

ORIGINAL

IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE

40-7580

In Re:	)	Chapter 11
	)	
FANSTEEL, INC., <u>et al.</u> , <sup>1</sup>	)	02-10109 (JJF)
	)	
Debtors.	)	(Jointly Administered)
	)	
	)	Hearing Date: TBD
	)	Objection Deadline: May 20, 2002 at 4:00 p.m.

**RESPONSE OF THE OFFICIAL COMMITTEE OF UNSECURED CREDITORS TO  
THE UNITED STATES TRUSTEE'S OBJECTION TO THE FIRST INTERIM  
APPLICATION OF THE OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
FOR REIMBURSEMENT OF COMMITTEE MEMBERS' EXPENSES  
UNDER 11 U.S.C. § 503(b)(3)(F) [DOCKET NO. 231]**

The Official Committee of Unsecured Creditors (the "Committee") submits this Response to the United States Trustee's Objection to the First Interim Application of the Official Committee of Unsecured Creditors for Reimbursement of Committee Members' Expenses Under 11 U.S.C. § 503(b)(3)(F) [Docket No. 231] (the "Response"). In support of the Response, the Committee respectfully states as follows:

**BACKGROUND**

1. On January 15, 2002 (the "Petition Date"), the Debtors commenced their respective reorganization cases ("Cases") by filing voluntary petitions for relief under Chapter 11 of Title 11 of the United States Code, 11 U.S.C. § 101 *et seq.* (the "Bankruptcy Code"), in the United States Bankruptcy Court for the District of Delaware (the "Court").

<sup>1</sup>The Debtors are the following entities: Fansteel Inc., Fansteel Holdings, Inc., Custom Technologies Corp., Escast, Inc., Wellman Dynamics Corp., Washington Mfg. Co., Phoenix Aerospace Corp., American Sintered Technologies, Inc., and Fansteel Schultz Products, Inc.

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2. The Debtors are continuing in possession of their respective properties and are operating and managing their businesses as debtors-in-possession pursuant to Sections 1107 and 1108 of the Bankruptcy Code.

3. On January 28, 2002, the Office of the United States Trustee (the "Trustee") held a meeting (the "Formation Meeting") in Wilmington, Delaware, with a number of the largest top twenty creditors in these Cases for the purpose of forming an Official Committee of Unsecured Creditors (the "Committee"). After consulting with each of the creditors interested in serving on the Committee, the Trustee selected the following creditors to serve: The Northern Trust Company, M&I Marshall & Ilsley Bank, HC Starck Company, OMG Americas, Inc., Metalwroking Products/Allvac, Liberty Pattern Company, Inc., and Reade Manufacturing (collectively, the "Committee Members").

4. The Committee Members traveled to Wilmington, Delaware to attend the Formation Meeting, and incurred expenses in so doing, not in pursuit of their own interests as individual creditors, but in order to serve as members of the Committee, to the ultimate benefit of all creditors in these cases.

5. After the Formation Meeting, the Committee Members met to discuss numerous issues in the Cases including the selection of professionals, pending motions, the status of the Cases and prospects for reorganization. The Committee Members subsequently selected Klett Rooney Lieber & Schorling ("Klett Rooney") and Freeborn & Peters ("Freeborn") as its co-counsel and Navigant Consulting ("Navigant") as its financial advisor.

6. On April 30, 2002, the Committee filed the First Interim Application of the Official Committee of Unsecured Creditors For Reimbursement of Committee Members' Expenses Pursuant to 11 U.S.C. § 503(b)(3)(F) [Docket No. 169] (the "Application").

7. On May 23, 2002, the Trustee filed the United States Trustee's Objection to the First Interim Application of the Official Committee of Unsecured Creditors for Reimbursement of Committee Members' Expenses Under 11 U.S.C. § 503(b)(3)(F) (Docket No. 169) [Docket No. 231] (the "Objection"). The Trustee objects to the Application on the basis that the Committee Members should not be reimbursed for their expenses in attending the Formation Meeting because any expenses incurred by the Committee Members to attend the Formation Meeting "were sunk expenses of doing business." See Objection para. 7. The Committee Members' expenses, totaling \$8,476.33, should be approved and paid by the estate because they were "incurred in the performance of the duties of the committee." 11 U.S.C. 503(b)(3)(F).

#### **JURISDICTION**

8. This Court has jurisdiction over the Application under 28 U.S.C. § 1334. This matter is a core proceeding within the meaning of 28 U.S.C. § 157(b)(2)(A) and (M).

