



Department of Energy

Washington, DC 20585

JUL 12 1996

Distribution

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR THE MONTH OF JUNE 1996
(SCPB: N/A)

The monthly status report of the Office of Civilian Radioactive Waste Management Corrective Action Requests (CAR), Deficiency Reports (DR), Performance Reports (PR), and Nonconformance Reports includes the following:

Enclosure 1 - A list of the significant program deficiencies that are currently open.

Enclosure 2 - A series of summary tables for management, constructed by type of deficiency document.

Enclosure 3 - A CAR, DR and PR list sorted by issuing and responding organizations, showing current action status pending.

It is requested that the responsible individual in your organization review the reported information for any action. Any discrepancies should be promptly reported to the Office of Quality Assurance.

If you have any questions, please contact either Robert B. Constable at (702) 794-5580 or Deborah G. Sult at (702) 794-1466.

Donald G. Horton, Director
Office of Quality Assurance

OQA:RBC-2148

Enclosures:

1. Currently Open Significant Conditions
Adverse to Quality
2. Corrective Action Document
Status Tables for June 1996
3. Open Corrective Action Document
Status Report for June 1996

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PDR WASTE
WM-11 PDR



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Distribution--Memorandum dated

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Robert A. Morgan, M&O, Vienna, VA
Robert L. Strickler, M&O, Vienna, VA
Jon D. Christensen, M&O, Las Vegas, NV
L. Dale Foust, M&O, Las Vegas, NV
Ronald P. Ruth, M&O, Las Vegas, NV
Toby D. Wightman, M&O, Las Vegas, NV
Joseph W. Willis, M&O, Las Vegas, NV
Thomas H. Chaney, USGS, Denver, CO
G. Louis Ducret, USGS, Denver, CO
Robert W. Craig, USGS, Las Vegas, NV
Stephen R. Dana, YMQAD/QATSS, Las Vegas, NV
Henry T. Greene, YMQAD/QATSS, Las Vegas, NV
Robert P. Keele, YMQAD/QATSS, Las Vegas, NV
Franklin B. Smith, YMQAD/QATSS, Las Vegas, NV
Deborah G. Sult, YMQAD/QATSS, Las Vegas, NV
Wesley E. Barnes, YMSCO, NV
James R. Compton, YMSCO, NV
J. Russell Dyer, YMSCO, NV
Richard E. Spence, YMQAD, NV

190035

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REPORT #	ISSUE DATE	SUBJECT
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- * YH-95-C-050 07-18-95 PERSONNEL DID NOT FOLLOW PROCEDURES -- THIS CAR IS IN REV. 1

Days Open:	350	Resp. OCRWM Org. - Scientific Programs
		S. B. Jones
QAR:	Patrick V. Auer	Issued To: LVMO
STATUS:	Verification Scheduled For. QAR to Perform Verification 21-aug-1996.	
- * YH-96-C-002 01-16-96 IMPROPER IDENTIFICATION AND RESOLUTION OF MANDATORY REVIEW COMMENTS; INADEQUATE TECHNICAL REVIEW OF STUDIES

Days Open:	168	Resp. OCRWM Org. - Scientific Programs
		S. B. Jones
QAR:	Jim Blaylock	Issued To: USGS
STATUS:	Supplemental Information Received. Amended Response Due 31-jul-1996.	
- * YH-96-C-004 04-10-96 FAILURE TO FOLLOW QARD AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES

Days Open:	83	Resp. OCRWM Org. - Scientific Programs
		S. B. Jones
QAR:	Richard Powe	Issued To: USGS
STATUS:	Supplemental Information Received. QAR to Evaluate Supplemental Info. 01-jul-1996.	
- * YH-96-C-005 04-12-96 CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED

Days Open:	81	Resp. OCRWM Org. - Scientific Programs
		S. B. Jones
QAR:	Ken McFall	Issued To: USGS
STATUS:	Amended Response Accepted. Corrective Action Completion Due 15-oct-1996.	

* Significant Condition Deficiency Documents

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR JUNE 1996

1. CARs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	5	0	5	0
YM	5	0	1	4
USGS	0	0	0	0
K/PB	0	0	0	0
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	0	0	0	0
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-CARs	10	0	6	4

2. DRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	2	5	2	5
YM	46	1	2	45
USGS	11	1	0	12
K/PB	16	3*	8*	11*
LANL	0	0	0	0
LBNL	1	0	0	1
LLNL	0	0	0	0
LVMO	17	7	7*	17*
SNL	2	0	2	0
VAMO	0	0	0	0
TOTAL-DRs	95	17*	21*	91*

3. PRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	4	0	1	3
YM	19	1	1	19
USGS	14	0	0	14
K/PB	1	2	2	1
LANL	0	0	0	0
LBNL	1	0	0	1
LLNL	0	0	0	0
LVMO	3	2	3	2
SNL	0	0	0	0
VAMO	1	0	0	1
TOTAL-PRs	43	5	7	41

4. NCRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQ	0	0	0	0
YMSCO	38	7	1	44
USGS	14	0	0	14
K/PB	8	2	1	9
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	3	0	0	3
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-NCRs	63	9	2	70

Note: RSN is combined with K/PB. YMPO is combined with YMSCO.

5. PROJECT TOTALS

Project Totals	Open at Beginning of Month	Issued	Closed	Open at end of Month
Stop Work Order	0	0	0	0
CAR	10	0	6	4
DR	95	17*	21*	91*
PR	43	5	7	41
NCR	63	9	2	70
TOTAL	211	31*	36*	206*

- * Three (3) LVMO and One (1) K/PB deficiency documents closed or were made invalid after July 1st but prior to issuance of Monthly Report. As a result, these are not shown as "currently open" on the Open Report (Enclosure 3). However, they do not figure into the "closure" tabulations of the Status Tables (Enclosure 2). The July report will incorporate those closures. These documents are: LVMO-96-D-040, D-043, D-027 and K/PB-96-D-048.
- * One (1) K/PB deficiency document was issued after July 1st but prior to issuance of Monthly Report. As a result, it is shown as "currently open" on the Open Report (Enclosure 3). However, it does not figure into the "issuance" tabulations of the Status Tables (Enclosure 2). The July report will incorporate this issuance. The document referred to is: K/PB-96-D-050.

