

November 12, 2002

U.S. Nuclear Regulatory Commission
Document Control Desk
Washington, D.C. 20555

Subject: Oconee Nuclear Station
Docket Nos. 50-269, -270, -287
Licensee Event Report 269/2002-S02, Revision 0
Problem Investigation Process No.: O-02-5610

Gentlemen:

Pursuant to 10 CFR 73.71 Sections (b) (1) and Appendix G (I) (b), attached is a Security Special Report 269/02-S02, concerning an uncontrolled document classified as "Safeguards Information".

This report is being submitted in accordance with 10 CFR 73.71 and Appendix G (I)(c). This event is considered to be of no significance with respect to the health and safety of the public.

Very truly yours,



R.A. Jones

Attachment

IE74

Document Control Desk
Date: November 12, 2002
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cc: Mr. Luis A. Reyes
Administrator, Region II
U.S. Nuclear Regulatory Commission
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Mr. L. N. Olshan
Project Manager
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Washington, D.C. 20555

Mr. Mel Shannon
NRC Resident Inspector
Oconee Nuclear Station

INPO (via E-mail)

LICENSEE EVENT REPORT (LER)

(See reverse for required number of
digits/characters for each block)

Estimated burden per response to comply with this mandatory information collection request 50 hours. Reported lessons learned are incorporated into the licensing process and fed back to industry. Send comments regarding burden estimate to the Records Management Branch (T-6 E6), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to bjs1@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202 (3150-0104), Office of Management and Budget, Washington, DC 20503. If a means used to impose information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

1. FACILITY NAME Oconee Nuclear Station, Unit 1	2. DOCKET NUMBER 050- 0269	3. PAGE 1 OF 5
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4. TITLE
Uncontrolled "Safeguards Information"

5. EVENT DATE			6. LER NUMBER			7. REPORT DATE			8. OTHER FACILITIES INVOLVED	
MO	DAY	YEAR	YEAR	SEQUENTIAL NUMBER	REV NO	MO	DAY	YEAR	FACILITY NAME	DOCKET NUMBER
10	17	2002	2002	S02	0	11	12	2002	Unit 2	0270
									Unit 3	0287

9. OPERATING MODE	10. POWER LEVEL	11. THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR § (Check all that apply)			
		20.2201(b)	20.2203(a)(3)(ii)	50.73(a)(2)(ii)(B)	50.73(a)(2)(ix)(A)
1	100	20.2201(d)	20.2203(a)(4)	50.73(a)(2)(iii)	50.73(a)(2)(x)
		20.2203(a)(1)	50.36(c)(1)(i)(A)	50.73(a)(2)(iv)(A)	73.71(a)(4)
		20.2203(a)(2)(i)	50.36(c)(1)(ii)(A)	50.73(a)(2)(v)(A)	73.71(a)(5)
		20.2203(a)(2)(ii)	50.36(c)(2)	50.73(a)(2)(v)(B)	X OTHER Specify in Abstract below or in NRC Form 366A
		20.2203(a)(2)(iii)	50.46(a)(3)(ii)	50.73(a)(2)(v)(C)	
		20.2203(a)(2)(iv)	50.73(a)(2)(i)(A)	50.73(a)(2)(v)(D)	
		20.2203(a)(2)(v)	50.73(a)(2)(i)(B)	50.73(a)(2)(vii)	
		20.2203(a)(2)(vi)	50.73(a)(2)(i)(C)	50.73(a)(2)(viii)(A)	
20.2203(a)(3)(i)	50.73(a)(2)(ii)(A)	50.73(a)(2)(viii)(B)			

12. LICENSEE CONTACT FOR THIS LER

NAME L.E. Nicholson, Regulatory Compliance Manager	TELEPHONE NUMBER (Include Area Code) (864) 885-3292
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13. COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT

CAUSE	SYSTEM	COMPONENT	MANU-FACTURER	REPORTABLE TO EPIX	CAUSE	SYSTEM	COMPONENT	MANU-FACTURER	REPORTABLE TO EPIX

14. SUPPLEMENTAL REPORT EXPECTED				15. EXPECTED SUBMISSION DATE		
YES (If yes, complete EXPECTED SUBMISSION DATE)	X	NO		MONTH	DAY	YEAR

16. ABSTRACT (Limit to 1400 spaces, i.e., approximately 15 single-spaced typewritten lines)

On 10/17/2002 at 1121 hours, with Unit 1 & 3 at 100% power and Unit 2 at 0% power, a member of the Oconee Nuclear Site's Regulatory Compliance staff opened a string-tie inter-office mail envelope and discovered that it contained a document classified as "Safeguards Information." At this time, the employee assumed control of the document and immediately notified a member of the licensee's Security staff. Security took possession of the "Safeguards Information" document at 1135 hours.

The document was "NRC Regulatory Issue Summary 2002-12i, Revision 1, Transport of Spent Nuclear Fuel Greater than 100 Grams." It had been received on site and repackaged with unclassified documents into the inter-office envelope on 10/16/2002 between approximately 1300 and 1500 hours by an individual who overlooked the "Safeguards Information" on the original inner-envelope.

The envelope was left in the site mail room overnight prior to delivery and discovery. All of the above actions were within the Oconee Site Owner Controlled Area but exterior to the Protected Area.

The root cause was an inappropriate action due to oversight by the involved individual. The individual was counseled per company policy.

This event is considered to have no significance with respect to the health and safety of the public.

