

RAS 4536

# Official Transcript of Proceedings

## NUCLEAR REGULATORY COMMISSION

Title: Private Fuel Storage, LLC

Docket Number: 72-22-ISFSI; ASLBP No. 97-732-02-ISFSI

Location: Salt Lake City, Utah

Date: Tuesday, May 14, 2002

2002 JUN 14 PM 12:27  
OFFICE OF THE SECRETARY  
RULEMAKING AND  
ADJUDICATIONS STAFF

DOCKETED  
USNRC

Work Order No.: NRC-281

Pages 8413-8691

NEAL R. GROSS AND CO., INC.  
Court Reporters and Transcribers  
1323 Rhode Island Avenue, N.W.  
Washington, D.C. 20005  
(202) 234-4433

Template = SECY-032

SECY-02

## UNITED STATES OF AMERICA

## NUCLEAR REGULATORY COMMISSION

In the Matter of: )  
PRIVATE FUEL STORAGE, LLC, ) Docket No. 72-22  
(Independent Spent Fuel ) ASLBP No.  
Storage Installation) 97-732-02-ISFSI  
)

U. S. Nuclear Regulatory Commission  
Sheraton Hotel, Wasatch Room  
Salt Lake City, Utah 84114

On May 14, 2002 the above-entitled matter came  
on for hearing, pursuant to notice, before:

MICHAEL C. FARRAR, CHAIRMAN  
Administrative Judge  
U. S. Nuclear Regulatory Commission

DR. JERRY R. KLINE  
Administrative Judge  
Atomic Safety & Licensing Board Panel

DR. PETER S. LAM  
Administrative Judge  
Atomic Safety & Licensing Board Panel

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

## A P P E A R A N C E S

## FOR THE STATE OF UTAH:

Denise Chancellor, Esq.  
Connie Nakahara, Esq.  
James L. Soper, Esq.  
ASSISTANT ATTORNEYS GENERAL  
Office of the Attorney General  
160 East 300 South, 5th Floor  
P. O. Box 140873  
Salt Lake City, Utah 84114

## FOR PRIVATE FUEL STORAGE, LLC:

Jay Silberg, Esq.  
Paul Gaukler, Esq.  
Sean Barnett, Esq.  
SHAW PITTMAN  
Attorneys at Law  
2300 N Street, N.W.  
Washington, D.C. 20037

## FOR THE U.S. NUCLEAR REGULATORY COMMISSION:

Catherine Marco, Esq.  
OFFICE OF THE GENERAL COUNSEL  
Mail Stop - 0-15 B18  
U.S. Nuclear Regulatory Commission  
Washington, D.C. 20555

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

## I N D E X

## E X A M I N A T I O N

Hugh Horstman	
Cross Examination by Mr. Gaukler	8416
Cross Examination by Ms. Marcos	8555
Redirect Examination by Mr. Soper	8573
Recross Examination by Mr. Gaukler	8601
Recross Examination by Mr. Soper	8624
Further Recross by Mr. Gaukler	8636

## Panel:

General Cole, Gen. Jefferson, Colonel Fly

Redirect Examination by Mr. Barnett	8645
-------------------------------------	------

## E X H I B I T S

No.	MRKD/ADMTD
-----	------------

## APPLICANT'S EXHIBITS

97 Accident Report - 6-21-00	8448/8501
98 Accident Reporet - 10-17-01	8448/8501
99 Excerpts from Dec. 11 transcript	8540/8555
100 Table of 59 accidents	8663/

## STATE'S EXHIBITS

186 Map of Sevier B Moa	8576/8580
-------------------------	-----------

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Tuesday, May 14, 2002

9:00 a.m.

2  
3 P R O C E E D I N G S

4  
5 JUDGE FARRAR: Good morning. Mr. Soper,  
6 I don't think I officially welcomed you back  
7 yesterday. We are delighted to see you and Ms.  
8 Marco again. If there are no preliminary matters,  
9 Mr. Gaukler, you can continue with your  
10 cross-examination.

11 MR. GAUKLER: Thank you, your Honor.

12  
13 CONTINUED CROSS-EXAMINATION

14 BY MR. GAUKLER:

15 Q. Good morning, Lieutenant Colonel  
16 Horstman.

17 A. Good morning, sir.

18 Q. I'd like to have you turn to Question  
19 and Answer 53 of your direct testimony.

20 A. Okay.

21 Q. We were talking about cloud cover and  
22 whether a pilot could avoid crashing into the PFS  
23 facility with respect to cloud cover in that  
24 Question and Answer. Correct?

25 A. That's correct, sir.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. And the second line states a cloud  
2 ceiling is defined as 50 percent cloud cover. And,  
3 again, that should be changed to greater than 50  
4 percent?

