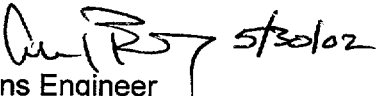


Facility: PPL - Susquehanna Examinations Developed by: PPL - Susquehanna		Date of Examination: August 11, 2002
Target Date*	Task Description / Reference	Chief Examiner's Initials
02/11/02	1. Examination administration date confirmed (C.1.a; C.2.a & b)	4/1/02 B
04/11/02-TH	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)	4/4/02 B
04/11/02-TH	3. Facility contact briefed on security & other requirements (C.2.c)	4/4/02 B
04/11/02-TH	4. Corporate notification letter sent (C.2.d)	4/4/02 B
NA	[5. Reference material due (C.1.e; C.3.c)]	NA
05/27/02-M	6. Integrated examination outline(s) due (C.1.e & f; C.3.d)	5/26/02 B
05/31/02-F	7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)	5/30/02 B
06/25/02-TU	8. Proposed examinations, supporting documentation, and reference materials due (C.1.e, f, g & h; C.3.d)	6/20/02 B
07/10/02-W	9. Preliminary license applications due (C.1.i; C.2.g; ES-202)	7/11/02 B
07/26/02-F	10. Final license applications due and assignment sheet prepared (C.1.i; C.2.g; ES-202)	7/24/02 B
07/26/02-F	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	7/11/02 B
07/26/02-F	12. Examinations reviewed with facility licensee (C.1.j; C.2.f & h; C.3.g)	7/18/02 B
08/02/02-F	13. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h)	8/7/02 B
08/02/02-F	14. Final applications reviewed; assignment sheet updated; waiver letters sent (C.2.g, ES-204)	8/7/02 B
08/02/02-F	15. Proctoring/written exam administration guidelines reviewed with facility licensee and authorization granted to give written exams (if applicable) (C.3.k)	8/7/02 B
08/02/02-F	16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i).	8/7/02 B
<p>* Target dates are keyed to the examination date identified in the corporate notification letter. They are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee.</p> <p>[] Applies only to examinations prepared by the NRC.</p>		

Facility:		Date of Examination:		
Item	Task Description	Initials		
		a	b*	c#
1. W R I T T E N	a. Verify that the outline(s) fit(s) the appropriate model per ES-401.	BH	WJK	8
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	BH	WJK	8
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	BH	WJK	8
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	BH	WJK	8
2. S I M	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, and major transients.	BH	WJK	8
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity; ensure each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s)*, and scenarios will not be repeated over successive days.	BH	WJK	8
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	BH	WJK	8
3. W / T	a. Verify that: (1) the outline(s) contain(s) the required number of control room and in-plant tasks, (2) no more than 30% of the test material is repeated from the last NRC examination, (3)* no tasks are duplicated from the applicants' audit test(s), and (4) no more than 80% of any operating test is taken directly from the licensee's exam banks.	BH	WJK	8
	b. Verify that: (1) the tasks are distributed among the safety function groupings as specified in ES-301, (2) one task is conducted in a low-power or shutdown condition, (3) 40% of the tasks require the applicant to implement an alternate path procedure, (4) one in-plant task tests the applicant's response to an emergency or abnormal condition, and (5) the in-plant walk-through requires the applicant to enter the RCA.	BH	WJK	8
	c. Verify that the required administrative topics are covered, with emphasis on performance-based activities.	BH	WJK	8
	d. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on successive days.	BH	WJK	8
	e. Verify that the required administrative topics are covered, with emphasis on performance-based activities.	BH	WJK	8
4. G E N E R A L	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam section.	BH	WJK	8
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	BH	WJK	8
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	BH	WJK	8
	d. Check for duplication and overlap among exam sections.	BH	WJK	8
	e. Check the entire exam for balance of coverage.	BH	WJK	8
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	BH	WJK	8
a. Author <u>Bruce Hennrich</u> Printed Name / Signature		Date <u>4/8/02</u>		<u>WALTER W. HUNT</u> 5/16/02
b. Facility Reviewer (*) <u>WALTER W. HUNT</u>				
c. NRC Chief Examiner (#) <u>Alan Blamey</u>				
d. NRC Supervisor <u>Richard J. ConTe</u>				With comments
Note: * Not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required.				

May 30, 2002

MEMORANDUM TO: Exam File
PPL Susquehanna, LLC
Susquehanna Steam Electric Station

FROM: Alan Blamey  5/30/02
Senior Operations Engineer

SUBJECT: COMMENTS ON SUSQUEHANNA STEAM ELECTRIC STATION
UNITS 1 & 2 FINAL EXAM OUTLINE.

The purpose of this memorandum is to document comments on the Susquehanna final exam outline received on Friday, May 24, 2002. Changes to the exam outline was reviewed by A. Blamey, Senior Operations Engineer. Comments were provided to J. Morris, Examination Developer, Susquehanna on May 30, 2002. The comments are as follows.

ES-201-2, Examination Outline Quality Checklist

Section 1. Written NO COMMENTS

Section 2. Simulator

- a. Form ES-301-5, "Transient and Event Checklist," & ES-301-6, "Competencies Checklist."



