



Department of Energy  
Yucca Mountain Site Characterization  
Project Office  
P. O. Box 98608  
Las Vegas, NV 89193-8608

WBS 1.2.11  
QA: N/A

DEC 16 1997

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Technical Project Officer  
for Yucca Mountain  
Site Characterization Project  
Science Applications International Corporation  
Bank of America Center, Suite 407  
101 Convention Center Drive  
Las Vegas, NV 89109

VERIFICATION OF CORRECTIVE ACTION AND CLOSURE OF CORRECTIVE ACTION REQUEST  
(CAR) YM-92-074 RESULTING FROM YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION  
(YMQAD) AUDIT YMP-92-23 OF SCIENCE APPLICATIONS INTERNATIONAL CORPORATION

The YMQAD staff has verified the corrective action to CAR YM-92-074 and determined the results to be satisfactory. As a result, the CAR is considered closed.

If you have any questions, please contact either Robert B. Constable at 794-7945 or A. Edward Cocoros at 794-7242.

*R.E. Spence*

Richard E. Spence, Director  
Yucca Mountain Quality Assurance Division

YMQAD:RBC-1462

Enclosure:  
CAR YM-92-074

cc w/encl:

K. R. Hooks, NRC, Washington, DC  
S. W. Zimmerman, NWPO, Carson City, NV  
J. H. Rusk, MACTEC, Las Vegas, NV  
D. K. Chandler, SAIC, Las Vegas, NV  
J. R. Gonzales, SAIC, Las Vegas, NV  
J. B. Harper, SAIC, Las Vegas, NV  
B. J. Verna, YMP, NV  
A. V. Gil, YMP, NV

cc w/o encl:

J. W. Gilray, NRC, Las Vegas, NV  
N. J. Brogan, SAIC, Las Vegas, NV

9212230111 921216  
PDR WASTE  
WM-11 PDR

YMP-5

ADD: Ken Hooks  
Gr. Encl.

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**OFFICE OF CIVILIAN  
RADIOACTIVE WASTE MANAGEMENT  
U.S. DEPARTMENT OF ENERGY  
WASHINGTON, D.C.**

8 CAR NO.: YM-92-074  
DATE: 9-17-92  
SHEET: 1 OF 2  
QA

**CORRECTIVE ACTION REQUEST**

1 Controlling Document SP 1.32, Revision 1		2 Related Report No. YMP 92-23	
3 Responsible Organization SAIC		4 Discussed With Doug Chandler and James Harper	
5 Requirement:			
<p>A. SP 1.32, Revision 1, Para 5.2, "Management Assessment" and T&amp;MSS 1991 Management Assessment Plan states:</p> <p>"Ensure that team leader and team members have the necessary knowledge of QA Program requirements to successfully accomplish their assessment."</p> <p>B. 1991 Management Assessment Plan for 1991 Management Assessment of the T&amp;MSS QA Program states:</p> <p>Indoctrination and Training forms must be completed for each member of the QA Management Assessment Team, documenting their reading assignments of the following:</p>			
6 Adverse Condition:			
<p>Contrary to this requirement, the Assessment Team Members R. Cutshaw, T. Barbour, J. Longenecker and T. Colandrea documented that they had read T&amp;MSS QAPD, Revision 2 in compliance with the requirement, instead of Rev. 4.</p>			
9 Does a significant condition adverse to quality exist? Yes <u>    </u> No <u>X</u> If Yes, Circle One: A B C		10 Does a stop work condition exist? Yes <u>    </u> No <u>X</u> ; If Yes - Attach copy of SWO If Yes, Circle One: A B C D	
11 Response Due Date: 20 Working days from issuance			
12 Required Actions: <input checked="" type="checkbox"/> Remedial <input type="checkbox"/> Extent of Deficiency <input checked="" type="checkbox"/> Preclude Recurrence <input checked="" type="checkbox"/> Root Cause Determination			
13 Recommended Actions:			
7 Initiator <u>D. J. Harris for A.E. COCOKOS</u> Date <u>9/17/92</u>		14 Issuance Approved by: QADD <u>R.C. Spence</u> Date <u>9/28/92</u>	
15 Response Accepted QAR <u>[Signature]</u> Date <u>10/28/92</u>		16 Response Accepted QADD <u>R.C. Spence</u> Date <u>11/3/92</u>	
17 Amended Response Accepted QAR <u>    </u> Date <u>    </u>		18 Amended Response Accepted QADD <u>    </u> Date <u>    </u>	
19 Corrective Actions Verified QAR <u>[Signature]</u> Date <u>12/9/92</u>		20 Closure Approved by: QADD <u>R.C. Spence</u> Date <u>12/15/92</u>	

