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FINAL REPLY:

Rod Paige  
Department of Education

TO:

Chairman Meserve

FOR SIGNATURE OF :

\*\* PRI \*\*

CRC NO: 03-0107

Chairman Meserve

DESC:

FY 2002 Annual Federal Performance Report on  
Executive Agency Actions to Assist Historically  
Black Colleges and Universities

ROUTING:

Travers  
Paperiello  
Kane  
Norry  
Craig  
Burns/Cyr  
Bird, HR  
Funches, CFO

DATE: 02/26/03

ASSIGNED TO:

CONTACT:

SBCR

Kelley

SPECIAL INSTRUCTIONS OR REMARKS:

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ACTION OFFICE: EDO

AUTHOR: Rod Paige  
AFFILIATION: DOED  
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SUBJECT: FY 2002 Annual Federal Performance Report

ACTION: ~~Direct Reply~~ *Chairman Signature*  
DISTRIBUTION: RF, SECY to Ack

LETTER DATE: 02/14/2003  
ACKNOWLEDGED: No  
SPECIAL HANDLING:

NOTES:  
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DATE DUE: ~~03/21/2003~~ 3/13/03 DATE SIGNED:



THE SECRETARY OF EDUCATION  
WASHINGTON, D.C. 20202

February 14, 2003

Honorable Richard A. Meserve  
Chairman  
Nuclear Regulatory Commission  
11555 Rockville Pike  
Rockville, Maryland 20870

Dear Dr. Meserve:

One of the requirements of Executive Order 13256, signed by President Bush on February 12, 2002, directs the heads of Federal departments and agencies to increase the capacity of America's 105 Historically Black Colleges and Universities (HBCUs) to participate in federal projects and programs. As the lead Cabinet official designated to implement the Executive Order's provisions, I am requesting that you complete the fiscal year 2002 Annual Federal Performance Report on Executive Agency Actions to Assist Historically Black Colleges and Universities.

The White House Initiative Office on HBCUs will transmit more detailed instructions to agencies' representatives with a due date of March 31, 2003. Section 6 of the Executive Order requires that agencies' performance reports measure performance against the objectives in their annual plans. If plans for FY 2002 were submitted, agency performance reports should be tied to the objectives in those plans.

HBCUs have played a significant role in educating America's students, and are a source of accomplishment and great pride for our nation.

President Bush is committed to ensuring that our nation's historically Black colleges and universities receive the support necessary to enhance their ability to provide excellence in education. I appreciate your continued efforts to assist in planning strategies to support these institutions.

Sincerely,

A handwritten signature in black ink, appearing to read "Rod Paige".

Rod Paige

Enclosures

**ANNUAL FEDERAL PERFORMANCE REPORT  
ON  
EXECUTIVE AGENCY ACTIONS TO ASSIST  
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES**

**FISCAL YEAR 2002**

**INSTRUCTIONS**

**Prepared by**

**The White House Initiative on  
Historically Black Colleges and Universities  
Under Executive Order 13256**

## **Elements of the Federal Agency FY 2002 Performance Report**

- A. Executive Summary
- B. Summary of Total Agency Awards, by Category
- C. Table of FY 2002 Agency Awards to HBCUs, by Institution and by Category
- D. FY 2002 Awards to HBCUs and Narrative Information

Definition of Terms

List of HBCUs

**WHITE HOUSE INITIATIVE ON  
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES  
FISCAL YEAR 2002 ANNUAL FEDERAL PERFORMANCE REPORT ON EXECUTIVE  
AGENCY ACTIONS TO ASSIST HISTORICALLY BLACK COLLEGES AND  
UNIVERSITIES**

INSTRUCTIONS AND GUIDELINES

A. Executive Summary

Develop a one or two-page summary of your agency's performance during FY 2002 regarding:

- 1) goals and measurable objectives your agency sought to achieve during the fiscal year;
- 2) a listing of programs your agency implemented that can be used for increasing Federal opportunities for HBCUs and replicated in other agencies;
- 3) total funding for awards to HBCUs during FY 2002;
- 4) the amount of increased funding for HBCUs during FY 2002 compared to funding for these institutions during FY 2001 (please give reasons for significant increases); or, if applicable,
- 5) the amount of decreased funding for HBCUs during FY 2002 compared to funding for these institutions during FY 2001 (please give reasons for significant decreases).

