



Department of Energy

Nevada Operations Office
P. O. Box 98518

Las Vegas, NV 89193-8518

NOV 08 1989

TO: Jim Kennedy

WBS #1.2.9.3
QA

Carl P. Gertz, Project Manager, YMP, NV

ACCEPTANCE OF AMENDED RESPONSE AND CLOSURE OF STANDARD DEFICIENCY REPORT (SDR) 414, REVISION 0, RESULTING FROM YUCCA MOUNTAIN PROJECT OFFICE (PROJECT OFFICE) QUALITY ASSURANCE (QA) AUDIT 89-4 OF U.S. GEOLOGICAL SURVEY (USGS)

The Project Office QA staff has evaluated and accepted your amended response to SDR 414, Revision 0, generated as a result of Project Office QA Audit 89-4 of USGS.

The SDR has been closed based on satisfactory verification of completed corrective action. A copy of the SDR is enclosed for your files.

If you have any questions, please contact James Blaylock of my staff at 794-7913, or James E. Clark of Science Applications International Corporation at 794-7748.

James Blaylock for
Donald G. Horton, Director
Quality Assurance Division
Yucca Mountain Project Office

YMP:JB-696

Enclosure:
SDR 414, Revision 0

- cc w/encl:
- Ralph Stein, HQ (RW-30) FORS
- D. E. Shelor, HQ (RW-3) FORS
- J. J. Brogan, SAIC, Las Vegas, NV, 517/T-12
- J. E. Clark, SAIC, Las Vegas, NV, 517/T-06
- K. A. Hodges, SAIC, Las Vegas, NV, 517/T-06
- J. H. Nelson, SAIC, Las Vegas, NV, 517/T-04
- S. W. Zimmerman, NWPO, Carson City, NV
- J. E. Kennedy, NRC, Washington, DC

- cc w/o encl:
- A. L. Temple, SAIC, Las Vegas, NV, 517/T-38
- J. W. Gilray, NRC, Las Vegas, NV

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YMPO STANDARD DEFICIENCY REPORT

N-QA-038
4/89

Completed by Originating QA Organization	1 Date August 17, 1989		2 Severity Level <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/> 3		Page 1 of 2
	3 Discovered During Audit 89-4		3a Identified By J. E. Clark		4 SDR No. 414 Rev. 0
	5 Organization YMP		6 Person(s) Contacted Dick Watkins, Peggy Warner (USGS);		7 Response Due Date is 20 Working Days from Date of Transmittal
	8 Requirement (Audit Checklist Reference, if Applicable) AP-1.7Q, Sec. 5.7.3, states in part, "Record Transmittal to the CRF: The LRC shall perform the following activities: ... (7) Package the records and transmit them to the CRF within 10 working days of receipt."				
	9 Deficiency Project participant USGS has not been allowed to transmit QA records to the CRF to satisfy the above requirement. The Project Office, via letter YMP: DLH-4757, dtd. July 17, 1989, withheld approval for USGS transmittal of QA				
Completed by Organization in Block 5	10 Recommended Action(s): <input checked="" type="checkbox"/> Remedial <input type="checkbox"/> Investigative <input checked="" type="checkbox"/> Corrective				
	11 QAE/Lead Auditor/Date <i>J. E. Clark 8/28/89</i>	12 Division Manager/Date <i>Dale Hedger 8-28-89</i>	13 Project Quality Mgr./Date <i>James Blaylock 8/28/89</i>		
	14 Remedial/Investigative Action(s) It was not feasible to accept only certain records because of later difficulty in tying them to published reports. It is important to solve the accession number problem for <u>all</u> records.				
Completed by Organization in Block 5	15 Effective Date <u>August 28, 1989</u>				
	16 Cause of the Condition & Corrective Action to Prevent Recurrence USGS solved the accession numbering problem to the satisfaction of the Project Office. Consequently, they were instructed to begin submission of all records to the Central Records Facility by letter dated August 28, 1989 (see attached letter). <i>[Signature]</i> 9-28-89				
Comp. by Orig. QA Org.	19 Response Accepted	QAE/Lead Auditor/Date <i>J. E. Clark 10/23/89</i>	Division Manager/Date <i>Dale Hedger 10-24-89</i>	Project Quality Mgr./Date <i>James Blaylock 10/24/89</i>	
	20 Corrective Action Verif. Satisfactory	QAE/Lead Auditor/Date <i>J. E. Clark 10/26/89</i>	Division Manager/Date <i>Dale Hedger 10-27-89</i>	Project Quality Mgr./Date <i>James Blaylock 10/27/89</i>	
	21 Remarks <i>Request Amended Response YMP: JB-258 dtd 10/12/89</i> <i>Rec'd Amended Response 10/13/89 - YMP: SAC-157</i>				
	22 QA CLOSURE	QAE/Lead Auditor/Date <i>J. E. Clark 10/27/89</i>	Division Manager/Date <i>J. W. Nohel for 10/27/89</i>	PQM/Date <i>Colleen [Signature] 10-30-89</i>	

YMPO STANDARD DEFICIENCY REPORT
CONTINUATION SHEET

N-QA-038
12/88

SDR No. 414

Rev. 0

Page 2 of 2

6 Persons contacted (continued)

Sharon Carter, Don Helton, & Jan Statler
(Project Office)

8 Requirement (continued)

9 Deficiency (continued)

records to the CRF. Although USGS records procedure QMP-17.01, Revision 3, was not in full compliance with AP-1.7Q regarding accession numbers on published reports (Section 5.5.1.6) denial of CRF access was applied to all records collected by the USGS LRC.

AMENDED RESPONSE TO
STANDARD DEFICIENCY REPORT 414

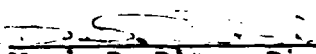
Please replace the text in Item 14 (Remedial/Investigative Action(s)) with the following:

USGS solved the accession numbering problem to the satisfaction of the Project Office. Consequently, they were instructed to begin submission of all records to the Central Records Facility by letter dated August 28, 1989 (attached).

Any future direction to USGS with regard to submittal of records will consider the impacts to quality assurance requirements.

Please replace the text in Item 16 (Cause of the Condition & Corrective Action to Prevent Recurrence) with the following:

It was not feasible to accept only certain records because of later difficulty in tying them to published reports. It is important to solve the accession number problem for all records.



Wendy R. Dixon, Director
Project & Operations Control



Date

Enclosure