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Ms. Sandra Wastler **Repository Projects Branch** Division of Waste Management U.S. Nuclear Regulatory Commission 7915 Eastern Avenue Silver Spring, MD 20910

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Dear Ms. Wastler:

Enclosed is the summary of activities during June 1986 for the following tasks (A-1165): (I) Assisting in the Development of the Licensing Assessment Methodology (II) Monitor and Review Aspects of DOE programs; (III) Identifying Techniques for Probability Assignments; and (IV) Short Term Technical Assistance.

Sincerely,

Robert M. Cranwell

Robert M. Cranwell, Supervisor Waste Management Systems Division 6431

RMC:6431:jm

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PDR

Enclosure

Copy to:
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PROGRAM:	Licensing-met	hodolo	gy Assista	nce 1	FIN <b>#:</b> A-3 Ta	1165 sk I
CONTRACTOR:	Sandia Nation	al Lab	oratories	BUDGET	PERIOD:	10/85- 9/86
NMSS PROGRAM	MANAGER:	S. Wa	stler	BUDGET	AMOUNT:	\$200K
CONTRACT PROC	GRAM MANAGER:	R. M.	Cranwell	FTS PHO	ONE:	844-8368
PRINCIPAL IN	VESTIGATORS:	R. L.	Hunter	FTS PHO	ONE :	846-6337

PROJECT OBJECTIVES

To assist in the overall development and integration of the licensing assessment methodology.

ACTIVITIES DURING JUNE 1986

We continued writing the annotated bibliography. The annotations are being written at this time as a part of the process of becoming familiar with the entire methodology. They will constitute a major part of the status report due at the end of September. Draft annotations were written for the following reports during June:

- Brown, J. B., and J. C. Helton, 1981, <u>Risk Methodology for</u> <u>Geologic Disposal of Radioactive Waste: Effects of Variable</u> <u>Hydrologic Patterns on the Environmental Transport Model</u>, SAND79-1909, NUREG/CR-1636, Vol. 4, SNLA.
- Campbell, J. E., R. L. Iman, and M. Reeves, 1980, <u>Risk</u> <u>Methodology for Geologic Disposal of Radioactive Waste:</u> <u>Transport Model Sensitivity Analysis</u>, SAND80-0644, NUREG/CR-1377, SNLA, 83 p.
- Campbell, J. E., P. C. Kaestner, B. S. Langkopf, and K. B. Lantz, 1980, <u>Risk Methodology for Geologic Disposal of Radioactive</u> <u>Waste: The Network Flow and Transport (NWFT) Model</u>, SAND79-1920, NUREG/CR-1190, SNLA, 3 p.
- Campbell, J. E., D. E. Longsine, and M. Reeves, 1980, <u>Risk</u> <u>Methodology for Geologic Disposal of Radioactive Waste: The</u> <u>Distributed Velocity Method of Solving the Convective-</u> <u>Dispersion Equation</u>, SAND80-0710, NUREG/CR-1376, SNLA, 66 p.

- Helton, J. C., J. B. Brown, and R. L. Iman, 1980, <u>Risk</u> <u>Methodology for Geologic Disposal of Radioactive Waste:</u> <u>Asymptotic Properties of the Environmental Transport Model</u>, SAND79-1908, NUREG/CR-1636, Vol. 3, SNLA, 165 p.
- Pepping, R. E., and M. S. Chu, 1981, <u>Risk Analysis Methodology</u> for Spent Fuel Repositories in Bedded Salt: <u>Methodology</u> <u>Summary and Differences Between Spent Fuel and High-Level</u> <u>Waste</u>, SAND81-0396, NUREG/CR-2208, SNLA, 44 p.
- Pepping, R. E., M. S. Y. Chu, K. K. Wahi, and N. R. Ortiz, 1983, <u>Risk Analysis Methodology for Spent Fuel Repositories in</u> <u>Bedded Salt: Final Report</u>, SAND81-2409, NUREG/CR-2402, SNLA. 90 p.
- Reeves, M., D. S. Ward, N. D. Johns, and R. M. Cranwell, 1986, <u>Data Input Guide for SWIFT II, The Sandia Waste-Isolation</u> <u>Flow and Transport Model for Fractured Media, Release 4.84</u>, SAND83-0242, NUREG/CR-3162, SNLA, 144 p.
- Runkle, G. E., R. M. Cranwell, and J. D. Johnson, 1981, <u>Risk</u> <u>Methodology for Geologic Disposal of Radioactive Wastes:</u> <u>Dosimetry and Health Effects</u>, SAND80-1372, NUREG/CR-2166, SNLA, 46 p.
- Runkle, G. E., and N. C. Finley, 1983, <u>Dosimetry and Health</u> <u>Effects Self-Teaching Curriculum</u>, NUREG/CR-2422, SAND81-2488, NRC, 111 p.