B. Summary of Total Agency Awards, by Category

This form is provided for reporting total funding, by category, that resulted from agency initiatives to strengthen HBCUs. Report only those categories your agency supported during FY 2002.

These categories are:

- (1) Research and Development
- (2) Program Evaluation
- (3) Training
- (4) Facilities and Equipment
- (5) Fellowships, Internships Traineeships, Recruitment, and Arrangements under the Intergovernmental Personnel Act (IPAs)
- (6) Student Tuition Assistance, Scholarships, and Other Aid
- (7) Direct Institutional Subsidies
- (8) Third-Party Awards
- (9) Private-Sector Involvement
- (10) Administrative Infrastructure
- (11) Other Activities

To avoid duplication, information you give on funding amounts for any one program should be reported in only one program category. In cases of interagency transfers of funds, all agencies that are contributing to the Interagency agreement should report in section (11) under "other activities."

C. Table of FY 2002 Agency Awards to HBCUs, by Institution and by Category

Part C, is a table for listing HBCUs and the amount your agency awarded to these institutions. This table should be used to record the total award to each HBCU, by institution and by category.

D. Awards to HBCUs and Narrative Information

For each of the 11 program categories named in Part I, briefly list activities carried out during FY 2002. List program/activity, amount of award, and HBCU recipient.

By March 31, 2003, a copy of your performance report should be received in the following office:

White House Initiative on HBCUs  
U.S. Department of Education  
1990 K Street, N.W., 8<sup>th</sup> floor  
Washington, D.C. 20106-5120  
Tel: 202/502-7900  
Fax: 202/502-7879

**PART I SUMMARY OF TOTAL AWARDS TO HBCUs, BY CATEGORY: FY 2002**

1. AGENCY: \_\_\_\_\_

2. \_\_\_\_\_  
 NAME/SIGNATURE OF REPRESENTATIVE TITLE

3. TOTAL FUNDS FOR AWARDS TO INSTITUTIONS OF HIGHER EDUCATION (IHEs) \$

CATEGORY	FUNDING FOR AWARDS TO IHE +	FUNDING FOR AWARDS TO HBCUs*	Awards to HBCUs as % of total Awards to IHE
1. Research & Development			
2. Program Evaluation			
3. Training			
4. Facilities and Equipment			
5. National/International Fellowships, Traineeships, Internships, Recruitment, and IPAs			
6. Student Tuition Assistance, Scholarships, and Other Aid			
7. Direct Institutional Subsidies			
8. Third Party Awards			
9. Private Sector Involvement			
10. Administrative Infrastructure			
11. Other			
Total			

\_\_\_\_\_  
 AGENCY HEAD (Typed)

\_\_\_\_\_  
 AGENCY HEAD SIGNATURE

IHEs - Institutions of Higher Education

HBCUs - Historically Black Colleges & Universities



**PART II: Awards and Narrative Information**

**CATEGORY 1: RESEARCH AND DEVELOPMENT**

List each program or activity, HBCU recipient and award below:

**CATEGORY 2: PROGRAM EVALUATION**

List each program or activity, HBCU recipient and award below:

**CATEGORY 3: TRAINING**

List each program or activity, HBCU recipient and award below:

**CATEGORY 4: FACILITIES AND EQUIPMENT**

List each program or activity, HBCU recipient and award below:

**CATEGORY 5: NATIONAL/INTERNATIONAL FELLOWSHIPS, INTERNSHIPS,  
TRAINEESHIPS, RECRUITMENT, AND IPAs**

List each program or activity, HBCU recipient and award below:

**CATEGORY 6: STUDENT TUITION ASSISTANCE, SCHOLARSHIPS, AND OTHER  
AID**

List each program or activity, HBCU recipient and award below:

**CATEGORY 7: DIRECT INSTITUTIONAL SUBSIDIES**

List each program or activity, HBCU recipient and award below:

**CATEGORY 8: THIRD PARTY AWARDS**

List each program or activity, HBCU recipient and award below:

**CATEGORY 9: PRIVATE SECTOR INVOLVEMENT**

List each program or activity, HBCU recipient and award below:

**CATEGORY 10: ADMINISTRATIVE INFRASTRUCTURE**

List each program or activity, HBCU recipient and award below:

**CATEGORY 11: OTHER**

List each program or activity, HBCU recipient and award below:

## Definition of Terms

### The following definitions should apply for the purpose of reporting the FY 2002 Annual Federal Performance Report:

Administrative Infrastructure: The management and administrative framework of an institution of higher education.

