Issue 4.4, technical feasibility (Section 8.3.2.5) and Performance Issue 1.1, total system performance (Section 8.3.5.13). In the logic subsection for this information need, the products are used to group the approach and methods that will be used to show that the goals of this issue are met. The products are the results of the design activities and milestones identified later in the section.

Technical basis for addressing the information need

In the following section, the information needed by planned approaches for demonstrating that goals are satisfied is linked to data already available and plans for future data gathering. Specific parameters and the logic used in identifying that they are important to resolution of this information need (1.11.5) are then identified in subsequent subsections. The accuracy with which the parameters must be obtained is discussed under Information Needs 1.11.1 and 1.11.2 (Sections 8.3.2.2.1 and 8.3.2.2.2) where the site and waste package data needed to resolve this issue are consolidated.

Link to the technical data chapters and applicable support documents

The current design data base that has been used for existing design activities, and for comparison with data needs given in the following parameters subsection, is presented in the reference design data base in Section 6.1.2. A more detailed discussion of the current data in the reference design data base may be found in the data Chapters 1 through 5; current waste package data are found in Chapter 7.

Data needed to resolve this issue that are already completed are presented in Section 6.4.2. Section 6.4.2 provides the status of resolution of Issue 1.11 and also forms some of the bases for the approaches discussed under the following logic subsection.

Finally, Information Need 1.11.1, Section 8.3.2.2.1, lists in detail the site data needs established in this information need, as well as for all other information needs under Issue 1.11. Information Need 1.11.1 also defines how well they need to be known to resolve the issue and identifies the site characterization issues under which the test plans for obtaining each site data need will be discussed.

Parameters

Parameters are those data and other information items, listed in Table 8.3.2.2-11, that are required to complete the activities and analyses necessary to develop the products that were identified in Table 8.3.2.2-10. Those activities and analyses will be performed so as to establish that the goals defined in Table 8.3.2.2-3 are met with the required level of confidence. The logic for identification of products as being necessary to satisfy the information need, as well as the basis for identification of specific parameters and information items, is provided by the following section.

Table 8.3.2.2-10. Relationship of Information Need 1.11.5 products to the processes associated with function 3 (refer to Table 8.3.2.2-3)

Product	Process
1.11.5-1. Excavation methods criteria	Limit magnitude and extent of blast-induced permeability change by blast control
1.11.5-2. Long-term subsidence control strategy	Limit potential for subsidence by limiting extraction ratio and drift size. Backfill drifts at decommissioning

Table 8.3.2.2-11. Parameters and information required to satisfy Information Need 1.11.5

Product	Parameters and input items
1.11.5-1. Excavation methods criteria	Proposed design of boreholes and drifts (Issue 4.4, Section 8.3.2.5)
	Description of proposed excavation methods (Issue 4.4)
	Estimates of the range of parameters defining damage due to excavation (Section 8.3.1.4)
1.11.5-2. Long-term subsidence control strategy	Repository layout (Information Need 1.11.3, Section 8.3.2.2.3)
	Drift and pillar stability analyses (Information Need 1.11.6, Section 8.3.2.2.6)
	Preliminary description of backfill (Issue 4.4)
	Extent of disturbed zone (Issue 1.6, Section 8.3.5.12)

Logic

The main purpose of this information need is to assure that appropriate criteria are provided as guidelines for repository design. These criteria will initially be developed with regard to present design concepts and on the basis of constraints developed under Issue 1.10, waste package characteristics (postclosure) (Section 8.3.4.2), and Issue 1.6, ground-water travel time (Section 8.3.5.12). The need to perform a total system performance assessment of a proposed design that conforms to these criteria is passed to Performance Issue 1.1, total system performance (Section 8.3.5.13).

To satisfy the information need, two products that are driven by postclosure performance requirements will be developed. The first product will result in criteria that will ensure that the excavation methods chosen will not have adverse postclosure affects. The second product will identify any measures that need to be taken to limit the potential for surface subsidence during the postclosure period. Other design criteria are established under Information Needs 1.11.3 and 1.11.4 (Sections 8.3.2.2.3 and 8.3.2.2.4).

Product 1.11.5-1: Excavation methods criteria. The process of creation of an underground excavation, whether a drift or borehole, involves the use of energy to fracture and comminute the rock mass to be removed. Some of this energy results in mechanical damage to the surrounding rock mass. This damage takes the form of opening of preexisting fractures, creation of new fractures, and movements, rotation, and loosening of structurally defined blocks of rock around the excavation. This damage can result in modification of the hydrologic environment of the host rock in the vicinity of the waste package or in the general underground facility area.

The current design basis for selection of excavation methods has been developed from the position that the potential for any modification of the properties of the host rock mass should be limited, as far as is reasonable, with currently available technology (Issue 4.4, technical feasibility (Section 8.3.2.5)). On that basis, the current design of the repository incorporates construction of drifts using drill and blast methods (since continuous mining has not yet been proved practical for welded tuff) and construction of emplacement holes using drilling methods.

Realistic criteria for establishing the suitability of these proposed construction methods must be developed based on performance assessment requirements. The current design, which is documented in the Site Characterization Plan-Conceptual Design Report (SNL, 1987), incorporates excavation techniques considering retrievability and worker health and safety concerns and meets the criteria for technological availability. Data requirements for incorporating such requirements are discussed under Issue 4.4. To perform the studies necessary to establish design criteria, it may be necessary to assume a range of characteristics of the damaged zone (not to be confused with the disturbed zone defined by the NRC): the extent and nature of new fracturing; the changes in permeability; and the shielding characteristics of the damaged zone.

Product 1.11.5-2: Long-term subsidence control strategy. Worker health and safety requirements, discussed under Issue 4.2, nonradiological health and safety (Section 8.3.2.4), and retrievability requirements, discussed

under Issue 2.4, waste retrievability (Section 8.3.5.2), dictate that the excavation of the repository be usable throughout the operational period. These requirements preclude the possibility of any subsidence before closure beyond that associated with predominantly elastic compression of the pillars between excavations. The responsibility under this information need is to ensure that ground movements in the vicinity of the excavations of the repository will not result in significant postclosure surface subsidence or the creation of preferred pathways for water migration.

Development of the long-term subsidence control strategy will be based on the results of drift and pillar stability analyses that are discussed under Information Need 1.11.6 (Section 8.3.2.2.6). Simple analyses will be used to estimate the possible extent and magnitude of loosening of the rock mass above a backfilled drift. Such analyses are expected to demonstrate with a high level of confidence that the potential for surface subsidence can be excluded if current repository design concepts are implemented. Whether rock movements after closure may impact the system performance will be judged relative to the extent of the disturbed zone. If appropriate, the need for total system performance assessment analyses incorporating nonconservative estimates of permeability changes after closure will be defined.

8.3.2.2.5.1 Design Activity 1.11.5.1: Excavation methods criteria

The objective of this design activity is to identify any constraints to be placed on excavation methods in consequence of postclosure performance considerations. The technical basis part of the previous section discusses the role of this activity in Information Need 1.11.5, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.5.2 Design Activity 1.11.5.2: Long-term subsidence control strategy

The objectives of this design activity are to (1) develop a position regarding the potential for postclosure surface subsidence and the impact of postclosure ground movement on containment and isolation and (2) determine if current goals are adequate to limit potential for subsidence. The technical basis part of Section 8.3.2.2.5 discusses the role of this activity in Information Need 1.11.5, and Section 8.3.2.2.1 discuss the data requirements for this activity.

8.3.2.2.5.3 Application of results

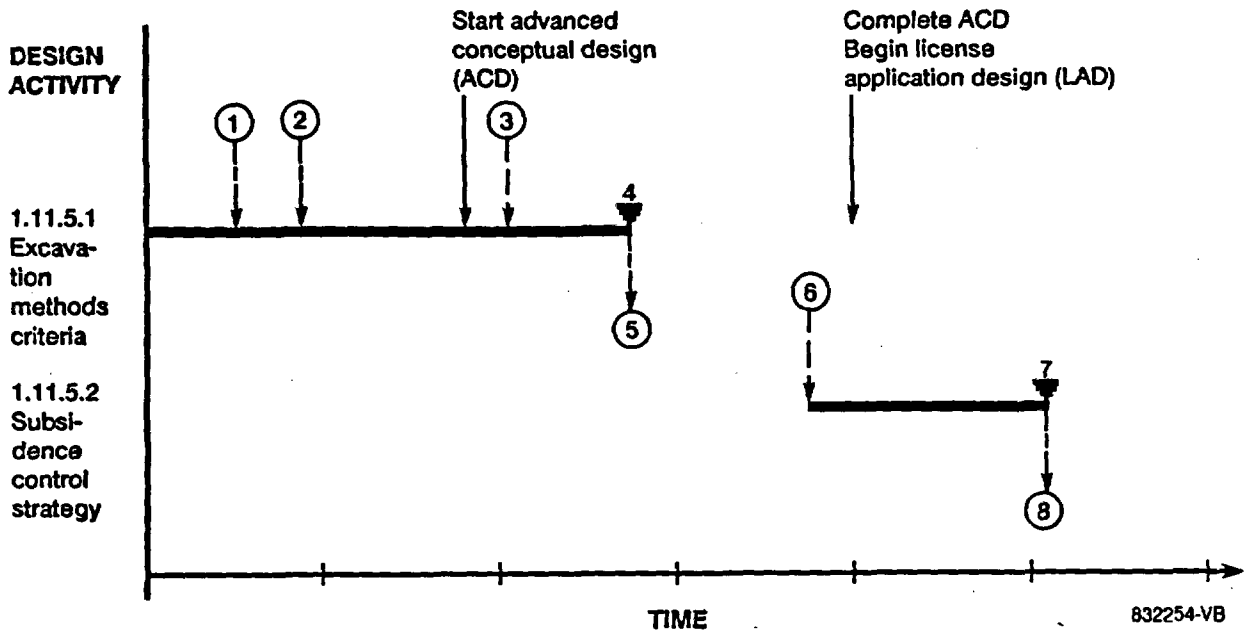
The products and information developed under this information need will be used as input to the reference postclosure design (Information Need 1.12.7, Section 8.3.3.3.7) and as criteria for the design of the preclosure repository developed under Design Issues 4.2, nonradiological health and safety (Section 8.3.2.4), and 4.4, technical feasibility (Section 8.3.2.5).

The site parameters identified under this information need are conveyed to the site characterization programs by Information Need 1.11.1 (Section 8.3.2.2.1), along with details about how well the site data should be determined.

8.3.2.2.5.4 Schedule and milestones

This information need on design constraints to limit excavation effects includes two design activities: 1.11.5.1 (excavation methods criteria) and 1.11.5.2 (long-term subsidence control strategy). The schedule information for these design activities is presented in the form of timelines. The timelines extend to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Section 8.5.3. These design activities are out-year work efforts.

These design activities interact with other investigations, issues, and information needs, as illustrated in the following figure. The design activity numbers corresponding to the timelines are shown on the left of the following figure. The numbered points shown on the timelines represent major events or important milestones associated with these activities. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input repository design requirements from Information Need 4.4.4.
2	Input thermal and mechanical rock properties data from Investigation 8.3.1.15 and site characteristics needed for design from Information Need 1.11.1.
3	Input rock characteristics modeling data from Investigation 8.3.1.4.3.
4	Milestone P585. Complete definition of underground facility excavation methods criteria.
5	Output excavation methods criteria to Information Needs 1.11.6 (thermal loading and thermomechanical rock response) and 4.4.4 (repository design requirements).
6	Input data/information from Information Needs 1.6.5 (disturbed zone boundary), 1.11.6 (thermal loading and thermomechanical rock response), 4.4.6 (equipment development), and 4.4.7 (design analyses).
7	Milestone Z023. Issue long-term subsidence control strategy report.
8	Output long-term subsidence control information to Information Needs 1.11.6 (thermal loading and thermomechanical rock response), 1.11.7 (reference postclosure underground designs), and 4.4.7 (design analyses).

8.3.2.2.6 Information Need 1.11.6: Repository thermal loading and predicted thermal and thermomechanical response of the host rock

The discussion under this information need extends the discussion of function 4, which was presented in the issue resolution strategy section for this issue (1.11). The approaches or methods to be used to calculate or otherwise establish that the anticipated or actual performance will meet the performance goals stated in Table 8.3.2.2-4. In many instances, the approaches, which follow in the logic subsection for this information need, require site data, performance information, or design information to make such an evaluation. The site data needs of this information need (1.11.6) are identified in the following parameters section. These needs are passed to the site characterization programs. Performance and design information needs are also identified in the following parameters section. In many instances, these performance and design information needs are the results or

conclusions of work that depends on site data. The call for such site data is in the issue where the work is done and is not repeated here.

Table 8.3.2.2-4 identifies six processes necessary for meeting function 4. Each of these processes was related to one or more performance measures and their associated performance goals and desired confidences. The approaches and data needs discussed here are an extension of the relationships evident in that table.

This information need will be satisfied through five products that will be used to demonstrate that the performance goals of function 4 have been met. The products are the results of the design activities performed under this information need. The relationship of the five products to the six processes of function 4 is shown in Table 8.3.2.2-12.

These products may be delivered to other information needs under this issue or under other design or performance issues. In the following logic subsection, the products are used to group the approach and methods that will be used to show that the goals of this issue are met. Products are the results of the design activities and milestones identified later in the section.

Technical basis for addressing the information need

In the following subsection, the information needed by planned approaches for demonstrating that goals are satisfied is linked to data already available and plans for future data gathering. Specific parameters and the logic used in identifying their importance to resolution of this information need (1.11.6) are then identified in subsequent subsections. The accuracy with which the parameters must be obtained is discussed under Information Needs 1.11.1 and 1.11.2 (Sections 8.3.2.2.1 and 8.3.2.2.2) where the site and waste package data needed to resolve this issue are consolidated.

Link to the technical data chapters and applicable support documents

The current design data base that has been used for existing design activities, and for comparison with data needs given in the following parameters subsection, is presented in the reference design data base in Section 6.1.2. A more detailed discussion of the current data in the reference design data base may be found in the data chapters (1 through 5), and current waste package data are found in Chapter 7.

Data that are needed to resolve this issue and that are already completed are presented in Section 6.4.2. This section provides the status of resolution of this issue (1.11), and also forms some of the bases for the approaches discussed under the following logic subsection.

Finally, Information Need 1.11.1 (Section 8.3.2.2.1) lists in detail the site data needs established in this information need, as well as those for all other information needs under this issue. That information need also defines how well the data must be known to resolve the issue and identifies the site characterization issues under which the test plans for obtaining each site data need will be discussed.

Table 8.3.2.2-12. Relationship of Information Need 1.11.6 products to the processes associated with function 4 (see Table 8.3.2.2-4) (Asterisks indicate direct relationship between products and processes.)

Process	Product				
	Allowable APD[a]	Borehole spacing strategy	Sensitivity studies	Strategy for containment enhancement	Reference calculations[b]
Limit temperature changes in selected barriers	*		*		*
Limit deleterious rock movement or preferred pathways	*		*		*
Limit impact on surface environment	*				
Vary borehole and drift spacing to control thermal loading and container temperature	*	*	*	*	*
Limit potential for borehole collapse	*	*	*		*
Limit corrosiveness of container environment		*		*	

[a]APD = areal power density.
[b]Thermomechanical calculations in the reference information base for general Project reference and use.

Parameters

Parameters defined in this section are treated at two levels. At the first level (Table 8.3.2.2-13) the analyses and input items required to address this information need are identified. Specific parameters required to complete the analyses are identified at the second level (Table 8.3.2.2-14). This method of presentation has been adopted because there is considerable overlap between the types of analyses that will be performed to develop the five products identified under this information need. The iterative nature of the design process is also evident; for example, the borehole spacing documents require the use of container scale thermal analyses and those analyses require initial estimates of the borehole spacing. The analyses will be used to ensure that goals identified in Table 8.3.2.2-4 are met with an appropriate level of confidence. The logic for the identification of the products and the analyses required to prepare them are provided by the following subsection. Site parameters required to complete the analyses are determined by the input needs of the computer models and other analytical tools to be used.

Logic

The burden of ensuring that the repository facilities will meet all applicable performance objectives lies with this information need. Other information needs under this issue are primarily concerned with establishing the design bases (such as available space) and constraints that must be placed upon the design as a consequence of postclosure performance concerns. This information need is also concerned with the development of reference calculations that provide a consistent set of predictions of the behavior of the repository for performance assessment.

Five products have been identified as needed to satisfy this information need: (1) the areal power density, (2) the emplacement borehole spacing, (3) sensitivity studies, (4) strategy for containment enhancement, and (5) reference calculations. Developing these products involves consideration of multiple processes (Table 8.3.2.2-12) and the application of the appropriate analyses (identified in Table 8.3.2.2-13). The following discussion treats first the products and then the analyses upon which they rely.

Product 1.11.6-1: Areal power density. The areal power density (APD) is the single most important characteristic of the repository since it can be directly related to the capacity of the site, the repository layout, and the extent to which the original characteristics of the site are modified as a consequence of waste emplacement. The method of development of the APD involves performing thermal and thermomechanical analyses. The results of those analyses are compared with constraints or goals established under other design issues and the performance issues. Given waste with a particular set of characteristics, the average thermal loading is varied until the larger scale performance criteria are met. Analyses of drifts, and possibly of boreholes, are then performed to ensure that near-field criteria are also satisfied for the selected APD and the given thermal power decay characteristics of the waste form.

Table 8.3.2.2-13. Analyses and input items required to resolve Information Need 1.11.6

Product	Parameters and input items
1.11.6-1. Areal power density (APD)	Drift stability criteria (Issues 2.4 and 4.4, Sections 8.3.5.2 and 8.3.2.5) Constraints established by sealing program (Issue 1.12, Section 8.3.3.2) Far-field performance criteria, including limits on temperature change and permeability changes on adjacent strata Surface temperature change, and surface uplift limits Near-field limits on host rock temperature, as it influences container environment (Issue 1.10, Section 8.3.4.2) Far-field thermal and thermomechanical analyses (Table 8.3.2.2-14) Near-field thermal and thermomechanical analyses (Table 8.3.2.2-14)
1.11.6-2. Borehole spacing	Borehole stability criteria (Issues 2.4 and 4.4) APD Container and container environment constraints (Issue 1.10) Container scale thermal and thermomechanical analyses (Table 8.3.2.2-14)
1.11.6-3. Sensitivity studies	Container scale thermal and thermomechanical analyses (Table 8.3.2.2-14) Drift scale thermal and thermomechanical analyses (Table 8.3.2.2-14) Far-field thermal and thermomechanical analyses (Table 8.3.2.2-14) Ranges of feasible parameters defined in Table 8.3.2.2-14 Off-normal conditions scenario
1.11.6-4. Strategy for containment enhancement	Proposed water usage (Issue 4.4) APD and borehole spacing Waste package performance assessment (Issue 1.10)
1.11.6-5. Reference calculation	Repository design Container thermal and thermomechanical scale analyses Drift thermal and thermomechanical analyses Far-field thermal and thermomechanical analyses

Table 8.3.2.2-14. Parameters for thermal and thermomechanical analyses

Product	Parameters and input items

Container scale analyses	Borehole dimensions and spacing
	Waste package description
	  Container dimensions
	  Container thermal output
	  Container thermal decay characteristics
	  Liner and overpack specification
	Geologic description of site
	  Stratigraphy
	  Jointing orientation, frequency, distribution
	Rock mass thermal and thermomechanical properties
	  TSw2 and adjacent units
	  Saturation
	  Thermal conductivity
	  Heat capacity
	  Thermal expansion coefficient
	  Rock mass deformation modulus
	  Rock mass strength
	  In situ stress
	  Rock matrix elastic modulus
	  Rock matrix Poisson's ratio
	  Rock matrix strength
	  Joint orientation
	  Joint spacing
	  Joint strength
	  Joint normal stiffness
	  Joint shear stiffness

Drift scale analyses	Areal power density Waste decay characteristics (See listing under container scale analyses) Drift dimensions and spacing Waste emplacement configuration Geologic description of site Stratigraphy Rock mass thermal and thermomechanical properties of TSw2 and adjacent units (See listing under container scale analyses) Rock support measures

Table 8.3.2.2-14. Parameters for thermal and thermomechanical analyses (continued)

Product	Parameters and input items
Far-field analyses	Areal power density Waste decay characteristics (See listing under container scale analyses) Repository layout Geologic description of site Stratigraphy Topography Fault locations and properties Jointing orientation, frequency, distribution Rock mass thermal and thermomechanical properties of all units, including overburden (See listing under container scale analyses)

Product 1.11.6-2: Borehole spacing. The borehole spacing is very closely related to the areal power density (APD), and when the APD is being determined it may be necessary to perform drift or borehole scale calculations that assume a particular spacing. Borehole spacing is an important design factor because (1) there may be several different arrangements of waste (different borehole spacings), each with the same APD, that meet constraints; and (2) the considerable variability of the thermal output of the waste will require that the borehole spacing be a variable. This product must develop the strategy for selecting borehole spacing based on an approach that considers thermomechanical interaction between boreholes and between drifts and boreholes, and equipment constraints on borehole construction or waste emplacement. These analyses will provide detailed assessment of the temperatures near the emplacement boreholes. These assessments will be compared to criteria established under Issues 1.10, waste package characteristics (postclosure) (Section 8.3.4.2); 2.4, waste retrieval option (Section 8.3.5.2); and 4.4, technical feasibility (Section 8.3.2.5).

Product 1.11.6-3: Sensitivity studies. Sensitivity studies will be performed to evaluate the effects of uncertainty in the description of the waste form and the geologic setting. At present it is assumed that such studies will rely on repeated analyses incorporating realistic parameter variations. The results of these analyses may be expressed quantitatively as sensitivity coefficients and incorporated within a finalized methodology of uncertainty analysis.

Product 1.11.6-4: Strategy for containment enhancement. Under the discussion of the borehole spacing product, it was noted that more than one spacing may meet all constraints; however, these different ways to distribute the waste may not all perform equally. This product will document what has been done to make sure that the significant alternatives or ways of enhancing

performance have not been overlooked. For example, one goal is to keep the container dry by maintaining temperatures above boiling for 300 yr; however, at the edges of the underground facility, temperatures will not be as high as at the middle. This product will investigate increasing the waste loading at the edges of the underground facility to maintain higher temperatures there. This product will rely primarily on container scale thermal calculations and is intended to respond to the requirements of Issue 1.10, waste package characteristics (postclosure) (Section 8.3.4.2).

Product 1.11.6-5: Reference calculations. The repository design as shown in Chapter 6 was developed in support of the site characterization plan. The design is expected to be refined as the site investigation proceeds, and after field evaluation at the exploratory shaft. These refinements will be documented as part of the advanced conceptual design and license application design activities. At every stage it is important to have a complete set of referenceable predictions of the behavior of the repository system. Such predictions provide a basis on which to assess the suitability of the proposed design and are important considerations when developing the needs for supporting laboratory and field investigations. These predictions (or reference calculations) will be based on progressively better designs and site data of improving quality.

Analysis of thermal and thermomechanical response. Before discussing the specifics of analyses it is important to emphasize an assumption upon which the subsequent discussion relies. The assumption is that responsibility for ensuring that the retrieval option is maintained until the time of closure rests with Issues 4.4, technical feasibility (Section 8.3.2.5), and 2.4, waste retrieval option (Section 8.3.5.2). Accordingly, it is assumed that necessary steps will be taken to validate the approach used to ensure that reasonable maintenance requirements and retrieval will be met under those issues. Achieving that assurance will necessarily require that the damaged zone around the boreholes and drifts, as it exists at the time of closure, will be reasonably characterized. For the postclosure period it remains to be demonstrated that the container integrity will not be violated and that the disturbed zone is not extended as a consequence of the changing thermal stress field.

The terms near-field and far-field are often used when discussing analyses on different scales. Here it is more convenient to subdivide the near-field into the container scale and the drift scale, on the basis that analyses on those two scales may be conducted independently. (That is likely to be true for horizontal emplacement, but it may be more difficult to separate the two for the vertical emplacement option.) The following paragraphs discuss the scope of the analyses anticipated to be performed on these three scales and the types of models to be used. Further, the need for supporting activities and field studies to validate these models is addressed.

Validation of the computer models to be used to verify the design of the waste emplacement configuration is discussed under Issue 4.4, technical feasibility (Section 8.3.2.5). No additional validation of the finite-element models discussed there is considered necessary, because there will be no significant change in the conditions that they seek to analyze. As such, this issue does not call for site data to validate the models.

Container scale analyses. In Table 8.3.2.2-5 performance goals are defined for the waste container and the adjacent rock. Thermal and thermomechanical modeling will be used to ensure that these goals continue to be met beyond closure of the underground facility. The design parameters that may be selected to ensure that the goals are met are the waste package spacing and the areal power density. In general, the analyses will be identical to those performed for the preclosure period. Namely, either two- or three-dimensional analyses will be performed using finite-element models that incorporate a description of jointed rock behavior. Selection of a three-dimensional idealization, as opposed to two-dimensional, will be based on the geometry of the waste emplacement configuration. However, two-dimensional analyses are expected to be adequate for evaluation of horizontal emplacement boreholes, while three-dimensional analyses may be required for vertical boreholes. (For vertical emplacement, the waste packages may be too close to the emplacement drift to allow the assumption of symmetry necessary to use two-dimensional analysis techniques.)

Because the emplacement borehole liner is expected to have a limited life, the analyses should not include the possible beneficial effect of rock support during the early postclosure period. In the absence of any such support it is possible that blocks of rock will become detached from the borehole wall and come in contact with the waste container. Prediction of the size of those blocks and the loads that could be imposed on the container are considered important in view of load constraints defined under Issue 1.10, waste package characteristics (postclosure) (Section 8.3.4.2). The finite-element models currently under development within the NNWSI Project do not address block failure. Hence, it will be necessary to apply other techniques to evaluate the loading, such as wedge analysis, key block analysis, and use of models based on application of the distinct element method. These other methods should incorporate realistic descriptions of the geometry of the rock surrounding the emplacement borehole and include the effects of thermal loading.

Drift scale analyses. The objective of drift-scale analyses of the postclosure period is to ensure that no new paths to the accessible environment, or extension of the disturbed zone, will occur. The potential for such effects can be mitigated by backfilling the emplacement drifts before closure. The possible implications of such disturbance can be evaluated using performance assessment models based on assumptions about the distribution of void space around the drift. It is also recommended that such evaluations be supported by thermal and thermomechanical analyses intended to evaluate the behavior under the applied thermal loading.

Data for the postclosure drift analyses will include a description of the drift at the time of closure, with particular emphasis placed on definition of the extent of damage and a description of the backfill. The finite-element models described under Issue 4.4, technical feasibility (Section 8.3.2.5), will be used to perform analyses. The only additional component of the models will be the backfill. Because primary reliance will be placed on performance assessment to evaluate the acceptability of the system, detailed validation of thermomechanical models of the backfilled drifts is not considered necessary.

Far-field analyses. Far-field thermal and thermomechanical analyses of the postclosure repository configuration will be performed to confirm that the far-field performance goals continue to be met. The tools for these analyses will be the same as those used for container and drift-scale analyses, namely, finite-element, thermomechanical analyses of representative cross sections of the repository. There are no new physical processes to be analyzed and there are no additional bases for evaluating the potential for deleterious rock movements or creation of preferred pathways. In consequence, the far-field analyses of the repository system require the same data and techniques as other thermomechanical analyses. Details of such analyses, and the measures considered appropriate for model validation, are discussed under Issue 4.4, technical feasibility (Section 8.3.2.5).

8.3.2.2.6.1 Design Activity 1.11.6.1: Thermal loading for underground facility

The objective of this design activity is to establish the allowable thermal loading as a function of waste age and burnup. Determination will start with far-field calculations and consider near-field effects to ensure practical waste distribution exists that meets near-field constraints. The technical basis part of the previous section discusses the approach to determining the allowable APD, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.6.2 Design Activity 1.11.6.2: Borehole spacing strategy

The objective of this design activity is to establish strategy for choosing borehole spacings as a function of waste thermal output as affected by waste type, age, and burnup. Strategy will be designed to ensure that spacings chosen will satisfy all near-field constraints. The technical basis part of Section 8.3.2.2.6 discusses the approach to establishing a borehole spacing strategy, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.6.3 Design Activity 1.11.6.3: Sensitivity studies

The objective of this design activity is to determine predicted repository thermal and thermomechanical response to variations in model input data. This information will be used to evaluate adequacy of data gathered and to determine goals have been met with proper confidence. The technical basis part of Section 8.3.2.2.6 discusses this approach to sensitivity studies, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.6.4 Design Activity 1.11.6.4: Strategy for containment enhancement

The objective of this design activity is to document how design of underground facility has taken into account containment, in particular, the goal for Issue 1.10 (Section 8.3.4.2) of keeping the containers dry for 300 yr. The technical basis part of Section 8.3.2.2.6 discusses the approach to this strategy, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.6.5 Design Activity 1.11.6.5: Reference calculations

The objective of this design activity is to provide a consistent set of calculations with proper quality assurance of thermal and thermomechanical response of host rock that can be used by performance assessment issues. The technical basis part of Section 8.3.2.2.6 discusses the approach to these calculations, and Section 8.3.2.2.1 discusses the data requirements for this activity.

8.3.2.2.6.6 Application of results

This information will be input for the advanced conceptual design and licensing application design reports, documented in the reference postclosure design (Information Need 1.11.7 (Section 8.3.2.2.7)) and used as criteria for the repository design developed by Issue 4.4, technical feasibility (Section 8.3.2.5). It will be used in Issues 1.1, total system performance (Section 8.3.5.13), and 1.10, waste package characteristics (postclosure) (Section 8.3.4.2), as part of performance assessment.

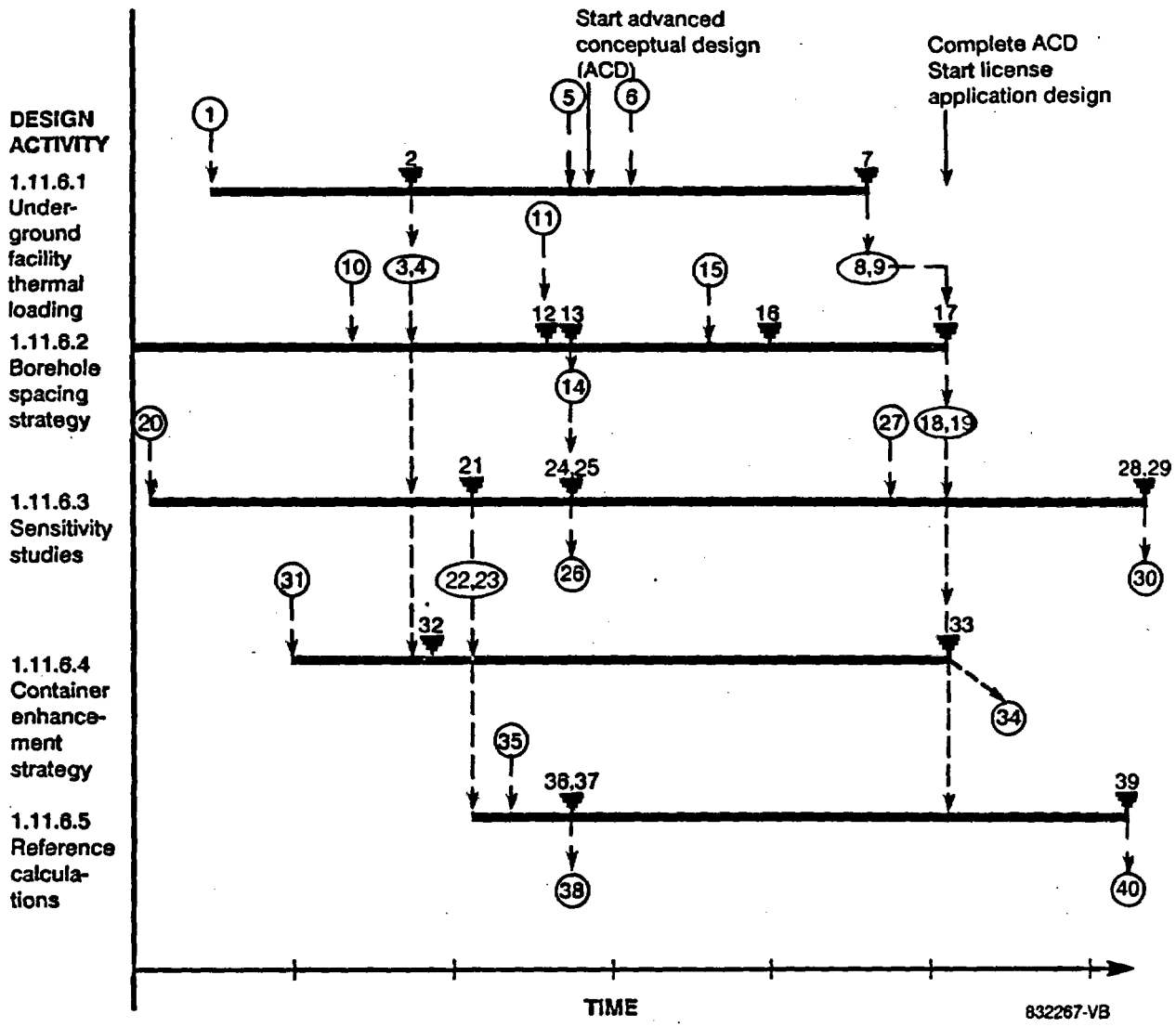
The site parameters identified under this information need are conveyed to the site characterization programs by Information Need 1.11.1, site characteristics needed for design (Section 8.3.2.2.1), along with details about how well the site data should be determined.

8.3.2.2.6.7 Schedule and milestones

This information need on thermal loading and thermomechanical rock response includes five design activities: 1.11.6.1 (thermal loading for underground facility), 1.11.6.2 (borehole spacing strategy), 1.11.6.3 (sensitivity studies), 1.11.6.4 (strategy for containment enhancement), and 1.11.6.5 (reference calculations). The schedule information for these design activities is presented in the form of timelines. The timelines extend from the start of the activity to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Section 8.5.3.

Design Activities 1.11.6.1 and 1.11.6.2 are ongoing activities. The remaining design activities are out-year work efforts.

These design activities interact with each other and with other investigations, issues, and information needs as illustrated in the following figure. The design activity numbers and titles corresponding to the timelines are shown on the left of the figure. The numbered points shown on the timelines represent major events or important milestones associated with these activities. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information from design documents on far-field thermomechanical effects.
2	Milestone M414. Report on far-field thermomechanical effects.
3	Output information on far-field thermomechanical effects to Design Activities 1.11.6.1, 1.11.6.2, 1.11.6.3, and 1.11.6.4.
4	Output information on far-field thermomechanical effects to Information Needs 1.1.2 (potentially significant release scenario classes), and 4.4.7 (design analyses).
5	Input far-field sensitivity information from Milestone P579.
6	Input information on near-field environment and design analysis from Information Needs 1.10.4 and 4.4.7.
7	Milestone P583. Complete determination of allowable far-field areal power density for license application design (LAD).
8	Output information on far-field areal power density to Design Activities 1.11.6.2 and 1.11.6.4.
9	Output information on far-field areal power density to Information Needs 1.1.2 (potentially significant release scenario classes) and 4.4.7 (design analyses).
10	Input data/information on thermomechanical analysis of horizontal waste emplacement boreholes from Milestone P277.
11	Input information on near-field environment, design site characteristics, and design analyses from Information Needs 1.10.4, 1.11.1 and 4.4.7.
12	Milestone Z101. Issue report on equivalent energy density concept for advanced conceptual design (ACD).
13	Milestone P575. Issue report on borehole spacing strategy for ACD.
14	Output information on borehole spacing to Design Activities 1.11.6.3, 1.11.6.4, and 1.11.6.5 and Information Needs 1.10.4 (near-field environment) and 4.4.7 (design analyses).
15	Input information on near-field environment, design characteristics, and design analyses Information Needs 1.10.4, 1.11.1, and 4.4.7.

Point number	Description
16	Milestone Z102. Issue report on equivalent energy analysis for LAD.
17	Milestone Z026. Issue report on borehole spacing strategy for LAD.
18	Output information on borehole spacing to Design Activities 1.11.6.3, 1.11.6.4, and 1.11.6.5.
19	Output information on borehole spacing to Information Needs 1.10.4 (near-field environment) and 4.4.7 (design analyses).
20	Input information from design documentation near-field thermomechanical effects.
21	Milestone P574. Complete determination of allowable far-field areal power density (APD) for ACD.
22	Output on far-field APD information to Design Activity 1.11.6.5.
23	Output information on far-field APD to Information Needs 1.11.1 (site characteristics needed for design) and 4.4.7 (design analyses).
24	Milestone P579. Complete ACD far-field thermomechanical analysis sensitivity study.
25	Milestone P580. Complete ACD near-field thermomechanical analysis sensitivity study.
26	Output near-field sensitivity information to Information Needs 1.11.1 (site characteristics needed for design), 1.11.2 (waste package characteristics needed for design), and 4.4.7 (design analyses).
27	Input site characteristics and design analyses information from Information Needs 1.11.1 and 4.4.7.
28	Milestone Z103. Complete LAD far-field thermomechanical analysis sensitivity study.
29	Milestone Z104. Complete LAD near-field thermomechanical analysis sensitivity study.
30	Output near-field sensitivity information to Information Needs 1.11.1 (site characteristics needed for design) and 4.4.7 (design analyses).

Point number	Description
31	Input information on site characteristics and near-field environment from Information Needs 1.10.4 (near-field environment) and 1.11.1.
32	Milestone P573. Complete strategy for underground facility ACD considerations to enhance containment.
33	Milestone Z106. Complete strategy for underground facility LAD considerations to enhance containment.
34	Output information on underground facilities to Information Needs 1.10.4 (near-field environment) and 4.4.7 (design analyses).
35	Input information on site characteristics and design analyses from Information Needs 1.11.1 and 4.4.7.
36	Milestone P576. Complete ACD far-field reference design analysis calculations.
37	Milestone P577. Complete ACD near-field reference design analysis calculations.
38	Output information on near- and far-field reference designs to Information Needs 1.1.3 (models for release scenarios), 1.6.5 (disturbed zone boundary), and 1.10.4 (near-field environment).
39	Milestone Z107. Complete LAD reference design calculations.
40	Output reference design calculations to Information Needs 1.1.3 (models for release scenarios), 1.6.5 (disturbed zone boundary), and 1.10.4 (near-field environment).

8.3.2.2.7 Information Need 1.11.7: Reference postclosure repository design

This information need does not correspond to an issue resolution function identified in the issue resolution strategy (Section 8.3.2.2) for this issue (1.11). Nor does it require the acquisition of site data. Rather, this information need will be satisfied using data acquired under other information needs, as well as results of analyses conducted under other information needs.

This information need will be satisfied by two products:

1. Reference postclosure design provides the reference postclosure design of the repository, which will form part of the basis for the postclosure performance assessment of the repository (to satisfy part 2 of this issue.

2. Documentation of compliance will demonstrate compliance of the postclosure design with the requirements of 10 CFR 60.133 (satisfying part 1 of this issue). This product will be documented in the advanced conceptual design and license application design reports.

Technical basis for addressing the information need

In the subsequent subsections a link is provided to other sections of the SCP and supporting documents, including reference to documentation of the current status of satisfying this information need as found in Section 6.4. The reference postclosure design will be documented in the reference information base. An explanation of the logic of what is required to satisfy this information need is also given.

Link to the technical data chapters and applicable support documents

The current design concepts and drawings are documented in Section 6.2 and in Chapters 3 and 4 of the Site Characterization Plan-Conceptual Design Report (SCP-CDR) (SNL,1987). The reference design drawings that result from this information need will be part of the basis for postclosure performance assessment of the repository by the following issues:

Issue	Short title	SCP section
1.1	Total performance assessment	8.3.5.13
1.3	Ground-water protection	8.3.5.15
1.4	Containment by waste package	8.3.5.9
1.5	Engineered barrier system release rates	8.3.5.10
1.6	Ground-water travel time	8.3.5.12
1.8	NRC siting criteria	8.3.5.17
1.8	NRC siting criteria	8.3.5.17
1.9	Higher level findings--postclosure	8.3.5.18

Parameters

The following information needs or investigations will furnish information necessary to develop the postclosure design of the underground facility:

Information need or investigation	Subject
1.11.3	Underground facility orientation and layout (Section 8.3.2.2.3)
1.11.4	Design constraints to limit water usage (Section 8.3.2.2.4)
1.11.6	Thermomechanical effects on postclosure design (Section 8.3.2.2.6)
1.12.2	Seal materials (Section 8.3.3.2.2)
1.12.3	Emplacement methods for seals (Section 8.3.3.2.3)

Information need or investigation	Subject
1.12.4	Reference seal designs (Section 8.3.3.2.4)
4.4.7	Design analyses for technical feasibility (Section 8.3.2.5.7)
8.3.1.4.1	Integrated drilling program (stratigraphy, structure, rock properties)
8.3.1.9.1	Degradation of surface markers and monuments

Logic

This information need will evaluate criteria for reference design and construction drawings and transmit these criteria to Issue 4.4, technical feasibility (Section 8.3.2.5). Specific drawings that result will be supplied, as they are available, to the reference information base during the advanced conceptual design and license application design phases.

The information that must be documented as part of the reference postclosure underground facility design is as follows:

1. The location of underground openings including their preclosure size and shape and their drainage pattern.

2. The anticipated postclosure state of the openings including backfill and reasonable degradation. The boreholes on the average are expected to be stable and meet containment requirements; however, drifts will not be relied on to be open. They may have caved in or settled on the backfill.

3. The documentation of location, function, and design of the seals for shafts, drifts, and exploratory drill holes. This documentation will be produced by Issue 1.12, seal characteristics (Section 8.3.3.2). Documentation of this information will be included in the reference postclosure design.

4. The criteria for dismantling of the underground structures and facilities including statement of materials that will be left in place (plan for decommissioning will be in Issue 4.4, technical feasibility, Section 8.3.2.5).

5. Documentation of surface monuments, if any (Issue 1.12).

8.3.2.2.7.1 Design Activity 1.11.7.1: Reference postclosure repository design

The objective of this design activity is to establish what information will constitute the reference postclosure design for use in performance

assessment and document this information in the advanced conceptual design and license application design reports. The previous section discusses the role of the activity in resolving Issue 1.11.

8.3.2.2.7.2 Design Activity 1.11.7.2: Documentation of compliance

The objective of this design activity is to document that the issue has been resolved by determining if the postclosure design complies with the design goals of this issue and to document this compliance in the advanced conceptual design and license application design reports.

8.3.2.2.7.3 Application of results

The reference design produced to satisfy this information need will be placed in the reference information base for use as input to the following performance assessment issues:

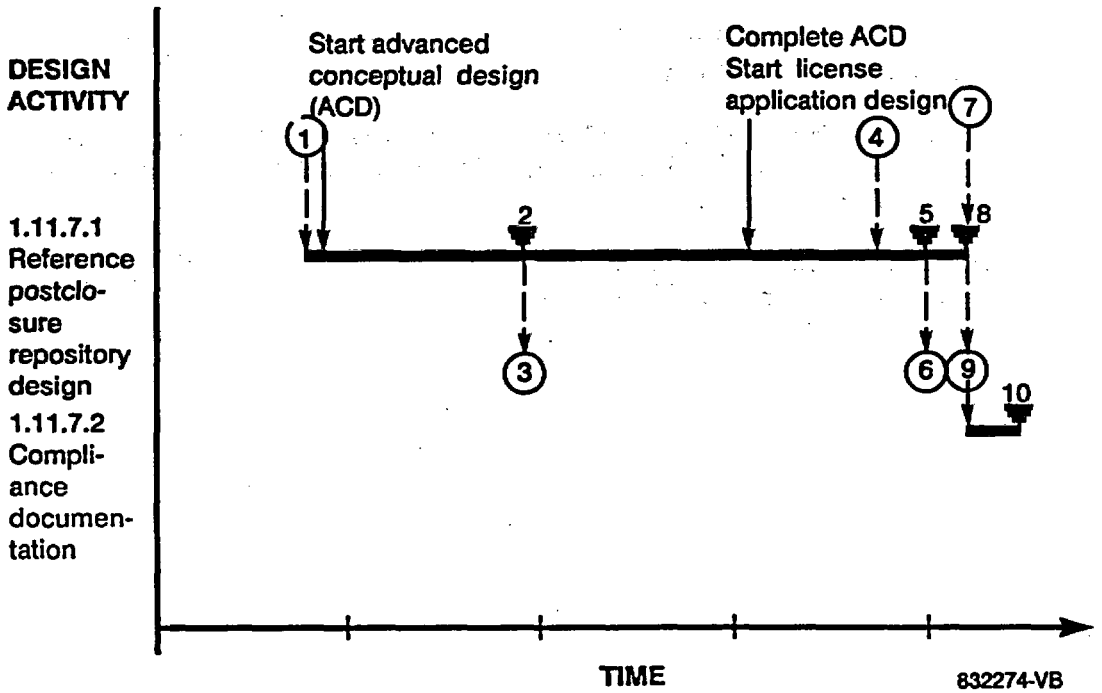
Issue	Short title	SCP section
1.1	Total performance assessment	8.3.5.13
1.3	Ground-water protection	8.3.5.15
1.4	Containment by waste package	8.3.5.9
1.5	Engineered barrier system release rates	8.3.5.10
1.6	Ground-water travel time	8.3.5.12
1.7	Performance confirmation	8.3.5.16
1.8	NRC siting criteria	8.3.5.17
1.9	Higher level findings--postclosure	8.3.5.18

8.3.2.2.7.4 Schedules and milestones

This information need on reference postclosure underground designs includes two design activities: 1.11.7.1 (reference postclosure repository design) and 1.11.7.2 (documentation of compliance). The schedule information for these design activities is presented in the form of timelines. The timelines extend to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Section 8.5.3. These design activities are out-year work efforts.

These design activities interact with each other and with other investigations, issues, and information needs as illustrated in the following figure. The design activity numbers and titles corresponding to the timelines are shown on the left of the following figure. The numbered points shown on the timelines represent major events or important milestones associated with these activities. Solid lines represent activity durations, and

dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information on potentially significant release scenario classes and design analyses from Information Needs 1.1.2 and 4.4.7.
2	Milestone P581. Complete reference postclosure design for advanced conceptual design.
3	Output reference postclosure design to Information Need 1.1.2.
4	Input information on potentially significant release scenario classes and design analyses from Information Needs 1.1.2 and 4.4.7.
5	Milestone R290. Issue design analysis progress report on performance assessment of license application design.
6	Output performance assessment information to Information Need 1.1.2 (potentially significant release scenario classes).

Point number	Description
7	Input information on configuration of underground facility from Information Needs 1.11.2, 1.11.3, 1.11.4, 1.11.5, and 1.11.6.
8	Milestone M063. Issue design analysis report to support license application.
9	Output design analysis information to the draft environmental impact statement, license application, and Design Activity 1.11.7.2.
10	Milestone Z439. Complete reference postclosure design confirmation for the license application design.

