



Department of Energy

Washington, DC 20585

MAY 22 1997

QA: L

R. E. Erickson, Director
Office of Eastern Operations (EM-323)
U.S. Department of Energy Headquarters
Trevion Building
12800 Middlebrook Road
Germantown, MD 20874

ISSUANCE OF DEFICIENCY REPORT (DR) YM-97-D-043 RESULTING FROM OFFICE OF QUALITY ASSURANCE (OQA) OBSERVATION OF U.S. DEPARTMENT OF ENERGY ENVIRONMENTAL MANAGEMENT AUDITS

Enclosed is DR YM-97-D-043 generated as a result of an OQA observation.

Please provide a response to this deficiency that meets the applicable requirements of Administrative Procedure 16.1Q, Performance/Deficiency Reporting. Send the original of your response to Deborah Sult, OQA/QATSS, P.O. Box 30307, Mail Stop 455, North Las Vegas, Nevada 89036-0307. Response to the DR is due 20 working days from the date of this letter. Any extension to the due date must be requested in writing, with appropriate justification, prior to the due date.

If you have any questions, please contact either James Blaylock at (702) 794-1420 or Gary D. Wood at (202) 586-5560.

Handwritten signature of Donald G. Horton

Donald G. Horton, Director
Office of Quality Assurance

OQA:JB-1580

Enclosure:
DR YM-97-D-043

- cc w/encl:
S. E. Gomberg, DOE/HQ (RW-51) FORS
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W. L. Belke, NRC, Las Vegas, NV
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D. G. Sult, OQA/QATSS, Las Vegas, NV
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OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8 Performance Report
 Deficiency Report

NO. YM-97-D-043

PAGE 1 OF 2

QA: L

PERFORMANCE/DEFICIENCY REPORT

1 Controlling Document: Quality Assurance Requirements and Description (QARD), Rev. 6
2 Related Report No. 97VP-WV-AU-01 and 97VP-SR-AU-01

3 Responsible Organization: DOE Environmental Management (EM-37)
4 Discussed With: James Conway

5 Requirement/Measurement Criteria:
The QARD, Revision 5, paragraph 2.2.1.B.4 states, "Each Affected Organization shall review revisions to the QARD and incorporate changes into their implementing documents, as appropriate."

6 Description of Condition:
Contrary to the above requirements EM-37 has not enforced the requirement for subtier organizations, Savannah River Site Defense Waste Processing Facility (SRS DWPF) and West Valley Demonstration Project (WVDP) to review revisions to the QARD and incorporate changes into their implementing documents, as appropriate (Reference OQA Memorandum RWC-1804, Donald G. Horton to J. T. Conway, dated 4/4/97). This was confirmed through observation of EM-37 audits of the WVDP as indicated in Observation Report 97VP-WV-AU-01 and the DWPF as indicated in Observation Report 97VP-SR-AU-01.

7 Initiator: *Dennis Threatt*
Dennis Threatt Date 05/12/97
9 Is condition an isolated occurrence?
 Yes No Unknown; Must be Yes if PR

10 Recommended Actions: (Not required for PR)
Provide direction to the High Level Waste programs to review and incorporate the QARD revisions into their implementing documents, as appropriate, or provide justification in conjunction with updating the appropriate requirements matrices.
Review and evaluate the impact on completed work by not having the implementing documents updated in a timely manner.

11 QA Review: *Dennis Threatt for*
QAR GARY WOOD Date 5/12/97
12 Response Due Date
20 working days from issuance

13 Affected Organization QA Manager Issuance Approval: (QAR for PR)
Printed Name: DONALD G. HORTON Signature: *[Signature]* Date 5/21/97

22 Corrective Actions Verified
QAR Date
23 Closure Approved by: (N/A for PR)
AQQAM Date

OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

PR/DR NO. YM-97-D-043
PAGE 2 OF 2
QA: L

PERFORMANCE/DEFICIENCY REPORT RESPONSE

14 Remedial Actions:

15 Extent of Condition: (Not required for PR)

16 Root Cause Determination: (Not required for PR) Required Yes No

17 Action to Preclude Recurrence: (Not required for PR) Required Yes No

18 Corrective Action Completion Due Date:

19 Response by:

Initial

Amended

Date

Phone

20 Response Accepted

QAR

Date

21 Response Accepted (N/A for PR):

AOQAM

Date