

## ENCLOSURE 1

### NOTICE OF VIOLATION

TU Electric  
Comanche Peak Steam Electric Station

Docket Nos.: 50-445; 50-446  
License Nos.: NPF-87; NPF-89

During an NRC inspection conducted on May 11-15, with inoffice inspection continuing until July 15, 1998, two violations of NRC requirements were identified. In accordance with the "General Statement of Policy and Procedure for NRC Enforcement Actions," NUREG-1600, the violations are listed below:

- A. 10 CFR 50.65(b)(2) requires, in part, that the scope of the monitoring program specified in paragraph (a)(1) shall include certain structures, systems, and components that are relied upon to mitigate accidents or transients, or are used in plant emergency operating procedures. 10 CFR 50.65(c) states that the requirements of this section shall be implemented by each licensee no later than July 10, 1996.

Contrary to the above, on July 10, 1996, the service water and circulating water discharge structures, two main transformer pads for each unit, two auxiliary transformer pads for each unit, the startup transformer pad, the 345 kV and 138 kV switch yard relay houses, and the digital rod position indication function, were not included in the licensee's 10 CFR 50.65 monitoring program scope.

This is a Severity Level IV violation (Supplement I) (50-445; -446/9810-01).

- B. 10 CFR 50.65(a)(1) requires, in part, that holders of an operating license shall monitor the performance or condition of structures, systems, or components against licensee established goals, in a manner sufficient to provide reasonable assurance that such structures, systems, and components, within the scope of the Maintenance Rule, are capable of fulfilling their intended functions. When the performance or condition of a structure, system, or component does not meet established goals, appropriate corrective action shall be taken.

10 CFR 50.65(a)(2) states, in part, that monitoring as specified in 10 CFR 50.65(a)(1) is not required where it has been demonstrated that the performance or condition of a structure, system, or component is being effectively controlled through the performance of appropriate preventive maintenance and that the structure, system, or component remains capable of performing its intended function. 10 CFR 50.65(c) states that the requirements of this section shall be implemented by each licensee no later than July 10, 1996.

Contrary to 10 CFR 50.65(a)(2), as of July 10, 1996, the time that the licensee elected to not monitor the performance or condition of certain structures, systems, or components against licensee-established goals pursuant to the requirements of Section (a)(1), the licensee had not demonstrated that the performance or condition of certain structure, system, or component functions within the scope of 10 CFR 50.65 and listed below had been effectively controlled through the performance of appropriate preventive

maintenance. Specifically, the licensee failed to establish adequate condition or performance measures to evaluate the effectiveness of the performance of appropriate preventive maintenance on these structure, system, or component functions prior to placing these structures, systems, or components under Category (a)(2). For these functions, the licensee established reliability performance measures of zero functional failures. However, these measures were ineffective to adequately identify negative trends in structure, system, and component performance or condition such that actions could be taken prior to failures occurring. The affected structure, system, or component functions included:

- Electric Panels System Function 03
- Switchyard Equipment Function 03
- Containment Hydrogen Purge Function 03
- Containment HVAC System Function 09
- Miscellaneous Plant HVAC Function 01
- Liquid Waste Processing Function 08
- Westinghouse Process Instruments Function 04
- Reactor Coolant System Function 03
- Miscellaneous Equipment Function 07
- Main Steam System Function 02
- 480 V Switchgear System Function 01
- Reactor Control Rod and Indication Function 04
- Chemical and Volume Control Functions 04 and 11
- 6.9 kV Switchgear System Functions 02 and 06
- 345 and 138 kV System Function 02

This is a Severity level IV violation (Supplement I) (50-445; -446/9810-03).

Pursuant to the provisions of 10 CFR 2.201, TU Electric is hereby required to submit a written statement or explanation to the U.S. Nuclear Regulatory Commission, ATTN: Document Control Desk, Washington, D.C. 20555 with a copy to the Regional Administrator, Region IV, 611 Ryan Plaza Drive, Suite 400, Arlington, Texas 76011, and a copy to the NRC Resident Inspector at the facility that is the subject of this Notice, within 30 days of the date of the letter transmitting this Notice of Violation (Notice). This reply should be clearly marked as a "Reply to a Notice of Violation" and should include for each violation: (1) the reason for the violation, or, if contested, the basis for disputing the violation, (2) the corrective steps that have been taken and the results achieved, (3) the corrective steps that will be taken to avoid further violations, and (4) the date when full compliance will be achieved. Your response may reference or include previous docketed correspondence, if the correspondence adequately addresses the required response. If an adequate reply is not received within the time specified in this Notice, an order or a Demand for Information may be issued as to why the license should not be modified, suspended, or revoked, or why such other action as may be proper should not be taken. Where good cause is shown, consideration will be given to extending the response time.

If you contest this enforcement action, you should also provide a copy of your response to the Director, Office of Enforcement, United States Nuclear Regulatory Commission, Washington, DC 20555-0001.

Because your response will be placed in the NRC Public Document Room (PDR), to the extent possible, it should not include any personal privacy, proprietary, or safeguards information so that it can be placed in the PDR without redaction. If personal privacy or proprietary information is necessary to provide an acceptable response, then please provide a bracketed copy of your response that identifies the information that should be protected and a redacted copy of your response that deletes such information. If you request withholding of such material, you must specifically identify the portions of your response that you seek to have withheld and provide in detail the bases for your claim of withholding (e.g., explain why the disclosure of information will create an unwarranted invasion of personal privacy or provide the information required by 10 CFR 2.790(b) to support a request for withholding confidential commercial or financial information). If safeguards information is necessary to provide an acceptable response, please provide the level of protection described in 10 CFR 73.21.

Dated at Arlington, Texas  
this 31st day of July 1998