

OFFICE OF CIVILIAN RADIOACTIVE WASTE MANAGEMENT
YUCCA MOUNTAIN QUALITY ASSURANCE DIVISION
QUALITY ASSURANCE SURVEILLANCE REPORT OF
RECORDS GENERATED AS A RESULT
OF IMPLEMENTATION OF ADMINISTRATIVE PROCEDURE AP-6.4Q
SURVEILLANCE NO. YMP-SR-92-011
CONDUCTED APRIL 27 THROUGH MAY 4, 1992

ACTIVITIES SURVEILLED:

EXAMINED SAMPLE OVERVIEW COMMITTEE SPECIMEN
REMOVAL REQUEST FORMS GENERATED AS A RESULT OF
IMPLEMENTATION OF ADMINISTRATIVE PROCEDURE AP-6.4Q

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Approved by: D. G. Horton Date: 5/12/92
Donald G. Horton
Director
Office of Quality Assurance

1.0 EXECUTIVE SUMMARY

This report contains the results of the Office of Civilian Radioactive Waste Management (OCRWM) Quality Assurance (QA) Surveillance No. YMP-SR-92-011 of completeness of records generated as a result of implementation of Administrative Procedure (AP)-6.4Q, Revision 0, "Procedure for the Submittal, Review and Approval of Requests for Yucca Mountain Project Geologic Specimens." The surveillance was conducted in Las Vegas, Nevada at the Valley Bank Building. The surveillance was conducted by a member of the Yucca Mountain Quality Assurance Division (YMQAD) of the Office of Quality Assurance (OQA) in accordance with OCRWM Quality Assurance Administrative Procedure (QAAP) 18.3, Revision 3.

Based on an examination of microfilmed records at the Central Records Facility, records are complete except for the following condition: The Yucca Mountain Site Characterization Project Office (YMPO) Regulatory and Site Evaluation Division (RSED) Director did not indicate a preference for Approval/Disapproval/Tabled on the Sample Overview Committee Specimen Removal Request (SOC SRR) forms.

There were no Corrective Action Requests (CARs) issued since the identified condition was for records generated for non-quality affecting work. Similar records for quality-affecting work were examined in YMP-SR-92-004.

2.0 SCOPE

The surveillance was conducted to determine if completed SOC SRR forms, which have been submitted to the Central Records Facility, are complete and accurate.

3.0 SURVEILLANCE TEAM

Richard L. Weeks, Surveillance Team Leader, Quality Assurance Scientist, Science Applications International Corporation (SAIC)/YMQAD, Las Vegas, Nevada

4.0 PERSONNEL CONTACTED DURING THE SURVEILLANCE

The following individual was contacted during the course of the surveillance:

Uel S. Clanton, DOE/YMPO, Chief, Site Investigations Branch

5.0 SURVEILLANCE RESULTS

5.1 This surveillance was limited to an examination of SOC SRR forms which are generated as a result of implementation of AP-6.4Q, Revision 0.

5.2 A total of 26 record packages were examined during the surveillance. All examined record packages were found to be for specimen removal of core that has been determined to be unqualified. The examined SOC SRR forms indicated that scientific work based on analysis of specimens from this unqualified core was for non-quality affecting work only.

All examined records were found to be complete except for the following omission: On the signature page of the SOC SRR form, the YMPO RSED is required to sign, date, and indicate Approved/Disapproved/Tabled. This preference was not indicated on several forms.

The following record packages were examined during the surveillance:

NNA.901213.0033	NNA.901213.0052
NNA.901220.0054	NNA.910109.0092
NNA.910109.0306	NNA.910709.0128
NNA.910716.0057	NNA.910722.0008
NNA.910828.0021	NNA.910828.0022
NNA.910913.0071	NNA.911105.0051
NNA.911106.0016	NNA.911115.0007
NNA.911127.0055	NNA.911127.0056
NNA.911127.0057	NNA.911213.0047
NNA.911213.0048	NNA.911213.0049
NNA.911213.0050	NNA.911213.0051
NNA.911213.0053	NNA.911213.0054
NNA.920211.0050	NNA.920211.0051

5.3 There were no CARs generated as a result of this surveillance because examined record packages were of non-quality affecting records.

6.0 RECOMMENDATION

It is recommended that when AP-6.4Q is implemented, that SOC SRR forms be filled out completely, even for non-quality affecting activities.