QA: L

L. D. Foust, Technical Project Officer
   for Yucca Mountain Site
   Characterization Project
TRW Environmental Safety Systems, Inc.
1180 Town Center Drive, M/S 423
Las Vegas, NV 89134

ISSUANCE OF DEFICIENCY REPORT (DR) YM-97-D-026 RESULTING FROM OFFICE OF QUALITY ASSURANCE (OQA) AUDIT M&O-ARC-97-09 OF THE CIVILIAN RADIOACTIVE WASTE MANAGEMENT SYSTEM MANAGEMENT AND OPERATING CONTRACTOR

Enclosed is DR YM-97-D-026 generated as a result of OQA Audit M&O-ARC-97-09.

Please provide a response to this deficiency that meets the applicable requirements of Administrative Procedure 16.1Q, Performance/Deficiency Reporting. Send the original of your response to Deborah Sult, OQA/QATSS, P.O. Box 98608, Mail Stop 455, Las Vegas, Nevada 89193-8608. Response to the DR is due 20 working days from the date of this letter. Any extension to the due date must be requested in writing, with appropriate justification, prior to the due date.

If you have any questions, please contact James Blaylock at (702) 794-1420.

Donald G. Horton, Director
Office of Quality Assurance

OQA:JB-1002

Enclosure:
DR YM-97-D-026

9703040094 970224
PDR WASTE
WM-11 PDR

040002

Recip: NMSS/HLWR

NH33
wm-11
102.7

cc w/encl:
T. A. Wood, DOE/HQ (RW-55) FORS
J. O. Thoma, NRC, Washington, DC
S. W. Zimmerman, NWPO, Carson City, NV
B. R. Justice, M&O, Las Vegas, NV
R. A. Morgan, M&O, Las Vegas, NV
Records Processing Center = "7"

cc w/o encl:
W. L. Belke, NRC, Las Vegas, NV
D. G. Sult, OQA/QATSS, Las Vegas, NV
James Blaylock, DOE/YMSCO, Las Vegas, NV
R. W. Clark, DOE/OQA, Las Vegas, NV

OFFICE OF CIVILIAN RADIOACTIVE WASTE MANAGEMENT U.S. DEPARTMENT OF ENERGY WASHINGTON, D.C.	8 ☐ Performance Report ☒ Deficiency Report NO. <u>YM-97-D-026</u> PAGE _1_ OF _2_ QA: L

PERFORMANCE/DEFICIENCY REPORT

1 Controlling Document: AP-16.1Q, R1	2 Related Report No. M&O-ARC-97-09

3 Responsible Organization: M&O	4 Discussed With: R. Morgan, D. Hackbert, R. Justice

5 Requirement/Measurement Criteria:

Paragraph 5.1.2 requires the QAR to use the criteria in Subsection 6.1 to identify the condition as a PR, DR, or a CAR. The criteria for a Performance Condition (PR) in Section 6.1.1 describes a condition that is isolated (i.e., does not require investigative action) and requires only remedial action to achieve desired results.

6 Description of Condition:

Contrary to the requirement above, PRs are used to document conditions that require more than remedial action: LVMO-97-P003 identifies 6 of 40 record packages as deficient; LVMO-97-P006 identifies "augment staff" that was not trained.

7 Initiator: J. Blaylock Date 2/11/97	9 Is condition an isolated occurrence? ☐ Yes ☒ No ☐ Unknown; Must be Yes if PR

10 Recommended Action: (Not required for PR)

Review all PRs for FY96 and FY97 to evaluate if the described condition fits the criteria of Paragraph 6.1.1 Performance Condition. Take appropriate action in evaluating and completing the corrective actions required when the PR is reclassified as a DR.

11 QA Review: QAR James Blaylock Date 2/11/97	12 Response Due Date 20 working days from issuance

13 Affected Organization QA Manager Issuance Approval: (QAR for PR) Printed Name   Donald G. Horton   Signature _____   Date 2/20/97

22 Corrective Action Verified QAR Date	23 Closure Approved by: (N/A for PR) AOQAM Date

Exhibit AP-16.1Q.1   Rev. 07/15/96

OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

PERFORMANCE/DEFICIENCY REPORT RESPONSE

14 Remedial Actions:

15 Extent of Condition: (Not required for PR)

16 Root Cause Determination: (Not required for PR)   Required   □ Yes □ No

17 Action to Preclude Recurrence: (Not required for PR)   Required   □ Yes □ No

18 Corrective Action Completion Due Date:	19 Response by:
	□ Initial
	□ Amended Date Phone

20 Response Accepted	21 Response Accepted (N/A for PR):
QAR Date	AOQAM · Date