



Department of Energy

Washington, DC 20585

QA: N/A

JAN 07 2003

MEMORANDUM FOR: R. Dennis Brown (RW-3)

FROM:

James Blaylock *James Blaylock*
Office of Quality Assurance

SUBJECT:

Issuance of DR OQA(O)-03-D-062 as a Result of the Lack of Objective Evidence to Verify Records Submittal of Numerous QA Documents

Enclosed is DR OQA(O)-03-D-062 generated as a result of an observation.

Please provide a response to this deficiency that meets the applicable requirements of Administrative Procedure (AP) 16.1Q, *Management of Conditions Adverse to Quality*. Send the original of your response to Deborah G. Opielowski, Navarro Quality Services, P.O. Box 364629, Mail Stop 455, North Las Vegas, Nevada 89036-8629. Initial response to the DR is due ten working days from the date of this letter. Any extensions to this due date must be requested in accordance with AP-16.1Q.

If you have any questions, please contact either James Blaylock at (702) 794-1420 or Lester W. Wagner at (702) 794-1304.

OQA:JB-0446

Enclosure:

DR OQA(O)-03-D-062

cc w/encl:

N. K. Stablein, NRC, Rockville, MD
Robert Latta, NRC, Las Vegas, NV (2 cys)
S. W. Lynch, State of Nevada, Carson City, NV
L. W. Bradshaw, Nye County, Pahrump, NV
W. J. Glasser, NQS, Las Vegas, NV
L. W. Wagner, NQS, Las Vegas, NV
D. G. Opielowski, NQS, Las Vegas, NV
W. J. Arthur, III, DOE/ORD (RW-2W), Las Vegas, NV
B. M. Terrell, DOE/ORD (RW-40W), Las Vegas, NV
M. E. Van Der Puy, DOE/ORD (RW-30W), Las Vegas, NV



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OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8. DEFICIENCY REPORT
 CORRECTIVE ACTION
REPORT
NO OQA(O)-03-D-062
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DEFICIENCY REPORT/CORRECTIVE ACTION REPORT

1. Controlling Document: (Document ID and Revision or Date) DOE/RW-0333P, Rev 12, (Sec.17.0 Rev. 2, Effective Date:03/03/97)	2. Related Report No.: RVW-02-02
3. Responsible Organization: OCRWM	4. Discussed With: J. Blaylock, R. Hasson, R. Keele, R. Powe, L. Wagner

5 Requirement:
17.2.2 Creating Valid Quality Assurance Records
A. Implementing documents shall:
1. Identify those documents that will become QA records.
2. Identify the organization responsible for submitting the QA records to the records management system....
C. Individuals handling QA records shall protect them from damage or loss until the records are submitted to the records management systems.
17.2.12 Replacement of Quality Assurance Records
Organizations originating QA records shall develop implementing documents that identify means for replacement, restoration, or substitution of lost or damaged QA records.

6. Description of Condition:
There is no objective evidence to verify that numerous QA documents generated by the Office of Quality Assurance have been submitted to the Records Processing Center as required. Attached is a list of those QA audits, surveillances, conditions adverse to quality and nonconformance reports that could not be obtained.

Has work been stopped? Yes No

7. Initiator: Cynthia A. Humphries-Alder Printed Name Signature Date 12/14/02	9. Does a stop work condition exist? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A If Yes, Check One: <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D
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10. Recommended Actions:
NONE.

11. QA Review: Lester W. Wagner Printed Name Signature Date 12/15/02	12. Response Due Date: 10 Working Days after Issuance
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13. QAM Issuance Approval: Printed Name R. Dennis Brown Signature James Blaylock for Date 12/24/02

14. Corrective Actions Verified/Closure QAR Printed Name Signature Date	15. QAM Closure Approval: Printed Name Signature Date
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OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

DR/CAR/QO
 SWO

NO. OQA(O)-03-D-062

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CONDITION ADVERSE TO QUALITY CONTINUATION PAGE

NOTE: These requirements were originally imposed on OCRWM project "Participants" as stipulated in ASME NQA-1-1989 and its interface with DOE/RW-0214, Rev. 3, "Quality Assurance Requirements Document" effective 4/12/90.

