



Department of Energy

Washington, DC 20585

AUG 21 1996

Distribution

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR THE MONTH OF JULY 1996

The monthly status report of the Office of Civilian Radioactive Waste Management Corrective Action Requests (CAR), Deficiency Reports (DR), Performance Reports (PR), and Non-Conformance Reports includes the following:

Enclosure 1 - A list of the significant program deficiencies that are currently open.

Enclosure 2 - A series of summary tables for management, constructed by type of deficiency document.

Enclosure 3 - A CAR, DR and PR list sorted by issuing and responding organizations, showing current action status pending.

It is requested that the responsible individual in your organization review the reported information for use in initiating or prioritizing actions to disposition and close the open deficiencies contained in this report. Any discrepancies should be promptly reported to the Office of Quality Assurance.

If you have any questions, please contact either Robert B. Constable at (702) 794-5580 or Deborah G. Sult at (702) 794-1466.

Donald G. Horton, Director
Office of Quality Assurance

OQA:RBC-2441

Enclosures:

1. Currently Open Significant Conditions
Adverse to Quality
2. Corrective Action Document
Status Tables for July 1996
3. Open Corrective Action Document
Status Report for July 1996

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PDR WASTE
WM-11

PDR



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D. A. Dreyfus, DOE/HQ (RW-1) FORS
L. H. Barrett, DOE/HQ (RW-2) FORS
R. W. Clark, DOE/HQ (RW-3.1) FORS
J. D. Saltzman, DOE/HQ (RW-30) FORS
R. A. Milner, DOE/HQ (RW-30) FORS
Samuel Rousso, DOE/HQ (RW-40) FORS
J. T. Conway, DOE/HQ (EM-33) TREV
B. L. Castillo, HQQAD/QATSS, Washington, DC
L. W. Wagner, HQQAD/QATSS, Washington, DC
J. G. Spraul, NRC, Washington, DC
S. W. Zimmerman, NWPO, Carson City, NV
J. A. Canepa, M&O/LANL, Los Alamos, NM
M. J. Clevenger, M&O/LANL, Los Alamos, NM
N. Z. Elkins, M&O/LANL, Las Vegas, NV
G. S. Bodvarsson, M&O/LBNL, Berkeley, CA
D. C. Mangold, M&O/LBNL, Berkeley, CA
W. L. Clarke, M&O/LLNL, Livermore, CA
R. E. Monks, M&O/LLNL, Livermore, CA
J. A. Blink, M&O/LLNL, Las Vegas, NV
R. R. Richards, M&O/SNL, Albuquerque, NM M/S 1333
M. C. Brady, M&O/SNL, Las Vegas, NV
R. A. Morgan, M&O, Vienna, VA
R. L. Strickler, M&O, Vienna, VA
L. D. Foust, M&O, Las Vegas, NV
R. P. Ruth, M&O, Las Vegas, NV
R. E. Armstrong, M&O, Las Vegas, NV
T. D. Wightman, M&O, Las Vegas, NV
J. W. Willis, M&O, Las Vegas, NV
T. H. Chaney, USGS, Denver, CO
G. L. Ducret, USGS, Denver, CO
R. W. Craig, USGS, Las Vegas, NV
S. R. Dana, YMQAD/QATSS, Las Vegas, NV
H. T. Greene, YMQAD/QATSS, Las Vegas, NV
R. P. Keele, YMQAD/QATSS, Las Vegas, NV
F. B. Smith, YMQAD/QATSS, Las Vegas, NV
D. G. Sult, YMQAD/QATSS, Las Vegas, NV
R. E. Spence, YMQAD, NV
W. E. Barnes, YMSCO, NV
J. R. Compton, YMSCO, NV
J. R. Dyer, YMSCO, NV

306055

Recip: J. G. Spraul
WMS/HLE

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Quality Assurance CAR Log Application
OPEN Deficiency Documents by Number

Version: 4.0.0
(ca006rpt)

REPORT #	ISSUE DATE	SUBJECT
HQ-96-C-001	06-10-96	PERSONNEL QRPS DID NOT CONTAIN CERTAIN DOCUMENTS
Days Open:	66	
QAR:	Charles Betts	
STATUS:	Response Received. QAR to Evaluate Response 23-aug-1996.	
YM-95-C-050	07-18-95	PERSONNEL DID NOT FOLLOW PROCEDURES -- THIS CAR IS IN REV. 1
Days Open:	394	
QAR:	Patrick V. Auer	
STATUS:	Extension Request Accepted. Corrective Action Completion Due 30-aug-1996.	
YM-96-C-004	04-10-96	FAILURE TO FOLLOW QARD AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES
Days Open:	127	
QAR:	John Martin	
STATUS:	Verification Information Received. Corrective Action Completion Due 03-sep-1996.	
YM-96-C-005	04-12-96	CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED
Days Open:	125	
QAR:	Ken McFall	
STATUS:	Amended Response Accepted. Corrective Action Completion Due 15-oct-1996.	
YM-96-C-006	08-12-96	FAILURE TO IMPLEMENT DOCUMENTED QA PROGRAM REQUIREMENTS
Days Open:	3	
QAR:	Steve Harris	
STATUS:	Issue Date. Response Due 10-sep-1996.	

Aug 15, 1996 12:06 PM

Quality Assurance CAR Log Application
OPEN Deficiency Documents by Number

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REPORT #	ISSUE DATE	SUBJECT
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YM-96-C-007 07-26-96 FAILURE TO IMPLEMENT QARD AND PROJECT REQUIREMENTS IN IMPLEMENTING DOCUMENTS

Days Open: 20

QAR: Pat Cotter

STATUS: Issue Date. Response Due 23-aug-1996.

YM-96-C-008 07-31-96 TRAINING RECORDS FOR CRWMS M&O INDIVIDUALS DO NOT INCLUDE EVIDENCE OF COMPLETION OF REQUIRED
SELF-STUDY ASSIGNMENT FORMS FOR SPECIFIED DOCUMENTS

Days Open: 15

QAR: Franklin B. Smith

STATUS: Issue Date. Response Due 28-aug-1996.