DOE/RW-0160

Chapter 8

Nuclear Waste Policy Act
(Section 113)

Section 8.3.2.3

N

REPOSITORY
DESIGN CRITERIA
FOR RADIOLOGICAL SAFETY

Consultation Draft

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

8808110353 880131

8.3.2.3 Issue resolution strategy for Issue 2.7: Have the characteristics and configurations of the repository been adequately established to (a) show compliance with the preclosure design criteria of 10 CFR 60.130 through 60.133 and (b) provide information for the resolution of the performance issues?

This issue is concerned with the features (i.e., characteristics and configurations) of the repository that relate to radiological safety. These features consist of a subset of the total set of engineered systems and components that make up the repository. The features also address some aspects of the natural setting (i.e., the site) by accounting for the impact of these aspects on the engineered systems and components. For example, the shielding properties of the host rock and their effect on radiation levels in areas occupied by workers should be considered in the design of equipment for handling the waste package in the underground facility.

The role of this issue in addressing the features of the repository related to radiological safety must be placed in context with the roles of other issues. Figure 8.3.2.1-1, Section 8.3.2.1, shows the relationships between several design and performance assessment issues. To be more specific about the relationship of Issue 2.7 to the other issues with which it is directly tied, only Issues 2.7 (this issue), 4.4 (Section 8.3.2.5), and 2.1 through 2.3 (Sections 8.3.5.3 through 8.3.5.5) are shown in Figure 8.3.2.3-1. The figure defines the ties between these issues by indicating what major items of information are passed between them. The figure also illustrates the connection of all these issues with the site characterization program. The scope of an issue is indicated by its size with respect to other issues in this figure. Note that Issue 4.4 is the largest in scope, and the other issues, including this issue branch out from Issue 4.4, reducing the scope to more specific areas. A strong tie between Issue 2.7 and Issue 4.4 is indicated and, in particular, the reliance of this issue upon Issue 4.4 for development of the design and supporting analyses.

In the discussion that follows in this section, the regulatory basis for addressing Issue 2.7 is described, the approach to resolving the issue is defined, and the relationship of this approach with the information needs within this issue is discussed.

Regulatory basis for the issue

As indicated in this issue statement, the regulatory bases for this issue arise primarily from two sources. First, 10 CFR Part 60 provides the repository design criteria in Sections 60.131 through 60.133. In addition, 10 CFR 60.111(a) and 10 CFR Part 20 are pertinent to this issue. Performance Issues 2.1, 2.2, and 2.3, which address radiological exposures to the workers and public under normal and accident conditions, impose criteria upon the design of the repository as a result of EPA and NRC imposed limits on the exposures to the public and workers. These two bases for Issue 2.7 will be treated individually and in detail in the discussions that follow.

In 10 CFR Part 60 the NRC has laid out design criteria for the geologic repository and the waste package. These criteria are found in Sections 60.130 through 60.135. In addition to the brief statement of the general scope of the design criteria given in section 60.130, the sections of

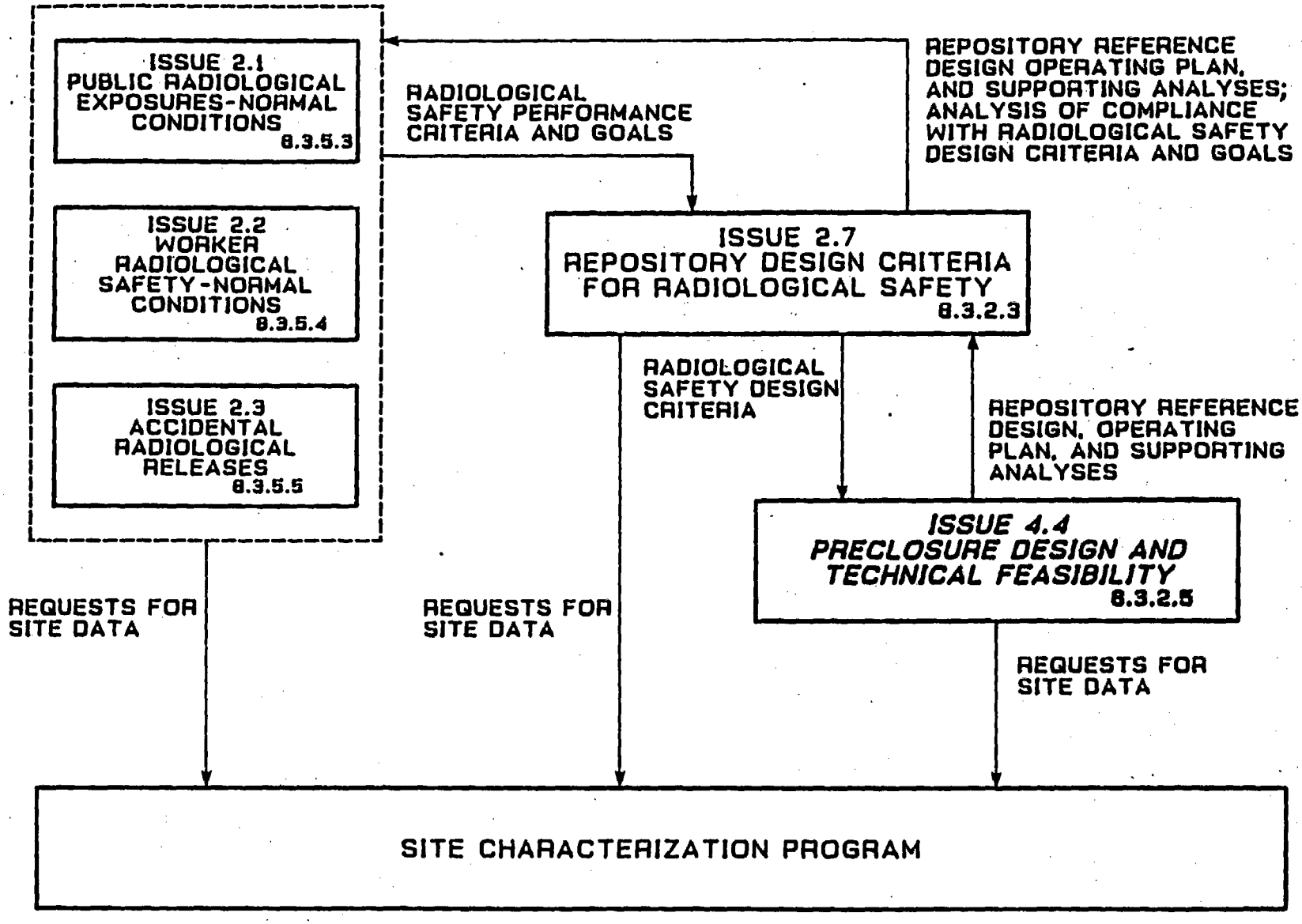


Figure 8.3.2.3-1. Relationship of Issue 2.7 (repository design criteria for radiological safety) to other issues and the site characterization program.

particular importance to repository design aspects of radiological safety are 60.131 through 60.133. (Section 60.134 addresses seals and is treated in Section 8.3.3, seals systems. Section 60.135 is treated in Section 8.3.4 under Issue 2.6, waste package characteristics--preclosure.) General design criteria for the geologic repository operations area (GROA) are given in 10 CFR 60.131. This section contains two main topics: (1) methods of radiological protection and (2) systems, structures, and components important to safety. Additional design criteria for the surface facilities are given in 60.132, and additional design criteria for the underground facilities are given in 60.133.

Not all the design criteria spelled out in these sections are related to radiological safety; therefore, not all these design criteria are addressed in Issue 2.7. The division of responsibility and relationships between the design issues are described in Section 8.3.2.1 (Figure 8.3.2.1-1), and the specific issue responsible for addressing each criterion stated in 10 CFR 60.131 through 60.133 is presented in Table 8.3.2.3-1. In addition, the table also indicates which of the criteria result in the need for site parameters in order to accomplish the design and analyses necessary to address the criteria. Only those criteria that result in the need for site data will be addressed in detail in the SCP. All criteria, as well as the plans to account for those criteria in the design of the repository, will be addressed in the repository design plan.

Approach to resolving the issue

Licensing strategy overview

This issue requires that the repository design incorporate the general design criteria specified in 10 CFR 60.131 through 60.133 such that the preclosure performance objectives identified in 10 CFR 60.111 can be met. The general objective of these criteria is to ensure that the geologic repository operations area (GROA) is designed in such a way that the general public and repository workers are adequately protected from both routine and accidental releases of radioactive material during repository operation, including retrieval operations. The primary means of resolving this issue is by reflecting the preclosure design criteria of 10 CFR 60.131 through 60.133 in the design of the GROA and by performing analyses that will demonstrate that the performance objectives of 10 CFR 60.111 will be met.

As stated in 10 CFR 60.130, the design criteria specified in 10 CFR 60.131 through 60.133 are a minimum set. Other design criteria may be specified that are consistent with and complement the criteria in 10 CFR 60.131 through 60.133. As can be seen in Table 8.3.2.3-1, the information needed to resolve this issue is primarily design related. Site data that are required pertain mainly to the identification of design-basis accidents and to the use of the site properties to help design features enhance radiological safety. The resolution of Issue 2.7 will be complete when it has been demonstrated that each item listed in 10 CFR 60.131 through 60.133 has been adequately addressed in the license application design. The analysis of additional design features will provide added assurance of radiological safety beyond the regulatory requirements. It is important to note that under this issue, assurance will be provided that radiation safety design criteria are met

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 1 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
60.131 GENERAL DESIGN CRITERIA FOR THE GEOLOGIC REPOSITORY OPERATIONS AREA		
(a) Radiological protection. The geologic repository operations area shall be designed to maintain radiation doses, levels, and concentrations of radioactive material in air in restricted areas within the limits specified in Part 20 of this chapter. Design shall include -		
(1) Means to limit concentrations of radioactive material in air;	2.7	Yes
(2) Means to limit the time required to perform work in the vicinity of radioactive materials, including, as appropriate, designing equipment for ease of repair and replacement and providing adequate space for ease of operation;	2.7	No
(3) Suitable shielding;	2.7	Yes
(4) Means to monitor and control the dispersal of radioactive contamination;	2.7	Yes
(5) Means to control access to high radiation areas or airborne radioactivity areas; and	2.7	No
(6) A radiation alarm system to warn of significant increases in radiation levels, concentrations of radioactive material in air, and of increased radioactivity released in effluents. The alarm system shall be designed with provisions for calibration and for testing its operability.	2.7	Yes
(b) Structures, systems, and components important to safety.		
(1) Protection against natural phenomena and environmental conditions.	2.7	Yes
The structures, systems, and components important to safety shall be designed so that natural phenomena and environmental conditions anticipated at the geologic repository operations area will not interfere with necessary safety functions.		

CONSULTATION DRAFT

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 2 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
(2) Protection against dynamic effects of equipment failure and similar events.	2.7	No
The structures, systems, and components important to safety shall be designed to withstand dynamic effects such as missile impacts, that could result from equipment failure, and similar events and conditions that could lead to loss of their safety functions.		
(3) Protection against fires and explosions.		
(i) The structures, systems, and components important to safety shall be designed to perform their safety functions during and after credible fires or explosions in the geologic repository operations area.	2.7	Yes
(ii) To the extent practicable, the geologic repository operations area shall be designed to incorporate the use of noncombustible and heat resistant materials.	4.2	No
(iii) The geologic repository operations area shall be designed to include explosion and fire detection alarm systems and appropriate suppression systems with sufficient capacity and capability to reduce the adverse effects of fires and explosions on structures, systems, and components important to safety.	2.7	Yes
(iv) The geologic repository operations area shall be designed to include means to protect systems, structures, and components important to safety against the adverse effects of either the operation or failure of the fire suppression systems.	2.7	No
(4) Emergency capability.		
(i) The structures, systems, and components important to safety shall be designed to maintain control of radioactive waste and radioactive effluents, and permit prompt termination of operations and evacuation of personnel during an emergency.	2.7	No

CONSULTATION DRAFT

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 3 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
(ii) The geologic repository operations area shall be designed to include onsite facilities and services that ensure a safe and timely response to emergency conditions and that facilitate the use of available offsite services (such as fire, police, medical, and ambulance service) that may aid in recovery from emergencies.	4.2	No
(5) Utility services.		
(i) Each utility service system that is important to safety shall be designed so that essential safety functions can be performed under both normal and accident conditions.	2.7	Yes
(ii) The utility services important to safety shall include redundant systems to the extent necessary to maintain, with adequate capacity, the ability to perform their safety functions.	2.7	No
(iii) Provisions shall be made so that, if there is a loss of the primary electric power source or circuit, reliable and timely emergency power can be provided to instruments, utility service systems, and operating systems, including alarm systems, important to safety.	2.7	No
(6) Inspection, testing, and maintenance.	2.7	No
The structures, systems, and components important to safety shall be designed to permit periodic inspection, testing, and maintenance, as necessary, to ensure their continued functioning and readiness.		
(7) Criticality control.	2.7	Yes
All systems for processing, transporting, handling, storage, retrieval, emplacement, and isolation of radioactive waste shall be designed to ensure that a nuclear criticality accident is not possible unless at least two unlikely, independent, and concurrent or sequential changes have occurred in the conditions essential to nuclear criticality safety. Each system shall be designed for criticality safety under normal and accident conditions. The calculated		

CONSULTATION DRAFT

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 4 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
effective multiplication factor (k-eff) must be sufficiently below unity to show at least a 5% margin, after allowance for the bias in the method of calculation and the uncertainty in the experiments used to validate the method of calculation.		
(8) Instrumentation and control systems.	2.7	Yes
The design shall include provisions for instrumentation and control systems to monitor and control the behavior of systems important to safety over anticipated ranges for normal operations and for accident conditions.		
(9) Compliance with mining regulations.	2.7	No
To the extent that DOE is not subject to the Federal Mine Safety and Health Act of 1977, as to the construction and operation of the geologic repository operations area, the design of the geologic repository operations area shall nevertheless include such provisions for worker protection as may be necessary to provide reasonable assurance that all structures, systems, and components important to safety can perform their intended functions. Any deviation from relevant design requirements in 30 CFR, Chapter 1, Subchapters D, E, and N will give rise to a rebuttable presumption that this requirement has not been met.		
(10) Shaft conveyances used in radioactive waste handling.	NA[b] - all waste will be transported underground using a ramp[c]	NA
(i) Hoists important to safety shall be designed to preclude cage free fall.		
(ii) Hoists important to safety shall be designed with a reliable cage location system.		NA
(iii) Loading and unloading systems for hoists important to safety shall be designed with a reliable system of interlocks that will fail safely upon malfunction.	NA	NA

CONSULTATION DRAFT

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 5 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
(iv) Hoists important to safety shall be designed to include two independent indicators to indicate when waste packages are in place and ready for transfer.	NA	NA
60.132 ADDITIONAL DESIGN CRITERIA FOR SURFACE FACILITIES IN THE GEOLOGIC REPOSITORY OPERATIONS AREA		
(a) Facilities for receipt and retrieval of waste.	2.7	Yes
Surface facilities in the geologic repository operations area shall be designed to allow safe handling and storage of wastes at the geologic repository operations area, whether these wastes are on the surface before emplacement or as a result of retrieval from the underground facility.		
(b) Surface facility ventilation.	2.7	Yes
Surface facility ventilation systems supporting waste transfer, inspection, decontamination, processing, or packaging shall be designed to provide protection against radiation exposure and offsite releases as provided in 60.111(a).		
(c) Radiation control and monitoring.		
(1) Effluent control.	2.7	Yes
The surface facilities shall be designed to control the release of radioactive materials in effluents during normal operations so as to meet the performance objectives of 60.111(a).		
(2) Effluent monitoring.	2.7	No
The effluent monitoring systems shall be designed to measure the amount and concentration of radionuclides in any effluent with sufficient precision to determine whether releases conform to the design requirement for effluent control. The monitoring systems shall be designed to include alarms that can be periodically tested.		

CONSULTATION DRAFT

Table 8.3.2.3 1. Design criteria for the geologic repository operations area (page 6 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
(d) Waste treatment.	2.7	No
Radioactive waste treatment facilities shall be designed to process any radioactive wastes generated at the geologic repository operations area into a form suitable to permit safe disposal at the geologic repository operations area or to permit safe transportation and conversion to a form suitable for disposal at an alternative site in accordance with any regulations that are applicable.		
(e) Consideration of decommissioning.	4.4	No
The surface facility shall be designed to facilitate decontamination or dismantlement to the same extent as would be required, under other parts of this chapter, with respect to equivalent activities licensed thereunder.		
60.133 ADDITIONAL DESIGN CRITERIA FOR THE UNDERGROUND FACILITY		
(a) General criteria for the underground facility.		
(1) The orientation, geometry, layout, and depth of the underground facility, and the design of any engineered barriers that are part of the underground facility shall contribute to the containment and isolation of radionuclides.	1.11	Yes
(2) The underground facility shall be designed so that the effects of credible disruptive events during the period of operations, such as flooding, fires, and explosions will not spread through the facility.	4.4	No
(b) Flexibility of design.		
The underground facility shall be designed with sufficient flexibility to allow adjustments where necessary to accommodate specific site conditions identified through in situ monitoring, testing, or excavation.	1.11	Yes

CONSULTATION DRAFT

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 7 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
(c) Retrieval of waste.	2.4, 4.4	Yes
The underground facility shall be designed to permit retrieval of waste in accordance with the performance objectives of 60.111.		
(d) Control of water and gas.	4.4	Yes
The design of the underground facility shall provide for control of water or gas intrusion.		
(e) Underground openings.		
(1) Openings in the underground facility shall be designed so that operations can be carried out safely and the retrievability option maintained.	2.4, 4.2, 4.4	Yes
(2) Openings in the underground facility shall be designed to reduce the potential for deleterious rock movement or fracturing of overlying or surrounding rock.	1.11	Yes
(f) Rock excavation.	1.11	Yes
The design of the underground facility shall incorporate excavation methods that will limit the potential for creating a preferential pathway for ground water or radioactive waste migration to the accessible environment.		
(g) Underground facility ventilation.		
The ventilation system shall be designed to -		
(1) Control the transport of radioactive particulates and gases within and releases from the underground facility in accordance with the performance objectives of 60.111(a).	2.7	Yes

CONSULTATION DRAFT

Table 8.3.2.3-1. Design criteria for the geologic repository operations area (page 8 of 8)

Design criteria of 10 CFR 60.131 through 60.133	Issue that addresses the criterion[a]	Are site data needed to address the criterion?
(2) Assure continued function during normal operations and under accident conditions.	2.7, 4.2, 4.4	Yes
(3) Separate the ventilation of excavation and waste emplacement areas.	2.7,4.4	No
(h) Engineered barriers.	1.11	Yes
Engineered barriers shall be designed to assist the geologic setting in meeting the performance objectives for the period following permanent closure.		
(i) Thermal loads.	1.11	Yes
The underground facility shall be designed so that the performance objectives will be met taking into account the predicted thermal and thermomechanical response of the host rock, and surrounding strata, groundwater system.		

[a]The SCP sections addressing the issues listed in this column are as follows: Issue 1.11, configuration of underground facilities (postclosure)(Section 8.3.2.2); Issue 2.4, waste retrievability (Section 8.3.5.2); Issue 2.7 (this section); Issue 4.2, nonradiological health and safety (Section 8.3.2.4); and Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5).

[b]NA = not applicable.

[c]The NNWSI Project has a ramp instead of a hoist, which will be used to transport waste underground in transporters. Accidents involving the transport of waste underground, such as a runaway transporter, are being investigated.

CONSULTATION DRAFT

while Performance Issues 2.1 through 2.3 will address compliance with regulatory limits. (Note that there are currently no regulatory dose limits for repository accidents.)

Application of the issue resolution strategy

The logic to be used in the resolution of this issue is illustrated in the logic diagram shown in Figure 8.3.2.3-2. This diagram depicts how the generic approach to issue resolution described in Section 8.1 and 8.2 is to be applied to this issue. The first step of this process, identifying regulatory requirements, has already been discussed previously under "regulatory basis for the issue." The following discussions will explain each of the remaining steps shown in the logic diagram for the resolution of this issue.

Identification of functional requirements. To allocate performance to the engineered systems of the Yucca Mountain mined geological disposal system (MGDS), the functions of the MGDS with respect to this issue must be identified. These functions of the MGDS may be taken from Table 8.3.2.3-1. These functions and what might be called subfunctions are listed below with some elaboration on each. The portion of 10 CFR 60.131 through 60.133 that the function or subfunction is intended to address is also listed in parentheses.

Function 1: Radiological protection. The first function of the repository is to maintain radiation doses, levels, and concentrations of radioactive material in restricted areas within the limits specified in 10 CFR Part 20. This function is intended to produce a design that will protect the radiological health and safety of both the repository workers and the public. Radiological protection involves many activities; therefore, it has been subdivided into 11 subfunctions. These subfunctions are labeled with letters that correspond to the designators used in the performance goal and parameters tables presented later in this section and are as follows:

A. Limit concentration of radioactive materials in air (10 CFR 60.131(a)(1)).

B. Limit time required to perform work in the vicinity of radioactive materials (10 CFR 60.131(a)(2)).

C. Provide suitable shielding where necessary (10 CFR 60.131 (a)(3)).

D. Monitor and control the dispersal of radioactive contamination (10 CFR 60.131(a)(4)).

E. Control access to high radiation areas (10 CFR 60.131(a) (5)).

F. Provide radiation alarm systems for worker protection (10 CFR 60.131(a)(6)).

G. Monitor and control radioactive materials in repository effluents (10 CFR 60.132(c)).

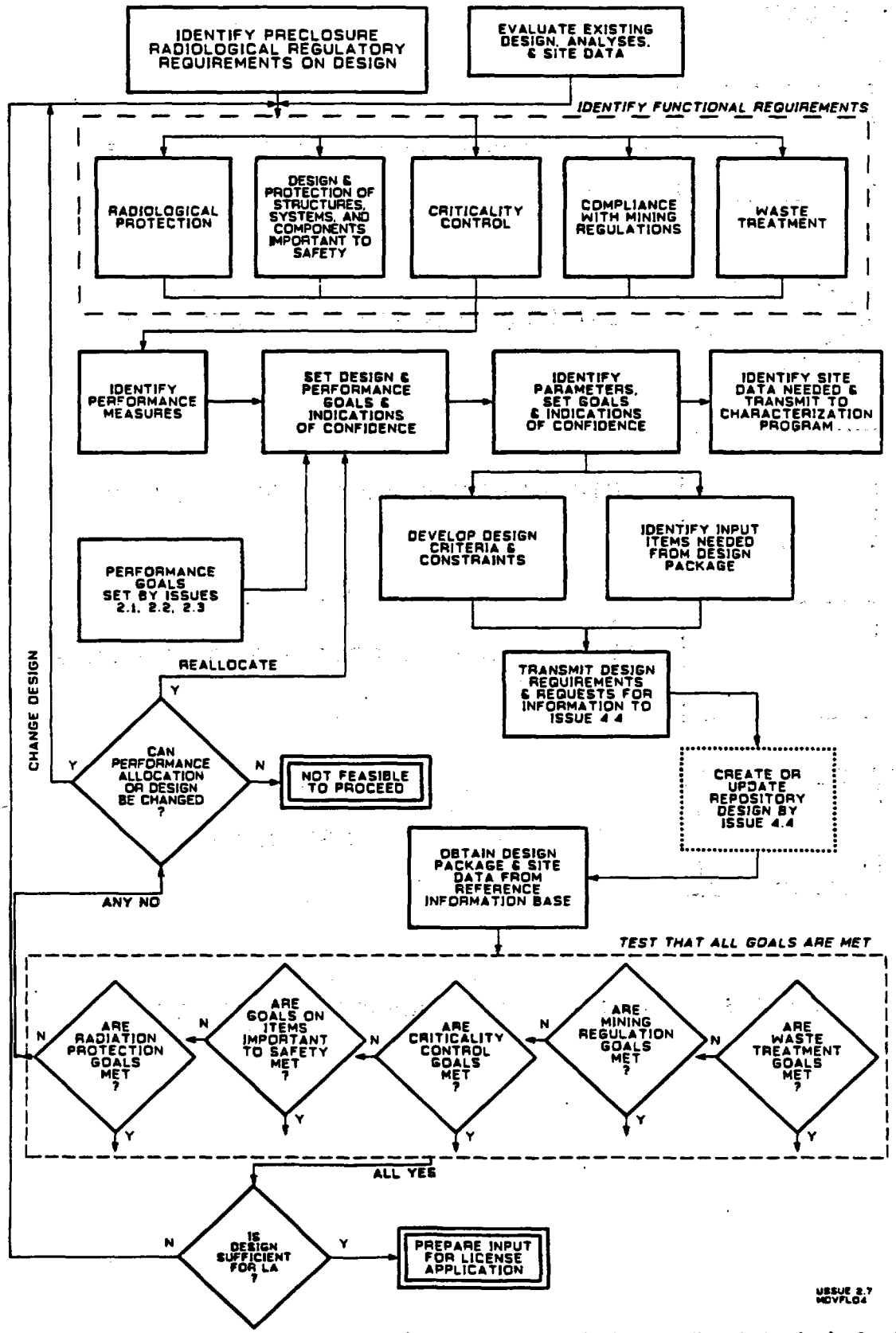
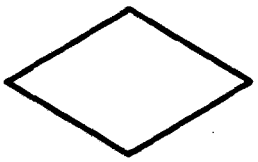


Figure 8.3.2.3-2a. Logic diagram for Issue 2.7 (repository design criteria for radiological safety). See Figure 8.3.2.3-2b for legend. Section 8.3.2.1 describes the relationships and interfaces between design and perfromance issues.

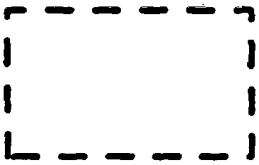
LEGEND

ACTIVITY PERFORMED TO RESOLVE ISSUE



TEST TO DETERMINE SUBSEQUENT ACTIVITY

ACTIVITY PERFORMED BY INDICATED ISSUE



ACTIVITY WITH MULTIPLE SIMILAR ACTIVITIES OR TESTS

DECISION ABOUT ISSUE RESOLUTION

Y - YES N - NO LA - LICENSE APPLICATION

Figure 8.3.2.3-2b. Legend for Figure 8.3.2.3-2a.

H. Provide radiation alarm systems for repository effluents (10 CFR 60.131(a)(6)).

I. Provide safe handling and storage of waste whether awaiting emplacement or being retrieved (10 CFR 60.132 (a)).

J. Provide repository facility ventilation systems that will protect against radiation exposure (10 CFR 60.132 (b) and 10 CFR 60.133 (g)).

K. Assure the continued function and separation of ventilation systems during normal operations and under accidents conditions (10 CFR 60.132(b) and 10 CFR 60.133(g)).

Function 2: Design and protection of structures, systems, and components (SS&C) important to safety (ITS). This function is intended to produce a design that provides assurance that radiation safety design features will operate effectively when they are needed. This function also involves many activities and has been subdivided into eight subfunctions. These eight subfunctions are also labeled with the letter designators used later in this section and are as follows:

L. Protect structures, systems, and components important to safety against natural phenomena and environmental conditions (e.g., rockburst) (10 CFR 60.131(b)(1)).

M. Protect structures, systems, and components important to safety against dynamic effects of equipment failure and similar events (10 CFR 60.131(b)(2)).

N. Protect structures, systems, and components important to safety against fires and explosions (10 CFR 60.131(b)(3)).

O. Ensure that structures, systems, and components important to safety will maintain control of radioactive materials, permit prompt termination of operations, and allow evacuation of personnel during an emergency (10 CFR 60.131(b)(4)(i)).

P. Ensure that utilities important to safety will continue their safety functions during emergencies (10 CFR 60.131(b)(5)).

Q. Ensure that structures, systems, and components important to safety will facilitate inspection, testing, and maintenance (10 CFR 60.131(b)(6)).

R. Provide timely backup power when needed and during emergencies (10 CFR 60.131(b)(5)).

8. Provide instrumentation and control systems to monitor and control structures, systems, and components important to safety for all anticipated ranges of operation (10 CFR 60.131(b)(8)).

Function 3: Criticality control. This function will provide assurance that all systems for processing, transporting, handling, storage, retrieval, emplacement, and isolation of radioactive material are designed to ensure that a criticality accident is not credible (10 CFR 60.131(b)(7)).

Function 4: Compliance with mining regulations. This function will ensure that requirements of 30 CFR Chapter I, Subchapters D, E, and N that are applicable to protection of workers who perform a safety function will be incorporated into the design of the repository (10 CFR 60.131(b)(9)).

Function 5: Waste treatment. This function will ensure that waste treatment facilities are designed to permit safe offsite disposal of site-generated waste in accordance with applicable regulations (10 CFR 60.132(d)).

Allocation of performance. The next four steps after the identification of functional requirements include the bulk of the performance allocation process. In these steps, performance measures, performance goals, and needed parameters are developed. The results of these steps are in Tables 8.3.2.3-2 and 8.3.2.3-3.

The functions that organize these tables were derived directly from 10 CFR 60.131 through 60.133. Performance measures developed for each function provide the means to measure success in performing the required functions. Performance goals were developed such that if the goals are met, the function is satisfactorily completed. The parameters listed in Table 8.3.2.3-3 for each performance goal are those site data needed to calculate the performance measure to be compared with the performance goal.

Development of design criteria and constraints. This issue develops design objectives and criteria to be used by Issue 4.4 (preclosure design and technical feasibility) in designing the repository. These design objectives are listed as performance goals in Table 8.3.2.3-2. In general, the basis for developing numerical performance goals has been to assume one-fifth of the limits specified in DOE Order 5480.1, Chapter XI (DOE, 1980). Of all these performance goals, a few stand out as the major design objectives for radiological safety. The first of these comes out of the shielding function and establishes that radiation fields in all normally occupied areas (or areas to which workers have free access) shall be less than 0.5 mrem/h. Any areas of the repository with radiation fields that cannot be reduced to below 0.5 mrem/h shall have controlled access and other procedural controls to limit doses to workers. Another design objective is that concentrations of airborne radionuclides (including radon and short-lived radon daughters) shall be as low as reasonably achievable (ALARA) below a design objective of one-fifth the values listed in 10 CFR 20, Appendix B, Table I, Column I. (Since radon is a naturally occurring radionuclide, it is not strictly within the scope of 10 CFR Part 20 or Subpart A of 40 CFR Part 191; however, radon releases are considered within the scope of this issue.) A third important design objective is the requirement to maintain releases of radioactive materials in repository effluents below levels that would result in offsite

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 1 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
			RADIOLOGICAL PROTECTION (FUNCTION 1)		
A Limit concentrations of radioactive materials in air	Monitor concentrations of radioactive materials in repository airstreams	Concentrations of radioactive materials in repository airstreams	A1 Concentrations of radioactive materials in normally occupied areas do not exceed 20% of the values listed in in 10 CFR 20, Appendix B, Table 1, Column 1	(a)	No
			A2 Concentrations of non-naturally occurring radioactive materials in controlled access areas do not exceed the values listed in 10 CFR 20, Appendix B, Table 1, Column 1	High	Yes
			A3 Concentrations of naturally occurring radon and short-lived radon daughters such that annual exposure is less than 4 Working Level Months as defined in 30 CFR 57.2	High	Yes
	Filter exhaust air from potentially contaminated areas	Concentrations of radioactive materials in repository airstreams	See A1, A2, and A3 above	High	Yes
	Maintain gaseous radionuclides in repository airstreams below acceptable levels	Concentrations of radioactive materials in repository airstreams	See A1, A2, and A3 above	High	Yes

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 2 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
		RADIOLOGICAL PROTECTION (FUNCTION 1) (continued)			
B Limit time required to perform work in the vicinity of radioactive materials	Limit time of occupancy for tasks in radiation fields greater than 0.5 mrem/h	Time required to perform tasks in radiation fields greater than 0.5 mrem/h	B1 Time limited such that the as low as reasonably achievable (ALARA) principle is met	(a)	No
			B2 Combined annual individual worker dose less than 1 rem by as much as reasonable	(a)	No
	Provide remote handling capability for long-term task in radiation fields greater than 0.5 mrem/h	Dose reduction due to use of remote handling equipment	B3 Dose reduction enough to justify use of remote handling equipment	(a)	No
C Provide suitable shielding materials	Provide shielding to reduce radiation fields to below 0.5 mrem/h in normally occupied work areas	Radiation fields in normally occupied work areas	C1 Radiation fields less than 0.5 mrem/h by as much as is reasonable	(a)	No
	Utilize shielding properties of the host rock in limiting exposure of workers to direct radiation	Dose reduction factor attributable to host rock shielding properties	C2 Dose reduction factor properties similar to concrete	Medium	Yes
D Monitor and control the dispersal of radioactive contamination	Monitor contamination by using				
	- Stationary radiation monitoring systems	Contamination levels outside contamination areas	D1 Doses due to contamination insignificant	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 3 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
		RADIOLOGICAL PROTECTION (FUNCTION 1) (continued)			
Subfunction D (continued)	- Portable radiation monitors	Contamination levels outside contamination areas	D2 Doses due to contamination insignificant	High	Yes
	- Personnel dosimetry	Contamination on personnel	D3 Doses due to contamination insignificant	(a)	No
	Control dispersal of contamination by				
	- Providing access control to potential contamination areas	See subfunction E, performance measures	D4 See subfunction E, goals	(a)	No
	- Providing ventilation control and cleanup of airstreams	See subfunction J, performance measures	D5 See subfunction J, goals	High	Yes
	- Decontamination of contaminated items and equipment	Residual contamination	D6 Use Regulatory Guide 1.86 (NRC, 1974), methods and meet goals	(a)	No
	- Collection of site-generated waste	Residual contamination due to site-generated waste	D7 No residual contamination	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 4 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
RADIOLOGICAL PROTECTION (FUNCTION 1) (continued)					
E Control access to high radiation areas	Provide signs and personnel barriers to prevent access by unauthorized personnel	Visibility of signs	E1 Compliance with 10 CFR 20.203	(a)	No
			E2 Adequate visibility	Medium	No
		Distribution and location of signs	E3 Compliance with 10 CFR 20.203	(a)	No
			E4 Adequate distribution	(a)	No
		Effectiveness of personnel barriers	E5 Adequate barrier effectiveness	(a)	No
	If necessary, provide guards to prevent access by unauthorized personnel	Effectiveness of guard	E6 No unauthorized access	(a)	No
	Provide procedural requirements to control access to high radiation areas	Effectiveness of procedures	E7 Adequate procedures to control access	(a)	No
F Provide radiation alarm systems for worker protection	Provide visual alarm systems to alert workers if radiation levels exceed established design levels	Visibility of alarm system	F1 Adequate visibility	(a)	No
		Accuracy and reliability of alarm system	F2 Adequate accuracy and reliability of alarm system	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 5 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
RADIOLOGICAL PROTECTION (FUNCTION 1) (continued)					
Subfunction F (continued)	Provide audible alarm systems to alert workers if radiation levels exceed established design levels	Loudness and noticeability of alarm system	F3 Consistency with Regulatory Guide 8.5 (NRC, 1981)	(a)	No
		Accuracy and reliability of alarm system	F4 Adequate accuracy and reliability of alarm system	(a)	No
G Monitor and control radioactive materials in repository effluents	Detect radioactive materials in repository effluents streams	Ability to detect radioactive materials in repository effluent streams	G1 Adequate detection of significant radionuclides in repository effluents	(a)	No
			G2 Detection precision consistent with industry standard and adequate to demonstrate compliance with goals	(a)	No
	Control radioactive materials in repository effluent streams	Ability to control radioactive materials in repository effluent streams	G3 Adequate control of significant radionuclides in repository effluents	(a)	No
			G4 Maintain releases of radioactive materials at or below levels that would result in offsite individual 50-yr dose equivalents of 25 mrem/yr (see page 8.10 of ALARA guide; Kathren et al., 1980)	High	Yes
H Provide radiation alarm systems for repository effluents	Provide visual alarm systems to alert workers if radiation levels in effluents exceed established design levels	See subfunction F, performance measures	H1 See subfunction F, goals	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 6 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
		RADIOLOGICAL PROTECTION (FUNCTION 1) (continued)			
Subfunction H (continued)	Provide audible alarm systems to alert workers if radiation levels in effluents exceed established design levels	See subfunction F, performance measures	H2 See subfunction F, goals	(a)	No
I Provide safe handling and temporary storage of waste whether awaiting emplacement or being retrieved	See subfunction B, limit work time in vicinity of radioactive materials	See subfunction B, performance measures	I1 See subfunction B, goals	(a)	No
	See subfunction C, provide suitable shielding	See subfunction C, performance measures	I2 See subfunction C, goals	High	Yes
	Maintain combined individual worker doses from all sources of radiation below annual design objective	Combined annual individual worker doses from all sources of radiation	I3 Combined annual individual worker doses from all sources of radiation less than 1 rem and ALARA	(a)	No
J Provide repository facility ventilation systems that will protect against radiation exposure	Maintain airflow in direction from areas of lower contamination potential to areas of higher contamination potential	Pressure differentials between radiation zones	J1 Between 0.1 and 0.5 in. WG	High	Yes
		Potential for accidental flow reversals	J2 Accidental flow reversal precluded	(a)	No
		Flow rate in highly contaminated areas	J3 Adequate room changes per hour in areas of highest contamination per hour (e.g., isolation zones and hot cells)	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 7 of 13)

Subfunction	Process or activity	Performance measure		Tentative goal	Needed confidence	Site data?
		RADIOLOGICAL PROTECTION (FUNCTION 1) (continued)				
Subfunction J (continued)	Separate ventilation systems of surface waste-handling areas from other surface areas	Leakage between systems	J4	Very low leakage	(a)	No
		Pressure differential between separate systems	J5	Between 0.1 and 0.5 in. WG	(a)	No
	Separate ventilation systems of underground waste-handling and emplacement areas from mining development areas	Leakage between systems	J6	Very low leakage	(a)	No
		Pressure differential between separate systems	J7	Between 0.1 and 0.5 in. WG	(a)	No
	Provide close-capture ventilation exhaust systems when necessary	System capture efficiency	J8	Adequate capture efficiency	(a)	No
	Filter airstream and exhausts when necessary	Decontamination factor	J9	Adequate decontamination factor	High	Yes
		Number of filter banks	J10	Single stage available for normally clean areas	High	Yes
			J11	Two stage in service for expected contamination areas	(a)	No
	Flow pattern of ventilation should direct potential contamination away from personnel	Flow patterns of ventilation systems	J12	Potential contamination always directed away from personnel and to filterable exhausts	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 8 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
DESIGN AND PROTECTION OF STRUCTURES, SYSTEMS, AND COMPONENTS IMPORTANT TO SAFETY (FUNCTION 2)					
K Protect structures, systems, and components important to safety against natural phenomena and environmental conditions	House structures, systems, and components important to safety in buildings designed to withstand credible natural phenomena and environmental conditions	Effects of credible natural phenomena and natural conditions on structures, systems, and components important to safety	K1 Structures, systems, and components function as designed to meet radiological dose limits	High	Yes
L Protect structures, systems, and components important to safety against dynamic effects of equipment failure and similar events	House structures, systems, and components important to safety in structures designed to withstand dynamic effects of equipment failures and similar events	Response of structures, systems, and components important to safety to dynamic effects of equipment failure and similar events	L1 Structures, systems, and components function as designed to meet radiological dose limits	(a)	No
M Protect structures, systems, and components important to safety against fires and explosions	House structures, systems, and components important to safety in structures with fire and explosion detection/suppression equipment	Effects of fires, explosions, and suppression systems on structures, systems, and components important to safety	M1 Structures, systems, and components function as designed to meet radiological dose limits	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 9 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
DESIGN AND PROTECTION OF STRUCTURES, SYSTEMS, AND COMPONENTS IMPORTANT TO SAFETY (FUNCTION 2) (continued)					
N Ensure that structures, systems, and components important to safety will maintain control of radioactive materials, permit prompt termination of operations, and allow evacuation of personnel during emergencies	Design structures, systems, and components important to safety to maintain control of radioactive material during emergencies	Maintenance of control of radioactive material during design-basis accidents	N1 Control of radioactive material maintained such that dose limits are met	(a)	No
	Design structures, systems, and components important to safety to permit prompt shutdown of nonsafety related operations during an emergency	Time to shutdown nonsafety related operations during design-basis accidents	N2 Prompt shutdown of nonsafety related operations during design-basis accidents	(a)	No
	Design structures, systems, and components important to safety so that they facilitate the evacuation of the repository during emergencies	Effects of structures, systems, and components important to safety on evacuation during an emergency	N3 Minimal effect of structures, systems, and components important to safety on evacuation of personnel	(a)	No
O Ensure that utilities important to safety will continue their safety function during emergencies	Design utilities important to safety to continue their safety functions during conditions anticipated during an emergency	Ability of utilities important to safety to continue to perform safety functions during design-basis accidents	O1 Utilities important to safety continue to perform safety functions during design-basis accidents	High	Yes

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 10 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
DESIGN AND PROTECTION OF STRUCTURES, SYSTEMS, AND COMPONENTS IMPORTANT TO SAFETY (FUNCTION 2) (continued)					
P Ensure that structures, systems, and components important to safety will facilitate inspection, testing, and maintenance	Design structures, systems, and components important to safety to facilitate inspection, testing, and maintenance	Complexity of inspection operations for structures, systems, and components important to safety	P1 Inspection of structures, systems, and components important to safety relatively simple	(a)	No
		Complexity of testing operations for structures, systems, and components important to safety	P2 Testing of structures, systems, and components important to safety relatively simple	(a)	No
		Complexity of maintenance operations for structures, systems, and components important to safety	P3 Maintenance of structures, systems, and components important to safety relatively simple	(a)	No
Q Provide timely backup power to structures, systems, and components important to safety when needed and during emergencies	Design power systems with backup power capability (diesel generators or other suitable means)	Capacity of backup power supply	Q1 Sufficient capacity to operate all structures, systems, and components important to safety	(a)	No
		Time for backup power supply to become operable	Q2 Response time adequate to serve structures, systems, and components important to safety	(a)	No
R Provide instrumentation and control systems to monitor and control structures, systems, and components important to safety for all anticipated ranges of operation	Design structures, systems, and components with instrumentation and control systems	Range of operation of monitoring instrumentation	R1 Monitors operable for anticipated ranges of system operation, including design-basis accident conditions	High	Yes
		Range of operation of control instrumentation	R2 Control systems operable for anticipated ranges of system operation, including design-basis accidents	High	Yes

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 11 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
DESIGN AND PROTECTION OF STRUCTURES, SYSTEMS, AND COMPONENTS IMPORTANT TO SAFETY (FUNCTION 2) (continued)					
Subfunction R (continued)		Ability to control structures, systems, and components important to safety	R3 Adequate control of essential functions of structures, systems, and components important to safety	High	Yes
		CRITICALITY CONTROL (FUNCTION 3)			
S Criticality control	Maintain control of geometry of waste configurations in all phases of waste handling and disposal	Geometry of waste storage arrays	S1 Geometries unfavorable to nuclear criticality	(a)	No
		Geometry of waste package configurations	S2 Geometries unfavorable to nuclear criticality	(a)	No
		Geometry of emplaced waste	S3 Geometries unfavorable to nuclear criticality	(a)	No
		Possibility of accidental disruption of waste geometry leading to unfavorable configurations	S4 Very low probability	High	Yes
	Maintain subcritical assemblies and masses of fissile material in all phases of waste handling and disposal	Mass and fissile content of waste storage arrays	S5 Masses and fissile contents subcritical (see goal S13)	(a)	No
		Mass and fissile content of waste package configurations	S6 Masses and fissile contents subcritical (see goal S13)	(a)	No
		Mass and fissile content of emplaced waste	S7 Masses and fissile contents subcritical (see goal S13)	(a)	No
		Possibility of accidental disruption of waste leading to unfavorable concentrations of fissile materials	S8 Very low probability	High	Yes

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 12 of 13)

Subfunction	Process or activity	Performance measure		Tentative goal	Needed confidence	Site data?
		CRITICALITY CONTROL (FUNCTION 3) (continued)				
Subfunction S (continued)	Control the introduction of any neutron moderating materials to waste containing areas	Moderating materials in or around waste storage arrays	S9	No significant moderating materials	(a)	No
		Moderating materials in or around waste package configurations	S10	No significant moderating materials	(a)	No
		Moderating materials in or around emplaced waste	S11	No significant moderating materials	High	Yes
		Possibility of accidental introduction of moderating materials into areas containing waste	S12	Very low probability	High	Yes
	Neutron multiplication	K_{eff} of fissile materials	S13	K_{eff} <0.95 as specified in 10 CFR 60.131(b)(7)	High	Yes
		COMPLIANCE WITH MINING REGULATIONS (FUNCTION 4)				
T Comply with mining regulations	Protection of workers who perform assigned safety function	Ability of workers to perform assigned safety functions	T1	Workers perform their assigned safety functions	(a)	No
		WASTE TREATMENT (FUNCTION 5)				
U Treat waste	Collect secondary site-generated radioactive waste from waste handling areas	Fraction of site-generated waste collected	U1	Site-generated waste collected	(a)	No

CONSULTATION DRAFT

Table 8.3.2.3-2. Functions, performance measures, and performance goals for Issue 2.7 (repository design criteria for radiological safety) (page 13 of 13)

Subfunction	Process or activity	Performance measure	Tentative goal	Needed confidence	Site data?
		WASTE TREATMENT (FUNCTION 5) (continued)			
Subfunction U (continued)	Treat and stabilize or recycle secondary site-generated waste collected	Fraction of site-generated waste treated and stabilized or recycled	U2 Site-generated waste treated and stabilized or recycled	(a)	No
	Package treated and stabilized secondary site-generated waste for disposal offsite	Fraction of treated and stabilized site-generated waste packaged	U3 Treated and stabilized site-generated waste packaged	(a)	No

[a]Needed confidence for nonsite related data will be provided in the repository design plan (RDP) or other design monitoring documents.