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-95-C-050	07-18-95	PERSONNEL DID NOT FOLLOW PROCEDURES -- THIS CAR IS IN REV. 1	LVMO L. Dale Foust	YAP-2.2a to be revised and training of personnel to be performed.	QAR to Perform Verification	08-21-96

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-C-002	01-16-96	IMPROPER IDENTIFICATION AND RESOLUTION OF MANDATORY REVIEW COMMENTS; INADEQUATE TECHNICAL REVIEW OF STUDIES	USGS Robert W. Craig		Amended Response Due	07-31-96
YM-96-C-004	04-10-96	FAILURE TO FOLLOW QARD AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES	USGS Robert W. Craig		QAR to Evaluate Supplemental Info.	07-01-96
YM-96-C-005	04-12-96	CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED	USGS Robert W. Craig	Fully implement the Corrective Action Program.	Corrective Action Completion Due	10-15-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-030	03-15-96	CONTRARY TO QARD, REV. 5, SEC. 5.2.2 B., REV 1 AND SPEC. SEC. -01400, REV 02, PARA 1.04 A.1 SOME QA CONTROLS ISSUED OR CHANGED BY DESIGN DOCUMENTS HAVE NOT BEEN INCORPORATED INTO K/PB IMPLEMENTING DOCUMENTS	K/PB W. D. WIGHTMAN		Corrective Action Completion Due	08-01-96
K/PB-96-D-031	03-18-96	CONTRARY TO TCP-2.6, REV. 4, PARA 3.1.7 NUMEROUS ITEMS IN THE EXTERIOR STORAGE AREAS WERE IMPROPERLY STORED, E.G., STEEL SET PIECES, ROCKBOLTS AND ACCESSORIES, SPOOLS OF ELECTRICAL CABLE, PVC AND CONDUIT, BURKE FLOOR HARDENER, AND MSA CARTRIDGE FILTERS	K/PB W. D. WIGHTMAN		QAR to Evaluate Verification Info.	07-02-96
K/PB-96-D-033	03-25-96	CONTRARY TO MCP-15.0, REV. 10, PARA 3.2.1 A, YAM-15.10, REV. 2, PARA 5.1.10, QCP-002, REV 3 PARA 3.2.1 C ONE OF SEVEN BOXES OF ONE INCH DIAMETER ASTM A-307 STUDS, THAT HAD BEEN IDENTIFIED AS NONCONFORMING AND APPROPRIATELY TAGGED, WERE TAKEN FROM THE WAREHOUSE AND USED	K/PB W. D. WIGHTMAN		QAR to Evaluate Verification Info.	07-01-96
K/PB-96-D-039	04-22-96	CONTRARY TO BABEA0000-01717-6300-0231 3, REV. 1 AND QCP-011, REV. 1, PARA 3.1.1 ON 4/19/96 BLASTING MATERIAL WAS UNLOADED AND PLACED INTO THE MAGAZINE BY CONSTRUCTION WITHOUT QC CONDUCTING THE REQUIRED RECEIPT INSPECTION	K/PB W. D. WIGHTMAN	Place blasting materials on hold. Meeting held to discuss process for receiving blasting materials. Revise TCP-2.17 to add receipt hold point. Conduct remedial training to requirements of MCP-1.0, re	Corrective Action Completion Due	07-01-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
		DESPITE DIRECTIONS FROM QC NOT TO UNLOAD UNTIL THE INSPECTION WAS COMPLETE				
K/PB-96-D-040	04-22-96	CONTRARY TO MCP-4.0, REV. 13, PARA 3.1.9 B. ONLY TWO RIPS HAVE BEEN SUBMITTED TO QE FOR MONITORING, ALTHOUGH THERE HAVE BEEN SEVERAL OTHER NON-Q PURCHASE ORDERS ISSUED SINCE THIS REQUIREMENT BECAME EFFECTIVE (E.G. CHANNEL LAGGING CLIPS AS IDENTIFIED ON THE ATTACHED NON-Q RIP FOR PO 1899-1412 AND CAR	K/PB W. D. WIGHTMAN	PO files reviewed. Eight POs identified and provided to QE for monitoring. MCP-4.0 Rev. 13 reread by responsible individuals.	QAR to Evaluate Verification Info.	07-02-96
K/PB-96-D-043	05-01-96	CONTRARY TO TCP-2.20, REV 3, PARA 3.7.5 (THE SHIFT ENGINEER, OR DESIGNEE, WILL USE A CALIBRATED GAUGE TO CHECK THE ACCURACY OF THE SWELLEX PUMP UNIT ONCE PER SHIFT AND RECORD IT IN THE NOTES SECTION OF THE GSDR), THE CHECK WAS NOT ALWAYS PERFORMED EACH SHIFT (EXAMPLES ATTACHED)	K/PB W. D. WIGHTMAN		QAR to Evaluate Amended Response	07-02-96
K/PB-96-D-044	05-06-96	CONTRARY TO TCP-2.36, REV 1 AND QCP-014, REV 4 BEGINNING WITH THE SECOND SHIFT ON MAY 3, 1996 TESTING HAS NOT BEEN PERFORMED PRIOR TO THE USE OF TRACED WATER	K/PB W. D. WIGHTMAN		Corrective Action Completion Due	07-19-96