5 A. That's correct, sir.

6 Q. Now, you say flying in or above a cloud  
7 deck or scattered clouds the pilot generally would  
8 not be able to see the site. Correct? Just  
9 generally, apart from the Question and Answer.

10 A. That's correct. Generally would not be  
11 able to see.

12 Q. In your little example yesterday with  
13 the scattered clouds, 25 percent scattered clouds,  
14 isn't it true that you would be able to see -- a  
15 pilot would have general situational or positional  
16 awareness in that situation?

17 A. Yes, it is.

18 Q. The pilot would be able to see, for  
19 example, Skull Valley Road, most likely? At least  
20 parts of it?

21 A. Well, certain segments of different  
22 roads, yes.

23 Q. And he would know that -- are you aware  
24 that PFS is building or would build a rail line  
25 corridor down the western side of the valley to the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 proposed PFSF site?

2 A. I would assume that, but I don't know  
3 that.

4 Q. Well, assuming that they do that --

5 A. If they built that, would a pilot be  
6 aware of it? The answer is yes, I believe they  
7 would, sir.

8 Q. And you would be able to see all or  
9 parts of that, correct?

10 A. No. Parts of it.

11 Q. Parts of it. So the pilot would have an  
12 idea where the PFSF site would be in the situation  
13 you described yesterday; is that correct?

14 A. Generally speaking, that's correct.

15 Q. In addition, a pilot would have  
16 navigational and steer points programmed into his  
17 heads-up display; correct?

18 A. Into the avionics, which some of the  
19 information is presented in the heads-up display,  
20 yes.

21 Q. And to the extent that he had facilities  
22 in the area or features in the area programmed in  
23 to his heads-up display, he would have awareness of  
24 where those features were; correct?

25 A. Whatever you would have programmed into

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 the heads-up display, you would have a reference --  
2 let me back up. If you program it into your  
3 avionics package and navigational system, you would  
4 have reference to it in the heads-up display,  
5 either directly in front of the airplane or it  
6 would be pointing to it. In order to have  
7 reference to the PFSF site, you would have to have  
8 that programmed in as a reference point.

9 Q. And if you had that, for example, in  
10 Question and Answer 19 of your testimony, you claim  
11 that pilots may, in fact, if the PFSF is built,  
12 program the facility into their avionics system;  
13 correct?

14 A. I firmly believe that they would use it  
15 as a turn point.

16 Q. And therefore, based on that, they would  
17 have that information on their heads-up display  
18 even if there was a complete cloud deck below them;  
19 correct?

20 A. If they had it programmed in, yes, sir.

21 Q. And if they were going to use it as a  
22 turning point, they would certainly know where it  
23 was; correct?

24 A. If they have programmed it in --

25 Q. If they programmed it in.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701



1           A.       Then they would have route study  
2 beforehand, so yes.

3           Q.       So there would be a lot of information  
4 that a pilot would have on where the PFSF was  
5 located in your little example yesterday; correct?

6           A.       There would be the same as any other  
7 turn point. Whether it's a lot or not, there's a  
8 reference point.

9           Q.       I guess I was talking more generally  
10 now, backing off -- just generally, there would be  
11 a lot of information available to the pilot in  
12 terms of where he was or where the PFSF was,  
13 whether or not he had the PFSF programmed into his  
14 avionics system?

15          A.       There would be significantly more  
16 information available, yes.

17          Q.       And there would be a lot of information,  
18 if there was nothing programmed in, concerning the  
19 PFSF into the avionics system; correct?

20          A.       I don't understand the question. I  
21 think you missed a couple words.

22          Q.       My point was that assuming -- without  
23 having anything programmed in to the avionics  
24 system, you still would have situational awareness  
25 based upon seeing good portions or parts of Skull

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Valley Road, the proposed Low rail line corridor  
2 and other features in the area.

3 A. You would still have general situational  
4 awareness if it was not programmed in.

5 Q. Looking also at -- going back to  
6 Question and Answer 53, you're saying that a cloud  
7 ceiling would obstruct the pilot's view of the PFS  
8 facility, in the second line. Correct?

9 A. That's correct.

10 Q. And down below you say in many cases a  
11 scattered cloud cover may obstruct the pilot's  
12 view. What is the reason for the difference in the  
13 words "would" and "may"?

14 A. Because by definition a cloud ceiling  
15 obstructs visibility of the objects on the ground,  
16 by the FAA.

17 Q. And the cloud ceiling would only  
18 obstruct the view if you were flying above a  
19 complete cloud ceiling; correct?

20 A. That's correct. Well, it would still --  
21 one cloud over two percent of the sky could still  
22 obstruct it. So --

23 Q. That's "could" you are saying?

24 A. That's right.

25 Q. For some small period of time.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           A.       If I were to read this it says, "In many  
2 cases the scattered cloud cover may obstruct a  
3 pilot's view."