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR JULY 1996

1. CARs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	1	0	0	1
YM	4	2	0	6
USGS	0	0	0	0
K/PB	0	0	0	0
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	0	0	0	0
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-CARs	5	2	0	7

2. DRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	5	1	0	6
YM	46	14	4	56
USGS	12	1	1	12
K/PB	11	5	3	13
LANL	0	0	0	0
LBNL	1	0	0	1
LLNL	0	0	0	0
LVMO	17	1	5	13
SNL	1	0	0	1
VAMO	0	1	0	1
TOTAL-DRs	93	23	13	103

3. PRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	3	2	0	5
YM	19	1	7	13
USGS	15	0	1	14
K/PB	1	0	0	1
LANL	0	0	0	0
LBNL	1	2	1	2
LLNL	0	0	0	0
LVMO	2	2	3	1
SNL	0	0	0	0
VAMO	1	1	1	1
TOTAL-PRs	42	8	13	37

4. NCRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	0	0	0	0
YMSCO	42	6	2	46
USGS	14	0	0	14
K/PB	8	0	1	7
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	3	3	0	6
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-NCRs	67	9	3	73

Note: YMPO is combined with YMSCO.

5. PROJECT TOTALS

Project Totals	Open at Beginning of Month	Issued	Closed	Open at end of Month
Stop Work Order	0	0	0	0
CAR	5	2	0	7
DR	93	23	13	103
PR	42	8	13	37
NCR	67	9	3	73
TOTAL	207	42	29	220

NOTE: This enclosure reflects status for the month of July only and therefore does not correlate exactly with Enclosure 3, which is inclusive of data through August 14, 1996.

Aug 15, 1996 1:19 PM

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(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-95-C-050	07-18-95	PERSONNEL DID NOT FOLLOW PROCEDURES -- THIS CAR IS IN REV. 1	LVMO L. Dale Foust	YAP-2.2Q to be revised and training of personnel to be performed.	Corrective Action Completion Due	08-30-96
YM-96-C-007	07-26-96	FAILURE TO IMPLEMENT QARD AND PROJECT REQUIREMENTS IN IMPLEMENTING DOCUMENTS	LVMO L. Dale Foust		Response Due	08-23-96
YM-96-C-008	07-31-96	TRAINING RECORDS FOR CRWMS M&O INDIVIDUALS DO NOT INCLUDE EVIDENCE OF COMPLETION OF REQUIRED SELF-STUDY ASSIGNMENT FORMS FOR SPECIFIED DOCUMENTS	LVMO L. Dale Foust		Response Due	08-28-96

Aug 15, 1996 1:19 PM

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DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-96-C-001	06-10-96	PERSONNEL QRPS DID NOT CONTAIN CERTAIN DOCUMENTS	OHRA James C. Bresee		QAR to Evaluate Response	08-23-96

Aug 15, 1996 1:19 PM

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DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-C-004	04-10-96	FAILURE TO FOLLOW QARD AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES	USGS Robert W. Craig	Revise USGS procurement program and implement YMQAD/USGS Action Plan.	Corrective Action Completion Due	09-03-96
YM-96-C-005	04-12-96	CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED	USGS Robert W. Craig	Fully implement the Corrective Action Program.	Corrective Action Completion Due	10-15-96
YM-96-C-006	08-12-96	FAILURE TO IMPLEMENT DOCUMENTED QA PROGRAM REQUIREMENTS	USGS Robert W. Craig		Response Due	09-10-96

Aug 15, 1996 1:19 PM

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Open Corrective Action Document Status Report

Version: 4.0.0
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DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-96-D-009	07-12-96	SPPS ARE BEING CHANGED VIA DOE MEMORANDUM AND NOT THROUGH THE SPP REVISION PROCESS	EM323 J. Conway		Response Due	08-09-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-030	03-15-96	CONTRARY TO QARD, REV. 5, SEC. 5.2.2 B., REV 1 AND SPEC. SEC. -01400, REV 02, PARA 1.04 A.1 SOME QA CONTROLS ISSUED OR CHANGED BY DESIGN DOCUMENTS HAVE NOT BEEN INCORPORATED INTO K/PB IMPLEMENTING DOCUMENTS	K/PB W. D. WIGHTMAN		Corrective Action Completion Due	09-30-96
K/PB-96-D-031	03-18-96	CONTRARY TO TCP-2.6, REV. 4, PARA 3.1.7 NUMEROUS ITEMS IN THE EXTERIOR STORAGE AREAS WERE IMPROPERLY STORED, E.G., STEEL SET PIECES, ROCKBOLTS AND ACCESSORIES, SPOOLS OF ELECTRICAL CABLE, PVC AND CONDUIT, BURKE FLOOR HARDENER, AND MSA CARTRIDGE FILTERS	K/PB W. D. WIGHTMAN		QAR to Perform Verification	08-09-96
K/PB-96-D-044	05-06-96	CONTRARY TO TCP-2.36, REV 1 AND QCP-014, REV 4 BEGINNING WITH THE SECOND SHIFT ON MAY 3, 1996 TESTING HAS NOT BEEN PERFORMED PRIOR TO THE USE OF TRACED WATER	K/PB W. D. WIGHTMAN		QAR to Perform Verification	08-02-96
K/PB-96-D-045	05-20-96	CONTRARY TO SPEC. SEC. -01400, REV. 2 PARA 1.04 G K/PB PROCEDURE TCP-3.4, REV 2, PRIOR TO THE ISSUANCE OF EXPEDITED PROCEDURE CHANGE (EPC) 96-001 EXCLUDED THE GENERATION OF QA RECORDS	K/PB W. D. WIGHTMAN	Revise TCP-3.4. Records generated since TCP-3.4 REV 2 will be turned over to records as stand along documents. Staff meeting to be conducted to discuss QA Controls. TCP-3 series of procedures to re	QAR to Perform Verification	08-01-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-047	06-26-96	CONTRARY TO MCP-5.0, REV 12 AND MCP-17.0 REV 10, TCP-2.42, REV 1 DID NOT INCLUDE APPLICABLE REQUIREMENTS FROM SPEC SECTION 02730, INCORRECTLY SPECIFIED PROJECT RECORDS AS QA LIFETIME RECORDS AND DID NOT ASSIGN RESPONSIBILITY FOR SUBMISSION OF RECORDS	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	08-01-96
K/PB-96-D-050	07-01-96	CONTRARY TO TCP-2.39, REV. 4 PARA 3.3.2 AND TCP-2.20, REV. 6, PARA 3.13.3 K/PB QC IS NOT RECEIVING THE REFERENCED REPORTS ON THE SHIFT OR DAILY BASIS.	K/PB W. D. WIGHTMAN	Revise TCP-2.20 and TCP-2.29 to clarify the submission requirements.	QAR to Perform Verification	08-16-96
K/PB-96-D-051	07-12-96	CONTRARY TO MCP-2.0, REV. 17, PARA 3.1.3 SOME WORK PACKAGES DO NOT HAVE THE CORRECT GOVERNING QCP/TCP REFERENCED ON THEIR TRAVELERS. EXAMPLE: WORK PACKAGE 2.25.4 WHICH LISTS QCP-006 AND QCP-010 WHICH HAVE BEEN SUPERCEDED BY QCP-018.	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	08-16-96
K/PB-96-D-052	07-12-96	CONTRARY TO TCP-2.20, REV. 7, PARA 3.13.1 THE SHIFT ENGINEER ON SOME OCCASIONS HAS NOT DOCUMENTED AND ACCEPTED INSTALLATION FOR ITEMS INSTALLED DURING HIS SHIFT.	K/PB W. D. WIGHTMAN		Response Due	08-12-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-053	07-16-96	CONTRARY TO MCP-6.0, REV. 8, PARA 3.5.1, 2ND PARA, DOCUMENT NOTIFICATION WORK IMPACT FORMS ARE BEING RETURNED TO RECORDS MANAGEMENT CONTAINING THE FOLLOWING DISCREPANT CONDITIONS: SOME RETURNED LATE; FORMS INCOMPLETE; SOME FORMS NOT RETURNED. DATA BASE NOT TRACK TRAINING.	K/PB W. D. WIGHTMAN		Response Due	08-27-96
K/PB-96-D-054	07-16-96	CONTRARY TO MCP-6.0, REV. 8 PARA 3.5.1, 2ND PARA. AND BAB000000-01717-6300-0140 0, REV. 2 PROCEDURES ARE NOT BEING REVISED AND TRAINING IS NOT BEING COMPLETED WITHIN THE 20 WORKING DAY TIME FRAME (EXAMPLES ATTACHED)	K/PB W. D. WIGHTMAN		Response Due	08-27-96
K/PB-96-D-055	08-12-96	CONTRARY TO SPEC. 02341, PARA 1.04G PROCEDURES WERE NOT SUBMITTED TO THE A/E FOR REVIEW & ACCEPTANCE FOR FABRICATION WELDING. CONTRARY TO SPEC. 01400, PARA 3.01A A PLAN WAS NOT SUBMITTED FOR PERFORMING INSPECTION, MONITORING, FOR STEEL SET FABRICATION	K/PB W. D. WIGHTMAN		Response Due	09-10-96
K/PB-96-D-056	08-12-96	CONTRARY TO TCP-2.21 REV. 5, PARA 3.2.3.A.8 BROOKVILLE LOCOMOTIVES 7413 & 7412 WERE OPERATED PAST THE 525 MAXIMUM ENGINE OPERATING HOURS BEFORE NEW DIESEL EMISSION TEST WERE PERFORMED.	K/PB W. D. WIGHTMAN		Response Due	09-10-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