Quality Assurance CAR Log Application
 Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-045	05-20-96	CONTRARY TO SPEC. SEC. -01400, REV. 2 PARA 1.04 G K/PB PROCEDURE TCP-3.4, REV 2, PRIOR TO THE ISSUANCE OF EXPEDITED PROCEDURE CHANGE (EPC) 96-001 EXCLUDED THE GENERATION OF QA RECORDS	K/PB W. D. WIGHTMAN		Corrective Action Completion Due	07-10-96
K/PB-96-D-046	06-12-96	CONTRARY TO BABEE0000-01717-6300-0216 5, REV. 00, SECTION 2.01 CONSTRUCTION PERSONNEL CUT THREE SS39, 2-METER SPLIT SETS TO APPROXIMATELY 4-1/2 FT. AND INSTALLED THEM AS PINS TO FASTEN WJF.	K/PB W. D. WIGHTMAN		Response Evaluation Date	07-01-96
K/PB-96-D-047	06-26-96	CONTRARY TO MCP-5.0, REV 12 AND MCP-17.0 REV 10, TCP-2.42, REV 1 DID NOT INCLUDE APPLICABLE REQUIREMENTS FROM SPEC SECTION 02730, INCORRECTLY SPECIFIED PROJECT RECORDS AS QA LIFETIME RECORDS AND DID NOT ASSIGN RESPONSIBILITY FOR SUBMISSION OF RECORDS	K/PB W. D. WIGHTMAN		Response Due	07-23-96
K/PB-96-D-049	06-26-96	CONTRARY TO MCP-5.0, REV 12 AND MCP-6.2 REV 2, TCP-2.17, REV 7 DID NOT INCLUDE APPLICABLE CRITERIA TO EFFECTIVELY IMPLEMENT THE REQUIREMENTS ESTABLISHED WITHIN PLAN-012; AND PLAN-012 CHANGES WERE IMPLEMENTED PRIOR TO SUBMITTAL TO THE A/E FOR REVIEW AND APPROVAL	K/PB W. D. WIGHTMAN		Response Due	07-23-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-050	07-01-96	CONTRARY TO TCP-2.39, REV. 4 PARA 3.3.2 AND TCP-2.20, REV. 6, PARA 3.13.3 K/PB QC IS NOT RECEIVING THE REFERENCED REPORTS ON THE SHIFT OR DAILY BASIS.	K/PB W. D. WIGHTMAN		Response Due	07-30-96
LVMO-96-D-036	04-12-96	VIOLATION: SPEC 1501, REVISION 4: REQUIRES THE CONSTRUCTOR TO DEVELOP A PROCEDURE TO MONITOR TUNNEL ALIGNMENT. K/PB DID NOT DEVELOP A PROCEDURE.	K/PB W. D. WIGHTMAN	Procedure will be developed to satisfy requirements of specifications.	Amended Response Due	07-10-96
LVMO-96-D-037	04-15-96	VIOLATION: TCP-2.22 & SPEC 01501, REV.04: GROUND SUPPORT MAINTENANCE INSPECTION REPORTS WERE NOT GENERATED BECAUSE INSPECTIONS WERE NOT PERFORMED; GROUND SUPPORT MAINTENANCE AND REPAIR REPORT WAS REQUIRED FOR INSPECTIONS AND WERE NOT PERFORMED NOR INCLUDED IN THE WORK PACKAGE.	K/PB W. D. WIGHTMAN	submit inspection report to document control for distribution, recheck conditions requiring maintenance and repair report.	Verification Scheduled For	07-28-96
LVMO-96-D-039	05-24-96	VIOLATION: SPEC 1501 & TCP-2.21: K/PB IS PROCURING THEIR OWN DIESEL FUEL AND SAMPLING IS NOT BEING CONDUCTED THROUGH THE NTS PROCUREMENT SYSTEM.	K/PB W. D. WIGHTMAN		Response Due	07-08-96
LVMO-96-D-041	05-24-96	VIOLATION: SPEC 1501: EXHAUST EMISSIONS EXCEEDED SPECIFICATION.	K/PB W. D. WIGHTMAN		Response Due	07-08-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-045	06-13-96	VIOLATION:MCP-2-4;TCP-2.2 8;TCP-2.20. POSITION CHANGE WITHOUT NOTIFYING TRAINING DEPT.;NO VERIFICATION OF EDUCATION AND EXPERIENCE.	K/PB W. D. WIGHTMAN		Response Due	07-12-96
LVMO-96-D-046	06-21-96	VIOLATION: QARD SECTION 10; INSPECTIONS AND MONITORING OF GROUND SUPPORT INSTALLATIONS ARE NOT PERFORMED IN ACCORDANCE WITH K/PB QUALITY CONTROL PLAN AND INSPECTION, MONITORING AND SURVEILLANCE PLANS.	K/PB W. D. WIGHTMAN		Response Due	07-12-96
LVMO-96-D-047	06-21-96	VIOLATION: QARD SECTION 14; DOCUMENTATION OF GROUND SUPPORT INSPECTION AND MONITORING DOES NOT PROVIDE RELIABLE INSPECTION STATUS IN ALL CASES.	K/PB W. D. WIGHTMAN		Response Due	07-12-96
LVMO-96-D-048	06-21-96	VIOLATION: QARD SECTION 2; YAP-15.1q; NCR PROCEDURE USED INCORRECTLY; WORK PACKAGE NOT PREPARED OR REVISED TO AUTHORIZE AND DOCUMENT CHANNEL LAGGING MODIFICATIONS.	K/PB W. D. WIGHTMAN		Response Due	07-12-96
YM-96-D-020	12-26-95	SPECIAL PROCESS PROCEDURES ARE NOT ADEQUATE TO IMPLEMENT QARD, SECTION 9.0 REQUIREMENTS	K/PB W. D. WIGHTMAN		Acceptance Letter In Process	07-01-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-024	12-26-95	CALIBRATION REQUIREMENTS (TOLERANCE/ACCURACY) WERE NOT SPECIFIED/DOCUMENTED FOR THE GUAGE UTILIZED DURING ROCKBOLT INSTALLATION TESTING	K/PB W. D. WIGHTMAN	Basis for acceptance of guage accuracy to be documented.	QAR to Perform Verification	07-24-96
YM-96-D-025	12-26-95	TEST CONTROL PROCEDURES DO NOT REFERENCE AND/OR INCORPORATE QARD SECTION 11.0 REQUIREMENTS	K/PB W. D. WIGHTMAN	Reference, incorporate and implement MCP-11.0 through each applicable procedure.	QAR to Perform Verification	07-24-96
YM-96-D-028	12-26-95	INADEQUATE INSPECTOR QUALIFICATION PROGRAM FOR INSPECTORS	K/PB W. D. WIGHTMAN	MCP-10.1 to be revised.	QAR to Perform Verification	07-08-96
YM-96-D-062	05-28-96	CONSTRUCTION PLANNING PROCEDURE IS NOT ADEQUATE TO MEET QARD SECTION 2.0 AND 5.0 REQUIREMENTS - THIS DOCUMENT WAS UPGRADED FROM PR YMQAD-96-P020	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	07-01-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
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Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-033	02-08-96	JOB PACKAGE HAS NOT BEEN REVISED REFLECT CONTRACT CHANGES	LANL Julie A. Canépa	Revise JPs 92-200, 92-20E and 94-21 to reflect scopes of work.	Corrective Action Completion Due	12-02-96

