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Distribution

CORRECTIVE ACTION STATUS REPORT FOR THE MONTH OF FEBRUARY 1994 (SCP: N/A)

The status of corrective action requests (CARs) issued by Office of Civilian Radioactive Waste Management (OCRWM) Office of Quality Assurance (OQA) as of February 28, 1994, is enclosed. Enclosure 1 is a summary of CAR activity for the month of February. A status of open CARs issued by Headquarters Quality Assurance Division and CARs issued by Yucca Mountain Quality Assurance Division as of February 28, 1994, is provided in Enclosures 2 and 3, respectively.

It is requested that the responsible individual in your organization review the reported information for any required action. Any discrepancies should be promptly reported to the OQA.

If you have any questions, please contact either Robert B. Constable at 701 794-7945 or Nita J. Brogan at (702) 794-7777.

Donald G. Horton

Donald G. Horton, Director
Office of Quality Assurance

OQA:RBC-2378

Enclosures:

1. CAR Status for February 1994
2. Headquarters Quality Assurance
Division CAR Status Report for
February 1994
3. YMQAD CAR Status Report for
February 1994

cc w/encl:

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R. W. Craig, USGS, Las Vegas, NV
N. Z. Elkins, LANL, Las Vegas, NV
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Robert F. Fritchett, REECO, Las Vegas, NV
William C. Kopatich, RSN, Las Vegas, NV
Les E. Shephard, SNL, Albuquerque, NM, M/S 1333
Larry R. Hayes, USGS, Las Vegas, NV
Robert M. Nelson, Jr., YMSCO, NV

Enclosure 1

CORRECTIVE ACTION REQUEST STATUS REPORT FOR FEBRUARY 1994

1. HEADQUARTERS CORRECTIVE ACTION REQUEST AND STOP WORK ORDERS

A. Corrective Action Requests

CARs	MONTH TO DATE	TOTAL TO DATE
CARs Issued	0	104
CARs Closed	1	89
CARs Open	-	15

B. Stop Work Orders

No Activity

2. YMP CORRECTIVE ACTION REQUEST AND STOP WORK ORDERS

A. Corrective Action Requests

CARs	MONTH TO DATE	TOTAL TO DATE
CARs Issued	4	296
CARs Closed	10	262
CARs Open	-	34

B. Stop Work Orders

No Activity

**HEADQUARTERS QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST (CAR) STATUS REPORT
FOR FEBRUARY 1994**

Enclosure 2

CAR NUMBER	ISSUE DATE	SEVERITY	ADVERSE CONDITION	CORRECTIVE ACTION RESPONSIBILITY	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DUE DATE
RW-20							
HQ-92-017	10/13/92	SC	Inadequate management of records by originators	S. Brocoun RW-22	1) Review status of records pkgs; 2) assign record pkg. responsibilities; and 3) attend training	Complete Corrective Action	01/03/94
RW-30							
HQ-93-007	01/28/93	-	Employees performing work under QARD reqmts not on list	D. Shelor RW-30	1) Add employees to "List of Employees Performing Assignments Against the QARD". 2) issue HLP-2.1Q	Complete Verification (Note: awaiting issuance of HLP-2.1Q)	03/15/94
RW-3							
HQ-93-003	01/28/93	-	Lead Auditor exams not in record pkgs.	R. Clark RW-3.1	1) Issue memo to Lead Auditor certification records pkg. 2) Revise QAAP 18.1	Verification Completed and CAR Closed 2/16/94	N/A
EIA							
HQ-92-022	10/21/92	SC	No SQAP; inadequate controls applied to RW-859 Data Collection Computer Code	F. Mayes (EI-523)	1) Complete SQAP for RW-859 Code; 2) hold lessons learned class	Complete Verification (Note: awaiting completion of QAP 6.2 review)	05/01/94
HQ-92-023	10/21/92	SC	No SQAP; inadequate controls applied to INM Computer Code	F. Mayes (EI-523)	1) Complete SQAP for INM Code; and 2) hold lessons learned class	Complete Verification (Note: awaiting completion of QAP 6.2 review)	05/01/94
HQ-92-029	10/21/92	-	Document control deficiencies	F. Mayes (EI-523)	Develop procedures to identify controlled documents	Complete Verification (Note: awaiting completion of QAP 6.2 review)	05/01/94