CONSULTATION DRAFT

Table 8.3.2.3-3. Parameters required for Issue 2.7 (repository design criteria for radiological safety (page 1 of 6)

Related performance goal[a]	Performance or design parameter	Parameter descriptor	Tentative parameter goal	Needed confidence	Expected parameter value	Current confidence	SCP section providing parameter
A1, D2, G2, J1, J9, J10	Dust and particle size distributions	Underground and at site	1 to 10 micron, normal	High	Data not available	Data not available	(c)
A3	Radon emanation rate from tuff	TSw2 unit[b]	(d)	High	0.48 pCi per square meter per second	Low	8.3.1.15, (c)
C2, I2, S13	Bulk density of host rock	TSw2 unit	(d)	High	2.26 to 2.32 g/cc	Medium	8.3.1.15
C2, I2, S11, S13	Elemental composition of host rock	TSw2 unit	Normal composition for tuffs	High	Normal composition for tuffs	Medium	8.3.1.3
C2, I2, S11, S13	Water content of host rock	TSw2 unit	(d)	High	65% saturation	Medium	8.3.1.2
G4	Wind speeds	80 km radius	(d)	High	See Figures 5-3 to 5-7, and Tables 5-6 and 5-7	Medium	8.3.1.12
G4	Wind direction	80 km radius	(d)	High	See Figures 5-3 to 5-7, and Tables 5-6 and 5-7	Medium	8.3.1.12
G4	Atmospheric stability	80 km radius	(d)	Medium	See Table 5-11	Medium	8.3.1.12
G4	Mixing layer depth	80 km radius	(d)	Medium	(e)	Medium	8.3.1.12
G4	Average ambient temperature	80 km radius	(d)	Medium	See Tables 5-2 and 5-3	Medium	8.3.1.12

CONSULTATION DRAFT

Table 8.3.2.3-3. Parameters required for Issue 2.7 (repository design criteria for radiological safety) (page 2 of 6)

Related performance goal[a]	Performance or design parameter	Parameter descriptor	Tentative parameter goal	Needed confidence	Expected parameter value	Current confidence	SCP section providing parameter
G4	Atmospheric moisture	80 km radius	(d)	Medium	See Tables 5-2 and 5-5	Medium	8.3.1.12
G4	Precipitation: type, amount, intensity, etc.	80 km radius	(d)	Medium	See Tables 5-2 and 5-4	Medium	8.3.1.12
G4	Barometric pressure	80 km radius	(d)	Medium	See Table 5-2	Medium	8.3.1.12
G4	Size and distance of topographic features from release points	80 km radius	Topographic features beneficial to dispersion	Medium	See U.S. Geological Survey topographic maps	High	Literature
G4	Bioaccumulation of radionuclides in terrestrial flora	80 km radius	(d)	Medium	1×10^{-28} to 1×10^{-14} Ci/kg[f]	Medium	(c)
G4	Bioaccumulation of radionuclides in terrestrial fauna	80 km radius	(d)	Medium	1×10^{-25} to 1×10^{-15} Ci/kg[g]	Medium	(c)
G4	Types of crops raised	80 km radius	(d)	Medium	(h)	Medium	(c)
G4	Amounts of crops raised	80 km radius	(d)	Medium	1×10^{4} to 1×10^{7} kg/yr[i]	Medium	(c)
G4	Types of crops consumed	80 km radius	(d)	Medium	(j)	Medium	(c)
G4	Amounts of crops consumed	80 km radius	(d)	Medium	1×10^{4} to 1×10^{5} kg/yr	Medium	(c)

CONSULTATION DRAFT

Table 8.3.2.3-3. Parameters required for Issue 2.7 (repository design criteria for radiological safety) (page 3 of 6)

Related performance goal[a]	Performance or design parameter	Parameter descriptor	Tentative parameter goal	Needed confidence	Expected parameter value	Current confidence	SCP section providing parameter
G4	Types of animals raised	80 km radius	(d)	Medium	(k)	Medium	(c)
G4	Amounts of animals raised	80 km radius	(d)	Medium	1×10^1 to 1×10^6 kg/yr	Medium	(c)
G4	Types of animals consumed	80 km radius	(d)	Medium	(l)	Medium	(c)
G4	Amounts of animals consumed	80 km radius	(d)	Medium	1×10^4 to 1×10^6 kg/yr	Medium	(c)
G4	Animal consumption of forage	80 km radius	(d)	Medium	1×10^1 to 1×10^4 kg/yr	Medium	(c)
G4	Forage storage time	80 km radius	Goal is values given in Reg. guide 1.109 (NRC, 1977)	Medium	Data not available	Data not available	(c)
G4	Grazing yield and period	80 km radius	(d)	Medium	75 to 100% of the year	High	(c)
G4	Radius of crop and animal area	80 km radius	(d)	Medium	50 km to bulk of cropland and farms (W to SW)	High	(c)
G4	Volumetric flow of surface water to water bodies	80 km radius	Little or no surface run-off	Medium	Environmental assessment, Section 3.3.1 (DOE, 1986b)	Medium	(c)

CONSULTATION DRAFT

Table 8.3.2.3-3. Parameters required for Issue 2.7 (repository design criteria for radiological safety) (page 4 of 6)

Related performance goal[a]	Performance or design parameter	Parameter descriptor	Tentative parameter goal	Needed confidence	Expected parameter value	Current confidence	SCP section providing parameter
G4	Recreational uses of water bodies	80 km radius	Very little recreational use of water	Low	(m)	(m)	(c)
J1	Repository design ventilation pressure drop conditions	Underground	See Section 8.3.2.5	High	See Section 8.3.2.5	See Section 8.3.2.5	8.3.2.5
D5, J9, J10, K1, O1, R1, R2, R3, S4, S8, S12	Frequency and magnitudes of						
	- Tornadoes	At facility	(d)	High	See Section 5.1.1.6[n]	Medium	8.3.1.12
	- Cloud-to-ground lightning strikes	At facility	(d)	Medium	About 18 per year, magnitude unknown	Medium	8.3.1.12
	- Sandstorms/windstorms	At facility	(d)	High	See Table 5-8, and Section 5.1.1.6	Medium	8.3.1.12
	- Snowfall/ice storms	At facility	Rare, low magnitude	High	Rare, low magnitude	Medium	8.3.1.12
	- Repository surface flooding	At facility	PMF[o]	High	PMF[o]	Medium	8.3.1.16
	- Surface and subsurface seismic events	In region	(d)	High	(p)	(p)	8.3.1.17

CONSULTATION DRAFT

Table 8.3.2.3-3. Parameters required for Issue 2.7 (repository design criteria for radiological safety) (page 5 of 6)

Related performance goal[a]	Performance or design parameter	Parameter descriptor	Tentative parameter goal	Needed confidence	Expected parameter value	Current confidence	SCP section providing parameter
	- Fault movement within the repository	Surface and subsurface	(d)	High	See Section 1.5.2	Medium	8.3.1.17
D5, J9, J10, K1, O1, R1, R2, R3, S4, S8, S12	- Drift roof fall/ collapse/	Underground failure	(q)	Medium	Data not available	Data not available	8.3.2.4
	- Landslides	At facility	(q)	Medium	Data not available	Data not available	8.3.1.14
	- Volcanic ash fall	At facility	(q)	Medium	Data not available	Data not available	8.3.1.17
	- Nearby brush fires	Near facilities	(q)	Low	Data not available	Data not available	8.3.1.13
	- Aircraft crashes	At facility	(q)	High	1×10^{-6} to 1×10^{-7} per year	Medium	8.3.1.13
	- Criticality events	In surface and subsurface facilities	Criticality events precluded	High	Not credible	High[r]	8.3.5.5
	- Other potential accidents	Natural or site-related	(s)	High	(s)	(s)	PRAM Program[t]

[a]The letters in this column key the performance parameters to the tentative goals in Table 8.3.2.3-2.
[b]TSw2 unit is the nonlilthophysal Topopah Spring unit (repository horizon).
[c]Collection of these data are part of the environmental program planned activities and is addressed in the Radiological Monitoring Plan discussed in Section 8.3.1.13 (offsite installations).

CONSULTATION DRAFT

Table 8.3.2.3-3. Parameters required for Issue 2.7 (repository design criteria for radiological safety) (page 6 of 6)

Footnotes (continued)

[d]Tentative goal is to have further measurements of this parameter verify the range of expected values listed here.
[e]See Quiring (1968).
[f]This range covers all flora for which data is now available, specific values are flora and radionuclide specific.
[g]This range covers all fauna for which data is now available, specific values are fauna and radionuclide specific.
[h]Crops include wheat/grains, corn, apples, potatoes, alfalfa, alfalfa seed, hay, silage, peppers, melons, berries, pecans, leafy vegetables, and honey.
[i]Specific values depend on available crops, crop areas, and crop densities.
[j]Includes all crops listed in footnote h except alfalfa, hay, and silage.
[k]Includes beef cattle, dairy cattle, goats, hogs, sheep, and poultry.
[l]Includes quail, freshwater fish, duck, geese, rabbit, and deer, plus those listed in footnote k.
[m]Very limited use of Crystal Reservoir; swimming pool data not yet available.
[n]Probability at Yucca Mountain is approximately 7.5×10^{-4} in any given year; Magnitude is F-0 on Fujita tornado scale (very weak).
[o]PMF = probable maximum flood; the PMF is still under investigation.
[p]Information on seismic events may be found in Section 8.3.1.17.
[q]Parameter goal to be evaluated in terms of frequency and consequence.
[r]Design will preclude criticality accidents per 10 CFR 60.131(b)(7).
[s]Other accident-specific goals to be evaluated as appropriate under preclosure risk assessment methodology (PRAM).
[t]PRAM = preclosure risk assessment methodology.

CONSULTATION DRAFT

individual 50-yr whole-body dose equivalents of 25 mrem/yr (40 CFR 191.03). This value is considered to embody the ALARA principle (Kathren et al., 1980). A final design objective is to maintain combined annual individual worker doses from all sources of radiation to less than 1 rem if consistent with NRC ALARA requirements. To be consistent with the ALARA principle, lower levels of radiation will be achieved if it is reasonable to do so. It should be noted that based on International Committee on Radiation Protection, Publications 26 and 30 (ICRP, 1977; 1978), current regulations are undergoing changes that may require changes in these design criteria.

Specific design products from Issue 4.4 required as input items for this issue are also transmitted from this issue; however, at this time no specific design products or input items other than the repository reference design, operating plan, and supporting analyses have been identified. After design criteria and requests for specific products have been transmitted to Issue 4.4, the repository design is created or updated and placed in the reference information base. The repository reference design, operating plan, and supporting analyses are then available to Issue 2.7 and the performance issues for evaluation.

Test that all goals are met. Once the repository reference design, operating plan, and supporting analyses are ready for evaluation, an assessment will begin of the design against its design objectives. This issue will use the most current site data to perform its assessment of the design; therefore, if site data in the reference information base has been updated since the repository design for the current phase was completed, it is possible that the design of the repository may need to be updated on the basis of the assessment under Issue 2.7. Issues 2.1, 2.2, and 2.3 will perform performance assessments of the repository design against applicable performance criteria after the design evaluation.

The design evaluation consists of a comparison of the design with the design criteria and objectives. If any objectives are not satisfied, both the design and the unsatisfied objectives will be examined to develop the appropriate solution. The solution may involve (1) changing the design of the repository or (2) changing a radiation safety design objective that has been shown to be unreasonable or unattainable. Necessary and reasonable objectives will not be altered. If the design cannot be corrected to meet necessary and reasonable objectives, then an unfavorable resolution of this issue has occurred. If the design can be corrected, then the corrected design is reassessed against the design objectives.

Part of the design assessment is an evaluation of whether the ALARA principle has been satisfied. This will require cost-benefit analyses and tradeoff studies. Most of these studies will have been performed before taking the design into the advanced conceptual design phase; however, it may prove necessary to perform more of these analyses if new alternatives are developed after the design is substantially complete.

Upon satisfactory completion of the design assessment by this issue (i.e., all design objectives have been met and the ALARA principle has been adequately addressed), the final question to be asked is: Is the design sufficient for license application? If the answer to this question is no, then another iteration of the design process is performed and the design is

improved and more details are developed. If the answer to the question is yes, then a favorable resolution of Issue 2.7 has been achieved.

Interrelationships of information needs

The question asked by this issue addresses the design criteria listed in 10 CFR 60.131 through 60.133. These design criteria cover a wide range of topics; however, this issue is only concerned with those design criteria that have radiological protection as their basis. In the preceding discussion, those design criteria that have radiological protection as their basis were grouped into five functions. Those five functions form the basis for the following information needs:

Information need	Subject
2.7.1	Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to radiological protection have been met.
2.7.2	Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to the design and protection of structures, systems, and components important to safety have been met.
2.7.3	Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to criticality control have been met.
2.7.4	Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to compliance with mining regulations have been met. (This issue does not require site data and so is not discussed in the SCP).
2.7.5	Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to waste treatment have been met. (This issue does not require site data and so is not discussed in the SCP).

Information Need 2.7.1 calls for a determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to radiological protection have been met. This might be misleading because all the criteria (performance goals) under this issue pertain to radiological protection in one way or another. In this instance, those criteria pertaining to radiological protection are those that arise in the performance allocation for this issue under the function "radiological protection." These are shown in Table 8.3.2.3-2. This information need will evaluate the reference repository design, operating plan, and supporting analyses to assess compliance with these design criteria and objectives.

Information Need 2.7.2 calls for a determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design

objectives pertaining to the design and protection of structures, systems, and components important to safety have been met. The additional design objectives (performance goals) for this information need are in Table 8.3.2.3-2 under the function "design and protection of structures, systems, and components important to safety." The evaluation will involve the analysis of design-basis accidents and other less severe accidents that might affect structures, systems, and components important to safety. Many of these analyses will have been performed as part of the design process; these will be available for use in the Issue 2.7 evaluation.

Information Need 2.7.3 calls for a determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to criticality control have been met. The additional design objectives (performance goals) for this information need are in Table 8.3.2.3-2 under the function "criticality control." Evaluation of these design criteria and design objectives will entail the assessment of operating plans as well as repository equipment. When suggesting corrections to any problems that may be discovered, major emphasis will be placed on using simple design features rather than operating procedures to control criticality.

Information Need 2.7.4 calls for a determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to the required compliance with mining regulations have been met. The additional design objectives (performance goals) for this information need are in Table 8.3.2.3-2 under the function "compliance with mining regulations." Compliance with the mining regulations is required for those design criteria in 30 CFR Chapter I, Subchapters D, E, and N relevant to the design of structures, systems, and components important to safety. This information need will evaluate the reference repository design, operating plan, and supporting analyses to assess compliance with those design criteria in 30 CFR that are relevant to this issue.

Information Need 2.7.5 calls for a determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to the waste treatment have been met. The additional design objectives for this information need are in Table 8.3.2.3-2 under the function "waste treatment." The only regulatory design objective for waste treatment in 10 CFR Part 60 is for site-generated waste to be treated and packaged in a form suitable for onsite or offsite disposal. The current design basis is for offsite disposal. All additional design objectives (performance goals) are based on this choice. This information need will evaluate the reference repository design, operating plan, and supporting analyses to assess compliance with those design criteria and objectives.

These evaluations performed under Information Needs 2.7.1 through 2.7.5 will be performed in conjunction with each other. No single information need performs the final resolution of this issue. The final product of all the above information needs is a report that addresses each of the design criteria and design objectives and discusses the compliance or noncompliance of the repository design. This report will constitute the resolution of Issue 2.7 in the final design stages.

To answer the information needs, both design and site information is needed; however, only three of the information needs require site data:

Information Needs 2.7.1, 2.7.2, and 2.7.3. Information Need 2.7.1 requires site data to evaluate the design against design criteria and performance goals dealing with concentrations of radon and daughter products, use of host rock properties in providing shielding, and releases of radioactive materials to the environment. Information Need 2.7.2 requires site data to evaluate the design of structures, systems, and components important to safety with respect to protection from site-related accidents. Finally, to evaluate criticality control, Information Need 2.7.3 requires the same site data as Information Need 2.7.2. In the following sections discussing the information needs (i.e., Information Needs 2.7.1 in Section 8.3.2.3.1, and 2.7.2 and 2.7.3 in Section 8.3.2.3.2), only those information needs that require site data are addressed, and only those aspects of the information needs concerning site data are discussed.

The schedule information provided for information needs in this section includes the sequencing, interrelationships, and relative durations of the activities in the information need. Specific durations and start/finish dates for the activities are being developed as part of ongoing planning efforts and will be provided in the SCP at the time of issuance and revised as appropriate in subsequent semiannual progress reports.

8.3.2.3.1 Information Need 2.7.1: Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to radiological protection have been met

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

Chapter 3 contains discussions about the present state of the knowledge on the site hydrology, including uses of surface water and ground water. Section 4.1.2.6 (background radioactivity of repository ground water) discusses what is known about the radionuclide content of repository ground water to date. Chapter 5 contains discussions about the present state of knowledge on the meteorology of the site and surrounding region. Background radiation levels are discussed in Section 3.4.7 of the environmental assessment (DOE, 1986). Further discussions on the subject of radiological protection of the public and the workers may be found in Sections 6.1.1.4.1 (radiological protection design requirements), 6.4.4 (Issue 2.1: public radiological exposures--normal conditions), 6.4.5 (Issue 2.2: worker radiological safety--normal conditions), 6.4.6 (Issue 2.3: accidental radiological releases), and 6.4.7 (Issue 2.7: repository design radiological criteria for safety. Section 8.3.5.1 contains discussions of the preclosure risk assessment methodology (PRAM) program. The PRAM program includes radiological risk to the workers during normal operations as part of its scope. Sections 2.5 (radiological protection), 6.1 (radioactive releases during normal operations), and 6.2 (releases under abnormal conditions) of the Site Characterization Plan Conceptual Design Report (SCP-CDR) (SNL, 1987) also contain discussions relevant to this issue.

Parameters

As can be seen in Table 8.3.2.3-2, this information need requires mostly design information; therefore, the discussions here related to site data will be brief. The site parameters required by this information need are those needed to (1) determine concentrations of naturally occurring radon and short-lived daughters in the repository airstreams, (2) make use of the shielding properties of the host rock, and (3) quantify the transport of radioactive materials to the workers and to the public. The site data required by this information need along with the SCP section providing the information are listed in the following table.

Data requirement	SCP section
REPOSITORY ROCK AND GROUND-WATER DATA	
Radon emanation rate from the tuff	8.3.1.15.1
Elemental composition of the host rock	8.3.1.3.2
Bulk density of the host rock	8.3.1.15.1
Water content and saturation of the host rock	8.3.1.2.3
METEOROLOGICAL DATA	
Wind speeds in the region	8.3.1.12.2
Prevalent wind directions	8.3.1.12.2
Atmospheric stability of the area	8.3.1.12.2
Atmospheric mixing layer depth of the area	8.3.1.12.2
Average ambient temperature of the area	8.3.1.12.2
Atmospheric moisture of the area	8.3.1.12.2
Area precipitation, including type, amount, intensity, etc.	8.3.1.12.2
Dust and particle size distributions underground and on the site	(a)
Size and distance of major topographic features from release points	8.3.1.14.1

Data requirement	SCP section
AGRICULTURAL AND CULTURAL DATA	
Bioaccumulation of radionuclides in the terrestrial flora	(a)
Bioaccumulation of radionuclides in the terrestrial fauna	(a)
Types and amounts of crops raised	(a)
Types and amounts of crops consumed	(a)
Types and amounts of animals raised	(a)
Types and amounts of animals consumed	(a)
Animal consumption of forage	(a)
Forage storage time	(a)
Grazing yield and period	(a)
Radius or the crop and animal area	(a)
Volumetric flow of surface water to water bodies	(a)
Recreational uses of area water bodies	(a)

[a]Collection of these data is part of the environmental program planned activities and is addressed in the Radiological Monitoring Plan, which is discussed in Section 8.3.1.13.

Logic

Among the design objectives developed for this issue is a performance goal limiting the concentration of naturally occurring radon, a performance goal for the shielding properties of the host rock, and a performance goal limiting releases of radioactive materials based on eventual exposure of the workers and public. Site data that characterizes the radon source term is needed both for the creation of the design and for the evaluation of the effectiveness of the design in limiting the radon concentration. This data is listed in the previous table. To evaluate the shielding properties of the host rock, site data is needed that will allow the computation of neutron and gamma cross sections. Data of this type are composition and density data and are also listed in the previous table. To evaluate the effectiveness of the

repository in limiting releases of radioactive materials to acceptable levels, data are needed that will characterize the transport of any released radionuclides in the environment. These data include information on the short- and long-term pathways. In this instance, the short-term pathways are dominated by atmospheric transport. The meteorological data needed to characterize the atmospheric transport of radionuclides are listed in the table above. Long-term pathways include ingestion of radionuclides deposited on plants, contained in drinking and recreation waters, and deposited in animals that are consumed. Again, the data needed to assess these pathways are listed above.

The only activities under this issue are evaluations of the design at each design phase. This is actually supporting design by providing feedback to the designers to be used in the next phase of design or in modifying the current design. Section 8.3.2.5 (preclosure design and technical feasibility) contains a complete listing of design activities, including those to be performed in support of radiological safety.

8.3.2.3.1.1 Design Activity 2.7.1.1: Design evaluation for compliance with radiological safety design criteria and performance goals

Objectives

The objective of this activity is to evaluate the repository design against the radiological safety design criteria and performance goals at each phase of the design and provide feedback to the designers on needed corrections or modifications.

Parameters

The site parameters needed to perform this activity are all those listed in the technical basis section for this information need.

Description

This activity will consist of a complete radiological safety design analysis and performance goal assessment of the reference repository design, operating plan, and supporting analyses at each phase of design. Much of the analysis for this evaluation will be performed as part of the design process and will be supplied for review as part of the supporting analyses. Other analyses required for this evaluation will be performed in parallel with performance assessment analyses required by Issues 2.1, 2.2, and 2.3 (Sections 8.3.5.3 through 8.3.5.5). These issues will supply their results to Issue 2.7 for a determination that design criteria and performance goals have been met.

8.3.2.3.1.2 Application of results

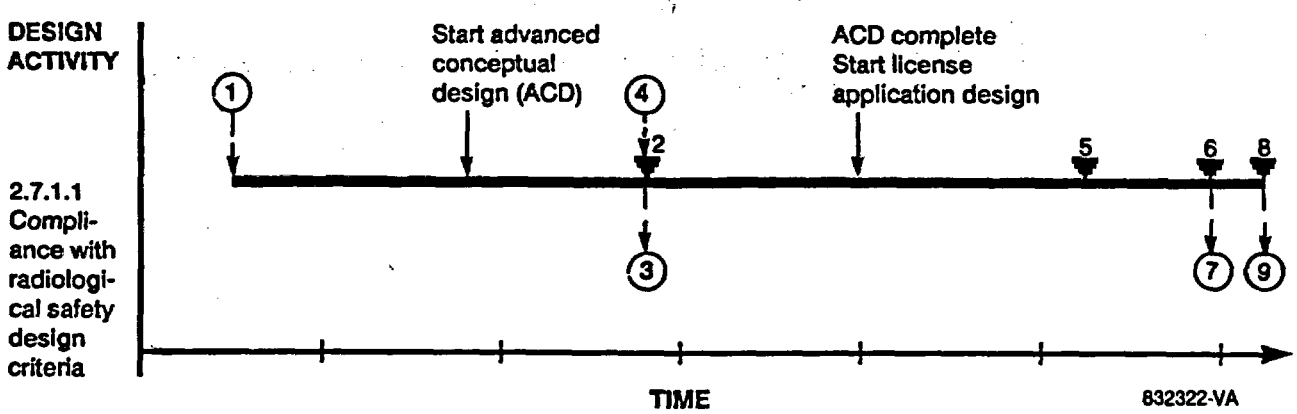
The information generated by this information need will be used directly by Issue 4.4 (preclosure design and technical feasibility, Section 8.3.2.5)

to demonstrate compliance with all radiological safety design criteria and performance goals. The end use of the evaluations performed by this information need is to resolve Issue 2.7 and to be included in the safety analysis report as part of the license application.

8.3.2.3.1.3 Schedule and milestones

Issue 2.7, addressing radiological safety design criteria, includes three information needs: 2.7.1 (radiological protection), 2.7.2 (structures, systems, and components important to safety), and 2.7.3 (criticality control). There are no specific activities planned under Information Needs 2.7.2 and 2.7.3. However, there are activities planned for Issue 2.7 as a whole. Information Need 2.7.1 describes the planned activity presently identified for Issue 2.7. The schedule information for this issue is presented in the form of a timeline. This timeline extends from the start of the activity to the issuance of the final products associated with that design activity. Summary schedule and milestone information for this issue can be found in Sections 8.5.3 and 8.5.6.

This design activity interfaces with a number of site characterization investigations and with Issue 4.4 (preclosure design and technical feasibility). It also provides input to the draft and final environmental impact statement and to the license application. These relationships are illustrated in the following figure. The design activity number and title corresponding to the timeline are shown on the left of the figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Receive site characterization data from site investigations 8.3.1.3 (geochemistry), 8.3.1.12 (meteorology), 8.3.1.13 (offsite installations), 8.3.1.14 (surface characteristics), 8.3.1.15 (rock properties), 8.3.1.16 (preclosure hydrology), and 8.3.1.17 (preclosure tectonics), and data/information from Information Need 2.3.1 (credible accident sequences).
2	Milestone R784. Advanced conceptual design preclosure safety analysis report.
3	Output radiological safety design analyses to Issue 4.4 (preclosure design and technical feasibility).
4	Receive site characterization data from Site Investigations 8.3.1.3, 8.3.1.12, 8.3.1.13, 8.3.1.14, 8.3.1.15, 8.3.1.16, and 8.3.1.17 and data/information from Information Need 2.3.1. (See point 1 description for investigation and information need names.)
5	Milestone M068. Preclosure performance assessment input for enclosure in license application.
6	Milestone R777. Update preclosure performance assessment report in support of draft environmental impact statement (DEIS) preparation.
7	Output preclosure performance assessment information/data to DEIS.
8	Milestone R780. Update preclosure performance assessment report in support of final environmental impact statement (FEIS) and license application.
9	Output preclosure radiological safety assessment to FEIS and license application.

8.3.2.3.2 Information Needs 2.7.2 and 2.7.3: (1) Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to the design and protection of structures, systems, and components important to safety have been met, and (2) Determination that the design criteria in 10 CFR 60.131 through 60.133 and any appropriate additional design objectives pertaining to criticality control have been met

Technical basis for addressing the information need

Link to the technical data chapters and other support documents

The only site data that these two information needs require are data that characterize site-related accidents. This information is needed to assess the performance of structures, systems, and components important to safety. Most of this information is related to meteorology or seismic concerns. Chapter 5 contains discussions about the present state of the knowledge on the meteorology of the site and surrounding region. Discussions of the site seismology are contained in Section 8.3.1.17. Further discussions of the subject of radiological protection of the public and workers may be found in Section 6.1.1.4.1 (radiological protection design requirements), 6.4.4 (Issue 2.1: public radiological exposures -- normal conditions), 6.4.5 (Issue 2.2: worker radiological safety--normal conditions), 6.4.6 (Issue 2.3: accidental radiological releases), and 6.4.7 (Issue 2.7: repository designation for radiological safety). Section 8.3.5.1 contains discussions on the preclosure risk assessment methodology (PRAM) program. Sections 2.5 (radiological protection), 6.1 (radiological releases during normal operations), and 6.2 (releases under abnormal conditions) of the Site Characterization Plan-Conceptual Design Report (SCP-CDR) (SNL, 1987) also contain discussions relevant to this information need. Finally, two appendices of the SCP-CDR have information especially relevant to this information need. These are Appendix F (preclosure radiological safety analysis), which is a preliminary analysis of accidents at the Yucca Mountain repository prepared to support the development of the preliminary NNWSI Project Q-list, and Appendix L (items important to safety and retrievability), which contains a discussion of the method used and results of the preliminary Q-list.

Parameters

As can be seen in Table 8.3.2.3-2, this information need requires mostly design information. The site parameters required by this information need are those required to determine the characteristics and frequencies of site-related accidents. Below is a list of site data required by this information need along with the SCP section providing the information.

Data requirement	SCP section
Frequency and characteristics of	
Tornadoes	8.3.1.12.4

Data requirement	SCP section
Cloud-to-ground lightning strikes	8.3.1.12.4
Sandstorms	8.3.1.12.4
Snow fall	8.3.1.12.4
Ice storms	8.3.1.12.4
Repository surface flooding	8.3.1.16.1, 8.3.1.16.3
Repository flooding from ground-water inflow	8.3.1.16.1, 8.3.1.16.3
Surface and subsurface seismic events	8.3.1.17.3
Fault movement within the repository	8.3.1.17.2
Drift roof fall, collapse, or failure	8.3.2.4.1
Surface landslides	8.3.1.14.1
Volcanic ash fall	8.3.1.17.1
Nearby forest or brush fires	8.3.1.13.1
Aircraft and helicopter crashes in the area of the surface facilities	8.3.1.13.1
Other potential accidents	Preclosure risk assessment methodology (PRAM) program 8.3.1.13.2

Logic

Both of the information needs included in this section involve the protection of structures, systems, and components important to safety. Information Need 2.7.2 addresses the design of such items, and Information Need 2.7.3 addresses compliance with mining regulations concerning such items. The only site data needed to design structures, systems, and components important to safety or to assess the design of these items are data concerning site-related accidents against which these items are to be protected. These are listed in the previous parameters subsection.

There are no specific activities planned under these information needs; however, there are activities planned for the issue as a whole. These activities are discussed in the logic section for Information Need 2.7.1 (Section 8.3.2.3.1).

8.3.2.3.2.1 Application of results

The information generated by this information need will be used directly by Issue 4.4 (Section 8.3.2.5) to demonstrate compliance with all radiological safety design criteria and performance goals. The end use of the evaluations performed by this information need is to resolve this issue (2.7) and to be included in the safety analysis report, which is a part of the license application.

8.3.2.3.2.2 Schedule and milestones

There are no specific activities planned under Information Needs 2.7.2 and 2.7.3.

DOE/RW-0160

Chapter 8

Nuclear Waste Policy Act
(Section 113)

Section 8.3.2.4

N

Consultation Draft

NONRADIOLOGICAL HEALTH AND SAFETY

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

8808110353 880131

8.3.2.4 Issue resolution strategy for Issue 4.2: Are the repository design and operating procedures developed to ensure nonradiological health and safety of workers adequately established for the resolution of the performance issues?

Regulatory basis for the issue

In addition to the radiological safety requirements for workers, the NRC also specifies that DOE should comply with mining regulations (10 CFR 60.131(b)(9)). The NRC points out that the design of the geologic repository operations area should include provisions for worker protection adequate to ensure that structures, systems, and components important to safety can perform their intended functions.

Resolution of this issue requires that designs and operating procedures that ensure worker nonradiological health and safety during the preclosure period be selected and implemented. Certain aspects of the designs must also be demonstrated to verify that the design approach produces designs that are safe. Design aspects that must be demonstrated are related to the designs of the underground system elements located within the underground areas heated by the emplaced waste; this heating presents a unique design environment. The overall design process is described in Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5). The relationship of Issue 4.2 to Issue 4.4 is graphically described in Figure 8.3.2.1-1 of Section 8.3.2.1. The role of this issue is to define these aspects of the overall design that impact worker health and safety, to prescribe design requirements necessary to meet the regulatory requirements and to transmit the design requirements to Issue 4.4 for incorporation into the overall design. When each phase of the design process, advanced conceptual design, license application design, etc., is completed, the design package developed under Issue 4.4 is reviewed by this issue to evaluate whether all of its goals are met. The logic of this process, from the viewpoint of Issue 4.2 is depicted in the diagram of Figure 8.3.2.4-1.

The goal of Issue 4.4 is to ensure that the design, construction, and operation of the repository can be accomplished with reasonably available technology. The implication of Issue 4.4 is that the repository will be able to draw upon an existing experience base in the design and the development of procedures for ensuring worker nonradiological health and safety. To this end, this issue will draw upon regulatory requirements to establish goals for the design and operation to ensure worker health and safety. These goals will be imposed on Issue 4.4 in the form of requirements and constraints on the overall design and operating procedures. In the SCP, the goals are generally restricted to those that may require data from site characterization.

From the standpoint of worker nonradiological health and safety, the designs and procedures for the repository must consider at least the following factors:

1. The ability to identify unacceptable or marginal areas of ground.

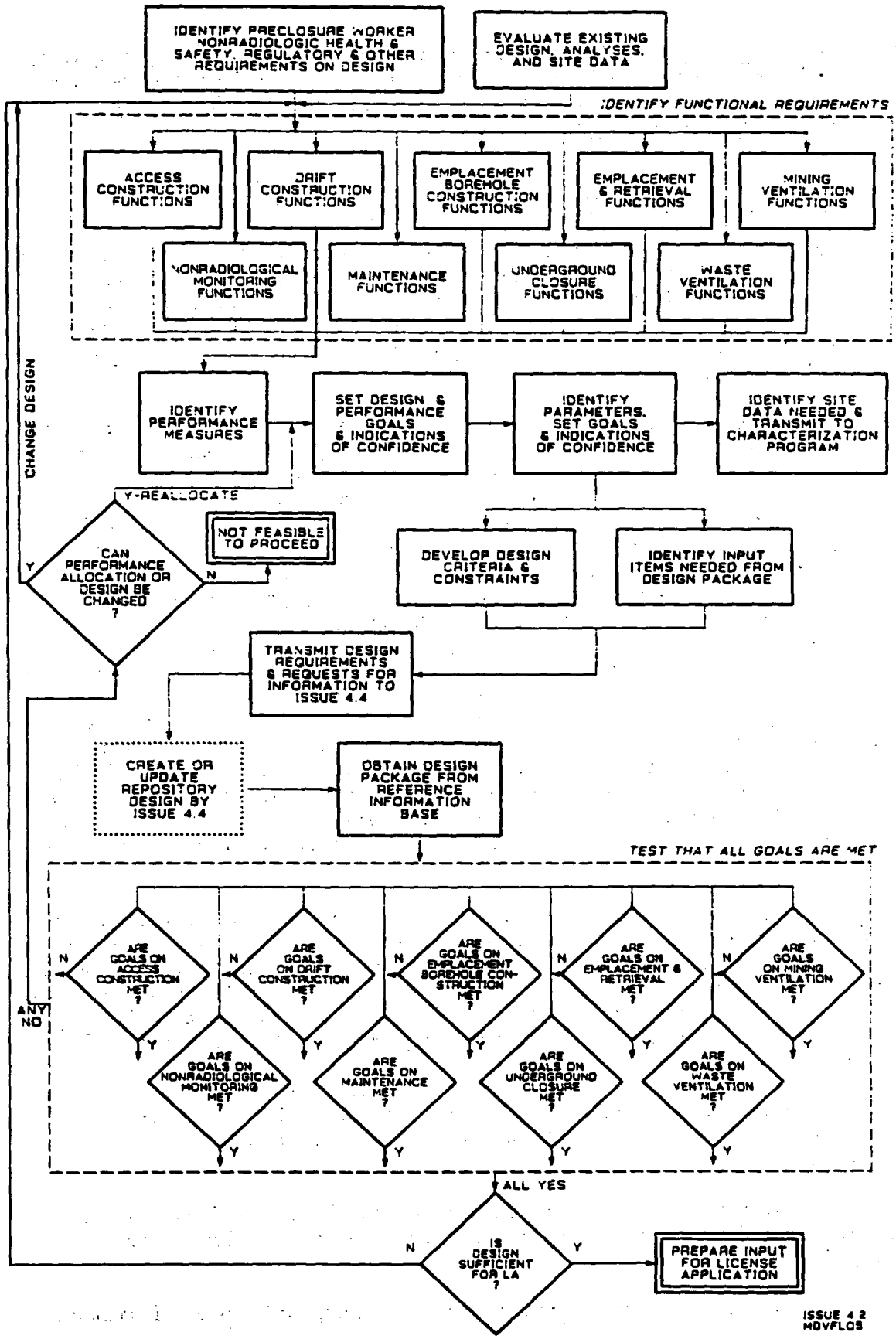
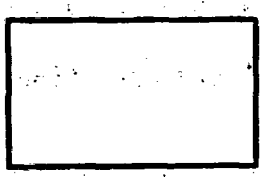
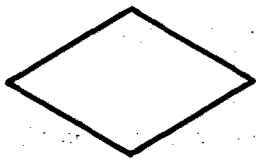


Figure 8.3.2.4-1a. Logic diagram for Issue 4.2 (nonradiological health and safety). See Figure 8.3.2.4-1b for legend. Section 8.3.2.1 describes the relationships and interfaces between design and performance issues.

LEGEND

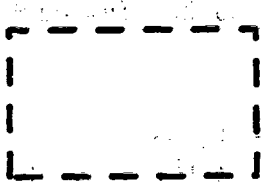


ACTIVITY PERFORMED TO RESOLVE ISSUE



TEST TO DETERMINE SUBSEQUENT ACTIVITY

ACTIVITY PERFORMED BY INDICATED ISSUE



ACTIVITY WITH MULTIPLE SIMILAR ACTIVITIES OR TESTS

DECISION ABOUT ISSUE RESOLUTION

Y - YES N - NO LA - LICENSE APPLICATION

Figure 8.3.2.4-1b. Legend for Figure 8.3.2.4-1a.

2. The ability of the repository construction to adapt to constraints imposed by rock characteristics (Section 8.3.1.15).

3. Use of reasonably available technology (Issue 4.4, Section 8.3.2.5).

4. Maintenance of underground openings during repository operation and closure (Issue 2.4, Section 8.3.5.2).

5. The development of rigorous maintenance procedures and schedules for all repository facilities and operating equipment.

6. Phenomena such as thermally induced fracturing, hydration, or dehydration.

7. Stratigraphic or structural features that could compromise worker safety.

8. Potential for roof falls (drift and access stability during construction and repository operation).

9. Rock support requirements.

10. Air quality (potential for natural gases such as radon or methane, high concentrations of equipment exhaust gases, and harmful dusts).

11. Working temperature.

12. Potential for equipment-related accidents. (This is not a site-related factor unless site conditions restrict the size of openings or corner radii.)

The major factors that impact worker safety are associated with the underground facilities. These factors are opening stability and ventilation. At the Yucca Mountain site, ground-water inflow sufficient to cause a worker safety problem is not anticipated (Section 8.3.1.16). The natural rock temperature at Yucca Mountain does not present a concern, but the rock temperatures and hence the worker environment during retrieval could be of concern. Equipment-related accidents are not expected to be caused by restrictive site conditions. Accesses and drifts will be sized to allow adequate room for maneuvering of equipment without endangering personnel. Rock stability requires that the characteristics of the rock be known and that a design procedure is established to accommodate conditions expected in construction, waste emplacement, and waste retrieval.

Approach to resolving the issue

The approach used to resolve this issue is graphically depicted in Figure 8.3.2.4-1 and is briefly described by the following steps:

1. The "system elements" pertinent to the issue are identified; only the preclosure system elements as presented in DOE (1986c) are considered since workers are present only during the preclosure period of the repository. Additionally, it is judged that worker nonradiological health and safety is imperiled only by operational

or construction activities. Applying this criteria eliminates the waste emplacement package and the site elements. The remaining element is the repository. The subelements under the repository element that are considered are mining, waste handling, decommissioning, and support. The elements under each repository subelement are evaluated individually to determine if (1) they are pertinent to the nonradiological health and safety of the repository workers and (2) they will require site data for analysis.

For the purpose of resolving this issue, the activities presented in SNL (1987, Appendix P) are replaced by the physical facilities in which the activities are performed, (e.g., access construction (System Element 1.2.1.1) is considered to be the "accesses" to the underground facilities of the repository).

Note: Those system elements not requiring site data for design and assessment of nonradiological health and safety will not be considered beyond the system element identification step. These elements will be addressed in the repository design plan (RDP).

2. The functions that are performed within the system elements identified as pertinent to worker nonradiological health and safety are specified. The functions are selected using the following criteria: (1) is there a potential for failure of the structure in which the function is performed and (2) is there a potential for failure of the equipment used to perform the function? An example function is "ventilation supply and exhaust" for System Element 1.2.1.1 (access construction).

3. The processes or activities accomplished within the system elements selected are listed in conjunction with the functions. The listing is not all inclusive, but it does note those significant processes that can impact worker nonradiological health and safety.

4. The required performance (performance measure) of the system element in accomplishing its functions is specified. Under System Element 1.2.1.1, access construction, an example of a performance measure is "usable access over 100 years."

5. The final step of the issue resolution strategy specifies the tentative performance goals and needed confidence. Performance goals are a statement of what the system element must do or provide to ensure worker safety. Needed confidence is a measure of the importance assigned to achieving the goal set for the system element. An example of a performance goal and confidence assignment from System Element 1.2.1.1, access construction, for the function of ventilation supply and exhaust is a goal of at least 60 ft/min for air velocity during construction; this goal has been established by industry as adequate to ensure a safe working environment. This minimum air flow velocity is set to ensure good mixing and sweeping to avoid stagnant air pockets. A needed confidence assignment of "high" implies that the ability to supply adequate air to the underground is essential for worker health and safety.

Reasonable assurance that the repository design and operating procedures will ensure worker nonradiological health and safety (i.e., the resolution of this issue) will result from the following:

1. Assignment and implementation of appropriate design safety factors.

2. Establishment of design criteria that mandate compliance with regulations governing health and safety requirements.

3. Evaluation of design to assure compliance with the required regulations.

4. Establishment of training and safety programs.

The types of design analyses that will be used to design the system elements identified are specified in Information Need 4.2.1, (Section 8.3.2.4.1). Implementation of these analyses requires both site and nonsite information (data). The types of site information required are specified in Information Need 4.2.1. Detailed descriptions of the site information required are provided in Section 8.3.2.5, Tables 8.3.2.5-1 through 8.3.2.5-12.

The Yucca Mountain mined geologic disposal system elements and subelements that have an impact on the nonradiological health and safety of the work force and visitors include System Elements 1.2.1 (mining), 1.2.2 (waste handling), 1.2.4 (decommissioning), and 1.2.5 (support). The system subelements that require site-specific data for design and the development of operational procedures to ensure worker nonradiological health and safety are the following:

System subelement	Subject
1.2.1.1	Access construction
1.2.1.2	Drift construction
1.2.1.3	Borehole construction
1.2.1.6	Mining ventilation
1.2.2.5	Retrieval
1.2.2.7	Waste ventilation
1.2.4.1	Underground closure
1.2.5.6	Maintenance
1.2.5.9.2	Nonradiological monitoring

Those subelements of the Yucca Mountain mined geologic disposal system that affect worker nonradiological health and safety but do not require site data for analysis or design are the following:

System subelement	Subject
1.2.1.4	Rock handling
1.2.2.1	Receiving
1.2.2.2	Preparation
1.2.2.3	Storage
1.2.2.4	Emplacement
1.2.2.6	Shipping
1.2.4.2	Surface facility decommissioning
1.2.5.2	Administration

Note that construction and inspection are included under the System Element 1.2.1.3, borehole construction, and, therefore, are not included under System Element 1.2.2.4, emplacement. Thus, no site data are needed for emplacement.

The functions, processes performed, performance measures, performance goals, and needed confidence for achieving the stated performance goals for each system element identified as pertinent to Issue 4.2 are given in Tables 8.3.2.4-1 through 8.3.2.4-9. Those elements selected that do not require site data as input to determine that worker health and safety are achieved are not included.

Interrelationships of information needs

The information needs subsidiary to this issue are as follows:

Information need	Subject
4.2.1	Site and performance information needed for design (Section 8.3.2.4.1)
4.2.2	Potential nonradiological hazards to personnel (not addressed in the SCP)
4.2.3	Design measures for avoiding or mitigating hazards to personnel (not addressed in the SCP)

Information Needs 4.2.2 and 4.2.3 do not result in the need for site data because they directly place constraints on Issue 4.4 where the data is collected (Section 8.3.2.5), and consequently, only the role of Information Need 4.2.1 will be addressed in the site characterization plan. The information specified as required by Information Need 4.2.1 will be used to resolve

Table 8.3.2.4-1. Performance measures, goals, and needed confidences for System Element 1.2.1.1 (access construction) pertinent to Issue 4.2, nonradiological health and safety (page 1 of 4)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Underground facility access and egress	Safe access to underground facilities (useable access for 100 yr)	Limit rock damage	
		- Overbreak <6 in. average	Medium
		- Blast-induced fracture extent into intact rock <3 m average	Medium
	Access closure (combined performance of rock and opening support)	Access closure	
		- Closure rate <1 mm/yr in shafts and ramps	Medium
		- Total closure in ramps <6 in. in 100 yr	Medium
		- Total closure in shafts <3 in. in 100 yr	Medium
		Rockfall	
		- <5 ton average/1,000 ft/yr (ramps)	Medium
		- Maximum rock or slab size <2 tons	Medium
		- Rockfall in ramps contained by support system	Medium
		- Rockfall or average liner spall <1/2 ton/1,000 ft/yr (SHAFTS)	Medium

CONSULTATION DRAFT

Table 8.3.2.4-1. Performance measures, goals, and needed confidences for System Element 1.2.1.1 (access construction) pertinent to Issue 4.2, nonradiological health and safety (page 2 of 4)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Underground facility access and egress (continued)		- All rockfall or liner spall to be retained (by supplemental support or liner system) (SHAFTS)	Medium
	Acceptable maintenance frequencies	Maintenance	
		- Inspection and minor maintenance will be done on a continuing basis	Medium
		- Major maintenance frequency >25 yr	Medium
	Access sizes and grades compatible with requirements for personnel, material transport, and utility routing	Grades	
		- Waste ramp grade <10%	High
		- Tuff ramp grade <20%	High
		Size	
		- M/M shaft 20 ft minimum diameter	Medium
		- Waste transport ramp roadway width 5 ft >than waste transporter (minimum clearance between transporter and ramp or ramp equipment >2 ft)	Medium

CONSULTATION DRAFT

Table 8.3.2.4-1. Performance measures, goals, and needed confidences for System Element 1.2.1.1 (access construction) pertinent to Issue 4.2, nonradiological health and safety (page 3 of 4)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Underground facility access and egress (continued)		- Tuff ramp diameter adequate for tuff conveyor movement of major construction equipment and utilities (20 ft)	Medium
Removal of broken rock	Compliance with 30 CFR Part 57	Adequate clearance for rock removal equipment	Medium
		Safety systems (conveyors)	High
		- Barriers and guards	
		- Heat sensors	
		- Slack sensors	
		- Back run preventers	
		Dust suppression	High
Ventilation supply and exhaust	Pressure drops in accesses	Accesses sized to limit air velocities and to accommodate future changes in air demands	
		- <3,000 ft/min in shafts	Medium
		- <1,500 ft/min in ramps	Medium
		- >60 ft/min in all accesses	Medium

CONSULTATION DRAFT

Table 8.3.2.4-1. Performance measures, goals, and needed confidences for System Element 1.2.1.1 (access construction) pertinent to Issue 4.2, nonradiological health and safety (page 4 of 4)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Emergency egress	Compliance with 30 CFR Part 57	Accesses designed to provide safe egress during emergencies	High

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

CONSULTATION DRAFT

Table 8.3.2.4-2. Performance measures, goals, and needed confidences for System Element 1.2.1.2 (drift construction) pertinent to Issue 4.2, nonradiological health and safety (page 1 of 3)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Underground facility access	Safe and useable access for 100 yr	Limit rock damage	
		- Overbreak <6 in. average	Medium
		- Blast induced fracture extent into intact rock <3 m average	Medium
	Drift closure (combined performance of rock and opening support system)	Drift closure	Medium
		- Rate of closure <1 mm/yr in main and access drifts	Medium
		- Rate of closure <3 mm/yr in waste emplacement drifts	Medium
		- Total closure <6 in. in 100 yr	Medium
	Rockfall quantity and retention	Rockfall retention	Medium
		- <5 ton/1,000 ft/yr	
		- Maximum rock or slab size <2 tons	
		- Rockfall retained by support system	Medium
	Acceptable maintenance frequencies	Maintenance	
		- Inspection and minor maintenance will be performed on a continuing basis	Medium

CONSULTATION DRAFT

Table 8.3.2.4-2. Performance measures, goals, and needed confidences for System Element 1.2.1.2 (drift construction) pertinent to Issue 4.2, nonradiological health and safety (page 2 of 3)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Underground facility access (continued)		- Major maintenance frequencies >25 yr	Medium
	Drift size and grades for personnel and material transport and utility routing	Drift size 6 ft wider than equipment size	High
		Drift grades <8%	High
Removal of broken rock	Compliance with 30 CFR 57	Adequate clearance	High
		Safety systems (conveyors)	High
		- Barriers and guards	
		- Heat sensors	
		- Slack sensors	
		Dust control and suppression	High
Ventilation supply and exhaust	Pressure drop in drifts	Drifts adequately sized to limit air velocities and to cover changes in future air needs	
		- Velocities in supply mains <1,500 ft/min	Medium
		- Velocities in returns <2,500 ft/min	Medium
		- >60 ft/min in all occupied drifts	High

CONSULTATION DRAFT

Table 8.3.2.4-2. Performance measures, goals, and needed confidences for System Element 1.2.1.2 (drift construction) pertinent to Issue 4.2, nonradiological health and safety (page 3 of 3)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Emergency egress	Compliance with 30 CFR Part 57	Ventilated emergency escapeways	High

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

CONSULTATION DRAFT

Table 8.3.2.4-3. Performance measures, goals, and needed confidences for System Element 1.2.1.3 (borehole construction) pertinent to Issue 4.2, nonradiological health and safety

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Construct borehole	Compliance with 30 CFR Part 57 and ACGIH[b] (1986)	Air quality requirements same as specified for System Element 1.2.1.6 (mining ventilation)	High

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

[b]ACGIH = American Conference of Governmental Industrial Hygienists

CONSULTATION DRAFT

Table 8.3.2.4-4. Performance measures, goals, and needed confidences for System Element 1.2.1.6 (mining ventilation) pertinent to Issue 4.2, nonradiological health and safety

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Provide adequate quantity of air to all work areas	Air quality, compliance with 30 CFR Part 57	125 CFM/horsepower of diesel equipment used for mining and mining support	High
		210 CFM/underground worker	High
		Minimum air velocity of 60 ft/min in all active work areas	High
Provide quality air to all work areas	Air quality, compliance with 30 CFR Part 57 and Threshold Limit Values (TLVs) and bioindices specified in ACGIH[b] (1986)	SiO_2 <0.1 mg/m^3	High
		O_2 concentration >19.5 vol%	
		CO <50 ppm time weighted average (TWA); short term exposure limit (STEL) <400 ppm	High
		Radon daughter concentration <1.0 working level (WL) in active work areas	High
		Personnel exposure to radon <4 working level months (WLM) annually	High
		Air cooling power >300 watts/m^2 at 45°C (dry bulb)	High

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

[b]ACGIH = American Conference of Governmental Industrial Hygienists.