Jul 3, 1996 3:49 PM

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DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LBNL-96-D-006	01-30-96		LBNL Gudmundur Bodvarsson		Corrective Action Completion Due	09-30-96
YM-96-D-038	02-14-96	LACK OF PROPER EXPERIENCE IN VERIFICATION DOCUMENTATION	LBNL Gudmundur Bodvarsson	Obtain acceptable documentation of education and experience.	Corrective Action Completion Due	07-01-96
YM-96-D-039	02-14-96	LACK OF TRAINING FOR TWO INDIVIDUALS	LBNL Gudmundur Bodvarsson	Provide required training.	QAR to Perform Verification	07-12-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-018	12-21-95	NONCONFORMING CONDITIONS WERE FOUND, BUT NO ANOMALY REPORTS WERE INITIATED	LLNL Willis L. Clarke	Change procedure as necessary and implement procedure.	QAR to Perform Verification	07-08-96
YM-96-D-054	05-08-96	INCORRECTLY CLASSIFYING "QUALITY-AFFECTING WORK AS NON-Q	LLNL Willis L. Clarke	Revise YMP-QP 3.0 and YMP-QP 2.8.	Corrective Action Completion Due	07-31-96
YM-96-D-055	05-08-96	OUT OF DATA PROCEDURES/SPECIFICATIONS	LLNL Willis L. Clarke		Rejection Letter in Process	07-02-96
YM-96-D-056	05-08-96	USE OF UNCALIBRATED M&TE	LLNL Willis L. Clarke		Rejection Letter in Process	07-01-96
YM-96-D-057	05-08-96	PERFORMING QUALITY-AFFECTING ACTIVITIES W/O APPROVED AND CONTROLLED PROCEDURES	LLNL Willis L. Clarke		Rejection Letter in Process	07-01-96

Quality Assurance CAR Log Application
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DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-023	02-26-96	VIOLATION:QAP-17-1.RECORD SEGMENTS KEPT AT DRC THAT CONTAIN COMPLETED QA RECORDS. SEGMENTS HAVE BEEN OPEN LONGER THAN 2 YEAR PERIOD.	LVMO L. Dale Foust	Identify record package segment older than 2 years. Contact record sources. Send records to record center. Initiate DAR to revise YAP-17.2Q to be consistent with YAP-17.1Q and QAP-17-1.	Corrective Action Completion Due	12-13-96
LVMO-96-D-028	03-13-96	VIOLATION: QAP-7-3, UNIVERSITY SYSTEMS ARE CURRENTLY DOING WORK WITHOUT A CONTRACTUAL/PROCUREMENT DOCUMENT.	LVMO L. Dale Foust	Develop necessary transition plans. Determine if similar conditions exist. Determine impact on completed work.	Corrective Action Completion Due	08-15-96
LVMO-96-D-034	04-02-96	VIOLATION QAP-5-2: IMPACT REVIEWS WERE NOT PREPARED WHEN REVISIONS TO NLPS WERE ISSUED.	LVMO L. Dale Foust	Investigation to determine whether appropriate impact reviews were performed and documented. develop lessons learned IOC.	Verification Scheduled For	07-10-96
LVMO-96-D-050	06-20-96	VIOLATION: QAP-2-1&QAP-2-2;GEOMATRIX CONSULTANTS DID NOT HAVE THE MINIMUM QA PROGRAM REQUIREMENTS AS REQUIRED IN THE PURCHASE ORDER.	LVMO L. Dale Foust	conduct program indoctrination, verification of education and experience.	Verification was Satisfactory	06-26-96
LVMO-96-D-051	06-25-96	VIOLATION: YAP-15.1q; INCORRECT APPLICATION OF "USE-AS-IS".	LVMO L. Dale Foust		Response Due	07-22-96
LVMO-96-D-052	06-26-96	VIOLATION: NMI-SMF-006q;PROCEDURE NUMBER, REVISION NUMBER AND ICN NUMBERS WERE NOT ENTERED FOR THE FOLLOWING CALIBRATIONS.	LVMO L. Dale Foust		Response Due	07-24-96

