



Department of Energy

Washington, DC 20585

JUN 06 1996

Distribution

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR THE MONTH OF MAY 1996
(SCPB: N/A)

The monthly status report of the Office of Civilian Radioactive Waste Management Corrective Action Requests (CAR), Deficiency Reports (DR), Performance Reports (PR), and Nonconformance Reports include the following:

Enclosure 1 - A list of the significant program deficiencies that are currently open.

Enclosure 2 - A series of summary tables for management, constructed by type of deficiency document.

Enclosure 3 - A CAR, DR and PR list sorted by issuing and responding organizations, showing current action status pending.

It is requested that the responsible individual in your organization review the reported information for any action. Any discrepancies should be promptly reported to the Office of Quality Assurance.

If you have any questions, please contact either Robert B. Constable at (702) 794-5580 or Deborah G. Sult at (702) 794-1466.

Donald G. Horton, Director
Office of Quality Assurance

OQA:RBC-1922

Enclosures:

1. Currently Open Significant Conditions
Adverse to Quality
2. Corrective Action Document
Status Tables for May 1996
3. Open Corrective Action Document
Status Report for May 1996

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PDR WASTE
WM-11 PDR



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JUN 06 1996

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Willis L. Clarke, M&O/LLNL, Livermore, CA
Royce E. Monks, M&O/LLNL, Livermore, CA
James A. Blink, M&O/LLNL, Las Vegas, NV
Robert R. Richards, M&O/SNL, Albuquerque, NM M/S 1333
Michael C. Brady, M&O/SNL, Las Vegas, NV
Robert A. Morgan, M&O, Vienna, VA
Robert L. Strickler, M&O, Vienna, VA
Jon D. Christensen, M&O, Las Vegas, NV
L. Dale Foust, M&O, Las Vegas, NV
Ronald P. Ruth, M&O, Las Vegas, NV
Toby D. Wightman, M&O, Las Vegas, NV
Joseph W. Willis, M&O, Las Vegas, NV
Thomas H. Chaney, USGS, Denver, CO
G. Louis Ducret, USGS, Denver, CO
Robert W. Craig, USGS, Las Vegas, NV
Stephen R. Dana, YMQAD/QATSS, Las Vegas, NV
Henry T. Greene, YMQAD/QATSS, Las Vegas, NV
Robert P. Keele, YMQAD/QATSS, Las Vegas, NV
Franklin B. Smith, YMQAD/QATSS, Las Vegas, NV
Deborah G. Sult, YMQAD/QATSS, Las Vegas, NV
Wesley E. Barnes, YMSCO, NV
James R. Compton, YMSCO, NV
J. Russell Dyer, YMSCO, NV
Richard E. Spence, YMQAD, NV

100035

Quality Assurance CAR Log
OPEN Significant Conditions Adverse to Quality

HQQAD-95-C-006 Issued To: SNL/6302
Resp. OCRWM Org: Headquarters Quality Assurance Division -- Bob Clark

Subject: SCALE SOFTWARE PACKAGE BEING USED IN SUPPORT OF THE
 BURNUP CREDIT ANALYTICAL STUDIES NOT DOCUMENTED
 TO MEET REQUIREMENTS OF ACQUIRED SOFTWARE PER QAIP 19-1.
 SNL STAFF MADE CHANGES TO SOFTWARE SOURCE
 CODE W/O FOLLOWING REQUIREMENTS FOR MAKING CHANGES.

Status: Corrective Action to be Completed. Verification Scheduled For 7-jun-1996.

YMQAD-95-C-050, Rev. 1 Issued To: LVMO/
Resp. OCRWM Org: AM Scientific Programs -- S. B. Jones

Subject: PERSONNEL DID NOT FOLLOW PROCEDURES

Status: Corrective Action to be Completed. Verification Scheduled For 20-jun-1996.

YMQAD-95-C-051 Issued To: USGS/
Resp. OCRWM Org: AM Scientific Programs -- S. B. Jones

Subject: UNQUALIFIED SOFTWARE BEING USED, FAILURE TO IDENTIFY
 INCORRECT ASSUMPTIONS AND EQUATIONS AND TDIF
 AND RECORDS PACKAGE

Status: Supplemental Information Received. QAR to Evaluate Supplemental Response
 15-jun-1996.

YMQAD-96-C-002

Issued To: USGS/

Resp. OCRWM Org: AM Scientific Programs -- S. B. Jones

Subject: IMPROPER IDENTIFICATION AND RESOLUTION OF MANDATORY
REVIEW COMMENTS; INADEQUATE TECHNICAL REVIEW OF
STUDIES

Status: Amended Supplemental Info. Rejected. Amended Response Due 10-jun-1996.

YMQAD-96-C-004

Issued To: USGS/

Resp. OCRWM Org: AM Scientific Programs -- Susan B. Jones

Subject: FAILURE TO FOLLOW QARD AND PROCEDURAL REQUIREMENTS FOR
PROCUREMENT ACTIVITIES

Status: Amended Response Rejected. Amended Response Due 14-jun-1996.

YMQAD-96-C-005

Issued To: USGS/

Resp. OCRWM Org: AM Scientific Programs -- Susan B. Jones

Subject: CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR
MAINTAINED

Status: Response Accepted. Corrective Action Completion Due 14-jun-1996.

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR MAY 1996

1. CARs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQQAD	6	0	1	5
YMQAD	6	0	1	5
USGS	0	0	0	0
K/PB	0	0	0	0
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	0	0	0	0
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-CARs	12	0	2	10

2. DRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQQAD	2	0	0	2
YMQAD	43	10	6	47
USGS	7	4	0	11
K/PB	17	3	6	14
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	16	6	5	17
SNL	2	0	0	2
VAMO	0	0	0	0
TOTAL-DRs	87	23	17	93

3. PRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQQAD	4	0	0	4
YMQAD	19	2	2	19
USGS	9	5	0	14
K/PB	2	0	1	1
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	4	3	4	3
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-PRs	38	10	7	41

4. NCRs

Organization - Type of Deficiency	Open at Beginning of Month	Issued	Closed	Open at end of Month
HQQAD	0	0	0	0
YMSCO	37	4	3	38
USGS	14	0	0	14
K/PB	8	1	2	7
LANL	0	0	0	0
LBNL	0	0	0	0
LLNL	0	0	0	0
LVMO	1	0	0	1
SNL	0	0	0	0
VAMO	0	0	0	0
TOTAL-NCRs	60	5	5	60

Note: RSN is combined with K/PB. YMPO is combined with YMSCO.

