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**BECHTEL SAIC COMPANY, LLC (BSC) QUALITY ASSURANCE (QA) SURVEILLANCE
REPORT BSCQA-02-S-22 FOR THE REVIEW OF OUT OF CALIBRATION REPORTS
(OCRs)**

Enclosed is the Surveillance Report BSCQA-02-S-22, conducted by the BSC QA Organization on May 9 - June 3, 2002, at the U.S. Geological Survey offices in Denver, Colorado.

The scope of the surveillance was to review the USGS OCRs covering 2000-2002 to evaluate compliance with requirements in Administrative Procedure AP-12.1Q, *Control of Measuring and Test Equipment and Calibration Standards*.

The surveillance resulted in the issuance of one condition adverse to quality. Deficiency Report (DR) USGS(B)-02-D-137 identifies that contrary to AP-12.1Q, Revision 0, ICN 2, OCRs are not being adequately completed.

This surveillance is considered complete and closed as of the date of this letter. A response to this surveillance report is not required.

If you have any questions, please contact either Donna J. Sinks (303) 236-5050 Ext. 294 or Robert P. Keele at (702) 295-2808.




Donald T. Krishna, Manager
Quality Assurance

6/14/02

Date Signed

RFH:bw-0613022978

Enclosure:
Surveillance Report BSCQA-02-S-22



June 14, 2002

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OFFICE OF CIVILIAN RADIOACTIVE WASTE MANAGEMENT
QUALITY ASSURANCE SURVEILLANCE REPORT

QA: QA
Page 1 of 1
QA Surveillance Number:
BSCQA-02-S-22

Complete only applicable items.

1. Organization/Location U.S. Geological Survey (USGS), Denver, CO	2. Subject Completion of Out of Calibration Reports (OCRs)	3. Date(s) Performed 05/09 through 06/03/2002
4. Surveillance Scope Evaluate compliance of USGS OCRs covering 2000-2002 to requirements of Administrative Procedure (AP) AP-12.1Q		
5. Requirement(s) (Procedure, Specification, Drawing, etc.) AP-12.1Q, Revision 0, ICN 2, Control of Measuring and Test Equipment and Calibration Standards, Sections 5.7, 5.8 & 6.0, and Attachment 6		6. Originator Donna J. Sinks, BSC/QA Team Members N/A

SURVEILLANCE RESULTS


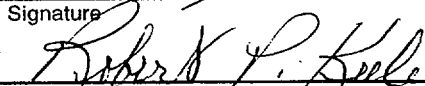

7. Description/Details
Twenty closed USGS OCRs were examined for compliance with the governing AP-12.1Q. An initial sampling of 39 OCRs was selected from the list of 125 closed USGS OCRs covering 2000-2002. The OCRs were examined for inclusion of the required information, proper processing, and where appropriate, submittal to the Records Processing Center (RPC). The log of OCRs is being maintained properly by the USGS. After examining 20 of the 39 OCRs, it was determined that there were problems with all 20 OCRs and that it was not necessary to examine anymore of the original sampling. Twelve of the 20 OCRs examined should have already been submitted to the RPC. These 12 were closed on or before 01/11/2001 to allow for submittal of the OCR as part of a records package to the RPC and the accessioning (1 year + accessioning time). Only six of these 12 could be found in the Records Information System (RIS). None of the 20 OCRs examined indicated that a nonconformance report (NCR) was needed, however, three of the OCRs were closed prior to the equipment being recalibrated (2000-010, 2001-025 and 2002-010), therefore if a NCR was needed, it could not have been determined. These three originally should have been initiated as NCRs (prior to the OCR option): 2000-032, 2001-024 and 2001-032. The remaining 14 OCRs were properly evaluated for impact.

Attachment 1 of this Surveillance Report includes the OCRs examined and the associated Conditions Adverse to Quality (CAQ) with each OCR. Not all potential CAQ were identified during the surveillance. Although there are no specific time requirements for initiating an OCR once a problem is identified, there were several OCRs initiated weeks or months after the problem should have been identified. This issue is also included in Attachment 1 for the applicable OCRs.

8. Persons (and their organizations) Contacted T. Chaney, USGS P. Sheaffer, USGS/Epsilon-Stoller Team M. Mustard, USGS C. Savard, USGS P. Motyl, USGS/Epsilon-Stoller Team	M. Chornack, USGS Wm. Scott, USGS D. Hudson, USGS B. Parks, USGS	9. CAQ/NCR/TE Issued <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Recommendation Issued <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	CAQ/NCR/TE Number(s): DR USGS(B)-02-D-137 CIRS Number(s): N/A
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10. Surveillance Conclusions SAT UNSAT

All 20 OCRs examined had at least one associated CAQ with most having several problems. Not all of the CAQs impacted the quality of the closed OCRs. In addition, six of the 12 OCRs that should have been submitted to RPC could not be found in RIS after a reasonable search was made. It is apparent that the current USGS system for properly processing the OCRs needs considerable improvement.