**ENCLOSURE**

REV. 08/91

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8 CAR NO.: YM-92-074

DATE: 9-17-92

SHEET: 2 OF 2  
QA

**CORRECTIVE ACTION REQUEST (Continuation Page)**

5 Requirements (continued)

date: 4/17/92

- o T&MSS QAPD, Revision 4, effective date: 5/31/91

**OFFICE OF CIVILIAN  
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U.S. DEPARTMENT OF ENERGY  
WASHINGTON, D.C.**

CAR NO. YM-92-074  
DATE: 10/27/92  
PAGE: 1 OF 2  
QA

**CORRECTIVE ACTION REQUEST (Continuation Page)**

**RESPONSE TO CAR YM-92-074**

**A. Remedial Action**

Based on the results of the investigative action detailed below, the following remedial action will be taken:

Documentation will be placed in the training files for Richard C. Cutshaw, Timothy G. Barbour, John Longenecker and Thomas R. Colandrea to reflect that they actually completed a reading assignment for Revision 4 of the T&MSS QAPD in lieu of Revision 2 of the T&MSS QAPD.

This action will be taken by D. K. Chandler of T&MSS by 11/30/92.

**B. Investigative Action**

It was determined through interview with management assessment personnel that they actually were provided with Revision 4 of the T&MSS QAPD for completion of their training assignment. Confirmation that Revision 4 of the T&MSS QAPD was the actual document used as the basis for the management assessment was determined by review of Revisions 2 and 4 of the T&MSS QAPD and correlation with the Management Assessment Report. Examples which demonstrate that Revision 4 of the T&MSS QAPD was used are:

1. Item B (page 6) of the Management Assessment Report referenced Section 2.2.13 of the T&MSS QAPD for management assessments. This is the correct reference for Revision 4 of the T&MSS QAPD. This section was numbered 2.2.12 in Revision 2 of the T&MSS QAPD.
2. Item I (page 15) of the Management Assessment Report referenced Section 2.2.12 of the T&MSS QAPD for indoctrination and training. This is the correct reference for Revision 4 of the T&MSS QAPD. This section was numbered 2.2.11 in Revision 2 of the T&MSS QAPD.

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WASHINGTON, D.C.

CAR NO. YM-92-074  
DATE: 10/27/92  
PAGE: 2 OF 2  
QA

**CORRECTIVE ACTION REQUEST (Continuation Page)**

Since the correct revision of the T&MSS QAPD was used for training of the assessment team members, as well as performance of the management assessment, there is no impact on the quality of the work.

**C. Root Cause Determination**

Although the actual management assessment took place between July 30, 1991 and August 9, 1991, planning for the assessment began in April, 1991. Revision 2 of the T&MSS QAPD was in effect in April 1991. Revisions 3 and 4 of the T&MSS QAPD were issued during May, 1991. Due to an oversight, the assessment team members documented training for the incorrect revision of the QAPD. However, as stated previously, the correct revision of the T&MSS QAPD was provided to the assessment team member for completion of the training assignment. No additional investigative action is required.

**D. Corrective Action to Preclude Recurrence**

A memorandum will be transmitted to T&MSS management personnel by the Project Manager advising them to inform their staff to exercise care to ensure the correct revision of the documents are listed when completing their training assignments.

This action will be taken by D. K. Chandler by 11/30/92.

Prepared by: J. W. Estella Date: 10/27/92  
J. W. Estella  
T&MSS QA Staff

Approved by: M. D. Voegelé Date: 10/27/92  
M. D. Voegelé  
T&MSS Project Manager

OFFICE OF CIVILIAN  
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U.S. DEPARTMENT OF ENERGY  
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CAR NO. YM-92-074  
DATE: 12-9-92  
PAGE: \_\_\_\_\_ OF \_\_\_\_\_  
QA

CORRECTIVE ACTION REQUEST (Continuation Page)

*11/6* Notification of Corrective Action  
*12/9/92 ver*

A. Remedial

Documentation was placed in the training files for K. Cutshaw, T. Barlow, J. Longenecker and T. Colandrea to reflect that they actually completed a reading assignment for Revision 4 of the T&MSS QAPD in lieu of Revision 2.

B. Investigation Action

The action taken was adequate to determine the reason for the problem.

C. Root Cause Determination

No additional investigative action is required.

D. Corrective Action to Preclude Recurrence

An interoffice memo was transmitted to the T&MSS management personnel by the Project Manager advising them to inform their staff to exercise care to ensure the correct revisions of the documents are listed when completing their training assignments.

A.E. COCOROS

*[Signature]*

12-9-92