5. PROJECT TOTALS

Project Totals	Open at Beginning of Month	Issued	Closed	Open at end of Month
Stop Work Order	0	0	0	0
CAR	12	0	2	10
DR	87	23	17	93
PR	38	10	7	41
NCR	60	5	5	60
TOTAL	197	38	31	204

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Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-95-C-050	07-18-95	PERSONNEL DID NOT FOLLOW PROCEDURES -- THIS CAR IS IN REV. 1	LVMO L. Dale Foust	YAP-2.2Q to be revised and training of personnel to be performed.	QAR to Perform Verification	06-20-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
HQQAD-95-C-006	06-07-95	SCALE SOFTWARE PACKAGE BEING USED IN SUPPORT OF THE BURNUP CREDIT ANALYTICAL STUDIES NOT DOCUMENTED TO MEET REQUIREMENTS OF ACQUIRED SOTWARE PER QAIP 19-1. SNL STAFF MADE CHANGES TO SOFTWARE SOURCE CODE W/O FOLLOWING REQUIREMENTS FOR MAKING CHANGES TO SOFTWARE PER QAIP 19-1.	SNL Laurence S. Costin	Develop Life Cycle and Validation plans, document modifications, and validate. Evaluate completed work for impact. Revise QAIP 2-5.	Verification Scheduled For	06-07-96
HQQAD-95-C-008	06-07-95	THE DISTRIBUTION AND USE OF SNL'S PROCEDURES FOR BURNUP CREDIT ACTIVITIES ARE NOT BEING ADEQUATELY CONTROLLED.	SNL Laurence S. Costin	Document Control staff will discontinue to differentiate between YMP and BUC QA Implementing Procedures.	Verification Scheduled For	06-07-96
HQQAD-95-C-009	06-07-95	INTERIM CHANGE NOTICES ARE NOT BEING IDENTIFIED TO THE AFFECTED PROCEDURE PARAGRAPHS.	SNL Laurence S. Costin	QA advisory to be issued directing document holders to correct identified problems.	Verification Scheduled For	06-07-96
HQQAD-95-C-010	06-07-95	THE PROCESS FOR TRANSMITTING AND AUTHENTICATING BURNUP CREDIT PROCUREMENT DOCUMENTS INTO SNL'S LRC IS NOT CLEARLY DEFINED/CONTROLLED BY SNL'S PROGRAM.	SNL Laurence S. Costin	Revise QAIP 4-1 for the collection, processing, and authentication of Burn-up Credit procurement documents into the LRC.	Verification Scheduled For	06-07-96
HQQAD-95-C-011	06-07-95	FORMS ATTACHED TO CONTROLLED DOCUMENTS ARE NOT BEING STAMPED "SAMPLE".	SNL Laurence S. Costin	Stamp forms attached to controlled documents as "SAMPLE".	Verification Scheduled For	06-07-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-95-C-051	09-25-95	UNQUALIFIED SOFTWARE BEING USED, FAILURE TO IDENTIFY INCORRECT ASSUMPTIONS AND EQUATIONS AND TDIF AND RECORDS PACKAGE	USGS Robert W. Craig		QAR to Evaluate Supplemental Info.	06-15-96
YMQAD-96-C-002	01-16-96	IMPROPER IDENTIFICATION AND RESOLUTION OF MANDATORY REVIEW COMMENTS; INADEQUATE TECHNICAL REVIEW OF STUDIES	USGS Robert W. Craig		Amended Response Due	06-10-96
YMQAD-96-C-004	04-10-96	FAILURE TO FOLLOW QARD AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES	USGS Robert W. Craig		Amended Response Due	06-14-96
YMQAD-96-C-005	04-12-96	CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED	USGS Robert W. Craig	Fully implement the Corrective Action Program.	Corrective Action Completion Due	06-14-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-022	02-05-96	CONTRARY TO TCP-3.0,R/3, PARAS 5.9.1, 5.4.8 AND 5.5.1 1)REGULATED MATERIALS (RM) E.G. PAINTS, SOLVENTS, THINNERS AND ADHESIVES) WERE STORED IN THE CHANGE HOUSE WHICH IS NOT A DESIGNATED STORAGE FOR RM. SOME MATERIALS DID NOT HAVE MATERIAL TRACKING LABELS AND SOME STORAGE REQMTS NOT FOLLOWED	K/PB W. D. WIGHTMAN		QAR to Evaluate Amended Verif. Info.	06-04-96
K/PB-96-D-025	03-07-96	CONTRARY TO DRAWING -40152, REV. 1,AND SK1, NOTE 8 THERE ARE SOME AREAS IN THE TUNNEL THAT DO NOT HAVE THE REQUIRED DENSITY FOR EACH 1500 MM OF TUNNEL LENGTH. EXAMPLES: 37+79.34 TO 37.81.65;AND 40+31.07 TO 40+33.66 IN WORK PACKAGES 2.23.4 S & V	K/PB W. D. WIGHTMAN		QAR needs to prepare closure letter	06-04-96