9. The statutory bases for the relief requested herein are Sections 105(a), and § 503(b)(3)(F) of the Bankruptcy Code.<sup>2</sup>

#### **RESPONSE**

10. Section 503 provides in pertain part:

(b) After notice and a hearing, there shall be allowed administrative expenses, other than claims allowed under section 502(f) of this title - including - ....

(3) the actual, necessary expenses, other than compensation and reimbursement specified in paragraph (4) of this subsection, incurred by -  
(F) a member of a committee appointed under section 1102 of this title, if such expenses incurred in the performance of the duties of such committee.

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<sup>2</sup> Alternatively, this Court could approve the Committee Members' expenses pursuant to Section 503(b)(3)(D) as a "substantial contribution."

11. The Committee Members' expenses are compensable under 11 U.S.C. § 503(b)(3)(F). After the Formation Meeting, all of the Committee Members met and selected Klett Rooney and Freeborn to be its attorneys and Navigant as its financial advisors pursuant to 11 U.S.C. § 1103. In addition to selecting its professionals, the Committee Members began to discuss the issues presented in this case and began to implement a strategy for recovering the best possible recovery for all unsecured creditors in these Cases - all of which are clearly powers and duties of the Committee Members under 11 U.S.C. § 1103(a) and (c).

12. Therefore, the Trustee's objection is misplaced in that the Committee Members' expenses are not only expenses incurred attending the Formation Meeting, they were "incurred in the performance of the duties of such committee." 11 U.S.C. § 503(b)(3)(F). The Trustee argues that "[t]here was no guarantee that the firms appointed to the [Committee] would have been selected, therefore, travel expenses, lodging and meals to attend the formation meeting were sunk expenses of doing business." While it is true that there was no guarantee that the Committee Members would be selected, the Committee Members were selected and immediately commenced performing their duties. Absent incurring the expenses to travel to Wilmington and attend the Formation Meeting, the Committee Members could not have performed their duties. Accordingly, their expenses should be reimbursed.

13. Moreover, the Committee Members' expenses were all incurred while the Committee Members were acting to benefit the estate. Each of these Committee Members attended the Formation Meeting and the meetings thereafter not for their own personal gain as a creditor but to offer their business expertise to the benefit of the estate and to all unsecured creditors. This is the purpose of having an unsecured creditors committee, to "lend their business expertise and their unique knowledge of the debtor's industry and business to the process." In re: Worldwide Direct,

Inc., 259 B.R. 56 (Bankr. D. Del. 2001). Setting a precedent that no expenses are compensable in these circumstances will have a chilling effect on future participation of creditors who desire to be members of a committee. It is axiomatic that creditor participation is an invaluable asset to the effect reorganization of debtors in chapter 11 cases.

14. Furthermore, the Committee Members expenses are minimal in the context of these Cases. The Committee Members have voluntarily reduced their requested expenses to exclude certain expenses not directly relating to travel costs, such that the revised total is \$8,476.33 reflecting a reduction of \$4,022.40. A revised chart reflecting this reduction is attached hereto as Exhibit "A." In relation to the other expenses and fees incurred by the estates professionals, this amount is diminutive and should be allowed. For example, upon information and belief, the Debtors' professionals in this case have already incurred over \$1,000,000 in fees and expenses and by comparison, the Committee Members expenses are hardly objectionable.

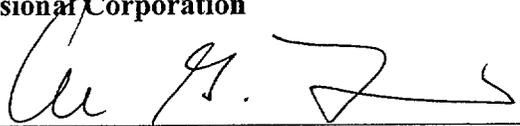
15. In addition, it should also be noted that the Committee Members are only requesting reimbursement of expenses incurred while traveling to and from Committee meetings. The Committee Members have not asked for fees or reimbursement in connection with the time and effort that they have contributed to these Cases, which has not only resulted in the protection of all the unsecured creditors' interests but an increase in the value of the Debtors' estates.

**WHEREFORE**, the Committee respectfully requests that the Court, (i) grant the Application; and (ii) grant such further relief as may be appropriate.