4           Q.       Above you say it "would obstruct"?

5           A.       Because that is a ceiling, not a  
6 scattered deck.

7           Q.       And that would not be true if the pilot  
8 was flying below the ceiling?

9           A.       It would depend on where the clouds --

10          Q.       It still would not obstruct the view of  
11 the site if you were flying below the ceiling?

12          A.       I don't agree with that at all. If 49  
13 percent of the clouds were at 2000 feet and you  
14 were flying at 3000 feet and the other one percent  
15 of the cloud was above that, it would obstruct.

16          Q.       I could think of a situation where it  
17 would not, if all the clouds were above you.  
18 Correct?

19          A.       That's correct. It is entirely  
20 situational dependent.

21          Q.       We were also talking yesterday on  
22 whether a pilot, a person flying below the clouds,  
23 how much time he would have to avoid the site. And  
24 you couldn't calculate the -- let me ask you a  
25 direct question about your opinion. I think the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       hypothetical we were talking about is a pilot is  
2       flying at 3000 feet AGL, the cloud deck is at 3500  
3       feet AGL, giving you the minimum clearance, and you  
4       are flying at 425 knots. And assume a pilot has an  
5       engine failure in which he retains control of the  
6       plane. Would the pilot have sufficient time to be  
7       able to avoid the site?

8       A.       Again, it is situational-dependent. And  
9       the flight leader would probably have more time to  
10      avoid it than the wingmen because he would have  
11      more situational awareness. But generally  
12      speaking, in that circumstance, yes, a pilot would  
13      have sufficient time.

14      Q.       Now, in the situation where --  
15      therefore, if a pilot was flying, say, at 4000 feet  
16      with a cloud deck at 4500, he generally would have  
17      sufficient time to avoid the site?

18      A.       Given the same set of circumstances and  
19      the visibility, yes, sir, he would.

20      Q.       Now, if you go back and look at Question  
21      and Answer 55, please. The second paragraph. Here  
22      you are talking about another situation where the  
23      pilot is flying beneath a cloud ceiling; correct?

24      A.       That's correct.

25      Q.       And your assumptions in this case are

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       that the pilot is flying at 2500 AGL?

2           A.       Yes, sir.

3           Q.       And the cloud deck is 1000 feet above  
4       him at 3500 AGL?

5           A.       That's correct.

6           Q.       I don't see a speed -- let's assume he  
7       is flying at the same speed, 425 knots.

8           A.       Okay.

9           Q.       Would a pilot in this instance generally  
10       have time to avoid the PFS facility?

11          A.       Again, it depends on the circumstances.  
12       Generally speaking, with those circumstances,  
13       probably.

14          Q.       Well, there's really no difference  
15       between this case and the hypothetical I gave you  
16       where the pilot was flying at 3000 with the cloud  
17       deck of 3500.

18          A.       The lower you get, the less opportunity  
19       you would have. The higher you get, the more  
20       opportunity you have. This is a lower example.

21          Q.       But you would zoom up to the top of the  
22       cloud deck in either case, which would be 3500 AGL;  
23       correct?

24          A.       You wouldn't zoom into it. You would  
25       zoom -- you actually wouldn't do a precise zoom

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 maneuver.

2 Q. But you would zoom up, to the extent you  
3 could, to just stay under the clouds; correct?

4 A. Yes, sir, I would.

5 Q. And pilots generally would do that;  
6 correct?

7 A. Yes, sir.

8 Q. And so in both cases you generally would  
9 be able to get the plane up to 3500 AGL, correct,  
10 just below the cloud deck, both when you assume the  
11 pilot is flying at 3000 feet AGL or 2500 AGL with a  
12 deck at 3500 feet AGL.

13 A. The numbers are a little troubling.  
14 Instead of zooming, because a zoom is a prescribed  
15 maneuver, you would raise the nose of the aircraft  
16 to try to gain altitude to trade the potential  
17 energy, to gain more potential energy. And you  
18 would not go just very close to the cloud. You  
19 would want to leave yourself some kind of a buffer  
20 zone. Whether it is 500 or 400 feet, I have no  
21 idea in the circumstances. You wouldn't measure  
22 it. You would climb to do the engine restart, et  
23 cetera.

24 Q. But you would climb from 3000 feet say  
25 to approximately 3400 feet, or something like that?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 A. Yes. I think that's fair to say.

2 Q. Or from 2500 feet to 3400 feet?

3 A. Yes, sir.

4 Q. If you had sufficient time -- if you  
5 generally had sufficient time flying at 3000 feet  
6 AGL with a cloud deck at 3500 feet, you generally  
7 would have sufficient time also if you were flying  
8 at 2500 AGL with a cloud deck at 3500.