CONSULTATION DRAFT

Table 8.3.2.4-5. Performance measures, goals, and needed confidences for System Element 1.2.2.5 (retrieval) pertinent to Issue 4.2, nonradiological health and safety (page 1 of 2)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Provide access to emplacement borehole	Temperature in retrieval area	Surface rock temperature in shafts and ramps <35°C	High
		Temperature in access drifts <50°C (SRT)[b]	High
		Air temperature during retrieval <40°C	High
	Rock movement	Rock/support movement in accesses <1 mm/yr	Medium
		Rock movement in access drifts <1 mm/yr	Medium
		Rock movement in emplacement drifts <3 mm/yr	Medium
		Total closure in shafts <3 in. in 100 yr	Medium
		Total closure in ramps and drifts <6 in. in 100 yr	Medium
		Rockfall in ramps and drifts <5 ton/1,000 ft/yr	Medium
		Maximum rock or slab size <2 ton	Medium
		Rockfall in shaft <1/2 ton/1,000 ft/yr	Medium
		All rockfall (accesses and drifts) to be retained by support system (rock size >2 in. diameter)	High

CONSULTATION DRAFT

Table 8.3.2.4-5. Performance measures, goals, and needed confidences for System Element 1.2.2.5 (retrieval) pertinent to Issue 4.2, nonradiological health and safety (page 2 of 2)

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Provide access to emplacement boreholes	Maintenance	Inspection and minor maintenance will be done on a continuing basis	Medium
		Major maintenance frequency >25 yr	Medium

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (see Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

[b]SRT = Standard room temperature.

CONSULTATION DRAFT

Table 8.3.2.4-6. Performance measures, goals, and needed confidences for System Element 1.2.2.7 (waste ventilation) pertinent to Issue 4.2, nonradiological health and safety

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Functions/processes and goals for waste ventilation are the same as those specified for System Element 1.2.1.6 (mining ventilation) except for the requirements for air cooling power and drift cooldown, which are specified below:			
Air quality	Air cooling power	Air cooling power required for inspection or light maintenance in waste emplacement area to be 300 watts/m^2 at 45°C (dry bulb)	
		Air cooling power required for heavy maintenance or retrieval (emplacement drifts) to be 500 watts/m^2 at 40°C (dry bulb)	
Cooldown of rock for reentry of emplacement drifts to perform heavy maintenance or retrieval	Rock surface temperature	Surface rock temperature <50°C	

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

CONSULTATION DRAFT

Table 8.3.2.4-7. Performance measures, goals, and needed confidences for System Element 1.2.4.1 (underground closure) pertinent to Issue 4.2, nonradiological health and safety

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Backfill of drifts and installation of postclosure seals	Temperature	Air cooling power >500 watts/m^2 at 40°C (dry bulb)	High
		Rock surface temperature <50°C	Medium
		Air temperature <40°C	High
	Compliance with 30 CFR Part 57 and ACGIH[b] (1986)	SiO_2 concentration <0.1 mg/m^3	High
		O_2 concentration >19.5 vol%	
		CO <50 ppm time weighted average (TWA); short term exposure limit (STEL) <400 ppm	High
		Radon daughter concentration <1.0 working level month (WLM) in active work areas	High
		Personnel exposure to radon <4 working level months (WLM) annually	High

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

[b]ACGIH = American Conference of Governmental Industrial Hygienists

CONSULTATION DRAFT

Table 8.3.2.4-8. Performance measures, goals, and needed confidences for System Element 1.2.5.6 (maintenance) pertinent to Issue 4.2, nonradiological health and safety

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Access (shaft and ramps) maintenance	Closure and rockfall	Rock movement	
		- <1 mm/yr	Medium
		- <3 in. total in shaft (100 yr)	Medium
		Rockfall	
		- <5 ton/1,000 ft/yr (ramp)	Medium
		- Maximum rock or slab size <2 tons	Medium
		- <1/2 ton/1,000 ft/yr (shaft)	Medium
		All rockfall >2 in. diameter retained by support system	Medium
Drift maintenance	Useability for 100 yr	Inspection and maintenance will be done on a continuing basis	Medium
		Major maintenance frequency >25 yr	Medium

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

CONSULTATION DRAFT

Table 8.3.2.4-9. Performance measures, goals, and needed confidences for System Element 1.2.5.9.2 (nonradiological monitoring) pertinent to Issue 4.2, nonradiological health and safety

Function/process	Performance measure	Tentative goal[a]	Needed confidence
Monitor useability of shafts, ramps, and drifts during construction, operation, retrieval, closure, and decommissioning	Items important to operational safety monitored and displayed in repository control center	Continuous monitoring of - Temperature - Rock movement - Rock support system (visual) - Air quality - Water inflow - Seismic activity	High

[a]These goals are integrated with goals from other issues in the discussion of Issue 4.4, preclosure design and technical feasibility (see Section 8.3.2.5, Tables 8.3.2.5-1 through 12). Site-characterization-related design or performance parameters, their goals, and confidences are also established in these tables.

CONSULTATION DRAFT

the issue of personnel safety related to design features and procedures that depend on site characteristics for analysis and assessment. Information Need 4.2.1 identifies the elements of the repository that present a potential safety hazard and outlines the analysis required to develop designs that will eliminate the potential hazard. The design techniques and the resulting designs are evaluated to determine that the goals specified by the issue resolution strategy are met. If the goals are met, then the issue is resolved.

The schedule information provided for information needs in this section includes the sequencing, interrelationships, and relative durations of the activities in the information need. Specific durations and start/finish dates for the activities are being developed as part of ongoing planning efforts and will be provided in the SCP at the time of issuance and revised as appropriate in subsequent semiannual progress reports.

8.3.2.4.1 Information Need 4.2.1: Site and performance assessment information needed for design

Technical basis for addressing the information need

Issue 4.2 requires that the design and operating procedures be developed and demonstrated and that these procedures support resolution of the performance issue. Information Need 4.2.1 requires that the information needed to implement those procedures and develop the design be identified. To satisfy both the issue and information need, a two-part approach is proposed. Part 1 will address the issue of development and documentation of the design and operating procedures that are unique to repository design and require site-specific demonstration. Part 2 will identify the information needed to implement those procedures for the repository design, construction, and operation.

For Part 1, the development and documentation of the design and operating procedures, the following activities will be completed before license application: (1) initial designs and operating procedures will be developed and documented concurrently with the construction of the exploratory shaft facility (ESF), (2) the construction of the ESF will be monitored, (3) field experience and data collected during construction will be compared with design predictions for the range of conditions encountered in the ESF, and (4) the adequacy of the designs and operating procedures will be investigated. There will be some aspects of the repository design and operating procedures, particularly those related to worker health and safety during the potential retrieval, the closure, and the decommissioning phases, that cannot be demonstrated in the ESF; full-scale demonstrations of these aspects will be deferred to the performance confirmation area of the repository. The critical thermomechanical aspects of the design will be demonstrated by phenomenological and scaled tests in the ESF. The demonstrations and tests planned to verify the adequacy of the design and operating procedures will be addressed in the planned design activities section that follows the parameters section.

For Part 2, the information needed to implement the design and operating procedures is presented in the parameters section. Many of these parameters are the same as those identified for Issue 4.4, (preclosure design and technical feasibility, Section 8.3.2.5).

Link to the technical data chapters and applicable support documents

Chapter 6 describes the current repository conceptual design including excavation, development, and ground support (Section 6.2.6.1); ventilation (Section 6.2.6.3); and summarizes the status of this issue in Section 6.4.7. The information needed to address preclosure health and safety for the license application design (LAD) is identified in this section and in Issue 4.4 (Section 8.3.2.5). The establishment of the repository design and operating procedures will depend on observations and measurements made at the ESF. Performance Issue 4.1 (Section 8.3.5.7) dictates performance goals that must be addressed in this issue. Characterization programs 8.3.1.14, 8.3.1.15, 8.3.1.16, and 8.3.1.17 provide the parameter values required to address this issue.

Parameters

The parameters for this information need are as follows:

1. Information needed to design ground support in accessways and drifts. Parameters used to design the accessways and drifts and the design methods that use these parameters are presented in Information Need 4.4.7 (Section 8.3.2.5.7). Issue 4.4, Tables 8.3.2.5-1 through 12 indicate how well we need to know these parameters, which will be acquired from the ESF as well as other locations at the site. The ranges for parameter values listed in Issue 4.4 are compatible with the ranges required to resolve this issue. To ensure usability of the accesses and drifts during the potential retrievable phase and during decommissioning, an inspection and maintenance program will be maintained. Parameters listed in Issue 4.4 that relate to this issue include thermal, mechanical and thermomechanical properties of the intact rock, the joints and the rock mass, as well as the parameters for application of the empirical methods (such as rock quality designation, joint set number, joint roughness). The areal power density (APD) of the installed waste as well as the waste emplacement configuration will have a significant impact on the required maintenance program and consequently on worker safety. The information on APD and installed configuration of the waste will be obtained from Information Needs 1.11.3 and 1.11.6 (Sections 8.3.2.2.3 and 8.3.2.2.6), and the referenced preclosure design Information Need 4.4.5 (Section 8.3.2.5.5).

2. Information needed to design access ramps and drifts for safe movement of equipment, material, and personnel. A major consideration in safe movement of equipment, material, and personnel is the grades that must be negotiated. The following parameters are used in the determination of the repository design and hence the grades in the access ramps, main haulageways, access drifts, and emplacement drifts:

a. Site surface topography in the vicinity where surface facilities will be located.

b. Location of the upper and lower contacts for candidate disposal horizon. The location of the upper and lower contacts of the candidate disposal horizon should be established in areas where uncertainty exists that the thickness of the densely welded Topopah Spring Member (unit TSw2) is sufficient for safe construction and operation. The thickness of the unit TSw2 necessary to provide sufficient flexibility in selecting the depth of the underground facility has been established as 50 m. Consideration must also be given to the 200-m overburden requirement; the location of the unsaturated tuffs above the water table; the location of the contact between the relatively lithophysae-free and lithophysae-abundant tuff; stratigraphic zones of poor rock quality; and the location of the lower contact between the densely welded tuff and the basal vitrophyre.

c. Disposal horizon orientation (effective strike and dip). The disposal horizon effective strike and dip will be determined from the upper and lower contacts obtained from borehole data and data gathered during construction of the ESF.

d. Site surface geology. The site surface geology will be used to locate the portal for access shafts and ramps. The principal data needed is the location of exposed or near surface rock.

e. Location of site geologic structures. The geologic structures need to be identified for use in determining the location of shaft and ramp portals.

3. Information needed to plan safe construction techniques. The construction techniques and procedures to be used at the repository will be based on the characteristics of the repository site (e.g., the construction of drifts can be by conventional drill and blast methods or by mechanical mining machines); the method chosen will impact the procedures required to ensure worker safety. The information needed, with the required quality requirements, follows:

a. Rock properties needed to select mining methods, to size openings, and to design tunnel support. The properties required have already been identified under the design parameters needed for design of accessways and drifts (Issue 4.4, Section 8.3.2.5).

b. Formation water quantities. The presence of perched water reservoirs within the stratigraphic column penetrated by the shafts and ramps and within the disposal horizon could be a concern for worker safety. The site geology and existing data base do not suggest that significant perched reservoirs are located within the repository site at Yucca Mountain.

c. Potential for generation of hazardous respirable particulates. Actual types of particulates (e.g., silicates and asbestos form fibers) must be determined. Particulate sizes and quantities produced by various activities such as mining, crushing, drilling, and conveying must be determined. Size determination must quantify particulates that are equal to or smaller than the maximum size that can be carried or entrained by the repository ventilation flows.

4. Information needed to design the ventilation system (including data quality requirements) for dilution of natural, toxic, or hazardous gases. Gases in the mining horizons should be analyzed qualitatively and the actual gas types that may be encountered such as radon and methane should be determined. Worker safety information needs associated with radon are addressed in Issue 2.2 (worker radiological safety--normal conditions, Section 8.3.5.4). Existing data suggest that the occurrence of methane or other naturally occurring hazardous gases is unlikely and so no parameters specific to this need are identified.

   The occurrence of hazardous gases from underground operating equipment will impact the ventilation system. The qualification and typing of these gases does not require site data; thus, no parameters are required from the site.

5. Information needed to design the ventilation to provide adequate cooling to allow drift inspection, maintenance, waste retrieval, and decommissioning. A major worker safety concern during potential retrieval is the temperature of the working environment. In some instances it may be necessary to cool the drifts before retrieval or decommissioning operations to ensure a safe environment. The data required to design the necessary cooling system along with the requirement for data quality are as follows:

   a. Thermal conductivity of the rock.

   b. In situ temperature in the disposal horizon.

   c. Site meteorology. The diurnal and seasonal variations of the site relative humidity and temperature at the ventilation inlet and outlet locations are required.

   d. Moisture release rate from the drift and accessway surfaces.

   e. Ventilation airstream pressure loss as a function of drift length.

Logic

At this time it is possible to identify but not fully quantify all parameters needed to develop designs and operating procedures that will ensure worker nonradiological safety. Quantifying these parameters is an iterative process that begins with information obtained from preliminary investigations at the site. This preliminary information will be verified or

revised as dictated by new information obtained from tests conducted in the ESF. The information obtained from the ESF will be adjusted as required during construction of the repository to include additional data obtained on conditions not encountered in the limited extent of the ESF.

The following sections identify the subsurface activities that will be conducted during construction and subsequently in the repository to verify that the adopted design and operating procedures are adequate to protect worker health and to provide safe working conditions.

Two categories of tests and studies are identified: (1) those related to access and drift usability and (2) those related to ventilation and air quality. These two categories cover the major site data requirements related to nonradiological worker health and safety.

8.3.2.4.1.1 Design activity to verify access and drift usability

The methods and data used to develop the conceptual design of the repository are preliminary. The ESF offers an opportunity to verify these design techniques and to substantiate or provide the basis for adjustment of the design techniques used. The lateral extent of the ESF is expected to be sufficient to ensure that the demonstrated design techniques, the data obtained, and the test results will generally be applicable throughout the subsurface facilities with only minor modifications.

To demonstrate in the ESF that the design and operating procedures are adequate for repository design, the following demonstrations and tests will be conducted:

1. Demonstration of construction methods for accesses and drifts in tuff with emphasis on different lithophysae abundance, vitrification, and structural characteristics.

2. Characterization of the exposed rock. This characterization will be done to gain experience in the characterization methods proposed and to develop a data base that can be used to classify visually the rock encountered in the construction of the repository. The classification of the rock is the basis on which a particular ground support system will be specified during repository construction.

3. Demonstration of ground support installation for the various types of ground support proposed. The design of the ground support systems will include a number of types (e.g., rock bolts, rock bolts with wire mesh, shotcrete, and reinforced concrete). The types of support planned, based on currently available information, will be demonstrated.

4. Rock and support monitoring. Measurements of drift closure and support system loads will be made for the various rock types encountered and for the different support systems used. These

measurements in the exploratory shaft test facility will, in addition to providing beneficial data, be used to develop the measurements techniques and select instrumentation systems for use in the repository.

5. Test to compare ground support performance with performance predictions.

6. Test to simulate thermal/mechanical interactions to evaluate long-term ground support behavior.

7. Tests to validate the design models used to predict the performance of accessways and drifts.

The first five tests or demonstrations will verify the adequacy of the design and operating procedures for the construction of the repository; the last two tests address long-term considerations for ground support during waste emplacement, monitoring, maintenance, retrieval, closure, and decommissioning. These long-term considerations are difficult to evaluate by full-scale demonstration in the ESF; consequently, they may require a combination of laboratory tests, scaled field tests, model validation tests, and monitoring in the repository.

Additional details of the seven demonstrations and tests proposed for verification of the design and operating procedures are provided in the following:

1. Demonstration of construction methods. An evaluation of the influence of construction methods on worker safety and ground support requirements will be made. The evaluation will assess the effects of the selected and other candidate mining methods such as smooth-wall blasting and mechanical mining on the extent of the damaged zone, over-break, and support requirements. Tests will include the evaluation of the effects of blast hole pattern design, powder loading, and blasting agent type on safety and ground stability. Results of the blasting tests will be used to develop specifications and operating procedures for blasting in the repository. Monitoring of vibration effects due to blasting and the effect of the vibration on the ground support system will also be needed. The evaluation of the excavation techniques will be repeated for each significantly different ground classification.

2. Characterization of rock. Characterization of the rock will be performed in areas of the ESF where ground support system performance is monitored and where construction methods are evaluated. Characterization includes rock-quality designation, fracture spacing, fracture orientation, roughness, infill characteristics, intact rock compressive strength, internal-friction angle, tensile strength, moisture condition, permeability, and in situ stress as a function of distance from the excavated surfaces. These parameters will be evaluated for the various rock types encountered (provided the rock type dictates a revised support requirement). This information will be used to develop a catalog of rock types versus the required support for use in repository construction.

3. Support installation. The procedures for assessing ground conditions and selecting and installing the required ground support should be demonstrated during ESF construction. Those ground conditions for which the timing of ground support installation is critical should be identified.

4. Evaluation of the ground support system performance. The ground support systems should be monitored by observation, passive methods, and active instrumentation to evaluate performance. Rock bolt load history, shotcrete and concrete stress development, rock displacement, and rock stress changes should be monitored. Regions of rock stress relaxation and joint slip should be identified to assess the loads carried by the support system. The support systems should be monitored for all rock types encountered that dictate different support designs.

5. Comparison of ground support performance and design predictions. Design predictions will be based on empirical, analytical, and numerical techniques. Comparison of support performance and design predictions will involve site-specific modeling and the development of criteria for comparison and acceptance for both the analytical and empirical methods.

6. Simulation of thermal and mechanical interactions to evaluate long-term support behavior. Tests will be required to evaluate corrosion of ground support hardware, bolt anchor capacities at high temperatures, bonding characteristics between concrete or shotcrete and rock at high temperatures, and life expectancy of ground support systems for projection of maintenance requirements. A number of these tests could be conducted in a testing laboratory, while others will need to be done in scaled or full-sized test chambers in the ESF.

7. Tests to validate design models. Many aspects of the preclosure repository design associated with worker safety, specifically drift usability before closure, are based on the level of thermally induced stresses around the openings. Real-time demonstration of the design adequacy based on thermal effects in the repository will not be practical in the ESF. The fundamental basis for the design model, however, can be demonstrated by controlled full-size or scaled tests. Such tests would include

   a. Determination of the equivalent rock mass modulus, thermal expansion coefficient, and rock mass strength for the range of expected rock qualities.

   b. Determination of the influence of temperature on the rock mass properties in item a.

   c. Evaluation of large-scale joint properties such as joint stiffness (shear and normal), joint strengths (cohesion and friction angle), and the evaluation of the effects of temperature on these properties.

d. Demonstration of thermally induced rock deformation around canister and room configurations. These tests would be conducted in all significantly different rock types. The data from these tests would be compared with the results from the calculations based on the design models to develop confidence that the design procedures are correct.

8.3.2.4.1.2 Design activity to verify air quality and ventilation

Studies are required to assess the impact of site characteristics on the ventilation requirements necessary to provide a safe working environment. Site characteristics will determine dust quantities produced during construction, in situ gas types and quantities, and the wall roughness required for the ventilation flow calculations.

The influence of the construction method on dust generation and dust control methods can be evaluated during construction of the ESF. The drift roughness can be a function of the construction method, the rock quality, and the support system. Worker safety would be impaired if the factors contributing to surface roughness prevent the delivery of sufficient air to the working areas of the repository. Excessive roughness factors could dictate the need for larger drifts and the need for additional or larger ventilation supply and exhaust shafts. The parameters needed to properly design the ventilation system are as follows:

1. Air resistance factors as a function of wall roughness for the different rock types, construction methods, and support systems.

2. The in situ moisture in the rock. This will determine the water burden imposed on the ventilation system. The potential for dewatering versus temperature should be evaluated. The dehydration temperatures for the various rock types should be evaluated.

3. Formation gas types should be identified. Surface emission rates for the various types of gases present should be determined as a function of time after emission surface exposure and temperature.

4. Dust generation rates need to be determined for the different construction methods used and for the different types and qualities of rock encountered.

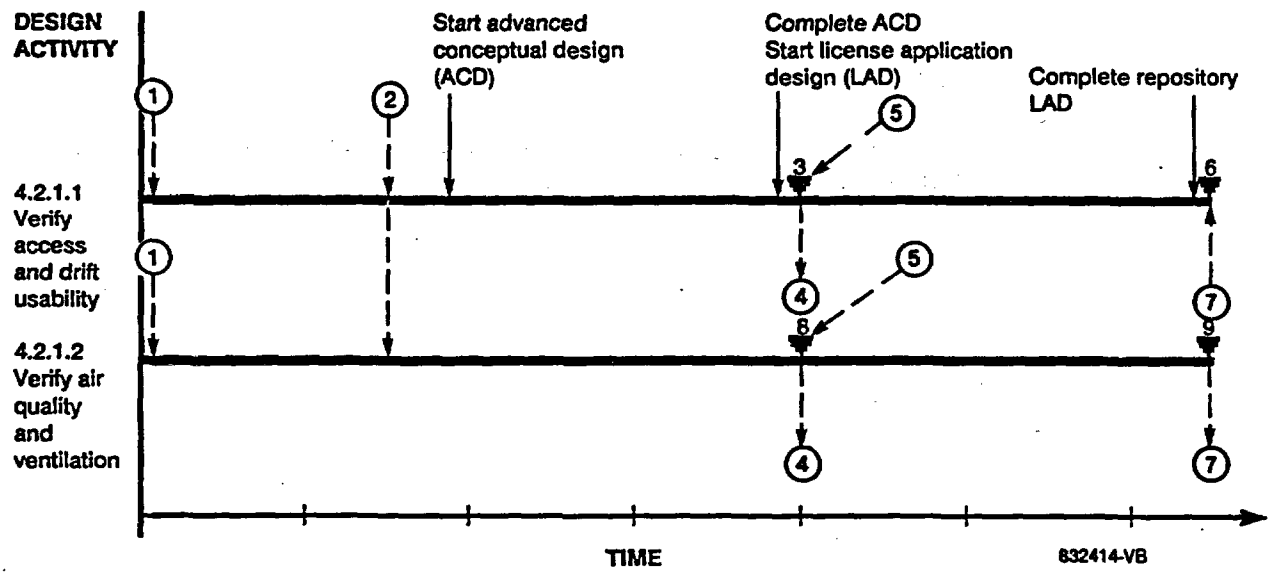
8.3.2.4.1.3 Application of results

The information identified under this information need provides the data base required to assess the adequacy of design and operating procedures for worker nonradiological health and safety. The information will serve as a part of the basis for DOE's demonstration of compliance with mining regulations, as required by the NRC.

8.3.2.4.1.4 Schedule and milestones

Information Need 4.2.1, addressing site and performance assessment information needed for design, includes two design activities: 4.2.1.1 (access and drift usability) and 4.2.1.2 (air quality and ventilation requirements). The schedule information for these ongoing design activities is presented in the form of timelines. The timelines extend from the start of the activity to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6.

These design activities interface with each other and with Issue 4.4 (preclosure design and technical feasibility). The relationships are illustrated in the following figure. The design activity numbers and titles corresponding to the timelines are shown on the left of the figure. The numbered points shown on the timelines represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input parameters needed to develop designs and procedures to ensure worker nonradiological health and safety from Milestone N432.
2	Input reference designs and design analyses from Information Needs 4.4.5 and 4.4.7.
3	Milestone Z161. Analysis of repository accesses and drifts for the advanced conceptual design (ACD) report.
4	Output design and analyses to support worker nonradiological safety to ACD report.
5	Input reference designs and design analyses from Information Needs 4.4.5 and 4.4.7.
6	Milestone Z162. Analysis of repository accesses and drifts for the license application design (LAD) report.
7	Output information/data on design and analysis of repository accesses and drifts to LAD report.
8	Milestone Z163. Analysis of repository ventilation system for the ACD report.
9	Milestone Z164. Analysis of repository ventilation system for the LAD report.

DOE/RW-0160

Chapter 8

Nuclear Waste Policy Act
(Section 113)

Section 8.3.2.5

N

Consultation Draft

PRECLOSURE DESIGN AND TECHNICAL FEASIBILITY

Site Characterization Plan

Yucca Mountain Site, Nevada Research and Development Area, Nevada

Volume VI

January 1988

U.S. Department of Energy
Office of Civilian Radioactive Waste Management
Washington, DC 20585

8808110353 880131

8.3.2.5 Issue resolution strategy for Issue 4.4: Are the technologies of repository construction, operation, closure, and decommissioning adequately established for the resolution of the performance issues?

Regulatory basis for the issue

Issue 4.4 (preclosure design and technical feasibility) questions whether the repository can be designed, constructed, operated, and closed using reasonably available or proven technology or if it will be necessary to extrapolate beyond current technologies in order to perform the functions identified by this issue. Many other constraints are imposed on this issue by other design or performance issues, including retrieval, postclosure design, and nonradiological health and safety concerns. Figure 8.3.2.1-1 in the overview section (8.3.2.1) depicts the relationship between this issue and the other design-related issues.

To avoid duplication, a careful division of responsibility between issues has been adopted. Issue 4.4 has been assigned the responsibility for the design of surface facilities and mined openings. Other issues will impose design requirements or constraints upon Issue 4.4 pertinent to their needs, and will receive the design and other input items (e.g., supporting analyses) back from Issue 4.4.

In a like manner, where it shares similar design requirements with another issue on a particular facet of the repository design, Issue 4.4 has been assigned the responsibility for developing the design, and providing the other three parts of the four-part design package (namely, the operating plan, supporting analyses, and any necessary demonstrations--see Figure 8.3.2.5-1). The related issue(s) provide requirements and constraints (and requests for other input items) to Issue 4.4 and receive the design package in return from Issue 4.4. Note that with this division of responsibility, Issue 4.4 requests most of the data on site characteristics. This division of responsibility is indicated in the logic diagrams and the supporting text and tables of the SCP sections discussing the design-related issues shown in Figure 8.3.2.1-1.

The regulatory basis for addressing this issue and the subsidiary information needs is contained in 10 CFR 60.133 and 10 CFR Part 960. Most of the parts of 10 CFR 60.133 that relate to the repository location, layout, and postclosure performance are addressed directly in Issue 1.11 (configuration of underground facilities (postclosure), Section 8.3.2.2). Some provisions of 10 CFR 60.133 relate to preclosure concerns, however. For example, 10 CFR 60.133(b) states that "the underground facility shall be designed with sufficient flexibility to allow adjustments when necessary to accommodate site-specific conditions identified through in situ monitoring, testing or excavation." Some of the "adjustments" might be required because of technology limitations such as the ability to predict rock behavior over the long life of the repository. Such limitations might result in a preclosure constraint from a postclosure criterion. Similarly, 10 CFR 60.133(f) states that "the design of the underground facility shall incorporate excavation methods that will limit the potential for creating a preferential path for ground water or radioactive waste migration to the accessible environment." This requirement places a potential constraint on the construction methods

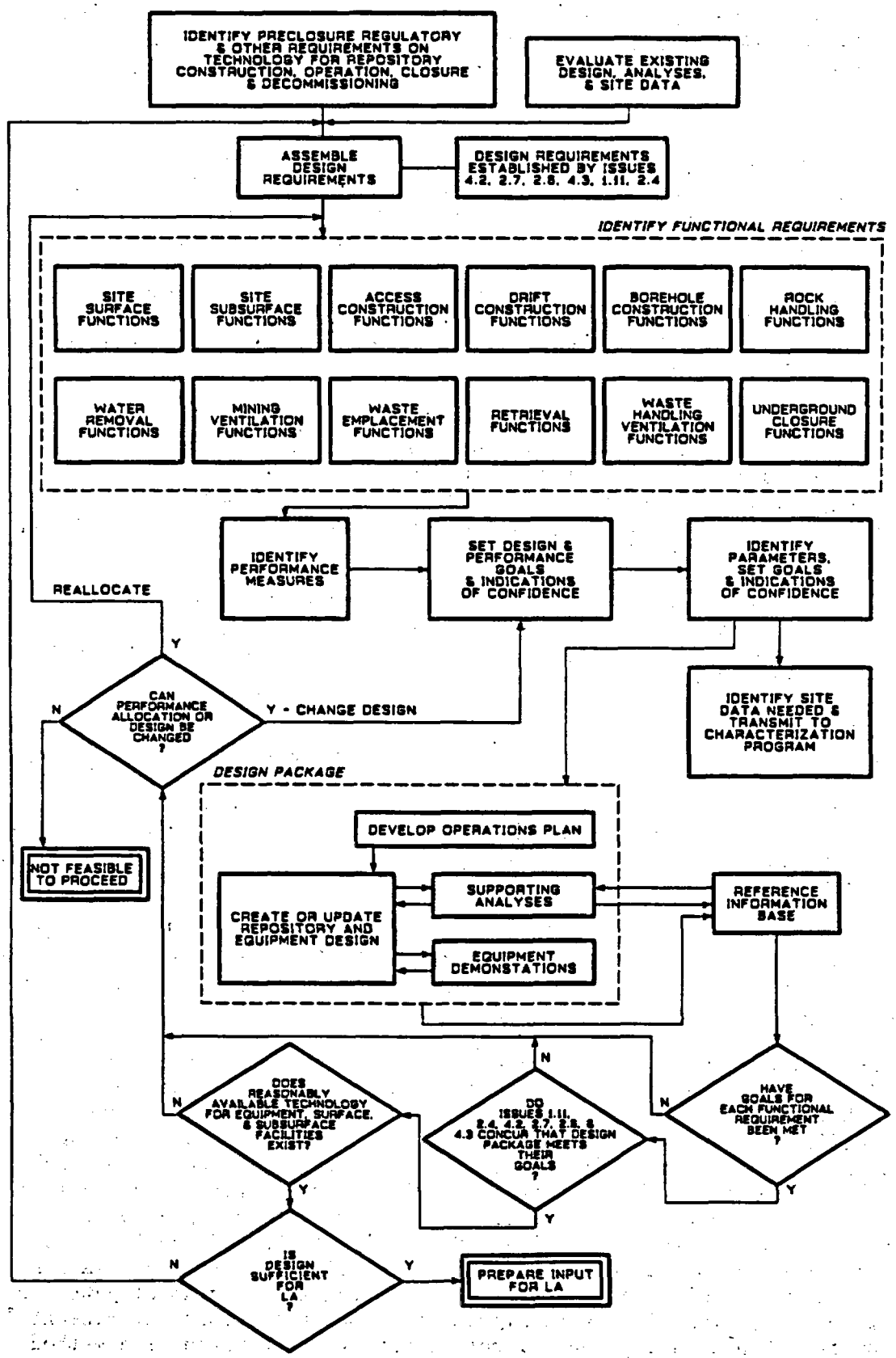
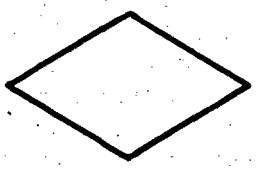


Figure 8.3.2.5-1a. Logic diagram for Issue 4.4 (preclosure design and technical feasibility). See Figure 8.3.2.5-1b for legend. Section 8.3.2.1 describes the relationships and interfaces between design and performances issues.

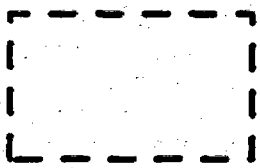
LEGEND

ACTIVITY PERFORMED TO RESOLVE ISSUE

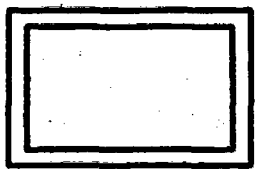


TEST TO DETERMINE SUBSEQUENT ACTIVITY

ACTIVITY PERFORMED BY INDICATED ISSUE



ACTIVITY WITH MULTIPLE SIMILAR ACTIVITIES OR TESTS



DECISION ABOUT ISSUE RESOLUTION

Y - YES N - NO LA - LICENSE APPLICATION

Figure 8.3.2.5-1b. Legend for Figure 8.3.2.5-1a.

and could impact this issue. The viability of these potential limitations and constraints will be determined during construction and subsequent testing in the exploratory shaft facility.

Other regulatory bases are contained in 10 CFR 60.131(b)(10), which applies to shaft conveyances used for waste transportation. These requirements will be applied as appropriate to features in the waste transport ramp that will be used to enhance safety.

The preclosure siting guidelines in 10 CFR Part 960 identify the potentially adverse condition, "In situ characteristics and conditions that could require engineering measures beyond reasonably available technology in the construction of the shafts and underground facility." This condition is addressed directly in this issue. This issue also covers the design questions related to the preclosure technical guidelines for surface and rock characteristics, hydrology, and tectonics.

Approach to resolving the issue

The approach used in resolving this issue is shown graphically in Figure 8.3.2.5-1. The approach, as it is applied to this issue, follows these steps:

1. The "system elements" of the Yucca Mountain mined geologic disposal system (MGDS) that are pertinent to the issue are selected from the total list of system elements given in Section 8.2. For Issue 4.4, only the preclosure elements are considered pertinent. Issue 4.3 (Section 8.3.4.4) addresses the technology requirements for waste package production, and so this element will not be considered in this issue. The site elements, surface and subsurface, could potentially require the use of advanced technology; consequently, they are considered in this issue. The elements, mining, waste handling, and decommissioning, are considered as potential candidates for the use of advanced technology in that they contain the bulk of the construction and operational activities. The performance confirmation and support system elements of the repository are not considered to require advanced technology; thus, they are not considered in this issue.

   Only System Elements 1.1.1 (surface) and 1.1.2 (subsurface) are physical items; the remaining system elements are activities. For this section, the remaining elements will be replaced by physical features in which the identified activities are performed (e.g., drift will be substituted for drift construction). For system elements such as retrieval the system element is used to identify limitations or goals for the performance of repository elements such as drifts and boreholes.

2. The functions and processes of the elements considered pertinent to design and to assessment of technical feasibility are identified. For these elements, the functions listed are those things that the element must do or provide for the repository to meet its required operational and functional goals. The list of functions is not all-inclusive. Only those functions that establish the technology

requirements within the specific system elements and require site-specific data to assess the need for new technology or technology development are identified. The criteria for whether a function plays a role in establishing technology requirements are as follows: (1) has the function been repeatedly demonstrated in normal industrial practices, (2) does the function depend only on engineered structures and equipment of predictable capabilities, and (3) does the function have a potential impact on worker health and safety.

3. The performance measure step identifies the required performance for the system element. Typical performance measures are temperature, rock movement, air flow, and maintenance frequency.

4. This step in the issue resolution strategy specifies the tentative performance goals and confidence needed in order to meet the performance measures. Performance goals are established to provide design guidelines. The goals are not always regulatory requirements; they are, however, based on meeting the applicable regulations.

5. The last step identifies the parameters needed to perform the analyses to determine if the performance goals can be met. It includes a tentative goal and an indication of expected values, needed confidence, and current confidence.

The information needed to resolve this issue will be derived from the site or will be based on nonsite performance requirements. The criteria applied to distinguish those elements, and their included functions and processes, that require site data for the analysis of technology requirements are as follows:

1. Does the performance of the element depend on site environmental inputs (e.g., seismic and meteorological)?

2. Does the design of the element depend on site physical characteristics (e.g., topography, soil and rock strengths, and hydrology)?

Those elements that may require technology development but do not require site-specific data will be addressed in the repository design plan. The site data required for the analysis of the level of technology required will be defined in the information needs under this issue. These information needs will also establish the data quality requirements.

System elements

The Yucca Mountain mined geologic disposal system elements that were selected from the total set of elements listed in Section 8.2, as pertinent to this issue, are given in the following list. The elements that will be evaluated before the conclusion can be made that the repository can be constructed, operated, closed, and decommissioned using currently available technology are as follows:

System element	Name
1.1	Site
1.1.1	Surface
1.1.2	Subsurface
1.2	Repository
1.2.1	Mining
1.2.1.1	Access construction
1.2.1.2	Drift construction
1.2.1.3	Borehole construction
1.2.1.4	Rock handling
1.2.1.5	Water removal
1.2.1.6	Mining ventilation
1.2.2	Waste Handling
1.2.2.1	Receiving
1.2.2.2	Preparation
1.2.2.3	Storage
1.2.2.4	Emplacement
1.2.2.5	Retrieval
1.2.2.6	Shipping
1.2.2.7	Waste handling ventilation
1.2.2.8	Contamination control
1.2.4	Decommissioning
1.2.4.1	Underground closure
1.2.4.2	Surface facility decommissioning

The functions or processes for each of these elements are given in Tables 8.3.2.5-1 through 8.3.2.5-12. System elements 1.2.2.1 (receiving), 1.2.2.2 (preparation), 1.2.2.3 (storage), 1.2.2.6 (shipping), 1.2.2.8 (contamination control), and 1.2.4.2 (surface facility decommissioning) are not represented in tables but will be discussed in the repository design plan. These tables also include the performance measures used to evaluate performance in each element, the tentative performance goals, the needed confidences, and the performance or design parameters required to evaluate the technology requirements. Tentative goals, current confidences, needed confidences, and expected values are also provided for each performance or design parameter.

Interrelationships of information needs

The information needs for this issue identify the site parameters and the approach to integrating these parameters into designs and studies that demonstrate reasonable assurance of compliance with the performance goals and the regulatory requirements. Table 8.3.2.5-13 lists the information needs associated with this issue and the system elements addressed in each information need. Information Need 4.4.1 (Section 8.3.2.5.1), generally describes the parameter requirements developed in the other information needs under this issue.

The site parameters will be acquired through characterization programs for rock characteristics (Section 8.3.1.4), surface characteristics (Section 8.3.1.14), thermal and mechanical rock properties (Section 8.3.1.15), hydrology (Section 8.3.1.16), and tectonics (Section 8.3.1.17).

Table 8.3.2.5-1. Preliminary performance allocation for System Element 1.1.1, surface (page 1 of 6)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Provide sufficient area for surface facilities	Space to accommodate surface facilities (with contingency)	>100 acres for central surface facility	High	Surface topography at facility locations	Topographic maps with 1 m contours	Medium	Medium	1 m contours
		>50 acres for mined material storage	High	Surface topography at candidate mined material storage area	Topographic maps with 2 m contours	Medium	Medium	2 m contours
		>10 acres for each underground access location	Medium	Topography at underground access locations	Topographic maps with 1 m contours	Medium	Medium	1 m contours
		Minimum cut and fill	Medium	Topography of surface facility sites	Topographic maps with 1 m contours	Medium	Medium	1 m contours
Provide usable access for waste delivery vehicles	Grades for rail and truck delivery	<6% road grades <2% rail grades	High	Surface topography on access routes	Topographic maps with 2 m contours	Medium	Medium	1 m contours
Provide facility sites (including underground accesses) that are not jeopardized by natural or manmade phenomena	Location relative to floodplain	Above floodplain	High	Topography at facility locations	Topographic maps with 1 m contours	High	Medium	1 m contours
				Surface hydrology for 5, 25, 50, 100, 500, yr flood and probable maximum flood (PMF)				
				Area of inundation	Inundation maps with elevation of inundation area to within ±2 m	High	Low	Area map with elevation of inundated area within ±2 m
					Secondary quantities used to derive area of inundation			
					Topography of drainage area 2 m contours	Medium	Medium	2 m contours
					Debris quantity and category	Low	Low	Cannot be estimated with currently available data
	Locations for surface facilities	Facilities important to safety (FITS) not located over potentially active geological structures	High	Identification of any fault within 100 m of FITS with greater than 1 chance in 100 of producing more than 5 cm of surface displacement in 100 yr.	Determine existence	High	Low	No such faults are expected to be found

CONSULTATION DRAFT

Table 8.3.2.5-1. Preliminary performance allocation for System Element 1.1.1, surface (page 2 of 6)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
				If determined to exist, establish				
				Location at surface	Actual location to accuracy of ±5 m	High	Low	Location within 5 m
				Orientation at surface	Actual orientation to accuracy of ±10°	High	Low	Orientation to 10°
				Probability of exceeding 5 cm displacement under FITS	Probability of 5 cm displacement under FITS <.01 in 100 yr	High	Low	Probability <.01 in 100 yr
		Acceptable potential for vibratory ground motion	High	Design basis ground motion time histories and corresponding response spectra	Time histories and response spectra representative for exceptional earthquakes on nearby faults and underground nuclear explosions (for frequencies between 0.5 and 33 Hz)	Medium to high	Low	0.4-0.6g peak acceleration
				Potential for exceeding design basis ground motion at FITS	<0.1 probability in 100 yr	Medium to high	Low to medium	Probability <0.1 in 100 yr
				Probability vs. peak ground acceleration, peak ground velocity, and peak velocity response at selected frequencies at surface FITS locations	Values estimated for annual probability ranging from 10^{-2} to 10^{-6} per yr	Medium to high	Low	Cannot be estimated based on current data
		Acceptable potential for volcanic eruption at surface facilities	High	Probability of volcanic eruption that would disrupt surface facilities	Probability <.0001 in 100 yr	High	Medium to high	Probability <.0001 in 100 yr
		Design basis ash fall thickness at surface facility locations (including shaft and ramp portals)	Medium	Design basis ash fall thickness	<0.1 probability of exceeding design basis ash fall in 100 yr	Low to medium	Low	Probability <0.1 in 100 yr

CONSULTATION DRAFT

Table 8.3.2.5-1. Preliminary performance allocation for System Element 1.1.1, surface (page 3 of 6)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Provide facility sites (including underground accesses) that have acceptable soil and rock conditions	Soil and rock conditions	Stable foundations on soil and rock under static load conditions	Medium	Allowable foundation bearing load pressure for soil considering shear failure and settlement (total and differential)	Allowable bearing pressure >0.2 MPa	Medium	Low to medium	0.2-0.4 MPa
				Allowable foundation bearing load pressure for rock considering shear failure and settlement (total and differential)	Allowable bearing pressure >14 MPa	Medium	Low to medium	21 MPa
		Stable retaining walls on soil and rock under static load conditions	Medium	Active and passive soil pressures for flexible and rigid structural walls	Earth pressure coefficient active >0.25 passive >3.4	Medium	Low	0.27 3.70
				Active and passive rock pressure for flexible and rigid structural walls	Earth pressure coefficient active >0.25 passive >3.4	Medium	Low	0.27 3.70
		Stable slopes for static and dynamic loading conditions	Medium to high	Factor of safety for an identified mechanism of potential slope failure in soil for static and dynamic loading conditions	For slopes potentially impacting FITS F.S.[a] >1.5 (static) F.S. >1.2 (dynamic)	High	Low	Cannot be estimated based on current data
					For slopes potentially impacting other structures F.S. >1.3 (static)	Medium	Low	Cannot be estimated based on current data
				Factor of safety for an identified mechanism of potential slope failure in rock for static and dynamic loading conditions	For slopes potentially impacting FITS F.S.[a] >1.5 (static) F.S. >1.2 (dynamic)	High	Low	Cannot be estimated based on current data

CONSULTATION DRAFT

Table 8.3.2.5-1. Preliminary performance allocation for System Element 1.1.1, surface (page 4 of 6)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
					For slopes potentially impacting other structures F.S.[a] >1.3 (static)	Medium	Low	Cannot be estimated based on current data
		Suitable hydraulic related soil conditions considering infiltration/runoff characteristics and erosion potential	Medium	Favorable infiltration: runoff ratio	See Section 8.3.1.2 (geohydrology)	High	Low	See 8.3.1.2
				Favorable hydraulic induced soil erosion characteristics	Scour around bridge piers <13 m in 100 yr	Medium	Low	See 8.3.1.6
					Bed erosion <5 m in 100 yr	Medium	Low	Cannot be estimated based on current data
					Sheet erosion <1 m in 100 yr	Medium	Low	Cannot be estimated based on current data
		Stable foundations on soil and rock for dynamic loading conditions (for FITS)	High	Favorable soil-structure interaction considering displacements and degree of yielding in soil beneath base of building[b]	Total displacement <3 in.	High	Low	Cannot be estimated based on current data
					Percentage of soil that has yielded beneath base of building <10%	High	Low	Cannot be estimated based on current data
				Favorable rock-structure interaction considering displacements and degree of yielding in soil beneath base of building[b]	Total displacement <3 in.	High	Low	Cannot be estimated based on current data
					Percentage of rock that has yielded beneath base of building <10%	High	Low	Cannot be estimated based on current data
		Stable retaining walls on soil and rock under dynamic loading conditions (for FITS)	High	Favorable soil-structure interaction considering displacements and degree of yielding in soil beneath base of building[b]	Total displacement <6 in.	High	Low	Cannot be estimated based on current data
					Percentage of soil that has yielded beneath base of building <10%	High	Low	Cannot be estimated based on current data

CONSULTATION DRAFT

Table 8.3.2.5-1. Preliminary performance allocation for System Element 1.1.1, surface (page 5 of 6)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
				Favorable rock-structure interaction considering displacements and degree of yielding in soil beneath base of building[b]	Total displacement <2 in.	High	Low	Cannot be estimated based on current data
					Percentage of rock that has yielded beneath base of building <10%	High	Low	Cannot be estimated based on current data
		Soils not subject excessive volume change	Medium	Magnitude and rate of time dependent settlement in soils below earthfills[c]	Total settlement <4 in.	Medium	Low	Settlement <4 in.
				Magnitude of swell in subgrade soils below roads[c]	Total swell <1 in.	Medium	Low	Swell <1 in.
				Magnitude of soil collapse below surface facilities (foundations, earthfills, and roads) due to saturation and/or loading[c]	Total collapse <2%	Medium	Low	Cannot be estimated based on current data
					In situ density >90% of maximum dry density	Medium	Low to medium	Cannot be estimated based on current data
				Favorable soil liquifaction potential for saturated low density soils under dynamic loading conditions[c]	In situ density >85% of maximum dry density	Medium	Low to medium	Density >93.5%
					Well graded soil	Medium	Low to medium	Poorly graded gravel or silty gravel
Protection and confinement of operational activities activities	Facilities adequate to withstand natural weather phenomena without damage to functional capability	Facilities not damaged by weather extremes	High	Design wind load at surface facility locations (normal winds)	80 mph	Medium	Medium	80 mph
				Design basis tornado Probability of occurrence	$<1 \times 10^{-7}$ per yr	Medium	Low	$<1 \times 10^{-7}$ per yr
				Maximum wind speed	180 mph (combined translational and rotational velocity)	High	Medium	<180 mph
				Maximum atmospheric pressure drop	0.7 psi	High	Low	0.7 psi

CONSULTATION DRAFT

Table 8.3.2.5-1. Preliminary performance allocation for System Element 1.1.1, surface (page 6 of 6)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
				Design snow load at surface facility locations	10-16 in.	High	Medium	14 in.
				Design precipitation rate at surface facilities	2-4 in./h	High	Medium	3 in./h
Provide proper environment for personnel and equipment	Proper environment for personnel and equipment under normal weather extremes	Heating and cooling design values	High	Heating and air conditioning design values	No site characterization data is required. Existing data from near vicinity locations is adequate if normalized to Yucca Mountain sites. Values will be developed and presented in the repository design plan.			
		Site location	Medium	Site locations	Coordinates of facility locations	Medium	High	Coordinates within ±5 m
		Site elevation	Medium	Site elevation	Elevations of surface facilities	Medium	High	Elevations within ±5 m

[a]F.S. = factor of safety.
[b]If the alluvium or rock adjacent to the foundation has shear velocities greater than 3,500 ft/s, then a soil-structure interaction analysis will probably not be necessary.
[c]The need for these design and performance parameters or characterization parameters is contingent on the soil and rock conditions encountered. On the basis of the preliminary soil and rock data from the site, these parameters are not currently required.

