



Department of Energy
Washington, DC 20585

QA: L

NOV 14 1997

L. D. Foust, Technical Project Officer
for Yucca Mountain Site
Characterization Project
TRW Environmental Safety Systems, Inc.
1180 Town Center Drive, M/S 423
Las Vegas, NV 89134

EVALUATION OF RESPONSE TO DEFICIENCY REPORT (DR) YM-97-D-077
RESULTING FROM OFFICE OF QUALITY ASSURANCE (OQA) AUDIT
LANL-ARC-97-19 OF LOS ALAMOS NATIONAL LABORATORY

The OQA staff has evaluated the response to DR YM-97-D-077. The response has been determined to be satisfactory. Verification of completion of the corrective action will be performed after the effective date provided. Any extension to this date must be requested in writing, with appropriate justification, prior to the date. Please send a copy of extension requests to Deborah Sult, OQA/QATSS, P.O. Box 30307, Mail Stop 455, North Las Vegas, Nevada 89036-0307.

If you have any questions, please contact either James Blaylock at (702) 794-1420 or Cynthia A. Humphries-Alder at (702) 794-1336.

R.W. Cap
for Donald G. Horton, Director
Office of Quality Assurance

OQA:JB-0261

Enclosure:
DR YM-97-D-077

cc w/encl:
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OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8 ☐ Performance Report
☒ Deficiency Report

NO. YMP-97-D-077

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PERFORMANCE/DEFICIENCY REPORT

1 Controlling Document:
DOE/RW-0333P, Rev. 7, Quality Assurance Program Description

2 Related Report No.
LANL-ARC-97-19

3 Responsible Organization:
Los Alamos National Laboratory

4 Discussed With:
Mike Clevinger, Cloves Martinez

5 Requirement/Measurement Criteria:

Section 5.2.2 states, "Implementing documents shall include the following information as appropriate to the work to be performed:...H. Identification of the lifetime and nonpermanent quality assurance records generated by the implementing document...."

Section 17.2.2 A. states, "Implementing documents shall:

1. Identify those documents that will become QA records.
2. Identify the organization responsible for submitting the QA records to the records management system."

6 Description of Condition:

Contrary to the above, no evidence could be found of these requirements within the following reviewed procedures:

LANL-YMP-QP-02.5, R4
LANL-YMP-QP-02.7, R4
LANL-YMP-QP-02.11, R6
LANL-YMP-QP-06.1, R8
LANL-YMP-QP-06.2, R6
LANL-YMP-QP-06.3, R5
LANL-YMP-QP-18.2, R6

7 Initiator

Cynthia A. Humphries-Alder
Cynthia A. Humphries-Alder

Date

7/31/97

9 Is condition an isolated occurrence?

☐ Yes ☒ No ☐ Unknown; Must be Yes if PR

10 Recommended Actions: (Not required for PR)

1. Investigate the extent of the deficiency,
2. Determine actions needed to preclude recurrence.
3. Revise procedures to include the above mentioned QARD requirements.

11 Reviewer

Cynthia A. Humphries-Alder
Cynthia A. Humphries-Alder

Date

7/31/97

12 Response Due Date

20 working days from issuance

13 Director, OQA Issuance Approval: (QAR for PR)

Printed Name

DONALD G. HORTON

Signature

Donald G. Horton

Date

6/8/97

22 Corrective Actions Verified

OAR

Date

23 Closure Approved by: (N/A for PR)

DOQA

Date

OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

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PERFORMANCE/DEFICIENCY REPORT RESPONSE

14 Remedial Actions:

See Attachment 1

15 Extent of Condition: (Not required for PR)

See Attachment 1

16 Root Cause Determination: (Not required for PR)

Required: ☐ Yes ☒ No

17 Action to Preclude Recurrence: (Not required for PR)

Required: ☒ Yes ☐ No

See Attachment 1

18 Corrective Action Completion Due Date:

1/30/98

19 Response by:

Michael Cleverger Date 10 Oct. 97 Phone 505.665.1454

20 Response Accepted

QAB

Date

10/22/97

21 Response Accepted (N/A for PR)

DOQA

Date

11/14/97

10/10/97

EES-13-10-97-1716

Pg 2 of 2

Remedial Actions:

Lifetime and/or non-permanent records identification requirements will be documented in a memorandum to employees. The memo will provide the necessary vehicle to transmit the records identification requirements of the QARD, Sections 5.2.2, and 17.2.2 until the time that the noted requirements are included in LANL-YMP-QP-06.2 and LANL-YMP-QP-06.3 as defined in the Actions to Preclude Recurrence Section below.

Extent of Condition:

Records at LANL YMP have been historically identified to meet the above noted requirements, thus no adverse impact to quality has been created.

Action to Preclude Recurrence:

QARD requirements, described in the above remedial action will be incorporated in LANL-YMP-QP-06.2, Preparation, Review, and Approval of Quality Administrative Procedures, and LANL-YMP-QP-06.3, Preparation, Review, and Approval of Detailed Technical Procedures. It will be noted, in Attachment 2, Section 7, of both procedures, that all QA records generated by the respective QPs or DPs, are designated as lifetime records, unless otherwise noted. Completion date for these actions is January 30, 1998.