These forms have been completed by PPL but have not been signed by PPL management. The NRC has completed our review of these forms and determined that the applicants would receive the required evolutions during the simulator exam.

- b. No scenarios are duplicated from the applicants audit exam.



Since the audit exam has not been developed this must be verified prior to administering the exam. PPL is aware that the simulator exams can not be a duplicated from the audit exam.

- c. Does the simulator exam outline confirm to the qualitative and quantitative criteria specified in ES-301-4.



Scenario 1, Event 2

Failure of the EDG B output breaker in the tripped condition do to overcurrent may allow limited opportunities to evaluate an applicant on a response to an instrument failure.

Scenario 2

Seems to have a high number of equipment failures that are not related.

This scenario and scenario 4 seem to be similar ATWS / Turbine Trip (or BPV) / SBL failure

Scenario 4, Event 2

Failure of the RHRSW radiation monitor to its high value may provide limited opportunity to evaluate an applicant on a response to an instrument failure. Especially since the RHRSW pump has already been tripped.

Scenario 5

Should have event that results in fuel failure. Such as HPCI inadvertent start / inject ect.

Section 3. Walk Through - Job Performance Measures (JPM)

- a. (3) No scenarios are duplicated from the applicants audit exam.

Since the audit exam has not been developed this must be verified prior to administering the exam. PPL is aware that the walk through (JPMs) exams can not be a duplicated from the audit exam.

Section 4. General

- 4. b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.

The outline does not specify which items are SRO / RO questions. This will be reviewed when the questions are developed.

- c. Ensure the K/A importance ratings are at least 2.5.

The facility walk-through exam dose not have K/A importance assigned to the tasks. (ES-301-2)

- d. Check for duplication and overlap among exam sections.

A

The outline appears acceptable; however, the NRC can not make a final determination until the exam is drafted and the individual questions can be reviewed.

- e. Check the entire exam for balance of coverage.

B

The outline appears balanced ; however, can not make a final determination until the exam is drafted and the individual questions can be reviewed.

- f. Assess whether exam fits the appropriate job level. (RO / SRO).

R

The outline appears acceptable; however, can not make a final determination until the exam is drafted and the individual questions can be reviewed.

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/2002 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12 8/19. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
	1. Bruce A. Hennigan	Project Manager/Developer(WD)	<i>[Signature]</i>	1/17/02			1. ✓
	2. Jeffery D. Morris	Facility Supervisor (SSES)	<i>[Signature]</i>	1/17/02	<i>[Signature]</i>	8/27/02	
	3. Terry W. Logsdon	Exam Writer WD	<i>[Signature]</i>	1/29/02			
	4. WALTER W. HUNT	TRN MGR / UTILITY REP	<i>[Signature]</i>	2/12/02	<i>[Signature]</i>	8/22/02	
	5. Chuck Hess	Reactor Sim Inss	<i>[Signature]</i>	8/19/02	<i>[Signature]</i>	8/22/02	
# 010	6. Scott Dieffenbacher	Computer Maint	<i>[Signature]</i>	8/4/02	<i>[Signature]</i>	8/23/02	
015	7. Don Krupp	Computer Maint	<i>[Signature]</i>	14/2/02	<i>[Signature]</i>	8/22/02	
002	8. Gray Fessler	Computer Maint	<i>[Signature]</i>	4/2/02	<i>[Signature]</i>	8/22/02	
009	9. Cleon Dodge	Computer Sim Operator	<i>[Signature]</i>	4/2/02	<i>[Signature]</i>	8/27/02	
024	10. Darwin Dutky	REACTOR OPERATOR	<i>[Signature]</i>	5/15/02	<i>[Signature]</i>	8/22/02	
019	11. Thomas P. Greer	Reactor Operator	<i>[Signature]</i>	5-13-02	<i>[Signature]</i>	8-22-02	
	12. Donald E. Roland	Shift Supervisor	<i>[Signature]</i>	5-13-02			
003	13. Jerry Radish	Operations Supv.	<i>[Signature]</i>	5-13-02	<i>[Signature]</i>	8-22-02	
016	14. Ronald Klein	Unit Supv.	<i>[Signature]</i>	5-30-02	<i>[Signature]</i>	8-22-02	
006	15. Gerald K. Rivehart Jr	PCO	<i>[Signature]</i>	5-30-02	<i>[Signature]</i>	8-22-02	

NOTES:

1. Pre-Examination

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2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12, 8/14 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
Jeanine Kittelt	Office Manager	Jeanine Kittelt	2-19-02		
DAVID S. BORGER	UNIT SUPERVISOR	David S. Borger	5-31-02		
STEPHEN L. HUFF	PLANT CONTROL OPERATIONS	Stephen L. Huff	5-31-02	Stephen L. Huff	8/22/02
Ronald M. Fey	Unit Supervisor	Ronald M. Fey	6-4-02	Ronald M. Fey	8-22-02
PAUL E. HORNBICK	PLANT CONTROL OPERATIONS	Paul E. Hornbick	6/4/02		
Rich E. CHIN	Audit EXAM TEAM	Rich E. Chin	6-10-02	Rich E. Chin	8-22-02
JANICE L. FREY	Admin Support	Janice L. Frey	6-12-02		
SAMUEL M. COHEN	EXAM SUPPORT	Samuel M. Cohen	6-18-02		
FRANK TARBELL	Sim Instructor	Frank Tarbell	7-17-02	Frank Tarbell	8-22-02
GRANT FERNSTER	SHIFT MANAGER	Grant J. Fernster, Jr.	7/23/02		
FRANK MURIN	PCO	Frank Murin	7/24/02		
MIKE BOYLE	Unit Supervisor	Mike Boyle	7/27/02		
CJ NIER	INSURANCE	CJ Nier	7/30/02		8/22/02
Melody Masich	Melody Masich (Admin)	Melody Masich	8/8/02	Melody Masich	8/22/02
Samuel L. Hansell	NRC Resident Inspector	Samuel L. Hansell	8/13/02		

NOTES:

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/02 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

AUG 12, 2002, AUG 19, 2002

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12/02. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. <u>Julian H. Williams</u>	<u>WO Part time Employee / EXAM REVIEWER</u>	<u>Julian H. Williams</u>	<u>2/19/02</u>			✓
2. <u>ROBERT W. PEAL</u>	<u>ASST OPS MGR - SSES</u>	<u>[Signature]</u>	<u>8/13/02</u>			✓
3. <u>Jeffrey M. Helsel</u>	<u>Supv - OPS INSTR.</u>	<u>[Signature]</u>	<u>8/14/02</u>	<u>[Signature]</u>	<u>8/27/02</u>	✓
4. <u>[Signature]</u>	<u>Operations Spv</u>	<u>[Signature]</u>	<u>8-22-02</u>			
5. <u>VICKY A. HORVAT</u>	<u>ADMIN SUPPORT</u>	<u>[Signature]</u>		<u>[Signature]</u>	<u>08/22/02</u>	
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NOTES: SSES NRC Exam

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/2002 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12 8/19 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
	1. Bruce A. Hennigan	Project Manager/Developer(WD)	<i>[Signature]</i>	1/17/02			1.
	2. Jeffery D. Morris	Facility Supervisor (SSES)	<i>[Signature]</i>	1/17/02			
	3. Terry W. Logsdon	Exam Writer WD	<i>[Signature]</i>	1/29/02	Terry W. Logsdon	8/23/02	
	4. WALTER W. HUNT	TRN MGR / UTILITY REP	<i>[Signature]</i>	2/12/02	<i>[Signature]</i>	8/22/02	
	5. Chuck Hess	Reactor Sim Inst	<i>[Signature]</i>	3/19/02	<i>[Signature]</i>	8/22/02	
# 0/0	6. Scott Dieffenbacher	Computer Maint	<i>[Signature]</i>	3/4/02	Scott Dieffenbacher	8/23/02	
015	7. Don Krupp	Computer Maint	<i>[Signature]</i>	4/2/02	Don Krupp	8/22/02	
002	8. Gray Feller	Op-Maint	<i>[Signature]</i>	4/2/02	Gray Feller	8/22/02	
009	9. Cleon Dodge	Computer Sys Operator	<i>[Signature]</i>	4/2/02	<i>[Signature]</i>		
024	10. Darwin Durrty	REACTION OPERATOR	<i>[Signature]</i>	5/15/02	<i>[Signature]</i>	8/22/02	
019	11. Thomas P. Greer	Reactor Operator	<i>[Signature]</i>	5-13-02	Thomas P. Greer	8-22-02	
	12. Donald E. Roland	Shift Supervisor	<i>[Signature]</i>	5-13-02	DE Roland	8-26-02	
003	13. Jerry Badishchuk	Operations Supv.	<i>[Signature]</i>	5-13-02	Jerry Badishchuk	8-22-02	
016	14. Ronald Klein	Unit Supv.	<i>[Signature]</i>	5-30-02	R. W. Klein	8-22-02	
006	15. Gerald K. Bivshart Jr	PCO	<i>[Signature]</i>	5-30-02	<i>[Signature]</i>	8-22-02	

NOTES:

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/2002 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

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	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
	Jeanne Kittelt	Office Manager	Jeanne Kittelt	2-19-02		
#22	DAVID S. BORGER	UNIT SUPERVISOR	David S. Borger	5-31-02	David S. Borger	8-26-2002
	STEPHEN L. HUFF	PLANT CONTROL OPERATOR	Stephen L. Huff	5-31-02	Stephen L. Huff	8/22/02
	Ronald M. Frey	Plant Control Supervisor	Ronald M. Frey	6-4-02	Ronald M. Frey	8-22-02
	PAUL E. HORNBACH	PLANT CONTROL OPERATOR	Paul E. Hornbach	6/4/02		
	Rich E. CHIN	Audit EXAM TEAM	Rich E. Chin	6-10-02	Rich E. Chin	8-22-02
	Janice L. Frey	Admin Support	Janice L. Frey	6-20-02	Janice L. Frey	8-26-02
	SAMUEL M. COHEN	EXAM SUPPORT	Samuel M. Cohen	6-18-02	Samuel M. Cohen	8-23-02
	Frank Tarzill	Sim Instructor	Frank Tarzill	7-17-02		
	GRANT Bernster	SHIFT MANAGER	Grant Bernster, Jr	7/23/02	Grant Bernster	8/27/02
	FRANK MURIN	PCO	Frank Murin	7/27/02	Frank Murin	8/27/02
	Mike Boyle	Unit Supervisor	Mike Boyle	7/27/02	Mike Boyle	8/27/02
	CJ INNES	INSTRUCTOR	CJ Innes	7/30/02		8/22/02
	Melody Masick	Melody Masick (Admin)	Melody Masick	8/18/02	Melody Masick	8/22/02
	Samuel L. Hansell	NRC Resident Inspector	Samuel L. Hansell	8/13/02	Samuel L. Hansell	8/27/2002

