



Department of Energy

Nevada Operations Office

P. O. Box 98518

Las Vegas, NV 89193-8518

OCT 12 1989

WBS 1.2.9.3

QA

Carl P. Gertz, Project Manager, YMP, NV

EVALUATION OF RESPONSE TO STANDARD DEFICIENCY REPORT (SDR) 414, REVISION 0, RESULTING FROM YUCCA MOUNTAIN PROJECT OFFICE (PROJECT OFFICE) QUALITY ASSURANCE (QA) AUDIT 89-04 OF THE U.S. GEOLOGICAL SURVEY (USGS) (NN1-1990-0193)

The Project Office QA staff has evaluated your response to SDR 414, Revision 0, generated as a result of Project Office QA Audit 89-4 of USGS.

The response to the remedial/investigative action taken is satisfactory; however, it was entered in Block 16 rather than Block 14 of the SDR. The corrective action taken to prevent recurrence (block 16) was not addressed in the response. It is requested that you amend the response to address the steps to be taken to prevent recurrence.

Your amended response should be received by the Project Office within 10 working days of the date of this letter. Please send a copy of your amended response to Juanita Brogan of Science Applications International Corporation (SAIC), 101 Convention Center Drive, Las Vegas, Nevada 89109, and Ralph W. Gray, U.S. Department of Energy, P.O. Box 98518, Las Vegas, Nevada 89193. A copy of the SDR is enclosed for your information.

If you have any questions, please contact James Blaylock of my staff at 794-7913, or Deborah L. Mogar of SAIC at 794-7166.

Edwin L. Wilmot
Edwin L. Wilmot, Acting Director
Quality Assurance Division
Yucca Mountain Project Office

YMP:JB-258

Enclosure:
SDR 414

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PDR WASTE
WM-11 PDC

FULL TEXT ASCII SCAN

ADD: JEKennedy

WM-11
102.7
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Carl P. Gertz

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OCT 12 1989

cc w/encl:

Ralph Stein, HQ (RW-30) FORS
Dwight Shelor, HQ (RW-3) FORS
J. J. Brogan, SAIC, Las Vegas, NV, 517/T-12
K. A. Hodges, SAIC, Las Vegas, NV, 517/T-06
D. L. Mogar, SAIC, Las Vegas, NV, 517/T-06
J. H. Nelson, SAIC, Las Vegas, NV, 517/T-04
S. W. Zimmerman, NWPO, Carson City, NV
J. E. Kennedy, NRC, Washington, DC 

cc w/o encl:

A. L. Temple, SAIC, Las Vegas, NV, 517/T-38
J. W. Gilray, NRC, Las Vegas, NV

YMPO STANDARD DEFICIENCY REPORT

N-QA-038
4/89

Completed by Originating QA Organization

Aprvl.

Completed by Organization in Block 5

Comp. by Orig. QA Org.

1 Date August 17, 1989	2 Severity Level <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/> 3		Page 1 of 2
3 Discovered During Audit 89-4	3a Identified By J. E. Clark	4 SDR No. 414 Rev. 0	
5 Organization YMP	6 Person(s) Contacted Dick Watkins, Peggy Warner (USGS);		7 Response Due Date is 20 Working Days from Date of Transmittal
8 Requirement (Audit Checklist Reference, if Applicable) AP-1.7Q, Sec. 5.7.3, states in part, "Record Transmittal to the CRF: The LRC shall perform the following activities: ... (7) Package the records and transmit them to the CRF within 10 working days of receipt."			
9 Deficiency Project participant USGS has not been allowed to transmit QA records to the CRF to satisfy the above requirement. The Project Office, via letter YMP: DLH-4757, dtd. July 17, 1989, withheld approval for USGS transmittal of QA			
10 Recommended Action(s): <input checked="" type="checkbox"/> Remedial <input type="checkbox"/> Investigative <input checked="" type="checkbox"/> Corrective			
11 QAE/Lead Auditor/Date <i>J. A. O'Neill 28 Aug 89</i>	12 Division Manager/Date <i>Dale Hedger 8-28-89</i>	13 Project Quality Mgr./Date <i>James Blyden 8/28/89</i>	
14 Remedial/Investigative Action(s) It was not feasible to accept only certain records because of later difficulty in tying them to published reports. It is important to solve the accession number problem for <u>all</u> records.			
15 Effective Date <u>August 28, 1989</u>			
16 Cause of the Condition & Corrective Action to Prevent Recurrence USGS solved the accession numbering problem to the satisfaction of the Project Office. Consequently, they were instructed to begin submission of all records to the Central Records Facility by letter dated August 28, 1989 (see attached letter).			
17 Effective Date <u>August 28, 1989</u>			
18 Signature/Date <i>[Signature]</i> 9-28-89			
19 Response Accepted	QAE/Lead Auditor/Date	Division Manager/Date	Project Quality Mgr./Date
20 Corrective Action Verif. Satisfactory	QAE/Lead Auditor/Date	Division Manager/Date	Project Quality Mgr./Date
21 Remarks			
22 QA CLOSURE	QAE/Lead Auditor/Date	Division Manager/Date	PQM/Date

YMPO STANDARD DEFICIENCY REPORT
CONTINUATION SHEET

N-QA-038
12/88

SDR No. 414

Rev. 0

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6 Persons contacted (continued)

Sharon Carter, Don Helton, & Jan Statler
(Project Office)

8 Requirement (continued)

9 Deficiency (continued)

records to the CRF. Although USGS records procedure QMP-17.01, Revision 3, was not in full compliance with AP-1.7Q regarding accession numbers on published reports (Section 5.5.1.6) denial of CRF access was applied to all records collected by the USGS LRC.