Agency: All executive departments, establishments and agencies of the federal government.

Award: Funds that an agency provides to an institution of higher education under a grant, contract, or other assistance.

Contract: A legal instrument reflecting a relationship between a federal agency and an institution of higher education where the principal purpose is to acquire -- by purchase, lease, or barter -- goods or services.

Direct Institutional Subsidies: Federal financial support to institutions of higher education for education and general expenses where the federal government either places no restrictions on the uses to which the funds may be put or where the federal government provides a broad range of allowable activities within which the institution has discretion to use the funds.

Facilities and Equipment: Structures, works, fixed equipment, major repairs, or alterations to: structures, works, fixed equipment, facilities, or land for use by an institution of higher education. Also included in this category are major repairs and alterations to these sites.

Fellowships, Internships, Recruitment, Traineeships, and Arrangements under the Intergovernmental Personnel Act (IPAs): Cooperative education, student and faculty internships, visiting professorships, and personnel and student recruitment at institutions of higher education.

Grant: The method of transferring property, money, services, or anything of value to a recipient in order to accomplish a public purpose authorized by statute when the agency is not anticipated to be substantially involved in performing the activity.

Historically Black Colleges and Universities (HBCUs): The Higher Education Act of 1965, as amended, defines an HBCU as: "...any historically black college or university that was established prior to 1964, whose principal mission was, and is, the education of black Americans, and that is accredited by a nationally recognized accrediting agency or association determined by the Secretary [of Education] to be a reliable authority as to the quality of training offered or is, according to such an agency or association, making reasonable progress toward accreditation."

Institution of Higher Education (IHE): Any postsecondary education institution in the United States or its territories that offers at least two years of college-level studies. Qualified institutions are listed in the Education Directory: Colleges and Universities, published by the National Center for Education Statistics.

Measurable Objectives: Agency activities or support for HBCUs that can be quantitatively measured in dollar amounts or in discrete, non-financial units of measure.

Program Evaluation: Actions to assess the effectiveness of institutional programs and activities, as well as the effectiveness of federal programs and activities. In many cases, program evaluation activities are conducted on a continuous basis and are frequently included as salaries or other budgetary expenses.

Research and Development (R&D): Studies, observations, and other activities concerned with the identification, description, experimental investigation, and theoretical explanation of social, physical, and behavioral phenomena. Implementation activities are also included in R&D.

Student Tuition Assistance, Scholarships, and Other Aid: Federal funds awarded to an institution of higher education for students or awarded directly to students for payment of such charges as tuition and room and board.

Technical Assistance: Direct help or services to institutions of higher education in key areas such as writing proposals, negotiating awards, managing programs and finances.

Third-Party Awards: Organizations or other entities receiving federal awards on behalf of one or more historically black colleges or universities. Examples of such entities include: the National Association for Equal Opportunity in Higher Education (NAFEO); The College Fund/UNCF; National Laboratories, such as Los Alamos or Lawrence Livermore; and various foundations and associations.

Training: Using professional personnel (Federal and non-federal) to acquire or enhance knowledge or skills at an HBCU.

**WHITE HOUSE INITIATIVE ON  
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES  
DESIGNATED AGENCY REPRESENTATIVES AND LIAISONS  
EXECUTIVE ORDER 13265  
January 2003**

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FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	IPA	PSI	AI	OTHER	TOTALS
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FLORIDA

BETHUNE COOKMAN												
EDWARD WATERS												
FLORIDA A&M												
FLORIDA MEMORIAL												

GEORGIA

ALBANY STATE												
CLARK ATLANTA U.												
FORT VALLEY STATE												
INTERDENOMINATIONAL THEOLOGICAL CENTER												
MOREHOUSE COLLEGE												
MOREHOUSE MEDICAL												
MORRIS BROWN												
PAINE COLLEGE												
SAVANNAH STATE												
SPELMAN COLLEGE												

KENTUCKY

KENTUCKY STATE												
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LOUISIANA

DILLARD UNIVERSITY												
GRAMBLING STATE												
SOUTHERN U. & A&M												
SOUTHERN/NEW ORLEANS												
SOUTHERN/SHREVEPORT												
XAVIER UNIVERSITY												

MARYLAND

BOWIE STATE												
COPPIN STATE												
MORGAN STATE												
U. OF MARYLAND ES												

FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)



FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	OTHER	TOTALS
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PENNSYLVANIA

CHEYNEY UNIV.												
LINCOLN UNIV.												

