

UNITED STATES
NUCLEAR REGULATORY COMMISSION
OFFICE OF NUCLEAR REACTOR REGULATION
WASHINGTON, D.C. 20555

April 5, 1989

NRC INFORMATION NOTICE NO. 89-39: LIST OF PARTIES EXCLUDED FROM FEDERAL
PROCUREMENT OR NON-PROCUREMENT PROGRAMS

Addressees:

All holders of operating licenses or construction permits for nuclear power reactors.

Purpose:

This information notice is provided to alert addressees to a United States General Services Administration (GSA) data system that provides information on parties (manufacturers, vendors and contractors) that have been excluded from receiving Federal contracts or assistance. It is expected that licensees will review the information for applicability to their procurement practices and consider actions, as appropriate, to avoid similar problems. However, suggestions contained in this information notice do not constitute NRC requirements; therefore, no specific action or written response is required.

Discussion:

Over the past several months, there has been an increasing NRC concern focusing on the potential for poorly manufactured or fraudulent/counterfeit parts being used in the nuclear industry. This notice provides information on the GSA data systems that have been established to assist users when procuring components or when selecting a contractor.

The GSA publishes a document entitled, "List of Parties Excluded from Federal Procurement or Non-Procurement Programs." This document, published monthly and supplemented weekly, provides a consolidated compilation of companies found to have been party to a variety of violations of Federal regulations including fraud, antitrust infractions, embezzlement, theft, forgery, bribery, false statements, and other offenses indicating a lack of business integrity. It can be purchased by annual bulk subscription by contacting the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. The telephone number is (202) 783-3238.

The document consists of two sections. The first section, "Parties Excluded From Procurement Programs," lists contractors that are excluded government-wide, unless noted, from Federal procurement and/or sales programs. Such an exclusion

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may be based on the administrative debarment or suspension of a contractor by an agency in accordance with Federal Acquisition Regulation (FAR) 9.4, Federal Property Management Regulation (FPMR) 101-45.6, GPO Instructions 110, 11A, or U.S. Postal Service (PS) Publication 41. An exclusion may also be the result of action by a Federal agency under the authority of statute, executive order, or regulation applying to the procurement programs.

The second section, "Parties Excluded From Non-Procurement Programs," lists persons (individuals and entities) excluded government-wide, unless otherwise noted, from certain types of Federal financial and nonfinancial assistance and benefits. An exclusion may be based on an administrative debarment or suspension by any Federal agency or the voluntary exclusion of a person under regulations implementing Executive Order 12549. This section also includes actions under the authority of a statute, another executive order, or a regulation applying to non-procurement programs.

A listing of Cause and Treatment Codes is provided in the document to indicate the type of action taken against a party, the authority under which action was taken, and the party's status determination.

Licensees may wish to establish whether their suppliers or contractors are listed and take appropriate actions to establish adequate confidence in the quality of products or services procured from such companies.

No specific action or written response is required by this information notice. If you have any questions about this matter, please contact the technical contact listed below or the Regional Administrator of the appropriate regional office.



Charles E. Rossi, Director
Division of Operational Events Assessment
Office of Nuclear Reactor Regulation

Technical Contact: W. Haass, NRR
(301) 492-3219

Attachment: List of Recently Issued NRC Information Notices

LIST OF RECENTLY ISSUED
 NRC INFORMATION NOTICES

Information Notice No.	Subject	Date of Issuance	Issued to
89-38	Atmospheric Dump Valve Failures at Palo Verde Units 1, 2, and 3	4/5/89	All holders of OLs or CPs for nuclear power reactors.
89-37	Proposed Amendments to 40 CFR Part 61, Air Emission Standards for Radionuclides	4/4/89	All U.S. NRC licensees.
89-36	Excessive Temperatures in Emergency Core Cooling System Piping Located Outside Containment	4/4/89	All holders of OLs or CPs for nuclear power reactors.
88-86, Supp. 1	Operating with Multiple Grounds in Direct Current Distribution Systems	3/31/89	All holders of OLs or CPs for nuclear power reactors.
89-35	Loss and Theft of Un-secured Licensed Material	3/30/89	All U.S. NRC byproduct, source and special nuclear material licensees.
89-34	Disposal of Americium Well-Logging Sources	3/30/89	All holders of an NRC specific license authorizing well-logging activities.
89-33	Potential Failure of Westinghouse Steam Generator Tube Mechanical Plugs	3/23/89	All holders of OLs or CPs for PWRs.
89-32	Surveillance Testing of Low-Temperature Overpressure-Protection Systems	3/23/89	All holders of OLs or CPs for PWRs.
89-31	Swelling and Cracking of Hafnium Control Rods	3/22/89	All holders of OLs or CPs for PWRs with Hafnium control rods.

OL = Operating License
 CP = Construction Permit

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*See previous concurrence.

OE*
JLieberman
02/06/89

VIB:DRIS*
WHaass:nrp
01/25/89

SC:VIB:DRIS*
GCwalina
01/25/89

BC:VIB:DRIS*
EWBrach
01/30/89

BC:GCB*
CBerlinger
01/31/89

D:DRIS*
BKGrimes
02/12/89

OGC*
WParler
03/23/89

D:DOEA
CR6s9f
03/16/89

may be based on the administrative debarment or suspension of a contractor by an agency in accordance with Federal Acquisition Regulation (FAR) 9.4, Federal Property Management Regulation (FPMR) 101-45.6, GPO Instructions 110, 11A, or U.S. Postal Service (PS) Publication 41. An exclusion may also be the result of action by a Federal agency under the authority of statute, executive order, or regulation applying to the procurement programs.

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VIB:DRIS*	SC:VIB:DRIS*	BC:DRIS*	BC:GCB*	D:DRIS*	OGC
WHaass:nrp	GCwalina	EWBrach	CBerlinger	BKGrimes	WBarler
01/25/89	01/25/89	01/30/89	01/31/89	02/12/89	02/23/89

OE*
JLieberman
02/06/89

D:DOEA
CRossi
3/1/89

* original concurrence
package was lost in OGC
3/22/89

Barler never
saw this
before and
I think I
see all incoming
WBarler 3/23