NOTES:

1. Pre-Examination

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2. Post-Examination

AUG 12, 2002, AUG 19, 2002

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GJK
8/22/02

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Julian H. Williams	WO Part time Employee / EXAM REVIEWER	<i>Julian H. Williams</i>	2/19/02		
2. ROBERT W PEAR	ASST OPS MGR - SSES	<i>[Signature]</i>	8/13/02		9/3/02
3. Jeffrey M. Helsel	Supv - OPS INSTR.	<i>[Signature]</i>	8/14/02		8/27/02
4. Joseph R. Schuchman	Operational Insp	[Signature]	8-22-02		
5. VICKSY A. HORVAT	ADMIN SUPPORT	<i>Vicksy A. Horvat</i>	7-26-02		8/22/02
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NOTES: SSES NRC Exam

ES-201

Examination Security Agreement

Form ES-201-3 (R8, S1)

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/02 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Julian H. Williams	WD Park Area Employee / NRC Permit	<i>Julian H. Williams</i>	8/19/02	<i>Julian H. Williams</i>	8/30/02	
2. ROBERT W. PENL	ASST OPS MGR - SSES	<i>Robert W. Penl</i>	8/13/02	<i>Julian H. Williams</i>	8/30/02	
3. Jeffrey M. Hiesel	Supv - Ops Dept	<i>Jeffrey M. Hiesel</i>	8/14/02	<i>Jeffrey M. Hiesel</i>	8/27/02	
4. Jeffrey M. Hiesel	Supv - Ops Dept	<i>Jeffrey M. Hiesel</i>	8/22/02	<i>Jeffrey M. Hiesel</i>		
5. VICKY A. HORVAT	Stocks ADMIN SUPPORT	<i>Vicky A. Horvat</i>	8/22/02	<i>Vicky A. Horvat</i>	8/27/02	
6.						
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15.						

NOTES: SSES NRC Exam

OK 8/27/02

2/3

ES-201

Examination Security Agreement

Form ES-201-3 (R8, S1)

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/2002 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12, 8/14. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. <u>Jessamine Kittell</u>	<u>Office Manager</u>	<u>Jessamine Kittell</u>	<u>2/14/02</u>	<u>Jessamine Kittell</u>	<u>8-28-02</u>
2. <u>DAVID S. BOGGS</u>	<u>Unit Supervisor</u>	<u>David S. Boggs</u>	<u>5-9-02</u>	<u>David S. Boggs</u>	<u>8-26-2002</u>
3. <u>STEPHEN L. HUSE</u>	<u>Plant Services Operator</u>	<u>Stephen L. Huse</u>	<u>5-31-02</u>	<u>Stephen L. Huse</u>	<u>8/22/02</u>
4. <u>Arnold A. Key</u>	<u>Plant Services Operator</u>	<u>Arnold A. Key</u>	<u>6-10-02</u>	<u>Arnold A. Key</u>	<u>8-22-02</u>
5. <u>PAULE HARVEY</u>	<u>Plant Services Operator</u>	<u>Paule Harvey</u>	<u>6/10/02</u>	<u>Paule Harvey</u>	<u>8-22-02</u>
6. <u>Rich E. CHIN</u>	<u>Audit Exam Team</u>	<u>Rich E. Chin</u>	<u>6-10-02</u>	<u>Rich E. Chin</u>	<u>8-22-02</u>
7. <u>David L. Huse</u>	<u>Admin Support</u>	<u>David L. Huse</u>	<u>6-10-02</u>	<u>David L. Huse</u>	<u>8-22-02</u>
8. <u>David L. Huse</u>	<u>Admin Support</u>	<u>David L. Huse</u>	<u>6-10-02</u>	<u>David L. Huse</u>	<u>8-22-02</u>
9. <u>Grant Kessler</u>	<u>Sh. Pt. Manager</u>	<u>Grant Kessler</u>	<u>7-23-02</u>	<u>Grant Kessler</u>	<u>8/22/02</u>
11. <u>Frank Austin</u>	<u>TCO</u>	<u>Frank Austin</u>	<u>7-23-02</u>	<u>Frank Austin</u>	<u>8/22/02</u>
12. <u>Mike Boyle</u>	<u>Unit Supervisor</u>	<u>Mike Boyle</u>	<u>7-23-02</u>	<u>Mike Boyle</u>	<u>8/22/02</u>
13. <u>Gay Niles</u>	<u>INSURANCE</u>	<u>Gay Niles</u>	<u>7-23-02</u>	<u>Gay Niles</u>	<u>8/22/02</u>
14. <u>Melody Masich</u>	<u>Melody Masich (Admin)</u>	<u>Melody Masich</u>	<u>8/13/02</u>	<u>Melody Masich</u>	<u>8/22/02</u>
15. <u>Samuel L. Hansell</u>	<u>NRC Resident Inspector</u>	<u>Samuel L. Hansell</u>	<u>8/13/02</u>	<u>Samuel L. Hansell</u>	<u>8/22/2002</u>