**HEADQUARTERS QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST (CAR) STATUS REPORT
FOR FEBRUARY 1994**

Enclosure 2

CAR NUMBER	ISSUE DATE	SEVERITY	ADVERSE CONDITION	CORRECTIVE ACTION RESPONSIBILITY	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DUE DATE
EM-343							
HQ-93-009	02/16/93	SC	Ineffective technical review process	R. Erickson (EM-343)	1) Revise TRG (SPP 4.15); 2) conduct surveillance	Complete Verification (CAR closure in process)	03/01/94
HQ-93-010	02/16/93	SC	Technical activities not verified during audits/surveillances	R. Erickson (EM-343)	1) Revise SPP 5.1; 2) verify no negative impact of tech activities; 3) conduct surveillance; 4) increase participation of tech specialist	Complete Verification	03/01/94
HQ-93-011	02/16/93	SC	List of items & activities subject to QARD reqmts has not been developed	R. Erickson (EM-343)	1) Issue memo; 2) evaluate items & activities lists for QA reqmts; 3) update DWPF WCP	Complete Verification	03/01/94
HQ-93-027	08/05/93	-	1) No procedure for prep of tech documents; 2) interfaces for development of WAPS not controlled; 3) WAPS input not controlled	R. Erickson (EM-343)	1) Evaluate controls applied during development of EM-WAPS, 2) revise SPP 4.16	Complete Corrective Action	03/31/94
HQ-93-028	08/05/93	-	1) No evidence of comment resolution; 2) no tracking of requirements to WCP & WQR; 3) no list of reviewers & qualifications; 4) checklists not received; 5) review package not received; 6) WA-SRD requirements not in WAPS	R. Erickson (EM-343)	1) Evaluate WA-SRD reqmts not completely stated in EM-WAPS, 2) revise SPP 4.16	Complete Corrective Action	03/31/94

**HEADQUARTERS QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST (CAR) STATUS REPORT
FOR FEBRUARY 1994**

Enclosure 2

CAR NUMBER	ISSUE DATE	SEVERITY	ADVERSE CONDITION	CORRECTIVE ACTION RESPONSIBILITY	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DUE DATE
M&O/TRW							
HQ-93-013	03/18/93	SC	Procedures lack adequate acceptance criteria	R. Robertson (M&O)	Trace the QARD requirements to implementing procedures	Unsatisfactory Verification	Pending
HQ-93-031	10/22/93	-	1) CRD reqmts not adequately addressed in MGDS-RD; 2) MDGS-RD reqmt lacking ref to 40 CRF	R. Robertson (M&O)	Revise SRD's	Complete Corrective Action	09/15/94
SNL							
HQ-94-001	12/20/93	-	1) CSDP organization chart inadequacies; 2) CSDP lacks evidence of reporting & tracking system	Brady (SNL)		Respond to CAR (Extension requested)	03/31/94
HQ-94-002	12/20/93	Yes	1) CSDP QA Manual not current and several CSDP QA Program inadequacies	Brady (SNL)		Respond to CAR (Extension requested)	03/31/94
HQ-94-003	12/20/93	Yes	1) Lack of CSDP QA audits and surveillances	Brady (SNL)		Respond to CAR (Extension requested)	03/31/94

YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST STATUS REPORT
FOR FEBRUARY 1994

Enclosure 3

CAR NUMBER	ISSUE DATE	SEVERITY	ADVERSE CONDITION	CORRECTIVE ACTION RESPONSIBILITY	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DUE DATE
LLNL							
YM-91-056	06/24/91	2	Procedures issued w/o meeting requirements of QAPP	LLNL Clarke	Revise QP 2.1 and QAPP	Verification scheduled for 05/02/94	05/02/94
YM-94-005		SC	Procedure not implemented to conduct quality affecting work.	LLNL Clarke		Provide amended response	02/18/94
PNL							
YM-93-024	12/31/92		Inadequate follow-up of corrective action	PNL Eslinger	Reopen audit report. Issue document change request to QAP-70-704	Complete corrective action	03/30/94
REECo							
YM-93-058	07/07/93		Survey activities not performed in accordance with REEC Co YM QA procedures	REECo Pritchett	Surveyers to be qualified and instruments calibrated. Technical control procedure to be developed.	Verify corrective action	03/03/94
YM-93-061	07/07/93		Failure of QC to notify construction to take additional samples	REECo Pritchett	Implement log system to ensure timely receipt of test data	Evaluate amended response	03/14/94
YM-94-008	12/22/93		Water removed from ESF not being reported as required	REECo Pritchett	Revise TC-581-SP-0009	Complete corrective action	03/18/94
YM-94-009	12/22/94		Failure to adequately address specification requirements	REECo Pritchett	Revise TC-581-SP-0001	Verification scheduled for 03/11/94	03/11/94

YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST STATUS REPORT
FOR FEBRUARY 1994

Enclosure 3

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RSN							
YM-94-016	02/07/94		Job descriptions for matrixed R SN personnel not sent to Training Coordinator	RSN Kopatich		Provide response	03/07/94
YM-94-017	02/07/94		1) Existing conditions at drill site not included in USW NRG-7 & USW SD-12 work programs 2) QA records not addressed in USW NRG-7 work programs 3) Work programs do not address unattended holes from spudding to rigdown.	RSN Kopatich		Provide response	03/07/94
SNL							
YM-93-094	09/29/93		Technical review not performed as required	SNL Shephard	Review all records packages of SNL study plans completed after 1/1/89. If inadequate evaluate on case by case basis. Implement tracking tools and controls.	Issue closure letter	
YM-93-097	09/29/93		QA requirements not incorporated in procurement documents	SNL Shephard	Review all current service contracts. Revise WA-0073. Revise contracts as stated. Develop procurement QA review checklist.	Evaluate extension request	03/04/94

YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST STATUS REPORT
FOR FEBRUARY 1994