CONSULTATION DRAFT

Table 8.3.2.5-2. Preliminary performance allocation for System Element 1.1.2, subsurface (page 1 of 5)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal[a]	Needed confidence	Current confidence	Expected value[b]
Provide adequate area for distributing waste (with contingency) and required overburden	Area for 70,000 metric tons of waste and overburden >200 m	Goal for needed area established in Issue 1.11 (See Section 8.3.2.2 for needed site parameters)	High	See Issue 1.11, Section 8.3.2.2				
Provide underground sites (including accesses) that are not jeopardized by natural or manmade phenomena	Sites for underground facilities	Underground facilities not located in areas of potentially active faults	High	Identification and characterization of late Quaternary faults in the repository block	Determine existence	High	Low	No such faults are expected to be found
				If determined to exist, establish				
				Location	Actual location to accuracy of ±20 m	High	Low	Cannot be estimated based on current data
				Orientation	Actual orientation to accuracy of ±10°	High	Low	Cannot be estimated based on current data
				Probability of exceeding 7 cm displacement in areas of waste emplacement	Probability of 7 cm displacement in waste emplacement area <1 chance in 10 in 100 yr	Medium	Low	Probability <0.1 in 100 yr
		Acceptable potential for vibratory ground motion	High	Design basis ground motion time histories, and corresponding spectra at underground facility locations	Time histories and response spectra representative of exceptional earthquakes on nearby faults and underground nuclear explosions (for frequencies between 0.5 and 33 Hz)	Medium to high	Low	0.2-0.3g peak acceleration (subsurface)
			High	Combined potential for vibratory ground motion at underground facility locations	<1 chance in 10 of exceeding design basis ground motion in 100 yr	Medium to high	Medium to low	Probability <0.1 in 100 yr

CONSULTATION DRAFT

Table 8.3.2.5-2. Preliminary performance allocation for System Element 1.1.2, subsurface (page 2 of 5)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal[a]	Needed confidence	Current confidence	Expected value[b]
		Acceptable potential for volcanic eruption through underground facility	High	Probability of volcanic eruption through area of waste emplacement	Probability $<1 \times 10^{-6}$ per yr	High	Low	Probability $<1 \times 10^{-6}$
Provide host rock thickness for drift construction and waste emplacement	Thickness for drift construction and waste emplacement	Host rock thickness >50 m	High	Stratigraphic contacts for top and and bottom of the TSw2 formation within candidate areas for repository	Determine elevation of stratigraphic contacts at selected points within candidate repository area to accuracy of ±10 m (Note: goal is to determine potential usable extent of acceptable area based on this and other criteria)	High	Low	Thickness of TSw2 within area meeting other selected guidelines for repository horizon defined in Issue 1.11 (Section 8.3.2.2)
Provide physical properties adequate for construction and operation of stable (safe) underground accesses, drifts, emplacement boreholes, and support facilities for normal and credible abnormal conditions	Usable openings of required size	Accessways and drifts usable for 100 yr	High	Rock properties in primary area				
				Poisson's ratio (intact rock)	TSw1 0.20-0.30 (NL)[c]	Low	Low to medium	0.20-0.30
					0.13-0.19 (L)[d]	Low	Low	0.13-0.19
					TSw2 0.19-0.29	Medium	Medium	0.19-0.29
				In situ stress (rock mass)	Vertical 6.3-7.7 MPa (average) value for 300 m)	Medium	Low to medium	6.3-7.7
					Minimum horizontal stress ratio 0.3-0.8	Medium	Low	0.3-0.8
					Maximum horizontal stress ratio 0.3-1.0	Medium	Low	0.3-1.0
					Bearing of minimum horizontal stress between N 50 E-N 65 E	Medium	Medium	N 50 W-N 65 W
					Bearing of maximum horizontal stress between N 25 E-N 40 E	Medium	Medium	N 25 E-N 40 E
				Initial temperature (rock mass)	TSw2 23-25°C	Medium	Medium	23-25°C
				Coefficient of thermal expansion (rock mass)	TSw1 current mean ±15% (NL and L)	Medium	Low to medium	12×10^{-6}/K
					TSw2 current mean ±15%	Medium	Medium	9×10^{-6}/K

CONSULTATION DRAFT

Table 8.3.2.5-2. Preliminary performance allocation for System Element 1.1.2, subsurface (page 3 of 5)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal[a]	Needed confidence	Current confidence	Expected value[b]
				Thermal conductivity (rock mass)	TSw1 current mean ±20% (ML) (Dry)	Medium	Low to medium	1.2 W/m-K
					TSw1 current mean ±20% (L) (Dry)	Medium	Low	0.8 W/m-K
					TSw2 current mean ±20% (Dry)	Medium	Low to medium	1.4 W/m-K
				Young's modulus (intact rock)	TSw1 12-54 GPa (ML)	Medium	Medium	19-44 GPa
					14-17 GPa (L)	Medium	Low	15.5 GPa
					TSw2 29-33 GPa	Medium	Medium	31 GPa
				Deformation modulus (rock mass)	TSw1 12-20 GPa (ML)	Medium	Low	12-20 GPa
					4-11 GPa (L)	Medium	Medium	4-11 GPa
					TSw2 11-19 GPa	Medium	Low	11-19 GPa
				Heat capacity	TSw1 current mean ±10% (ML) (saturated)	Medium	Low to medium	2.1 J/m^3-K
					current mean ±10% (ML) (dry)	Medium	Low to medium	2.0 J/m^3-K
					current mean ±10% (L) (saturated)	Medium	Low to medium	1.9 J/m^3-K
					current mean ±10% (L) (dry)	Medium	Low to medium	1.4 J/m^3-K
					TSw2 current mean ±10% (saturated) (dry)	Medium	Low to medium	2.2 J/m^3-K
				Unconfined compressive strength (intact rock)	TCw current mean ±20%		(see footnote e)	
					PTn current mean ±20%		(see footnote e)	
					TSw1 54-207 MPa (ML)	Medium	Medium	67-172 MPa
					13-19 MPa (L)	Medium	Medium	16 MPa
					TSw2 121-175 MPa	Medium	Medium	148 MPa
				Cohesion of rock and angle of internal friction -- intact rock (compressive strength as a function of confining pressure) fining pressure)	TCw cohesion, current mean ±20%	Medium	Low	30-70 MPa
					Angle of internal friction, current mean ±20%	Medium	Low	45°
					PTn cohesion, current mean ±20%	Medium	Low	4-12 MPa
					Angle of internal friction, current mean ±20%	Medium	Low	8-5°

CONSULTATION DRAFT

Table 8.3.2.5-2. Preliminary performance allocation for System Element 1.1.2, subsurface (page 4 of 5)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal[a]	Needed confidence	Current confidence	Expected value[b]
					TSw1 cohesion, current mean (NL & L) ±20%	Medium	Low	11-36 MPa
					Angle of internal friction, current mean (NL&L) ±20%	Medium	Low	12-35°
					TSw2 cohesion, current mean ±20%	Medium	Low	35 MPa
					Angle of internal friction, current mean ±20%	Medium	Low	24°
				Joint normal and shear stiffness properties (fractures)	TSw2		(see footnote e)	
				Joint wall compressive (fracture surfaces)	TSw2		(see footnote e)	
				Joint roughness coefficient (fracture surfaces)	TSw2		(see footnote e)	
				Cohesion and coefficient of friction (fractures)	TSw2 cohesion, current mean ±20%	Medium	Low	0.1 MPa
					Coefficient of friction, current mean ±20%	Medium	Low	0.54
				Number of joint sets	TSw2 2-3	High	Medium	2-3 sets
				Frequency and spacing	TSw2 20-40/m^3	Medium	Medium	20 40/m^3
				Joint orientation	TSw2 identify joint sets and orientation	Medium	Medium	Cannot be estimated based on current data
				Joint roughness and condition of joints	TSw2 discontinuous to smooth undulating	Medium	Medium	Rough, undulating
				Rock quality designation	TSw2 80-35	Medium	Medium	80-35
				Joint alteration	TSw2 softening or low friction with clay mineral coatings	Medium	Medium	Unaltered to slightly altered

CONSULTATION DRAFT

Table 8.3.2.5-2. Preliminary performance allocation for System Element 1.1.2, subsurface (page 5 of 5)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal[a]	Needed confidence	Current confidence	Expected value[b]
				Construction method	No site data required			
				Presence of swelling or squeezing ground	Not present based on current data			
				Water inflow	Quantify water inflow	Medium	High	Not expected
				Expected seismic loading	0.2-0.3g acceleration	Medium	Low	0.2-0.3g (underground)
				Description and frequency of abnormal conditions in rock-mass	TBD	TBD	TBD	TBD
				Fault properties (subsurface)				
				Location	Actual location to accuracy of ±5 m	High	Low	Location within 5 m
				Orientation	Actual orientation to accuracy of ±10°	Medium	Medium	Orientation to within 10°
				Physical, thermal, and mechanical properties of major faults	Offset ±2 m Spacing ±1 m Fill characteristics	High	Low	Cannot be estimated based on current data
Dissipate heat from emplaced waste	Waste package temperature	Centerline temperature of waste package <350°C	High	Initial formation temperature	See values specified under rock properties in primary area			
				Thermal conductivity of rock	See values specified under rock properties in primary area			
				Heat capacity of rock	See values specified under rock properties in primary area			
Provide adequate water for repository operations	Available water	Water availability >400 acre-ft per yr	High	Sustained yield of pumped water source for operational support	400 acre ft/yr	Medium	Medium	>400 acre ft/yr

[a]Where the goal is indicated as a percentage of the current mean value, the goal is to show that the distribution of the new data is similar to that of the current data and that the mean value of the new data lies within the range specified as percentage of the current mean value.

[b]In general the expected value is provided to indicate the range of typical values measured date. This column is not intended to identify the limits of all values measured to date nor is it intended to indicate that the design would be invalid if future values obtained differ from the values presented.

[c]NL denotes properties for nonlithophysal.
[d]L denotes properties for lithophysal.
[e]Existing data are insufficient to establish goals or expected values.

CONSULTATION DRAFT

Table 8.3.2.5-3. Preliminary performance allocation for system element 1.2.1.1, access construction (page 1 of 4)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Underground facility access for personnel, support materials, waste handling systems during construction, operation (including retrieval), and decommissioning	Accesses (shafts and ramps) usable for 100 yr with reasonable maintenance	Access to construct, emplace, and retrieve waste, close, and decommission for 100 yr	High	The parameters required, the tentative goals, and confidence required for these parameters are the same as those listed under System Element 1.1.2 subsurface, under rock properties in primary area				
	Compliance with 10 CFR 60.133f	Limit rock damage during construction		The parameters required, the tentative goals, and confidence required for these parameters are the same as those listed under System Element 1.1.2 subsurface, under rock properties in primary area				
		Overbreak <6 in. average	Medium					
		Extent of fracturing of intact rock <3 m average	Medium					
	Access closure (combined performance of rock and opening support system)	Extent of closure		The parameters required, the tentative goals, and confidence required for these parameters are the same as those listed under System Element 1.1.2 subsurface, under rock properties in primary area				
		<1 mm/yr in accesses (shafts and ramps)	Medium					
		Total closure <6 in. in 100 yr (ramps)	Medium					
		Total closure <3 in. in 100 yr (shafts)	Medium					
	Rockfall	Rockfall		The parameters required, the tentative goals, and confidence required for these parameters are the same as those listed under System Element 1.1.2 subsurface, under rock properties in primary area				
		<5 ton (average) per 1,000 ft of length per yr (ramps)	Medium					
		Maximum size of rock or slabfall <2 tons	Medium					
		Rockfall contained by support system (ramps)	Medium					
		No rockfall to disrupt or damage waste transporter (ramp)	Medium					
		Rockfall or liner spall in shafts <1 ton (average) per 1,000 ft/yr	Medium					

CONSULTATION DRAFT

Table 8.3.2.5-3. Preliminary performance allocation for system element 1.2.1.1, access construction (page 2 of 4)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
		All rockfall or liner spall in shaft to be retained (by supplemental support system, supplemental liner or other methods)	High					
	Maintenance frequency	Inspection and maintenance frequency		The parameters required, the tentative goals, and confidence required for these parameters are the same as those listed under System Element 1.1.2 subsurface, under rock properties in primary area				
		Inspection and minor maintenance done on continuing basis	Medium					
		Major maintenance frequency <25 yr	Medium					
	Access sizes and grades compatible with requirements for personnel and material transport and utility routing	Size			No site characterization data required			
		20 ft clear diameter man and material shaft to accommodate man and material cage of required capacity and utilities	Medium					
		19 ft diameter waste ramp roadway width 5 ft > width of waste transporter. Minimum vehicle clearance >2 ft	Medium					
		20 ft diameter tuff ramp clearance adequate for tuff conveyor, movement of major construction equipment and utilities	Medium					

CONSULTATION DRAFT

Table 8.3.2.5-3. Preliminary performance allocation for system element 1.2.1.1, access construction (page 3 of 4)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
		Grades Waste ramp grade <10% Tuff ramp grade <20%	 High Medium	Elevation at ramp entry points	Topographic maps with 1 m contours	Medium	Medium	1 m contours
Ventilation routing (supply and exhaust)	Pressure drop in shafts and ramps	Shafts and ramps of sufficient size to limit flow velocities. Size sufficient to provide contingency to cover changes in future air quantity requirements Ramp velocities <1,500 ft/min Shaft velocities <3,000 ft/min	 Medium Medium	Pressure drop per unit length of drift	(a)			
Sealing	Shafts and ramps compatible with requirements for repository sealing	Materials used compatible with sealing requirements	High		(b)			
		Construction methods compatible with sealing requirements	High		No site characterization data required			
		ES-1 shaft liner capable of being removed at time of closure	High		No site characterization data required			
		No shaft (except ES-1) should penetrate into Calico Hills unit	High	Elevation of upper Calico Hills	Elevation within 5 m	High	High	At ES-2 = 2,728 ft; at men and materials shaft = 2,742 ft; at waste ventilation shaft = 2,806 ft

CONSULTATION DRAFT

Table 8.3.2.5-3. Preliminary performance allocation for system element 1.2.1.1, access construction (page 4 of 4)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
		Water storage capacity at base of shafts (excluding ES-2) to be 150 m	High		No site characterisation data required			
		ES-1 shaft termination no less than 150 m above ground-water table	High	Ground water table 2,400 ft elevation at ES-1		High	High	2,400 ft

[a]Site data required will be pressure drop measurements made in shafts and ramps constructed in different types of rock. Measurements will be repeated for each construction method and liner type used.
[b]Parameters (information needed) have been identified under Issue 1.12, Table 8.3.3-9.

CONSULTATION DRAFT

Table 8.3.2.5-4. Preliminary performance allocation for System Element 1.2.1.2, drift construction (page 1 of 2)

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Underground facility access for personnel, support materials, waste handling systems during construction, operation, (including retrieval), and decommissioning	Drifts usable for 100 yr with reasonable maintenance	Access to construct, emplace and retrieve waste, close and decommission for 100 yr	High	The parameters required for these performance goals for System Element 1.2.1.2 are the same as specified under rock properties in primary areas under System Element 1.1.2 (subsurface)				
	Compliance with 10 CFR 60.133f	Limit rock damage during construction		The parameters required for these performance goals for System Element 1.2.1.2 are the same as specified under rock properties in primary areas under System Element 1.1.2 (subsurface)				
		Overbreak <6 in. average	Medium					
		Extent of fracturing in intact rock <3 m	Medium					
	Drift closure (combined performance of rock and rock support system)	Extent of closure		The parameters required for these performance goals for System Element 1.2.1.2 are the same as specified under rock properties in primary areas under System Element 1.1.2 (subsurface)				
		<1 mm/yr in main and access drifts	Medium					
		<3 mm/yr in waste emplacement drifts	Medium					
		Total closure <6 in. in 100 yr for all drifts	Medium					
	Rock fall (performance of rock support system)	Rockfall		The parameters required for these performance goals for System Element 1.2.1.2 are the same as specified under rock properties in primary areas under System Element 1.1.2 (subsurface)				
		<5 ton (average) per 1,000 ft of drift per yr	Medium					
		Rockfall contained by support system	High					
		Maximum size of rock or slab fall <2 tons	Medium					
		No rockfall sufficient to disrupt or damage waste transporter	Medium					
	Acceptable maintenance frequency	Frequency		The parameters required for these performance goals for System Element 1.2.1.2 are the same as specified under rock properties in primary areas under System Element 1.1.2 (subsurface)				
		Inspection and minor maintenance will be done on a continuing basis	High					

CONSULTATION DRAFT

Table 8.3.2.5-4. Preliminary performance allocation for System Element 1.2.1.2, drift construction (page 2 of 2)

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
		Major maintenance frequency >25 yr	High				Medium	
	Drift sizes and slopes compatible with requirements for personnel and material transport and utility routing	Drift cross-section 6 ft greater than maximum mobile equipment width	Medium		No site characterization data required			
		Drift grades <8%	High	Upper and lower contact elevations for the TSw2 formation over the entire repository area	Upper and lower contact elevations for the TSw2 within 20 m	Medium		Elevations accurate to ±20 m
Ventilation routing (supply and exhaust)	Pressure drop in drifts	Drifts of sufficient size to limit air velocities to <2,000 ft/min	Medium		No site characterization data required			
Sealing	Drifts (underground layout) compatible with repository sealing	Underground drift layout and grades should provide water drainage from waste emplacement to designated nonemplacement drifts	High	Upper and lower contact elevations for the TSw2 formation within the potential repository area	Upper and lower contact elevations for the TSw2 within 20 m	Medium	Medium	Elevations accurate to ±20 m
		Drift layout and and grades within the exploratory shaft facility should drain to the ES-1 shaft	High	Upper and lower contact elevations for the TSw2 formation within the potential repository area	Upper and lower contact elevations for the TSw2 within 20 m	Medium	Medium	Elevations accurate to ±20 m

CONSULTATION DRAFT

Table 8.3.2.5-5. Preliminary performance allocation for System Element 1.2.1.3, borehole construction

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Provide for emplacement	Constructability of usable borehole for waste disposal envelope	25 ft deep x 29 in. diameter vertical borehole	High	Abrasiveness of rock	Reasonable cutter life	Medium	Medium	Cannot be estimated based on current data
		350 ft long x 36 in. diameter horizontal borehole	High	The additional parameters required to access constructability of usable boreholes are the rock parameters identified under System Element 1.1.2 (subsurface)				
Provide waste emplacement envelope	Installation of borehole liner	Ability to install vertical hole liner	High	Mechanical systems---No site characterization data required				
		Ability to install up to 350 ft of horizontal hole liner	High	Mechanical systems---No site characterization data required				

CONSULTATION DRAFT

Table 8.3.2.5-6 Preliminary performance allocation for System Element 1.2.1.4, rock handling

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Removal of mined rock	Ability to remove mined rock at rate of generation	3,000 tons/day	Medium	Size range of blast-fractured rock	Maximum rock size	Low	Low	<12 in.
				Angle of repose of fractured rock piles	Actual angle of repose ±5°	Low	Low	25-30°

CONSULTATION DRAFT

Table 8.3.2.5-7. Preliminary performance allocation for System Element 1.2.1.5, water removal

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Removal of process water	All process water removed	Removal capacity >600 gph	Medium	Quantity of process water	(a)			
Removal of natural water	Removal rate equal to rate of inflow	Removal capacity >50 gpm	Medium	Natural water inflow	Actual inflow rate to accuracy of ±10 gpm	Medium	Low	<50 gpm
Removal of water resulting from condensation in ventilation returns (potential during operations)	Removal rate equal to rate of condensation	Removal capacity > potential rate of condensation	Medium	Condensate quantity	(b)			

[a]No site characterization data required. Values will be developed in the repository design plan (RDP).
[b]Condensate potential and quantities will be determined using parameters specified in System Elements 1.1.2 (subsurface), 1.2.1.6 (mine ventilation), and 1.2.2.7 (waste handling ventilation).

CONSULTATION DRAFT

Table 8.3.2.5-8. Preliminary performance allocation for System Element 1.2.1.1, mining ventilation (page 1 of 2)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Supply quality air to working area (considering: temperature, humidity, dust content, and other pollutants)	Compliance with 30 CFR Part 57	125 ft^3/min per unit diesel horsepower in use	High	In situ temperature of host rock	TSw2 23-25°C	Medium	Medium	23-25°C
	Compliance with threshold limit values and biological exposure indices specified in ACGIH[a] (1986)	Normal operation air cooling power = 300 w/m^2 at 45°C (dry bulb)	High	Diurnal temperature and humidity variation of ambient air at intake and exhaust locations	Temperature/ humidity vs. time-of-day plots for year (based on historical data)	No site characterisation data required. Existing data sufficient when normalised to site		
		210 ft^3/min per worker	High	Seasonal temperature and humidity variation of ambient air at intake and exhaust locations	Temperature/ humidity vs. time-of-day plots for year (based on historical data)	No site characterisation data required. Existing data sufficient when normalised to site		
		60 ft/min minimum air velocity in work areas	High					
				Surface topography at intake and exhaust locations	Topographic maps with 1 m contours	Medium	Medium	1 m contours
				Pressure drop/unit length of accesses or drifts	Actual pressure drop for rock exhibiting different surface characteristics and for each method of construction	Low	Low	(b)
		Silicon dioxide particulate concentration <0.1 mg/m^3	High	Rock chemistry	Potential for SiO_2	Medium	Medium	SiO_2 content of rock
				Dust generation potential for mining and drilling operations	Particulate size and quantity data	Medium	Medium	(c)
		Oxygen concentration >19.5 volume %	High	Equipment type and utilisation times	No site characterisation data required			
		Radon daughter concentration <1.0 working level (WL) in active working area (see Issues 2.2 and 2.7)	High	Potential for radon	Rock uranium content	Medium	Medium	(c)
				Radon emission rate from rock	Radon emission rate	Medium	Medium	(c)

CONSULTATION DRAFT

Table 8.3.2.5-8. Preliminary performance allocation for System Element 1.2.1.1, mining ventilation (page 2 of 2)

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
		Personnel exposure to radon daughters <4 WL months (see Issues 2.2 and 2.7)	High	Potential for radon	Rock uranium content	Medium	Medium	(c)
				Radon emission rate from rock	Radon emission rate	Medium	Medium	(c)
Provide flexibility to allow changes in air quantities and routing	System design flexibility to allow changes in air quantities and routing	Flexibility in design of layout and flow control features (regulators and stoppings)	High	Underground design	No site characterization data required			
Provide safe escape routes for work force	Ventilated escape routes available for all active work areas	Ventilated escape routes provided by design	High	Underground design operation plan	No site characterization data required			
Provide independence between ventilation systems	Compliance with 10 CFR 60.133(g)	Drift layout and constructed features (bulkheads, airlocks, etc.) designed to ensure independence of systems	High	Underground design	No site characterization data required			
		Leakage to be from mining ventilation to waste emplacement ventilation system	High	Permeability of disturbed rock	Permeability of rock affected by mining operations (blasting)	Low	Low	(c)
				Pressure differentials between systems	No site characterization data required			

[a]ACGIH = American Conference of Governmental Industrial Hygienists
[b]No estimate available. Values used to this point for ventilation calculations have been based on handbook information.
[c]Cannot be estimated based on currently available data.

CONSULTATION DRAFT

Table 8.3.2.5-9. Preliminary performance allocation for System Element 1.2.2.4, emplacement

Function or process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Transport waste to emplacement location	Ability to transport the required number of waste packages per day using methods which will ensure personnel safety	Transport 10 packages per day	High	Mechanical activity--no site characterization data required				
		Nonradiological safety (see Issue 4.2)	High	Parameters pertinent to nonradiological safety that are dependent on site characteristics are presented under System Element 1.2.1.1 (access construction) and System Element 1.2.1.2 (drift construction)				
	Compliance with ALARA[a] Compliance with other requirements relating to worker radiation dose[b]	Radiological safety (see Issue 2.7 for radiological safety goals, Issue 2.2 for normal conditions, and Issue 2.3 for accident conditions)	High	Parameters pertinent to radiological safety that are dependent on site characteristics are presented under System Element 1.2.2.5 (retrieval)				

[a]ALARA = as low as reasonable acheivable.
[b]The goals and parameters required to develop assurance of worker radiological safety are discussed and presented in detail in Sections 8.3.5.4, 8.3.5.5, and 8.3.2.3.

CONSULTATION DRAFT

Table 8.3.2.5-10. Preliminary performance allocation for System Element 1.2.2.5, retrieval

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Retrieval of emplaced waste	Access to emplacement borehole	Access available for 100 yr with reasonable maintenance of accesses and drifts	High	Access to the emplaced waste for retrieval will be ensured by meeting the goals for rock damage, closure, and rockfall stipulated in Systems Elements 1.2.1.1 (access construction) and 1.2.1.2 (drift construction). The parameters needed to predict performance of the accesses and the drifts are specified in System Element 1.1.2 (subsurface)				
	Access to waste package	Rock temperature at borehole wall <275°C	Medium	Access to the emplaced waste for retrieval will be ensured by meeting the goals for rock damage, closure, and rockfall stipulated in Systems Elements 1.2.1.1 (access construction) and 1.2.1.2 (drift construction). The parameters needed to predict performance of the accesses and the drifts are specified in System Element 1.1.2 (subsurface)				
		Borehole liner deformation <2 in. vertical emplacement, <3 in. for horizontal emplacement (in 100 yr)	Medium	Access to the emplaced waste for retrieval will be ensured by meeting the goals for rock damage, closure, and rockfall stipulated in Systems Elements 1.2.1.1 (access construction) and 1.2.1.2 (drift construction). The parameters needed to predict performance of the accesses and the drifts are specified in System Element 1.1.2 (subsurface)				
		Liner corrosion <1/2 liner thickness in 100 yr	Medium	Water and formation chemistry	Quantitative and qualitative analysis of formation and water	Medium	Medium	Cannot be estimated based on current data
Waste retrieval	Retrieval time	Retrieval time < emplacement time plus construction time	Medium	Operations plan	No site characterization data required			
	Operator safety	Radiation dose rate for retrieval operations personnel <1 rem/yr	High	Radiation shielding characteristics of rock	Attenuation factors for neutron and gamma radiation	Medium	Medium	Cannot be estimated based on current data
Waste transport	Operator safety	Radiological safety[a]	High	Radiation shielding characteristics of rock	Attenuation factors for neutron and gamma radiation	Medium	Medium	Cannot be estimated based on current data
		Nonradiological safety[a]	High	Operations plan	No site characterization data required			

[a]The goals and parameters needed to develop assurance of worker radiological safety are identified and discussed in detail in Sections 8.3.5.4, 8.3.5.5, and 8.3.2.3.

CONSULTATION DRAFT

Table 8.3.2.5-11. Preliminary performance allocation for System Element 1.2.2.7, waste handling ventilation

Function/Process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Supply air to waste disposal areas during emplacement and retrieval	The performance measures, goals and needed confidences for this function are the same as for System Element 1.2.1.6 (mining ventilation) except for the addition of cooling requirements to provide for retrieval of waste packages from heated drifts, the additional requirement is			Site data required for this System Element is the same as the data required by System Element 1.2.1.6				
	Air cooling power for retrieval or preparation	Air cooling power for retrieval or retrieval preparation = 500 W/m^2 at 40°C (Dry Bulb)	High					
Radiological safety	Detection of airborne radioactive material	Immediate detection of airborne radioactive materials	High					
	Reduction and redirection of vent flows in the event that airborne radioactive particulate is detected	Reduction of ventilation flow by 50%	High					
		Diversion of ventilation flow to filter system	High					
Provide flexibility to allow changes in air quantities and routing necessary to accommodate changes in requirements	Performance measures and goals are the same as System Element 1.2.1.6 (mining ventilation)							
Provide safe escape routes for work force	Performance measures and goals are the same as System Element 1.2.1.6 (mining ventilation)							
Provide independence between ventilation systems	Performance measures and goals are the same as System Element 1.2.1.6 (mining ventilation)							

CONSULTATION DRAFT

Table 8.3.2.5-12. Preliminary performance allocation for System Element 1.2.4.1, underground closure

Function/process	Performance measure	Tentative goal	Needed confidence	Performance or design parameter	Tentative goal	Needed confidence	Current confidence	Expected value
Removal of repository structures	Compliance with requirements for decommissioning	Decommissioning operations and designs compatible with postclosure requirements	High	Parameters needed are identified in System Elements 1.1.1 (surface) and 1.1.2 (subsurface). Requirements will be identified in the decommissioning section of the operations plan and the repository design plan				
Installation of seals	Compliance with requirements for postclosure seals	Preclosure designs compatible with requirements for postclosure seal installation	High	Parameters required are identified in System Elements 1.1.2 (subsurface), 1.2.1.1 (access construction), and 1.2.1.2 (drift construction), and Issue 1.12, Table 8.3.3-8				
		Preclosure and decommissioning materials compatible with postclosure seals	High	Parameters required are identified in System Elements 1.1.2 (subsurface), 1.2.1.1 (access construction), and 1.2.1.2 (drift construction), and Issue 1.12, Table 8.3.3-8				
		Seals installed per requirements of sealing program	High	Parameters required are identified in System Elements 1.1.2 (subsurface), 1.2.1.1 (access construction), and 1.2.1.2 (drift construction), and Issue 1.12, Table 8.3.3-8				
Installation of surface markers	Marker permeance	Marker lifetimes equal to requirements	Medium	Parameters needed are identified in System Elements 1.1.1 (surface) and 1.1.2 (subsurface). Requirements will be identified in the decommissioning section of the operations plan and the repository design plan				
Construction of diversion structures or water flow barriers	Construction according to sealing requirements	Lifetime and material requirements met	Medium	Parameters needed are identified in System Elements 1.1.1 (surface) and 1.1.2 (subsurface). Requirements will be identified in the decommissioning section of the operations plan and the repository design plan				
Installation of backfill	Filling of all underground openings	Openings filled with materials acceptable to waste package performance requirements	High	Parameters required are identified in System Elements 1.1.2 (subsurface), 1.2.1.1 (access construction), and 1.2.1.2 (drift construction), and Issue 1.12, Table 8.3.3-8				
		Openings filled with materials meeting sealing requirements	High	Parameters required are identified in System Elements 1.1.2 (subsurface), 1.2.1.1 (access construction), and 1.2.1.2 (drift construction), and Issue 1.12, Table 8.3.3-8				

CONSULTATION DRAFT

Table 8.3.2.5-13. System elements for the Yucca Mountain mined geologic disposal system addressed by each Information Need (page 1 of 2)

Information Need	Short title	System elements
4.4.1	Site and performance assessment information needed for design	ND[a]
4.4.2	Waste package information needed for design	Addressed in repository design plan (RDP)
4.4.3	Plans for repository operations	ND
4.4.4	Repository design requirements	ND
4.4.5	Reference preclosure repository design	1.1.1 Surface 1.1.2 Subsurface
4.4.6	Equipment development and demonstration	Addressed in RDP
4.4.7	Design analyses	1.1.1 Surface 1.1.2 Subsurface 1.2.1.5 Water removal
4.4.8	Technology for surface facility	1.1.1 Surface
4.4.9	Technology for underground facilities	1.1.2 Subsurface 1.2.1.1 Access construction 1.2.1.2 Drift construction 1.2.1.3 Borehole construction 1.2.1.4 Rock handling 1.2.1.5 Water removal

CONSULTATION DRAFT

Table 8.3.2.5-13. System elements for the Yucca Mountain mined geologic disposal system addressed by each Information Need (page 2 of 2)

Information Need	Short title	System elements
4.4.9 (continued)		1.2.1.6 Mining ventilation 1.2.2.4 Emplacement 1.2.2.5 Retrieval 1.2.2.7 Waste-handling ventilation 1.2.4.1 Underground closure
4.4.10	Technology seals emplacement	1.2.4.1 Underground closure

[a]ND = no data available.

CONSULTATION DRAFT

The determination of whether the repository can be constructed, operated, closed, and decommissioned using reasonably available technology will be based on the technology requirements for each system element. The technology requirements will be developed by a design process for the element that includes configuration determination, design analysis (requiring site and nonsite data) and material selection to meet the performance goals for that element. The assessment that the technology is reasonably available will be based on the following criteria:

1. Have the proposed construction techniques been successfully used in other applications?

2. Have similar performance goals been met in other subsurface facilities with thermal conditions, hydrologic conditions, and rock characteristics like those predicted for the repository?

3. Have the operations required for the repository been used and proved at other installations?

4. Can the technology for construction, prediction of performance, and operation of the repository be demonstrated before license application to ensure its successful application in the repository?

5. Can a combination of empirical, observational, and analytical techniques be used that will give reasonable assurance that the design will meet the performance goal?

The exploratory shaft facility can be used to demonstrate technology. A further demonstration of technology will be possible in the initial phases of repository construction and in the performance confirmation area of the repository.

The schedule information provided for information needs in this section includes the sequencing, interrelationships, and relative durations of the activities in the information need. Specific durations and start/finish dates for the activities are being developed as part of ongoing planning efforts and will be provided in the SCP at the time of issuance and revised as appropriate in subsequent semiannual progress reports.

8.3.2.5.1 Information Need 4.4.1: Site and performance assessment information needed for design

This information need summarizes the site-related parameters identified as being required by the remaining information needs under this issue. Specifically, the required information is derived from the design analyses, reference design development, equipment program needs, operations plan, subsystems design requirements, and assessments of reasonably available technology. Both surface and underground data and parameters that have potentially significant effects on design and performance will be included.

Tables 8.3.2.5-1 through 8.3.2.5-12 provide specific information regarding these parameters, including an indication of the confidence needed in the parameter values.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

Section 6.1 of this document summarizes the design basis for the repository, including the design requirements, reference design data base, and approaches and tools used for design. Section 6.2 describes the current design of the repository. In addition, Section 6.4 documents the current status of analyses completed to date that use much of the data. On the basis of these sections, the following observations can be made:

1. Properties of surface material and rock at or near the surface can have an impact on the design of surface facility structural foundations.

2. Soil and rock conditions, as well as topographic relief, can influence the specific locations selected for the surface facilities and underground entrances.

3. Repository surface and subsurface facilities must be designed to withstand ground motion associated with earthquakes and underground nuclear tests that might occur at the site vicinity during their operational lifetime. The facilities will be designed to withstand ground motion associated with a natural earthquake with a recurrence time greater than 1,000 yr. Such ground motion is expected to be larger than that resulting from underground nuclear testing. The ground motion resulting from underground nuclear testing is, therefore, expected to be bounded by a design based on the larger ground motion associated with natural seismicity. In addition, because of the presence of a number of faults in the site vicinity, the potential for surface rupture during the operational period will be considered in choosing the final location for the surface facilities.

4. Rock characteristics can impact construction and operation affecting stability, mineability, and the operating environment (e.g., humidity, drift temperatures, and air quality).

The SCP Conceptual Design Report (SCP-CDR) (SNL, 1987) summarizes the design bases in Chapter 2, provides a detailed description of design and operations in Chapters 3, 4, and 5, and, for convenience, reproduces the SCP discussions (See 6.4) regarding the status of resolving Issue 4.4 in Chapter 8.

Parameters

The parameters needed to address this information need have been identified in detail and are listed in Tables 8.3.2.5-1 through 8.3.2.5-12 according to the applicable system element.

Logic

This section briefly presents the justification for specifying the parameters identified in Section 8.3.2.5, Tables 8.3.2.5-1 through 8.3.2.5-12.

The surface facilities must be located where the underlying soil and rock conditions provide adequate strength without undue settlement of structural foundations. Hence, soil and rock mechanical properties are needed for the foundation design.

Soil-structure interaction can significantly affect the seismic forces on the surface structures. To determine these effects, the shear wave velocity profile, shear modulus, damping, and Poisson's ratio data are needed.

Topographic, local meteorological, and upland drainage data, together with soil and rock characteristics, alternative locations, and right-of-way, etc., will be combined to determine flooding potential at the site, acceptable configuration of the facilities, problems to the site, and cut and fill estimates. Meteorological data are also required to design the underground ventilation system.

Ground motion at the site from man-made and natural seismic events is important in the location and design of surface facilities. Information about potential igneous activity, ground motion, and fault movements that could result in surface rupture is important for evaluating the design of both the surface and underground facilities. Any active faults that are close to the repository will be evaluated for their potential to produce ground motions and possible rupture during the operational period. The surface and subsurface facilities will need to be designed to tolerate these ground motions, and the effects of surface rupture will need to be considered.

The properties and behavior of the host rock, surrounding strata, and ground-water systems are very important for the preclosure and postclosure period design requirements of the underground facilities. Rates and magnitudes of igneous activity at the site are important for the postclosure period to determine potential impacts on containment and isolation of radioactive wastes. Hydrologic characteristics at the site are also very important for seal designs.

The mineability of the rock units at Yucca Mountain is being investigated through a series of mining demonstrations. The exploratory shaft and test facilities will be used to demonstrate mineability. Site data to satisfy this part of the information need is the mineability demonstration. Results of the demonstration will establish data on mining and drilling rates that can be used to evaluate cost effectiveness and technical feasibility.

Factors important for working environment considerations in design that depend upon rock characteristics are (1) drift rock wall temperature, (2) drift wall wetness factor, (3) any water inflow into the drift, and (4) air quality (dust, radon, etc.). Air quality is investigated in other information needs and is not considered under this information need. Dust is addressed in Information Need 4.2.1 (site performance information needed for design, Section 8.3.2.4.1), and the potential for radon emanation from the host rock is addressed in Information Need 2.2.1 (natural radiological environment, Section 8.3.5.4.1).

Data for water inflow into the drift will be used to design the drainage and pumping systems. Although significant inflows are not expected, the underground facility will be designed to accommodate anomalous conditions. The drainage system will control the flow of water so that water will not collect at places such as emplacement boreholes (a condition that would be adverse to performance). The pumping system will remove any excess water accumulating at collection points and move it to the surface. This information need merely identifies this data requirement and passes it to the water supply investigation described in Section 8.3.1.16.3.

Drift wall wetness factor is required for ventilation system design because it reflects humidity in the drift. An assumed value is currently being used, and analysis of the environment of the exploratory shaft facility (ESF) areas will allow an assessment of whether the assumed value correctly predicted the amount of water vapor that enters the drift. This will require monitoring air flows and humidity within ESF areas.

There are no planned design activities for this information need. Data required to satisfy this information need are identified in Section 8.3.2.5.7. Related design activities will be discussed in the discussions of the other information needs under this issue. An updated set of reference values is planned for issuance at or near the start of each major design phase (advanced conceptual design, licensing application design, and final procurement and construction design). Designers will use the reference values or justify why other than reference values have been used.

For the field testing, technical procedures will be developed using control methods similar to those of NRC Regulatory Guides and American Society for Testing and Materials standards. Quality assurance requirements to be used will be consistent with the NNWSI Quality Assurance Program Plan NVO-196-17 (DOE/NVO, 1984).

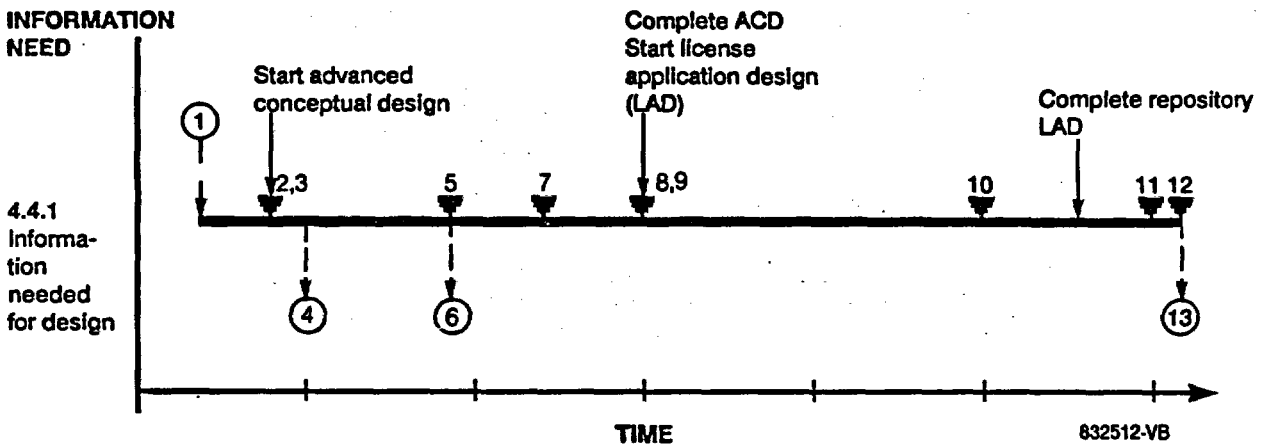
8.3.2.5.1.1 Application of results

The information generated from this information need (4.4.1) will be used to support resolution of Issue 4.4 by providing information needed by Information Need 4.4.2, (waste package information needed for design) through Information Need 4.4.10 (technology for seals construction), to update the reference information base for use in the license application design, and to provide guidance in planning the data gathering program for the NNWSI Project.

8.3.2.5.1.2 Schedule and milestones

This information need (4.4.1) on the site and performance assessment information needed for design contains no planned design activities. The schedule information for this information need is presented in the form of a timeline. This timeline extends to the issuance of the final products associated with the information need. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. This information need is an out-year work effort.

This information need interacts with other information needs contained within Issue 4.4 and other site characterization activities as illustrated in the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input technical feasibility information for pre-ACD studies from Information Needs 4.4.8, 4.4.9, and 4.4.10.
2	Milestone N430. Start repository advanced conceptual design (ACD).
3	Milestone Z444. Issue updated set of reference values of geotechnical properties to support repository ACD.
4	Milestone R770. Repository ACD design freeze.

Point number	Description
5	Milestone P007. Complete ACD of surface waste handling facilities.
6	Output to Information Need 4.4.5 (reference preclosure repository design) for completion of ACD.
7	Milestone Z445. Issue updated set of reference values of geotechnical properties to support repository license application design (LAD).
8	Milestone M458. Start LAD.
9	Output design information for completion of reports on conformance with ACD design requirements (Milestone Q140) in waste retrievability (Section 8.3.5.2).
10	Milestone T120. Repository LAD configuration freeze.
11	IR30. Preliminary Issue Resolution Report addressing reasonable availability of technologies for repository construction, operation, closure, and decommissioning.
12	Milestone M459. Issue repository LAD report.
13	Output design information for completion of final reports on conformance with requirements (Milestone Q141) in waste retrievability (Section 8.3.5.2).

8.3.2.5.2 Information Need 4.4.2: Characteristics and quantities of waste and waste packages needed for design

This information need serves as an important interface between the waste package design and the repository design. No site data are required to satisfy this information need. The information is identified here for completeness and because the waste information has a major impact on the surface and underground facilities of the repository. The data identified in the information need is used throughout this issue.

