



Department of Energy

Washington, DC 20585

QA: L

JUL 18 1997

L. D. Foust, Technical Project Officer
for Yucca Mountain Site
Characterization Project
TRW Environmental Safety Systems, Inc.
1180 Town Center Drive, M/S 423
Las Vegas, NV 89134

ISSUANCE OF DEFICIENCY REPORT (DR) YM-97-D-069

Enclosed is DR YM-97-D-069 generated to Technical Publications Management.

Please provide a response to this deficiency that meets the applicable requirements of Administrative Procedure 16.1Q, Performance/Deficiency Reporting. Send the original of your response to Deborah Sult, OQA/QATSS, P.O. Box 30307, Mail Stop 455, North Las Vegas, Nevada 89036-0307. Response to the DR is due 20 working days from the date of this letter. Any extension to the due date must be requested in writing, with appropriate justification, prior to the due date.

If you have any questions, please contact either James Blaylock at (702) 794-1420 or James J. George at (202) 586-7220.

R.W. Clark
for Donald G. Horton, Director
Office of Quality Assurance

OQA:JB-1933

Enclosure:
DR YM-97-D-069

cc w/encl:
T. A. Wood, DOE/HQ (RW-55) FORS
J. O. Thoma, NRC, Washington, DC
S. W. Zimmerman, NWPO, Carson City, NV
R. L. Strickler, M&O, Vienna, VA
B. R. Justice, M&O, Las Vegas, NV
R. A. Morgan, M&O, Las Vegas, NV

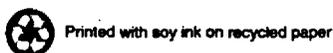
cc w/o encl:
Samuel Rousso, DOE/HQ (RW-50) FORS
Carl Weber, DOE/HQ (RW-3) FORS
W. L. Belke, NRC, Las Vegas, NV
J. J. George, OQA/QATSS, Washington, DC
D. G. Sult, OQA/QATSS, Las Vegas, NV
R. W. Clark, DOE/OQA, Las Vegas, NV

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OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8 Performance Report
 Deficiency Report
NO. YM-97-D-069
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PERFORMANCE/DEFICIENCY REPORT

1 Controlling Document:
M&O QAP-6-1, Rev. 3, Submittal and Use of Controlled Documents

2 Related Report No.
N/A

3 Responsible Organization:
Technical Publications Management

4 Discussed With:
Tricia White

5 Requirement/Measurement Criteria:
M&O QAP-6-1, Rev. 3, Section 4.2.B identifies that Document Originators' Responsible Managers have responsibilities for implementing the QAP. Section 5.2.2 requires that "the Responsible Manager shall sign and date the [Document Control Action Request] DCAR, approving the release of the document for controlled distribution or of the cancellation of the controlled document ..."

6 Description of Condition:
Contrary to the above requirement, the Technical Publications Management (TPM) Manager signed a DCAR on June 10, 1997, approving the release of the cancellation of NLP-SIII-4. L. D. Croft is the Responsible Manager for the cancellation of NLP-SIII-4. The TPM Manager also signed a DCAR on May 30, 1997, approving the release of QAP-2-3, Rev. 8. R. C. Wagner is the Responsible Manager of the QAP-2-3, Rev. 8.

7 Initiator Margaret A. Shepherd
Margaret A. Shepherd Date 07/07/97

9 Is condition an isolated occurrence?
 Yes No Unknown; Must be Yes if PR

10 Recommended Actions: (Not required for PR)
SEE ATTACHMENT/CONTINUATION PAGE.

11 QA Review:
QAR JAMES GEORGE James George Date 7/8/97

12 Response Due Date
20 working days from issuance

13 Director, OQA Issuance Approval: (QAR for PR)
Printed Name Donald G. Horton Signature R.W. Cliff Date 7/17/97

22 Corrective Actions Verified
QAR Date

23 Closure Approved by: (N/A for PR)
AOQAM Date

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RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.**

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PR/DR CONTINUATION PAGE

10 Recommended Actions (from page 1)

Correct the previously issued DCARs by obtaining appropriate manager signatures.
Investigate extent and potential impact of deficiency, and take appropriate actions to prevent recurrence.

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PERFORMANCE/DEFICIENCY REPORT RESPONSE

14 Remedial Actions:

15 Extent of Condition: (Not required for PR)

16 Root Cause Determination: (Not required for PR)

Required: Yes No

17 Action to Preclude Recurrence: (Not required for PR)

Required: Yes No

18 Corrective Action Completion Due Date:

19 Response by:

Date

Phone

20 Response Accepted

21 Response Accepted (N/A for PR)

QAR

Date

DOQA

Date

Document Control Action Request

Complete only applicable items.