Dated: June 13, 2002

**KLETT ROONEY LIEBER & SCHORLING**  
**A Professional Corporation**

By:



Adam G. Landis (No. 3407)  
Kathleen P. Makowski (No. 3648)  
Kerri K. Mumford (No. 4186)  
The Brandywine Building  
1000 West Street, Suite 1410  
Wilmington, DE 19801  
(302) 552-4200

-and-

Frances Gecker, Esquire  
Joseph D. Frank, Esquire  
**FREEBORN & PETERS**  
311 South Wacker Drive, Suite 3000  
Chicago, IL 60606  
(312) 360-6000

Counsel to the Official Committee of Unsecured Creditors

# **EXHIBIT A**

<u>COMMITTEE MEMBER</u>	<u>TRAVEL AND TRANSPORTATION</u>	<u>LODGING</u>	<u>MEALS</u>	<u>TELEPHONE</u>	<u>TOTAL</u>
Scott A. Rank (M&I Marshal & Ilsley Bank)	\$747.73				\$747.73
Noel Nagle (HC Starck)	\$1,975.50				\$1,975.50
William Kopp (The Northern Trust Company)	\$362.78				\$362.78
Celeste D. Burton (OMG Americas)	\$771.51		\$41.08	\$3.07	\$815.66
Dennis E. Jolley (Metalworking Products)	\$1,511.96	\$334.42	\$126.33	\$20.00	\$1,992.71
Michael August (Liberty Pattern Company, Inc.)	\$719.76	\$297.36	\$35.44		\$1,052.56
Hiram M. King (Allvac)	\$841.56	\$534.98	\$152.15	\$0.70	\$1,529.39
				<b>GRAND TOTAL</b>	<b>\$8,476.33</b>

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Debtors. ) (Jointly Administered)

AFFIDAVIT OF KERRI K. MUMFORD

STATE OF DELAWARE:

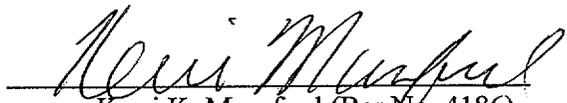
SS:

NEW CASTLE COUNTY:

I, Kerri K. Mumford, certify that I am, and at all times during the service of process, have been, an employee of Klett Rooney Lieber and Schorling, P.C., not less than 18 years of age and not a party to the matter concerning which service of process was made on June 13, 2002. I certify further that I caused the service of the attached:

**RESPONSE OF THE COMMITTEE OF UNSECURED CREDITORS TO THE  
UNITED STATES TRUSTEE'S OBJECTION TO THE FIRST INTERIM  
APPLICATION OF THE OFFICIAL COMMITTEE OF UNSECURED  
CREDITORS FOR REIMBURSEMENT OF COMMITTEE MEMBERS'  
EXPENSES UNDER 11 U.S.C § 503(b)(3)(F) [DOCKET NO. 231]**

to be made on the following parties on the attached list by First Class Mail and Hand Delivery (to City of Wilmington, Delaware addresses only).

  
Kerri K. Mumford (Bar No. 4186)

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<sup>1</sup>The Debtors are the following entities: Fansteel Inc., Fansteel Holdings, Inc., Custom Technologies Corp., Escast, Inc., Wellman Dynamics Corp., Washington Mfg. Co., Phoenix Aerospace Corp., American Sintered Technologies, Inc., and Fansteel Schultz Products, Inc.

Fansteel Inc. - 2002

Ellis Merschoff, Regional Administrator  
Attention: Dr. Blair Spitzberg  
U.S. Nuclear Regulatory Commission  
Region IV - Harris Tower  
611 Ryan Plaza Drive, Suite 400  
Arlington, TX 76011-8064

Karen Serrucci  
Remet Corporation  
PO Box 101142  
Atlanta, GA 30392

Celest Burton  
OMG, Nations Bank Of GA  
PO Box 198374  
Atlanta, GA 30384-8374

Jim Gardella  
Reade Manufacturing  
PO Box 930862  
Atlanta, GA 31193-0862

Richard Burkhart  
7205 Chagrin Road, Suite 4  
Bainbridge, OH 44023

Sharyl O'Farrell  
Ridge Realty Group  
257 East Main Street  
Barrington, IL 60010

Roco Mastrobattisti  
Bass Plating Co.  
Old Windsor Road  
Bloomfield, CT 50613

Linda Bartels  
John Schneider & Associates  
Box 78518  
Milwaukee, WI 53278

Bob Beimel  
J.I.T. Tool & Die  
RD#1 Box 113c  
Brockport, PA 15823

Judy Anderson  
Interstate Chemical Co.  
PO Box 295  
Brookfield, OH 44403

Judy Anderson  
Interstate Chemical Company  
PO Box 295  
Brookfield, OH 44403

Dana Hammond  
CIT  
2500 County Rd. 42, Ste. ES110  
Burnsville, MN 55337

Joseph Martinez  
DFS Acceptance Corp  
PO Box 4125  
Carol Stream, IL 60197

Dell Financial Services  
Payment Processing Center  
PO Box 5292  
Carol Stream IL 60197