NOTES:

ES-201

Examination Security Agreement

Form ES-201-3 (R8, S1)

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/2002 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12 8/19. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
	1. Bruce A. Hennigan	Project Manager/Developer (WD)	<i>[Signature]</i>	8/12/02	<i>[Signature]</i>	8/28/02	
	2. Jeffery D. Morris	Facility Supervisor (SSES)	<i>[Signature]</i>	8/17/02			
	3. Terry H. Lagsdon	Exam Writer WD	<i>[Signature]</i>	8/29/02	Terry H. Lagsdon	8/29/02	
	4. WALTER W. HUNT	TECH REC / UTILITY REC	<i>[Signature]</i>	8/12/02		8/22/02	
	5. CAROL HESS	Regional Sim Inst	<i>[Signature]</i>	8/12/02		8/22/02	
# 0/0	6. Scott Diefenbacher	Computer Maint	<i>[Signature]</i>	8-12/02	Scott Diefenbacher	8/22/02	
015	7. Don Koenig	Computer Maint	<i>[Signature]</i>	8/12/02	Don Koenig	8/22/02	
002	8. Gary Keller	Computer Maint	<i>[Signature]</i>	8/12/02	Gary Keller	8/22/02	
009	9. Glenn Darden	Computer Maint	<i>[Signature]</i>	8/12/02	Glenn Darden	8/22/02	
024	10. Dennis Purley	Facility Operator	<i>[Signature]</i>	8/12/02	Dennis Purley	8/22/02	
019	11. Thomas P. Givens	Facility Operator	<i>[Signature]</i>	8-12-02	Thomas P. Givens	8-22-02	
	12. Donald E. Roland	Shift Supervisor	<i>[Signature]</i>	8-13-02	Donald E. Roland	8-22-02	
003	13. Nancy Kachubski	Operational Support	<i>[Signature]</i>	8-13-02	Nancy Kachubski	8-22-02	
016	14. Ronald Klein	Unit Supv.	<i>[Signature]</i>	8-30-02	Ronald Klein	8-22-02	
006	15. Gerald K. Bierhafer Jr.	PCO	<i>[Signature]</i>	8-20-02	Gerald K. Bierhafer Jr.	8-22-02	

NOTES:

Examination Security Agreement

Form ES-201-3 (R8)

31

Pre-Examination
 I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 08/12/2002 and I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as implemented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

Post-Examination
 To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/12/8.14. From the data that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE
Jeanine Kittell	Office Manager	Jeanine Kittell	2-19-02		
David S. Borek	Unit Supervisor	David S. Borek	5-30-02	David S. Borek	8-26-02
Stephan L. Hays	Paper Spinning Operator	Stephan L. Hays	5-31-02	Stephan L. Hays	8/22/02
Donald M. Kay	Plant Operator	Donald M. Kay	6-4-02	Donald M. Kay	8-24-02
Paul E. Horchewick	Plant Operator	Paul E. Horchewick	6/4/02	Paul E. Horchewick	8-12-02
Rich E. Chin	Audit Exam Trainer	Rich E. Chin	6-10-02	Rich E. Chin	8-24-02
Maikel J. Frey	Admin Support	Maikel J. Frey	6-12-02	Maikel J. Frey	8-26-02
Samuel M. Boyer	Exam Support	Samuel M. Boyer	6-12-02	Samuel M. Boyer	8-23-02
Frank J. Gwill	QA Inspector	Frank J. Gwill	7-17-02		
Grant Fernster	Shift Manager	Grant J. Fernster, Jr.	7/33/02	Grant J. Fernster, Jr.	8/27/02
Ernie Murin	QA	Ernie Murin	7/31/02		8/27/02
Mike Boyle	Unit Supervisor	Mike Boyle	7/31/02		8/27/02
Paul Walker	Inspector	Paul Walker	7/31/02		8/27/02
Melody Masich	Melody Masich (Admin)	Melody Masich	8/13/02	Melody Masich	8/27/02
Samuel L. Hansell	NRC Resident Inspector	Samuel L. Hansell	8/13/02	Samuel L. Hansell	8/27/02

NOTES:

Facility:		Date of Examination:		Operating Test Number:		
1. GENERAL CRITERIA				Initials		
				a	b*	c#
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	BM	WMT	B		
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	BM	WMT	B		
c.	The operating test shall not duplicate items from the applicants' audit test(s)(see Section D.1.a).	BM	WMT	B		
d.	Overlap with the written examination and between operating test categories is within acceptable limits.	BM	WMT			
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	BM	WMT	B		
2. WALK-THROUGH (CATEGORY A & B) CRITERIA				--	--	--
a.	Each JPM includes the following, as applicable: <ul style="list-style-type: none"> · initial conditions · initiating cues · references and tools, including associated procedures · reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee · specific performance criteria that include: <ul style="list-style-type: none"> - detailed expected actions with exact criteria and nomenclature - system response and other examiner cues - statements describing important observations to be made by the applicant - criteria for successful completion of the task - identification of critical steps and their associated performance standards - restrictions on the sequence of steps, if applicable 	BM	WMT	B		
b.	The prescribed questions in Category A are predominantly open reference and meet the criteria in Attachment 1 of ES-301.	BM	WMT	B		
c.	Repetition from operating tests used during the previous licensing examination is within acceptable limits (30% for the walk-through) and do not compromise test integrity.	BM	WMT	B		
d.	At least 20 percent of the JPMs on each test are new or significantly modified.	BM	WMT	B		
3. SIMULATOR (CATEGORY C) CRITERIA				--	--	--
a.	The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.	BM	WMT	B		
		Printed Name / Signature		Date		
a.	Author	Bruce A. Hennigan / <i>[Signature]</i>		6/10/02		
b.	Facility Reviewer(*)	WALTER W. HUNT / <i>[Signature]</i>		6/13/02		
c.	NRC Chief Examiner (#)	* Alan Blaney / <i>[Signature]</i>		7/11/02		
d.	NRC Supervisor	for R. Conte / <i>[Signature]</i>		8/2/02		
NOTE: * The facility signature is not applicable for NRC-developed tests. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required.						

* With comments

Facility: <u>SSES</u>		Date of Exam: <u>8/12/02</u>		Scenario Numbers: <u>11213</u>		Operating Test No.: <u>1415</u>	
QUALITATIVE ATTRIBUTES				Initials			
				a	b*	c#	
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	all	WAT	WAT	WAT	WAT	WAT
2.	The scenarios consist mostly of related events.	all	WAT	WAT	WAT	WAT	WAT
3.	Each event description consists of . the point in the scenario when it is to be initiated . the malfunction(s) that are entered to initiate the event . the symptoms/cues that will be visible to the crew . the expected operator actions (by shift position) . the event termination point (if applicable)	all	WAT	WAT	WAT	WAT	WAT
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	all	WAT	WAT	WAT	WAT	WAT
5.	The events are valid with regard to physics and thermodynamics.	all	WAT	WAT	WAT	WAT	WAT
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	all	WAT	WAT	WAT	WAT	WAT
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	all	WAT	WAT	WAT	WAT	WAT
8.	The simulator modeling is not altered.	all	WAT	WAT	WAT	WAT	WAT
9.	The scenarios have been validated. Any open simulator performance deficiencies have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	all	WAT	WAT	WAT	WAT	WAT
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.4 of ES-301.	all	WAT	WAT	WAT	WAT	WAT
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	all	WAT	WAT	WAT	WAT	WAT
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	all	WAT	WAT	WAT	WAT	WAT
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	all	WAT	WAT	WAT	WAT	WAT
TARGET QUANTITATIVE ATTRIBUTES (PER SCENARIO; SEE SECTION D.4.D)		Actual Attributes	--	--	--	--	--
1.	Total malfunctions (5-8)	8/9/6/6/5	all	WAT	WAT	WAT	WAT
2.	Malfunctions after EOP entry (1-2)	2/4/3/2/2	all	WAT	WAT	WAT	WAT
3.	Abnormal events (2-4)	5/3/3/2/3	all	WAT	WAT	WAT	WAT
4.	Major transients (1-2)	1/1/1/1/1	all	WAT	WAT	WAT	WAT
5.	EOPs entered/requiring substantive actions (1-2)	2/2/2/2/3	all	WAT	WAT	WAT	WAT
6.	EOP contingencies requiring substantive actions (0-2)	0/2/2/1/1	all	WAT	WAT	WAT	WAT
7.	Critical tasks (2-3)	3/5/4/2/2	all	WAT	WAT	WAT	WAT

OPERATING TEST NO.: SRO-I #1

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2	4	
RO	Reactivity	1				
	Normal	1				
	Instrument / Component	4				
	Major	1				

As RO	Reactivity	1			4	
	Normal	0		1		
	Instrument / Component	2		5,7 9,10	3,5, 7	
	Major	1		6	6	
SRO-I	Reactivity	0				
	Normal	1	1			
	Instrument / Component	2	2,3 4,5 8,10			
	Major	1	9			
As SRO	Reactivity	0				
	Normal	1	1			
	Instrument / Component	2	2,3 4,5 8,10			
	Major	1	9			

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
 (2) Reactivity manipulations may be conducted under normal or *controlled abnormal conditions* (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard C. Chi

NRC Reviewer:

[Signature]

OPERATING TEST NO.: SRO-I #2

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2	3	
RO	Reactivity	1				
	Normal	1				
	Instrument / Component	4				
	Major	1				

As RO	Reactivity	1	6			
	Normal	0			1	
	Instrument / Component	2	4,5,3		4,5 7,8	
	Major	1	9		6	
SRO-I	Reactivity	0		2		
	Normal	1		1		
As SRO	Instrument / Component	2		3,4,5, 7,8 9,10		
	Major	1		6		