K/PB-96-D-030	03-15-96	CONTRARY TO QARD, REV. 5, SEC. 5.2.2 B., REV 1 AND SPEC. SEC. -01400, REV 02, PARA 1.04 A.1 SOME QA CONTROLS ISSUED OR CHANGED BY DESIGN DOCUMENTS HAVE NOT BEEN INCORPORATED INTO K/PB IMPLEMENTING DOCUMENTS	K/PB W. D. WIGHTMAN		Corrective Action Completion Due	06-30-96
K/PB-96-D-031	03-18-96	CONTRARY TO TCP-2.6, REV. 4, PARA 3.1.7 NUMEROUS ITEMS IN THE EXTERIOR STORAGE AREAS WERE IMPROPERLY STORED, E.G., STEEL SET PIECES, ROCKBOLTS AND ACCESSORIES, SPOOLS OF ELECTRICAL CABLE, PVC AND CONDUIT, BURKE FLOOR	K/PB W. D. WIGHTMAN		Amended Response Due	06-07-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
		HARDENER, AND MSA CARTRIDGE FILTERS				
K/PB-96-D-032	03-21-96	CONTRARY TO MCP-4.0, REV. 11, PARA 3.2.3 H & MCP-7.1, REV 7 PARA 3.4.7 TWO METER LONG SUPER SWELLEX ROCKBOLTS WERE ORDERED ON A Q COMMERCIAL GRADE PO BUT WERE RECEIVED ON THE SITE AND TRANSFERED DIRECTLY TO THE IFC WITHOUT BEING PRESENTED TO QC FOR INSPECTION. 33 OF 400 BOLTS CANNOT BE ACCOUNTED FO	K/PB W. D. WIGHTMAN		Supplemental Information Due	06-07-96
K/PB-96-D-033	03-25-96	CONTRARY TO MCP-15.0, REV. 10, PARA 3.2.1 A, YAM-15.10, REV. 2, PARA 5.1.10, QCP-002, REV 3 PARA 3.2.1 C ONE OF SEVEN BOXES OF ONE INCH DIAMETER ASTM A-307 STUDS, THAT HAD BEEN IDENTIFIED AS NONCONFORMING AND APPROPRIATELY TAGGED, WERE TAKEN FROM THE WAREHOUSE AND USED	K/PB W. D. WIGHTMAN		Amended Response Due	06-04-96
K/PB-96-D-035	04-03-96	CONTRARY TO TCP-2.7 REV/ 4. [ARA 3/4. 3/5. 3/12. AND 3/13; 1. TRACED WATER THAT IS METERED AND REPORTED IS NOT ACCURATE ON A SHIFT BASIS; 2. ESTIMATING IS USED FOR CERTAIN REPORTED ITEMS VICE MEASURING OR METERING; 3. WEEKLY WATER LOSS SUMMARY DOES NOT MATCH TUNNEL WATER USE LOG; 4. LINEA	K/PB W. D. WIGHTMAN		Response Due	06-15-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-036	04-09-96	CONTRARY TO TCP-3.1, REV 2, PARA 5.2.1 AND 6.1 INSPECTIONS OF SPILL RESPONSE STATIONS HAVE NOT BEEN PERFORMED ON A WEEKLY BASIS, CHECKLISTS HAVE NOT BEEN USED AND THEREFORE NO QA RECORD FOR THIS ACTIVITY EXISTS.	K/PB W. D. WIGHTMAN		QAR to Perform Verification	06-05-96
K/PB-96-D-039	04-22-96	CONTRARY TO BABEA0000-01717-6300-0231 3, REV. 1 AND QCP-011, REV. 1, PARA 3.1.1 ON 4/19/96 BLASTING MATERIAL WAS UNLOADED AND PLACED INTO THE MAGAZINE BY CONSTRUCTION WITHOUT QC CONDUCTING THE REQUIRED RECEIPT INSPECTION DESPITE DIRECTIONS FROM QC NOT TO UNLOAD UNTIL THE INSPECTION WAS COMPLETE	K/PB W. D. WIGHTMAN		Response Due	06-07-96
K/PB-96-D-040	04-22-96	CONTRARY TO MCP-4.0, REV. 13, PARA 3.1.9 B. ONLY TWO RIPS HAVE BEEN SUBMITTED TO QE FOR MONITORING, ALTHOUGH THERE HAVE BEEN SEVERAL OTHER NON-Q PURCHASE ORDERS ISSUED SINCE THIS REQUIREMENT BECAME EFFECTIVE (E.G. CHANNEL LAGGING CLIPS AS IDENTIFIED ON THE ATTACHED NON-Q RIP FOR PO 1899-1412 AND CAR	K/PB W. D. WIGHTMAN		Response Due	06-05-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
K/PB-96-D-041	04-23-96	CONTRARY TO MCP-2.4, REV 9, PARAS 3.2.1 A., 3.1, & 3.3.2B NUMEROUS INDIVIDUALS HAVE NOT RECEIVED YMP ORIENTATION TRAINING WITHIN 30 DAYS, HAD POSITION DESCRIPTIONS DONE, OR TRAINING ON QUALIFICATION FILES	K/PB W. D. WIGHTMAN		Response Due	06-04-96
K/PB-96-D-043	05-01-96	CONTRARY TO TCP-2.20, REV 3, PARA 3.7.5 (THE SHIFT ENGINEER, OR DESIGNEE, WILL USE A CALIBRATED GAUGE TO CHECK THE ACCURACY OF THE SWELLEX PUMP UNIT ONCE PER SHIFT AND RECORD IT IN THE NOTES SECTION OF THE GSDR), THE CHECK WAS NOT ALWAYS PERFORMED EACH SHIFT (EXAMPLES ATTACHED)	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	06-04-96
K/PB-96-D-044	05-06-96	CONTRARY TO TCP-2.36, REV 1 AND QCP-014, REV 4 BEGINNING WITH THE SECOND SHIFT ON MAY 3, 1996 TESTING HAS NOT BEEN PERFORMED PRIOR TO THE USE OF TRACED WATER	K/PB W. D. WIGHTMAN		Response Due	06-21-96