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-95-D-007	08-09-95	QUALIFICATION FILES OF EMPLOYEES MISSING	LVMO L. Dale Foust	Review files, complete documentation and assess impact.	Corrective Action Completion Due	07-01-96
YM-96-D-007	10-24-95	CERTIFICATION TEST PANEL SMALLER THAN ACI CODE REQUIREMENT.	LVMO L. Dale Foust	Shotcrete nozzleman requirements are not up to ACI standards.	Corrective Action Completion Due	08-30-96
YM-96-D-010	11-07-95	USE OF UNQUALIFIED SUPPLIER - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Transfer responsibility for supplier from USGS to M&O and ensure adequacy of supplier procedure.	QAR to Perform Verification	08-12-96
YM-96-D-011	11-07-95	SCIENTIFIC NOTEBOOK NOT MAINTAINED PER REQUIREMENTS - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Incorporate into, number, appropriately correct and sign loose pages of scientific notebook.	QAR to Perform Verification	08-12-96
YM-96-D-012	11-07-95	RECORDS NOT IN ACCORDANCE WITH REQUIREMENTS - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Correct records and submit to RPC. Provide training in Record Source responsibility.	QAR to Perform Verification	08-12-96
YM-96-D-036	02-06-96	M&O DOCUMENT USED FOR Q APPLICATIONS WAS DEVELOPED NON-Q	LVMO L. Dale Foust	Revise compendium, update QA status and ensure controlled use. Revise activity evaluation and complete procedures.	Corrective Action Completion Due	08-30-96
YM-96-D-037	02-14-96	CONTROL OF TRACERS, FLUIDS AND MATERIALS (TFMS) ARE NOT PRESCRIBED IN DOCUMENTS	LVMO L. Dale Foust	YAP-2.00 to be issued. Project-wide training to be performed.	QAR to Perform Verification	07-10-96
YM-96-D-041	02-26-96	PROCEDURES DO NOT REFERENCE YAP 15.1Q	LVMO L. Dale Foust	Revise implementing procedures to invoke YAP 15.1Q, as required.	QAR to Perform Verification	07-08-96

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-042	02-26-96	RECORDS NOT SUBMITTED TO RPC WITHIN 20 WORKING DAYS OF COMPLETION	LVMO L. Dale Foust	Submit past due records to RPC and revise QAP-17-1, R4 to conform to QARD.	Corrective Action Completion Due	09-30-96
YM-96-D-045	03-12-96	WORK DESCRIBED IN STUDY PLAN BEING IMPLEMENTED PRIOR TO STUDY PLAN APPROVAL	LVMO L. Dale Foust	Complete Study Plan by revising draft, resolving review comments and submit Study Plan for approval.	Corrective Action Completion Due	08-30-96
YM-96-D-060	05-16-96	FAILURE TO FOLLOW PROCEDURE	LVMO L. Dale Foust		Acceptance Letter In Process	07-01-96
YM-96-D-061	05-30-96	FAILURE TO FULLY IMPLEMENT QARD	LVMO L. Dale Foust		QAR to Evaluate Response	07-08-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-96-D-003	06-10-96	PERSONNEL QRPS FOR WESTON PERSONNEL DO NOT CONTAIN REQUIRED INFO.	OHRA James C. Bresee		Response Due	07-12-96
HQ-96-D-004	06-10-96	QRP S28-1161.00 FOR EIA PERSONNEL DOES NOT CONTAIN EDUCATION REQUIREMENTS	OHRA James C. Bresee		Response Due	07-12-96
HQ-96-D-005	06-10-96	QRP PACKAGE FOR Z, INC. PERSONNEL DOES NOT HAVE EDUCATION AND EXPERIENCE REQUIREMENTS DOCUMENTED NOR HAS JUSTIFICATION STATEMENT BEEN PREPARED	OHRA James C. Bresee		Response Due	07-12-96
HQ-96-D-006	06-10-96	QRP PACKAGE FOR ASTA PERSONNEL DOES NOT HAVE EXPERIENCE REQUIREMENTS DOCUMENT NOR HAS JUSTIFICATION STATEMENT BEEN PREPARED	OHRA James C. Bresee		Response Due	07-12-96
HQ-96-D-007	06-10-96	QRPS FOR QATSS PERSONNEL DO NOT CONTAIN EDUCATION AND EXPERIENCE REQUIREMENTS NOR HAVE JUSTIFICATION STATEMENTS BEEN PREPARED	OHRA James C. Bresee		Response Due	07-12-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
 Open Corrective Action Document Status Report