This information need is considered satisfied when waste package-repository interface requirements have been finalized and passed to the subsystems design requirements document for use by designers and analysts. Updates of this information are planned for each successive phase of the design process.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

The reference design of the waste package is discussed in Chapter 7. In Section 6.1, the waste and waste package information used in conceptual design of the repository is identified.

Parameters

The following is a listing of the waste-related parameters needed for the design of the repository. The source for obtaining these parameters is identified and discussed in the section that follows.

1. Waste quantities, including

   a. Spent fuel--number of disposal canisters, disposal packages, individual fuel elements, and quantities of waste hardware if consolidation is performed.

   b. Defense high-level waste--number of waste packages.

   c. West Valley waste--number of waste packages.

2. Thermal and radiation output plus thermal and radiation decay characteristics of waste forms.

3. Waste package design, size, weight, material, wall thickness, and the configuration of internal structures.

4. Waste package design restrictions for temperature, static and dynamic loading, and materials compatibility.

Logic

The conclusion that the repository can be constructed, operated, closed, and decommissioned using available technology will be developed by design analyses. A major factor affecting the design and the analyses is the quantity and character of the waste. The waste package quantities and characteristics are required to establish facility and equipment shielding requirements, to assess the potential of damage to materials or alteration of materials by radiolysis, and to determine the thermal input to the repository. Waste package quantities and receipt rates are required to estimate the size of the waste handling facilities, to determine the amount of waste handling equipment needed, to prepare the waste for emplacement, and to emplace and retrieve the waste.

The logic supporting the selection of the specific parameters identified in the previous section is as follows:

1. Waste quantities are required to size the surface and underground facilities and to determine the need for waste preparation and handling equipment.

2. The thermal decay characteristics of the waste form affect the allowed areal power density (APD) for waste emplacement. This information will be used to

   a. Determine the total area required for the projected waste inventory and to develop the layouts for the underground waste emplacement area (Information Need 1.11.3, underground facility orientation and layout, Section 8.3.2.2.3). The use of this information is described in Section 6.4.5.

   b. Predict time-dependent temperatures and stresses for Information Need 1.11.6 (Section 8.3.2.2.6) and design analyses for Information Need 4.4.7.

3. Thermal output of the waste package will be used as an input to predict thermal and thermomechanical response of the host rock, surrounding strata, and ground-water system (Information Need 1.11.6). These information needs will provide rock temperatures which will be used to

   a. Establish inspection procedures and instrumentation for inspecting emplaced waste.

   b. Establish ventilation requirements for the repository operational period and for retrieval.

   c. Calculate rock-mass stresses and displacements as a part of opening stability analyses. These analyses will be used as a part of the basis upon which retrievability can be ensured starting at any time up to 50 yr after waste emplacement operations are initiated (Information Need 2.4.6 (compliance with retrieval requirements, Section 8.3.5.2.6)). Opening stability analysis is described in Information Need 4.4.7.

   d. Determine the moisture load on the ventilation system due to in situ moisture migration caused by the rock thermal gradient. This moisture migration will affect ventilation design (Information Need 4.4.7).

   e. Evaluate the potential for corrosion on the various elements of the waste emplacement envelope (liner, shield plug, closure).

   f. Set temperature criteria for design of the hardware and equipment used to support waste emplacement and retrieval.

4. Radiation output of the waste package will be needed to

   a. Establish emplacement hole depths so that adequate rock is available to provide sufficient shielding to limit operational personnel dose rates to design values. This need contributes to Information Need 2.7.1 (radiological protection, Section 8.3.2.3.1).

b. Provide input to material radiation damage analyses and studies related to radiolysis of materials.

5. Waste package size will be needed to define the emplacement envelope and to design the waste package handling equipment (Information Need 4.4.6). The waste emplacement envelope consists of the emplacement borehole and borehole liner, the shield plug, the hole closure, and any materials used external to the liner for liner strength enhancement or corrosion retardation.

6. Waste package material will dictate to a significant extent the materials used in construction of the underground facility. The waste package material will preclude the use of materials in the vicinity of the waste package that may have a significant deleterious effect on the waste package. Items potentially affected are the borehole liner, liner grout, and concrete used in the preparation of the emplacement site. This information will also be an input to design Information Need 1.10.3 (reference emplacement configuration, Section 8.3.4.2.3), Information Need 1.4.2 (material properties of containment barrier, Section 8.3.5.9.2), and Information Need 1.4.3 (scenarios and models needed, Section 8.3.5.9.3).

7. Waste package design information will be used to

   a. Evaluate the ability of the waste package to withstand loads imposed by emplacement and normal or abnormal retrieval conditions (Information Need 4.4.6).

   b. To size the equipment used underground to support emplacement and retrieval (Information Need 4.4.6).

   c. To evaluate scenarios for breaching the reference waste package (Information Need 1.4.3).

8. Waste package temperature limits will be used to determine the emplacement borehole spacing. Spacings determined by consideration of waste package temperature will then be used to develop the underground repository layout for Information Need 1.11.3 and in Information Need 1.11.6. The methods for using this information to address these information needs are in Section 6.4.2.

9. Methods and information sources used to develop the required parameters include the following:

   a. The waste quantities will be provided by the DOE in the generic requirements document. These quantities are based on a compilation of the current waste inventories and projections of future fuel usage.

   b. The thermal decay and thermal output of the waste will be calculated using codes like the Oak Ridge ORIGEN II code, which is an isotope generation code that follows the decay chain of each specimen produced in the fission of uranium. This information

will be used to define the specific decay and thermal characteristics for all waste types. Codes will include the effect of fuel burnup.

c. The radiation output of the waste package will be determined by analyses that consider the waste package geometry, the waste package design, and waste characteristics such as type, burnup, and age. Analyses of this type are typically done using codes that apply Monte Carlo techniques. No specific codes have yet been identified.

d. The information pertaining to the waste package size, material, and design will be obtained from Information Need 1.10.2 (reference waste package designs).

e. The waste package temperature will be limited by the maximum fuel cladding temperature for spent fuel and by the temperature of devitrification for defense high-level waste.

Analyses will be performed to update the information required by this information need as the repository design proceeds through the planned design phases and as more up-to-date information is obtained relating to waste quantities, waste characteristics, and waste package designs.

8.3.2.5.2.1 Application of results

The information provided by this information need will be used in the following issues and information needs:

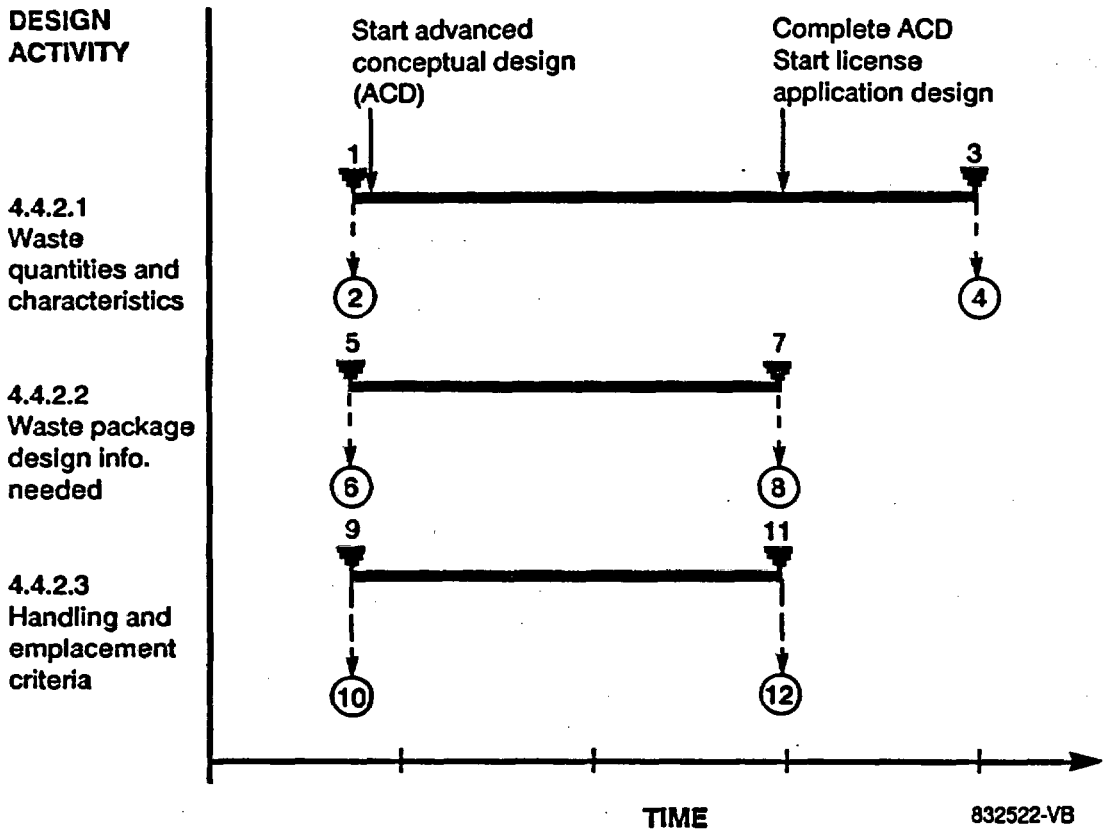
Issue or information need	Short title	SCP section
1.11.7	Reference postclosure underground designs	8.3.2.2.7
2.1	Public radiological exposures--normal conditions	8.3.5.3
2.2	Worker radiological safety--normal conditions	8.3.5.4
2.3	Accidental radiological releases	8.3.5.5
2.4	Waste retrievability	8.3.5.2
4.4.3	Plans for repository operation	8.3.2.5.3
4.4.4	Repository design requirements	8.3.2.5.4
4.4.5	Reference preclosure repository design	8.3.2.5.5
4.4.6	Equipment development and demonstration	8.3.2.5.6

Issue or information need	Short title	SCP section
4.4.7	Design analyses	8.3.2.5.7
4.4.8	Technology for surface facilities	8.3.2.5.8
4.4.9	Technology for underground facilities	8.3.2.5.9
4.4.10	Technology for seals emplacement	8.3.2.5.10

8.3.2.5.2.2 Schedule and milestones

This information need on waste package information needed for design (4.4.2) includes three activities: 4.4.2.1 (determine waste quantities and characteristics), 4.4.2.2 (waste package design), and 4.4.2.3 (waste handling and emplacement criteria). These activities are expanded slightly from those in the text. The schedule information for these activities is presented in the form of timelines. Those timelines extend to the issuance of the final products associated with the activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. These activities are all out-year work efforts.

These activities interact interact with each other and with other information needs contained within Issue 4.4 as illustrated in the following figure. The numbered points shown on the timelines represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Milestone Z165. Issue report on waste types, quantities, and characteristics for repository advanced conceptual design (ACD).
2	Output information on waste quantities and characteristics to other design-related information needs, specifically 1.10.2, 1.10.3, 1.10.4, 1.11.2, 1.11.6, 1.11.7, 2.2.2, 2.3.2, 2.4.4, 2.6.3, 2.7.2, 4.4.5, 4.4.6, and 4.5.1.
3	Milestone Z166. Issue report on waste types, quantities, and characteristics for repository (LAD).
4	Output information on waste quantities and characteristics to other design-related information needs, specifically 1.10.2, 1.10.3, 1.10.4, 1.11.2, 1.11.6, 1.11.7, 2.2.2, 2.3.2, 2.4.4, 2.6.3, 2.7.2, 4.4.5, 4.4.6, and 4.5.1.
5	Milestone Z169. Obtain preliminary waste package design information needed for ACD.

Point number	Description
6	Output preliminary waste package design information to other design-related information needs, specifically 1.10.2, 1.10.3, 1.10.4, 1.11.2, 1.11.6, 1.11.7, 2.2.2, 2.3.2, 2.4.4, 2.6.3, 2.7.2, 4.4.5, 4.4.6, and 4.5.1.
7	Milestone Z170. Obtain final waste package design information needed for LAD.
8	Output final waste package design information to other design-related information needs, specifically 1.10.2, 1.10.3, 1.10.4, 1.11.2, 1.11.6, 1.11.7, 2.2.2, 2.3.2, 2.4.4, 2.6.3, 2.7.2, 4.4.5, 4.4.6, and 4.5.1.
9	Milestone Z171. Issue report on preliminary design basis for waste handling and emplacement systems in repository ACD.
10	Output information on waste handling and emplacement systems to other design-related information needs, specifically 1.10.2, 1.10.3, 1.10.4, 1.11.2, 1.11.6, 1.11.7, 2.2.2, 2.3.2, 2.4.4, 2.6.3, 2.7.2, 4.4.5, 4.4.6, and 4.5.1.
11	Milestone Z172. Issue report on final design basis for waste handling and emplacement systems in repository LAD.
12	Output final design for waste handling and emplacement systems to other design-related information needs, specifically 1.10.2, 1.10.3, 1.10.4, 1.11.2, 1.11.6, 1.11.7, 2.2.2, 2.3.2, 2.4.4, 2.6.3, 2.7.2, 4.4.5, 4.4.6, and 4.5.1.

8.3.2.5.3 Information Need 4.4.3: Plan for repository operations during construction, operation, closure, and decommissioning

A plan for repository operations is needed to effectively design and evaluate the preclosure performance of a waste repository. This information need will produce such a plan. No site data not already required by another information need is required for this plan; hence, the operations plan will only be briefly discussed in the SCP and will be more thoroughly described in the repository design plan (RDP).

Preliminary design and waste package information are combined with equipment concepts to produce flow diagrams and time-line diagrams that form the basis for the operations plan for conceptual designs. In each succeeding design phase, more detail becomes available for each required operation. The operations plan is updated to reflect the added detail and to reflect design decisions during each design phase (i.e., advanced conceptual design, license application design, and final procurement and construction design). The plan and the accompanying staffing levels and quantities of required equipment become an important part of the basis for completing safety analyses, cost estimates, environmental impact analyses, retrievability evaluations, and equipment design.

This information need is considered to be satisfied when successive versions of the operations plan are published for use as design guidance.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

Chapter 6 describes most of the repository operations. In Section 6.1, general requirements (e.g., amounts and types of incoming wastes, and receipt rates) for the repository are identified; the operations for waste emplacement and retrieval are identified in Section 6.2; and Section 6.4.8 identifies the progress made to date on the operations plan development.

In the SCP conceptual design report (SCP-CDR), the design bases are given in Chapter 2 and the repository operations are discussed in Chapter 3 and parts of Chapter 4. Chapter 8 of the SCP-CDR provides a brief synopsis of the operations plans published to date.

Parameters

The principal information that serves as input to the development of a plan for repository operations is as follows:

1. Waste-related information (Information Need 4.4.2, waste package information needed for design).

   a. Quantities.
   b. Receipt rates.
   c. Manufacturing processes.
   d. Inspection procedures.
   e. Reference transportation cask designs.

2. Reference repository design.

   a. Preclosure (Information Need 4.4.5, reference preclosure repository design).

   b. Postclosure (Information Need 1.11.7, reference postclosure underground designs, Section 8.3.2.2.7).

3. List of equipment and their performance (design) requirements (Information Need 4.4.6, equipment development and demonstration).

4. Abnormal conditions anticipated for repository operations (Information Need 4.4.3, plans for repository operation).

Logic

The starting point for development of an operations plan is a description of the material (nuclear waste) to be handled, the receipt rate for this material, and how the material will be delivered to the facility (reference transportation packages or shipping casks). This information is then coupled with concepts for the facility (reference designs of surface and underground facilities) and concepts for the handling equipment (receiving equipment,

packaging equipment, transporter, and support equipment) to produce block flow diagrams for waste-related operations. These basic waste flow diagrams are then combined with similar diagrams for numerous repository support systems (mining, muck removal, monitoring, etc.) to produce overall repository material flow diagrams.

Time-line diagrams are then developed that can be used along with the flow diagrams to (1) determine important operational information (amount of equipment, normal throughput, maximum capacity, etc.), (2) develop tradeoff studies to assess what system operational flexibility is gained by various combinations of waste receipt, available equipment, and operations sequences, (3) determine staffing levels for the repository, and (4) provide input for accident and cost analyses.

After the list of planned operations is developed for normal conditions, the differences in operations needed for specific scenarios that define abnormal conditions can be added. This assessment of operations under credible abnormal conditions often leads to the identification of needs for special equipment or procedures to be used.

The operations plan becomes more detailed with each successive design phase (advanced conceptual design, license application design, final procurement and construction design) as more and more information is available and as design decisions are made concerning both facilities and equipment.

A major revision of the operations plan will therefore be needed for each design phase. These major revisions comprise the two planned activities to be completed under this information need.

8.3.2.5.3.1 Design Activity 4.4.3.1: Operations plan to accompany the advanced conceptual design

Objectives

The objective of this design activity is to produce an operations plan to accompany the advanced conceptual design (ACD).

Description

This version of the operations plan will be published during ACD. The principal focus for the plan will be the waste handling operations (including retrieval) since these operations will be detailed as input to preliminary safety evaluations and to the establishment of scenarios for credible abnormal conditions.

8.3.2.5.3.2 Design Activity 4.4.3.2: Operations plan to accompany the license application design

Objectives

The objective of this design activity is to produce an operations plan to accompany the license application design (LAD).

Description

This version of the operations plan will be published during LAD. It will contain the results of design decisions made during ACD and will contain operations only for the selected emplacement option. This version will contain sufficient detail for all repository operations to allow both radiological and nonradiological safety analyses to be conducted.

8.3.2.5.3.3 Application of results

There are numerous uses for the operations plans. The numerous issues and information needs that will use the plans are given in the following list. The way in which the operations plans will be used is briefly described following the list.

Issue or information need	Short title	SCP section
2.1	Public radiological exposures--normal conditions	8.3.5.3
2.2	Worker radiological safety--normal conditions	8.3.5.4
2.3	Accidental radiological releases	8.3.5.5
2.4	Waste retrievability	8.3.5.2
2.7	Repository design criteria for radiological safety	8.3.2.3
4.2	Nonradiological health and safety	8.3.2.4
4.4.6	Equipment development and demonstration	8.3.2.5.6
4.4.7	Design analyses	8.3.2.5.7
4.4.8	Technology for surface facilities	8.3.2.5.8
4.4.9	Technology for underground facilities	8.3.2.5.9
4.4.10	Technology for seals emplacement	8.3.2.5.10
4.5	Total system costs	8.2.2.1

The repository designers will use the plans to design both the surface and underground facilities. Similarly, equipment designers will use the plans to provide the hardware capable of carrying out the required operations. Analysts will use the plans for ventilation calculations and safety tradeoff studies in support of Information Need 4.4.7. Finally, the plans will be used as part of the basis for assessments of reasonably available technology for surface facilities, underground facilities, and for seals construction.

In addition, Issue 2.4 will use the (1) flow diagrams, (2) time lines, (3) quantities of required equipment, and (4) reliability, availability, and maintainability information to evaluate retrieval schedules, develop scenarios for abnormal conditions, and evaluate the capability to retrieve the

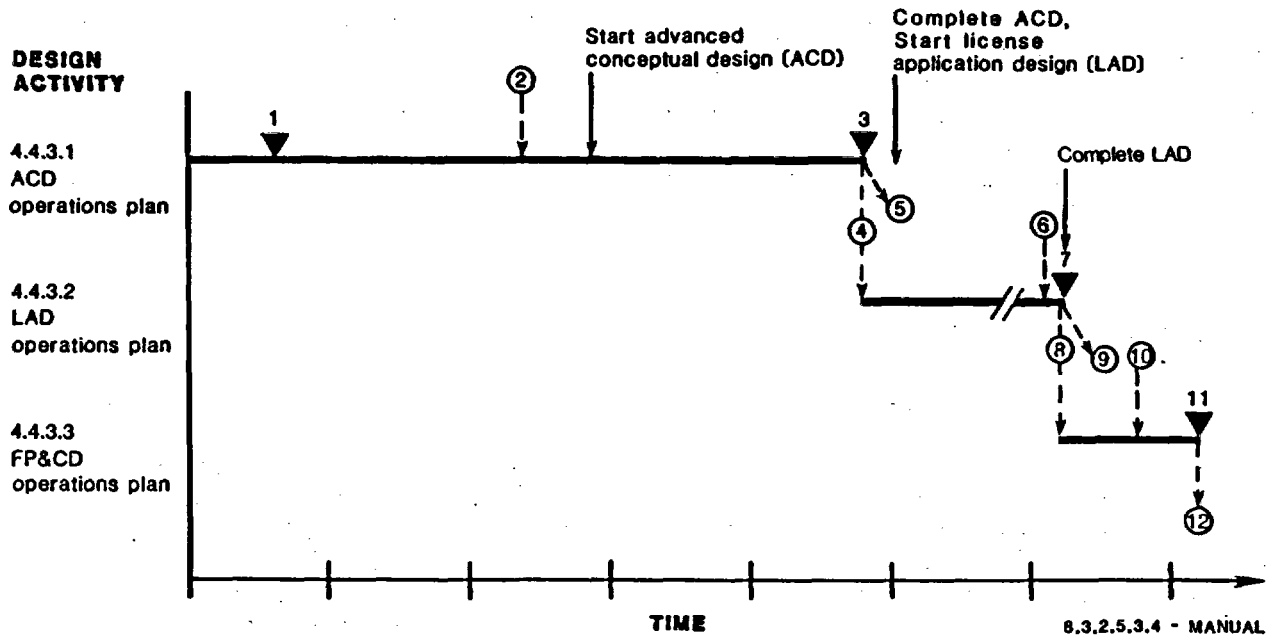
waste. Similar data are required for safety evaluations performed in Issues 2.1, 2.2, 2.3, and 2.7 to assess the performance of the design relative to specified criteria and allowable exposures to workers and the public under normal and accident conditions. The nonradiological health and safety evaluation covered in Issue 4.2 will also use the operations plans to develop accident scenarios. Finally, time diagrams, staffing levels, and equipment quantities produced in the plan will serve as reference input for the development of repository cost estimates for Issue 4.5.

8.3.2.5.3.4 Schedule and milestones

This information need on plans for repository operation (4.4.3) contains three design activities, 4.4.3.1 (operations plan to accompany application conceptual design (ACD)), 4.4.3.2 (operations plan to accompany license application design (LAD)), and 4.4.3.3 (operations plan to accompany the final procurement and construction design (FP&CD)). These activities are expanded slightly from those described in the text. The schedule information for these activities is presented in the form of timelines. These timelines extend to the issuance of the final products associated with the activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6.

Design Activity 4.4.3.1 is an ongoing work effort, while Design Activities 4.4.3.2 and 4.4.3.3 are out-year work efforts.

These activities interact with each other and with other information needs contained within design-related Issues 4.4 (technical feasibility), 1.11 (configuration of underground facilities), 1.12 (seal characteristics), 2.7 (repository design criteria for radiological safety), and 4.2 (nonradiological health and safety). These relationships are illustrated in the following figure. The numbered points shown on the timelines represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Milestone N242. Issue pre-advanced conceptual design (ACD) operations plan.
2	Input information from other design-related information needs, specifically 1.11.4, 1.11.5, 1.11.7, 1.12.3, 2.7.5, 2.7.8, 4.4.2, 4.4.4, and 4.4.5.
3	Milestone Z006. Issue repository ACD operations plan.
4	Output operations planning information to Design Activity 4.4.3.2.
5	Output operations planning information to other design-related information needs, specifically 1.1.5, 2.2.2, 2.3.1, 2.7.3, 2.7.4, 4.2.2, and 4.4.5.
6	Input planning-related information from Information Needs 1.11.4, 1.11.5, 1.11.7, 1.12.3, 2.7.5, 4.4.2, 4.4.4, and 4.4.5.
7	Milestone Z173. Issue repository license application design (LAD) operations plan.

Point number	Description
8	Output operations planning information to Design Activity 4.4.3.3.
9	Output operations planning information to other design-related information needs, specifically 1.1.5, 2.2.2, 2.3.1, 2.7.3, 2.7.4, 4.2.2, and 4.4.5.
10	Input information related to planning from Information Needs 1.11.4, 1.11.5, 1.11.7, 1.12.3, 2.7.5, 2.7.6, 2.7.8, 4.4.2, 4.4.4, and 4.4.5.
11	Milestone Z174. Final procurement and construction design (FP&CD) operations plan.
12	Output final operations planning information to Information Needs 1.1.5, 2.2.2, 2.3.1, 2.7.3, 2.7.4, 4.2.2, and 4.4.5.

8.3.2.5.4 Information Need 4.4.4: Repository design requirements for construction, operation, closure, and decommissioning

Design requirements or criteria are needed to guide the repository design. These requirements must reflect guidance from regulations, program level guidance, project level guidance, and site-specific information. As indicated in Figure 8.3.2.5-2, regulations applicable to a nuclear waste repository and guidance from the DOE have been combined to produce program level guidance in the form of a generic requirements document (DOE, 1986c). Project level guidance in the form of system requirements follow from the generic requirements. At the designer level, repository design requirements (RDR) are developed. The content of these requirements documents and the hierarchical relationship between them is shown schematically in Figure 8.3.2.5-2.

This information need is considered to be satisfied by the publication and control of the RDR applicable to each phase of the design of the repository. Controlled assumptions and the baseline design configuration will be clearly documented and maintained in the RDR.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

Section 6.1 identifies the design basis used to develop the SCP conceptual design report (SCP-CDR) (SNL, 1987). Section 6.2 shows the design resulting from this basis, and Section 6.4 indicates the status of work completed to date that establishes the requirements in the RDR. Chapter 2 of the SCP-CDR contains a more detailed discussion of the bases for the SCP conceptual design.

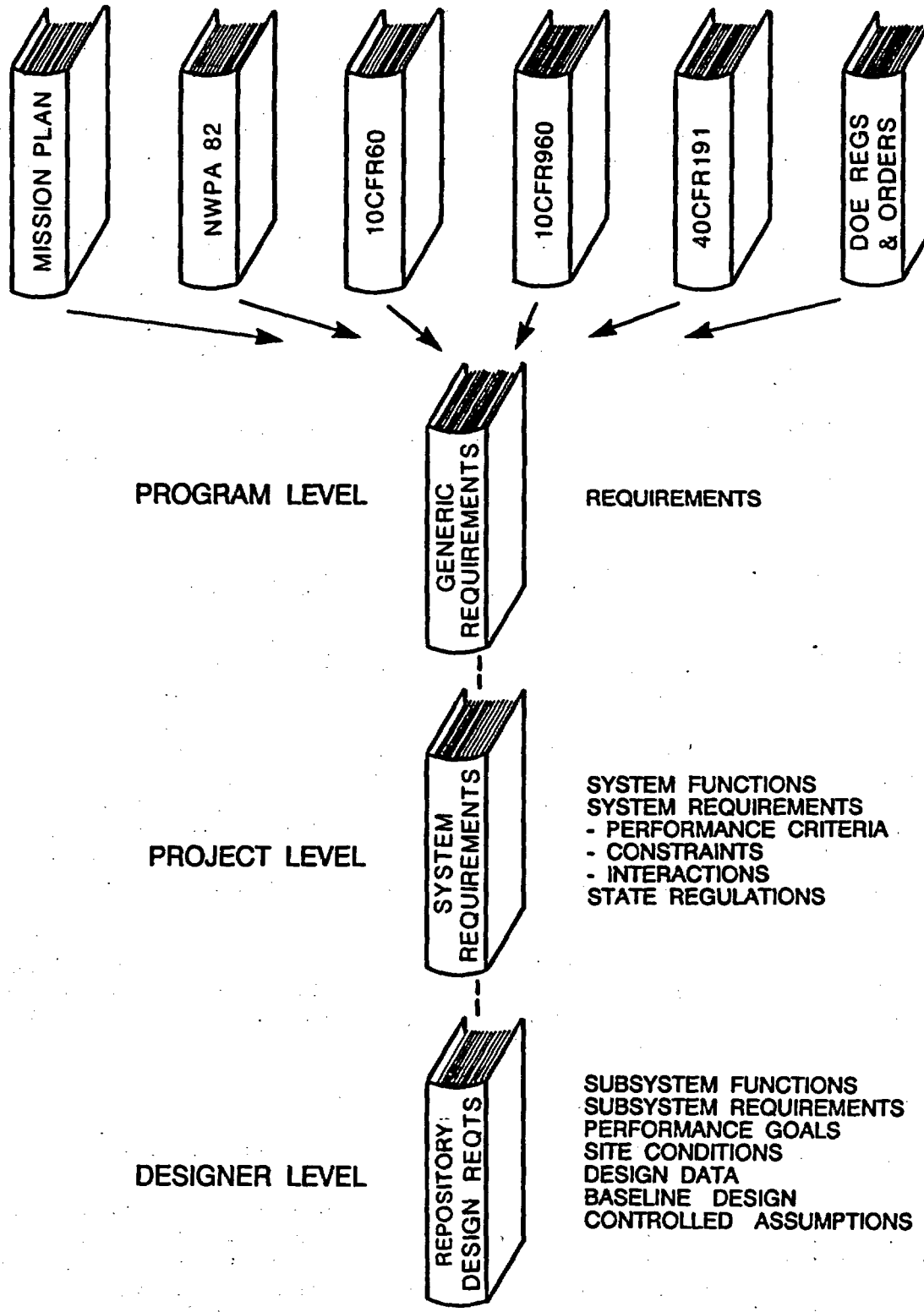


Figure 8.3.2.5-2. Hierarchical relationships of requirements documents.

Parameters

The following requirements in the following lists will be considered in the generation of the RDR for use by repository designers.

Federal government requirements include

1. Nuclear Waste Policy Act of 1982 (NWPA, 1983).

2. Mission Plan (DOE, 1985b).

3. NRC, DOE, EPA, U.S. Department of Transportation (DOT), Mine Safety and Health Administration (MSHA) Code of Federal Regulations examples include

   a. 10 CFR Part 60.
   b. 10 CFR Part 960.
   c. 40 CFR Part 191.
   d. 30 CFR Part 57.
   e. 49 CFR Parts 171 through 177.
   f. 10 CFR Part 20.

4. DOE order examples include

   a. "General Design Criteria," DOE Orders 6410.1, 6430.1, and 4320.1A.

   b. "Environmental Protection, Safety, and Health Protection Standards," DOE Orders 5480.4, 5481.1A, and 5480.1A.

The State of Nevada regulations to be considered include

1. Water quality standards.
2. Air quality standards.
3. Safety standards.
4. Mining regulations.

The program and project guidance documents are

1. Generic requirements (DOE, 1984c).
2. System requirements (DOE, 1986f).

The site-specific information to be considered are

1. Reference information base data on site properties.

2. Performance goals from related issues.

3. Reference design configurations and baseline design information from Issue 1.11, (configuration of underground facilities, Section 8.3.2.2) and this issue.

Logic

The RDR document provides site-specific instructions (design criteria) that will be used to develop a mined geologic disposal system (repository), consistent with the requirements set forth in the system requirements and the generic requirements. The basis for the RDR is a statement of the functions that the repository systems must perform and a specific statement of the functional parameters that the repository must meet. The current RDR will be further developed during each succeeding design phase. A technical change control system will be an integral part of the RDR updating process and the RDR will aid in controlling the scope of each repository design phase. As the design progresses to increasing levels of detail and design decisions are baselined, the SDR will also progress in increasing level of detail.

The repository design criteria contained in the RDR are derived from numerous Federal-government-related sources (Figure 8.3.2.5-2). These sources include the Nuclear Waste Policy Act of 1982, the Code of Federal Regulations (CFR), and DOE orders. The CFR includes regulations developed by the NRC, DOT, Mine Safety and Health Administration (MSHA), and the EPA. Applicable State of Nevada regulations are also included. The RDR also includes requirements generated by determining the (physical) elements of the Yucca Mountain mined geologic disposal system, their functions, and their associated requirements. Subsystem functions and requirements have also been identified to aid the designer, and are supplemented by the use of performance goals determined in issue resolution strategies being used in various design and performance issues. In addition, design basis assumptions (i.e., use of backfill, container content, and one- or two-stage construction) and baseline design information (number and location of shafts and ramps, and reference emplacement configuration) are also included as guidance to designers and to limit the scope of the design studies. Finally, reference site conditions and reference design data to be used by the designers are identified.

The RDR is organized according to the physical subsystem structure. Sixteen major sections and supporting appendices follow an introduction that provides insight into the regulatory background for the document and its organization. The content of the various sections is indicated in Table 8.3.2.5-14. Sections 5 through 11 directly follow the work breakdown structure used in the NNWSI Project as the basis for planning work and monitoring costs.

Changes to the RDR will be evaluated and authorized through the use of a technical change control system. As each new phase of design is entered, a major revision of the RDR will be needed. These major revisions make up the planned design activities to be completed under this information need.

Table 8.3.2.5-14. Organization of repository design requirements

Section	Content
1	Introduction--regulatory background and document organization
2	Site
3	General design requirements
4	Waste descriptions
5	Surface systems and utility systems
6	Surface facilities
7	Shafts and ramps
8	Underground excavations
9	Underground service and utility systems
10	Seals
11	Exploratory shaft facility
12	Special equipment design
13	Operations and maintenance
14	Permanent closure
15	Performance confirmation
16	Retrievability
Appendices	

8.3.2.5.4.1 Design Activity 4.4.4.1: Repository design requirements (RDR) for license application design

Objectives

The objective of this activity is to develop the design requirements for the repository for use in the license application design (LAD).

Description

The update to the RDR covered in this activity will be published before the initiation of the LAD. It will contain substantially more detail regarding surface and underground waste handling operations, a single emplacement orientation, and the results of numerous design decisions documented during advanced conceptual design (ACD).

8.3.2.5.4.2 Application of results

The principal users of the repository design requirements (RDR) will be the designers responsible for developing reference designs for the repository and its seals. The specific issues and information needs that will use information from the RDR are as follows:

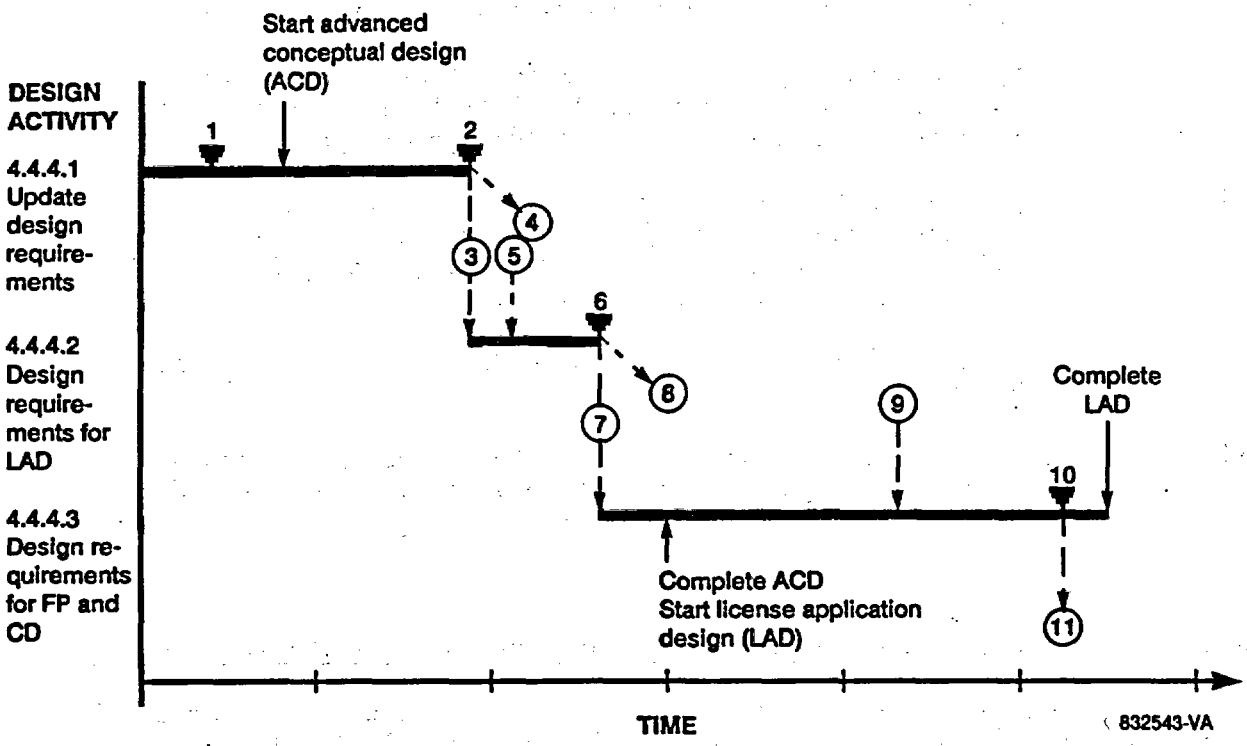
Issue or information need	Short title	SCP section
1.11	Configuration of underground facilities --postclosure	8.3.2.2
1.12	Seal characteristics	
2.1	Public radiological exposures--normal conditions	8.3.5.3
2.3	Accidental radiological releases	8.3.5.5
2.4	Waste retrievability	8.3.5.2
2.7	Repository design criteria for radiological safety	8.3.2.3
4.2	Nonradiological health and safety	8.3.2.4
4.4.5	Reference preclosure repository design	8.3.2.5.5
4.4.6	Equipment development and demonstration	8.3.2.5.6
4.4.10	Technology for seals emplacement	8.3.2.5.10

8.3.2.5.4.3 Schedule and milestones

This information need on repository design requirements (4.4.4) includes three design activities: 4.4.4.1 (update repository design requirements), 4.4.4.2 (develop repository design requirements for license application design (LAD)), and 4.4.4.3 (develop repository design requirements for final procurement and construction design (FP&CD)). These activities are expanded slightly from the text. The schedule information for these design activities

is presented in the form of timelines. The timelines extend to the issuance of the final products associated with each design activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. Design Activity 4.4.4.1 is an out-year work effort.

These activities interact with each other and other information needs contained within Issues 4.4, 1.11 (configuration of underground facilities), 1.12 (seal characteristics), 2.6 (waste package characteristics--preclosure), 2.7 (repository design criteria for radiological safety), and 4.2 (nonradiological safety) and with the site program for meteorology (8.3.1.12). These relationships are illustrated in the following figure. The numbered points shown on the timelines represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Milestone N546. Issue preliminary repository design requirements document for ACD.
2	Milestone M456. Issue updated repository design requirements document with changes resulting from ACD review.

Point number	Description
3	Output updated design requirements to Design Activity 4.4.4.2.
4	Output updated design requirements to Information Needs 4.4.3 (plans for repository operation), 4.4.5 (reference preclosure repository design), and 4.4.7 (design analyses).
5	Input information on design requirements from other design-related information needs, specifically 1.11.4, 1.11.5, 1.11.6, 1.12.2, 1.12.3, 2.6.1, 2.6.2, 2.6.3, 4.2.3, and 4.4.2, and site program 8.3.1.12.
6	Milestone M042. Complete repository design requirements document for LAD.
7	Output design requirements to Design Activity 4.4.4.3.
8	Output design requirements to Information Needs 4.4.3 (plans for repository operation), 4.4.5 (reference preclosure repository design), and 4.4.7 (design analysis).
9	Input information on design requirements from other design-related information needs, specifically 1.11.4, 1.11.5, 1.11.6, 1.12.2, 1.12.3, 2.6.1, 2.6.2, 2.6.3, 4.2.3, 4.4.2, and site program 8.3.1.12.
10	Milestone R781. Update subsystem design requirements for FP&CD.
11	Output final design requirements to Information Needs 4.4.3 (plans for repository operations), 4.4.5 (reference design), and 4.4.7 (design analyses).

8.3.2.5.5 Information Need 4.4.5: Reference preclosure repository design

At any specific time the reference preclosure design is the result of a progressive, iterative design process. This process will result in refinements in design configurations between now and the start of construction. The reference preclosure design is the current design that reflects the most current design criteria, results from completed studies, and the available site data. The currently identified designs that will be produced before license application, along with a brief description of their intent are described in the repository program overview (Section 8.3.2.1). The designs are briefly described in the following paragraphs.

The Site Characterization Plan-Conceptual Design Report (SCP-CDR) is the reference design of the repository at this time. It is based on the criteria, studies completed, and site information available before the start of exploratory shaft construction. This design is used as the basis for a preliminary assessment of design feasibility and includes a preliminary

description of planned repository operations. A cost estimate and construction schedule has also been developed for this design.

The advanced conceptual design (ACD) will be an expansion and extension of the SCP-CDR. Expansion will primarily concentrate on those elements of the repository that are important to public and worker radiological safety. One of the main objectives will be to identify and define design concepts that will be carried forward into the license application phase. This design will incorporate results from additional data, refined calculations, additional tradeoff studies, and updated criteria. Repository costs and construction schedules will be updated for this design. A detailed operations plan will be prepared for this design.

The license application design (LAD) will be used as the basis for the license application. It will be highly detailed for those items classed as being important to safety and waste isolation and will include all items of the repository. This design will be evaluated by detailed analysis and calculations based on the refined, expanded data base resulting from additional site characterization work performed in the exploratory shaft facility or obtained by other means such as exploratory borings.

The products of this information need will be the major design reports that detail the design. These reports will be supported by topical reports, tradeoff studies, analyses, and operational plans created in this or related information needs. Major design reports will also be supplemented by the continual use of configuration control. Configuration control will allow design changes to be continuously monitored and documented and will also provide reference information usable for design analyses needed for tradeoff and sensitivity studies. At any specific time, the reference configuration will appear in the reference information base (RIB). More and more detail will be controlled in the RIB as the design progresses toward the LAD configuration. The final procurement and construction design will be developed after submittal of the license application as the basis for repository construction, and will not be discussed here. This information need will provide the input to all analyses, calculations, and studies that are sensitive to the repository configuration and operational procedures. The reference design also provides the basis for repository construction and operational cost estimates and schedules.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

The current repository design is shown in Section 6.2. The basis for this design is provided in Section 6.1; site data supporting the current design are given in Chapters 1 through 5, and the waste package configuration is given in Chapter 7. Chapters 3, 4, and 5 of the SCP-CDR contain an expanded description of the repository design and planned operations.

Parameters

The site parameters needed to develop the reference preclosure design and to complete the studies identified in a subsequent paragraph under this

information need are identified in other information needs. The major categories of parameters needed are (1) parameters related to waste quantities and characteristics, (2) mechanical properties of the disposal horizon, (3) thermal properties of the disposal horizon, and (4) the surface characteristics of the site.

Logic

The reference preclosure design is developed through an iterative process. It will become more complete and more detailed with each iteration as a result of the availability of more data and the completion of additional analyses. The number of design alternatives decreases with each design stage until, in the final design, the only alternatives still available are those contingent on anticipated variations of the geology. The analysis supporting the reference design becomes more definitive as the design progresses.

Numerous design activities are planned preceding and during advanced conceptual design. These activities include evaluations of the chosen design and tradeoff evaluations conducted to evaluate design options or alternatives. Some design activities will require site data and some will not. The currently planned design activities that require site data are detailed below; in addition, examples for those required activities that do not require site data are also given.

Supporting activities requiring site data

The following supporting activities require site data:

1. Repository orientation evaluation: An evaluation that assesses the design impact of the repository orientation relative to the in situ principal stresses, fracture and fault geometry, and stratigraphy.

2. Construction contingency plan: A plan for contending with local anomalies (such as bad ground) that may be encountered in the construction of the repository.

3. Underground facility boundary evaluation: An evaluation that will determine the boundary for the underground facilities.

4. Probable maximum flood determination: This determination will be used in conjunction with surface topography for designing flood protection barriers for the surface facilities and underground accesses and will be used in locating both the surface facilities and the entrances for the underground accesses.

5. Retrieval environment prediction: A prediction of the environment that may be encountered during retrieval as a function of the time after emplacement. Drift temperature will be predicted as well as drift condition for both normal and abnormal conditions.

6. Backfill evaluation: A topical report that examines the systems required to install backfill, the impact of the backfill installation operation on worker safety, and the expected properties of the installed backfill, and expected results on postclosure performance.

7. Analysis of the ventilation system: A comprehensive analysis of the ventilation system for the underground portion of the repository. This analysis will develop quantity requirements, pressure requirements, and flow velocities, and will also contain a cooling analysis to predict the times required for cooling to allow maintenance or retrieval. The hardware and construction features will be identified and schematically designed as a part of this document. Radon gas and moisture loss or gain will also be addressed in this analysis. Similar analyses for establishing the filter configuration for the emplacement exhaust air are planned.

8. Underground seismic considerations: An evaluation of the effect of seismic events to determine the impact on the underground facility. Analysis will include ground support systems and emplacement boreholes as well as constructed features such as portals and shaft linings.

9. Surface seismic considerations: An evaluation of the effect of seismic events to determine the impact on surface facilities.

10. Foundations for surface facilities: A determination of the foundation requirements for surface facilities. Analysis will be made based on bearing capacity of soils, and building loads, including determinations of dynamic behavior of the foundation soil in order to include the soil-structure interaction effects due to seismic loads.

Supporting activities not requiring site data (given for example only)

The following types of supporting activities do not require site data:

1. Repository options evaluation: An evaluation to examine the impact of a single- or two-stage repository and fuel consolidation on repository cost, schedule, and function.

2. Operations plan: See Information Need 4.4.3 (plans for repository operations, Section 8.3.2.5.3) for a description of this ACD activity.

3. Electric design basis analysis: An analysis that quantifies the electricity requirements for the repository. The analysis will include the requirements for uninterrupted power, emergency power, and power for the experimental programs. The analysis will project power requirements through repository closure.

4. Surface facility criticality analysis: The waste preparation and storage areas of the surface facility will be analyzed to ensure there is no possibility for a criticality accident.

5. Water requirements evaluation: An evaluation to determine the quantity requirements for the fire, mining support, potable, and nonpotable water needed at the repository.

6. Waste transporter study: A comparative study of electric, diesel electric, and diesel locomotion for the waste transporter with emphasis on the impact of a decision on repository configuration, operations, cost, and safety and the effect of vehicle emissions on meeting performance objectives.

7. Hoist, headframe, and collar design alternatives evaluation: An evaluation that will result in the selection of the mine hoist type to be used at the repository.

Lists of supporting studies and trade-off evaluations will be developed before initiation of each design phase. These lists will be modified as needed during the design phase to reflect new design activities required as the design becomes progressively more detailed.

8.3.2.5.5.1 Application of results

The reference designs created in this information need will be used within this issue, as part of the basis for modifying the operations plan and for modifying the subsystems design requirements. The assessment that the repository can be constructed, operated, closed, and decommissioned using reasonably available technology will be made using the reference preclosure surface facilities, underground facilities, and designs for seals produced by this information need.

In addition to the above identified relationships within this issue, this information need will be used in the resolution of other issues and as input for other design information needs. Other issues and information needs are given in the following list. (Information Needs 2.7.4, 2.7.5, 2.7.6, and 2.7.7 are not covered in the SCP.)