K/PB-96-D-045	05-20-96	CONTRARY TO SPEC. SEC. -01400, REV. 2 PARA 1.04 G K/PB PROCEDURE TCP-3.4, REV 2, PRIOR TO THE ISSUANCE OF EXPEDITED PROCEDURE CHANGE (EPC) 96-001 EXCLUDED THE GENERATION OF QA RECORDS	K/PB W. D. WIGHTMAN		Response Due	06-12-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-024	02-26-96	VIOLATION:QARD SECTION 11: K/PB REMOVED HYDRAULIC JACK FROM CALIBRATION SYSTEM AND CONTINUES TO USE THE EQUIPMENT FOR PERFORMANCE OF IN-PLACE ANCHORAGE AND PROOF LOAD ROCKBOLT TESTING	K/PB W. D. WIGHTMAN	Revise TCP-2.28	QAR to Perform Verification	06-21-96
LVMO-96-D-025	02-26-96	VIOLATION:SPECIFICATION BABEAB000-01717-6300-0218 5,R.6; ELAPSED TIME FOR THE LOADING BEING APPLIED TO THE TESTED ROCKBOLTS WAS NOT DONE AT A RATE AS REQUIRED.	K/PB W. D. WIGHTMAN		QAR to Perform Verification	06-21-96
LVMO-96-D-026	02-26-96	VIOLATION:QARD SECTION 5;TCP-2.28, R.3 REQUIRING THE DATE OF INSTALLATION OF THE ROCKBOLT BE RECORDED ON THE ROCK ANCHOR PULL TEST REPORT DATA SHEET, WAS NOT FOLLOWED.	K/PB W. D. WIGHTMAN		QAR to Perform Verification	06-21-96
LVMO-96-D-029	03-15-96	VIOLATION:QARD SECTION 11,ROCKBOLT PROOF TESTING WAS PERFORMED AND DOCUMENTED WITHOUT ESTABLISHED ACCEPTANCE CRITERIA.	K/PB W. D. WIGHTMAN		QAR to Perform Verification	06-21-96
LVMO-96-D-036	04-12-96	VIOLATION: SPEC 1501, REVISION 4: REQUIRES THE CONSTRUCTOR TO DEVELOP A PROCEDURE TO MONITOR TUNNEL ALIGNMENT. K/PB DID NOT DEVELOP A PROCEDURE.	K/PB W. D. WIGHTMAN	Procedure will be developed to satisfy requirements of specifications.	Corrective Action Completion Due	06-17-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-037	04-15-96	VIOLATION:TCP-2.22 & SPEC 01501, REV.04:GROUND SUPPORT MAINTENANCE INSPECTION REPORTS WERE NOT GENERATED BECAUSE INSPECTIONS WERE NOT PERFORMED; GROUND SUPPORT MAINTENANCE AND REPAIR REPORT WAS REQUIRED FOR INSPECTIONS AND WERE NOT PERFORMED NOR INCLUDED IN THE WORK PACKAGE.	K/PB W. D. WIGHTMAN		QAR to Evaluate Response	06-23-96
LVMO-96-D-039	05-24-96	VIOLATION: SPEC 1501& TCP-2.21: K/PB IS PROCURING THEIR OWN DIESEL FUEL AND SAMPLING IS NOT BEING CONDUCTED THROUGH THE NYS PROCUREMENT SYSTEM.	K/PB W. D. WIGHTMAN		Response Due	06-21-96
LVMO-96-D-040	05-24-96	VIOLATION: TCP-2.21 & MCP-12.0; ENERAC 3000 SEM USED FOR DIESEL EMISSION TESTING IS NOT CALIBRATED OR CONTROLLED IAW PROCEDURES.	K/PB W. D. WIGHTMAN		Response Due	06-21-96
LVMO-96-D-041	05-24-96	VIOLATION: SPEC 1501:EXHAUST EMISSIONS EXCEEDED SPECIFICATION.	K/PB W. D. WIGHTMAN		Response Due	06-21-96
LVMO-96-D-043	05-24-96	VIOLATION: SPECIFICATION 2165 LTR FROM MANUFACTURER TO ALLOW CHANGE ROCKBOLT INSTALLATION PRESSURE NOT CONSIDERED 'MANUFACTURER'S RECOMMENDATION'.	K/PB W. D. WIGHTMAN		Response Due	06-20-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-020	12-26-95	SPECIAL PROCESS PROCEDURES ARE NOT ADEQUATE TO IMPLEMENT QARD, SECTION 9.0 REQUIREMENTS	K/PB W. D. WIGHTMAN		Acceptance Pending Lessons Learned Issuance	06-15-96
YMQAD-96-D-024	12-26-95	CALIBRATION REQUIREMENTS (TOLERANCE/ACCURACY) WERE NOT SPECIFIED/DOCUMENTED FOR THE GUAGE UTILIZED DURING ROCKBOLT INSTALLATION TESTING	K/PB W. D. WIGHTMAN	Basis for acceptance of guage accuracy to be documented.	QAR to Perform Verification	06-10-96
YMQAD-96-D-025	12-26-95	TEST CONTROL PROCEDURES DO NOT REFERENCE AND/OR INCORPORATE QARD SECTION 11.0 REQUIREMENTS	K/PB W. D. WIGHTMAN	Reference, incorporate and implement MCP-11.0 through each applicable procedure.	QAR to Perform Verification	06-10-96
YMQAD-96-D-028	12-26-95	INADEQUATE INSPECTOR QUALIFICATION PROGRAM FOR INSPECTORS	K/PB W. D. WIGHTMAN	MCP-10.1 to be revised.	QAR to Perform Verification	06-30-96
YMQAD-96-D-053	05-03-96	LACK OF A DOCUMENTED QA PROGRAM	K/PB W. D. WIGHTMAN		Acceptance Letter In Process	06-03-96
YMQAD-96-D-062	05-28-96	CONSTRUCTION PLANNING PROCEDURE IS NOT ADEQUATE TO MEET QARD SECTION 2.0 AND 5.0 REQUIREMENTS - THIS DOCUMENT WAS UPGRADED FROM PR YMQAD-96-P020	K/PB W. D. WIGHTMAN		Response Due	06-25-96