Version: 4.0.0
 (ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-001	11-07-95	TECHNICAL REVIEWS DID NOT IDENTIFY DEFICIENCIES - REQUIREMENTS FOR TECHNICAL REVIEW WERE VIOLATED---ISSUED IN REPLACEMENT OF CAR YM-95-015	SNL Laurence S. Costin	Data deficiencies to be corrected and QAIP 6-3 to be revised.	QAR needs to prepare closure letter	07-01-96
YM-96-D-002	11-07-95	TECHNICAL REVIEW OF SCIENTIFIC NOTEBOOK DID NOT IDENTIFY DEFICIENCIES --- ISSUED IN REPLACEMENT OF CAR YM-95-017	SNL Laurence S. Costin	SLTR will be issued as a SAND report. Review for other deficiencies. Revise QAIP 6-3.	QAR needs to prepare closure letter	07-01-96
YM-96-D-003	11-07-95	PROCUREMENT RECORDS ARE NOT BEING FORWARDED TO M&O RECORDS (CRF) ---- ISSUED IN REPLACEMENT OF CAR YM-94-093	SNL Laurence S. Costin	Complete a review of procurement records.	Corrective Action Completion Due	05-01-99
YM-96-D-004	02-01-96	SNL PROCEDURES ARE INADEQUATE IN DETAIL ---- ISSUED IN REPLACEMENT OF CAR YM-94-096	SNL Laurence S. Costin	QAIPs to be evaluated and revised, as required.	QAR to Perform Verification	07-08-96
YM-96-D-043	02-28-96	IMPLEMENTING PROCEDURES ARE AMBIGUOUS OR NONEXISTENT	SNL Laurence S. Costin		Amended Response Due	07-02-96
YM-96-D-044	03-12-96	ACCEPTANCE CRITERIA FOR MODEL VALIDATION NOT PROVIDED IN IMPLEMENTING DOCUMENTS	SNL Laurence S. Costin	Revise implementing procedures and provide additional training to personnel.	Amended Verification Info. Due	07-03-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-95-D-001	07-26-95	FAILURE TO REVIEW DATA PRIOR TO SUBMITTING MANUSCRIPT FOR TECHNICAL REVIEW	USGS Robert W. Craig		QAR to Evaluate Amended Response	07-19-96
USGS-95-D-002	09-06-95	SPECIAL TRAINING NOT ASSIGNED OR DOCUMENTED	USGS Robert W. Craig		QAR to Evaluate Response	07-12-96
USGS-95-D-004	08-31-95	FAILURE TO SAFEGUARD RECORDS	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-95-D-005	08-03-95	DOCUMENT CLASSIFICATION NOT DESIGNATED IN GMPs	USGS Robert W. Craig		QAR to Evaluate Response	07-22-96
USGS-95-D-009	08-15-95	SAMPLE ANALYSIS PERFORMED BY LABORATORY FOR WHICH NO MANAGEMENT AGREEMENT EXISTS	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-95-D-010	05-08-96	FAILURE TO PERFORM SOURCE DATA REVIEW PRIOR TO TECHNICAL REVIEW OF MANUSCRIPT	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-95-D-011	09-12-95	OPERATOR MANUALS, INSTRUCTIONS RELATED TO TECHNICAL PROCEDURES NOT CONTROLLED/REVIEWED (QDR-95050-2)	USGS Robert W. Craig		Response Due	06-28-96
USGS-95-D-016	09-18-95	SUPPLEMENT TO MOA 25A (LBL) NOT PREPARED FOR COSMOGENIC NUCLIDE WORK	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-96-D-001	05-03-96	CONTRACT MODIFICAITONS BY-PASSED QA REVIEW	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-96-D-002	05-24-96	VENDOR (HUFFMAN) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	USGS Robert W. Craig		QAR to Evaluate Extension Request	07-01-96
USGS-96-D-003	05-24-96	VENDOR (INSTARR) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	USGS Robert W. Craig		QAR to Evaluate Extension Request	07-01-96
USGS-96-D-004	06-17-96	ACCEPTANCE OF SERVICES THAT DID NOT MEET ALL PURCHASE ORDER REQUIREMENTS	USGS Robert W. Craig		Response Due	07-16-96
YM-95-D-009	08-30-95	SUPPLIER HAS NO PROGRAM FOR THE QUALIFICATION AND PASS-DOWN OF QA REQUIREMENTS TO SUB-TIER SUPPLIERS. NO DOCUMENTED EVIDENCE OF ACCEPTANCE,	USGS Robert W. Craig	Document material acceptance tests and revise program to provide for such testing.	Amended Response Due	06-27-96
YM-96-D-035	02-06-96	INCOMPLETE IMPLEMENTING DOCUMENTS	USGS Robert W. Craig	Develop implementing procedures.	Corrective Action Completion Due	08-01-96
YM-96-D-046	03-19-96	LACK OF M&TE CALIBRATION DOCUMENTATION AND PROCEDURES. CALIBRATION RECORDS NOT PROPERLY MAINTAINED AND M&TE SOFTWARE NOT VERIFIED.	USGS Robert W. Craig		QAR to Evaluate Amended Response	07-01-96
YM-96-D-048	04-12-96	FAILURE TO DOCUMENT AND DISPOSITION OUT OF CALIBRATION CONDITIONS	USGS Robert W. Craig		QAR to Evaluate Amended Response	07-09-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-049	06-03-96	FAILURE OF LOWER-TIER PROCEDURE TO FULLY IMPLEMENT YAP-15.1Q, REV. 2, ICN 1 --- THIS DEFICIENCY REPORT IS IN REV 1.	USGS Robert W. Craig	Revise procedures and scientific notebooks.	Corrective Action Completion Due	07-09-96
YM-96-D-050	04-12-96	FAILURE TO FULLY IMPLEMENT YAP-17.1Q, REV. 0, ICN #4	USGS Robert W. Craig	Revise QMP 3.04.	QAR to Evaluate Verification Info.	07-01-96
YM-96-D-051	04-12-96	RECORDS NOT ADEQUATELY MAINTAINED RESULTING IN LOST OR MISPLACED RECORDS	USGS Robert W. Craig	Recreate/locate lost or missing records and forward to storage facility.	Corrective Action Completion Due	10-15-96
YM-96-D-052	04-23-96	FAILURE TO FOLLOW PROCEDURES	USGS Robert W. Craig		Acceptance Letter In Process	07-01-96
YM-96-D-058	05-21-96	FAILURE TO IMPLEMENT QA PROGRAM REQUIREMENTS	USGS Robert W. Craig		Response Due	07-26-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-D-009	11-07-95	INFORMATION IN STUDY PLAN NOT CURRENT	YMSCO Wesley E. Barnes	Revise Study Plan.	Corrective Action Completion Due	07-12-96
YM-96-D-030	01-16-96	UNQUALIFIED DATA IDENTIFIED AS QUALIFIED IN TECHNICAL DATA BASE	YMSCO Wesley E. Barnes	ATDT to be amended; written guidance to be provided.	Corrective Action Completion Due	09-30-96
YM-96-D-031	01-22-96	RECORDS INDEXING NOT IN ACCORDANCE WITH APPROVED PROCEDURES - THIS DR WAS CONVERTED FROM CAR YM-95-34, REV. 1	YMSCO Wesley E. Barnes	OCRWM program level document for records indexing to be developed.	Corrective Action Completion Due	11-27-96
YM-96-D-047	04-01-96	SELF STUDY ASSIGNMENTS FOR PROCEDURES NOT COMPLETED AS REQUIRED	YMSCO Wesley E. Barnes	Deputy Project Manager to review training maintenance systems.	Corrective Action Completion Due	07-30-96
YM-96-D-059	05-17-96	FAILURE TO FOLLOW PROCEDURE	YMSCO Wesley E. Barnes		Response Due	07-12-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-95-P-001	09-27-95	EM-32 SPPS DO NOT ADEQUATELY ADDRESS REQUIREMENTS.	QARD EM32 UNKNOWN DIRECTOR	QARD Matrix, Rev. 2, to be revised to reflect QARD Rev. 5 requirements	Verification Scheduled For	09-30-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-P-022	06-26-96	CONTRARY TO TCP-2.39, REV. 4, PARA 3.2.12 AND QCP-008, REV. 10, PARA 3.1.4E FIELD WELDING OCCURRED WITHOUT WRITTEN CONCURRENCE FROM THE A/E ON LAGGING AT STATION 56+32.73 ON THE RIGHT RIB AT APPROX 9:00 AND ON STEEL SET 1141 AT STATION 56+01.98 (RELATED NCR YMSCO 96-0039	K/PB W. D. WIGHTMAN		Response Due	07-23-96
YM-96-P-002	10-19-95	QUALITY CONTROL PROCEDURE NOT UPDATED TO CURRENT SPECIFICATION REQUIREMENTS	K/PB W. D. WIGHTMAN	Revise QCP-007 and TCP-2.25.	Corrective Action Completion Due	09-30-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LBNL-96-P-004	12-01-95	BAROMETER CALIBRATION	LBNL Gudmundur Bodvarsson		Corrective Action Completion Due	08-31-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-P-014	12-21-95	ITEMS WERE FOUND WITHOUT REQUIRED TAGGING	LLNL Willis L. Clarke	Revise and implement procedures.	QAR to Perform Verification	08-30-96
YM-96-P-015	12-21-95	CALIBRATION LAB DOES NOT MONITOR TEMPERATURE AND HUMIDITY AND DOES NOT IDENTIFY ACCEPTANCE TOLERANCES	LLNL Willis L. Clarke	Correct existing procedure.	Corrective Action Completion Due	08-30-96
YM-96-P-026	05-08-96	PROCEDURE OUT OF DATE	LLNL Willis L. Clarke	Revise procedures.	Corrective Action Completion Due	07-31-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-P-015	02-05-96	VIOLATION: NLP-2-4; LOCATION COORDINATES AND TOP OF CASING COORDINATES FOR YMP CONTROLLED BOREHOLES HAVE NOT BEEN DETERMINED AND DOCUMENTED.	LVMO L. Dale Foust	Revise NLP-2-4; develop schedule of availability and capability for K/PB Surveying Group.	Corrective Action Completion Due	09-30-96
LVMO-96-P-028	05-30-96	VIOLATION: QAP-3-5; HOLOMETRIX DID WORK FOR FRAMATOME COGEMA WITHOUT HAVING A QUALIFIED QA PROGRAM.	LVMO L. Dale Foust	Data collected by Holometrix for Waste Package Filler Material Test Report will be identified as unqualified.	Corrective Action Completion Due	07-31-96
YM-96-P-004	11-15-95	NO PROCEDURES FOR PERFORMING SUPPLIER AUDITS OR CERTIFYING LEAD AUDITORS	LVMO L. Dale Foust	Interim change pages to current QA Manual. Incorporate changes into next revision.	QAR to Perform Verification	07-01-96
YM-96-P-005	11-15-95	NO PROCEDURES FOR NOTIFYING CUSTOMERS WHEN A STANDARD IS FOUND OUT OF CALIBRATION	LVMO L. Dale Foust	Issue procedures for "out-of-calibration" conditions.	QAR to Perform Verification	07-01-96
YM-96-P-006	11-15-95	NO PROCEDURES FOR PROTECTION OF QA RECORDS	LVMO L. Dale Foust	Revise QA manual record storage requirements.	QAR to Perform Verification	07-01-96
YM-96-P-017	12-26-95	LACK OF ACCEPTANCE CRITERIA IN A SPECIFICATION.	LVMO L. Dale Foust	Revise ground support specification.	Corrective Action Completion Due	07-01-96
YM-96-P-027	05-21-96	FAILURE TO AUDIT ELEMENTS OF QA PROGRAM	LVMO L. Dale Foust		Extension Request Letter Expected	07-03-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-95-P-002	07-19-95	CONTROL OF EIA SOFTWARE	OWAST Samuel Rousso	HLP-SI.1Q to be revised.	QAR to Perform Verification	07-30-96