11. Completed By (Originator) (Print Name) Donna J. Sinks	Signature 	Date 6/10/02
12. Reviewed By (Appropriate QA Manager) (Print Name) Robert P. Keele	Signature 	Date 6/12/02
13. Approved By (QVM) (Print Name) Robert F. Hartstern	Signature 	Date 6/12/02

Attachment 1

OCR #	Closed	Instrument	Serial #	Accession #	Deficiencies/Comments
USGS-2000-003 (USGS OCR-2000-003)	3/29/2000	T&Rh probe	S0710019	MOL.20010531.0026	block 5 information partially obliterated by "Attachment G" sticker; imaging at RPC obliterated block #s 12-14; block 12 dated 2/18/00 & 3/29/00, & indicates equipment being recalibrated, when it was really calibrated 1/18/00; block 13 not dated (equipment was recalibrated); missing OCR # on pg. 2
USGS-2000-006	2/22/2000	Sopris cable	1532	MOL.20000830.0077	cable broke on 9/23/99, recalibrated on 10/99, but OCR initiated 5 months later (2/16/00)no reference to who did calibration and per what procedure; no indication of application of out of service tag or segregation
USGS-2000-010	5/18/2000	Alcove #1 balance	16400-1	could not find in RIS	OCR closed prior to equipment recal. (equipment to remain in place until experiment over); block 12 signatures on incorrect lines
USGS-2000-015	4/20/2000	Rotronics T/Rh Recorder	28250	MOL.20010712.0008	header: QA designator missing in header; block 10: unknown where oven is located or what the oven was used for; block 13: no date; no indication of application of out of service tag or segregation; OCR form submitted as part of calibration documentation, all as individual record. Either cal. documentation should have been a records package (including the OCR), or the OCR sent as an individual record
USGS-2000-019	4/27/2000	21X datalogger	13554	could not find in RIS	QA designator missing in header; location, function/use and activity/job information should be in block 10, not block 11
USGS-2000-024	6/12/2000	10X datalogger	6/12/00	could not find in RIS	reference to OCR dated 6/2/00 in block 1, so this should have been Rev. 1 of OCR; location of equipment missing in block 10; function/use and activity/job scope recorded in wrong block
USGS-2000-030	8/9/2000	transducers	9811 and 9813	could not find in RIS	decision to not remove instrument to recalibrate: no reason stated, except 6 weeks left of test; OCR written 8 months after calibration due date passed: untimely initiation of OCR; location, job scope, function/use missing in block 10
USGS-2000-032	8/10/2000	T/RH probe	28252	MOL.20010619.0109	OCR written for a problem that occurred in 1994: NCR should have been written in 1994; when discovered in 8/00, a DR should have been written for not initiating an NCR; location missing from block 10; function/use and activity/job scope recorded in wrong block
USGS-2000-036	8/21/2000	Sartorius balance	10900849	MOL.20001030.0039	no reference to source of tolerance in block 10 (technical procedure? SN?); which procedure states that only reported to 2 decimal places?; recommendation missing from block 12; no indication of application of out of service tag or segregation
USGS-2000-039	8/24/2000	balance	80106554	could not find in RIS	QA designator in header missing; untimely initiation of OCR (6 months after cal. done); no designation of where "specified control limit" in block 10 is specified; location, activity/job scope missing from block 10; no approval in block 12; no approval in block 14

Attachment 1

OCR #	Closed	Instrument	Serial #	Accession #	Deficiencies/Comments
USGS-2000-042	10/17/2000	uranium spike concentrate	Uc	MOL.20010409.0062	location missing from block 10; block 12 lacks signature of RI; untimely initiation of OCR (4 months after calibration)
USGS-2001-003	1/11/2001	Campbell Scientific CR7 datalogger	1653	could not find in RIS	took 6 weeks to generate OCR: untimely initiation; function/use missing from block 10; what technical procedure or SN used?
USGS-2001-017	9/7/2001	VG Multipre/Optima MS	SC-16	NA	block 4 incorrectly labeled as block 3; untimely initiation of OCR (6 months after calibration); location, activity/job scope missing in block 10
USGS-2001-024	4/18/2001	Paroscientific pressure transducers	59666, 59653, 60460	MOL.20010712.0246	problem occurred in 1996-7; NCR should have been initiated; since NCR not initiated, DR should have been initiated, not an OCR (which was not available in 1996-97)
USGS-2001-025	5/2/2001	Vaisala T/rH probe	U0140009	NA	block 10 missing activity/job scope; block 12 recommendation should have stated that equipment will be calibrated and data checked after recalibrated; block 14 should not have been closed out until recalibration completed after removal of probe from behind bulkhead; no indication of application of out of service tag at bulkhead
USGS-2001-032	7/20/2001	Sartorius balance	3404009	NA	QA designator missing in header; untimely initiation of OCR (6 months after calibration); NCR should have been initiated for 6/29/99 calibration (prior to OCR process); block 10 missing location; no indication of application of out of service tag or segregation
USGS-2001-043	10/17/2001	Fluke digital thermometer & thermocouple	4560341, SV00002593	NA	block 12 block # missing; approval disposition on 10/17/01, after completion of block 13 (10/15/01), approval disposition date should have been on/before 10/15/01; no indication of application of out of service tag or segregation
USGS-2002-003	2/6/2002	B-TEK/GSE scale	20018-5/321685	MOL.20020214.0148	block 4 incorrectly labeled as block 3; block 12 should probably have stated that equipment would remain in use; no indication of application of out of service tag
USGS-2002-010	1/22/2002	mass spec	MAT251/kiel	MOL.20020416.0218	block 7 "Q" not checked; equipment not on USGS cal. register; block 10: when did acid delivery system fail?; which 200 analyses performed?; "approximately" 40 standards analyzed, can't exact # be provided, or a list?; block 12: no equipment recommendation provided; unlikely that equipment will undergo closing calibration
USGS-2002-011	2/6/2002	Keithley 182 nanovoltmeter	0567215	NA	block 11: lacks signature of Responsible Individual; out of service tag or segregation should have been done while calibration results evaluated