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Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-033	02-08-96	JOB PACKAGE HAS NOT BEEN REVISED REFLECT CONTRACT CHANGES	LANL Julie A. Canepa	Revise JPs 92-20D, 92-20E and 94-21 to reflect scopes of work.	Corrective Action Completion Due	12-02-96

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Quality Assurance CAR Log Application
Open Corrective Action Document Status Report

Version: 4.0.0
(ca010rpt)

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-038	02-14-96	LACK OF PROPER EXPERIENCE IN VERIFICATION DOCUMENTATION	LBNL Gudmundur Bodvarsson	Obtain acceptable documentation of education and experience.	Corrective Action Completion Due	07-01-96
YMQAD-96-D-039	02-14-96	LACK OF TRAINING FOR TWO INDIVIDUALS	LBNL Gudmundur Bodvarsson	Provide required training.	QAR to Perform Verification	07-12-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-018	12-21-95	NONCONFORMING CONDITIONS WERE FOUND, BUT NO ANOMALY REPORTS WERE INITIATED	LLNL Willis L. Clarke	Change procedure as necessary and implement procedure.	QAR to Perform Verification	06-12-96
YMQAD-96-D-054	05-08-96	INCORRECTLY CLASSIFYING "QUALITY-AFFECTING WORK AS NON-Q	LLNL Willis L. Clarke		Response Due	06-06-96
YMQAD-96-D-055	05-08-96	OUT OF DATA PROCEDURES/SPECIFICATIONS	LLNL Willis L. Clarke		Response Due	06-06-96
YMQAD-96-D-056	05-08-96	USE OF UNCALIBRATED M&TE	LLNL Willis L. Clarke		Response Due	06-06-96
YMQAD-96-D-057	05-08-96	PERFORMING QUALITY-AFFECTING ACTIVITIES W/O APPROVED AND CONTROLLED PROCEDURES	LLNL Willis L. Clarke		Response Due	06-06-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-023	02-26-96	VIOLATION:QAP-17-1.RECORD SEGMENTS KEPT AT DRC THAT CONTAIN COMPLETED QA RECORDS. SEGMENTS HAVE BEEN OPEN LONGER THAN 2 YEAR PERIOD.	LVMO L. Dale Foust	Identify record package segment older than 2 years. Contact record sources. Send records to record center. Initiate DAR to revise YAP-17.2Q to be consistent with YAP-17.1Q and QAP-17-1.	Corrective Action Completion Due	12-13-96
LVMO-96-D-027	02-29-96	VIOLATION QAP-1-0: NO PROCEDURE TO CONTROL TFM ACTIVITIES	LVMO L. Dale Foust	ESF Germination Irrigation Study to be revised. NMI developed to ensure DIE and YAP2.8Q requirements are prescribed in the design study. Personnel to be trained	Corrective Action Completion Due	07-01-96
LVMO-96-D-028	03-13-96	VIOLATION: QAP-7-3, UNIVERSITY SYSTEMS ARE CURRENTLY DOING WORK WITHOUT A CONTRACTUAL/PROCUREMENT DOCUMENT.	LVMO L. Dale Foust	Develop necessary transition plans. Determine if similar conditions exist. Determine impact on completed work.	Corrective Action Completion Due	08-15-96
LVMO-96-D-034	04-02-96	VIOLATION QAP-5-2: IMPACT REVIEWS WERE NOT PREPARED WHEN REVISIONS TO NLPS WERE ISSUED.	LVMO L. Dale Foust	Investigation to determine whether appropriate impact reviews were performed and documented, develop lessons learned IOC.	Corrective Action Completion Due	07-01-96
LVMO-96-D-038	04-18-96	VIOLATION QAP-2-1 AND QAP-2-2: WORK WAS PERFORMED WITHOUT APPROPRIATE TRAINING; POSITION DESCRIPTION WAS NOT PREPARED.	LVMO L. Dale Foust	C.Parker to read and document appropriate procedures, training dept. complete verification of experience, complete position description	QAR to Perform Verification	06-28-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-D-042	05-24-96	VIOLATION: QAP-7-6 REVIEW OF CERTIFICATES OF CONFORMANCE; AND ACCEPTANCE DOCUMENTATION NOT PERFORMED.	LVMO L. Dale Foust		Response Due	06-21-96
LVMO-96-D-044	05-29-96	VIOLATION MCP-4.0	LVMO L. Dale Foust		Response Due	06-26-96
YMQAD-95-D-007	08-09-95	QUALIFICATION FILES OF EMPLOYEES MISSING	LVMO L. Dale Foust	Review files, complete documentation and assess impact.	Corrective Action Completion Due	07-01-96
YMQAD-96-D-007	10-24-95	CERTIFICATION TEST PANEL SMALLER THAN ACI CODE REQUIREMENT.	LVMO L. Dale Foust	Shotcrete nozzleman requirements are not up to ACI standards.	QAR to Evaluate Extension Request	06-07-96
YMQAD-96-D-010	11-07-95	USE OF UNQUALIFIED SUPPLIER - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Transfer responsibility for supplier from USGS to M&O and ensure adequacy of supplier procedure.	QAR to Perform Verification	08-12-96
YMQAD-96-D-011	11-07-95	SCIENTIFIC NOTEBOOK NOT MAINTAINED PER REQUIREMENTS - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Incorporate into, number, appropriately correct and sign loose pages of scientific notebook.	QAR to Perform Verification	08-12-96
YMQAD-96-D-012	11-07-95	RECORDS NOT IN ACCORDANCE WITH REQUIREMENTS - THIS CAR IS IN REV. 1	LVMO L. Dale Foust	Correct records and submit to RPC. Provide training in Record Source responsibility.	QAR to Perform Verification	08-12-96
YMQAD-96-D-036	02-06-96	M&O DOCUMENT USED FOR Q APPLICATIONS WAS DEVELOPED NON-Q	LVMO L. Dale Foust	Revise compendium, update QA status and ensure controlled use. Revise activity evaluation and complete procedures.	Corrective Action Completion Due	08-30-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-037	02-14-96	CONTROL OF TRACERS, FLUIDS AND MATERIALS (TFMS) ARE NOT PRESCRIBED IN DOCUMENTS	LVMO L. Dale Foust	YAP-2.8Q to be issued. Project-wide training to be performed.	QAR to Perform Verification	06-17-96
YMQAD-96-D-041	02-26-96	PROCEDURES DO NOT REFERENCE YAP 15.1Q	LVMO L. Dale Foust	Revise implementing procedures to invoke YAP 15.1Q, as required.	Corrective Action Completion Due	06-28-96
YMQAD-96-D-042	02-26-96	RECORDS NOT SUBMITTED TO RPC WITHIN 20 WORKING DAYS OF COMPLETION	LVMO L. Dale Foust	Submit past due records to RPC and revise QAP-17-1, R4 to conform to QARD.	Corrective Action Completion Due	06-03-96