Quality Assurance CAR Log Application
 Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-95-P-002	08-08-95	QMP-3.03, R5-M1, PARAGRAPH 5.3.2.2, FAILURE TO IMPLEMENT QARD REQUIREMENTS	USGS Robert W. Craig	MODIFICATION PREPARED FOR QMP-3.03	QAR to Perform Verification	07-12-96
USGS-95-P-003	08-09-95	OMISSIONS ON THE SOFTWARE CONFIGURATION STATUS ACCOUNTING LOG FOR CID HIP0023.01	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-95-P-007	09-06-95	COLLECTION OF SAMPLES PRIOR TO INITIATION OF SCIENTIFIC NOTEBOOK OR TECHNICAL PROCEDURE	USGS Robert W. Craig		QAR to Evaluate Response	07-19-96
USGS-96-P-001	12-20-95	TEMPERATURE MEASUREMENTS NOT RECORDED FOR ARCHIMEDES METHOD (HP-229)	USGS Robert W. Craig	MODIFY HP-229 AND ANNOTATE TWO DATA PACKAGES	Verification Scheduled For	07-03-96
USGS-96-P-002	12-20-95	EXPEDITED MODIFICATION TO HP-229 NOT FORWARDED FOR QA REVIEW WITHIN 30 DAYS	USGS Robert W. Craig		QAR to Evaluate Response	07-03-96
USGS-96-P-004	12-20-95	DEFICIENCIES WITH METEOROLOGY DATA	USGS Robert W. Craig		Deficiency document to be reissued as Rev. 1	07-19-96
USGS-96-P-006	12-26-95	TECHNICAL PROCEDURES NOT UPDATED	USGS Robert W. Craig		QAR to Evaluate Verification Info.	07-03-96
USGS-96-P-007	03-21-96	PURCHASE ORDER NOT TREATED AS QUALITY AFFECTING (PAROSCIENTIFIC)	USGS Robert W. Craig		Response Due	07-19-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
 Open Corrective Action Document Status Report

