



Department of Energy
Washington, DC 20585

QA: L

NOV 12 1997

R. W. Craig, Technical Project Officer
for Yucca Mountain Site
Characterization Project
U.S. Geological Survey
1261 Town Center Drive
Building 12, Room 1249, M/S 423
Las Vegas, NV 89134

ISSUANCE OF DEFICIENCY REPORT (DR) USGS-98-D-004 RESULTING FROM A RECORDS
REVIEW

Enclosed is DR USGS-98-D-004.

Please provide a response to this deficiency that meets the applicable requirements of Administrative Procedure 16.1Q, Performance/Deficiency Reporting. Send the original of your response to Deborah G. Sult, OQA/QATSS, P.O. Box 30307, Mail Stop 455, North Las Vegas, Nevada 89036-0307. Response to the DR is due 20 working days from the date of this letter. Any extension to the due date must be requested in writing, with appropriate justification, prior to the due date.

If you have any questions, please contact either James Blaylock at (702) 794-1420 or Donna J. Sinks at (303) 236-5050.

R.W. Cal
for Donald G. Horton, Director
Office of Quality Assurance

OQA:JB-0300

Enclosure:
DR USGS-98-D-004

cc w/encl:

J. O. Thoma, NRC, Washington, DC
S. W. Zimmerman, NWPO, Carson City, NV
T. H. Chaney, USGS, Denver, CO
D. J. Sinks, OQA/USGS, Denver, CO
A. M. Whiteside, OQA/USGS, Denver, CO

cc w/o encl:

W. L. Belke, NRC, Las Vegas, NV
D. G. Sult, OQA/QATSS, Las Vegas, NV
R. W. Clark, DOE/OQA, Las Vegas, NV

Recp: NMSS/PAHL

NH 33

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102.7*



OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

PR/DR NO. USGS-98-D-004
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PERFORMANCE/DEFICIENCY REPORT RESPONSE

14 Remedial Actions:

15 Extent of Condition: (Not required for PR)

16 Root Cause Determination: (Not required for PR)

Required:

☐ Yes

☐ No

17 Action to Preclude Recurrence: (Not required for PR)

Required:

☐ Yes

☐ No

18 Corrective Action Completion Due Date:

19 Response by:

Date

Phone

20 Response Accepted

QAR

Date

21 Response Accepted (N/A for PR)

DOQA

Date

ORIGINAL

OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8 ☐ Performance Report
☒ Deficiency Report

NO. USGS-98-D004

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PERFORMANCE/DEFICIENCY REPORT

1 Controlling Document:

AP-17.1Q, R0, Record Source Responsibilities for Inclusionary Records

2 Related Report No.

N/A

3 Responsible Organization:

USGS, Planning and Support Program

4 Discussed With:

Joyce Golos, Tom Chaney, Richard Powe, Martha Mustard

5 Requirement/Measurement Criteria:

1. AP-17.1Q, Subpara. 5.7.6.a, states, in part, that "Submit records packages by transmittal using the Transmittal/Receipt Acknowledgment within 90 calendar days of completion of the earliest completed records to be included in the records package, or store QA records that have been completed for more than 90 calendar days in accordance with Subparagraph 5.3.f until the records package is complete."
2. AP-17.1Q, Subpara. 5.3.f, states, in part, that "Protect QA records that are part of a records package that have been completed for more than 90 days by placing them in temporary storage, providing dual storage, or submitting them to the RPC for protection in accordance with Subparagraph 5.7.6.c. NOTE: Temporary storage..... At the end of one year, records in temporary storage are submitted to the RPC."

6 Description of Condition:

Several completed procurement records for fiscal years 96 and 97 were not submitted to the Records Processing Center (RPC) within the specified time limit, nor were they protected appropriately, as required. Procurement records which were ready for submittal to the RPC were held because of corrective actions relative to Deficiency Report USGS-97-D004. Procurement records related to USGS-97-D004, as well as 10 agreements from FY96 (#96-1, #96-2, #96-4, #96-5, #96-7, #96-8, #96-9, #96-11, #96-12, #96-13) and purchase order USGS 1434-CR-96-SA-00517 for Beta Analytical, have not yet been submitted to the RPC.

NOTE: At the time the record packages should have been submitted, the USGS was implementing YMP-USGS-QMP-17.01. As a result of deficiency report YM-97-D-040, the USGS rescinded QMP-17.01 August 1, 1997 and began implementing AP-17.1Q

7 Initiator

Barbara Hersch

Date 10/17/97

9 Is condition an isolated occurrence?

☐ Yes ☐ No ☐ Unknown; Must be Yes if PR

10 Recommended Action: (Not required for PR)

1. Complete an investigation of completed purchase orders (YMP-USGS-QMP-4.01) and agreements (YMP-USGS-QMP-4.02) to identify any additional procurement records that need to be submitted to the RPC.
2. Determine if Root Cause Determination is necessary.
3. For "Action to Preclude Recurrence" (Block 17 of the response), consider the "Action to Preclude Recurrence" for USGS-97-D007. In that DR, B. Hersch was designated to oversee all USGS-YMP QA procurements. Ms. Hersch, in her new assignment, discovered the deficient condition described in this new DR. Please include that action, if applicable, as well as any additional Actions to Preclude Recurrence that may be necessary relative to this new DR.
4. Annotate each affected records package with a reference to this DR.
5. Submit all affected procurement record packages to the RPC.

11 QA Review:

QAR Donna Sinks

Date 10/20/97

12 Response Due Date

20 working days from issuance

13 Director, OQA Issuance Approval: (QAR for PR)

Printed Name Donald G. Hunter

Signature

R.W. Coe

for

Date 11/7/97

22 Corrective Action Verified

QAR

Date

23 Closure Approved by: (N/A for PR)

DOQA

ENCLOSURE