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(ca010rpt)

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LVMO-96-D-036	04-12-96	VIOLATION: SPEC 1501, REVISION 4: REQUIRES THE CONSTRUCTOR TO DEVELOP A PROCEDURE TO MONITOR TUNNEL ALIGNMENT. K/PB DID NOT DEVELOP A PROCEDURE.	K/PB W. D. WIGHTMAN	Procedure will be developed to satisfy requirements of specifications. Revise TCP-2.3(amended response)	Corrective Action Completion Due	08-18-96
LVMO-96-D-037	04-15-96	VIOLATION: TCP-2.22 & SPEC 01501, REV.04: GROUND SUPPORT MAINTENANCE INSPECTION REPORTS WERE NOT GENERATED BECAUSE INSPECTIONS WERE NOT PERFORMED; GROUND SUPPORT MAINTENANCE AND REPAIR REPORT WAS REQUIRED FOR INSPECTIONS AND WERE NOT PERFORMED NOR INCLUDED IN THE WORK PACKAGE.	K/PB W. D. WIGHTMAN	submit inspection report to document control for distribution, recheck conditions requiring maintenance and repair report.	Corrective Action Completion Due	09-30-96
LVMO-96-D-039	05-24-96	VIOLATION: SPEC 1501& TCP-2.21: K/PB IS PROCURING THEIR OWN DIESEL FUEL AND SAMPLING IS NOT BEING CONDUCTED THROUGH THE NTS PROCUREMENT SYSTEM.	K/PB W. D. WIGHTMAN	request for clarification; revise TCP-2.21 following the revision of spec 01501	Corrective Action Completion Due	09-30-96
LVMO-96-D-046	06-21-96	VIOLATION: QARD SECTION 10; INSPECTIONS AND MONITORING OF GROUND SUPPORT INSTALLATIONS ARE NOT PERFORMED IN ACCORDANCE WITH K/PB QUALITY CONTROL PLAN AND INSPECTION, MONITORING AND SURVEILLANCE PLANS.	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	09-03-96
LVMO-96-D-047	06-21-96	VIOLATION: QARD SECTION 14; DOCUMENTATION OF GROUND SUPPORT INSPECTION AND MONITORING DOES NOT PROVIDE RELIABLE INSPECTION STATUS IN ALL CASES.	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	09-03-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

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LVMO-96-D-048	06-21-96	VIOLATION:QARD SECTION 2;YAP-15.1Q; NCR PROCEDURE USED INCORRECTLY;WORK PACKGE NOT PREPARED OR REVISED TO AUTHORIZE AND DOCUMENT CHANNEL LAGGING MODIFICATIONS.	K/PB W. D. WIGHTMAN	Revise work package to allow for the re-worked channel lagging. Close NCR that was written against incorrect use of channel lagging	QAR to Perform Verification	09-03-96
YM-96-D-020	12-26-95	SPECIAL PROCESS PROCEDURES ARE NOT ADEQUATE TO IMPLEMENT QARD, SECTION 9.0 REQUIREMENTS	K/PB W. D. WIGHTMAN	Revise Procedures.	QAR to Perform Verification	08-23-96
YM-96-D-025	12-26-95	TEST CONTROL PROCEDURES DO NOT REFERENCE AND/OR INCORPORATE QARD SECTION 11.0 REQUIREMENTS	K/PB W. D. WIGHTMAN	Reference, incorporate and implement MCP-11.0 through each applicable procedure.	QAR to Perform Verification	08-23-96
YM-96-D-028	12-26-95	INADEQUATE INSPECTOR QUALIFICATION PROGRAM FOR INSPECTORS	K/PB W. D. WIGHTMAN	MCP-10.1 to be revised.	QAR to Perform Verification	08-23-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-033	02-08-96	JOB PACKAGE HAS NOT BEEN REVISED REFLECT CONTRACT CHANGES	LANL Julie A. Canepa	Revise JPs 92-200, 92-20E and 94-21 to reflect scopes of work.	Corrective Action Completion Due	12-02-96
YM-96-D-063	07-12-96	FAILURE TO PERFORM ANNUAL PERFORMANCE EVALUATION OF SUPPLIER	LANL Julie A. Canepa		Response Due	08-09-96
YM-96-D-064	07-12-96	FAILURE TO IMPLEMENT QARD, SUPPLEMENT I REQUIREMENTS	LANL Julie A. Canepa		Response Due	08-09-96
YM-96-D-066	07-12-96	CONTROLLED MANUALS OUT OF DATE	LANL Julie A. Canepa		Response Due	08-09-96
YM-96-D-067	07-12-96	EQUIPMENT CALIBRATION NOT TRACEABLE TO NATIONAL STANDARD. CALIBRATION INTERVAL EXTENDED WITHOUT PROPER AUTHORIZATION.	LANL Julie A. Canepa		Response Due	08-09-96
YM-96-D-068	07-12-96	FAILURE TO PROMPTLY REPORT AND DOCUMENT CONDITIONS ADVERSE TO QUALITY	LANL Julie A. Canepa		Response Due	08-09-96
YM-96-D-069	07-12-96	FAILURE TO DOCUMENT AND INVESTIGATE IMPACT OF OUT-OF-CALIBRATION EQUIPMENT	LANL Julie A. Canepa		Response Due	08-09-96
YM-96-D-073	07-25-96	????	LANL Julie A. Canepa		Response Due	08-22-96