YMQAD-96-D-045	03-12-96	WORK DESCRIBED IN STUDY PLAN BEING IMPLEMENTED PRIOR TO STUDY PLAN APPROVAL	LVMO L. Dale Foust		Acceptance Letter In Process	06-03-96
YMQAD-96-D-060	05-16-96	FAILURE TO FOLLOW PROCEDURE	LVMO L. Dale Foust		Response Due	06-14-96
YMQAD-96-D-061	05-30-96	FAILURE TO FULLY IMPLEMENT QARD	LVMO L. Dale Foust		Response Due	06-27-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
SNL-96-D-004	01-25-96	VARIOUS TRAINING OMISSIONS, OVERSIGHTS, OR LACK OF DOCUMENTED COMPLETION EXISTS WITHIN SNL YMP PERSONNEL FILES OF PARTICIPANTS ASSIGNED TO WBS1232732 WORK ACTIVITIES.	SNL Laurence S. Costin	1&2 L.Costin will assign training. 3.training assignments will be retracted. 4. L.Costin will make training req. appropriate for WA 0182. 5.J.Blickey will retire "active only" snapshot report.	Corrective Action Completion Due	05-30-96
SNL-96-D-005	03-22-96	ONE-ON ONE TRAINING FOR ALL QAIP TRAINING ASSIGNED IN M.SPYCHALA CASE, PROCEDURES WERE READ INSTEAD.	SNL Laurence S. Costin	1 notify traning mgr. to attach training assignment to the training notification form. 2. Revise the Training Assuignment form.	Corrective Action Completion Due	05-30-96
YMQAD-96-D-001	11-07-95	TECHNICAL REVIEWS DID NOT IDENTIFY DEFICIENCIES - REQUIREMENTS FOR TECHNICAL REVIEW WERE VIOLATED---ISSUED IN REPLACEMENT OF CAR YM-95-015	SNL Laurence S. Costin	Data deficiencies to be corrected and QAIP 6-3 to be revised.	QAR to Perform Verification	06-07-96
YMQAD-96-D-002	11-07-95	TECHNICAL REVIEW OF SCIENTIFIC NOTEBOOK DID NOT IDENTIFY DEFICIENCIES --- ISSUED IN REPLACEMENT OF CAR YM-95-017	SNL Laurence S. Costin	SLTR will be issued as a SAND report. Review for other deficiencies. Revise QAIP 6-3.	QAR to Perform Verification	06-07-96
YMQAD-96-D-003	11-07-95	PROCUREMENT RECORDS ARE NOT BEING FORWARDED TO M&O RECORDS (CRF) ---- ISSUED IN REPLACEMENT OF CAR YM-94-093	SNL Laurence S. Costin	Complete a review of procurement records.	Corrective Action Completion Due	05-01-99
YMQAD-96-D-004	02-01-96	SNL PROCEDURES ARE INADEQUATE IN DETAIL ---- ISSUED IN REPLACEMENT OF CAR YM-94-096	SNL Laurence S. Costin	QAIPs to be evaluated and revised, as required.	QAR to Perform Verification	06-28-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-034	02-06-96	NO DOCUMENTED REVIEW PROCESS	SNL Laurence S. Costin	Revise QAIP 1-5 to clarify documentation of WA review comments.	Corrective Action Completion Due	06-01-96
YMQAD-96-D-043	02-28-96	IMPLEMENTING PROCEDURES ARE AMBIGUOUS OR NONEXISTENT	SNL Laurence S. Costin		Amended Response Due	07-14-96
YMQAD-96-D-044	03-12-96	ACCEPTANCE CRITERIA FOR MODEL VALIDATION NOT PROVIDED IN IMPLEMENTING DOCUMENTS	SNL Laurence S. Costin	Revise implementing procedures and provide additional training to personnel.	QAR to Perform Verification	06-24-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-95-D-001	07-26-95	FAILURE TO REVIEW DATA PRIOR TO SUBMITTING MANUSCRIPT FOR TECHNICAL REVIEW	USGS Robert W. Craig		QAR to Evaluate Amended Response	06-21-96
USGS-95-D-002	09-06-95	SPECIAL TRAINING NOT ASSIGNED OR DOCUMENTED	USGS Robert W. Craig		QAR to Evaluate Response	06-21-96
USGS-95-D-004	08-31-95	FAILURE TO SAFEGUARD RECORDS	USGS Robert W. Craig		QAR to Evaluate Response	06-28-96
USGS-95-D-005	08-03-95	DOCUMENT CLASSIFICATION NOT DESIGNATED IN QMPS	USGS Robert W. Craig		QAR to Evaluate Response	06-21-96
USGS-95-D-009	08-15-95	SAMPLE ANALYSIS PERFORMED BY LABORATORY FOR WHICH NO MANAGEMENT AGREEMENT EXISTS	USGS Robert W. Craig		QAR to Evaluate Response	06-21-96
USGS-95-D-010	05-08-96	FAILURE TO PERFORM SOURCE DATA REVIEW PRIOR TO TECHNICAL REVIEW OF MANUSCRIPT	USGS Robert W. Craig		Response Due	06-28-96
USGS-95-D-011	09-12-95	OPERATOR MANUALS, INSTRUCTIONS RELATED TO TECHNICAL PROCEDURES NOT CONTROLLED/REVIEWED (QDR-95050-2)	USGS Robert W. Craig		Response Due	06-28-96
USGS-95-D-016	09-18-95	SUPPLEMENT TO MOA 25A (LBL) NOT PREPARED FOR COSMOGENIC NUCLIDE WORK	USGS Robert W. Craig		QAR to Evaluate Response	06-21-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-96-D-001	05-03-96	CONTRACT MODIFICAITONS BY-PASSED QA REVIEW	USGS Robert W. Craig		QAR to Evaluate Response	06-21-96
USGS-96-D-002	05-24-96	VENDOR (HUFFMAN) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	USGS Robert W. Craig		Response Due	06-24-96
USGS-96-D-003	05-24-96	VENDOR (INSTARR) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	USGS Robert W. Craig		Response Due	06-24-96
YMQAD-95-D-009	08-30-95	SUPPLIER HAS NO PROGRAM FOR THE QUALIFICATION AND PASS-DOWN OF QA REQUIREMENTS TO SUB-TIER SUPPLIERS. NO DOCUMENTED EVIDENCE OF ACCEPTANCE,	USGS Robert W. Craig	Document material acceptance tests and revise program to provide for such testing.	Rejection Letter in Process	06-03-96
YMQAD-96-D-035	02-06-96	INCOMPLETE IMPLEMENTING DOCUMENTS	USGS Robert W. Craig	Develop implementing procedures.	Corrective Action Completion Due	08-01-96
YMQAD-96-D-046	03-19-96	LACK OF M&TE CALIBRATION DOCUMENTATION AND PROCEDURES. CALIBRATION RECORDS NOT PROPERLY MAINTAINED AND M&TE SOFTWARE NOT VERIFIED.	USGS Robert W. Craig		Rejection Letter in Process	06-03-96
YMQAD-96-D-048	04-12-96	FAILURE TO DOCUMENT AND DISPOSITION OUT OF CALIBRATION CONDITIONS	USGS Robert W. Craig		Rejection Letter in Process	06-03-96
YMQAD-96-D-049	04-12-96	FAILURE OF LOWER-TIER PROCEDURE TO FULLYIMPLEMENT YAP-15.1a, REV. 2, ICN 1 --- THIS DEFICIENCY REPORT IS BEING ISSUED AS REV 1.	USGS Robert W. Craig		Deficiency document to be reissued as Rev. 1	06-03-96

