

**Step 10: Obligate and Expend Funds**

NRC incurs obligations when orders/requests are placed with FBI (CHP) or OPM (MAAP). PERSEC sends a monthly memorandum, "PERSEC Monthly Obligations," (see Section 11) to the Chief, FOB, which details the number of requests and the number of obligations. Due to the large volume of transactions involved, DAF will record the obligations and expenditures using two different methods to compute the amounts disbursed via Treasury's OPAC. For CHP, DAF transfers funds monthly to the FBI based on the "PERSEC Monthly Obligations" memorandum. For MAAP and IAAP, OPM bills NRC monthly via OPAC for prior submissions, then PERSEC certifies the expenditures.

**Step 11: Monitor Available Resources**

ADM shall be responsible for monitoring financial balances to ensure that funds committed and/or obligated do not exceed the lesser of amounts allocated or cash receipts. This monitoring should be intensified when commitment or obligation levels rise close to the funding ceiling (see Section 6.5 of this manual.).

FCB shall review and evaluate amounts collected, allocated, committed, and obligated on at least a quarterly basis.

GAB provides monthly reporting to NRC offices (ADM and FCB) on the status of deposits, commitments, obligations, and expenditures recorded in the accounting system for these programs.

## 4.5 Cooperative Research Agreements

NRC is authorized by its annual appropriation legislation to receive, retain, and use funds from foreign and domestic entities for the cooperative nuclear safety research program. These contributions are provided to NRC in return for access to information which has been developed and continues to arise from NRC research programs prior to final publication and release from the public domain. The contributions are intended to support broad safety research programs and also allow the foreign or domestic entity direct participation in the execution of the research program (see Handbook 4.2, Part VIII, (D)(8)(a)).

The full-cost recovery policy does not apply to CRAs since such funding represents a statutory exception for NRC to supplement its appropriations to perform work which is a part of its mission.

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