Aug 15, 1996 1:19 PM

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LBNL-96-D-006	01-30-96		LBNL Gudmundur Bodvarsson		Corrective Action Completion Due	09-30-96
YM-96-D-038	02-14-96	LACK OF PROPER EXPERIENCE IN VERIFICATION DOCUMENTATION	LBNL Gudmundur Bodvarsson	Obtain acceptable documentation of education and experience.	Corrective Action Completion Due	08-31-96
YM-96-D-039	02-14-96	LACK OF TRAINING FOR TWO INDIVIDUALS	LBNL Gudmundur Bodvarsson	Provide required training.	QAR to Perform Verification	08-16-96

Aug 15, 1996 1:19 PM

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DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-018	12-21-95	NONCONFORMING CONDITIONS WERE FOUND, BUT NO ANOMALY REPORTS WERE INITIATED	LLNL Willis L. Clarke	Change procedure as necessary and implement procedure.	QAR to Perform Verification	04-30-97
YM-96-D-054	05-08-96	INCORRECTLY CLASSIFYING "QUALITY-AFFECTING WORK AS NON-Q	LLNL Willis L. Clarke	Revise YMP-QP 3.0 and YMP-QP 2.8.	Corrective Action Completion Due	08-15-96
YM-96-D-055	05-08-96	OUT OF DATA PROCEDURES/SPECIFICATIONS	LLNL Willis L. Clarke		Response Due	08-07-96
YM-96-D-056	05-08-96	USE OF UNCALIBRATED M&TE	LLNL Willis L. Clarke		QAR to Evaluate Amended Response	08-06-96
YM-96-D-057	05-08-96	PERFORMING QUALITY-AFFECTING ACTIVITIES W/O APPROVED AND CONTROLLED PROCEDURES	LLNL Willis L. Clarke		QAR to Evaluate Amended Response	08-06-96

Aug 15, 1996 1:19 PM

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LVMO-96-D-023	02-26-96	VIOLATION: QAP-17-1. RECORD SEGMENTS KEPT AT DRC THAT CONTAIN COMPLETED QA RECORDS. SEGMENTS HAVE BEEN OPEN LONGER THAN 2 YEAR PERIOD.	LVMO L. Dale Foust	Identify record package segment older than 2 years. Contact record sources. Send records to record center. Initiate DAR to revise YAP-17.2Q to be consistent with YAP-17.1Q and QAP-17-1.	Corrective Action Completion Due	12-13-96
LVMO-96-D-028	03-13-96	VIOLATION: QAP-7-3, UNIVERSITY SYSTEMS ARE CURRENTLY DOING WORK WITHOUT A CONTRACTUAL/PROCUREMENT DOCUMENT.	LVMO L. Dale Foust	Develop necessary transition plans. Determine if similar conditions exist. Determine impact on completed work.	Corrective Action Completion Due	08-15-96
LVMO-96-D-049	07-17-96	VIOLATION: NLP-2-4: LOCATION COORDINATES AND TOP OF CASING ELEVATIONS FOR THE MAJORITY OF THE YMP CONTROLLED BOREHOLES HAVE NOT BEEN DETERMINED AND DOCUMENTED.	LVMO L. Dale Foust		QAR to Evaluate Response	09-08-96
LVMO-96-D-051	06-25-96	VIOLATION: YAP-15.1Q; INCORRECT APPLICATION OF "USE-AS-IS".	LVMO L. Dale Foust	Clarify YAP-15.1Q during comment resolution of revision.	Corrective Action Completion Due	08-30-96
LVMO-96-D-052	06-26-96	VIOLATION: NWI-SMF-006Q; PROCEDURE NUMBER, REVISION NUMBER AND ICN NUMBERS WERE NOT ENTERED FOR THE CALIBRATIONS.	LVMO L. Dale Foust		Verification Scheduled For	08-26-96
LVMO-96-D-053	08-01-96	VIOLATION YAP-15.1Q; A/E AND QA ALLOWED NCR TO BE PROCESSED INAPPROPRIATELY.	LVMO L. Dale Foust		Response Due	08-29-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-054	08-14-96	VIOLATION:QAP-7-6;AP-7.4Q ;FTIAND FCF QA PROGRAMS ACCEPTED WITHOUT RESTRICTION;ACCEPTANCE REPORT FOR WASTE PACKAGE CLOSURE WELD DEVELOPMENT PROGRAM DID NOT COMMENT RE: FACT THAT FTI CERTIFIED REV.01 OF THE PROCUREMENT DOCUMENT RATHER THAN THE MOST CURRENT REV.02.	LVMO L. Dale Foust		Response Due	09-16-96
LVMO-96-D-055	08-15-96	VIOLATION:QAP-3-12;QAP-17 -1,DESIGN INPUT TRANSMITTAL NOT TRACKED. RECORDS NOT SUBMITTED TO RPC.	LVMO L. Dale Foust		Response Due	09-09-96
LVMO-96-D-056	08-12-96	VIOLATION:QAP-3-12;QAP-2- 1;QAP-17-1	LVMO L. Dale Foust		Response Due	09-09-96
LVMO-96-D-057	08-14-96	VIOLATION:	LVMO L. Dale Foust		Response Due	09-11-96
YN-95-D-007	08-09-95	QUALIFICATION FILES OF EMPLOYEES MISSING	LVMO L. Dale Foust	Review files, complete documentation and assess impact.	QAR needs to prepare closure letter	08-16-96
YN-96-D-007	10-24-95	CERTIFICATION TEST PANEL SMALLER THAN ACI CODE REQUIREMENT.	LVMO L. Dale Foust	Shotcrete nozzleman requirements are not up to ACI standards.	Corrective Action Completion Due	08-30-96
YN-96-D-010	11-07-95	USE OF UNQUALIFIED SUPPLIER - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Transfer responsibility for supplier from USGS to M&O and ensure adequacy of supplier procedure.	Corrective Action Completion Due	09-13-96