These ten reports, combined with the twelve previously completed, make up about two-thirds of the total NRC LAM documentation; however, several DOE reports may also have to be examined. Both Aerospace and Golder have recommended that DOE and other codes such as WAPPA and ORIGEN be included in the LAM. Currently no NRC documentation is available on these codes.

PROGRAM:	Monitor/Review & other Nation national Waste Programs	w Aspec nal and e Manaq	cts of DOE 1 Inter- gement		FIN#:	A-1165 Task II
CONTRACTOR :	Sandia Nationa	al Labo	oratories	BUDGET	PERIOD:	10/85- 9/86
NMSS PROGRAM	MANAGER :	S. Was	stler	BUDGET	AMOUNT:	\$80K
CONTRACT PROC	GRAM MANAGER:	R. M.	Cranwell	FTS PHO	ONE :	844-8368
PRINCIPAL INV	/ESTIGATORS:	R. L.	Hunter	FTS PHO	ONE:	846-6337

#### PROJECT OBJECTIVES

To monitor and review the performance-assessment aspects of DOE and other national and international waste management programs.

### ACTIVITIES DURING JUNE 1986

During June we prepared recommendations for review of the DOE report "Multiattribute Utility Analysis of Sites Nominated for Characterization for the First Radioactive-Waste Repository--A Decision-Aiding Methodology" (DOE/RW-0074). Our comments were submitted to Wayne Walker on June 10. Some aspects of the techniques need more detailed explanation. Dr. Steve Hora, a consultant to Sandia from the University of Hawaii, has been asked to comment on this report. Dr. Hora has a background in statistics and operations research and has published in the area of utility theory. Dr. Hora's resume was requested by NRC and is forthcoming.

PROGRAM:	Probability T	echniques	FIN <b>#:</b> A-ll Task	65 III
CONTRACTOR:	Sandia Nation	al Laboratories	BUDGET PERIOD:	10/85- 9/86
NMSS PROGRAM	MANAGER:	S. Wastler	BUDGET AMOUNT:	\$163K
CONTRACT PRO	GRAM MANAGER:	R. M. Cranwell	FTS PHONE:	844-8368
PRINCIPAL IN	VESTIGATORS:	R. L. Hunter	FTS PHONE:	846-6337

PROJECT OBJECTIVES

To identify techniques for assigning probabilities to geologic processes and events.

ACTIVITIES DURING JUNE 1986

The experts continued to work on the preparation of new chapters and on review of the draft report, but no Sandia staff work was done on this task in June. Cornell, Wahi, and Holland report that their chapters should be submitted to us during the first week in July. This is slightly later than we had hoped.

S. Wastler informed R. Hunter by telephone that the nature of NRC's comments on the draft report may require additional work, not in the current program plan. This will require that the September due date for the final version of the report be pushed back.

PROGRAM: Short-Term Technical Assistance FIN#: A-1165 Task IV CONTRACTOR: Sandia National Laboratories BUDGET PERIOD: 10/85-9/86 NMSS PROGRAM MANAGER: S. Wastler BUDGET AMOUNT: \$50K CONTRACT PROGRAM MANAGER: R. M. Cranwell FTS PHONE: 844-8368 PRINCIPAL INVESTIGATORS: R. L. Hunter FTS PHONE: 846-6337

**PROJECT OBJECTIVES** 

To provide general technical assistance on waste-management matters on the request of the NMSS PM.

ACTIVITIES DURING JUNE 1986

No activity.