Linda Calabrese  
Wright Tool And Die  
770 Industrial Drive Unit A  
Cary, IL 60013

Dean Alexandrou  
NSA Ltd. LP (Aluminum Alloys)  
PO Box 99803  
Chicago, IL 60694-5197

Jeff Smith  
Metokote Corp  
312 Savannah Park Rd  
Cedar Falls, IA 50613

Marc Fenton, Esquire  
1 Bank One Plaza, 11th Floor  
Mail Code IL1-0286  
Chicago, IL 60670  
**(American National Bank & Trust  
Co. of Chicago)**

The Northern Trust Company  
Attn: Legal Department  
50 South La Salle Street  
Chicago, IL 60675

Claudia Romero  
H Kramer & Co.  
1339-1345 W 21<sup>st</sup> Street  
Chicago, IL 60608

Susan Kruesi  
120 South Lasalle  
Mail Code IL1-1415  
Chicago, IL 60603  
**(American National Bank)**

Todd Williams  
HA International  
22668 Network Place  
Chicago, IL 60673-1226

Misty  
Accts Receivable  
Heather, Buyer Pechiney Rolled Products  
22112 Network Place  
Chicago, IL 60673-1221

Tamara Volkov  
The Aviant Group  
PO Box 33574  
Chicago, IL 60694-3574

Patrick M. Goy, Managing Director  
Lincoln Partners  
181 West Madison Street, Ste 3750  
Chicago, IL 60602

Chris Dimou  
IBM Corporation  
91222 Collection Center Drive  
Chicago, IL 60693-1222

Mark P. Naughton, Jr., Esquire  
Piper Marbury Rudnick & Wolfe  
203 North La Salle Street, Suite 1800  
Chicago, IL 60601  
**(American National Bank & Trust  
Co. of Chicago)**

Sheryl Sanetra  
Magid Glove & Safety Mfg  
2060 North Kolmar Ave  
Chicago, IL 60639-3483

Frances Gecker, Esquire  
Freeborn & Peters  
311 South Wacker Drive, Suite 3000  
Chicago, IL 60606  
**(Counsel to Official Committee)**

Foothill Capital Corporation  
161 North Clark Street, Suite 3590  
Chicago, IL 60601

Kelly Page  
Rudell Carbide Inc.  
4351 Schaefer Ave  
Chino, CA 91710

Mark Sumner  
Industrial Powder Coatings  
Location 0648  
Cincinnati, OH 45264

Jeff Solen  
Johnson Lift / Hyster  
PO Box 60007  
City of Industry, CA 90706

Tony Kaszarsky  
OMG Americas  
PO Box 6066N  
Cleveland, OH 44193

Chris Keller  
Safety Kleen Corp.  
PO Box 12349  
Columbia, SC 29211-2349

Doug Liebe  
Safety Kleen  
PO Box 12349  
Columbia, SC 29211-2349

Timothy J. Ostroski  
Southern Iowa Council of Governments  
PO Box 102  
101 East Montgomery St.  
Creston, IA 50801-0102

Sandy Thrasha  
Fisher-Anderson, L.C.  
PO Box 660631  
Dallas, TX 75266-0631

Adan Gutow  
Southwestern Processors  
PO Box 398936  
Dallas, TX 75339-8936

Denise Locklair  
American Stainless & Alloy  
PO Box 527  
Darlington, SC 29540

Loan Servicing  
Iowa Department of Economic Development  
Attn: Loan Servicing  
200 East Grand Avenue  
Des Moines, IA 50309

John Dawson  
Brown Truck Leasing Corp  
2525 E Euclid, Suite 214  
Des Moines, IA 50317

Micky  
Scientific Metal Treating  
299 W. Old Higgins Rd.  
Des Plaines, IL 60018

Tom Mccauley  
Strohs  
500 Park Avenue  
Des Moines, IA 50321

Rich Guisto  
M & R Machine Shop  
RD 2 Box 170d  
Emporium, PA 15834

Sharon Post  
Wellmark Blue Cross & Blue Shield of Iowa  
PO Box 9124  
Des Moines, IA 50306-9124