OQA Audit Plans and Reports

Audit No.	Auditing Organization	Auditee	Plan Accession No.	Report Accession No.	Date Audit Scheduled/Conducted
OQA-SA-95-006	OCRWM/OQA YMP	UNKNOWN	INDETERMINATE	INDETERMINATE	INDETERMINATE
YM-SFE-95-001	OCRWM/OQA YMP	Activation Laboratory	INDETERMINATE	INDETERMINATE	6/21-23/1995
OQA-SFE-95-002	OCRWM/OQA YMP	Baker-Hughes INTEQ	INDETERMINATE	INDETERMINATE	10/4-5/1995
LLNL-ARP-97-20	OCRWM/OQA	LLNL	INDETERMINATE	MOL.19980119.0035	9/15-19/1997
LANL-ARP-97-21	OCRWM/OQA	LANL	INDETERMINATE	INDETERMINATE	INDETERMINATE
OQA-SA-97-003	OCRWM/OQA	Transnuclear, Inc.	INDETERMINATE	MOL.19970402.0075	11/18/1996
OQA-SA-97-007	OCRWM/OQA	Kiewit/Parsons Brinckerhoff (Kiewit/PB)	INDETERMINATE	MOL.19970722.0286	11/18/1996
OQA-SA-97-009	OCRWM/OQA	National Ocean Sciences	INDETERMINATE	MOL.19970417.0062	3/4/1997
OQA-SA-97-010	OCRWM/OQA	Beta Analytic	INDETERMINATE	MOL.19970626.0256	2/18/1997
OQA-SA-99-026	OCRWM/OQA	UNR	INDETERMINATE	INDETERMINATE	9/13-15/1999
OQA-SA-99-029	OCRWM/OQA	Accutech	INDETERMINATE	INDETERMINATE	9/15-16/1999

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OQA Conditions Adverse to Qua'

CAO Number	Issuing Organization	RIS Web Accession No
DR 89-002	OCRWM/OQA/HQ	INDETERMINATE
DR 89-003	OCRWM/OQA/HQ	INDETERMINATE
DR 89-006	OCRWM/OQA/HQ	INDETERMINATE
DR 89-007	OCRWM/OQA/HQ	INDETERMINATE
DR 89-008	OCRWM/OQA/HQ	INDETERMINATE
DR 89-009	OCRWM/OQA/HQ	INDETERMINATE
DR 89-010	OCRWM/OQA/HQ	INDETERMINATE
DR 89-011	OCRWM/OQA/HQ	INDETERMINATE
DR 89-012	OCRWM/OQA/HQ	INDETERMINATE
DR 89-016	OCRWM/OQA/HQ	INDETERMINATE
OQA-SA-99-029	OCRWM/OQA/HQ	INDETERMINATE
DR 89-019	OCRWM/OQA/HQ	INDETERMINATE
DR 89-032	OCRWM/OQA/HQ	INDETERMINATE
DR 89-033	OCRWM/OQA/HQ	INDETERMINATE
DR 89-034	OCRWM/OQA/HQ	INDETERMINATE
DR 89-035	OCRWM/OQA/HQ	INDETERMINATE
DR 90-002	OCRWM/OQA/HQ	INDETERMINATE
DR 90-011	OCRWM/OQA/HQ	INDETERMINATE
DR 90-014	OCRWM/OQA/HQ	INDETERMINATE
DR 90-015	OCRWM/OQA/HQ	INDETERMINATE
DR 90-016	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-013	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-014	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-016	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-017	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-018	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-019	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-023	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-024	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-025	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-027	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-029	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-031	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-91-032	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-002	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-004	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-009	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-010	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-011	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-016	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-92-018	OCRWM/OQA/HQ	INDETERMINATE
CAR HQ-93-025	OCRWM/OQA/HQ	INDETERMINATE
CAR 90-001	OCRWM/OQA/YMP	INDETERMINATE
CAR 90-002	OCRWM/OQA/YMP	INDETERMINATE
SDR 552	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-91-043	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-91-074	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-91-075	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-91-076	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-005	OCRWM/OQA/YMP	INDETERMINATE

OQA Conditions Adverse to Qua'