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-011	11-07-95	SCIENTIFIC NOTEBOOK NOT MAINTAINED PER REQUIREMENTS - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Incorporate into, number, appropriately correct and sign loose pages of scientific notebook.	QAR to Perform Verification	08-12-96
YM-96-D-012	11-07-95	RECORDS NOT IN ACCORDANCE WITH REQUIREMENTS - THIS CAR IN IS REV. 1	LVMO L. Dale Foust	Correct records and submit to RPC. Provide training in Record Source responsibility.	QAR to Perform Verification	08-12-96
YM-96-D-036	02-06-96	M&O DOCUMENT USED FOR Q APPLICATIONS WAS DEVELOPED NON-Q	LVMO L. Dale Foust	Revise compendium, update QA status and ensure controlled use. Revise activity evaluation and complete procedures.	Corrective Action Completion Due	08-30-96
YM-96-D-037	02-14-96	CONTROL OF TRACERS, FLUIDS AND MATERIALS (TFMS) ARE NOT PRESCRIBED IN DOCUMENTS	LVMO L. Dale Foust	YAP-2.BQ to be issued. Project-wide training to be performed.	QAR to Perform Verification	08-10-96
YM-96-D-042	02-26-96	RECORDS NOT SUBMITTED TO RPC WITHIN 20 WORKING DAYS OF COMPLETION	LVMO L. Dale Foust	Submit past due records to RPC and revise QAP-17-1, R4 to conform to QARD.	Corrective Action Completion Due	09-30-96
YM-96-D-045	03-12-96	WORK DESCRIBED IN STUDY PLAN BEING IMPLEMENTED PRIOR TO STUDY PLAN APPROVAL	LVMO L. Dale Foust	Complete Study Plan by revising draft, resolving review comments and submit Study Plan for approval.	Corrective Action Completion Due	08-30-96
YM-96-D-060	05-16-96	FAILURE TO FOLLOW PROCEDURE	LVMO L. Dale Foust	Revise Procedures.	Corrective Action Completion Due	09-30-96
YM-96-D-061	05-30-96	FAILURE TO FULLY IMPLEMENT QARD	LVMO L. Dale Foust	Revise Procedures.	Corrective Action Completion Due	09-30-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report
*****Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-070	07-12-96	FAILURE TO PROCEDURALLY CONTROL QUALITY AFFECTING ACTIVITIES - NOTE: THIS DR SUPERSEDES PRS YM-96-P-004, 005, AND 006	LVMO L. Dale Foust		QAR to Evaluate Response	08-21-96
YM-96-D-071	07-22-96	INADEQUATE IMPLEMENTATION OF AUDIT PROGRAM - THIS DOCUMENT SUPERSEDES PR YM-96-P-027	LVMO L. Dale Foust		Response Due	08-19-96
YM-96-D-072	07-24-96	DRILL AND BLAST ACTIVITIES	LVMO L. Dale Foust		Response Due	08-21-96
YM-96-D-075	07-24-96	INADEQUATE IMPLEMENTING PROCEDURES	LVMO L. Dale Foust		Response Due	08-21-96
YM-96-D-077	08-06-96	PO ISSUED W/OUT EVIDENCE OF A QA REVIEW; PURCHASE FROM SUPPLIERS NOT ON THE QSL; RECORDS NOT MAINTAINED IN A 1/HR FIRE-RATED FACILITY	LVMO L. Dale Foust		Response Due	09-04-96
YM-96-D-078	08-06-96	MATERIAL TRACEABILITY OF BASE AND WELD FILLER MATERIALS USED FOR WASTE PACKAGE CLOSURE DEVELOPMENT; WELD TEST COULD NOT BE VERIFIED	LVMO L. Dale Foust		Response Due	09-04-96
YM-96-D-079	08-06-96	UNQUALIFIED SUPPLIER USED; CALIBRATION RECORDS DO NOT MEET THE PROCUREMENT DOCUMENTS; CALIBRATION RECORDS NOT MAINTAINED IN A 1 HR. FIRE RATED FACILITY	LVMO L. Dale Foust		Response Due	09-04-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-96-D-003	06-10-96	PERSONNEL QRPS FOR WESTON PERSONNEL DO NOT CONTAIN REQUIRED INFO.	OHRA James C. Bresee		Corrective Action Completion Due	08-23-96
HQ-96-D-004	06-10-96	QRP S28-1161.00 FOR EIA PERSONNEL DOES NOT CONTAIN EDUCATION REQUIREMENTS	OHRA James C. Bresee		Corrective Action Completion Due	08-23-96
HQ-96-D-005	06-10-96	QRP PACKAGE FOR Z, INC. PERSONNEL DOES NOT HAVE EDUCATION AND EXPERIENCE REQUIREMENTS DOCUMENTEDNOR HAS JUSTIFIATION STATEMENT BEEN PREPARED	OHRA James C. Bresee		Corrective Action Completion Due	08-23-96
HQ-96-D-006	06-10-96	QRP PACKAGE FOR ASTA PERSONNEL DOES NOT HAVE EXPERIENCE REQUIREMENTS DOCUMENT NOR HAS JUSTIFICATION STATEMENT BEEN PREPARED	OHRA James C. Bresee		Corrective Action Completion Due	08-23-96
HQ-96-D-007	06-10-96	QRPS FOR QATSS PERSONNEL DO NOT CONTAIN EDUCATION AND EXPERIENCE REQUIREMENTS NOR HAVE JUSTIFICATION STATEMENTS PEEN PREPARED	OHRA James C. Bresee		Corrective Action Completion Due	08-23-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
SNL-96-D-006	06-11-96	REPORTS SHOULD HAVE HAD ACCEPTABILITY STATEMENT, FOR 95-08 & 95-23, MANAGER OF ORG SURVEILLED WAS NOT INCLUDED IN THE DISTRIBUTION.	SNL Laurence S. Costin		Issue Date	06-11-96
YM-96-D-003	11-07-95	PROCUREMENT RECORDS ARE NOT BEING FORWARDED TO M&O RECORDS (CRF) ---- ISSUED IN REPLACEMENT OF CAR YM-94-093	SNL Laurence S. Costin	Complete a review of procurement records.	Corrective Action Completion Due	05-01-99
YM-96-D-004	02-01-96	SNL PROCEDURES ARE INADEQUATE IN DETAIL ---- ISSUED IN REPLACEMENT OF CAR YM-94-096	SNL Laurence S. Costin	QAIPs to be evaluated and revised, as required.	QAR to Perform Verification	08-09-96
YM-96-D-043	02-28-96	IMPLEMENTING PROCEDURES ARE AMBIGUOUS OR NONEXISTENT	SNL Laurence S. Costin		QAR to Evaluate Amended Response	08-23-96
YM-96-D-044	03-12-96	ACCEPTANCE CRITERIA FOR MODEL VALIDATION NOT PROVIDED IN IMPLEMENTING DOCUMENTS	SNL Laurence S. Costin	Revise implementing procedures and provide additional training to personnel.	Response to Unsatisfactory Verification Due	07-23-96
YM-96-D-074	07-24-96	INADEQUATE IMPLEMENTING PROCEDURE; USE OF UNQUALIFIED SUPPLIER	SNL Laurence S. Costin	Revise procedures and identify and submit QA records.	Corrective Action Completion Due	08-21-96