Bill Farren  
B & R Tool & Die  
445 Allegheny Ave  
Emporium, PA 15834

Noel Kocher  
Walker Wire & Steel Corp  
PO Box 77022  
Detroit, MI 48277

Jim Burke  
Embassy Powdered Metal  
PO Box 344  
Airport Rd.  
Emporium, PA 15834

Mary Gagin  
Kolene Corporation  
Dept. 0929  
PO Box 67000  
Detroit, MI 48267-0929

Cliff Clark  
Anita Levy Issuer:  
Cameron County Industrial Development  
Authority  
421 North Broad Street  
Emporium, PA 15834

Richard Lucy  
Brighton Properties  
2401 East El Segundo Blvd., Ste. 430  
El Segundo, CA 90245  
(Brighton Properties)

Jim Hornung  
102 W. 4th Street  
Allegheny Ave., Suite 1  
Emporium, PA 15834

Julia Hasenzahl  
Bankruptcy Management Corporation  
1330 E. Franklin Ave  
El Segundo, CA 90245  
(Claims Agent)

Barry Schmutz  
US Bronze  
PO Box 31, Route 202  
Flemington, NJ 08822-0031

David Gillespie  
Krueger & Company Inc  
900 Industrial Dr  
Elmhurst, IL 60126

Robert Holmes  
Solidiform Inc.  
PO Box 7656  
Fort Worth, TX 76111

Dave Barre  
Kittyhawk Products  
11651 Monarch St  
Garden Grove, CA 92841

Accounts Receivable Department  
Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15606

Sean McKay  
McKay's Plating Co.  
PO Box 200  
Hampton, IL 61256

Kelly Stephens  
PA Economic Development Finance  
Authority  
Dept Of Community & Economic  
Development  
466 Forum Building  
Harrisburg, PA 17120

Bob Young  
Howmet Aluminum Casting, Inc.  
201 Cercon Drive  
Hillsboro, TX 76645

Barry Klein  
Global Shop Solutions  
PO Box 60396  
Houston, TX 77205

Accounts Payable  
Alldyne Powder Technologies  
7300 Highway 20 West  
Huntsville, AL 35806

Bill Barry  
Mississippi Business Finance Corporate  
1306 Walter Sillers Bldg  
550 High Street  
PO Box 849  
Jackson, MI 39205

Mel Green  
N F & M International, Inc  
125 Jericho Turnpike  
Jericho, NY 11753

Hamid Rafatjoo, Esquire  
Pachulski, Stang, Ziehl, Young & Jones P.C.  
10100 Santa Monica Boulevard, Suite 1100  
Los Angeles, CA 90067  
**(Co-Counsel for Debtors)**

Mary Cliff  
Transtar Metals  
PO Box 59906  
Los Angeles, CA 90059

Don Garcia  
Neuvant Aerospace Corp  
PO Box 51518  
Los Angeles, CA 90051

Allan H. Ickowitz, Esquire  
Nossaman, Guthner, Knox & Elliot LLP  
445 South Figueroa Street, 31st Floor  
Los Angeles, CA 90071  
**(Southern California Gas  
Company)**

Mark Colton  
Alliant Energy  
PO Box 77004  
Madison, WI 53707

Connie-AP Clerk  
M. Argueso & Co./Cerita West LLC  
441 Waverly Ave.  
Mamaroneck, NY 10543

Jerry Bayless  
Gamma Engineering  
601 Airport Drive  
Mansfield, TX 76063

Garrett Mckay  
R S Huges Co. Inc.  
601 East Cedar Ave. Unit E  
Mc Allen, TX 78501

Debbie Wagner  
Tel Comm NEC America, Inc  
PO Box 5548  
McAllen, TX 78502

Lender:  
GE Capital Public Finance, Inc., Ste 470  
8400 Normandale Lake Blvd  
Minneapolis, MN 55402

Foreign Louise Goulard  
QMP America  
770 Sherbrooke St. West, Ste 1800  
Montreal, QUE, CA H3A 1G1

Rhonda Keuhl  
Liberty Pattern  
PO Box 67  
New Liberty, IA 52765-0067

Jeffrey S. Sabin, Esquire  
Mark A. Broude, Esquire  
Schulte, Roth & Zabel LLP  
919 Third Avenue  
New York, NY 10022  
**(Counsel for Debtors)**

Valerie L. Sheaffer, Esquire  
Schulte Roth & Zabel LLP  
919 Third Avenue  
New York, NY 10022