Enclosure 3

CAR NUMBER	ISSUE DATE	SEVERITY	ADVERSE CONDITION	CORRECTIVE ACTION RESPONSIBILITY	CORRECTIVE ACTION DESCRIPTION	NEXT ACTION	NEXT ACTION DUE DATE
TRW							
YM-93-040	04/01/93	SC	OCRWM QARD requirements not contained in design procedures	TRW Foust	Prepare and issue ILP	Evaluate amended response	03/15/94
YM-93-045	04/16/93	SC	Use of unqualified vendor for one-of-a-kind records storage	TRW Foust	Resolve audit recommendations. Monitor via annual surveillance	Complete corrective action	03/31/94
YM-93-066	07/19/93		QAP 17-4 does not reflect records management policies and requirements document, upper tier requirements	TRW Foust	Delete RW-0194, Rev. 2, Appendix D D3.2c, D3.4a and D.3.4c. Revise RMPR	Complete corrective action	04/04/93
YM-93-070	07/16/93	SC	Repetitive deficiencies regarding inadequate or non-existent procedures	TRW Foust	Implement the RTN matrix for procedure review and issuance.	Verify corrective action	03/15/94
YM-93-073	07/22/93	SC	Drawings do not list quality-affecting design inputs	TRW Foust	Revise MGP-3-8. Revise RSN BFD. Prepare ILP to allow revisions to the RSN BFD. Develop tracking for controlling design inputs.	Evaluate response to unsatisfactory verification.	03/11/94
YM-94-002	10/28/93	SC	No detailed documentation for supporting derived requirements contained in the project requirements documents	TRW Foust	Revise the Technical Document Preparation Plan for the preparation of MGDS Design Requirements Documents	Complete corrective action	05/01/94

YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST STATUS REPORT
FOR FEBRUARY 1994

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TRW (Continued)							
YM-94-003	10/28/93		Source input controls on project design requirements documents	TRW Foust	Perform a review of Design Requirements Documents. Revise Technical Document Preparation Plan	Complete corrective action	03/30/94
YM-94-013	12/30/93		Plans prescribing Quality Affecting Activities not controlled in accordance with QA Program	TRW Foust	1) Revise TDPPs prepared under NLP-3-17 2) Revise NLP-3-17 3) Submit DOE Plan in accordance with QAP-6-1	Complete corrective action	04/01/94
YM-94-014	12/30/93	SC	Selection of design configuration for DIES not governed by procedure	TRW Foust		Evaluate response	03/15/94
YM-94-015	12/30/93	SC	Validation status of data used in Waste Isolation Impact Evaluation is not documented or tracked	TRW Foust		Evaluate response	03/15/94
YM-94-020			Inadequate review criteria for Test Interference Database Information Tracking System	TRW Foust		Issue CAR	
YM-94-021			Expedited and Urgent Field Change Requests were not processed within the required timeframe.	TRW Foust		Issue CAR	

YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST STATUS REPORT
FOR FEBRUARY 1994

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USGS							
YM-93-053	07/02/93		Subcontractor's QA Programs do not include applicable QA Program requirements	USGS Hayes	Revise USGS QMP-4.01	Complete corrective action	04/01/94
YM-94-004	10/29/93		No temperature control for core and rubble samples. No calibration device or log book of storage temperatures.	USGS Hayes	Revise USGS procedure HP- 131.	Verify corrective action	03/14/94
YMP							
YM-91-005	11/09/90	3	No matrix for OCRWM procedures and QARD/QAP	YMP Nelson	Complete modifications identified in matrix review	Complete corrective action	04/01/94
YM-93-004	10/13/92	SC	Illegible Records and Records Problems	YMP Nelson	Revise AP-1.18Q. Resubmit Job Package 92-12 to LRC	Evaluate extension request	03/04/94
YM-93-027	12/31/92	SC	Failure to implement procedures for Control of Tracers, Fluids, and Materials	YMP Nelson	Revise TFM Plan. Revise AP-5.21Q and AP-5.32Q	Complete corrective action	04/30/94
YM-93-044	04/16/93		Readiness Review not properly documented	YMP Nelson	Revise QAAP 2.6. Provide training	Verify corrective action	03/14/94
YM-93-086	08/24/93	SC	Work performed prior to review of procurement documents	YMP Nelson	Approve and issue Technical Directives. Perform procedure revisions as necessary.	Complete corrective action	04/01/94
YM-93-093	09/14/93		Deficiencies in QA implementation control in the scientific investigation process	YMP Nelson	Test planning packages and job packages to be modified. AP 5.21Q and AP 5.32Q are being revised.	Complete corrective action	03/12/94

YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
MONTHLY CORRECTIVE ACTION REQUEST STATUS REPORT
FOR FEBRUARY 1994

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YMP (Continued)							
YM-94-012	12/29/93		Ground support system for drilling blast section of the ESF added to the Q-List without required documentation.	YMP Nelson	Revise AP-6.17Q to clarify classification methods.	Complete CA	06/30/94
YM-94-019			Not submitting completed Job Package records to the DRC	YMP Nelson		Issue CAR	