YM-96-D-080	08-07-96	QAIP 20-1, REV. 3, DOES NOT MEET MINIMUM QARD REQUIREMENTS FOR EXPEDITED CHANGES	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-081	08-07-96	QAIP 5-1 IS NOT CLEAR ABOUT THE SEQUENTIAL ACTIVITIES FOLLOWED TO INITIATE A NEW PROCEDURE AND TO CHANGE A PROCEDURE	SNL Laurence S. Costin		Response Due	09-05-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-082	08-05-96	CHANGE REQUEST FORMS FOR REGCM2 HAD NOT INDICATION OF AFFECTED BASELINE ELEMENTS	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-083	08-07-96	PPC ATTACHED TO PR AS 0296 TO GEOKON, INC. DID NOT DESCRIBE THE QA REQUIREMENTS	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-084	08-07-96	SNL PROCEDURE FOR QA RECORDS DOES NOT MEET THE REQUIREMENTS OF THE QARD FOR THE CORRECTION OF QA RECORDS	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-085	08-07-96	QA RECORDS HAVE BEEN PROCESSED AND ACCEPTED W/OUT BEING IN COMPLIANCE WITH PROCEDURAL REQUIREMENTS	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-086	08-07-96	DRC FORMS FOR REVIEW OF QAIP 5-1 AND QAIP 1-2 AND MARK-UP PROCEDURES HAVE NOT BEEN SUBMITTED TO THE LRC	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-087	08-07-96	ENTRIES MADE IN SCIENTIFIC NOTEBOOK (SN), FRACTURE PROPERTIES EXPERIMENTS WBS 1.2.3.2.7.1.4, WA-0091, TASK 4 WERE NOT SIGNED AND DATED	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-088	08-07-96	TECHNICAL PROCEDURES DO NOT IDENTIFY RECORDS GENERATED AS LIFETIME OR NONPERMANENT AS REQUIRED BY THE QARD	SNL Laurence S. Costin		Response Due	09-05-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-089	08-07-96	REVIEW CRITERIA WERE NOT SPECIFIED ON 3 OF 4 REVIEW PACKAGES AND BLANKS ON DEC FORMS WERE NOT COMPLETED	SNL Laurence S. Costin		Response Due	09-05-96
YM-96-D-090	08-07-96	DOCUMENTATION FOR DEFICIENCIES DO NOT COMPLY WITH REQUIREMENTS	SNL Laurence S. Costin		Response Due	09-05-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-95-D-001	07-26-95	FAILURE TO REVIEW DATA PRIOR TO SUBMITTING MANUSCRIPT FOR TECHNICAL REVIEW	USGS Robert W. Craig		QAR to Evaluate Amended Response	07-19-96
USGS-95-D-002	09-06-95	SPECIAL TRAINING NOT ASSIGNED OR DOCUMENTED	USGS Robert W. Craig		QAR to Evaluate Response	07-12-96
USGS-95-D-005	08-03-95	DOCUMENT CLASSIFICATION NOT DESIGNATED IN QMPS	USGS Robert W. Craig		QAR to Perform Verification	08-23-96
USGS-95-D-009	08-15-95	SAMPLE ANALYSIS PERFORMED BY LABORATORY FOR WHICH NO MANAGEMENT AGREEMENT EXISTS	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-95-D-010	05-08-96	FAILURE TO PERFORM SOURCE DATA REVIEW PRIOR TO TECHNICAL REVIEW OF MANUSCRIPT	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-95-D-011	09-12-95	OPERATOR MANUALS, INSTRUCTIONS RELATED TO TECHNICAL PROCEDURES NOT CONTROLLED/REVIEWED (QDR-95050-2)	USGS Robert W. Craig		Response Due	06-28-96
USGS-95-D-016	09-18-95	SUPPLEMENT TO MOA 25A (LBL) NOT PREPARED FOR COSMOGENIC NUCLIDE WORK	USGS Robert W. Craig		QAR to Evaluate Response	08-23-96
USGS-96-D-002	05-24-96	VENDOR (HUFFMAN) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	USGS Robert W. Craig		Response Due	07-19-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-96-D-003	05-24-96	VENDOR (INSTARR) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	USGS Robert W. Craig		Response Due	07-19-96
USGS-96-D-004	06-17-96	ACCEPTANCE OF SERVICES THAT DID NOT MEET ALL PURCHASE ORDER REQUIREMENTS	USGS Robert W. Craig		QAR to Evaluate Extension Request	08-23-96
USGS-96-D-005	07-26-96	SF6 CONCENTRATIONS EXCEEDED JOB PACKAGE 94-21 REQUIREMENT.	USGS Robert W. Craig		Response Due	08-23-96
YM-95-D-009	08-30-95	SUPPLIER HAS NO PROGRAM FOR THE QUALIFICATION AND PASS-DOWN OF QA REQUIREMENTS TO SUB-TIER SUPPLIERS. NO DOCUMENTED EVIDENCE OF ACCEPTANCE,	USGS Robert W. Craig	Document material acceptance tests and revise program to provide for such testing.	QAR to Evaluate Amended Response	08-07-96
YM-96-D-035	02-06-96	INCOMPLETE IMPLEMENTING DOCUMENTS	USGS Robert W. Craig	Develop implementing procedures.	QAR to Perform Verification	05-30-97
YM-96-D-046	03-19-96	LACK OF M&TE CALIBRATION DOCUMENTATION AND PROCEDURES. CALIBRATION RECORDS NOT PROPERLY MAINTAINED AND M&TE SOFTWARE NOT VERIFIED.	USGS Robert W. Craig		QAR to Evaluate Amended Response	08-01-96
YM-96-D-048	04-12-96	FAILURE TO DOCUMENT AND DISPOSITION OUT OF CALIBRATION CONDITIONS	USGS Robert W. Craig	Document and disposition the out-of-calibration condition.	QAR to Perform Verification	08-16-96
YM-96-D-049	06-03-96	FAILURE OF LOWER-TIER PROCEDURE TO FULLY IMPLEMENT YAP-15.1q, REV. 2, ICN 1 --- THIS DEFICIENCY REPORT IS IN REV 1.	USGS Robert W. Craig	Revise procedures and scientific notebooks.	QAR to Perform Verification	08-16-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-050	04-12-96	FAILURE TO FULLY IMPLEMENT YAP-17.1Q, REV. 0, ICN #4	USGS Robert W. Craig	Revise QMP 3.04.	QAR to Perform Verification	08-16-96
YM-96-D-051	04-12-96	RECORDS NOT ADEQUATELY MAINTAINED RESULTING IN LOST OR MISPLACED RECORDS	USGS Robert W. Craig	Recreate/locate lost or missing records and forward to storage facility.	Corrective Action Completion Due	10-15-96
YM-96-D-052	04-23-96	FAILURE TO FOLLOW PROCEDURES	USGS Robert W. Craig	Remove supplier from the QSL.	QAR needs to prepare closure letter	08-21-96
YM-96-D-058	05-21-96	FAILURE TO IMPLEMENT QA PROGRAM REQUIREMENTS	USGS Robert W. Craig		QAR to Evaluate Response	08-06-96
YM-96-D-076	07-25-96	FAILURE TO FOLLOW PROCEDURE	USGS Robert W. Craig		Response Due	08-22-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
VAMO-96-D-001	07-24-96	NO EVIDENCE THAT REV1 TO MPC CONTRACT CN1 WAS REVIEWED IAW QAP-7-3, REV 0	VAMO R. L. Strickler		Response Due	08-23-96
VAMO-96-D-002	08-13-96	SOME RECIPIENTS CONTINUE TO HOLD OBSOLETE OR SUPERSEDED DOCUMENTS AS CURRENT DOCUMENTS. NO OBJECTIVE EVIDENCE THAT THE INDIVIDUALS WERE NOTIFIED OF DECONTROL OR REVISION BECAUSE THEY WERE REMOVED FROM THE DIST LIST	VAMO R. L. Strickler		Response Due	09-20-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-030	01-16-96	UNQUALIFIED DATA IDENTIFIED AS QUALIFIED IN TECHNICAL DATA BASE	YMSCO Wesley E. Barnes	ATDT to be amended; written guidance to be provided.	Corrective Action Completion Due	09-30-96
