

RESPONSE TO FREEDOM OF
INFORMATION ACT (FOIA) / PRIVACY
ACT (PA) REQUEST

2002-0337

2

RESPONSE
TYPE ☐ FINAL ☒ PARTIAL

REQUESTER

James P. Riccio

DATE DEC 31 2002

PART I. - INFORMATION RELEASED

- ☐ No additional agency records subject to the request have been located.
- ☐ Requested records are available through another public distribution program. See Comments section.
- ☒ APPENDICES
D Agency records subject to the request that are identified in the listed appendices are already available for public inspection and copying at the NRC Public Document Room.
- ☒ APPENDICES
E Agency records subject to the request that are identified in the listed appendices are being made available for public inspection and copying at the NRC Public Document Room.
- ☐ Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, NW, Washington, DC.
- ☒ APPENDICES
E, F Agency records subject to the request are enclosed.
- ☐ Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- ☒ We are continuing to process your request.
- ☐ See Comments.

PART I.A - FEES

AMOUNT *

\$

* See comments
for details

- ☐ You will be billed by NRC for the amount listed. ☐ None. Minimum fee threshold not met.
- ☐ You will receive a refund for the amount listed. ☐ Fees waived.

PART I.B - INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

- ☐ No agency records subject to the request have been located.
- ☒ Certain information in the requested records is being withheld from disclosure pursuant to the exemptions described in and for the reasons stated in Part II.
- ☒ This determination may be appealed within 30 days by writing to the FOIA/PA Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Clearly state on the envelope and in the letter that it is a "FOIA/PA Appeal."

PART I.C COMMENTS (Use attached Comments continuation page if required)

SIGNATURE - FREEDOM OF INFORMATION ACT AND PRIVACY ACT OFFICER

Carol Ann Reed

J. Rammling

(6-1998)

**RESPONSE TO FREEDOM OF INFORMATION
ACT (FOIA) / PRIVACY ACT (PA) REQUEST**

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**APPENDICES
F, G****PART IIA - APPLICABLE EXEMPTIONS**

Records subject to the request that are described in the enclosed Appendices are being withheld in their entirety or in part under the Exemption No.(s) of the PA and/or the FOIA as indicated below (5 U.S.C. 552a and/or 5 U.S.C. 552(b)).

- ☐ Exemption 1: The withheld information is properly classified pursuant to Executive Order 12958.
- ☐ Exemption 2: The withheld information relates solely to the internal personnel rules and procedures of NRC.
- ☐ Exemption 3: The withheld information is specifically exempted from public disclosure by statute indicated.
- ☐ Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).
- ☐ Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).
- ☐ 41 U.S.C., Section 253(b), subsection (m)(1), prohibits the disclosure of contractor proposals in the possession and control of an executive agency to any person under section 552 of Title 5, U.S.C. (the FOIA), except when incorporated into the contract between the agency and the submitter of the proposal.
- ☐ Exemption 4: The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated.
- ☐ The information is considered to be confidential business (proprietary) information.
- ☐ The information is considered to be proprietary because it concerns a licensee's or applicant's physical protection or material control and accounting program for special nuclear material pursuant to 10 CFR 2.790(d)(1).
- ☐ The information was submitted by a foreign source and received in confidence pursuant to 10 CFR 2.790(d)(2).
- ☒ Exemption 5: The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. Applicable privileges:
- ☒ Deliberative process: Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.
- ☐ Attorney work-product privilege. (Documents prepared by an attorney in contemplation of litigation)
- ☐ Attorney-client privilege. (Confidential communications between an attorney and his/her client)
- ☐ Exemption 6: The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy.
- ☐ Exemption 7: The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated.
- ☐ (A) Disclosure could reasonably be expected to interfere with an enforcement proceeding (e.g., it would reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators).
- ☐ (C) Disclosure would constitute an unwarranted invasion of personal privacy.
- ☐ (D) The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources.
- ☐ (E) Disclosure would reveal techniques and procedures for law enforcement investigations or prosecutions, or guidelines that could reasonably be expected to risk circumvention of the law.
- ☐ (F) Disclosure could reasonably be expected to endanger the life or physical safety of an individual.
- ☐ OTHER (Specify)

PART IIB - DENYING OFFICIALS

Pursuant to 10 CFR 9.25(g), 9.25(h), and/or 9.65(b) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The person responsible for the denial are those officials identified below as denying officials and the FOIA/PA Officer for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECY	IG
Sandy M. Joosten	Executive Assistant, Office of the Secretary	F: 1-5; G: 1-5		✓	

Appeal must be made in writing within 30 days of receipt of this response. Appeals should be mailed to the FOIA/Privacy Act Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, for action by the appropriate appellate official(s). You should clearly state on the envelope and letter that it is a "FOIA/PA Appeal."