#### General Comments

- The purpose of this report needs to be determined. Based 1. on the title, this report should be the description of a decision-aiding methodology. The report is more than a description or demonstration of a methodology. On page 2-3, paragraph 2, the six basic steps of the methodology amount to a performance assessment. This report is not a performance assessment. In the recommendation report for site characterization (DOE, 1986), the purpose of this earlier report is described as an aid in determining the preferred sites for recommendation for characterization. The ranking schemes based on various combinations of criteria do not seem to have been expressly followed in the DOE selection.
- 2. The multiattribute utility analysis (MUA) has been applied to the siting of engineered facilities such as power plants, dams, and refineries (p.2-1). These previous uses should be evaluated as to whether they are analogous to a nuclear-waste disposal site. This evaluation should concentrate on the extent the engineered facility is involved in the earlier studies and the difference in time frame that must be considered. An MUA may not be usable because of the sparsity or lack of data for longer time frames.
- 3. By assigning rating values to two decimal points to each site, a false image of precision is indicated, considering the methodology is based on the Delphi technique. In addition, the differentiation between sites, each of which would be a suitable site by this analysis, could cause problems when pressure is applied to pick "the best" site. Would a methodology that distinguished between acceptable and unacceptable sites be more efficient in the long run?
- 4. Because of the questions about and comments on this report, a detailed review of the techniques (MUA, Delphi) used, the data and information obtained, and conclusions for at least the postclosure sections is recommended.

#### Recommendations

Postclosure (chap. 3 and Appendices B, C, D, and G)

- 1. Scenarios
  - a. The scenario selection procedure should be reviewed in order to determine the completeness of the selected scenarios.

- b. An evaluation should be done as to whether more information on the site could be obtained by breaking-up Scenario 1 (nominal conditions) into scenarios with more restricted conditions. In the report, Scenario 1 contains all expected conditions base case, conditions resulting from construction, conditions resulting from the thermal effects of the waste, canister corrosion, and future climatic changes. Each additional feature consists of the conditions of Scenario 1 plus an additional feature.
- c. Scenario probabilities should be evaluated as to reasonableness.
- 2. Site Scoring (section 3.4)
  - a. The applicability of this scoring technique needs to be evaluated.

The range of median travel times in Figures 3-3 and 3-4 may not be applicable to all of the sites. Too little is known about most of the sites to assume that each or any can have a 200,000 year median travel time.

The range of cumulative releases and the relationship between cumulative release and site properties (including median travel time) are highly speculative. Both the range and relationship are of critical importance in determining the expected utility of each site.

- 3. Multiattribute Utility Functions
  - a. This method of assigning a utility value to each site needs to be assessed to make certain that site differences are adequately represented.
  - b. For the multiattribute utility, the single-attribute utilities for each of the scenarios are combined. In this process, low probability, but credible, scenarios may be masked by being combined with high probability scenarios. This procedure should be evaluated to determine if important site-specific information is being lost.
- 4. Results and Sensitivity Analysis
  - a. Multiattribute-utility data in paragraph 4 of p. 3-28 reinforces the comment of 3a above. Both Davis Canyon and Richton Dome have multiattribute utilities of 99.99. Hanford rates a 99.76 even though this value

corresponds to releases 22 times greater than the releases for a 99.99 utility. Does this technique adequately distinguish between sites?

b. Nine parameters were varied in the sensitivity analysis. These parameters should be examined to determine what they reveal about the sites. In addition, could variations in other parameters be as or more revealing?

Preclosure (Chap. 4, Appendices E and F)

- 1. Performance Measures
  - a. The objectives, corresponding performance measures, and impact levels need to be checked for completeness. Are the impact levels adequately defined and appropriate for the candidate sites?
- 2. Descriptions of Possible Site Impacts
  - a. The possible site impacts should be examined for completeness.
- 3. Multiattribute Utility Function
  - a. The function (p. 4-17) needs to be evaluated as to appropriateness.
  - b. The heart of this section is Table 4-7 (p. 4-19). Two parts of the table that should be critically evaluated are the range in impact values for each performance measure and tradeoff value.

Is the tradeoff value, whereby all impacts and effects of the performance measures are reduced to dollar amounts, a reasonable method to evaluate the sites?

- 4. Evaluation of the Nominated Sites
  - a. This section should be reviewed for the reasonableness of the component disutilities for each site.
- 5. Sensitivity Analyses
  - a. Is adequate variability included in the parameters and is the rational used in the selection of the variability reasonable?

Composite Analysis (chap. 5)

a. This chapter contains plots of composite utility values based on eight sets of conditions.

An evaluation of whether the eight sets of conditions provide for the full range of options for composite ranking should be made.

· Reference

DOE, 1986, Recommendation by the Secretary of Energy of candidate sites for site characterization for the first radioactive-waste repository: U.S. Dept. Energy, Rept. DOE/S-0048, 9 p. A-1165, Task I 1183.010 June 1986

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THIS IS AN ESTIMATE ONLY AND MAY NOT MATCH THE INVOICES SENT TO NRC BY SANDIA'S ACCOUNTING DEPARTMENT.