9 A. I would agree most of the time.

10 Q. And the same way, if you had a cloud  
11 deck at 3500 AGL and you were flying at 2000 feet  
12 AGL, you generally would have sufficient time to  
13 avoid the site; right?

14 A. You would have less of an opportunity.

15 Q. But it would be the same situation; you  
16 could zoom up to --

17 A. To a degree and --

18 Q. Well, you could zoom up to -- in all  
19 these cases, you could zoom up to 3400 feet, no  
20 matter where I was flying at; right?

21 A. If the clouds were at 3500 feet, using  
22 this example, wherever you started, you would try  
23 to climb near the clouds, 3400 feet. That's  
24 correct.

25 Q. And so assume the pilots are at 3500

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 feet, the altitude you are flying below the clouds  
2 would not directly affect your ability to avoid the  
3 site in an engine failure where you retain control  
4 of the plane?

5 A. Generically speaking, that's correct.  
6 But the lower you are, the more you climb, the more  
7 air speed you lose. You don't have the same amount  
8 of energy, so it reduces the time factor available.

9 Q. I take it, you say here in the second  
10 paragraph that a pilot would only have seconds.  
11 What do you mean by seconds in this paragraph,  
12 second paragraph of Question and Answer 55?

13 A. I think it speaks for itself. You have  
14 seconds. I didn't define it exact because it  
15 depends on, again, as we discussed yesterday,  
16 whether you are carrying external stores, whether  
17 it is an insidious engine problem, whether it is an  
18 instant engine failure. Every set of circumstances  
19 is going to be different and you could calculate a  
20 hundred different time frames. So "seconds" is  
21 accurate.

22 Q. But would it be two seconds, twenty  
23 seconds, thirty seconds? What is most likely, in  
24 your opinion?

25 A. We would have to get the books out and



1 find out what the aircraft configuration is and all  
2 the parameters. Clearly it is more than two  
3 seconds, I guarantee you that. Whether it is more  
4 than ten seconds, we could look into each one. But  
5 there are, as I said, hundreds of different sets of  
6 parameters you would have to look at.

7 Q. I'd like to turn briefly to Question 58  
8 which we talked about briefly yesterday. A couple  
9 follow-up questions on that. In the first  
10 sentence, you say that if 96 percent of the time  
11 UTTR has weather of at least a 3000 feet ceiling,  
12 and three miles visibility, that simply means that  
13 96 percent of the time the cloud cover would be  
14 located at 3000 feet or higher. That assumes you  
15 have cloud cover. Right? There would be many  
16 times you would not have cloud cover and therefore  
17 it is not true you would have cloud cover above you  
18 96 percent of the time. Isn't that correct?

19 A. No. What the question asked is based on  
20 a parameter that is given from the weather station.  
21 It doesn't assume anything else. It doesn't assume  
22 that it is a clear, beautiful day because if you  
23 had a ceiling at 4000 feet and 4 miles visibility  
24 it would still be in that category. I cannot  
25 assume that.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Q. You would assume, in that category that  
2 you described there, that there would be some clear  
3 beautiful days, correct, with no clouds?

4 A. That's not what the question asked.

5 Q. That's what your answer says. Isn't  
6 that what the first sentence of your answer says,  
7 that there would be no clear, beautiful days  
8 because 96 percent of the time you are going to  
9 have clouds above you?

10 A. I'm not sure I understand the question.

11 Q. It's very simple. You imply that the  
12 statement, that if you have a ceiling of 3000 feet,  
13 96 percent of the time -- you say that simply means  
14 that 96 percent of the time the cloud cover would  
15 be located at 3000 feet or higher. And what I am  
16 saying is that there would be many clear, beautiful  
17 days where there would be no cloud cover above you  
18 and therefore stating that 96 percent of the time  
19 there would be cloud cover above you is not  
20 correct.

21 A. Well, I disagree. There would be many  
22 days where it would be a beautiful, clear blue sky.  
23 But the weather data here is measuring a finite  
24 number.

25 Q. So you would agree that 96 percent of

1 the time there would not be clouds above you?

2 A. That 96 percent of the time, if there  
3 were clouds, it would be greater than 3000 feet.

4 Q. If there were clouds.

5 A. That's correct.

6 Q. And so there may not be clouds; correct?

7 A. There might not be a cloud in Utah.

8 Q. Okay.

9 JUDGE FARRAR: Mr. Gaukler, is that the  
10 answer you took the "not" out of?

11 MR. GAUKLER: No. That was down below  
12 where we -- the third sentence.

13 JUDGE FARRAR: In that same answer?

14 MR. GAUKLER: In the same answer. The  
15 same answer, but different sentence. You are  
16 correct, your Honor.

17 Q. (By Mr. Gaukler) In Question and Answer  
18 59, you talk about a ceiling at --

19 JUDGE FARRAR: Let me interrupt you  
20 again as I look at that answer. If you have at  
21 least a 3000 foot ceiling, that includes the cases  
22 in which there's not a cloud in the sky; is that  
23 correct?