**APPENDIX D
RECORDS ALREADY AVAILABLE IN THE PDR**

<u>NO.</u>	<u>DATE</u>	<u>ACCESSION NO.</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	04/25/01	ML011010424	SECY-01-0070, from William D. Travers, EDO, to Commissioners re Plan for Preapplication on the Pebble Bed Modular Reactor (PBMR), 16 pages
2.	04/26/01	ML011170347	Office of the Secretary Correspondence Control Ticket, LTR-01-0223 forwarding letter from Reps. W.J. "Billy" Tauzin, Joe Barton and James C. Greenwood dated 4/26/01 re NRC's Ability to Respond to Significant Increase in Licensing Activities at Operating Nuclear Power Reactors as well as Potential Future Licensing Activities Associated with Applications for New Site Permits and New Reactor Licenses, 3 pages
3.	05/01/01	ML011080144	Memo from William D. Travers, EDO to Commission re Staff Readiness for Future Licensing Activities, 8 pages
4.	10/12/01	ML012350040	SECY-01-0188 from William D. Travers, EDO, to The Commissioners re Future Licensing and Inspection Readiness Assessment, 102 pages
5.	03/21/02	ML020800595	Memorandum from William D. Travers, EDO to Chairman Meserve re February 2002 Update of the Staff's Response to the Chairman's Tasking Memorandum, 95 pages
6.	07/22/02	ML021790610	SECY-02-0139 from William D. Travers, EDO to The Commissioners re Plan for Resolving Policy Issues Related to Licensing Non-Light Water Reactor Designs, 28 pages

APPENDIX E
RECORDS BEING RELEASED IN THEIR ENTIRETY
(If copyrighted identify with *)

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	03/08/01	Note to Commissioner Assistants from John W. Craig, AO/OEDO re Gas-Turbine-Modular Helium Reactor (GT-MHR) Review, 4 pages
2.	10/30/01	Presentation by William D. Travers, EDO to AID - TECRO, Joint Standing Commission on Civil Nuclear Cooperation, 6 pages
3.	04/04/02	Note to Commissioner Assistants from John W. Craig, AO/OEDO re Preapplication Review of the Gas-Turbine-Modular Helium Reactor (GT-MHR), 2 pages
4.	04/11/02	E-mail from Thomas Bergman, OEDO to Alan Levin, et al re Future Rx Schedule & Meeting Summary, 2 pages
5.	05/09/02	E-Mail from Thomas Bergman, OEDO to James Lyons, et al re Fwd: NRR concurrence in GT-MHR letter, 2 pages
6.	06/10/02	E-Mail From Thomas Bergman, OEDO to Amy Cubbage re Additional :) comments, 1 page

**APPENDIX F
RECORDS BEING WITHHELD IN PART**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	3/22/00	- Note from J. Sharkey to Cmr McGaffigan, regarding CORR-00-0041-Revised (1 pg) [Ex. 5]
2.	3/23/00	- Cmr. McGaffigan Vote on CORR-00-0041-Revised, Ltr to Madam Phumzile Mlambo-Ngcuke, Minister of Minerals & Energy, South Africa, concerns the Eskom's Pebble Bed Modular Reactor project (4 pgs) [Ex. 5]
3.	9/19/00	- Cmr. McGaffigan Vote on CORR-00-0150, Ltr to Walter Simon, General Atomics, concerns interest in working with South Africa on the safety and licensing evaluation of Pebble Bed Modular Reactor (3 pgs) [Ex. 5]
4.	3/30/01	- Cmr. McGaffigan Vote on CORR-01-0054, Ltr to Phumzile Mlambo-Ngcuke, South Africa Minister of Minerals & Energy, concerns the Pebble Bed Modular Reactor (2 pgs) [Ex. 5]
5.	12/20/01	- Cmr. McGaffigan Vote on SECY-01-0207, Legal and Financial Issues Related to Exelon's Pebble Bed Modular Reactor (PBMR) (2 pgs) [Ex. 5]

APPENDIX G
RECORDS BEING WITHHELD IN THEIR ENTIRETY

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	2/6/01	- E-mail from James Beall, OCM/EXM, to A. Vietti-Cook, SECY, et al, COMJSM-00-0003 - Pebble Bed Reactor (1 pg) [Ex. 5]
2.	2/8/01	- E-mail from James Beall, OCM/EXM, to Ken Hart, SECY, SRM on COMJSM-00-0003 - Pebble Bed Reactor (1 pg) [Ex. 5]
3.	6/14/01	- E-mail from James Beall, OCM/EXM, to A. Vietti-Cook, SECY, et al, Draft SRM - SECY-01-0070 (PBMR) (1 pg) [Ex. 5]
4.	6/19/01	- E-mail from James Beall, OCM/EXM, to A. Vietti-Cook, SECY, et al, Version B of the SRM on SECY-01-0070 - PBMR (1 pg) [Ex. 5]
5.	12/27/01	- E-mail from Kathryn Winsberg, OCM/EXM, to Terry Agneu, OCM/RAM, et al, Re: Draft SRM - SECY-01-0207 (PBMR) - Amended Response (2 pgs) [Ex. 5]