



QA: QA

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BECHTEL SAIC COMPANY, LLC (BSC) QUALITY ASSURANCE (QA) SURVEILLANCE
REPORT BSCQA-02-S-14, REVIEW OF MEASURING AND TEST EQUIPMENT (M&TE)
ACCEPTANCE REPORTS SUBMITTED TO RECORDS PROCESSING CENTER

Enclosed is the Surveillance Report BSCQA-02-S-14, conducted by BSC QA on
May 13-16, 2002 in Las Vegas, Nevada with input from the National Laboratory.

The scope of the surveillance was to evaluate M&TE Acceptance Reports submitted to Records
Processing Center (RPC) by National Laboratories between 10/08/2001 and present. The
surveillance resulted in the issuance of Deficiency Report BSC(B)-02-D-130 identifying a failure
to use Procurement Document numbers when submitting M&TE Acceptance Reports to RPC as
required by Administrative Procedure 7.7Q, *Acceptance of Items and Services*.

This surveillance is considered complete and closed as of the date of this letter. A response to
this surveillance report is not required.

If you have any questions, please contact either John E. Timmons at (702) 295-4118 or
Robert P. Keele at (702) 295-2808.

A handwritten signature in cursive script that reads 'D. T. Krishna'.

Donald T. Krishna, Manager
Quality Assurance

6/11/02

Date Signed

RFH:bw-0610022951

Enclosure:
Surveillance Report BSCQA-02-S-14

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6/11/02

June 11, 2002

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cc w/encl:

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cc w/encl:

R. P. Keele, BSC, Las Vegas, NV
L. W. McGrath, BSC, Las Vegas, NV
J. E. Timmons, BSC, Las Vegas, NV

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RW-1

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OFFICE OF CIVILIAN RADIOACTIVE WASTE MANAGEMENT
QUALITY ASSURANCE SURVEILLANCE REPORT

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QA Surveillance Number:
BSCQA-02-S-14

Complete only applicable items.

1. Organization/Location Engineered Systems, Las Vegas, NV	2. Subject Measuring & Test Equipment (M&TE) Acceptance Reports Submitted to Records Processing Center (RPC)	3. Date(s) Performed 05/13-16/2002
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4. Surveillance Scope
National Laboratories: Sandia (SNL), Lawrence Livermore (LLNL), Lawrence Berkley (LBNL), and Los Alamos (LANL). Review Acceptance Reports submitted to RPC between 10/08/2001 to Present.

5. Requirement(s) (Procedure, Specification, Drawing, etc.) Administrative Procedure AP-7.7Q, Acceptance of Items and Services, Sections 5.4 and 6.0, and applicable Procurement Documents (PDs) requirements: 24540-805-PO-002524, A20237CC1X, and B001868CC2X	6. Originator John E. Timmons
	Team Members Lawrence W. McGrath

SURVEILLANCE RESULTS

7. Description/Details
Six Acceptance Reports, representing 110 Calibration Reports, were reviewed for compliance to the applicable PDs and the governing procedure, AP-7.7Q. Acceptance Reports submitted by the National Laboratories from 10/08/2001 to present were the focus of this surveillance, to evaluate the newly added procedural responsibility for the M&TE Custodian to accept M&TE at their respective laboratories. All six Acceptance Reports reviewed were found to be compliant to the applicable PD. Five of these Acceptance Reports did not comply with AP-7.7Q, Section 6, which requires Acceptance Reports be entered into records using the PD number as the Traceability Designator. The following Acceptance Reports were entered using Work Instruction (WI) numbers or other numbers unique to the laboratory submitting the records, instead of the PD numbers as required:

MOL.20011210.0004, Acceptance Report for Calibration Services, Supplier Bechtel Nevada (entered using TCO-WI-Cal-0040R00)
MOY-020403-21, Acceptance Report for Calibration Services, Supplier Micro Precision Calibration (entered using LLYM203024)
MOL.20020222.0144, Acceptance Report for Calibration Services, Supplier Bechtel Nevada (entered using WICAL0020R3)
MOL.20020222.0132, Acceptance Report for Calibration Services, Supplier Bechtel Nevada (entered using WICAL0016R0)
MOL.20020314.0244, Acceptance Report for Calibration Services, Supplier Bechtel Nevada (entered using WICAL0062R0)

See Page 2 for a listing of the specific Acceptance Reports by RPC Accession numbers, which were the basis for this Surveillance.