24 LT. COL. HORSTMAN: Yes, sir. In this  
25 case it also includes the visibility must be

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 greater than the three miles. So there's two  
2 different parts of that.

3 JUDGE FARRAR: Okay.

4 Q. (By Mr. Gaukler) Looking at the last  
5 sentence on 58 real quick, you say, "It is unlikely  
6 that pilots flying below 3000 feet AGL who are  
7 prevented from zooming due to cloud cover would  
8 have time to steer the aircraft away from the PFS  
9 facility." Do you think that somebody -- you know,  
10 we were talking about 3500 feet AGL cloud cover  
11 before, and we were talking in most situations you  
12 thought a pilot would have time to steer it away.  
13 Suppose it was at 3000; do you think it would  
14 suddenly make it where the pilot would be unlikely  
15 to steer it away?

16 A. Again, it would depend on the set of  
17 circumstances and the pilot. There are cases that  
18 the pilot would not have the opportunity and there  
19 are many more cases that the pilot would have the  
20 opportunity.

21 Q. So therefore, wouldn't -- this last  
22 sentence is incorrect, "It is not unlikely that  
23 pilots flying below 3000 feet AGL --"

24 A. It would depend, again. If there was a  
25 bird strike, would it be likely?

1 Q. Let's talk about engine failure that we  
2 have been talking about.

3 A. The answer to Question 58 does not talk  
4 about engine failure.

5 Q. Let's assume engine failure in that  
6 example.

7 A. Then it is avoid answer.

8 Q. Let's just take the example of engine  
9 failure. Assuming that it is engine failure --

10 A. Then that's not the question that I  
11 answered here.

12 Q. Okay. Assuming that it is engine  
13 failure, would it be unlikely that a pilot flying  
14 below 3000 feet with a 3000 foot deck lacks time to  
15 steer --

16 A. Again, it depends on a variety of  
17 situations and circumstances. There are many  
18 pilots who would have the opportunity to avoid and  
19 would avoid. There are some pilots, based on a  
20 certain set of circumstances, who would not. So  
21 whether it is likely or unlikely, I'm not sure how  
22 to define it. I would say that if everything was  
23 working normally and you had an experienced or any  
24 kind of a pilot would try to do that. Given the  
25 set of circumstances, they might not have the time

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 because, as an example, if the ceiling was where we  
2 are talking about, that doesn't mean there's no  
3 clouds below it or anything else. So it is  
4 entirely situational-dependent.

5 Q. Okay. Going to Question and Answer 59,  
6 if there were scattered clouds would you zoom?

7 A. Not into the clouds.

8 Q. So if you were in an area where there  
9 were no clouds in your particular area, you would  
10 zoom; and if there were clouds in the area -- if  
11 you would zoom into the clouds -- if you could zoom  
12 without going into the clouds, you would zoom, most  
13 likely. And again, there would be situations --

14 A. I think an example would probably help.  
15 If there were scattered clouds and I lost my engine  
16 due to whatever, let's just say that it quit, and I  
17 began to climb to trade my air speed for altitude,  
18 as you would try to do, if directly in front of me  
19 and above there weren't any clouds I would continue  
20 to climb. So if there was a cloud directly in  
21 front of me, I would halt the climb. If I was able  
22 to climb above the scattered deck, now I'm above  
23 the scattered deck and I have lost lots of  
24 situational awareness because my engine has failed  
25 and I'm trying to locate things on the ground and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 trying to restart the engine. I'm above the  
2 weather, which makes me happy, but it also adds to  
3 the disorientation to objects on the ground. So  
4 again, it is dependent on the clouds themselves.

5 Q. Question and Answer 59, here you are  
6 assuming a cloud ceiling at 9000 feet MCL, which is  
7 4500 feet AGL. And you say that, "If I was flying  
8 below the weather, that at some point I would have  
9 to climb above the weather to continue my mission."  
10 Now, that would be true only if your mission  
11 required you to go above 4500 AGL; correct?