Vivek Melwani, Esquire  
Fried, Frank, Harris, Shriver & Jacobson  
One New York Plaza  
New York, NY 10004  
**(Counsel for CIT)**

Elliot H. Herskowitz  
Regen Capital I, Inc.  
P.O. Box 626  
Planetarium Station  
New York, NY 10024-0540  
**(Regen Capital I, Inc.)**

Claude D. Montgomery, Esquire  
Salans Hertzfeld Heilbronn Christy &  
Viener  
620 Fifth Avenue  
Rockefeller Center  
New York, NY 10020  
**(NF&M International)**

Patricia Schrage  
U.S. Securities and Exchange Commission  
Northeast Regional Office  
233 Broadway  
New York, NY 10279

David B. Berkson  
Berkson & Sons  
333 Skokie Blvd Suite 111  
Northbrook, IL 78680

Rick Pannell  
Remet  
112 Industrial Drive  
Oak Hill, WV 25901

Robert T. Aulgur, Jr., Esq.  
Kristi J. Doughty, Esq.  
Whittington & Aulgur  
313 N. Dupont Highway, Suite 110  
P.O. Box 617  
Odessa, DE 19730-1617  
**(Toyota Motor Credit  
Corporation)**

Carl Roberts  
GSC Foundaries  
2738 Commerce Way  
Odgen, UT 84401

Kelly Hunter Burch, Asst. Atty. General  
Stan A. Koop, Asst. Atty. General  
Oklahoma Attorney General's Office  
4545 N. Lincoln, Suite 260  
Oklahoma City, OK 73105

Fern Slay  
Arcturus Manufacturing Co  
6001 Arcturus Way  
Oxnard, CA 93030

Kathy Carpenter  
Cannon-Muskegon Corp.  
Dept. CH 10016  
Palatine, IL 60055-0016

Mac King  
ALLVAC  
Dept. LA 21001  
Pasadena, CA 91185

Marilyn Schulz  
3444 San Pasqual  
Pasadena, CA 91107

Doris Schulz  
3444 San Pasqual  
Pasadena, CA 91107

John Schulz  
3570 San Pasqual  
Pasadena, CA 91107

Val Rivera  
Citicorp Vendor Finance Inc.  
PO Box 8500-6075  
Philadelphia, PA 19178

Debbie Strauser  
De Lage Landen Financial Svs  
P O Box 41601  
Philadelphia, PA 19101

Peter S. Clark, II, Esquire  
Reed Smith LLP  
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103  
**TTI Metals**

John Weiland  
Precision Rolled Products, Inc  
PO Box 7247  
Philadelphia, PA 19170

Richard G. Scherder  
Aon Risk Service, of PA  
PO Box 7247-7389  
Philadelphia, PA 19170

Tony Vasquez  
Karsten Precision  
PO Box 83750  
Phoenix, AZ 85071-3750

Jean Mazolic  
H.C. Starck  
PO Box 371229  
Pittsburgh, PA 15251

Jeff Jones  
American Express  
20002 N. 19<sup>th</sup> Avenue A-21  
Phoenix, AZ 85027-4250

Ruth Thomas  
BOC Gas  
PO Box 36090M  
Pittsburgh, PA 15259-6904

Bob Donadieu  
US Silica Co.  
PO Box 360038  
Pittsburgh, PA 15250-6038

Clay Hardin  
T.T.I. Metals  
290 King of Prussia Rd.  
Radnor, PA 19087

Vennie Krutz  
Alldyne  
Lock Box 360281M  
Pittsburgh, PA 15251

Craig Hackert  
Hackerts Wood Products  
30511 285<sup>th</sup> Street  
Richland, IA 52585

Manufacturers Association  
1 Gateway Center, Suite 1250  
420 Fort Duquesne Blvd  
Pittsburgh, PA 15222

Tom Wright  
Arc Metals  
PO Box 372  
RidgeWay, PA 15853

Bill Amos  
Hoeganaes  
PO Box 371136M  
Pittsburgh, PA 15251

Jim Weeter  
A-1 Wire  
840 39<sup>th</sup> Ave  
Rockford IL 61109-2937

Larry Duffy  
Timken Latrobe Steel  
PO Box 360434  
Pittsburgh, PA 15251

Stuart Treby, Esquire  
Office of the General Counsel  
Attention: Stephen Lewis  
U.S. Nuclear Regulatory Commission  
Mail stop 0-15D21-One White Flint North  
11555 Rockville Pike  
Rockville, MD 20852-2738