CAQ Number	Issuing Organization	RIS Web Accession No.
CAR YM-92-014	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-015	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-016	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-036	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-037	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-046	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-065	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-066	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-067	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-92-068	OCRWM/OQA/YMP	INDETERMINATE
CAR YM-94-001	OCRWM/OQA/YMP	INDETERMINATE
LVMO-98-D-008	OCRWM/OQA	INDETERMINATE
BSC(O)-02-D-121	OCRWM/OQA	INDETERMINATE
BSC(O)-02-D-123	OCRWM/OQA	INDETERMINATE
BSC(O)-02-D-124	OCRWM/OQA	INDETERMINATE
OCRWM(O)-02-D-143	OCRWM/OQA	INDETERMINATE
YMSCO(O)-02-D-156	OCRWM/OQA	INDETERMINATE
OQA(O)-02-D-158	OCRWM/OQA	INDETERMINATE
BSC(O)-02-D-167	OCRWM/OQA	INDETERMINATE
BSC(O)-02-D-168	OCRWM/OQA	INDETERMINATE
OCRWM(O)-02-D-174	OCRWM/OQA	INDETERMINATE
BSC(O)-02-D-188	OCRWM/OQA	INDETERMINATE
BSC(O)-02-O-002	OCRWM/OQA	INDETERMINATE
OQA(O)-02-O-058	OCRWM/OQA	INDETERMINATE
BSC(O)-02-O-065	OCRWM/OQA	INDETERMINATE
OQA(O)-02-O-073	OCRWM/OQA	INDETERMINATE
YMSCO(O)-02-O-074	OCRWM/OQA	INDETERMINATE
BSC(O)-02-O-076	OCRWM/OQA	INDETERMINATE

OQA Nonconformance Report

NCR ID No	Issuing Organization	Accession No
YMPO-94-0052	YMPO	INDETERMINATE
YMSCO-99-0007	YMSCO	INDETERMINATE

OQA Surveillance Reports

Surveillance Number	Issuing Organization	Accession Number
HQ-SR-89-001	OCRWM/OQA/HQ	INDETERMINATE
YMP-SR-89-056	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-89-058	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-89-059	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-89-078	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-89-079	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-89-126	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-89-132	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-90-014	OCRWM/OQA/YMP	INDETERMINATE
HQ-SR-91-009	OCRWM/OQA/HQ	INDETERMINATE
OQA-SA-99-029	OCRWM/OQA/HQ	INDETERMINATE
YMP-SR-91-024	OCRWM/OQA/YMP	INDETERMINATE
HQ-SR-92-011	OCRWM/OQA/HQ	INDETERMINATE
YMP-SR-92-016	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-92-024	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-92-028	OCRWM/OQA/YMP	INDETERMINATE
HQ-SR-93-002	OCRWM/OQA/HQ	INDETERMINATE
HQ-SR-93-004	OCRWM/OQA/HQ	INDETERMINATE
HQ-SR-93-006	OCRWM/OQA/HQ	INDETERMINATE
YMP-SR-93-004	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-93-010	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-93-034	OCRWM/OQA/YMP	INDETERMINATE
HQ-SR-94-005	OCRWM/OQA/HQ	INDETERMINATE
HQ-SR-94-006	OCRWM/OQA/HQ	INDETERMINATE
HQ-SR-94-007	OCRWM/OQA/HQ	INDETERMINATE
YMP-SR-94-057	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-94-061	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-94-068	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-95-008	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-95-041	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-95-047	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-95-048	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-96-003	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-96-006	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-96-017	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-96-021	OCRWM/OQA/YMP	INDETERMINATE
YMP-SR-97-009	OCRWM/OQA	INDETERMINATE
YMP-SR-97-042	OCRWM/OQA	INDETERMINATE
YMP-SR-97-047	OCRWM/OQA	INDETERMINATE
YMP-SR-97-048	OCRWM/OQA	INDETERMINATE
YMP-SR-97-059	OCRWM/OQA	INDETERMINATE
YMP-SR-98-009	OCRWM/OQA	INDETERMINATE
YMP-SR-98-012	OCRWM/OQA	INDETERMINATE
YMP-SR-98-015	OCRWM/OQA	INDETERMINATE
LVMO-SR-99-006	OCRWM/OQA	INDETERMINATE
LVMO-SR-99-008	OCRWM/OQA	INDETERMINATE