



Department of Energy
Yucca Mountain Site Characterization
Project Office
P. O. Box 98608
Las Vegas, NV 89193-8608

WBS 1.2.9.3

SEP 02 1992

Thomas E. Blejwas
Technical Project Officer
for Yucca Mountain
Site Characterization Project
Sandia National Laboratories
P.O. Box 5800
Organization 6302
Albuquerque, NM 87185

ISSUANCE OF CORRECTIVE ACTION REQUESTS (CAR) YM-92-070 THROUGH YM-92-072
RESULTING FROM YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION (YMQAD) AUDIT
YMP-92-22 OF SANDIA NATIONAL LABORATORIES

Enclosed are CAR YM-92-070 through YM-92-072 generated as a result of YMQAD
Audit YMP-92-22.

Please identify the corrective actions to be taken and implemented to correct
these deficiencies. CAR Continuation Sheets and instructions for completion
have been provided. Send the original of your responses to Nita J. Brogan,
Science Applications International Corporation, Las Vegas, Nevada. Responses
to these CAR are due by 20 working days from the date of this letter. Any
extensions to the due date must be requested in writing with appropriate
justification prior to that date.

If you have any questions, please contact either Robert B. Constable at
(702) 794-7945 or Frank J. Kratzinger at (702) 794-7163.

R. E. Spence

Richard E. Spence, Director
Yucca Mountain Quality Assurance Division

YMQAD:RBC-5370

Enclosure:
CAR YM-92-070 through YM-92-072

cc w/encl:

K. R. Hooks, NRC, Washington, DC
S. W. Zimmerman, NWPO, Carson City, NV
R. R. Richards, SNL, 6318, Albuquerque, NM
J. H. Rusk, MACTEC, Las Vegas, NV
J. D. Verden, TRW/M&O, Las Vegas, NV
N. J. Brogan, SAIC, Las Vegas, NV, 517/T-12
W. B. Simecka, YMP, NV

cc w/o encl:

J. H. Hines, OGD, AL
J. W. Gilray, NRC, Las Vegas, NV

9209140177 920902
PDR WASTE
WM-11 PDR

Add: K. R. Hooks
102.7
WM-11
Gr Encl
1 1

**OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.**

8 CAR NO.: YM-92-070
DATE: 9-1-92
SHEET: 1 OF 1
QA

CORRECTIVE ACTION REQUEST

1 Controlling Document
DOP 3-13

2 Related Report No.
Audit YMP-92-22

3 Responsible Organization
SNL

4 Discussed With
John Friend

5 Requirement:

DOP 3-13, Revision C, Section 5.3, paragraph 2, states "Ensure that completed DRC forms are included with records required by applicable procedures."

Note: DRC forms are required to be part of the TP record package.

6 Adverse Condition:

Contrary to the above requirements, there was no documented evidence that DRC forms were completed for the following Technical Procedure (TP) Interim Change Notices (ICNs):

TP-90, ICN 1
TP-94, ICN 1

TP-91, ICN 1
TP-96, ICN 1

TP-92, ICN 1
TP-201, ICN 1

TP-93, ICN 1

9 Does a significant condition
adverse to quality exist? Yes ___ No X
If Yes, Circle One: A B C

10 Does a stop work condition exist?
Yes ___ No X; If Yes - Attach copy of SWO
If Yes, Circle One: A B C D

11 Response Due Date:
20 days after issue

12 Required Actions: ☒ Remedial ☒ Extent of Deficiency ☒ Preclude Recurrence ☐ Root Cause Determination

13 Recommended Actions:

Identify the remedial action to be taken to correct the deficiency identified in Block 6.
Identify the extent of the condition and the planned corrective action to prevent recurrence.

7 Initials

[Signature]
B. Foster

Date

9/1/92

14 Issuance Approved by:

QADD

[Signature]

Date

9/2/92

15 Response Accepted

QAR

Date

16 Response Accepted

QADD

Date

17 Amended Response Accepted

QAR

Date

18 Amended Response Accepted

QADD

Date

19 Corrective Actions Verified

QAR

Date

20 Closure Approved by:

QADD

Date

ENCLOSURE

REV. 08/91

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U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.**

CAR NO. _____
DATE: _____
PAGE: _____ **OF** _____
QA

CORRECTIVE ACTION REQUEST (Continuation Page)

Format for Corrective Action Response

The CAR response shall include the following information:

1. Corrective Action Response for CAR # _____
 - A. Remedial Action - Actions taken to correct specific deficiencies noted.
(Required for all CARs)
 - B. Investigative Action - Actions taken to determine the extent of the condition.
(Required for all significant conditions adverse to quality or any Condition Adverse to Quality if requested by OQA)
 - C. Root Cause Determination - Identification of the root cause of the condition.
(Required for all significant conditions adverse to quality or any Condition Adverse to Quality if requested by OQA)
 - D. Corrective Action to Preclude Recurrence - Actions taken to address the root cause and preclude recurrence of the condition.
(Required for all significant conditions adverse to quality or any Condition Adverse to Quality if requested by OQA)
2. For each action above, identify the name of the individual assigned responsibility for completion and the anticipated (or actual, if complete) completion date.
3. Response Approved: _____ Date: _____
Responsible Manager

OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8 CAR NO.: YM-92-071
DATE: 9-1-92
SHEET: 1 OF 1
QA

CORRECTIVE ACTION REQUEST

1 Controlling Document
DOP 3-17, Revision 0

2 Related Report No.
Audit YMP-92-22

3 Responsible Organization
SNL

4 Discussed With
T. Blejwas, R. Richards

5 Requirement:

Procedure DOP 3-17, Revision 0, Paragraph 5.1.1, states that "The final results and outcome of all scientific investigations will be documented in one or more technical information documents. These documents will contain as a minimum, the identification of any and all data that is included in the technical information document (including a specific reference to the origin of the data and the quality assurance level assigned to the activity which generated the data).

6 Adverse Condition:

Contrary to the above requirement, Sandia Report SAND91-0607 "Preliminary Mapping of Surficial Geology of Midway Valley Yucca Mountain Project, Nye County, Nevada Interim Data Report" does not identify the quality assurance level of the report's resultant data.

9 Does a significant condition
adverse to quality exist? Yes ___ No X
If Yes, Circle One: A B C

10 Does a stop work condition exist?
Yes ___ No X; If Yes - Attach copy of SWO
If Yes, Circle One: A B C D

11 Response Due Date:
20 days after issue

12 Required Actions: ☒ Remedial ☒ Extent of Deficiency ☒ Preclude Recurrence ☐ Root Cause Determination

13 Recommended Actions:

Identify the remedial action to be taken to correct the deficiency noted in block 6. Identify the extent of the condition and the planned corrective action to prevent recurrence.

7 Initiator
Jerry Beaney *Jerry Beaney* Date 6-31-92

14 Issuance Approved by:
QADD *R.C. Spruce* Date 9/2/92

15 Response Accepted
QAR Date

16 Response Accepted
QADD Date

17 Amended Response Accepted
QAR Date

18 Amended Response Accepted
QADD Date

19 Corrective Actions Verified
QAR Date

20 Closure Approved by:
QADD Date

**OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.**

CAR NO. _____
DATE: _____
PAGE: _____ **OF** _____
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CORRECTIVE ACTION REQUEST (Continuation Page)

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2. For each action above, identify the name of the individual assigned responsibility for completion and the anticipated (or actual, if complete) completion date.
3. Response Approved: _____ Date: _____
Responsible Manager

OFFICE OF CIVILIAN
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WASHINGTON, D.C.

8 CAR NO.: YM-92-072
DATE: 9-1-92
SHEET: 1 OF 1
QA

CORRECTIVE ACTION REQUEST

1 Controlling Document DOP 3-13, Revision C		2 Related Report No. Audit YMP-92-22	
3 Responsible Organization SNL		4 Discussed With Joe Jung	
5 Requirement: Procedure DOP 3-13, Revision C, Paragraph 6.0, states in part "...the following QA records are placed by the author or resolver in the SNL NWRT Records Management System..." "...copies of the Document Review and Comment Form, RD-3, completed for the review plus any relevant marked-up document pages..."			
6 Adverse Condition: Contrary to the above requirements, the marked pages of the document reviewed (Rock Mass Mechanical Property Estimations for the Yucca Mountain Site Characterization Project, SAND92-0450) by J. Jung were not included in the review records package and cannot be located for verification of incorporation of accepted comments.			
9 Does a significant condition adverse to quality exist? Yes ___ No <u>X</u> If Yes, Circle One: A B C		10 Does a stop work condition exist? Yes ___ No <u>X</u> ; If Yes - Attach copy of SWO If Yes, Circle One: A B C D	
		11 Response Due Date: 20 days after issue	
12 Required Actions: <input checked="" type="checkbox"/> Remedial <input type="checkbox"/> Extent of Deficiency <input checked="" type="checkbox"/> Preclude Recurrence <input type="checkbox"/> Root Cause Determination			
13 Recommended Actions: SNL locate the reviewed pages and change procedure DOP 3-13 to allow for the differentiation between major and minor comments and the different methods for dealing with them.			
7 Initiator Ken McFall		14 Issuance Approved by: QADD <i>HC</i> <i>Sprue</i> Date 9/2/92	
15 Response Accepted QAR Date		16 Response Accepted QADD Date	
17 Amended Response Accepted QAR Date		18 Amended Response Accepted QADD Date	
19 Corrective Actions Verified QAR Date		20 Closure Approved by: QADD Date	

**OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
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