YM-96-D-031	01-22-96	RECORDS INDEXING NOT IN ACCORDANCE WITH APPROVED PROCEDURES - THIS DR WAS CONVERTED FROM CAR YM-95-34, REV. 1	YMSCO Wesley E. Barnes	OCRMM program level document for records indexing to be developed.	Corrective Action Completion Due	11-27-96
YM-96-D-047	04-01-96	SELF STUDY ASSIGNMENTS FOR PROCEDURES NOT COMPLETED AS REQUIRED	YMSCO Wesley E. Barnes	Deputy Project Manager to review training maintenance systems.	QAR to Perform Verification	08-16-96
YM-96-D-059	05-17-96	FAILURE TO FOLLOW PROCEDURE	YMSCO Wesley E. Barnes	Reinstruct personnel.	Corrective Action Completion Due	09-06-96
YM-96-D-065	07-22-96		YMSCO Wesley E. Barnes		Response Due	08-19-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-95-P-001	09-27-95	EM-32 SPPS DO NOT ADEQUATELY ADDRESS REQUIREMENTS.	QARD EM32 UNKNOWN DIRECTOR	QARD Matrix, Rev. 2, to be revised to reflect QARD Rev. 5 requirements	Verification Scheduled For	09-30-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-96-P-004	07-12-96	SPP 1.02 IS NOT CURRENT DUE TO A REORGANIZATION WITHIN EM. ALL RESPONSIBILITIES AND REPORTING FUNCTIONS ARE NOT CORRECTLY DEFINED AS REQUIRED BY DOE/RW-0333P, SECTION 1.2	EM323 J. Conway		Response Due	08-09-96
HQ-96-P-005	07-12-96	SPP 4.15, REV. 5 SECTION 4.F.(1) (A) THROUGH (G), DOES NOT IDENTIFY THE TRG REVIEW RECORDS AS "L" OR "N" RECORDS.	EM323 J. Conway		Response Due	08-09-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-P-024	08-05-96	CONTRARY TO MCP-6.1, REV. 4, PARA 1.1, 1.2, 3.3.2, & 3.3.3, MCP-6.2, REV. 2 PARA 3.4.2 AND SPEC. SEC. 02313, REV. 01, PART 4, PARA. 4.01B AN EPC WAS USED TO PROCESS A CHANGE TO A PLAN AND THE PLAN WAS IMPLEMENTED PRIOR TO REVIEW AND APPROVAL BY THE A/E	K/PB W. D. WIGHTMAN		Response Due	08-30-96
YM-96-P-002	10-19-95	QUALITY CONTROL PROCEDURE NOT UPDATED TO CURRENT SPECIFICATION REQUIREMENTS	K/PB W. D. WIGHTMAN	Revise QCP-007 and TCP-2.25.	Corrective Action Completion Due	09-30-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-P-029	07-03-96	INADEQUATE PROCEDURE AND FAILURE TO FOLLOW DOCUMENT CONTROL REQUIREMENTS	LANL Julie A. Canepa		QAR to Evaluate Response	08-08-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LBNL-96-P-004	12-01-95	BAROMETER CALIBRATION	LBNL Gudmundur Bodvarsson		Corrective Action Completion Due	08-31-96
LBNL-96-P-006	07-09-96		LBNL Gudmundur Bodvarsson		Corrective Action Completion Due	08-28-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-P-014	12-21-95	ITEMS WERE FOUND WITHOUT REQUIRED TAGGING	LLNL Willis L. Clarke	Revise and implement procedures.	QAR to Perform Verification	08-30-96
YM-96-P-015	12-21-95	CALIBRATION LAB DOES NOT MONITOR TEMPERATURE AND HUMIDITY AND DOES NOT IDENTIFY ACCEPTANCE TOLERANCES	LLNL Willis L. Clarke	Correct existing procedure.	Corrective Action Completion Due	08-30-96
YM-96-P-026	05-08-96	PROCEDURE OUT OF DATE	LLNL Willis L. Clarke	Revise procedures.	Corrective Action Completion Due	08-15-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-P-033	08-08-96	VIOLATION: SPECIFICATION 02341 REV.03;SUBMITTAL ALLOWS THE CONSTRUCTOR TO FABRICATE TOLERANCES CONTRARY TO THE SPECIFICATION REQUIREMENTS.	LVMO L. Dale Foust		Response Due	08-30-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-P-030	08-07-96	GEEKON WAS EVALUATED FOR APPROVAL AS A QUALIFIED SUPPLIER, HOWEVER, NO EVIDENCE THAT REQUIRED ACCEPTANCE CORRESPONDENCE WAS GENERATED	SNL Laurence S. Costin		Response Due	09-05-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-95-P-002	08-08-95	QMP-3.03, RS-M1, PARAGRAPH 5.3.2.2, FAILURE TO IMPLEMENT QARD REQUIREMENTS	USGS Robert W. Craig	MODIFICATION PREPARED FOR QMP-3.03	Closure Letter in Process	08-02-96
USGS-96-P-001	12-20-95	TEMPERATURE MEASUREMENTS NOT RECORDED FOR ARCHAMADES METHOD (HP-229)	USGS Robert W. Craig	MODIFY HP-229 AND ANNOTATE TWO DATA PACKAGES	Verification Scheduled For	07-03-96
USGS-96-P-002	12-20-95	EXPEDITED MODIFICATION TO HP-229 NOT FORWARDED FOR QA REVIEW WITHIN 30 DAYS	USGS Robert W. Craig		QAR to Perform Verification	08-23-96
USGS-96-P-004	12-20-95	DEFICIENCIES WITH METEOROLOGY DATA	USGS Robert W. Craig		Deficiency document to be reissued as Rev. 1	07-19-96
USGS-96-P-006	12-26-95	TECHNICAL PROCEDURES NOT UPDATED	USGS Robert W. Craig		QAR to Evaluate Verification Info.	07-03-96
USGS-96-P-007	03-21-96	PURCHASE ORDER NOT TREATED AS QUALITY AFFECTING (PAROSCIENTIFIC)	USGS Robert W. Craig		Response Due	07-19-96
USGS-96-P-010	03-21-96	LATE RECORD SUBMITTALS FOR REPORTS (QDR-95009)	USGS Robert W. Craig		Response Due	07-19-96
USGS-96-P-011	03-22-96	QA RECORDS NOT SUBMITTED TO RECORDS SYSTEM	USGS Robert W. Craig		Deficiency document to be reissued as Rev. 1	08-23-96
USGS-96-P-012	04-29-96	DEVELOP ACTION PLAN TO PREVENT RECURRENCE	USGS Robert W. Craig		QAR to Perform Verification	08-23-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPNSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-96-P-013	05-03-96	DATA REVIEWS NOT PERFORMED PRIOR TO PUBLICATION REVIEWS	USGS Robert W. Craig	REVIEW DATA	QAR to Perform Verification	07-19-96
USGS-96-P-014	05-02-96	SAMPLE COLLCTION REPORTS NOT PREPARED FOR GAS SAMPLES	USGS Robert W. Craig		Response Due	09-06-96
USGS-96-P-015	05-02-96	SOURCE VERIFICATION NOT PERFORMED	USGS Robert W. Craig		Closure Letter in Process	08-08-96
YN-95-P-010	08-29-95	NO DETAILED PROCEDURES FOR CALIBRATION OF LIQUID SCINTILLATION COUNTERS	USGS Robert W. Craig	Issue calibration procedure for L.C. counters.	QAR to Perform Verification	02-28-97
YN-96-P-022	02-01-96	RECORDS NOT STORED TO PREVENT DAMAGE	USGS Robert W. Craig		QAR to Evaluate Amended Response	08-16-96
YN-96-P-024	04-12-96	FAILURE TO IMPLEMENT QARD, SECTION 17.2.4A	USGS Robert W. Craig	Revise implementing procedures and perform additional training.	QAR to Perform Verification	08-16-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