8. Persons (and their organizations) Contacted Ron Taylor, SNL Jim Graff, SNL Tanya Reshel, LLNL Jim Young, LANL Melani Menendez-Barreto, LBNL	9. CAQ/NCR/TE Issued <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	CAQ/NCR/TE Number(s): DR BSC(B)-02-D-130
	Recommendation Issued <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	CIRS Number(s): N/A

10. Surveillance Conclusions SAT UNSAT

The six Acceptance Reports reviewed satisfied the PD requirements, but did not satisfy the procedure requirement. The fact that Acceptance Reports were not being submitted to RPC using the PD as the Traceability Designator, as required by AP-7.7Q, Section 6, does not impact the quality of the Acceptance Reports submitted. All six Acceptance Reports reviewed met the PD requirements. Traceability of the PD could be established indirectly through the WI number, or by the PD number found on each Calibration Report. The requirement in AP-7.7Q was to have all the records submitted using the PD as the Traceability Designator to link each Acceptance Report to the applicable procurement for ease in retrievability of the records and to have applicable records retrievable using one number.

11. Completed By (Originator) (Print Name) John E. Timmons	Signature 	Date 6/10/02
12. Reviewed By (Appropriate QA Manager) (Print Name) Robert P. Keele	Signature 	Date 6/10/02
13. Approved By (QVM) (Print Name) Robert F. Hartstern	Signature 	Date 6/10/02

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QUALITY ASSURANCE SURVEILLANCE REPORT

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Complete only applicable items.

1. Organization/Location	2. Subject	3. Date(s) Performed
Engineered Systems, Las Vegas, NV	Measuring & Test Equipment (M&TE) Acceptance Reports Submitted to Records Processing Center (RPC)	05/13-16/2002

BLOCK 7. Description/Details (Continued):

The following Acceptance Reports were reviewed for compliance to the applicable procurement document and AP-7.7Q, Sections 5.4 and 6.0:

SANDIA NATIONAL LABORATORY (SNL):

MOL.20020506.1361, Acceptance Report for Calibration Services, Supplier Geokon
MOL.20020506.1008, Records Package Table of Contents (4 pages)
MOL.20020506.1362 through MOL.20020506.1451, Calibration Reports

MOL.20011210.0004, Acceptance Report for Calibration Services, Supplier Bechtel Nevada
MOL.20011210.0001, Records Package Table of Contents
MOL.20011210.0005 through MOL.20011210.0006, Calibration Reports

LAWRENCE LIVERMORE NATIONAL LABORATORY (LLNL):

MOY-020403-21, Acceptance Report for Calibration Services, including Calibration Reports, Supplier Micro Precision Calibration, Inc. Found in Records processing Index File. Not yet assigned an Accession number by RPC. Records Package Table of Contents.

LAWRENCE BERKLEY NATIONAL LABORATORY (LBNL):

MOL.20020222.0144, Acceptance Report for Calibration Services, including Calibration Reports, Supplier Bechtel Nevada
MOL.20020222.0143, Records Package Table of Contents

MOL.20020222.0132, Acceptance Report for Calibration Services, including Calibration Reports, Supplier Bechtel Nevada
MOL.20020222.0131, Records Package Table of Contents

MOL.20020314.0244, Acceptance Report for Calibration Services, including Calibration Reports, Supplier Bechtel Nevada
MOL.20020314.0244, Records Package Table of Contents

LOS ALAMOS NATIONAL LABORATORY (LANL):

Per the M&TE Custodian, no Acceptance Reports had been submitted within the time frame and scope of the Surveillance. A search of the Records Information System RIS-Web indicated that the last submittal was made on 09/24/2001, Acceptance Report, MOL.20010924.0082 and Record Table of Contents, MOL.20010924.0080. This Acceptance Report was not included in this Surveillance.