Melvyn Leach  
Office of Nuclear Materials Safety &  
Safeguards  
Attention: Ms. Leslie Fields  
Mail Stop T-8A33-Two White Flint North  
11545 Rockville Pike  
Rockville, MD 20852-2738

Document Control Desk  
U.S. Nuclear Regulatory Commission  
One White Flint North  
11555 Rockville Pike  
Rockville, MD 20852-2738

Larry Camper, Chief Decommissioning  
Branch  
Office of Nuclear Materials Safety &  
Safeguards  
Attention: Dr. Blair Spitzberg/Thomas  
Fredrichs  
Mail stop T-7F27-Two White Flint North  
11545 Rockville Pike  
Rockville, MD 20852-2738

John Cordes, Solicitor  
U.S. Nuclear Regulatory Commission  
Mail stop 0-15D21  
One White Flint North  
11555 Rockville Pike  
Rockville, MD 20852-2738

Ms. Leah Tremper  
License Fee & Accounts Receivable Branch  
Office of the Chief Financial Officer  
Mail stop T-9E10 - Two White Flint North  
11545 Rockville Pike  
Rockville, MD 20852-2738

Linda Fletcher  
Texas Heat Treating Inc  
303 Texas Ave  
PO Box 1117  
Round Rock, TX 78680

Nichole Michelle  
Fry Steel Company  
PO Box 4028  
Santa Fe Springs, CA 90670

Susan Krogsgaard  
RBC Transport Dynamics Corp  
PO Box 1953  
Santa Ana, CA 92702

John Matraia  
Vanguard Executive Services  
512 West Main Street  
Shrewsbury, MA 01545

Scott Bonnema  
North Star Imaging, Inc  
953 Westgate Dr., Suite 109  
St Paul, MN 55114

Stacey Mckee  
PA Industrial Heat Treaters  
Access Road  
St. Mary's, PA 15857

Robert Wechter  
Acupowder  
PO Box 798017  
St. Louis, Mo 63179-8000

Bob Freidl  
Advanced Heat Treaters  
Trout Run Rd.  
St. Mary's, PA 15857

Mike Thompson  
Thompson Gundrilling Inc.  
13840 Saticoy Street  
Van Nuys, CA 90051-3589

Dorey  
St. Mary Box  
Pistner Lane  
St. Marys, PA 15857

Jeff Garrett  
Washington County Treasurer  
PO Box 889  
Washington, IA 52353

Ellen Poteat  
Nuclear Regulatory Commission  
License Fee & Accounts Receivable Branch  
PO Box 954514  
St. Louis, MO 63195-4514

Terry Nielson  
Washington State Bank  
121-125 South Marion  
Washington, IA 52353

Foreign Scott Shook  
Timminco Metals  
PO Box 1160 Station A  
Toronto, ONT, CA M5W 1G5

Richard Gladstein, Esquire  
U.S. Department of Justice  
Environmental Enforcement Section  
PO Box 7611  
Washington, DC 20044

Suzanne Cane  
Act-1 Personnel Services  
P.O. Box 2886  
Torrance, CA 90509-2886

James R. Curtiss  
Winston & Strawn  
1400 L Street, N.W.  
Washington, DC 20005-3502

Josephine Velarde  
Joachim Machinery Co  
920 Knox Street  
Torrance, CA 90502

Terry Nielson  
Washington State Bank  
121-125 South Marion  
Washington, IA 52353

Mike Bennett  
US Bankcorp  
7659 SW Mohawk Street  
Tualatin, OR 97062

Jeff Rosien  
City Of Washington  
PO Box 516  
Washington, IA 52353

Sandy Flannagan  
Bristol Metals  
3000 Lebanon Church Rd.  
West Mifflin, PA 15122

Edward B. Rosenthal, Esquire  
Rosenthal, Monhait, Gross & Goddess, P.A.  
Mellon Bank Center, Suite 1401  
PO Box 1070  
Wilmington, DE 19899-1070  
**(Counsel for CIT)**

Adam G. Landis, Esquire  
Klett Rooney Lieber & Schorling  
1000 West Street, Suite 1410  
Wilmington, DE 19801  
**(Counsel to Official Committee)**

Laura Davis Jones, Esquire  
Rosalie L. Spelman, Esquire  
Pachulski, Stang, Ziehl, Young & Jones P.C.  
919 Market Street, 16th Floor  
P.O. Box 8705  
Wilmington, DE 19899-8705  
**(Co-Counsel for Debtors)**