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HQ-96-P-002	02-27-96	LIFE CYCLE PLANS FOR SEVERAL SOFTWARE PRODUCTS DID NOT IDENTIFY CONTROL POINTS IN BOLDFACE IAW QAP-SI-1.	VAMO R. L. Strickler		Response Due	09-30-96
HQ-96-P-003	02-27-96	QA RECORDS GENERATED BY QAP-6-1 AND MLP-6-1 WERE NOT IDENTIFIED BY THE IMPLEMENTING DOCUMENTS.	VAMO R. L. Strickler	Lifetime and/or nonpermenant QA records generated by M&O QAP-6-1, Rev. 2 and MLP-6-1, Rev. 2, P01 were not identified by the implementing documents.	Closure Letter in Process	07-25-96
VAMO-96-P-005	07-23-96	DESIGN REQUIREMENTS DOCUMENTS DRD (MPC REV 2, MPC TRANS CASK REV 2, OST/OSS REV 2) DISTRIBUTED TO CONTROLLED DISTRIBUTION PRIOR TO THE COMPLETION OF BASELINING	VAMO R. L. Strickler		Response Due	08-16-96
VAMO-96-P-006	08-13-96	STEPS NOT BEING TAKEN BY RMS/RECIPIENTS TO PROVIDE DCC WITH UPDATED HOLDER INFORMATION RESULTING FROM REORGANIZATION, REASSIGNMENT OR TERMINATION OF PERSONNEL	VAMO R. L. Strickler		Response Due	09-20-96
VAMO-96-P-007	08-13-96	SOME RECIPIENTS UNABLE TO LOCATE CONTROLLED COPIES OF OLDER DOCUMENTS. DOCUMENTS NO LONGER BEING USED. PR ISSUED TO DECONTROL THESE OBSOLETE DOCUMENTS	VAMO R. L. Strickler		Response Due	09-20-96

Aug 15, 1996 1:19 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

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YM-96-P-011	11-28-95	PROCEDURE DOES NOT REQUIRE JUSTIFICATION OF THE METHOD OF QUALIFICATION, AS REQUIRED BY THE QARD	YMSCO Wesley E. Barnes	YAP-S111.1Q to be modified.	QAR to Perform Verification	08-14-96
YM-96-P-028	06-10-96	POSITION QUALIFICATION STATEMENTS (PQS) AND TRAINING ASSIGNMENTS (TA) NOT SUBMITTED FOR FOUR EMPLOYEES	YMSCO Wesley E. Barnes	Submit position qualifications and training assignments in accordance with YLP-2.1Q.	QAR to Perform Verification	08-16-96