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
 (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard E. Chi

NRC Reviewer:

[Signature]

OPERATING TEST NO.: SRO-I #3

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	3	4	
RO	Reactivity	1				
	Normal	1				
	Instrument / Component	4				
	Major	1				

As RO	Reactivity	1	6		4	
	Normal	0			1	
	Instrument / Component	2	4,5,3		3,5 7,2,8	
	Major	1	9		6	
SRO-I	Reactivity	0		2		
	Normal	1		1		
	Instrument / Component	2		3,4 5,7 8		
	Major	1		6		

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
- (2) Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard E. Chi

NRC Reviewer:

W. R. [Signature]

OPERATING TEST NO.: SRO-I #4

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2	3	
RO	Reactivity	1				
	Normal	1				
	Instrument / Component	4				
	Major	1				

As RO	Reactivity	1	8		2	
	Normal	0	1		1	
	Instrument / Component	2	1,5,2 3,7,8,10		1,5,3 7,8	
	Major	1	9		6	
SRO-I	Reactivity	0		2		
	Normal	1		1		
	Instrument / Component	2		3,4,5 7,8 9,10		
	Major	1		6		

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
 (2) Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard E. Chi

NRC Reviewer:

QIBS

OPERATING TEST NO.: RO #1

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2		
RO	Reactivity	1	6			
	Normal	1		1		
	Instrument / Component	4	2,3 4,5	5,7 9,10		
	Major	1	9	6		

As RO	Reactivity	1				
	Normal	0				
	Instrument / Component	2				
	Major	1				
SRO-I	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
 (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard E. Oki

NRC Reviewer:

W. D. S. 7

OPERATING TEST NO.: RO #2

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2		
RO	Reactivity	1		2		
	Normal	1	1			
	Instrument / Component	4	2,3 8,10	3,4 5		
	Major	1	9	6		

As RO	Reactivity	1				
	Normal	0				
	Instrument / Component	2				
	Major	1				
SRO-I	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
 (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard E. Ovi

NRC Reviewer:

[Signature]

OPERATING TEST NO.: RO #3

Applicant Type	Evolution Type	Minimum Number	Scenario Number			
			1	2		
RO	Reactivity	1		2		
	Normal	1	1			
	Instrument / Component	4	2,3 8,10	3,4 5		
	Major	1	9	6		

As RO	Reactivity	1				
	Normal	0				
	Instrument / Component	2				
	Major	1				
SRO-I	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				
As SRO	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

SRO-U	Reactivity	0				
	Normal	1				
	Instrument / Component	2				
	Major	1				

- Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.
- (2) Reactivity manipulations may be conducted under normal or *controlled abnormal conditions* (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Richard C. Chi

NRC Reviewer:

W. R. S.

ES-301 Competencies Checklist Form ES-301-6

Competencies	Applicant #1 RO/SRO-U/SRO-U				Applicant #2 RO/SRO-U/SRO-U				Applicant #3 RO/SRO-U/SRO-U			
	SCENARIO				SCENARIO				SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	2,3,4,7	3,7,8,10		5,6	3,7	3-6,10	4,5,7		3,7		3-5	2,3,7,8
Diagnose Events and Conditions	2,4,7,9	7-10		6	3-5	3-5,10	4,5,7,8		3-5		3-4	2,3,7,8
Understand Plant and System Response	4,7,9	3,7-10		3,5,6	3,4,7	3-6,8-10	4-8		3,4,7		3,5,6	3,5,6,7
Comply With and Use Procedures (1)	2,6,7,9	3,7,10		4,6	3,5-7	2,4,6	1,4,5,8		3,5-7		2,4-6	1,3,7
Operate Control Boards (2)	N/A	3,7,9		4,6	6,7	N/A	5-8		6,7		N/A	6-8
Communicate and Interact With the Crew	2,3,4,6,7,10	3,6-10		4-6	3-7	1-6	4-8		3-7		2-6	2,3,6-8
Demonstrate Supervisory Ability (3)	3-7,9	N/A		N/A	N/A	3,5,6,7,10	N/A		N/A		2,3,5,6	N/A
Comply With and Use Tech. Specs. (3)	2	N/A		N/A	N/A	4,5	N/A		N/A		4	N/A

Notes:

- (1) Includes Technical Specification compliance for an RO.
- (2) Optional for an SRO-U.
- (3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Chief Examiner:

R. E. Chin
W-127

ES-301 Competencies Checklist Form ES-301-6

Competencies	Applicant #14 RO/SRO-I/SRO-U				Applicant #25 RO/SRO-I/SRO-U				Applicant #36 RO/SRO-I/SRO-U			
	SCENARIO				SCENARIO				SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	2 7 8	3-6 10	4 5 7		3 7	3,7 8,10			2 7 8	2-6 8		
Diagnose Events and Conditions	2,7 8,10	3-5 10	4,5 7,8		3-5	7-10			2,7 8,10	3-6		
Understand Plant and System Response	1,2 7,8 10	3,6 8-10	4-8		3 4 7	3 7-10			1,2 7,8 10	2-6		
Comply With and Use Procedures (1)	1,2 7-9	2 4-6	1,4 5,8		3 5-7	3,7 9,10			1,2 7-9	2-4 6		
Operate Control Boards (2)	1,7 8,10	N/A	1 5-8		6,7	3 7 9			1,7 8,10	2,4 6		
Communicate and Interact With the Crew	2 7-10	1-6	4-8		8-7	3 6-10			2 7-10	2-6		
Demonstrate Supervisory Ability (3)	N/A	3,5 6,7 10	N/A		N/A	N/A			N/A	N/A		
Comply With and Use Tech. Specs. (3)	N/A	4,5	N/A		N/A	N/A			N/A	N/A		