SOUTH CAROLINA

ALLEN UNIVERSITY												
BENEDICT COLLEGE												
CLAFLIN COLLEGE												
CLINTON JR. COLLEGE												
DENMARK TECH.												
MORRIS COLLEGE												
S. C. STATE UNIV.												
VOORHEES COLLEGE												

TENNESSEE

FISK UNIV.												
KNOXVILLE COLLEGE												
LANE COLLEGE												
LEMOYNE-OWEN												
MEHARRY MEDICAL												
TENNESSEE STATE												

TEXAS

HUSTON-TILLOTSON												
JARVIS CHRISTIAN												
PAUL QUINN COLLEGE												
PRAIRIE VIEW A&M												
SAINT PHILLIP'S												
SOUTHWESTERN CHRIST.												
TEXAS COLLEGE												
TEXAS SOUTHERN												
WILEY COLLEGE												

FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	OTHER	TOTALS
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**VIRGINIA**

HAMPTON UNIV.												
NORFOLK STATE												
SAINT PAUL'S												
VIRGINIA STATE												
VIRGINIA UNION												

**WEST VIRGINIA**

BLUEFIELD STATE												
W. VIRGINIA STATE												

**U.S. VIRGIN ISLANDS**

UNIV. OF THE V. I.												
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**GRAND TOTAL**

**CATEGORIES:**

R&D	RESEARCH AND DEVELOPMENT
PE	PROGRAM EVALUATION
	TRAINING
F&E	FACILITIES AND EQUIPMENT
FELLOWS	FELLOWSHIP, RECRUITMENT, IPA
SFA	STUDENT FINANCIAL ASSISTANCE
DIS	DIRECT INSTITUTIONAL SUBSIDIES
TPA	THIRD PARTY AWARDS
PSI	PRIVATE SECTOR INVOLVEMENT
AI	ADMINISTRATIVE INFRASTRUCTURE

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## Presidential Documents

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Title 3—

Executive Order 13256 of February 12, 2002

The President

### President's Board of Advisors on Historically Black Colleges and Universities

By the authority vested in me as President by the Constitution and the laws of the United States of America, in order to advance the development of the Nation's full human potential and to advance equal opportunity in higher education, to strengthen the capacity of historically black colleges and universities to provide the highest quality education, and to increase opportunities for these institutions to participate in and benefit from Federal programs, as do other colleges and universities, it is hereby ordered as follows:

**Section 1.** There is established, in the Office of the Secretary of Education, a Presidential advisory committee entitled the "President's Board of Advisors on Historically Black Colleges and Universities" (Board). The Board shall prepare and issue an annual report to the President on the results of the participation of historically black colleges and universities in Federal programs. The Board also shall provide advice to the President and to the Secretary of Education (Secretary) regarding the needs of historically black colleges and universities in the areas of infrastructure, academic programs, and faculty and institutional development. In the annual report to the President, the Board shall make recommendations on how to increase the private sector role, including the role of private foundations, in strengthening historically black colleges and universities. Particular emphasis should also be given in the report to enhancing institutional planning and development, strengthening fiscal stability and financial management, and improving institutional infrastructure, including the use of technology, to ensure the long-term viability and enhancement of these institutions.

**Sec. 2.** The Board shall be appointed by the President. The Board membership shall include sitting presidents of historically black colleges and universities, representatives of other higher education institutions, business and financial leaders, representatives of private foundations, and secondary school administrators. The President shall designate a Chair or Co-Chairs from among the members.

**Sec. 3.** The White House Initiative on Historically Black Colleges and Universities (Initiative), located in the Office of the Secretary of Education, shall: (1) provide staff, resources, and assistance to the Board; (2) assist the Secretary in performing the liaison function between the executive branch and historically black colleges and universities; and (3) serve the Secretary in carrying out the responsibilities described in section 6 of this order.