Version: 4.0.0
 (ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-96-P-010	03-21-96	LATE RECORD SUBMITTALS FOR REPORTS (QDR-95009)	USGS Robert W. Craig		Response Due	07-19-96
USGS-96-P-011	03-22-96	QA RECORDS NOT SUBMITTED TO RECORDS SYSTEM	USGS Robert W. Craig		Response Due	08-05-96
USGS-96-P-012	04-29-96	DEVELOP ACTION PLAN TO PREVENT RECURRENCE	USGS Robert W. Craig		QAR to Perform Verification	08-02-96
USGS-96-P-013	05-03-96	DATA REVIEWS NOT PERFORMED PRIOR TO PUBLICATION REVIEWS	USGS Robert W. Craig	REVIEW DATA	QAR to Perform Verification	07-19-96
USGS-96-P-014	05-02-96	SAMPLE COLLCTION REPORTS NOT PREPARED FOR GAS SAMPLES	USGS Robert W. Craig		Response Due	07-19-96
USGS-96-P-015	05-02-96	SOURCE VERIFICATION NOT PERFORMED	USGS Robert W. Craig		Response Due	07-19-96
YM-95-P-007	08-29-95	NO SOFTWARE DOCUMENTATION FOR CARBON AGE DATING	USGS Robert W. Craig	Revise Beta QA Manual to include software QA controls.	QAR to Perform Verification	07-01-96
YM-95-P-008	08-29-95	NO UNIQUE IDENTIFIER FOR PROCEDURES	USGS Robert W. Craig	Procedure administrative controls to be implemented.	QAR to Perform Verification	07-01-96
YM-95-P-009	08-29-95	TEST DOCUMENTATION INCOMPLETE FOR THE REQUIREMENTS	USGS Robert W. Craig	Revise text documentation.	QAR to Perform Verification	07-01-96

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-95-P-010	08-29-95	NO DETAILED PROCEDURES FOR CALIBRATION OF LIQUID SCINTILLATION COUNTERS	USGS Robert W. Craig	Issue calibration procedure for L.C. counters.	QAR to Perform Verification	07-01-96
YM-95-P-011	08-29-95	NO DOCUMENTED PROVISIONS FOR THE STORAGE OF RECORDS	USGS Robert W. Craig	Ensure all records have been sent to USGS.	QAR to Perform Verification	07-01-96
YM-96-P-022	02-01-96	RECORDS NOT STORED TO PREVENT DAMAGE	USGS Robert W. Craig		QAR to Evaluate Amended Response	07-03-96
YM-96-P-024	04-12-96	FAILURE TO IMPLEMENT QARD, SECTION 17.2.4A	USGS Robert W. Craig	Revise implementing procedures and perform additional training.	Corrective Action Completion Due	07-01-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQ-96-P-002	02-27-96	LIFE CYCLE PLANS FOR SEVERAL SOFTWARE PRODUCTS DID NOT IDENTIFY CONTROL POINTS IN BOLDFACE IAW QAP-SI-1.	VAMO R. L. Strickler		QAR to Evaluate Extension Request	07-19-96
HQ-96-P-003	02-27-96	QA RECORDS GENERATED BY QAP-6-1 AND NLP-6-1 WERE NOT IDENTIFIED BY THE IMPLEMENTING DOCUMENTS.	VAMO R. L. Strickler	Lifetime and/or nonpermenant QA records generated by M&O QAP-6-1, Rev. 2 and NLP-6-1, Rev. 2, P01 were not identified by the implementing documents.	Corrective Action Completion Due	07-15-96
VAMO-96-P-004	05-24-96	VIOLATION: QAP-2-2; POSITION DESCRIPTIONS NOT SUBMITTED ON TIME.	VAMO R. L. Strickler		Corrective Action Completion Due	07-15-96

Jul 3, 1996 3:49 PM

Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YM-96-P-011	11-28-95	PROCEDURE DOES NOT REQUIRE JUSTIFICATION OF THE METHOD OF QUALIFICATION, AS REQUIRED BY THE QARD	YMSCO Wesley E. Barnes	YAP-SIII.1Q to be modified.	QAR to Perform Verification	07-02-96
YM-96-P-028	06-10-96	POSITION QUALIFICATION STATEMENTS (PQS) AND TRAINING ASSIGNMENTS (TA) NOT SUBMITTED FOR FOUR EMPLOYEES	YMSCO Wesley E. Barnes	Submit position qualifications and training assignments in accordance with YLP-2.1Q.	QAR to Perform Verification	07-01-96