Ellen W. Slights, Esquire  
U.S. Attorney's Office  
1201 Market Street, Suite 1100  
Wilmington, DE 19899

David L. Buchbinder, Esquire  
Office of the United States Trustee  
844 King Street, Suite 2313  
Wilmington, DE 19801

Vito I. DiMiao  
Parcels, Inc.  
4 East Seventh Street  
Wilmington, DE 19801  
**(Parcels)**

William D. Sullivan, Esquire  
Elzufon Austin Reardon Tarlov & Mondell,  
P.A.  
300 Delaware Avenue, 17th Floor  
Wilmington, DE 19801  
**(Southern California Gas  
Company)**

Tobey M. Daluz, Esquire  
Reed Smith LLP  
1201 Market Street, Suite 1500  
Wilmington, DE 19801  
**(TTI Metals)**

David B. Stratton, Esquire  
Pepper Hamilton LLP  
1201 Market Street, Suite 1600  
Wilmington, DE 19801  
**(American National Bank & Trust  
Co. of Chicago)**

David Hale  
Hale Mfg. LLC  
1065 Wayne Rd.  
Wilmington, OH 45177

Brian D. Stewart, Esquire  
Reed Smith LLP  
2500 Liberty Place  
1650 Market Street  
Philadelphia, PA 19103-7301  
**[The CIT Group/Equipment  
Financing, Inc.]**

Jan A. T van Amerongen, Jr., Esquire  
Reed Smith LLP  
1201 Market Street, Suite 1500  
Wilmington, DE 19801  
**[CIT]**

**File an answer to a motion:**

02-10109-JJF Fansteel Inc. and Richard Gladstein Nuclear Regulatory Commission

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The following transaction was received from Landis, Adam G. entered on 6/13/2002 at 6:28 PM EDT and filed on 6/13/2002

**Case Name:** Fansteel Inc. and Richard Gladstein Nuclear Regulatory Commission

**Case Number:** 02-10109-JJF

**Document Number:** 261

**Docket Text:**

Response to *Response of the Official Committee of Unsecured Creditors to the United States Trustee's Objection to the First Interim Application of the Official Committee of Unsecured Creditors For Reimbursement of Committee Members' Expenses Under 11 U.S.C. Sec. 503(b)(3)(F) [Docket No. 231]* Filed by Official Committee of Unsecured Creditors (related document(s)[169]). (Attachments: # (1) Exhibit A# (2) Certificate of Service) (Landis, Adam)

The following document(s) are associated with this transaction:

**Document description:**Main Document

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[STAMP bkecfStamp\_ID=983460418 [Date=6/13/2002] [FileNumber=736283-0] [6224058c963e825f0c583f5610960545bdc4c18176d7fc9adeed94b96099d4b1de88905f0dbb0455709fb7148913e5243bae24c1339b6c4915d62ac1144f2e53]]

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**02-10109-JJF Notice will be electronically mailed to:**

Frank C Aiello faiello@jafferaitt.com,

Rhonda N. Baird baird.rhonda@pbgc.gov, efile@pbgc.gov

John D. Demmy jdd@stevenslee.com,

Richard Mark Gladstein richard.gladstien@usdoj.gov,

Matthew A. Gold matthew@argopartners.net

James E. Huggett jhuggett@klehr.com

Laura Davis Jones ljones@pszyj.com,  
efile@pszyj.com;hmartin@pszyj.com;vmobley@pszyj.com;agrasty@pszyj.com

Adam G. Landis landis@klettrooney.com

Kevin J Mangan kmangan@walmon.com,

Bruce W. McCullough wmmbmccull@aol.com

Kerri K Mumford mumford@klettrooney.com,

Jeffrey N. Rich jrich@kl.com

Rosalie L. Spelman rspelman@pszyj.com

William David Sullivan bankruptcyemail@elzufon.com

Jan A.T. van Amerongen Jr. jvanamerongen@reedsmith.com

**02-10109-JJF Notice will not be electronically mailed to:**

Brad C. Epperly  
3737 Woodland Avenue  
Suite 437  
West Des Moines, IO 50266

Elliott H. Herskowitz  
P.O. Box 626  
Planetarium Station  
New York, NY 10024-0540

S. William Livingston  
Covington & Burling  
,

Elizabeth Weller  
2323 Bryan Street, Suite 1720  
Dallas, TX 75201