12 A. That's correct. There are -- the way  
13 the Air Force F-16s' missions are organized and  
14 assigned at Hill Air Force Base, they no longer fly  
15 exclusively low level. There's always a portion of  
16 the mission which is medium altitude. So if  
17 there's a cloud ceiling at 4500 feet above the  
18 ground, you are perfectly legal to fly underneath  
19 it down Skull Valley. At some point during the  
20 mission you are going to have to climb up. So you  
21 are faced with when do I do that and am I legal to  
22 do that, does the weather continue all the way  
23 south? If I stay at low altitude all the way, then  
24 I'm going to detract from another part of the  
25 mission because the fuel use at lower altitudes is

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 higher.

2 Q. Now, when you are talking about the  
3 mission being part low or part intermediate, are  
4 you talking just about Skull Valley or the entire  
5 mission from the time you take off at Hill Air  
6 Force Base, do your activity on the range, and go  
7 back to Hill Air Force Base?

8 A. A typical bombing mission, I would take  
9 off, climb to the altitudes we discussed, 7000 to  
10 10,000 feet on the departure. Through Skull Valley  
11 3000 to 4000 feet is normal, though I have gone  
12 higher. After the turn to the southwest I would  
13 fly up to 20,000 feet, get organized as a formation  
14 to save fuel. If we had a low level attack we  
15 would descend to the low level and conduct the  
16 attack. If it was a medium level attack I would  
17 stay at 20,000 feet. If it was a medium altitude  
18 dive bomb, I would go from 20,000 feet to 25,000  
19 feet, dive down to the ground, end up at 4000 feet  
20 above the ground, climb back up to 25,000 feet, do  
21 it again, and then return to Hill. So every  
22 mission would be different. There are a variety of  
23 altitudes that you would fly based on fuel,  
24 targets, tactics, strategy, formation, et cetera.

25 Q. Two things. First of all, I was

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 focusing on Skull Valley in my question. I took it  
2 from your answer that normally you would go through  
3 Skull Valley at the lower altitude and only after  
4 Skull Valley might you go up to the higher  
5 altitude.

6 A. Well, that's normal, yes. As I said, I  
7 have started Skull Valley before at 3000 to 4000  
8 feet and due to weather or mission we have done our  
9 g warmup, climbed right up to 20,000 feet. You  
10 wouldn't climb up to above 18,000 feet unless you  
11 had radar control, until you got down to the south.  
12 So it is normal to climb in Skull Valley from 3000  
13 feet up to 15,000 feet depending on the weather.  
14 It is also, conversely, not that abnormal if you  
15 are trying to save fuel and you start high, we will  
16 say 15,000 feet is high in this example, in Skull  
17 Valley. And if there is weather, you might choose,  
18 for the particular training portion of the mission,  
19 to drop down to 10,000 or 5000 or 6000 feet or so,  
20 MSL, in Skull Valley.

21 Q. If I understand what you are saying, it  
22 is really mission-dependent whether you start out  
23 below the clouds and go above the clouds later on  
24 or start out from the clouds above. So there's no  
25 uniform rule, if I understand your testimony. Is

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       that correct?

2           A.       That's correct.   There's no uniform  
3       rule.

4           Q.       Also, you were talking about going on to  
5       the range and doing these different activities.  
6       Given your little demonstration here the other day,  
7       how would, say, twenty-five percent scattered  
8       clouds affect you doing all this work on the range?  
9       Wouldn't that have an adverse effect of you doing  
10      all the work on the range if there was scattered  
11      clouds like that?

12          A.       If you were supposed to do dive bombs  
13      and the scattered clouds were at, say, 10,000 feet  
14      MSL, you could still modify your attack to have a  
15      higher release altitude, roll under the target, and  
16      if you could see the target you can bomb it.  
17      Typically what would happen is you would adjust  
18      your type of attack to what we call a low show and  
19      you would then go in at a low altitude, say 1000  
20      feet, hop to a visually acquired target, and roll  
21      on a ten degree pass to stay under the weather the  
22      whole time.

23                   JUDGE FARRAR:   Mr. Gaukler, with the  
24      pause here let me ask a question that I think I  
25      asked several weeks ago but I can't quite remember

1 the answer. Does the Air Force sometimes plan  
2 missions or training where clouds are desirable in  
3 order to teach the pilots? I mean, if you are in  
4 wartime you are not going to have bright blue skies  
5 all the time. Is there a purpose in training in  
6 even severe clouds just to help you get acclimated  
7 to that kind of situation if it comes up in  
8 wartime.

