



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

March 31, 2003

Mr. Maurice Swinton, Assistant Administrator
Office of Technology
Small Business Administration
409 Third Street, 8th Floor
Washington, DC 20416

Dear Mr. Swinton:

In accordance with your March 6, 2003, memorandum, I am enclosing the Small Business Research & R & D (R&R&D) Goaling Program Annual Report. Beverly Anker, Senior Contract Policy Analyst, Division of Contracts, Office of Administration, is available on (301) 415-6848 to answer any questions your staff may have regarding this report.

Sincerely,

A handwritten signature in cursive script that reads "Elois J. Wiggins".

Elois J. Wiggins, Acting Team Leader
Procurement and Policy Team
Division of Contracts
Office of Administration

Enclosure: As stated

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/S/

Elois J. Wiggins, Acting Team Leader
Procurement and Policy Team
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BAnker, MLScott, THagan, MAitcheson, RES, MVera, SBCR, DC r/f, PPT r/f

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DATE	03/31/03	03/31/03	4/1/03	4/2/03

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U.S. Small Business Administration
Office of Technology
SMALL BUSINESS RESEARCH & R & D (R&R&D) GOALING PROGRAM
Annual Report Format
FY 2002

Date: March 31, 2003

Reporting Agency: U.S. Nuclear Regulatory Commission

I.	a.	Previous Fiscal Year (FY 01) Total R&R&D Obligations	<u>\$39,201,000</u>
	b.	1. Previous FY Total Prime R&R&D Dollars Awarded to Small Business Concerns (less SBIR Awards)	<u>\$ 1,947,000</u>
		2. Previous FY Total Prime R&R&D Dollars Awarded to Minority and Disadvantaged-owned Small Business Concerns (less SBIR Awards)	<u>\$ 0</u>
	c.	1. Percent of Previous FY R&R&D Obligations Awarded to Small Business Concerns (I.b1 % Ia)	<u>.050</u>
		2. Percent of Previous FY R&R&D Obligations Awarded to Minority and Disadvantaged-owned Small Business Concerns (I.b2 % 1a)	<u>.000</u>
II	a.	Total R&R&D Obligations for Reported FY 2002	<u>\$60,524,000</u>
	b.	1. Total R&R&D Small Business Percent Goal for Reported FY. (This goal may not be less than the percent awarded to small business concerns in the previous FY and may not include SBIR awards.)	<u>0.050</u>
		2. R&R&D Small Business Goal in Dollars (IIa x IIb1)	<u>\$ 3,026,200</u>
	c.	1. Total R&R&D Awarded to Small Business Concerns for reported FY (Less SBIR Awards)	<u>\$ 1,803,000</u>
		2. Total R&R&D Dollars Awarded to Minority and Disadvantaged Small Business Concerns (Less SBIR Awards)	<u>\$ 0</u>
	d.	1. Percent of Total R&R&D Obligations Awarded to Small Business Concerns for Reported FY (IIc1 % IIa)	<u>0.030</u>
		2. Percent of Total R&R&D Obligations Awarded to Minority And Disadvantaged Small Business Concerns (IIc2 % IIa)	<u>0</u>
	e.	Percent of Goal Achieved for Reported FY (IIc1 % IIb2)	<u>60%</u>

*Total includes dollars obligated under contracts, grants, cooperative agreements, international agreements, and interagency agreements including Department of Energy Laboratory R&R&D dollars.

U.S. Small Business Administration
Office of Technology
SMALL BUSINESS RESEARCH & R & D (R&R&D) GOALING PROGRAM
Annual Report Format
FY 2002
(Continued)

- II. a. Total number and total dollar value of Prime R&R&D Funding Agreements with Small Business Concerns in the following categories for the reported FY. (This data should include SBIR and all other Awards over \$10,000.)

	<u>Total Number</u>	<u>Total Dollar</u>
1. Contracts	<u>20</u>	<u>\$ 1,803,000</u>
2. Grants	<u>0</u>	<u>0</u>
3. Cooperative Agreements	<u>0</u>	<u>0</u>

- b. Total number and total dollar value of R&R&D Funding Agreements with Other Than Small Business for the following categories for the reported FY.

	<u>Total Number</u>	<u>Total Dollar</u>
1. Contracts	<u>20</u> - contracts <u>116</u> -DOE laboratory agreements	<u>\$ 7,473,000</u> <u>46,889,000</u>
2. Grants	<u>6</u>	<u>315,000</u>
3. Cooperative Agreements	<u>5</u>	<u>1,095,000</u>

NOTES:

1. **Other Than Small Business** includes any and all obligations provided to an organization that is not a small business concern as defined in 13 CFR, Part 121, Federal Register, Vol. 54, No. 244, page 52634, et seq (December 21, 1989).
2. **R&R&D Obligations** include extramural plus intramural funds.
3. **Reporting** includes all contracts, grants and cooperative agreements less SBIR awards.