**Sec. 4.** To carry out this order, each executive department and agency identified by the Secretary may, consistent with applicable law and regulations, enter into appropriate grants, contracts, or cooperative agreements with historically black colleges and universities. The head of each department or agency so identified shall establish an annual plan that will establish clear goals for how the department or agency intends to increase the capacity of historically black colleges and universities to compete effectively for grants, contracts, or cooperative agreements and to encourage historically black colleges and universities to participate in Federal programs. The department's or agency's annual goal should be clearly reflected in the department's or agency's annual budget submission to the Office of Management and



Budget. To facilitate the attainment of these goals, the head of each department or agency identified by the Secretary shall provide, as appropriate, technical assistance and information to historically black colleges and universities regarding the program activities of the department or agency and the preparation of applications or proposals for grants, contracts, or cooperative agreements.

**Sec. 5.** Each executive department and agency identified by the Secretary shall appoint a senior official, who is a full-time officer of the Federal Government, to report directly to the department or agency head with respect to department or agency activity under this order, and to serve as liaison to the Board and to the Initiative. To the extent permitted by law and regulations, each executive department and agency identified by the Secretary shall provide appropriate information requested by the Board and staff pursuant to the order.

**Sec. 6.** Each executive department and agency identified by the Secretary shall develop an annual plan for, and shall document the agency's effort in, increasing the capacity of historically black colleges and universities to participate in Federal programs. Each department's and agency's plan shall describe new or existing department and agency programs and measurable objectives for proposed department and agency actions, in connection with those programs, to achieve the purposes of this order. These plans shall be submitted at such time and in such form as the Secretary shall require. In consultation with the participating departments and agencies, the Secretary shall review the plans and develop, with the advice of the Board, an integrated Annual Federal Plan for Assistance to Historically Black Colleges and Universities for submission to the President. The Secretary shall provide the president of each historically black college and university with a copy of, and an opportunity to comment on, the proposed Annual Federal Plan prior to its submission to the President. Each participating department and agency shall submit to the Secretary an Annual Performance Report that shall measure each department's and agency's performance against the objectives set forth in the department's or agency's annual plan. The Secretary shall be responsible for monitoring compliance with the Annual Federal Plan after it is approved by the President.

**Sec. 7.** In developing its annual plan, each executive department and agency identified by the Secretary shall emphasize programs and activities that develop the capacity of historically black colleges and universities to contribute to the development of human capital and to strengthen America's economic and technological base through: (1) infrastructure development and acquisitions for instruction and research; (2) student and faculty doctoral fellowships and faculty development; (3) domestic and international faculty and student exchanges and study-abroad opportunities; (4) undergraduate and graduate student internships; and (5) summer, part-time, and permanent employment opportunities.

**Sec. 8.** Each year, the Board shall report to the President on the progress achieved in enhancing the capacity of historically black colleges and universities to serve their students, including findings and recommendations for individual departments and agencies in connection with their Annual Performance Reports, as described in section 6 of this order.

**Sec. 9.** The Board, in consultation with the Department of Education and other executive departments and agencies, shall develop a Private Sector Strategy to assist historically black colleges and universities in: (1) increasing voluntary private-sector contributions to support the enhancement of endowments and the overall financial stability of such institutions; (2) improving and enhancing the quality and number of private-sector partnerships focused on academic program development, student achievement and faculty development, cooperative research and development projects, and faculty exchanges; and (3) improving information management, and facilities, and strengthening academic course offerings.

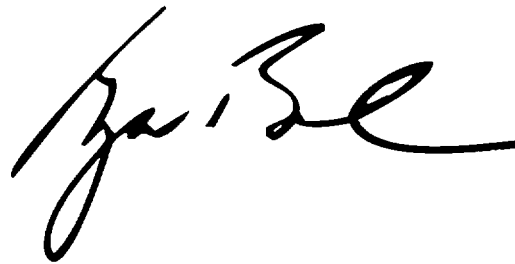
**Sec. 10.** (a) The provisions in this Executive Order shall be implemented to the fullest extent permitted by law.

(b) The Department of Education shall provide funding and administrative support for the Board and the Initiative.

(c) Members of the Board shall serve without compensation, but shall be reimbursed for all travel expenses, including per diem in lieu of subsistence, as authorized by law;

(d) Insofar as the Federal Advisory Committee Act, as amended, may apply to the Board, any functions of the President under that Act, except for those in section 6 of that Act, shall be performed by the Department of Education, in accordance with the guidelines that have been issued by the Administrator of General Services.

**Sec. 11.** Executive Order 12876 of November 1, 1993, as amended, is hereby revoked.



THE WHITE HOUSE,  
February 12, 2002.