LICENSEE EVENT REPORT (LER)

FACILITY NAME (1)	DOCKET (2) NUMBER (2)	LER NUMBER (6)			PAGE (3)
		YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	
Oconee Nuclear Station, Unit 1	0500269	2002	S02	0	2 OF 5

NARRATIVE (If more space is required, use additional copies of NRC Form 366A) (17)

EVALUATION:

Background

Mail delivered to the Oconee Nuclear Site Vice President is opened by one of three designated members of the licensee's clerical staff. Each member of the clerical staff designated to open mail has previously received instructions concerning the mailing process and actions required upon receipt of a document classified as "Safeguards Information." Prior to this event, Employee 1 had received "Safeguards Information" documents on numerous occasions. On each of these previous occasions Employee 1: (a) exercised positive control of the "Safeguards Information" document as required by 10 CFR 73.21(d)(1); (b) immediately notified a member of the licensee's Security staff; and (c) hand delivered the "Safeguards Information" document to a member of the licensee's Security Organization for storage in accordance with 10 CFR 73.21(d)(2).

No indications exist to suspect that the "Safeguards Information" document was actually compromised and viewed by an unauthorized individual. However, the "Safeguards Information" document was not controlled in accordance with the requirements of 10 CFR 73.21(d)(2). Therefore, this event is considered to be a discovered vulnerability in the safeguards system meeting criteria listed in 73 Appx G I.(c) and is being reported under 10CFR 73.71(b)(2), which references 73.71(a)(4), and (d).

Description of Event

The following is a chronology of the security event reported by Oconee Nuclear Station to the NRC Operations Center via the Emergency Notification System at 1303 hours on 10/17/2002 (EN#39297).

10/16/2002 Between approximately 1300 and 1500 hours, Employee 1 opened a package containing "Safeguards Information" mailed by the Nuclear Regulatory Commission to the Oconee Nuclear Site Vice President. The document marked "Safeguard Information" was placed in a string-tie inter-office mail envelope which also contained other unclassified documents.

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Oconee Nuclear Station, Unit 1	0500269	2002	S02	0	3	OF 5

NARRATIVE (If more space is required, use additional copies of NRC Form 366A) (17)

- 10/16/2002 At approximately 1730 hours, Employee 1 hand carried the string-tie envelope containing the "Safeguards Information" document and other unclassified documents to a mail room for inter-office delivery to the licensee's Regulatory Compliance Manager.

- 10/17/2002 Beginning at approximately 0700 hours, the string-tie envelope containing the "Safeguards Information" document was sorted for delivery by a vendor employee.

- 10/17/2002 At approximately 1030 hours, the string-tie envelope containing the "Safeguards Information" document was delivered along with other unclassified documents to the Regulatory Compliance office mail receiving area.

- 10/17/2002 At 1120 hours a member of the Regulatory Compliance staff (Employee 2) opened the string-tie envelope and discovered the improperly controlled "Safeguards Information" document. At this time, Employee 2 assumed control of the "Safeguards Information" document as required by 10 CFR 73.21(d) (1).

- 10/17/2002 At 1121 hours Employee 2 notified a member of the Security Staff that a document marked "Safeguards Information" had been discovered in a string-tie envelope.

- 10/17/2002 At 1135 hours a member of the licensee Security staff took control of the "Safeguards Information" document.

- 10/17/2002 At 1230 hours a preliminary investigation and review of the document was completed by licensee Security and management personnel.

- 10/17/2002 At 1303 hours the improperly controlled "Safeguards Information" event was reported to the Nuclear Regulatory Commission through the Emergency Notification System (EN# 39297).

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Oconee Nuclear Station, Unit 1	0500269	2002	S02	0	4 OF 5

NARRATIVE (If more space is required, use additional copies of NRC Form 366A) (17)

Causal Factors

The investigation of this event did not indicate any malevolent intent on the part of the licensee clerical employee (Employee 1) or other involved employees. Employee 1 had been trained and was knowledgeable of the process and expectations concerning the receipt of "Safeguards information" mailed from the Nuclear Regulatory Commission. Additionally, on numerous occasions prior to the uncontrolled "Safeguards Information" event on 10/16/2002, Employee 1 had received "Safeguards Information" documents mailed to the Oconee Nuclear Site. On each of these previous occasions Employee 1 acted in an appropriate manner to positively control "Safeguards Information" as required by 10 CFR 73.21(d)(1).

The evaluation of the facts of this event leads to the conclusion that the cause of this event was a human error on the part of a trained and knowledgeable employee.

CORRECTIVE ACTION:

Immediate:

The "Safeguards Information" document was controlled as required by 10 CFR 73.21(d)(1) and 73.21(d)(2).

Subsequent:

1. The Employee 1's immediate supervisor has initiated disciplinary action in accordance with established licensee policy.
2. The process and expectations for receipt of "Safeguards Information" have been re-communicated to Employee 1.

Planned:

NONE

There are no NRC Commitment items contained in this report.

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FACILITY NAME (1)	DOCKET (2) NUMBER (2)	LER NUMBER (6)			PAGE (3)		
Oconee Nuclear Station, Unit 1	0500269	YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	5 OF 5		
		2002	S02	0			

NARRATIVE (If more space is required, use additional copies of NRC Form 366A) (17)

SAFETY EVALUATION:

There was no safety significance associated with this event as the investigation of this event did not indicate any malevolent intent on the part of any individual or actual compromise of information contained in the "Safeguards Information" document.

ADDITIONAL INFORMATION

There were no releases of radioactive materials, no personnel injuries, and no reportable equipment failures associated with this event.

This is not a recurring event.

There were no releases of radioactive materials, radiation exposures or personnel injuries associated with this event.

This event is not considered reportable under the Equipment Performance and Information Exchange (EPIX) program.