9 LT. COL. HORSTMAN: Yes, there is a  
10 purpose. You wouldn't do aggressive combat  
11 training inside the clouds, because it is  
12 dangerous. On the other hand, weather is always a  
13 factor in your mission planning and your execution.  
14 So if you were to plan just a training sortie,  
15 including the weather, if the weather wasn't there,  
16 you wouldn't be able to accomplish that. So what  
17 the pilots do every day for -- let's just say  
18 there's a four-ship formation going out. You would  
19 brief your clear weather plan first. Then you  
20 would brief one or two weather alternate plans.  
21 Then you would brief what we call the loser plan.  
22 As the weather progressively gets worse or two of  
23 your four airplanes don't make it through the  
24 maintenance or whatever else. So you start with  
25 the best objective, which is clear weather. And

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 then you spend a lot of time planning and briefing  
2 the other options. If there's a 20,000 foot cloud  
3 layer or 5000 foot cloud layer or if you lose  
4 formation members. If there's a solid undercast  
5 and you are supposed to do air-to-ground bombing,  
6 you revert to your loser plan which would be your  
7 backup air-to-air intercept. Not a highly  
8 aggressive maneuver but intercepting using radar  
9 work, et cetera. So in those cases, you do plan to  
10 use the weather if it exists.

11 JUDGE FARRAR: Okay. Good. Thank you.

12 Q. I'd like to go to Question and Answer 38  
13 of your testimony. There you are asked if you have  
14 an opinion on PFS's assumption in its aircraft  
15 crash report that F-16 pilots can maneuver a  
16 crashing F-16 aircraft to avoid impact to the  
17 proposed PFS site. And you answer saying, "Yes.  
18 Based on my experience as an F-16 pilot and F-16  
19 instructor, and from other factors, such as an  
20 assumption of able to avoid is unrealistic and  
21 unconservative." Lieutenant Colonel Horstman,  
22 isn't that question and answer really a broad  
23 overstatement of PFS's position with respect to  
24 pilot avoidance of the site?

25 A. Yes, it is.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Q. Because, first of all, PFS only assumes  
2 that pilots who would remain in control of the  
3 aircraft would take steps to avoid the site;  
4 correct?

5 A. That's debatable. In one case we  
6 disagreed on whether the pilot was in control of  
7 the aircraft.

8 Q. Right. But in any event -- there may be  
9 a disagreement whether a pilot is in control but  
10 the basic assumption of PFS in its analysis is, "We  
11 are going to look to whether a pilot would avoid  
12 the site in those cases in which a pilot is in  
13 control." Correct?

14 A. That is correct.

15 Q. And all the other cases where the pilot  
16 is not in control, we assume that they just crash  
17 randomly; correct?

18 A. I'm not sure if that's your exact  
19 assumption, but you don't assume they have the  
20 ability to avoid.

21 Q. Okay. That's correct. Also now, you  
22 say that it's unrealistic and unconservative; just  
23 focus on those pilots, therefore, who are in  
24 control of the plane. Assume the pilot is in  
25 control of the plane. You would agree that's not

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 unrealistic to assume that a pilot in control of  
2 the plane would take steps to avoid the PFSF.  
3 Correct?

4 A. It depends on the circumstances.

5 Q. But it's not unrealistic to assume that  
6 a pilot who, with time and circumstances  
7 permitting, I think those are your words some  
8 place, would attempt to take actions to avoid the  
9 site; correct?

10 A. Again, depending on the circumstances.  
11 If he didn't have the right -- if it wasn't the  
12 strip point in the weather blow, it is unrealistic.  
13 So I can't give you a categorical answer.

14 Q. Now, isn't it true that if there's  
15 weather below, he still would have situational  
16 awareness of certain types?

17 A. Of certain types. Trying to find a  
18 needle in the haystack is difficult if you just  
19 know where the haystack is.

20 Q. But you have also said, in the example  
21 we just had where a pilot was flying below the  
22 clouds, 3000 feet, going up to 3000 feet AGL, in  
23 many situations the pilot would avoid the site.

24 A. Absolutely. In that case it is very  
25 realistic and I completely agree that he would have

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 the ability to avoid the site.

2 Q. And again, PFS doesn't assume that in  
3 every instance a pilot is in control of the plane  
4 he will avoid the site. Right? They don't make an  
5 assumption that one hundred percent of the pilots  
6 in control will avoid the site?

7 A. You have reduced it by a factor of  
8 percentage.

9 Q. In Question and Answer 39, you go  
10 through some emergency procedures. Are those  
11 emergency procedures for any particular type of  
12 circumstance or are they always applicable or just  
13 for a particular type of failure?

14 A. Let me review them.

15 These are air combat command procedures,  
16 if you will, that apply to various fighter type  
17 aircraft. Each one is different because when I  
18 flew the F-111, for example, we would zoom but it  
19 was a different maneuver. We never jettisoned the  
20 stores because we never carried any. They were  
21 carried internally. You would modify by the  
22 airplane. So they are generic and they do apply to  
23 the F-16.

24 Q. They apply to the F-16 generically? In  
25 other words, let me just give you an example.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Suppose you have a hydraulic failure of System A.  
2 Would you necessarily jettison stores in that  
3 situation?