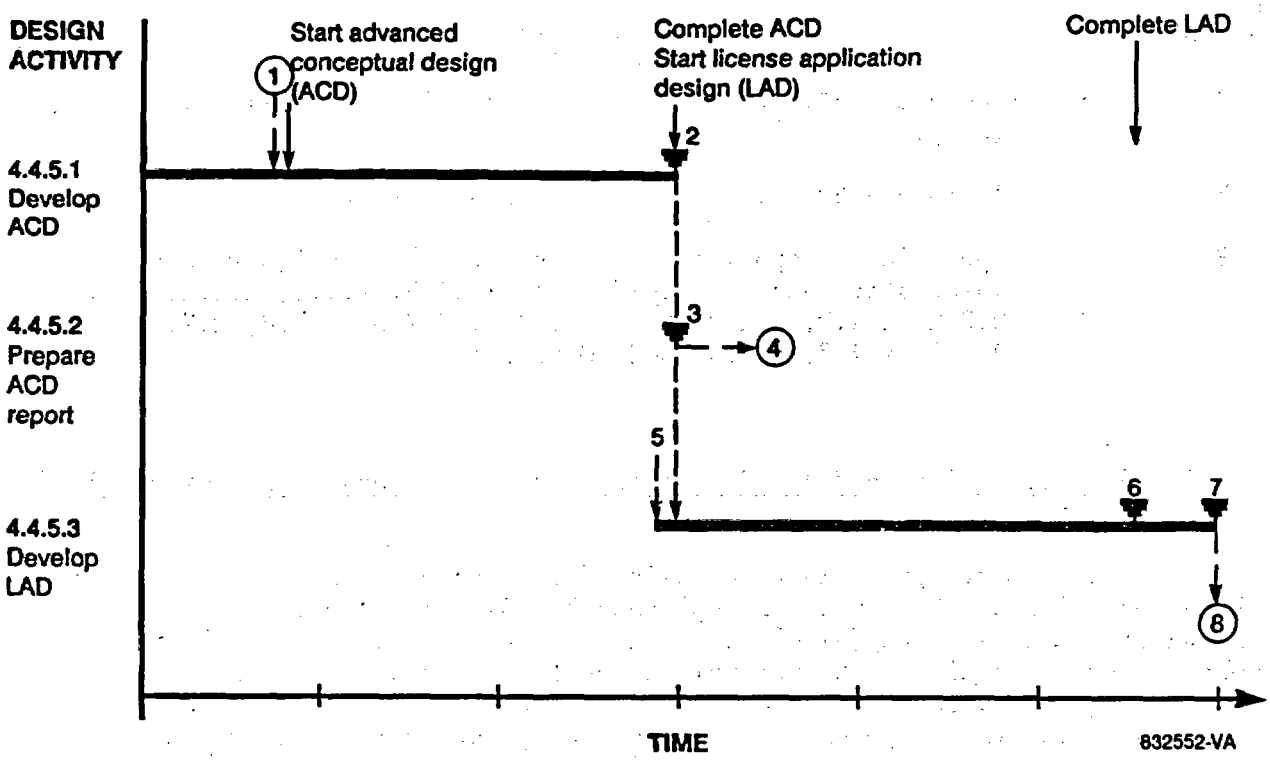
Information need	Short title
1.11.3	Configuration of underground facilities (Section 8.3.2.2.3)
1.11.4	Design constraints to limit water usage (Section 8.3.2.2.4)
2.7.4	Means to limit worker internal and external radiation exposure, including ventilation, time, distance, and shielding
2.7.5	Means to monitor and control radiation exposure conditions, including means to respond to emergencies
2.7.6	Means to ensure nuclear criticality safety
2.7.7	Means to manage onsite generated radioactive waste and to decommission surface facilities

New information needs (design information needs from Key Issue 3) may be added as the scope of the environmental impact statement is developed. These design information needs, if any, may be expected to interact with this issue.

8.3.2.5.5.2 Schedule and milestones

This information need on reference preclosure repository design (4.4.5) contains three planned activities: 4.4.5.1 (develop advanced conceptual design (ACD)), 4.4.5.2 (prepare ACD report), and 4.4.5.3 (develop license application design (LAD)). These activities are expanded slightly from those in the text. The schedule information for these activities is presented in the form of timelines. These timelines extend to the issuance of the final products associated with the activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. These activities are out-year work efforts.

These activities interact with each other, with other information needs contained within Issue 4.4, and with other site characterization activities as illustrated in the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information from the rock characteristics (8.3.1.4), surface characteristics (8.3.1.14), thermal and mechanical properties (8.3.1.15), and preclosure tectonics (8.3.1.17) programs; other design-related documents; and repository operations plans (Information Need 4.4.3).
2	Milestone R773. Complete repository advanced conceptual design (ACD).
3	Milestone M468. Issue repository ACD report.
4	Output ACD to other design-related information needs, specifically 1.11.3, 2.1.1, 2.2.2, 2.3.1, 2.3.2, 2.4.2, 2.4.3, 2.4.4, 2.4.5, 2.7.3, 2.7.4, 2.7.5, 4.4.7, 4.4.8, 4.4.9, 4.4.10, and 4.5.2.
5	Input updated information from the rock characteristics (8.3.1.4), surface characteristics (8.3.1.14), thermal and mechanical properties (8.3.1.15), and preclosure tectonics (8.3.1.17) programs; other design-related documents; and repository operations plans (Information Need 4.4.3).

Point number	Description
6	Milestone P566. Complete repository license application design (LAD).
7	Milestone M459. Issue repository LAD report.
8	Output license application design to other design-related information needs, specifically 1.11.3, 2.1.1, 2.2.2, 2.3.1, 2.3.2, 2.4.2, 2.4.3, 2.4.4, 2.4.5, 2.7.3, 2.7.4, 2.7.5, 4.4.7, 4.4.8, 4.4.9, 4.4.10, and 4.5.2.

8.3.2.5.6 Information Need 4.4.6: Development and demonstration of required equipment

The repository system must be designed to accomplish four major tasks: (1) preparation of the waste for emplacement, (2) waste emplacement, (3) waste retrieval, and (4) closure. Each of these tasks relies heavily upon the use of equipment to accomplish the needed work. Most of the required equipment has been used previously for similar purposes; however, each task presents the potential need for equipment that is unique and unproven in similar applications or environments. It is these unproven items that will be the focus of proof-of-principle demonstrations planned before license application.

This information need is the focal point for the equipment development program needed in support of the development of a nuclear waste repository at Yucca Mountain. This information need will have four principal products:

1. List of equipment planned for use in the repository.
2. Designs for equipment that are unique to repository applications.
3. Equipment.
4. Demonstrations to establish capabilities of repository equipment.

Numerous supporting documents (development plans, test procedures, performance goals, design criteria, etc.) will also be created.

The products just identified will be used in a variety of ways, including as the bases for operations planning, as well as safety, cost, and feasibility analyses. Most of the equipment will require little information about the site and will, therefore, not be discussed in detail in this document. The repository design plan will contain substantially more information on the equipment development program needed for the repository. The principal focus of the remaining discussion in this section will be on the activities (and data needs) associated with the evaluation of the feasibility of drilling and lining of long, horizontal, waste emplacement holes.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

The operation descriptions for the repository are contained in Section 6.2.3 of this document. The equipment items requiring development and demonstration are included in these operational descriptions. Similar discussions are contained in Sections 3.1, 3.2, and 4.5 of the SCP-CDR (SNL, 1987). The equipment that does not require substantial site data will be described in the repository design plan.

Parameters

The parameters or information needed by the equipment development program are as follows:

1. Site parameters.

    a. Fracture characteristics of host rock.

    b. Abrasiveness of host rock.

    c. Rock-cutting characteristics, including dependence on in situ stresses.

    d. Existence of free water in the rock mass.

    e. Normal and abnormal conditions of drifts and borehole.

2. Other information needed:

    a. Plans for repository operations (Information Need 4.4.3).

b. Repository design requirements (Information Need 4.4.4).

c. Design analyses (Information Need 4.4.7).

Logic

Independent of requirements for site data, development and demonstration of a typical piece of equipment generally consists of the following steps to be completed before the license application:

1. Development of reference equipment configurations. The development process will be recorded in topical reports that depict the equipment, present operational procedures, and specify structural and performance criteria.

2. Analysis of the reference designs for verification of functional adequacy, for compliance with the performance goals and for evaluation of necessity for proof-of-principle demonstration. This step will include the generation of separate topical reports for only the more significant analyses (e.g., operator dose).

3. Development of a test procedure for demonstration of the equipment. The emphasis of the procedure will be to identify the sequence of testing to be used to determine if the equipment will perform as required under the anticipated environments for both normal and credible abnormal conditions.

4. Fabrication of equipment, demonstration models, and test facilities as needed for proof-of-principle tests.

5. Demonstration of proof-of-principle.

6. Evaluation of demonstration results and modification of designs and criteria, as appropriate.

This sequence will be implemented for the development and demonstration of the unique, specialized equipment required for the repository. The development and proof-of-principle demonstration of the equipment should be completed before license application.

The Yucca Mountain mined geologic disposal system (MGDS) is introduced in Section 8.2.2. Examination of the subsystems and components of the MGDS will indicate that the preclosure subsystem relies heavily on equipment performing required functions. Subsystems currently being emphasized in the equipment program are highlighted here. The system elements and the equipment within these elements considered potential candidates for demonstration are as follows:

System element		Candidate for demonstration equipment
1.2.1	Mining	Equipment to mine the underground facility by use of mechanical miners

System element	Candidate for demonstration equipment
1.2.1.3 Borehole construction	For the horizontal emplacement option, the drill for drilling the long horizontal holes. For vertical emplacement, the drilling of vertical emplacement holes
1.2.2.1 Receiving	Remote systems for the removal of shipping cask restraints and cask closures
1.2.2.2 Preparation	Remote welding, inspection, and decontamination
1.2.2.4 Emplacement	Equipment to physically emplace the waste into vertical and horizontal waste disposal boreholes
1.2.2.5 Retrieval	Retrieval equipment for normal conditions and contingent or backup equipment for abnormal conditions, both vertical and horizontal emplacement options

More detail will be provided in the repository design plan regarding the types of equipment planned for use in each of these areas. Because only those items that require significant amounts of site data are considered in this document, the remainder of the discussion will focus on the equipment planned for use in borehole construction (System Element 1.2.1.3). Evaluations will also be made of the potential for using continuous miners and of the experience in using tunnel boring machines in similar types of rock.

A development prototype boring machine (DPBM) is currently being investigated. The DPBM is expected to be capable of drilling and installing a metal lining in long (up to 110 m) horizontal boreholes about 0.9 m in diameter. Demonstration tests for this DPBM are planned as part of the evaluation of the feasibility of horizontal emplacement of the wastes. The site data needed to support the development and demonstration of the DPBM are as follows:

1. Fracture characteristics of the rock. The fracture characteristics are an important part of the determination that the boreholes can be excavated and lined. The parameters needed to assess the fracture characteristics of the rock are presented in Section 8.3.2.5.9, which addresses the feasibility of constructing the underground facility using reasonably available technology.

2. Abrasiveness of the rock. This parameter will affect the costs of the disposal boreholes. Highly abrasive rock will result in frequent cutter replacements and consequently it will affect the emplacement borehole costs. To obtain this parameter, it will be necessary to obtain rock samples for laboratory evaluation.

3. Tests in the exploratory shaft facility (ESF). The tests currently under consideration for the ESF involve the drilling of two 76.2-m-deep horizontal holes into the specific host rock and lining of these holes. The drilling of these holes will be highly instrumented so that data on drill performance can be obtained for use in

predicting drill performance in the repository. These tests will also provide data for cost estimating. Other demonstrations may be planned to simulate the impact of potential abnormal underground conditions that may be encountered by other equipment. Definition of these tests awaits further definition of equipment and abnormal conditions.

8.3.2.5.6.1 Application of results

The equipment designs, the required performance, the lists of equipment planned for use in the repository, and the results of equipment demonstrations will be used for all the information needs of this issue. Site information requirements are passed to Information Need 4.4.1 (site and performance assessment information needed for design). Modification to the waste package design (particularly pintle interface requirements to be defined as part of Information Need 4.4.2) may depend on tradeoffs made in transporter designs. The types of equipment selected are input to the operations plan described in Section 8.3.2.5.3. Design requirements in Section 8.3.2.5.4, such as opening dimensions, may also change based upon the equipment designs; this would result in changes in the reference design described in Section 8.3.2.5.5. The equipment designs and the demonstration results will be important inputs to the assessments made in Information Needs 4.4.8, 4.4.9, and 4.4.10 regarding the use of reasonably available technology.

Other issues will also use the equipment designs and results from demonstrations. These include health and safety evaluations made in Information Need 2.2.1 and Issues 2.2, 2.3, 2.5, 2.6, and 2.7, as well as analyses in Issue 4.2 (Section 8.3.2.4) related to nonradiological concerns. Similarly, the evaluation of the feasibility of ensuring retrievability (Issue 2.4) will be based, in part, on the equipment demonstrations. Finally, cost estimates (Issue 4.5) for the repository will be dependent on the equipment selected for use and will be determined after scoping hearings for the environmental impact statement.

The short titles and Chapter 8 section numbers for the issues and information needs just discussed are as follows:

Issue or information need	Short title	SCP section
2.2	Worker radiological safety--normal conditions	8.3.5.4
2.2.1	Radiation environment	8.3.5.4.1
2.3	Accidental radiological releases	8.3.5.5
2.4	Waste retrievability	8.3.5.2
2.5	Highest level finding--preclosure radiological safety	8.3.5.6

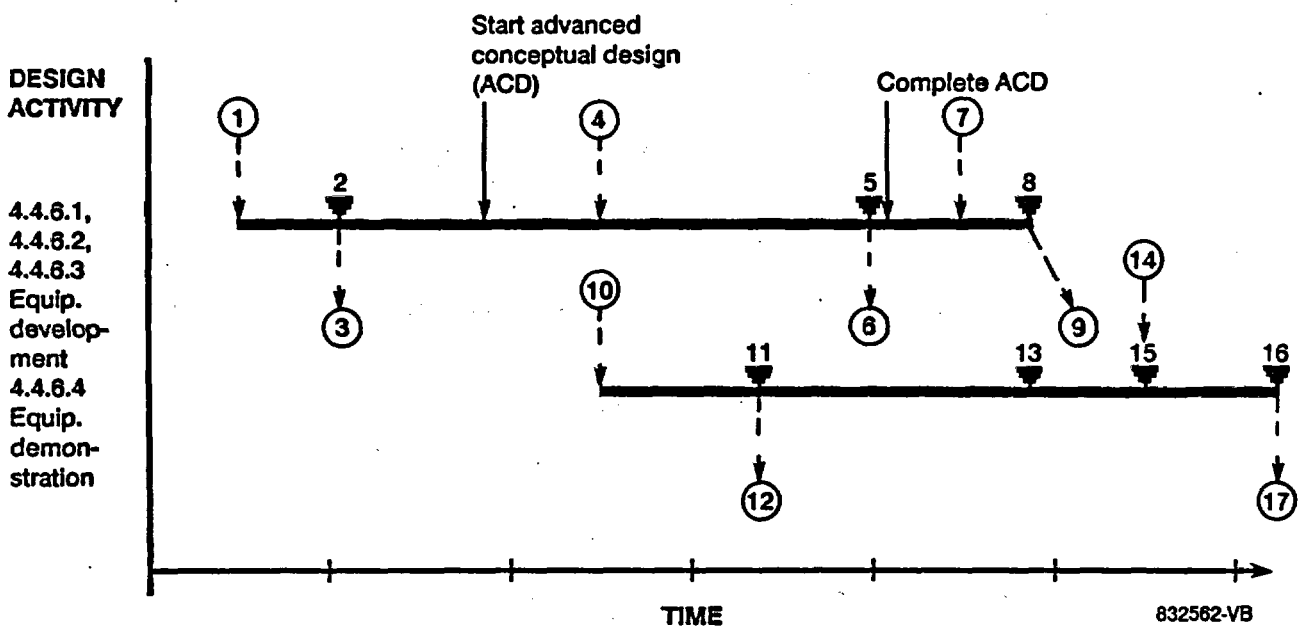
Issue or information need	Short title	SCP section
2.6	Waste package characteristics (preclosure)	8.3.4.3
2.7	Repository design criteria for radiological safety	8.3.2.3
4.2	Nonradiological health and safety	8.3.2.4
4.4.1	Site and performance information needs for design	8.3.2.5.1
4.4.2	Waste package information needed for design	8.3.2.5.2
4.4.3	Plans for repository operation	8.3.2.5.3
4.4.4	Repository design requirements	8.3.2.5.4
4.4.5	Reference preclosure repository design	8.3.2.5.5
4.4.8	Technology for surface facilities	8.3.2.5.8
4.4.9	Technology for underground facilities	8.3.2.5.9
4.4.10	Technology for seals emplacement	8.3.2.5.10
4.5	Total system costs	8.2.2.3.2.4

8.3.2.5.6.2 Schedule and milestones

This information need on equipment development and demonstration (4.4.6) contains four planned design activities: 4.4.6.1 (develop equipment list), 4.4.6.2 (design equipment), 4.4.6.3 (build equipment), and 4.4.6.4 (emplacement and retrieval equipment demonstration). These activities are expanded slightly from the text. The schedule information for these activities is presented in the form of timelines. The schedules and milestones for Activities 4.4.6.1, 4.4.6.2, and 4.4.6.3 are combined into one timeline on developing equipment. The timelines extend to the issuance of the final products associated with the activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. These activities are out-year work efforts.

These activities interact with other information needs contained within Issues 4.4 and Issue 2.7 (repository design for radiological safety) as illustrated in the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show

the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information on radiological safety criteria, reference design, and design analyses from Information Needs 2.7.2, 4.4.5, and 4.4.7, respectively.
2	Milestone Z175. Complete repository equipment list.
3	Output necessary equipment information/data to Information Need 4.4.3 (plans for repository operation).
4	Input information on radiological safety, reference design, and design analyses from Information Needs 2.7.2, 4.4.5, and 4.4.7.
5	Milestone R269. Issue report on detailed design of emplacement and retrieval equipment.
6	Output design information on emplacement and retrieval equipment to Information Need 4.4.3 (repository operations planning).

Point number	Description
7	Input information on radiological safety, reference design, and design analyses from Information Needs 2.7.2, 4.4.5, and 4.4.7.
8	Milestone R271. Complete fabrication of emplacement and retrieval equipment for demonstration.
9	Output information on emplacement and retrieval equipment to Information Need 4.4.3 (repository operations planning).
10	Input information on radiological safety, reference design, and design analyses from Information Needs 2.7.2, 4.4.5, and 4.4.7.
11	Milestone R270. Complete emplacement and retrieval equipment demonstration plan.
12	Output equipment demonstration information to Information Need 4.4.3 (repository operations planning).
13	Milestone R272. Complete emplacement and retrieval demonstration facility.
14	Input final information on radiological safety, reference design, and design analyses from Information Needs 2.7.2, 4.4.5, and 4.4.7.
15	Milestone R273. Complete demonstration of emplacement and retrieval equipment.
16	Milestone R274. Complete documentation of emplacement and retrieval equipment demonstration results for support of the license application.
17	Output final information on emplacement and retrieval equipment to repository planning operations (Information Need 4.4.3).

8.3.2.5.7 Information Need 4.4.7: Design analysis, including those addressing impacts of surface conditions, rock characteristics, hydrology, and tectonic activity

Design analyses are important in comparing design options, determining the conditions under which the design meets the designated performance goals, and establishing credibility for the design approach being used. Site parameters, design requirements, and design configurations are required input for this information need. These inputs are used in numerous types of design analysis approaches for assessing the thermal, structural, hydrologic, and

ventilation phenomena. This information need is the principal place where rock mechanics analyses (including seismic effects) and ventilation calculations are discussed. Both normal and abnormal conditions are considered in the analysis of surface and underground effects.

The principal products or types of studies completed under this information need (Table 8.3.2.5-15) are design support studies such as tradeoff studies, sensitivity studies, reference calculations, and, where required, calculations to verify and validate the design approaches being used. The areas of analysis considered in this information need are shown in Table 8.3.2.5-15, which serves as the basis for the organization of the discussions presented in the logic section where the specific kinds of analyses to be done are identified.

The results of the design analyses completed under this information need will be used by the other information needs of this issue where the analysis will form the basis for needed modifications to the design or the design requirements and will provide the substantiation for the identified data needs. Compliance with retrievability requirements (Issue 2.4, Section 8.3.5.2), with requirements for nonradiological safety (Issue 4.2, Section 8.3.2.4) and comparisons of the analysis results with the goals established in Issues 2.4 and 4.2, will also rely heavily on (and will audit) the analysis performed here. Similarly, interfaces with goals from postclosure issues must be recognized. Examples of these interfaces are goals concerning waste package environment, the extent of the disturbed zone, and the areal power density.

This information need will be considered satisfied with the publication of the design analyses showing that performance goals and design criteria have been met.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

The design analyses described in this information need relate directly to other chapters of this document, particularly Chapter 6. The most substantial coverage of the current status of the analysis work is contained in Section 6.4.10. Numerous technical publications documenting the analyses completed to date are also referenced in this section of Chapter 6. The data used as the reference basis for most of the recent calculations is in Section 6.1 while the design that forms the basis for most of the calculations is given in Section 6.2.

The relationship between this information need and the SCP-Conceptual Design Report (SCP-CDR) (SNL, 1987) is similar to its relationship with Chapter 6. In the SCP-CDR, issue resolution status is provided in Chapter 8, and relationship to the NRC concerns is in Chapters 6 and 7. Chapter 2 of the SCP-CDR provides the basis for the current analysis while Chapters 3, 4, and 5 describe the current design.

Table 8.3.2.5-15. Preclosure design analyses

Areas of analysis[a]	Products or types of studies
UNDERGROUND	
Rock mechanics (thermal, structural, seismic)	Design support studies tradeoff studies
Ventilation Hydrologic Other	Sensitivity studies Reference calculations Verification and validation studies
SURFACE	
Rock mechanics	Design support studies tradeoff studies
Hydrologic Soil/mechanics/foundations Other	Sensitivity studies Reference calculations Verification and validation studies

[a]Each area includes, where appropriate, evaluations of normal and abnormal conditions.

Parameters

The parameters needed from site characterization to accomplish the analyses identified in the following logic section are summarized in the following list. The parameters are categorized and presented in the following

1. General geometrical, soil, and rock parameters needed for surface facility design.

2. Rock parameters needed for subsurface design.

3. Hydrological parameters needed for surface and subsurface design.

4. Meteorological parameters.

5. Tectonic parameters.

General geometrical, soil, and rock parameters needed for surface facility design follow. The geometrical parameters are

1. Surface topography at facility locations.
2. Surface topography of access routes.

The soil parameters are

1. Alluvial stratigraphy.
2. Allowable foundation bearing load considering shear failure and total and differential settlement.
3. Soil structure interaction (if required).
4. Slope stability.
5. Time dependent settlement of earthfills and retaining structures if saturated clays are encountered (not likely).
6. Hydraulic related soil parameters.

The rock parameters are

1. Rock stratigraphy.
2. Allowable foundation bearing load considering shear failure and total and differential settlement.
3. Rock-structure interaction response (for soft rock with low shear wave velocity).
4. Slope stability.

The rock properties needed for the subsurface design for the linear elastic analysis of accessways and drifts are

1. Poisson's ratio.
2. In situ stresses.
3. Initial temperature.
4. Coefficient of thermal expansion.
5. Thermal conductivity.
6. Young's modulus.
7. Deformation modulus.
8. Joint cohesion and angle of friction.
9. Heat capacity.
10. Confined and unconfined compressive strength.
11. Cohesion of rock and angle of internal friction.

Additional rock parameters needed for incorporation of a rock joint model into analysis codes for the subsurface design are

1. Joint normal and shear stiffnesses properties.
2. Joint wall compressive strength.

3. Joint roughness coefficient.
4. Cohesion and coefficient of friction.

Additional parameters needed to use empirical methods for underground opening and ground support design are

1. Number of joint sets.
2. Frequency and spacing of joint sets.
3. Joint orientation.
4. Length of joint.
5. Rock quality designation.
6. Wall rock alteration.
7. Construction method.
8. Presence of squeezing or swelling ground.
9. Joint condition (roughness/free water/infilling).
10. Water inflow.
11. Expected seismic loading.

Other rock parameters are

1. Radon emission rate.
2. Abrasiveness of rock.
3. Porosity.
4. Saturation.
5. Permeability.
6. Radiation shielding characteristics.

The hydrological parameters needed for surface and subsurface design are

1. Subsurface.

    a. Estimate of water inflow into subsurface.
    b. Existence/location of perched water sources.
    c. Sustained yield of pumped water source for support of operations.

2. Surface (surface hydrology at surface facilities and access portals).

    a. 5, 25, 50, 100, and 500 yr floods.
    b. Probable maximum flood (PMF).
    c. Area of inundation.
    d. Flow velocities in areas in inundation.
    e. Debris load of flows.

The meteorological parameters are

1. Diurnal temperature and humidity of ambient air.
2. Seasonal temperature and humidity of ambient air.
3. Atmospheric dispersion.
4. Mixing height.
5. Average temperature.
6. Relative humidity.
7. Precipitation.

8. Wind speed.
9. Design wind load.
10. Design snow load.
11. Design value for precipitation.
12. Heating and cooling design values.

The tectonic parameters are

1. Surface fault displacement parameters.

   a. Identification and classification of late Quaternary faults within 100 m of facilities important to safety (FITS).

   b. Classification.

   c. Location at surface.

   d. Orientation at surface.

   e. Identification of any fault within 100 m of FITS with greater than 1 in 100 chance of producing more than 5 cm of surface offset in 100 yr.

   f. Integrated potential for fault displacement beneath FITS

   g. Probability vs. displacement relationship for area beneath FITS.

2. Underground fault displacement parameters.

   a. Identification and characterization of late Quaternary faults in the repository block:

      i. Classification.
      ii. Location at surface.
      iii. Orientation at surface.

   b. Identification and characterization of any faults within the waste emplacement area with greater than 1 in 10 chance of producing more than 7 cm of subsurface offset during the preclosure period.

   c. Integrated potential for fault displacement on faults that intersect underground facilities.

   d. Probability vs. displacement relationship for the area of waste emplacement.

3. Surface vibratory ground-motion parameters.

   a. Design-basis ground-motion time histories (minimum bandwidth = 0.5 to 33 hz) and corresponding response spectra (at 1 hz intervals for surface FITS).

   b. Integrated potential for vibratory ground motion at FITS.

c. Probability vs. peak acceleration, peak velocity, and peak velocity response at selected frequencies, at surface FITS.

4. Underground vibratory ground-motion parameters

a. Design-basis ground motion time histories and corresponding response spectra for underground facilities (at various depths) (minimum bandwidth = 0.5 to 33 hz; 1 hz intervals for response spectra).

b. Integrated potential for vibratory ground motion at underground facility locations.

c. Probability vs. peak acceleration, peak velocity, and peak velocity response at selected frequencies, at underground facility locations.

5. Surface volcanic activity parameters.

a. Probability of volcanic eruption at location of surface FITS.

b. Design-basis ashfall thickness at sites of all surface facilities.

c. Ashfall particle density and size distribution.

6. Underground volcanic activity.

a. Probability of volcanic eruption through the area of waste emplacement.

b. Design-basis ashfall thickness (at surface vent intakes and exhausts).

c. Ashfall particle density and size distribution.

Logic

The analysis of the preclosure performance of the repository is an ongoing process. The process is progressively improved with each design iteration by the incorporation of new and better data and the use of improved analysis techniques. The current design of the repository is based on analysis techniques that may be improved as the design process evolves. The currently used methods of analysis are presented in this section for two reasons: (1) to illustrate the computer code types used for judging the applicability of the codes for repository design and (2) for the purpose of identifying the parameters needed to support the application of these computer codes. It is not anticipated that further improved codes or analyses techniques will require additional data beyond that identified in this section.

Only those analyses that require site data are presented. The analyses are categorized as (1) underground and (2) surface; under these categories the analyses are further divided into the basic types of analyses required.

The rationale for reference calculations, sensitivity analysis, and computer code validation are also presented in the end of this logic subsection.

Analysis of underground repository facilities--structural-thermal analysis

The repository underground system elements that require structural analysis are 1.2.1.1, access construction (shafts and ramps); 1.2.1.2, drift construction; and 1.2.1.3, borehole construction. For some of these elements, (1.2.1.2 and 1.2.1.3) the structural analysis must be expanded to address the effects of the temperatures generated by heat from the waste. The potential long operational life of the repository dictates that the methods of analysis be adaptable for predicting the design adequacy for a long period of time.

System Element 1.2.1.1, Access construction (shafts and ramps)

Geomechanical analyses consider mechanical, thermal, and thermomechanical response of the rock mass through the emplacement and retrieval periods. The geomechanical goals indicate the need to understand the response of the host rock to excavation and thermally induced stresses. Excavation-induced stresses depend on the in situ stress field with superposed stresses derived from the size and geometry of the opening considered. Thermal stresses developed in the rock as a result of heating depend primarily on the magnitude of individual or combined heat sources, thermal properties of the rock, and the heat transfer mechanism; thus, these stresses vary with time and position. The effects of excavation-induced stresses are first evaluated and then the effects of thermal stresses are superposed on the former such that the thermomechanical response (the sum of the mechanical and thermal load components) also varies with time and position.

Our current understanding of the mechanical response of tuff is that the fractured rock mass can be treated as an elastic, elastic-plastic, or compliant-jointed media (see Chapter 2 and Section 6.4.2). Current understandings of the mechanical response of fractured tuff have been based on and supplemented by three integrated sources of data: (1) field studies of the local and regional geology of the site (Chapter 1), (2) laboratory and field data indicative of the mechanical and thermal response of the rock from Yucca Mountain, and (3) data from in situ field experiments at Rainier Mesa and from laboratory experiments and rock samples from Rainier Mesa. The mechanical response predicted by a given analysis or set of analyses will depend on the scale, loading, and boundary conditions of the problem.

The approach to understanding and predicting mechanical response has been to use progressively more complex models through the course of design, performance, and safety analyses. For example, engineering judgment and practices are often applied at the onset to provide initial guidance to developing repository design. This judgment is qualified by data available before site characterization. The preliminary design warrants evaluation and confirmation through use of boundary element and finite element methods, and in situ test results. The predominant basis for planned license application analyses will be finite element methods. Engineering judgment, boundary element, and finite element methods will require some form of qualification; this qualification is needed to meet the requirement established in QA SOP-03-02 (Software Quality Assurance). A segment of the qualification

process includes verification and validation. In Section 8.3.2.1.4, these two topics are addressed as they apply to model and code qualification in the context of their application.

The types of analytical tools being developed to provide a perspective of the rock mass mechanical response to address Issues 1.11 (configuration of underground facilities--postclosure, Section 8.3.2.2), 4.2 (nonradiological health and safety, Section 8.3.2.4), and this issue include the following:

1. Tunnel index methods (Barton et al., 1974; Bieniawski, 1974), which are experience-based empirical methods that permit conclusions regarding ground support requirements and opening size. As yet, these methods do not account for the effects of heat.

2. Calculations using boundary element techniques will be used in preliminary evaluations of ground support requirements and will be compared with those determined by the tunnel indexing methods. The effects of excavation and heat may be included in the analysis. Rock strength, fracture slip, or both may be used to interpret results. These methods allow for parametric studies to be undertaken in which design tradeoffs can be evaluated through the predictions of deformations and stress at specific locations as a function of time. The usefulness of boundary element methods will be qualified through comparison with closed form analytic solutions, finite-element codes, and underground exploration.

3. The predominant basis for planned license application analyses will be finite-element methods. Calculations using finite-element methods, treating the rock mass as an elastic, elastic-plastic, or compliant jointed media, will confirm or qualify the conclusions drawn from tunnel indexing and boundary element methods based on the effects of excavation and heat predicted throughout the area of interest as a function of time. These methods have the potential to consider rock strength, fracture slip, and fracture deformability as part of the computation, or these methods may be used to interpret results. Finite-element methods will be qualified through comparison with closed-form analytic solutions, boundary element codes, underground exploration, and laboratory and field testing. The extensive approach to qualification of these codes is warranted because these methods have the shortest history of application to field problems, yet are the most complicated and technically complete in terms of application and interpretation. Further, the calculations using these methods will provide the final measure of the usability and performance of the designs.

Parameters necessary for the empirical structural design of the shafts and ramps. The design of the shaft and ramp cross-sections and the required ground support by use of a traditional, rock mass classification approach can be accomplished using a number of methods (e.g., Barton et al., 1974 (Norwegian Geotechnical Institute method) or Bieniawski, 1976 (Council for Scientific and Industrial Research method)). The method that will be used for the repository has not been selected. The parameters required for most empirical or experienced-based methods are specified in this section. Because the ramps and shafts pass through both the lithophysae-abundant and

lithophysae-free parts of the Topopah Spring Member and the overlying members, parameters are required for both types of rock.

Parameters necessary for the analytical structural design of shafts and ramps. The accesses (shafts and ramps) must survive, in a usable condition, for a period of time equal to the retrievability period plus the time required for decommissioning. The time currently used to encompass the retrieval period is 84 yr, and the estimated time for postretrieval decommissioning is 6 yr; therefore, the total time required is 90 yr.

Shafts and ramps will not be subjected to significant temperature changes during the repository operations. This is due to the distance between the shafts and ramps and the waste disposal area. The eventual temperature increase in the shafts and ramps is expected to be small and probably will not occur until they have been backfilled as planned by the seal program. Nonetheless, the analytical verification of the design of the shafts will consider the effects of thermally induced stress changes resulting from the adjacent waste disposal areas. This will allow an assessment of the effects of increased horizontal stresses and formation uplift due to thermal expansion.

The computer codes to be used for the initial structural design of the shafts and ramps will be selected from the list of codes provided in Section 6.4 (or similar codes will be used). Results of testing in the exploratory shaft facility will assess the suitability of these codes for design analyses supporting license application. The data or parameters required to apply these codes and to evaluate the potential for joint slip or excessive rock mass deformation are similar to the data that will be required for the codes selected for final design analysis. Two sets of parameters are required: (1) the parameters required when the rock mass is characterized as linearly elastic or elastic-plastic and (2) the additional parameters required to incorporate a description of the joints within the rock mass. These parameters are specified earlier in this section.

Two categories of parameters are needed: (1) those that can be measured directly or derived from measured values and (2) those that are subjective and must be determined by applying judgment factors. For the first category of parameters, the confidence with which the parameter needs to be determined depends on the sensitivity of the analysis method to the particular parameter as well as on the expected rock mass response. Preliminary sensitivity analyses indicate that the dominant parameters for assessing stability and in evaluating access opening shapes include (1) the unconfined compressive strength, (2) in situ stress, (3) the joint strength parameters, and (4) rock quality designation (RQD). Note that jointing at the site is predominately vertical based on current information; thus, the RQD determination may be biased. This bias will be examined through considerations of fracture spacings in three dimensions.

Parameters in the first category need to be determined by applying methods and selecting sample quantities that will give the required confidence in the resulting values. For the second category of parameters, only a medium confidence is required. These parameters must be obtained for all differing rock types encountered in the construction of the shafts and ramps.

In using the compliant joint model, the significant parameters, in addition to those just listed, are the joint stiffness parameters.

System Element 1.2.1.2, Drift construction

The structural design of drifts differs from the design of accesses (shafts and ramps) in that the drifts may experience moderate temperature increases due to the heat output of the waste during the operational life. The effects of the increase in temperature on the design approach and the parameters needed are discussed in the following text.

Ground support design. The methods used and the parameters required for ground support design are the same as empirical and analytical methods described for the design of accesses. Support categories expected include rock bolts with wire mesh and localized shotcrete. In areas of poor rock quality, enhanced support may be required. These support systems are commonly used but they will require confirmation and careful material selection to ensure adequate performance during the retrievability period and compliance with postclosure requirements for chemical compatibility (Information Need 1.10.4, Section 8.3.4.2.4).

The planned approach to the design of the ground support for the repository may involve developing approximately four ground support designs. These designs could vary from simple rock bolts up to and perhaps including full concrete lining. Each design will be developed for a specific range of rock classifications. As varying rock conditions and types are encountered in the exploratory shaft facility (ESF), the preselected ground support may have to be varied. The support will be monitored for performance, providing an experience base that can be used in the repository. The ground support verification tests proposed for the ESF are described in Section 8.3.2.4.1.

The parameters needed to design the rock support system and to assess drift shape and size are the same as those listed for the access system element, but for the drifts, these parameters will be required only for the disposal horizon.

Long-term design considerations for drifts. The methods used to select drift size, shape, spacing, and support systems to accommodate long-term considerations are the same as for the access (shafts and ramps) except that now the effects of the heat generated from the decay of the waste must be incorporated. It will be determined if the heat effects can be simulated by the empirical methods such as those of the Norwegian Geotechnical Institute by increasing the stresses to account for the thermally induced stresses occurring after waste emplacement. Analytical techniques are available to explicitly model the temperature distribution around the drifts and calculate the thermally induced stresses. The support system designed and selected to accommodate the long-term considerations will be installed during construction.

The thermally induced stresses are expected to enhance stability in some areas and to destabilize other areas. If the degree of destabilization exceeds the capacity of the support system, then it will be necessary to enhance the support system or to reduce the temperatures to decrease the thermal loads. Consideration of the thermally induced loadings requires

additional thermal and thermomechanical parameters for the host rock and the support system. The thermally induced stresses depend on the temperature distribution in the rock, which depends on the waste form and age, emplacement geometry, the areal power density of the emplaced waste ventilation conditions, and the rock thermal properties.

Currently the dominant heat transfer mechanism in the unsaturated tuff is understood to be temperature-dependent conduction. This understanding is based on laboratory and field tests and analyses (Section 2.5.3, relationship between intact rock and large-scale properties). The types of analytical tools being developed to provide perspectives of the rock mass thermal response include the following:

1. An analytic solution for heat conduction for finite cylinder heat sources with a decaying exponential source term with a time and linear superposition of sources. This analytical tool will serve the purpose of making preliminary design assessments for the repository. This method will be qualified using closed-form analytic solutions.

2. An analytic solution for heat conduction for finite line heat sources that may be constant or exponentially decaying with time. This analytical method is an integral part of the boundary element method to be used to perform design and sensitivity analyses. This method will be qualified using closed-form analytic solutions.

3. A numerical model capable of steady and transient linear and nonlinear thermal conduction analyses in at least two dimensions.

Possible boundary conditions include constant temperature at a node, constant or time-dependent temperature along the side, adiabatic surface, forced convection, natural convection, and thermal radiation. Material properties (densities, specific heats, and conductivity tensors) may depend on temperature. The method used will be qualified using closed-form analytic solutions, benchmarking activities, and laboratory and field experiments. Heat transfer codes similar to the ones being considered have been successfully applied to analyses of heat transfer in salt (Waste Isolation Pilot Plant and Project Salt Vault), granite (Stripa), and tuff (Sections 2.5.2 and 2.5.3). The reasons for field validation of these codes will be to show their applicability on unsaturated tuff at the repository horizon. The results of these analyses are used as input for thermomechanical analyses.

The current understanding of the combined contributions of the excavation and thermal effects upon rock-mass response is presented in Section 2.5. Based on this understanding, two methods are currently being applied to predict thermomechanical response. In the first, using boundary element techniques, thermal stresses and displacements are calculated by treating the thermal stress and the initial stress as a time varying boundary condition. In the second, using finite element techniques, mechanical responses from excavation and thermal responses from the heat source are separately analyzed and then the thermal results are input to the mechanical analysis to determine the thermomechanical response. The basis for our understanding of the mechanical, thermal, and thermomechanical response of the rock mass will be supplemented through the model verification and validation process.

The temperatures will be estimated using a temperature-dependent heat conduction code similar to those used to predict temperatures in small-scale heater tests conducted in the G-Tunnel complex at the Nevada Test Site. These codes include SPECTROM-41, VISCOT, ADINAT, and COYOTE. The application of these codes requires the parameters, specified in Table 8.3.2.5-2.

Of the thermal properties needed, preliminary sensitivity analyses show the thermal coefficient of expansion has the most significant effect on the code outputs. The thermal coefficient of expansion is assumed to be isotropic, based on existing data presented in Chapter 2. Unlike mechanical properties, thermal properties are not expected to show any significant anisotropic effects as a result of joint sets (dominant or minor).

System Element 1.2.1.3, Borehole construction

The stability of the waste emplacement boreholes is important for maintaining the capability to retrieve the waste. Stability of the boreholes will be analyzed using the same methods and parameters as used for the analysis of the accesses and drifts.

Analysis of underground repository facilities--ventilation analysis

The repository underground system elements that require ventilation analysis are 1.2.1.6 (mining ventilation) and 1.2.2.7 (waste-handling ventilation). Analyses that have been used to this point in the design process are identified for the purposes of applicability assessment and to substantiate the parameters identified as necessary from site characterization. The design analysis techniques and codes may change for future designs; however, the data required to support the new analyses techniques is expected to remain the same as that identified here.

System Element 1.2.1.6, Mining ventilation

The current ventilation analyses use two computer codes. These codes are typical of codes used for mine ventilation analysis. The codes used are identified as VNET (ventilation network analysis) and CLIMSIM (climate simulation). They are used here only to substantiate the data needs specified and there is no intent to identify these as the codes that will be used for the license application analysis. In application, these codes are interdependent in that the results of CLIMSIM are input into VNET to determine ventilation system performance. CLIMSIM is used to model the psychrometric and environmental conditions in the airways. VNET is used to model the underground airflow distribution, calculate air-flow resistance, calculate friction pressure losses, and to establish ventilation fan requirements.

The information needed to apply these ventilation codes is identified in Section 8.3.2.5.7 and reiterated as follows:

1. Radon gas emission rates--the actual value of the emission rate is required. Also, it is important to know how the emission rate varies with rock type, natural and ventilation pressures, and location within the repository. Emission rates are needed to verify that the air quantities are sufficient to sweep areas of radon and to dilute concentrations to levels specified by regulations.

2. In situ moisture in the rock and the corresponding release rate to the ventilation airstream--required as a function of time, temperature, rock type, and location within the repository.

3. As-mined drift surface roughness--a determination of the roughness for each rock type, mining and support method used.

4. Potential for airborne respirable particulates--the particulate types and quantities are required.

5. Diurnal and seasonal barometric pressure, temperature, and humidity variations at the locations of the ventilation supply and exhaust portals.

6. Elevation of ventilation entrances and exhausts.

7. Surface rock wall temperature--the surface rock wall temperature in the unventilated drifts is required to perform cool down analysis. The surface rock wall temperature is calculated using computer codes such as SPECTROM-41 series, VISCOT, ADINAT, and COYOTE. The parameters needed to use these codes are given under the analysis requirements for System Element 1.2.1.2, Drift construction.

8. Heat transfer film coefficients for the rock walls--these coefficients will be derived from other parameters. The site parameter used in this determination is the surface roughness of the rock walls. This parameter has already been identified as necessary in other parameter lists.

System Element 1.2.2.7, Waste handling ventilation

The design and operation of the system required to provide ventilation to the underground areas where waste is handled is the same as that described under System Element 1.2.1.6 (mining ventilation). The site parameters required are the same as for System Element 1.2.1.5. Temperature increases in the rock are not considered during the emplacement activities but are of concern during waste retrieval and decommissioning. (Nonsite parameters will be addressed in the repository design plan).

Analysis of underground repository facilities--hydrologic analysis

The preclosure hydrologic analysis that will be required by the underground design will be the analysis to determine the potential for water in the emplacement boreholes. This analysis will provide a major input to the analysis for corrosion of the borehole components. The results of the hydrologic analysis will also be used for analysis of the environment in the emplacement borehole and for the analysis of the impact of formation water on the ventilation system.

Site parameters necessary to support hydrologic analysis are identified previously in this section.

Analysis of underground repository facilities--site seismic analysis

The seismic analysis of the underground facility can be done by several methods. The methods to be used for the repository have not been specifically identified. The methods used will probably be the same as those used for the design of surface facilities to resist earthquake loading. The parameters necessary to apply the methods used are identified previously in this section.

Analysis of underground repository facilities--other underground analyses

There are other analyses that will be required for elements of the underground facility. Specifically, material compatibility analysis will be required for System Elements 1.2.2.4, emplacement, and 1.2.2.5, retrieval. The compatibility analysis will be done to ensure that the materials selected for the waste emplacement borehole liner will be compatible with the host rock and the waste package. The analysis required will principally involve corrosion analysis for determination of material corrosion and the determination of potential corrosion products. Chemical constituents of materials used in excavation or ground support will also be considered.

Analyses of repository surface elements

The information needed to develop the layout of the surface facility is identified previously in this section.

The structural analysis performed on the surface facilities will be based on currently accepted practices such as those recommended by the Uniform Building Code. Parameters necessary for the structural design of the surface facilities are identified previously in this section.

Rationale for reference calculations

Reference calculations are calculations whose results are incorporated in the reference information base (RIB). These calculations are based on current documented design geometries and configurations and use material properties data from the RIB. The purpose of reference calculations is to provide the NNWSI Project current referenceable design and performance analyses. Certain of the reference calculations may be used as input to other design or performance analyses, whereas others may serve as the basis for comparison in sensitivity analyses.

Reference calculations, as envisioned, will be performed for as many disciplines of the repository program as are necessary. Examples of these disciplines may include mechanical, thermal, thermomechanical, ventilation, seismic, and safety analyses.

Tradeoff studies are studies in which elements or components of design are selected through choice comparisons. Tradeoff studies are typically done to select design options that will enhance functional capability and performance, increase safety of operation, will increase operational efficiency, or limit costs.

Sensitivity analyses are intended to investigate the impact of variations in a parameter upon the design element or component of interest.

Rationale for sensitivity analysis

Sensitivity analysis will be done for numerous reasons, including

1. To determine the impact of the normally expected variations in parameters on the analyses and the design configurations.

2. To assign the quality requirements to the data needed (i.e., if the analyses using the data are only minimally or moderately sensitive to a parameter, then it will be sufficient to determine the parameter with a lesser degree of accuracy).

3. To determine the impact of design alternatives on repository function and costs.

4. To determine the impact of data variations on the ability of the repository design to meet the required performance goals.

The following studies are examples of sensitivity analyses:

1. A study to determine the effect of the length of the horizontal emplacement borehole on repository costs.

2. A study to assess the performance impact of the emplacement borehole orientation.

3. Analysis of alternative emplacement configurations, including short horizontal borehole concepts.

Rationale for validation of codes used for analysis

A generic discussion of verification and validation of models and codes identified for performance, safety, and design analysis is presented in Section 8.3.2.1.4 (repository modeling) as applied to qualification of these methods for use in license application.