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YMQAD-96-D-050	04-12-96	FAILURE TO FULLY IMPLEMENT YAP-17.1Q, REV. 0, ICN #4	USGS Robert W. Craig	Revise QMP 3.04.	Corrective Action Completion Due	07-01-96
YMQAD-96-D-051	04-12-96	RECORDS NOT ADEQUATELY MAINTAINED RESULTING IN LOST OR MISPLACED RECORDS	USGS Robert W. Craig	Recreate/locate lost or missing records and forward to storage facility.	Corrective Action Completion Due	10-15-96
YMQAD-96-D-052	04-23-96	FAILURE TO FOLLOW PROCEDURES	USGS Robert W. Craig		Acceptance Letter In Process	06-03-96
YMQAD-96-D-058	05-21-96	FAILURE TO IMPLEMENT QA PROGRAM REQUIREMENTS	USGS Robert W. Craig		Response Due	06-19-96

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HQQAD-96-D-001	12-18-95	REVIEW CRITERIA USED TO PERFORM REVIEWS OF SUBTIER SUBCONTRACTOR QA PLANS AND THE SAR DESIGN PLAN INADEQUATE.	VAMO R. L. Strickler		Verification Scheduled For	05-31-96
HQQAD-96-D-002	12-18-95	REVIEW OF SUBCONTRACTORS QUALITY AFFECTING DOCUMENTS WERE NOT DOCUMENTED AS BEING RESOLVED PRIOR TO APPROVAL OF THE DOCUMENTS.	VAMO R. L. Strickler		Verification Scheduled For	05-31-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
YMQAD-96-D-009	11-07-95	INFORMATION IN STUDY PLAN NOT CURRENT	YMSCO Wesley E. Barnes	Revise Study Plan.	Corrective Action Completion Due	07-12-96
YMQAD-96-D-030	01-16-96	UNQUALIFIED DATA IDENTIFIED AS QUALIFIED IN TECHNICAL DATA BASE	YMSCO Wesley E. Barnes	ATDT to be amended; written guidance to be provided.	Corrective Action Completion Due	09-30-96
YMQAD-96-D-031	01-22-96	RECORDS INDEXING NOT IN ACCORDANCE WITH APPROVED PROCEDURES - THIS DR WAS CONVERTED FROM CAR YM-95-34, REV. 1	YMSCO Wesley E. Barnes	OCRWM program level document for records indexing to be developed.	Corrective Action Completion Due	06-27-96
YMQAD-96-D-047	04-01-96	SELF STUDY ASSIGNMENTS FOR PROCEDURES NOT COMPLETED AS REQUIRED	YMSCO Wesley E. Barnes		QAR to Evaluate Extension Request	06-06-96
YMQAD-96-D-059	05-17-96	FAILURE TO FOLLOW PROCEDURE	YMSCO Wesley E. Barnes		Response Due	06-17-96

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HQQAD-95-P-001	09-27-95	EM-323 SPPS DO NOT ADEQUATELY ADDRESS QARD REQUIREMENTS.	EM323 J. Conway	QARD Matrix, Rev. 2, to be revised.	Verification Scheduled For	06-28-96

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K/PB-96-P-020	03-15-96	CONTRARY TO TCP-3.3, REV. 3, PARAS 5.1.3A, 5.1.3A2 AND 5.1.3B SPILL RELEASE REPORT (SRP) 056 WAS NOT REPORTED AS AN OFF-NORMAL OCCURRENCE TO DOE ORPS WITHIN 24 HOURS OF CATERGORIZATION.	K/PB W. D. WIGHTMAN		Corrective Action Completion Due	06-03-96
YMQAD-96-P-002	10-19-95	QUALITY CONTROL PROCEDURE NOT UPDATED TO CURRENT SPECIFICATION REQUIREMENTS	K/PB W. D. WIGHTMAN	Revise QCP-007 and TCP-2.25.	Corrective Action Completion Due	09-30-96

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YMQAD-96-P-014	12-21-95	ITEMS WERE FOUND WITHOUT REQUIRED TAGGING	LLNL Willis L. Clarke	Revise and implement procedures.	QAR to Perform Verification	08-30-96
YMQAD-96-P-015	12-21-95	CALIBRATION LAB DOES NOT MONITOR TEMPERATURE AND HUMIDITY AND DOES NOT IDENTIFY ACCEPTANCE TOLERANCES	LLNL Willis L. Clarke	Correct existing procedure.	Corrective Action Completion Due	08-30-96
YMQAD-96-P-026	05-08-96	PROCEDURE OUT OF DATE	LLNL Willis L. Clarke		Response Due	06-06-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
LVMO-96-P-015	02-05-96	VIOLATION: NLP-2-4; LOCATION COORDINATES AND TOP OF CASING COORDINATES FOR YMP CONTROLLED BOREHOLES HAVE NOT BEEN DETERMINED AND DOCUMENTED.	LVMO L. Dale Foust	Revise NLP-2-4; develop schedule of availability and capability for K/PB Surveying Group.	Corrective Action Completion Due	09-30-96
LVMO-96-P-017	02-13-96	VIOLATION: QAP-17-1; RECORDS NOT SUBMITTED TO THE RPC WITHIN 20 WORKING DAYS	LVMO L. Dale Foust	Submit records to RPC. Open TBV/TBD packages will be inspected for any other released TBV/TBDs to ensure records have been submitted within 20 working days/	QAR to Perform Verification	07-03-96
LVMO-96-P-028	05-30-96	VIOLATION: QAP-3-5	LVMO L. Dale Foust		Response Due	06-26-96
LVMO-96-P-029	06-03-96	VIOLATION: NLP-3-31, Q-SUBMITTAL 2C-01-Q1123 WAS DISPOSITIONED AS REJECTED INCORRECTLY.	LVMO L. Dale Foust		Response Due	07-01-96
YMQAD-96-P-004	11-15-95	NO PROCEDURES FOR PERFORMING SUPPLIER AUDITS OR CERTIFYING LEAD AUDITORS	LVMO L. Dale Foust	Interim change pages to current QA Manual. Incorporate changes into next revision.	QAR to Perform Verification	06-07-96
YMQAD-96-P-005	11-15-95	NO PROCEDURES FOR NOTIFYING CUSTOMERS WHEN A STANDARD IS FOUND OUT OF CALIBRATION	LVMO L. Dale Foust	Issue procedures for "out-of-calibration" conditions.	QAR to Perform Verification	06-07-96
YMQAD-96-P-006	11-15-95	NO PROCEDURES FOR PROTECTION OF QA RECORDS	LVMO L. Dale Foust	Revise QA manual record storage requirements.	QAR to Perform Verification	06-07-96