A. Requestor

TO: Document Control Center		<input type="checkbox"/> Vienna	<input checked="" type="checkbox"/> Las Vegas	<input type="checkbox"/> DRC	DATE
					06/10/97
REQUESTOR			DEPARTMENT		
S. L. MOORE			TECHNICAL PUBLICATIONS		
LOCATION	MAILSTOP	PHONE			
SUM1	SUM1/423	(702)295-7162			

B. Action Requested

<input checked="" type="checkbox"/>	Issue attached as a controlled document	<input type="checkbox"/>	Add new recipient to distribution list
<input type="checkbox"/>	Issue attached as a managed document	<input type="checkbox"/>	Remove recipient from distribution list
<input type="checkbox"/>	Cancel/Void a document	<input type="checkbox"/>	Transfer holdings
<input type="checkbox"/>	Other - Describe:		

C. Recipient Data

LAST NAME	FIRST NAME	MIDDLE INI.	COPY NO., IF APPLICABLE
N/A	N/A	N/A	N/A
COMPANY/ORGANIZATION		PHONE	
N/A		(
DEPARTMENT	LOCATION	MAILSTOP	
N/A	N/A	N/A	

D. Document Description Electronic copy submitted

TITLE		REVISION
SCIENTIFIC INVESTIGATION CONTROL		CANCELLATION
DOCUMENT IDENTIFIER	ICN NO.	EFFECTIVE DATE
NLP-SIII-4	0	06/06/97

E. Distribution Instructions/Additional Remarks

PLEASE DISTRIBUTE NOTICE OF CANCELLATION TO PERSONS ON DISTRIBUTION FOR NLP-SIII-4, REV. 2

F. Approval for Release

RESPONSIBLE MANAGER NAME	SIGNATURE
Ruth Belanger	
POSITION	DATE
Manager, Technical Publications Management	6-10-97

DCC USE ONLY

DATE RECEIVED	DATE REVIEWED	DATE COMPLETED
	6/13/97	6/16/97
DOCUMENT CLASS	ID	
DCC STAFF NAME	SIGNATURE	
Deborah N. Pinio		

A. Requestor

TO: Document Control Center		<input checked="" type="checkbox"/> Vienna	<input type="checkbox"/> Las Vegas	<input type="checkbox"/> DRC	DATE 05/29/97
REQUESTOR Sandra L. Moore			DEPARTMENT Technical Publications Management		
LOCATION SUM1	MAILSTOP 423/1105	PHONE (702)295-7162			

B. Action Requested

<input checked="" type="checkbox"/>	Issue attached as a controlled document	Add new recipient to distribution list
<input type="checkbox"/>	Issue attached as a managed document	Remove recipient from distribution list
<input type="checkbox"/>	Cancel/Void a document	Transfer holdings
<input type="checkbox"/>	Other - Describe:	

C. Recipient Data

LAST NAME N/A	FIRST NAME N/A	MIDDLE INI. N/A	COPY NO., IF APPLICABLE N/A
COMPANY/ORGANIZATION N/A		PHONE (
DEPARTMENT N/A	LOCATION N/A	MAILSTOP N/A	

D. Document Description Electronic copy submitted

TITLE Classification of Permanent Items	REVISION 8
DOCUMENT IDENTIFIER and 5/30/97 QAP-2-3	EFFECTIVE DATE 06/16/97
ICN NO. 0	

E. Distribution Instructions/Additional Remarks

Please issue to persons on distribution for QAP-2-3, Rev. 7

15 Pgs.

DTR DUE: 07-14-97

F. Approval for Release

RESPONSIBLE MANAGER NAME Ruth Belanger	SIGNATURE <i>Ruth Belanger</i>
POSITION Manager, Technical Publications Management	DATE 5-30-97

DCC USE ONLY

DATE RECEIVED 6-2-97 <i>STB</i>	DATE REVIEWED 6-9-97 <i>STB</i>	DATE COMPLETED 6-11-97 <i>STB</i>
DOCUMENT CLASS QAP	ID QAP-2-3	
DCC STAFF NAME Sally Stewart	SIGNATURE <i>Sally Stewart</i>	