The qualification process includes development, utilization, verification, and validation activities that require site characterization data. Models and codes derived from conceptual models are developed based on needs identified for performance, safety and design analyses. Utilization involves applying models and codes to problems of interest using geometries, boundary conditions, and material properties from the reference information base. Verification ensures that the software correctly represents the model(s) and validation ensures that the physical model as embodied in software is a correct representation of the intended physical system or process. Discussions of verification and validation are a direct application of NNWSI Project SOP-03-02, "Software Quality Assurance," to models and codes identified for performance, safety, and design analyses. The SOP defines the requirements to be met to satisfy the NRC guidance in NUREG 0856 (Silling, 1982) on the quantification of software used in a nuclear waste repository license application.

completion. In the following disciplines, a brief synopsis is given regarding the qualification level of methods to be applied.

Thermal Codes. Previous work and tests indicate that the ability to predict accurately the heat flow and temperatures developed from the emplacement of a decaying heat source in a geologic media is possible with the currently available codes, provided that the primary heat transfer mechanism is conduction. The previous work and tests cited as a basis for not requiring further validation efforts of the thermal codes include the following:

1. Climax--an experiment at the NTS performed in granite in which spent fuel was placed into boreholes drilled in the floor of a mined drift.

2. Project Salt Vault--an early experiment performed in Lyons, Kansas. This experiment involved the placing of electrical heaters into salt. The temperatures in the salt surrounding the heaters was monitored and compared with predictions.

3. WIPP--extensive heat transfer experiments have been conducted in salt at the Waste Isolation Pilot Plant (WIPP) facility near Carlsbad, New Mexico. These experiments placed electrical heaters into holes bored into the salt. The temperatures developed in the salt are monitored and compared to temperatures predicted using predictive codes.

4. G-Tunnel--heat transfer experiments have been conducted at the G-Tunnel complex at the NTS. These experiments are conducted in volcanic tuffs.

Mechanical codes. As outlined previously, three levels of approach are to be applied to problems requiring mechanical analyses; these include the following:

1. Tunnel index methods--these methods have been proved for underground excavations without the addition of heat. Qualification of these methods will include an incorporation of the thermally induced stress into support designs derived using these methods.

2. Boundary element methods--these methods have been recently applied to evaluations of underground stability. Within the limits of the intended applications of these methods, they require further qualification only through validation.

3. Finite-element methods--as currently developed, these methods allow for the most complex geometry, boundary conditions, and material behavior to be included in the analysis to evaluate underground stability. The current approaches to modeling rock-mass mechanical response include treating it as a linear elastic solid, as a continuum composed of intact rock and fractures, or, for applications near faults, as a continuum with discrete faults.

The mechanical response of the rock mass should be evaluated for ambient as well as increasing temperature conditions. The measured mechanical

The mechanical response of the rock mass should be evaluated for ambient as well as increasing temperature conditions. The measured mechanical response should be used to evaluate the applicability of the mechanical material models. For example, a linear elastic model may adequately represent the rock-mass response for certain load conditions. The constitutive description of the rock as a composition of linear elastic intact rock and nonlinear fractures should be evaluated as part of the qualification procedure. Each of the approaches will be carefully evaluated as regards applicability of the method and associated constitutive models; such evaluations will rely heavily upon actual observations during exploratory shaft facility construction and testing.

Seismic codes. Methodologies have been derived for predicting ground motion and seismic hazards (Vortman, 1986) based on limited field data. These methods require validation through the planned field program.

Underground ventilation codes. The codes used for the design of the underground ventilation system should not require additional work for validation. This is because

1. These codes have been used for the design of numerous conventional mine ventilation systems and have successfully predicted the system performance.

2. The codes are based on older codes that have historically been successfully used for underground ventilation design. The codes used incorporate improvements to these older codes.

Design activities currently planned for this information need consist of the design analyses identified in Table 8.3.2.5-16.

8.3.2.5.7.1 Application of results

The results generated by this information need will be used within this issue for a variety of purposes. In Information Need 4.4.1 the results of sensitivity analyses will be used as input to determine what data are needed, as well as the level of confidence required for the data. Results are also needed to ensure design requirements (Information Need 4.4.4) are reasonable and that the equipment (Information Need 4.4.6) is being designed for an environment that is representative of what will be encountered in the repository. The results are extensively used for deciding if the design configurations (Information Need 4.4.5) need modification and for deciding that reasonably available technology can be used for the repository design, construction, operation, closure, and decommissioning (Information Needs 4.4.8, 4.4.9, and 4.4.10).

This information will also be used in numerous other issues (see Table 8.3.2.5-17). In most of these other issues, the analysis results will be reviewed to ensure that performance goals related to these issues have been met. This is particularly true for Issue 2.4 (waste retrievability, Section 8.3.5.2) and for Issue 4.2 (nonradiological health and safety, Section 8.3.2.4) which stipulate goals or criteria related to the design analysis.

Table 8.3.2.5-16. List of planned analyses (page 1 of 6)

Analysis name[a]	Analysis objective	Analysis type[b]
DIM(H)	Selection of borehole spacing/length and waste standoff for horizontal emplacement	T
DIM(V)	Selection of borehole spacing/length/ waste standoff and drift spacing for vertical emplacement	T
DIM(E)	Selection of excavation geometry/ dimensions	T
BORE(V)	Vertical borehole analyses using elastic and inelastic codes	R
BORE(V,S)	Vertical borehole sensitivity analyses to variations in spacing and properties	S
BORE(H)	Horizontal borehole analyses using elastic and inelastic codes	R
BORE (H,S)	Horizontal borehole sensitivity analyses varying input properties	S
LINED	Horizontal borehole liner analyses including the structural interaction of the rock with liner (3-D effects)	R
LINED(S)	Horizontal borehole liner analyses including fault intersection, canister present	R
DRIFT(H)	Elastic and inelastic drift analyses for horizontal emplacement	R
DRIFT(V)	Elastic and inelastic drift analyses for vertical emplacement	R
DRIFT(S)	Drift sensitive studies for variation of input properties for horizontal emplacement	S

Table 8.3.2.5-16. List of planned analyses (page 2 of 6)

Analysis name[a]	Analysis objective	Analysis type[b]
ANAL	Analyses on the effects of anomalies on the stability of drift and other excavations	S
VENT(V)	Time dependent heat flux and nonradial heat flow analyses for vertical emplacement	R
VENT(H)	Time dependent heat flux and nonradial heat flow analyses for horizontal emplacement	R
VENT(G)	Evaluation of radon and other gas dilution as well as moisture variations throughout the ventilation system	R
BOIL(H)	Analyses determine the time and extent of rock above boiling	R
BLAST	Structural effects of blast cooling both horizontal and vertical drifts	R
SEISMIC	Stability of underground excavations as effected by natural or induced tectonics	S
IN SITU TEMPERATURE	Determine the in situ temperature state as a function of position. Vary material properties, and boundary conditions to arrive at an applicable method which honors field data	R
ROCK MASS DEFORMABILITY PROPERTIES	Determine rock mass deformability properties for each thermal/mechanical unit using the compliant joint material model and laboratory and field data of intact rock and fractures	R

Table 8.3.2.5-16. List of planned analyses (page 3 of 6)

Analysis name[a]	Analysis objective	Analysis type[b]
SMALL DIAMETER HEATER	Perform posttest thermal and mechanical analysis of the small diameter heater experiment from the G-Tunnel underground facility to evaluate rock mass response and thermal and mechanical analysis techniques	V
HEATED BLOCK	Perform posttest thermal and mechanical analysis of the heated block experiment from the G-Tunnel underground facility to evaluate rock-mass response and thermal and mechanical analysis techniques	V
ANISOTROPIC RESPONSE OF ROCK MASS	Perform a series of finite-element analyses to determine the relative effects of anisotropic material properties upon rock-mass response to in situ stress state, and supposed thermomechanical headings	S
ROCK MASS PROPERTIES	Performed analyses and update recommended rock-mass properties based on field and laboratory data	R
SLOT STRENGTH TEST	Perform pretest scoping analysis to support design of the slot strength test	S,V
SLOT STRENGTH TEST	Perform mechanical analysis of the slot strength test to evaluate rock-mass response and mechanical analysis techniques	V
SMALL DIAMETER HEATER	Perform thermal and thermomechanical analysis of the small-scale heater experiments to evaluate rock-mass response and thermal and thermo-mechanical analysis techniques	V

Table 8.3.2.5-16. List of planned analyses (page 4 of 6)

Analysis name[a]	Analysis objective	Analysis type[b]
IN SITU MECHANICAL MODEL	Analyze the regional tectonics, stress measurements, and finite-element calculations at Yucca Mountain using the compliant joint model to provide an updated in situ mechanical model recommendation of Yucca Mountain for design analyses	R
FRACTURE CHARACTER-ISTICS	Update recommended fracture characteristics-based analysis of field and laboratory data	R
HEFF QUALIFICATION	Complete verification analyses for HEFF boundary element code	V
JAC VERIFICATION	Verify response of constitutive model components for the JAC compliant joint model	V
JEM VERIFICATION (SPECTROM)	Verify response of constitutive model components for the JEM compliant joint model	V
G-TUNNEL MINING EXPERIMENT	Perform post-test mechanical analysis of the G-Tunnel mining experiment from the G-Tunnel underground facility to evaluate rock-mass response and mechanical analysis techniques	V
BENCHMARK I	Perform benchmark calculations (code comparison) between compliant joint models to evaluate isothermal mechanical response at appropriate scales	V
LABORATORY LARGE BLOCK TEST-1	Perform pretest scoping analysis to support design of the large block laboratory test	S,V

Table 8.3.2.5-16. List of planned analyses (page 5 of 6)

Analysis name[a]	Analysis objective	Analysis type[b]
LABORATORY LARGE BLOCK TEST-2	Perform analysis of large block test to continue validation procedure for compliant joint models	V
YUCCA MOUNTAIN HEATED BLOCK-1	Perform pretest scoping analysis to support design of the Yucca Mountain heated block experiment	S,V
YUCCA MOUNTAIN HEATED BLOCK-2	Perform thermal and thermo-mechanical analysis of the Yucca Mountain heated block experiment	V
SEQUENTIAL DRIFT MINING-1	Perform pretest scoping analysis to support design of the Yucca Mountain sequential drift mining experiment	S,V
SEQUENTIAL DRIFT MINING-2	Perform mechanical analysis of the sequential drift mining experiment to evaluate rock-mass response and mechanical analysis techniques	V
COMPLIANT JOINT BENCHMARK 2	Perform benchmark calculations (code comparison) between compliant joint models to evaluate mechanical and thermomechanical response at appropriate scales	V
G-TUNNEL SLOT TEST	Perform posttest mechanical analysis of the G-Tunnel slot test to evaluate and rock-mass response and mechanical analysis techniques	S,V
PLATE LOADING EXPERIMENT-1	Perform pretest scoping analysis to support design of the plate loading experiments	S,V
PLATE LOADING EXPERIMENT-2	Perform analysis of plate loading experiments to evaluate rock-mass response and mechanical analysis techniques	V

Table 8.3.2.5-16. List of planned analyses (page 6 of 6)

Analysis name[a]	Analysis objective	Analysis type[b]
CANISTER SCALE HEATER EXPERIMENT-1	Perform pretest scoping analysis to support design of the canister scale heater experiment	S,V
CANISTER SCALE HEATER EXPERIMENT-2	Perform thermal and thermomechanical analysis of the canister scale heater experiments to evaluate rock-mass response and thermal and thermomechanical analysis techniques	V

[a]Analysis names: H = horizontal emplacement, V = vertical emplacement, S = sensitivity, B = borehole intersection, E = excavation, N = near-field, F = far-field, G = gases, R = radiological, NR = nonradiological, and A = accident.

[b]Analysis types: T = tradeoff study, S = sensitivity study, R = reference calculation, and V = verification or validation.

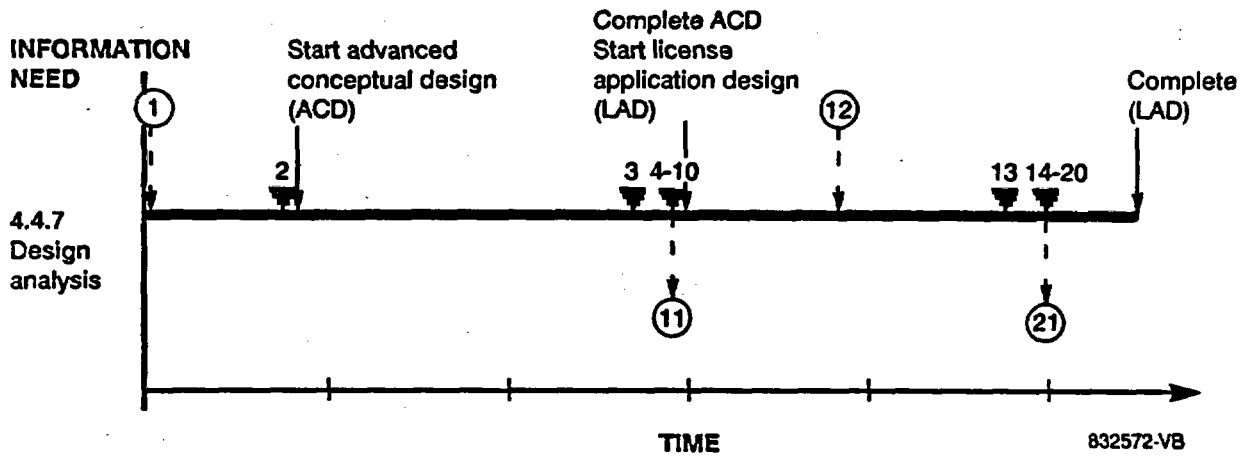
Table 8.3.2.5-17 Issues and programs that use design analyses results

Analysis area	Issues and programs using analysis results
UNDERGROUND	
Rock mechanics	1.1, 1.4, 1.10, 1.11, 1.12, 2.1, 2.4, 4.2, 8.3.1.15, 8.3.1.17
Ventilation	1.1, 2.2, 2.1, 2.4, 4.2, 8.3.1.15
Hydrologic	1.4, 1.12, 4.2, 8.3.1.16
Other (material compatibility)	1.1, 1.4, 1.11, 1.12, 2.4
SURFACE	
Rock mechanics	2.2, 2.3, 4.2, 8.3.1.14, 8.3.1.17
Hydrologic	2.2, 2.3, 4.2, 8.3.1.14

8.3.2.5.7.2 Schedule and milestones

This information need on design analyses (4.4.7) contains seven planned activities: 4.4.7.1 (access analysis). 4.4.7.2 (opening analysis), 4.4.7.3 (borehole analysis), 4.4.7.4 (underground ventilation analysis), 4.4.7.5 (surface facility design analysis), 4.4.7.6 (seismic design of surface facilities), and 4.4.7.7 (design of surface facilities for wind, tornado, and flooding). These activities are expanded slightly from the text. The schedule information for these activities is presented in the form of a timeline for the overall information need. This timeline extends to the issuance of the final products associated with the activity. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. This information need is an out-year work effort.

These activities interact with other information needs contained within Issues 4.4, 2.4 (waste retrievability), and 4.2 (nonradiological health and safety). Data from the surface characteristics (8.3.1.14), thermal and mechanical properties (8.3.1.15), preclosure hydrology (8.3.1.16), and preclosure tectonics (8.3.1.17) programs are also used. These relationships are illustrated in the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input site characterization data from the surface characteristics (8.3.1.14), thermal and mechanical properties (8.3.1.15), preclosure hydrology (8.3.1.16), and preclosure tectonics (8.3.1.17) programs.
2	Issue report on Benchmark I, preliminary verification analyses of thermal and mechanical models.
3	Issue report on validation analyses (mechanical) of sequential drift mining experiments.
4	Milestone Z176. Issue report on repository access analysis for incorporation into advanced conceptual design (ACD) report.
5	Milestone Z177. Issue report on repository opening analysis for incorporation into ACD report.
6	Milestone Z178. Issue report on borehole analysis for incorporation into ACD report.
7	Milestone Z180. Issue report on mine ventilation design for incorporation into ACD report.
8	Milestone Z448. Issue report on surface facility design analysis for incorporation into ACD report.
9	Milestone Z449. Issue report on seismic design of surface facilities for incorporation into ACD report.
10	Milestone Z450. Report on design of surface facilities for wind, tornados, and flooding for ACD report.

Point number	Description
11	Output design analysis information to information needs associated with waste retrievability, nonradiological safety, and technical feasibility, specifically 2.4.2, 2.4.3, 2.4.4, 2.4.5, 4.2.3, 4.4.4, and 4.4.5.
12	Input site characterization data from the surface characteristics (8.3.1.14), thermal and mechanical properties (8.3.1.15), preclosure hydrology (8.3.1.16), and preclosure tectonics (8.3.1.17) programs.
13	Issue report on validation analyses (thermochemical) of canister scale heater-experiments.
14	Milestone Q156. Issue report on repository access analysis report for incorporation into license application design (LAD) report.
15	Milestone Q157. Issue report on repository opening analysis for incorporation into LAD report.
16	Milestone Q154. Issue report on borehole analysis for incorporation into LAD report.
17	Milestone Q155. Issue report on mine ventilation design for incorporation into LAD report.
18	Milestone Z181. Issue report on surface facility design analysis for LAD report.
19	Milestone Z182. Issue report on seismic design of surface facilities for incorporation into LAD report.
20	Milestone Z183. Report on design of surface facilities for wind, tornados, and flooding for LAD report.
21	Output design analysis information to information needs associated with waste retrievability, nonradiological safety, and technical feasibility, specifically 2.4.2, 2.4.3, 2.4.4, 2.4.5, 4.2.3, 4.4.4, and 4.4.5.

8.3.2.5.8 Information Need 4.4.8: Identification of technologies for surface facility construction, operation, closure, and decommissioning

This information need evaluates the effectiveness of those elements of the site that affect the design, construction, and operations of the repository facilities primarily during the preclosure period using reasonably available technology. If it is determined that any portion of the repository

facilities cannot be built with reasonably available technology, then questions may be raised as to the feasibility of designing and constructing a repository facility that is safe and effective. In order to perform this evaluation, the characteristics of the waste and waste packages, surface conditions of the site, rock characteristics at the site, hydrologic characteristics of the site, tectonic and igneous activities at the site, etc., must be understood because of their direct relationships to the design process and the operations of the repository. The evaluation of whether or not there is reasonably available technology will be based on potential of each associated system element to meet the performance goals for that element. The evaluation criteria to be used are as follows:

1. Determine that the proposed construction techniques have been used successfully in other applications or that there is no reason to believe that the techniques cannot be applied successfully at the repository.

2. Determine that design loadings and performance goals similar to those expected for the repository have been used for other surface facilities, including seismic loads, thermal loads, surface conditions, foundation conditions, flood and tornado type loads, and radiation containment, or that there is no reason to believe that they cannot be applied successfully at the repository.

3. Determine that operations similar to those needed to operate the repository have been performed successfully at other installations, or that there is no reason to believe that they cannot be applied successfully at the repository.

4. Determine that technology for constructing, predicting design and performance, and operating the repository can be demonstrated (by proof-of-principle demonstration, if appropriate) before license application to ensure its successful application in the repository.

The product of this information need will be evaluations that determine if the surface facilities can be constructed, operated, closed, and decommissioned using reasonably available technology.

Details of each of these evaluations along with their results will be provided in the different chapters of major design reports (e.g., advanced conceptual design report and licensing application design report). In addition, topical reports will be generated on some of the more important aspects (e.g., seismicity-tectonics and the associated design, flood control measures, foundation and structural design of waste handling buildings, and waste handling operations).

<u>Technical basis for addressing the information need</u>

Link to the technical data chapters and applicable support documents

In this document, the use of reasonably available technology is reflected clearly in the performance goals described in the issue resolution

strategy for this issue and is discussed in Section 6.4. Section 6.2 contains the current design and is the basis for statements made concerning technical feasibility.

Chapters 3, 4 and 5 of the SCP conceptual design report describe the current design, and Chapter 8 briefly discusses the use of reasonably available technology for the surface facilities.

Parameters

The determination that reasonably available technology is being used in the surface facilities relies heavily upon information produced by other information needs, including the following:

1. Reference designs for repository surface facilities.
2. Reference designs for waste containers.
3. Waste quantities and characteristics.
4. Repository operations plan.

In addition, a number of site parameters have been identified for input to the assessment of reasonably available technology. These parameters should be interpreted generally as being needed in the immediate vicinity of the surface facilities; they include the following:

1. Topographic characteristics of the surface facilities site.

2. Local meteorological conditions at the site.

3. Characteristics of the surface water systems at the site.

4. Flood recurrence intervals and levels at the site.

5. Location and characteristics of near-surface structural features of the site.

6. Location of adequate water supplies at the site.

7. Rates and magnitudes of igneous activity at the surface facilities.

8. Fault movements and tectonic activity at the surface facilities.

9. Ground motion at the surface facilities from man-made or natural seismic events.

10. Soil and bedrock properties at the surface facilities, static and dynamic moduli, bearing capacity, shear modulus and damping versus strain and depth from surface, shear wave velocity profile or a function of depth from surface, depth and extent of alluvium, settlement, etc.

11. Attenuation of ground motion with depth at the site.

Logic

The design of the surface facilities depends on site parameters. In particular, foundation design, flood protection system design, and evaluations of seismic effects on surface facilities require data. The data requirements for the design and supporting analyses are defined in Information Needs 4.4.5, and 4.4.7; data required for technical feasibility evaluations are generally limited to a need to confirm that the site data is not substantially outside the range currently being used for design. The logic used to identify the data needed is to determine the potential impact of being outside the current design basis values. This logic is displayed in the following:

1. If the underlying soil or rock conditions do not provide adequate strength to support the facility buildings or if they are susceptible to large settlements not acceptable for the repository buildings or operations, then construction or operation procedures may be more complicated than the currently accepted state-of-the-art techniques. Therefore, data are needed to confirm current design basis data that indicate that construction will be within state-of-the-art techniques.

2. Profiles of shear wave velocity, shear modulus, damping, and the extent of alluvium affect the soil-structure interaction on the loads on the structures due to earthquake loads. If these parameters are determined to be substantially beyond those used in the design, then modification of the existing analysis and design methodology may be required to produce designs that are capable of development with reasonably available technology.

3. Data on ground motion at the site from man-made or natural seismic events, significant surface fault rupture due to fault activities at the site, and effects of significant igneous activity at the site are needed to determine if current design basis expectations are acceptable.

4. Local meteorological conditions, topography and surface conditions, flood recurrence intervals and levels at the site, and characteristics of the upland drainage areas impact the flooding potential and may influence location of the surface facilities and the underground accesses. Technical data are needed to determine if the planned flood protection systems are feasible at the identified locations for the surface facilities.

Other items important in determining if reasonably available technology is being used will be described in the repository design plan. Only the site-related items have been described here.

The criteria identified in the introduction to this information need will be applied at each phase in the design to assess if reasonably available technology is being used. These evaluations will be documented in the major design reports (advanced conceptual design, licensing application design, and final procurement and construction design) and, where needed, in supporting topical reports.

Technical procedures to be used for characterizing the listed parameters should be consistent in level of Quality Assurance control with similar NRC Regulatory Guides and American Society for Testing and Materials Standards. Quality assurance requirements to be used will be consistent with NNWSI Project Quality Assurance Program Plan NVO-196-17 (DOE/NVO, 1984).

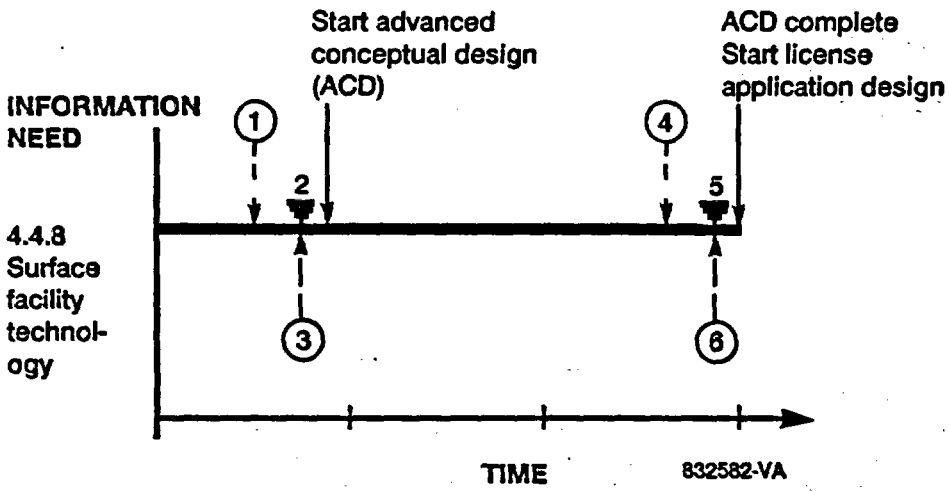
8.3.2.5.8.1 Application of results

The assessment that reasonably available technology is being used in the surface facilities will be used directly as part of the basis for satisfying this issue. The assessment will also be used outside this issue to increase confidence that performance goals for the repository will be met. For example, the safety analyses (Issues 2.1, 2.2, 2.3, and 2.7 in Sections 8.3.5.3, 8.3.5.4, 8.3.5.5, and 8.3.2.3, respectively) will benefit from the use of reasonably available technology since the data base for surface facility operations would be more credible. Nonradiological health and safety analyses (Issue 4.2, Section 8.3.2.4) will benefit similarly. The use of available technology will also lead to reduced uncertainty regarding the repository schedule and, hence, costs (Issue 4.5, Section 8.2.2.3.2.4).

8.3.2.5.8.2 Schedule and milestones

This information need on technology for surface facilities (4.4.8) contains no planned design activities. The schedule information for this information need is presented in the form of a timeline, which extends to the issuance of the final products associated with the information need. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. This information need is an out-year work effort.

This information need interacts with other information needs contained within Issue 4.4, as well as with Issue 4.5 (total system costs) as illustrated in the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information on reference preclosure repository design from Information Need 4.4.5.
2	Milestone Z451. Issue report on technology requirements for repository surface facilities and systems for incorporation into advanced conceptual design.
3	Output technology requirements for surface facilities to Information Need 4.5.3 (plans for repository operation).
4	Input reference design information from Information Need 4.4.5.
5	Milestone Z184. Issue report on technology requirements for repository surface facilities and systems for incorporation into license application design.
6	Output technology requirements for surface facilities to Information Need 4.5.3 (plans for repository operation).

8.3.2.5.9 Information Need 4.4.9: Identification of technologies for underground facility construction, operation, closure, and decommissioning

The use of available, proven technology in the underground facilities of the repository will provide assurance that the repository can be successfully constructed, operated, closed, and decommissioned.

The determination that reasonably available technology is being used will be based on the following criteria for the underground facility:

1. The proposed construction techniques have been successfully used in other applications under similar construction conditions or for which the results of any requisite development, demonstration, or confirmatory testing efforts before application will be available within the required time.

2. The techniques proposed for the construction of shafts, ramps, and underground openings have been successfully used in similar geologic and hydrologic conditions or for which the results of any requisite development, demonstration, or confirmatory testing efforts before application will be available within the required time.

3. The operations necessary to emplace, retrieve, and transport the waste have been based on operations that have been successfully demonstrated in other facilities or for which the results of any requisite development, demonstration, or confirmatory testing efforts before application will be available within the required time.

4. The items necessary for construction and waste handling that require development have been demonstrated before license application to ensure their successful performance in the repository.

The products of this information need, in aggregate, will constitute the assessment that determines that all aspects of the underground facility can be constructed, operated, closed, and decommissioned using reasonably available technology. This assessment will be included in a summary chapter of the documents that define the reference preclosure repository designs.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

Chapter 6 of this document describes the current repository design in Section 6.2 and summarizes the status of this issue in Section 6.4.10. Chapter 2 contains the data base of geoengineering data used to support that design.

Chapters 3 through 5 of the SCP conceptual design report (SNL, 1987) describe the current repository design, while Chapter 8 summarizes the status of work toward this issue.

Parameters

The determination that reasonably available technology is being used relies heavily upon information developed in other information needs under this issue, including the following:

1. Repository operations plan.

2. Lists of equipment used in repository and anticipated equipment performance.

3. Design analyses.

The parameters needed for each of the applicable system elements for subsystem evaluations are listed as follows:

System Element 1.1.2, Subsurface

1. Host rock upper and lower contacts.
2. Location and properties of geologic structures.
3. Surface topography.
4. Site hydrology.

    a. Perched water.
    b. Flow-through structures.

5. Subsurface seismic characteristics.

    a. Peak acceleration.
    b. Peak particle velocity.
    c. Frequency spectrum.

System Element 1.2.1.1, Access construction (shafts and ramps)

1. Design analysis results on shaft and ramp stability (Information Need 4.4.7).

2. Rock characteristics (same as in Information Need 4.4.7).

3. Construction, demonstration, and monitoring results from exploratory shaft.

System Element 1.2.1.2, Drift Construction

1. Design analysis results on drift stability (Information Need 4.4.7).

2. Rock characteristics (same as in Information Need 4.4.7).

3. Construction, demonstration, monitoring results, and maintenance requirements from exploratory shaft facilities (ESF) including construction through structural features and lithophysae.

System Element 1.2.1.3, Borehole construction

1. Rock characteristics.

2. Consideration of results from any Development Prototype Boring Machine (DPBM) testing activities in G-Tunnel and ESF.

    a. Fracture characteristics of host rock.

    b. Abrasiveness of host rock.

c. Rock-cutting characteristics, including dependence on in situ stresses.

d. Existence of free water in the rock mass.

System Element 1.2.2.4, Emplacement

Equipment designs and demonstrations (see Information Need 4.4.6)

System Element 1.2.1.5, Water removal

Confirmation of design assumptions on limited available water quantities

System Element 1.2.2.5, Retrieval

See Issue 2.4 (waste retrievability, Section 8.3.5.2)

Logic

The parameters identified as required to evaluate the level of technology necessary for design, construct, and operate the repository will be used to develop the repository design and the design supporting analysis. These designs and associated analyses will be compiled in the milestone reports identified for this information need. The results of the design and analysis process will be judged according to the criteria specified to conclude that existing technology is sufficient.

The logic used to identify the site parameters needed for reasonably available technology assessments is to identify the relevant system elements, evaluate the operations within each identified element, and determine the site data needed to support the design (and demonstration) of the system element. Those underground system elements identified in the issue resolution strategy for this issue that must be evaluated to determine if advanced, untried technology is required to construct, operate, close, and decommission the underground portion of the repository are as follows:

System element	Name
1.1.2	Subsurface
1.2.1.1	Access construction
1.2.1.2	Drift construction
1.2.1.3	Borehole construction
1.2.1.4	Rock handling
1.2.1.5	Water removal
1.2.1.6	Mining ventilation
1.2.2.4	Emplacement
1.2.2.5	Retrieval
1.2.2.7	Waste ventilation
1.2.4.1	Underground closure

For each of the identified system elements, the explanation is provided below regarding the parameters needed to determine if reasonably available technology is being used. For numerous system elements, the same data are

needed to establish technical feasibility as for design or analyses; hence, references to other information need discussions are common.

System Element 1.1.2, Subsurface

The ability of the subsurface to meet repository requirements without the need to use advanced, untried construction methods or operational procedures will depend on evaluations based on the following information:

1. Vertical location of the upper and lower contacts of the chosen repository formation at selected points within the potential repository area. This information will determine if the thickness of the acceptable host rock is adequate to support repository construction.

2. Location and geoengineering properties of geologic structures. The location of geologic structures will be used in the design of the subsurface facility; primarily, the information will be used to locate various parts of the underground facility and to determine the possible need for supplemental ground support.

3. Surface topography. The surface topography should be mapped to provide contours for the entire site area. This information will be used to establish overburden thicknesses.

4. Site hydrology. The existence of any overlying perched water reservoirs and aquifers must be determined. The existence of any underlying aquifers must be determined. These potential water sources must be evaluated in regard to their potential flow and hydraulic head potentials at the repository horizon. Flow through fractures or major geologic structures should be identified and quantified where possible.

5. Subsurface seismic characteristics. Values of the peak seismic accelerations, the peak particle velocity, and the frequency spectrum will be needed.

System Element 1.2.1.1, Access construction (shafts and ramps)

Note that the shafts and ramps, which provide the access to the underground, do not include any lateral development from the point of intersection with the repository horizon.

The conclusion that the shafts and ramps can be constructed using conventional techniques will be based on the design analysis and previous construction experience. If the analysis shows that the stresses are within the load carrying capabilities of the rock and that the selected support system and that other rock properties, such as fracture characteristics, are also amenable to conventional support systems, then it can be concluded that the construction can be done using existing technology.

The design process and analysis methods for the shafts and ramps are identified in Information Need 4.4.7. This information need also identifies the rock properties of the rock that are needed to perform the analysis.

System Element 1.2.1.2, Drift construction

The emplacement drifts are the elements of the repository where waste is emplaced. Access drifts are provided to connect the main haulageways with the emplacement drifts. A consequence of the waste emplacement in the drifts is an increase in the temperature of the rock. The maintenance of openings in a geologic media subjected to heating is uncommon; consequently, additional analysis beyond that required for the accesses (shafts and ramps) will be required to ensure that the openings can be maintained without the need for new, untried, or highly costly support systems. If the analyses show that the stresses induced by both excavation and waste-induced heating are within the load carrying capabilities of the rock and the selected support system and that other rock properties, such as fracture characteristics, are also amenable to support systems, then it can be concluded that the construction can be done using existing technology.

The analysis methods that are used to analyze the drifts for both the construction (preheated phase) and the operational phase where temperatures are increased are presented in Information Need 4.4.7. This information need also identifies the parameters needed to use these analysis methods.

The long-term stability of the drifts will be enhanced by a maintenance program that will ensure usability of the drifts to the extent required for inspection, maintenance, and retrieval. New or excessively costly ground support systems might be required if usability over the operational period is without the provision of a maintenance program. With a maintenance program the design of the long-term support systems is not expected to require new technology. In addition, construction demonstrations, monitoring results, and maintenance observations planned in the exploratory shaft facility will provide confirmation (or lack of) that the planned construction techniques can be used, even through structural features and in areas of relatively high lithophysae content.

System Element 1.2.1.3, Borehole construction

The borehole is defined here to include the actual hole bored into the host rock and the liner system that is installed into the bored hole to assist retrieval. The ability to construct the waste disposal boreholes and to design and install the borehole liner using reasonably available technology will depend on the same parameters identified for the development prototype boring machine demonstration testing discussed in Information Need 4.4.6.

System Element 1.2.1.4, Rock handling

Mining demonstrations conducted in the G-Tunnel complex at the Nevada Test Site indicate that rock fragmentation size produced by blasting is compatible with all commonly used rock handling systems. Based on these results, there is no need for additional site parameters or for advanced technology to design the repository rock handling system.

System Element 1.2.1.5, Water removal

The unlikely existence of large quantities of water in the mining horizon or in the strata penetrated by the accessways nevertheless could result in the need for high capacity water removal systems. Currently available information does not indicate the potential for water inflow sufficient to cause the need for advanced or unproven technology; thus, no specific parameter needs are identified except to indicate that confirmation is needed for design assumptions made regarding limited quantities of available water.

System Element 1.2.1.6, Mining ventilation

To determine if the ventilation of the mine (underground facility) will require systems that are beyond currently available technology, it will be necessary to analyze the ventilation based on the requirements for air quantity, quality, and cooling capacity. This determination will identify any area that might require ventilation flow quantities that necessitate excessive drift or shaft sizes, areas where the cooling requirement cannot be met with currently available hardware, and areas where condensate formation could occur and might hamper operations or present potential for spread of contamination. The analytical methods for the ventilation system and the parameters needed to apply these methods are identified in Information Need 4.4.7.

System Element 1.2.2.4, Emplacement

The capacity to emplace the waste into the disposal envelope using currently available technology depends on (1) the development and demonstration of the required equipment (Information Need 4.4.6), (2) the characteristics of the waste package (Information Need 4.4.2), and (3) the shielding characteristics of the host rock. Of these three items, only the shielding characteristics of the host rock require the acquisition of site data, and the data needed has been identified in Issue 2.7 (Section 8.3.2.3).

System Element 1.2.2.5, Retrieval

The ability to retrieve the emplaced waste using equipment and procedures that are within the capabilities of current, reasonably available technology will be evaluated in Issue 2.4. (Section 8.3.5.2); hence, no data needs are related to retrievability.

System Element 1.2.2.7, Waste handling ventilation

The design and operation of the system required to provide ventilation to the underground areas where waste is handled is the same as that described under System Element 1.2.1.6, mining ventilation. The parameters required are the same as for System Element 1.2.1.6. Temperature increases in the rock are not considered during the emplacement activities but are of concern during waste retrieval and decommissioning.

System Element 1.2.4.1, Underground closure

The operations required to close the underground portion of the repository are primarily based on current techniques and equipment. The potential

exception is backfilling. If backfilling is done during decommissioning, the following are of concern:

1. The required installed density for the backfill. If the densities are beyond the capabilities of existing equipment, a development program may be required. The need for high densities is not presently anticipated.

2. The amount of dust generated during backfilling and the water control requirements during backfilling. There is a potential for the generation of large quantities of dust during a backfilling operation. Coordination of dust suppression techniques with water control requirements will be required.

Other items important to determining if reasonably available technology is being used will be described in the repository design plan. Only the site related items have been described here.

The criteria identified in the introduction to this information need will be applied at each phase in the design to assess if reasonably available technology is being used. These evaluations will be documented in the major design reports (advanced conceptual design, licensing application design, and final procurement and construction design) and, where needed, in supporting topical reports.

8.3.2.5.9.1 Application of results

The assessment that reasonably available technology is being used in the underground facilities will be directly used as part of the basis for satisfying this issue. The assessment will also be used outside of this issue to increase the confidence that performance goals for the repository can be met. This assurance will lead to reduced uncertainty in the repository schedule and, hence, costs (Issue 4.5, Section 8.2.2.3.2.4). The use of available technology where possible will also have a positive impact on the retrievability issue (Issue 2.4, Section 8.3.5.2). Finally, higher-level findings associated with preclosure design, construction, operation, and closure (Issue 4.1, Section 8.3.5.7) will rely on results of this information need.

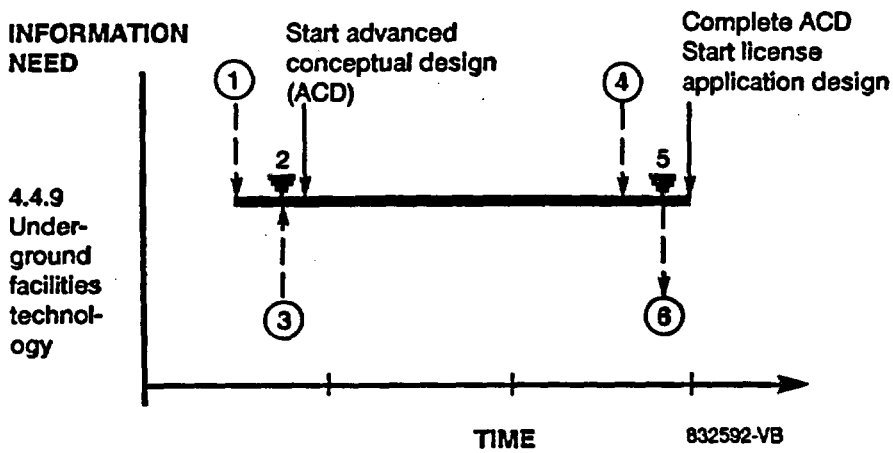
This usage of available technology will be coupled with planned demonstrations of retrieval capabilities to increase confidence that retrievability can be successfully accomplished. Similarly, higher confidence can be achieved in radiological and nonradiological health and safety predictions when the use of data from proven equipment concepts can form a principal part of the data base for the evaluations.

8.3.2.5.9.2 Schedule and milestones

This information need on underground facility technology (4.4.9) contains no planned design activities. The schedule information for this information need is presented in the form of a timeline, which extends to the

issuance of the final products associated with the information need. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. This information need is an out-year work effort.

This information need interacts with other information needs contained within Issue 4.4, as well as with Issue 4.5 (total system costs) as illustrated in the following figure. The information need numbers and titles corresponding to the timelines are shown on the left of the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information on reference preclosure repository design from Information Need 4.4.5.
2	Milestone Z452. Issue report on technology requirements for repository underground facilities and systems for incorporation into advanced conceptual design.
3	Output requirements for underground facilities to Information Need 4.5.3 (plans for repository operation).
4	Input information on reference preclosure repository design from Information Need 4.4.5.
5	Milestone Z185. Issue report on technology requirements for repository underground facilities and systems for incorporation into license application design.

Point number	Description
6	Output requirements for underground facilities to Information Need 4.5.3 (plans for repository operation).

8.3.2.5.10 Information Need 4.4.10: Determination that the seals for shafts, drifts, and boreholes can be placed with reasonably available technology

This information need will determine if reasonably available technology is available for emplacing shaft, drift, and borehole seals. To determine if reasonably available technology is being used, repository and seal designs must be available along with the operations plan, the design criteria, and selected placement methods.

The product of this information need will be evaluations that determine if reasonably available technology is being used to emplace seals. Summaries of each evaluation will be provided in major design reports (e.g., advanced conceptual design, license application design, final procurement and construction design). In addition, topical reports may be generated on some of the more critical components.

Technical basis for addressing the information need

Link to the technical data chapters and applicable support documents

The technology used to emplace seals depends, to a large degree, on the design of the repository and the desired performance of seals. The design of the repository and current sealing concepts are presented in Section 6.2 of this document. The status of technology evaluations for seals is included in Section 6.4.

In the SCP conceptual design report (SCP-CDR) (SNL, 1987), the seal design concepts are given in Sections 5.2 and 5.3, and the repository design is described in Chapter 4.

Parameters

The parameters or information needed to determine if reasonably available technology can be used for emplacing seals are as follows:

1. Reference preclosure repository designs (Information Need 4.4.5).
2. Reference seal designs (Information Need 1.12.4, Section 8.3.3.2.4).
3. Placement methods (Information Need 1.12.3, Section 8.3.3.2.3).
4. Plans for repository operations (Information Need 4.4.3).
5. Repository design requirements (Information Need 4.4.4).
6. Equipment development and demonstration (Information Need 4.4.6).

Logic

Use of proven technology to emplace sealing components is an integral part of the strategy that has been adopted in the NNWSI Project seal program. This strategy will provide greater assurance that the seals can be emplaced to specified design requirements. Further, the use of proven technology will lead to limited demonstration requirements, thereby limiting potential schedule constraints in being able to license the repository. Site parameters important to the sealing program are defined in Information Need 1.12.2, (seal materials, Section 8.3.3.2.2), and Information Need 1.12.3, (placement methods, Section 8.3.3.2.3) and are therefore not repeated here.

Determination that sealing components can be placed with proven technology will be made using the performance goals, design requirements, seal designs, equipment requirements, and the list of planned operations. If the design requirements cannot be readily met using available materials or emplacement technology, then multiple options to achieving performance goals will be investigated through the design process. For example, redundant seal designs, through multiple materials or over-designs, is one way that performance goals can be achieved. The materials testing portion of the NNWSI Project seal program is currently investigating granular as well as the cementatious-based materials to give a greater flexibility in sealing the repository. If it is determined during design that other categories of seal materials are more effective in achieving sealing goals, these categories will be evaluated. Emplacement feasibility will be one major consideration in selecting the appropriate materials and designs.

At each phase in the design, the seal designs will be evaluated to assess if reasonably available technology is being used (planned) for seal emplacement. The evaluations will be documented in the major design reports (advanced conceptual design, license application design, and final procurement and construction design) and, where needed, in supporting topical reports.

8.3.2.5.10.1 Application of results

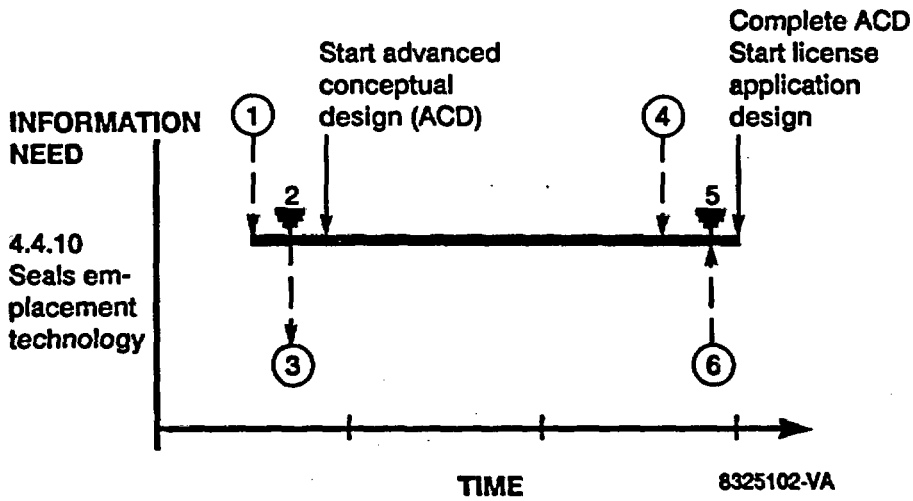
The assessment that seal emplacement can be accomplished using reasonably available technology will be directly used as part of the basis for satisfying this issue. It will also be used to establish a higher degree of confidence that many of the performance goals established in Issue 1.11, (configuration of underground facilities (postclosure), Section 8.3.2.2), can be met. These performance goals are in many instances related to interfaces established between sealing requirements and total system, waste package, and engineered barrier performance assessment needs.

8.3.2.5.10.2 Schedule and milestones

This information need on the technology for seals emplacement (4.4.10) contains no planned design activities. The schedule information for this information need is presented in the form of a timeline. This timeline

extends to the issuance of the final products associated with the information need. Summary schedule and milestone information for this information need can be found in Sections 8.5.3 and 8.5.6. This information need is an out-year work effort.

This information need interacts with other information needs contained within Issue 4.4, as well as with Issue 4.5 (total system performance) as illustrated in the following figure. The numbered points shown on the timeline represent major events or important milestones associated with this work effort. Solid lines represent activity durations, and dashed lines show the interfaces. The data input and output at these interfaces are shown by circles.



The points on the timeline and the data input and output at the interfaces are described in the following table:

Point number	Description
1	Input information on reference preclosure repository design from Information Need 4.4.5.
2	Milestone Z453. Issue report on technology requirements for seal design, material, and emplacement for incorporation into advanced conceptual design.
3	Output seals technology requirements to Information Need 4.5.3 (plans for repository operation).
4	Input reference design information from Information Need 4.4.5.
5	Milestone Z186. Issue report on technology requirements for seal design, material, and emplacement for incorporation into license application design.
6	Output seals technology requirements to Information Need 4.5.3 (plans for repository operation).