		Current <u>Month</u>	Year-to-Date
Ι.	Direct Manpower (man-months of charged effort)	0.6	3.4
II.	Direct Loaded Labor Costs	6.0	39.0
	Materials and Services	0.0	0.0
	ADP Support (computer)	0.0	0.0
	Subcontracts	2.0	42.0
	Travel	0.0	2.0
	Other	0.0	<u> </u>
	TOTAL COSTS	8.0	84.0

Other = rounding approximation by computer

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Prior FY	FY86 Projected	FY86 Funds	FY86 Funding
Carryover	Funding Level	<u>Received to Date</u>	Balance Needed
		1	
50K	200K	150K	None

A-1165, Task II 1183.020 June 1986

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THIS IS AN ESTIMATE ONLY AND MAY NOT MATCH THE INVOICES SENT TO NRC BY SANDIA'S ACCOUNTING DEPARTMENT.

		Current <u>Month</u>	Year-to-Date
1.	Direct Manpower (man-months of charged effort)	0.0	0.2
II.	Direct Loaded Labor Costs Materials and Services ADP Support (computer) Subcontracts Travel Other	0.0 0.0 0.0 1.0 0.0 <u>0.0</u>	2.0 0.0 22.0 0.0 -1.0
	TOTAL COSTS	1.0	23.0

Other = rounding approximation by computer

Prior FY	FY86 Projected	FY86 Funds	FY86 Funding
Carryover	Funding Level	Received to Date	Balance Needed
-0-	80K	80K	None
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A-1165, Task III 1183.030 June 1986

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THIS IS AN ESTIMATE ONLY AND MAY NOT MATCH THE INVOICES SENT TO NRC BY SANDIA'S ACCOUNTING DEPARTMENT.

		Current <u>Month</u>	Year-to-Date
I.	Direct Manpower (man-months of charged effort)	0.0	4.3
II.	Direct Loaded Labor Costs Materials and Services ADP Support (computer) Subcontracts* Travel Other	0.0 0.0 4.0 0.0 <u>0.0</u>	47.0 0.0 0.0 75.0 1.0 <u>0.0</u>
	TOTAL COSTS	4.0	123.0

Other = rounding approximation by computer

III. Funding Status

Prior FY	FY86 Projected	FY86 Funds	FY86 Funding
Carryover	Funding Level	Received to Date	Balance Needed
1 <b>4</b> 3K	163K	20	

\*Charges were received for Gutjahr, Callender, and Baecher.

A-1165, Task IV 1183.040 June 1986

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THIS IS AN ESTIMATE ONLY AND MAY NOT MATCH THE INVOICES SENT TO NRC BY SANDIA'S ACCOUNTING DEPARTMENT.

		Current <u>Month</u>	Year-to-Date
Ι.	Direct Manpower (man-months of charged effort)	0.0	2.9
II.	Direct Loaded Labor Costs Materials and Services ADP Support (computer) Subcontracts Travel Other	0.0 0.0 0.0 0.0 0.0 <u>0.0</u>	35.0 1.0 0.0 1.0 3.0 <u>0.0</u>
	TOTAL COSTS	0.0	40.0

Other = rounding approximation by computer

Prior FY	FY86 Projected	FY86 Funds	FY86 Funding
Carryover	Funding Level	Received to Date	Balance Needed
-0-	50K	50K	None
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## TOTAL FOR 1183.010, 1183.020, 1183.030, 1183.040

June 1986

THIS IS AN ESTIMATE ONLY AND MAY NOT MATCH THE INVOICES SENT TO NRC BY SANDIA'S ACCOUNTING DEPARTMENT.

		Current <u>Month</u>	Year-to-Date
I.	Direct Manpower (man-months of charged effort)	0.6	10.8
II.	Direct Loaded Labor Costs	06.0	123.0
	Materials and Services	0.0	1.0
	ADP Support (computer)	0.0	0.0
	Subcontracts	7.0	140.0
	Travel	0.0	6.0
	Other	0.0	0.0
	TOTAL COSTS	13.0	270.0

Other = rounding approximation by computer

Prior FY	FY86 Projected	FY86 Funds	FY86 Funding
Carryover	Funding Level	Received to Date	Balance Needed
193K	493K	300K	None