



Department of Energy

Washington, DC 20585

QA: L

JUL 18 1997

L. D. Foust, Technical Project Officer
for Yucca Mountain Site
Characterization Project
TRW Environmental Safety Systems, Inc.
1180 Town Center Drive, M/S 423
Las Vegas, NV 89134

ISSUANCE OF DEFICIENCY REPORT (DR) YM-97-D-069

Enclosed is DR YM-97-D-069 generated to Technical Publications Management.

Please provide a response to this deficiency that meets the applicable requirements of Administrative Procedure 16.1Q, Performance/Deficiency Reporting. Send the original of your response to Deborah Sult, OQA/QATSS, P.O. Box 30307, Mail Stop 455, North Las Vegas, Nevada 89036-0307. Response to the DR is due 20 working days from the date of this letter. Any extension to the due date must be requested in writing, with appropriate justification, prior to the due date.

If you have any questions, please contact either James Blaylock at (702) 794-1420 or James J. George at (202) 586-7220.

R.W. Clark
for Donald G. Horton, Director
Office of Quality Assurance

OQA:JB-1933

Enclosure:
DR YM-97-D-069

cc w/encl:
T. A. Wood, DOE/HQ (RW-55) FORS
J. O. Thoma, NRC, Washington, DC
S. W. Zimmerman, NWPO, Carson City, NV
R. L. Strickler, M&O, Vienna, VA
B. R. Justice, M&O, Las Vegas, NV
R. A. Morgan, M&O, Las Vegas, NV

cc w/o encl:
Samuel Rousso, DOE/HQ (RW-50) FORS
Carl Weber, DOE/HQ (RW-3) FORS
W. L. Belke, NRC, Las Vegas, NV
J. J. George, OQA/QATSS, Washington, DC
D. G. Sult, OQA/QATSS, Las Vegas, NV
R. W. Clark, DOE/OQA, Las Vegas, NV

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OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8 ☐ Performance Report
☒ Deficiency Report
NO. YM-97-D-069
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PERFORMANCE/DEFICIENCY REPORT

1 Controlling Document: M&O QAP-6-1, Rev. 3, Submittal and Use of Controlled Documents		2 Related Report No. N/A	
3 Responsible Organization: Technical Publications Management		4 Discussed With: Tricia White	
5 Requirement/Measurement Criteria: M&O QAP-6-1, Rev. 3, Section 4.2.B identifies that Document Originators' Responsible Managers have responsibilities for implementing the QAP. Section 5.2.2 requires that "the Responsible Manager shall sign and date the [Document Control Action Request] DCAR, approving the release of the document for controlled distribution or of the cancellation of the controlled document ..."			
6 Description of Condition: Contrary to the above requirement, the Technical Publications Management (TPM) Manager signed a DCAR on June 10, 1997, approving the release of the cancellation of NLP-SIII-4. L. D. Croft is the Responsible Manager for the cancellation of NLP-SIII-4. The TPM Manager also signed a DCAR on May 30, 1997, approving the release of QAP-2-3, Rev. 8. R. C. Wagner is the Responsible Manager of the QAP-2-3, Rev. 8.			
7 Initiator <u>Margaret A. Shepherd</u> Margaret A. Shepherd Date 07/07/97		9 Is condition an isolated occurrence? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unknown; Must be Yes if PR	
10 Recommended Actions: (Not required for PR) <u>SEE ATTACHMENT/CONTINUATION PAGE.</u>			
11 QA Review: <u>QAR JAMES GEORGE / James George</u> Date <u>7/8/97</u>		12 Response Due Date 20 working days from issuance	
13 Director, OQA Issuance Approval: (QAR for PR) Printed Name <u>Donald G. Horton</u> Signature <u>R.W. Clif</u> Date <u>7/17/97</u>			
22 Corrective Actions Verified QAR Date		23 Closure Approved by: (N/A for PR) AOQAM Date	

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WASHINGTON, D.C.**

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PR/DR CONTINUATION PAGE

10 Recommended Actions (from page 1)

Correct the previously issued DCARs by obtaining appropriate manager signatures.
Investigate extent and potential impact of deficiency, and take appropriate actions to prevent recurrence.

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WASHINGTON, D.C.

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PERFORMANCE/DEFICIENCY REPORT RESPONSE

14 Remedial Actions:

15 Extent of Condition: (Not required for PR)

16 Root Cause Determination: (Not required for PR)

Required: ☐ Yes ☐ No

17 Action to Preclude Recurrence: (Not required for PR)

Required: ☐ Yes ☐ No

18 Corrective Action Completion Due Date:

19 Response by:

Date

Phone

20 Response Accepted

21 Response Accepted (N/A for PR)

QAR

Date

DOQA

Date

Document Control Action Request

Complete only applicable items.