Notes:

(1) Includes Technical Specification compliance for an RO.
 (2) Optional for an SRO-U.
 (3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author: P. E. Chin
 Chief Examiner: [Signature]

ES-301 Competencies Checklist Form ES-301-6

Competencies	Applicant #17 RO/SRO-I/SRO-U				Applicant #28 RO/SRO-I/SRO-U				Applicant #3 RO/SRO-I/SRO-U			
	SCENARIO				SCENARIO				SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	2 7 8	2-6 8			2,3 4,7							
Diagnose Events and Conditions	2,7 8,10	3-6			2,4 7,9							
Understand Plant and System Response	1,2 7,8 10	2-6			4,7 9							
Comply With and Use Procedures (1)	1,2 7-9	2-4 6			2,6 7,9							
Operate Control Boards (2)	1,7 8,10	2,4 6			N/A							
Communicate and Interact With the Crew	2 7-10	2-6			2-4 6,7 10							
Demonstrate Supervisory Ability (3)	N/A	N/A			3-7 9							
Comply With and Use Tech. Specs. (3)	N/A	N/A			2							

Notes:

(1) Includes Technical Specification compliance for an RO.
 (2) Optional for an SRO-U.
 (3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Chief Examiner:

R.E. Chir
Chir

Facility:		Date of Exam:		Exam Level: RO/SRO				
Item Description				Initial				
				a	b*	c#		
1.	Questions and answers technically accurate and applicable to facility			⊗	WHA	⊗		
2.	a. NRC K/As referenced for all questions b. Facility learning objectives referenced as available			⊗	WHA	⊗		
3.	RO/SRO overlap is no more than 75 percent, and SRO questions are appropriate per Section D.2.d of ES-401			⊗	WHA	⊗		
4.	Question selection and duplication from the last two NRC licensing exams appears consistent with a systematic sampling process					⊗ (2)		
5.	Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: <input checked="" type="checkbox"/> the audit exam was systematically and randomly developed; or <input type="checkbox"/> the audit exam was completed before the license exam was started; or <input type="checkbox"/> the examinations were developed independently; or <input type="checkbox"/> the licensee certifies that there is no duplication; or <input type="checkbox"/> other (explain)			⊗	WHA	⊗		
6.	Bank use meets limits (no more than 75 percent from the bank at least 10 percent new, and the rest modified); enter the actual question distribution at right	Bank	Modified	New	⊗	WHA	⊗	
		RO/SRO 21/17	RO/SRO 18/14	RO/SRO 61/69				
7.	Between 50 and 60 percent of the questions on the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right	Memory		CIA		⊗	WHA	⊗
		RO/SRO 47/45	RO/SRO 53/55					
8.	References/handouts provided do not give away answers			⊗	WHA	⊗		
9.	Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the Tier to which they are assigned; deviations are justified			⊗	WHA	⊗		
10.	Question psychometric quality and format meet ES, Appendix B, guidelines			⊗	WHA	⊗		
11.	The exam contains 100, one-point, multiple choice items; the total is correct and agrees with value on cover sheet			⊗	WHA	⊗		
a. Author				Printed Name / Signature		Date		
JEFF D. MORRIS				JEFF D. MORRIS		6/20/02		
b. Facility Reviewer (*)				WALTER W. HUNT		6/20/02		
c. NRC Chief Examiner (#)				Alan Blaney		7/11/02		
d. NRC Regional Supervisor				John G. Caruso		8/7/02		
<p>Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required.</p>								

Facility:		Date of Exam:		Exam Level: RO/SRO		
Item Description				Initials		
				a	b	c
1.	Answer key changes and question deletions justified and documented	REC	<i>[Signature]</i>	REC		
2.	Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	REC	<i>[Signature]</i>	REC		
3.	Grading for all borderline cases (80% +/- 2%) reviewed in detail	N/A REC	NA <i>[Signature]</i>	NA REC		
4.	All other failing examinations checked to ensure that grades are justified	N/A REC	NA <i>[Signature]</i>	NA REC		
5.	Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	REC	<i>[Signature]</i>	REC		

	Printed Name / Signature	Date
a. Grader	<u>Richard E. Chin / Rich E. Chin</u>	<u>8-22-02</u>
b. Facility Reviewer(*)	<u>Charles W. Hess / Charles W. Hess</u>	<u>8/22/02</u>
c. NRC Chief Examiner (*)	<u><i>[Signature]</i></u>	<u>8/27/02</u>
d. NRC Supervisor (*)	<u>Richard J. Conte / Richard J. Conte</u>	<u>9/11/02</u>

(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.