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YMQAD-96-P-017	12-26-95	LACK OF ACCEPTANCE CRITERIA IN A SPECIFICATION	LVMO L. Dale Foust	Revise ground support specification.	Corrective Action Completion Due	07-01-96
YMQAD-96-P-027	05-21-96	FAILURE TO AUDIT ELEMENTS OF QA PROGRAM	LVMO L. Dale Foust		Response Due	06-19-96

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YMQAD-95-P-002	07-19-95	CONTROL OF EIA SOFTWARE	DWAST Samuel Rousso	HLP-SI.1Q to be revised.	QAR to Perform Verification	07-30-96

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USGS-95-P-002	08-08-95	QMP-3.03, R5-M1, PARAGRAPH 5.3.2.2, FAILURE TO IMPLEMENT QARD REQUIREMENTS	USGS Robert W. Craig	MODIFICATION PREPARED FOR QMP-3.03	Corrective Action Completion Due	06-14-96
USGS-95-P-003	08-09-95	OMISSIONS ON THE SOFTWARE CONFIGURATION STATUS ACCOUNTING LOG FOR CID HIPO023.01	USGS Robert W. Craig		QAR to Evaluate Response	06-21-96
USGS-95-P-007	09-06-95	COLLECTION OF SAMPLES PRIOR TO INITIATION OF SCIENTIFIC NOTEBOOK OR TECHNICAL PROCEDURE	USGS Robert W. Craig		QAR to Evaluate Response	06-15-96
USGS-96-P-001	12-20-95	TEMPERTURE MEASUREMENTS NOT RECORDED FOR ARCHIMEDES METHOD (HP-229)	USGS Robert W. Craig		QAR to Evaluate Response	06-07-96
USGS-96-P-002	12-20-95		USGS Robert W. Craig		QAR to Evaluate Response	06-21-96
USGS-96-P-004	03-21-96		USGS Robert W. Craig		QAR to Evaluate Verification Info.	06-21-96
USGS-96-P-006	03-21-96		USGS Robert W. Craig		QAR to Evaluate Verification Info.	06-21-96
USGS-96-P-007	03-21-96		USGS Robert W. Craig		Response Due	06-28-96

DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	CRCT ACTN RESPONSBL	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DATE
USGS-96-P-010	03-21-96	LATE RECORD SUBMITTALS FOR REPORTS (QDR-95009)	USGS Robert W. Craig		Response Due	06-28-96
USGS-96-P-011	03-22-96		USGS Robert W. Craig		Response Due	05-16-96
USGS-96-P-012	04-29-96	DEVELOP ACTION PLAN TO PREVENT RECURRENCE	USGS Robert W. Craig		Corrective Action Completion Due	06-28-96
USGS-96-P-014	05-02-96	SAMPLE COLLCTION REPORTS NOT PREPARED FOR GAS SAMPLES	USGS Robert W. Craig		Response Due	06-28-96
USGS-96-P-015	05-02-96	SOURCE VERIFICATION NOT PERFORMED	USGS Robert W. Craig		Response Due	06-28-96
YMQAD-95-P-007	08-29-95	NO SOFTWARE DOCUMENTATION FOR CARBON AGE DATING	USGS Robert W. Craig	Revise Beta QA Manual to include software QA controls.	QAR to Perform Verification	06-28-96
YMQAD-95-P-008	08-29-95	NO UNIQUE IDENTIFIER FOR PROCEDURES	USGS Robert W. Craig	Procedure administrative controls to be implemented.	QAR to Perform Verification	06-28-96
YMQAD-95-P-009	08-29-95	TEST DOCUMENTATION INCOMPLETE FOR THE REQUIREMENTS	USGS Robert W. Craig	Revise text documentation.	QAR to Perform Verification	06-28-96
YMQAD-95-P-010	08-29-95	NO DETAILED PROCEDURES FOR CALIBRATION OF LIQUID SCINTILLATION COUNTERS	USGS Robert W. Craig	Issue calibration procedure for L.C. counters.	QAR to Perform Verification	06-28-96

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YMQAD-95-P-011	08-29-95	NO DOCUMENTED PROVISIONS FOR THE STORAGE OF RECORDS	USGS Robert W. Craig	Ensure all records have been sent to USGS.	QAR to Perform Verification	06-28-96
YMQAD-96-P-022	02-01-96	RECORDS NOT STORED TO PREVENT DAMAGE	USGS Robert W. Craig		Rejection Letter in Process	06-03-96
YMQAD-96-P-024	04-12-96	FAILURE TO IMPLEMENT QARD, SECTION 17.2.4A	USGS Robert W. Craig	Revise implementing procedures and perform additional training.	Corrective Action Completion Due	07-01-96
YMQAD-96-P-025	04-12-96	MISSING SOFTWARE DOCUMENTATION	USGS Robert W. Craig	Obtain missing documentation and submit to Records Storage.	QAR to Perform Verification	07-10-96

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HQQAD-96-P-001	02-27-96	VLP-3-3 NOT DEVELOPED IAW QAP-3-4; IMPLEMENTING PROCEDURE REFERENCES ACTIONS IAW PROCEDURES WHICH HAVE SUBSEQUENTLY BEEN CANCELED.	VAMO R. L. Strickler		QAR to Perform Verification	06-28-96
HQQAD-96-P-002	02-27-96	LIFE CYCLE PLANS FOR SEVERAL SOFTWARE PRODUCTS DID NOT IDENTIFY CONTROL POINTS IN BOLDFACE IAW QAP-SI-1.	VAMO R. L. Strickler		Corrective Action Completion Due	05-31-96
HQQAD-96-P-003	02-27-96	QA RECORDS GENERATED BY QAP-6-1 AND NLP-6-1 WERE NOT IDENTIFIED BY THE IMPLEMENTING DOCUMENTS.	VAMO R. L. Strickler		Corrective Action Completion Due	07-15-96

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YMQAD-96-P-011	11-28-95	PROCEDURE DOES NOT REQUIRE JUSTIFICATION OF THE METHOD OF QUALIFICATION, AS REQUIRED BY THE QARD	YMSCO Wesley E. Barnes	YAP-S111.1Q to be modified.	QAR to Perform Verification	07-02-96