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Page: 1 Of: 1

A. Requestor

TO: Document Control Center <input type="checkbox"/> Vienna <input checked="" type="checkbox"/> Las Vegas <input type="checkbox"/> DRC		DATE 06/10/97
REQUESTOR S. L. MOORE		DEPARTMENT TECHNICAL PUBLICATIONS
LOCATION SUM1	MAILSTOP SUM1/423	PHONE (702)295-7162

B. Action Requested

<input checked="" type="checkbox"/>	Issue attached as a controlled document	<input type="checkbox"/>	Add new recipient to distribution list
<input type="checkbox"/>	Issue attached as a managed document	<input type="checkbox"/>	Remove recipient from distribution list
<input type="checkbox"/>	Cancel/Void a document	<input type="checkbox"/>	Transfer holdings
<input type="checkbox"/>	Other - Describe:		

C. Recipient Data

LAST NAME N/A	FIRST NAME N/A	MIDDLE INI. N/A	COPY NO., IF APPLICABLE N/A
COMPANY/ORGANIZATION N/A		PHONE (
DEPARTMENT N/A	LOCATION N/A	MAILSTOP N/A	


D. Document Description ☐ Electronic copy submitted

TITLE SCIENTIFIC INVESTIGATION CONTROL		REVISION CANCELLATION
DOCUMENT IDENTIFIER NLP-SIII-4	ICN NO. 0	EFFECTIVE DATE 06/06/97

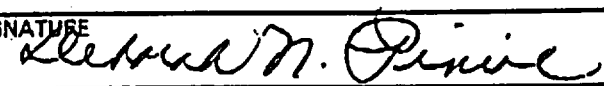
E. Distribution Instructions/Additional Remarks

PLEASE DISTRIBUTE NOTICE OF CANCELLATION TO PERSONS ON DISTRIBUTION FOR NLP-SIII-4, REV. 2
--

F. Approval for Release

RESPONSIBLE MANAGER NAME Ruth Belanger	SIGNATURE 
POSITION Manager, Technical Publications Management	DATE 6-10-97

DCC USE ONLY

DATE RECEIVED	DATE REVIEWED 6/13/97	DATE COMPLETED 6/16/97
DOCUMENT CLASS	ID	
DCC STAFF NAME Deborah N. PinioL	SIGNATURE 	

DAP-6.1 (REVISED 09/30/96)

0030 (Rev. 07/02/96)

A. Requestor

TO: Document Control Center <input checked="" type="checkbox"/> Vienna <input type="checkbox"/> Las Vegas <input type="checkbox"/> DRC		DATE 05/29/97
REQUESTOR Sandra L. Moore		DEPARTMENT Technical Publications Management
LOCATION SUM1	MAILSTOP 423/1105	PHONE (702)295-7162

B. Action Requested

<input checked="" type="checkbox"/>	Issue attached as a controlled document	Add new recipient to distribution list
<input type="checkbox"/>	Issue attached as a managed document	Remove recipient from distribution list
<input type="checkbox"/>	Cancel/Void a document	Transfer holdings
<input type="checkbox"/>	Other - Describe:	

C. Recipient Data

LAST NAME N/A	FIRST NAME N/A	MIDDLE INI. N/A	COPY NO., IF APPLICABLE N/A
COMPANY/ORGANIZATION N/A		PHONE (
DEPARTMENT N/A	LOCATION N/A	MAILSTOP N/A	

D. Document Description ☐ Electronic copy submitted

TITLE Classification of Permanent Items		REVISION 8
DOCUMENT IDENTIFIER and 5/30/97 QAP-2-3	ICN NO. 0	EFFECTIVE DATE 06/16/97

E. Distribution Instructions/Additional Remarks

Please issue to persons on distribution for QAP-2-3, Rev. 7
15 Pgs.
DTAR DUE: 07-14-97

F. Approval for Release

RESPONSIBLE MANAGER NAME Ruth Belanger	SIGNATURE Ruth Belanger
POSITION Manager, Technical Publications Management	DATE 5-30-97

DCC USE ONLY

DATE RECEIVED 6-2-97 JFS	DATE REVIEWED 6-9-97 JFS	DATE COMPLETED 6-11-97 JFS
DOCUMENT CLASS QAP	ID QAP-2-3	
DCC STAFF NAME Sally Stewart	SIGNATURE Sally Stewart	