4 A. Give me a moment, please. It's been a  
5 couple years. System A, you said, sir?

6 Q. What was that?

7 A. System A?

8 Q. Yes.

9 A. If you have a System A failure, the  
10 check list - this is the expanded version, the  
11 pilots don't carry this, they carry a smaller  
12 version in the aircraft - would direct you through  
13 a series of three steps. And they are to land as  
14 soon as practical. System B hydraulic pressure  
15 indicator, monitor to be sure you have the backup  
16 system on. Fuel balance, monitor.

17 Q. So in that instance you would not  
18 jettison stores; is that correct?

19 A. That's correct. You would not.

20 Q. Let's go forward to Question and Answer  
21 45. I don't want to repeat what we discussed  
22 previously in this question and answer, I'm  
23 focusing on the second paragraph right now. And  
24 you say there that pilot is also trained to  
25 jettison all stores, for example fuel tanks,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701



1 ordnance before ejecting to reduce the aerodynamic  
2 drag on the aircraft to aid in the pilot's control.  
3 And then you also go on to say, "This also prevents  
4 their detonation if they remain on board, and crash  
5 as a result of crashing with the aircraft." Now,  
6 isn't it true that it's very remote that ordnances  
7 would detonate upon crashing?

8 A. It's very remote if you jettison or if  
9 you crash, either way, because the weapons haven't  
10 been armed. The pilot has to manually arm the  
11 fusing mechanism for the weapons in order for them  
12 to go off high order, just a big explosion.

13 Q. And therefore, that is not a realistic  
14 concern, is it, of explosion upon --

15 A. Well, you are going to have a large  
16 explosion, in any case.

17 Q. Not with respect to the ordnance,  
18 though?

19 A. I wouldn't think so, no.

20 Q. Also, I'd like to focus in on the first  
21 sentence of the last paragraph. There you say,  
22 "The pilot's focus on survival will limit or  
23 entirely prevent the pilot from evaluating where  
24 the aircraft will impact or trying to locate a  
25 specific site and maneuvering the crashing aircraft

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 away from it." Again, you would agree with me that  
2 that sentence is a very broad overgeneralization.  
3 Correct? It would depend upon the circumstances of  
4 the accident. Correct?

5 A. It would depend upon the circumstances  
6 of the accident.

7 Q. And again, if you broke down and focused  
8 on those pilots that were in control the aircraft,  
9 you would be talking about an entirely different  
10 situation than when pilots are not in control of  
11 the aircraft. Isn't that correct?

12 A. It is a different group. I'm not quite  
13 sure. Can you ask that question again?

14 Q. Well, I guess if you are not in control  
15 of the aircraft, and you only have -- because you  
16 are not in control of the aircraft, you can't fly  
17 it and you are going to crash relatively soon  
18 because of that; you are going to get out as  
19 quickly as you can. Correct?

20 A. There is no evaluation whatsoever of  
21 where the aircraft is going to impact, or you. You  
22 would get out of the aircraft as soon as possible.

23 Q. And on the flip side of the coin, where  
24 we have been talking about your engine failure  
25 where you have time to zoom up, time and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 circumstances permitting, a pilot would avoid a  
2 site on the ground. Correct?

3 A. Time and circumstances, yes, sir, he  
4 would.

5 Q. Just like we talked about.

6 A. That's correct.

7 Q. Now, on Question and Answer 48, to go  
8 on -- strike that.

9 In Question and Answer 46, you refer to  
10 the four accidents in which you talked to the  
11 pilots which we have already discussed to some  
12 extent. Correct?

13 A. That's correct.

14 Q. I would like to focus on the last  
15 sentence of that answer, where you say, "Thus the  
16 available information based on pilots who have  
17 actually ejected in emergency situations indicates  
18 that their attention during the emergency is  
19 riveted on their survival. Correct?

20 A. That's what it says, yes.

21 Q. Now, one of the accidents we talked  
22 about was Colonel Coots with the F-111 hydraulic  
23 failure, and you agreed that he was not in control  
24 of the plane, had no choice but to eject. Correct?

25 A. That's correct.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. And therefore, in terms of this case, it  
2 really wouldn't be relevant in terms of this last  
3 sentence because he didn't have a choice and,  
4 therefore, he wouldn't have time to focus on  
5 anything other than getting out; correct?

6 A. It's perfectly relevant, I think.  
7 Because he focused on survival.

8 Q. It's not relevant in terms of what a  
9 pilot would do if he was in control of the plane;  
10 correct?

11 A. That's not what we talked about when I  
12 interviewed him. So this is based on the  
13 discussion I had with him.

14 Q. So you would agree that that is not  
15 relevant, though, in terms -- his experience is not  
16 relevant of when a pilot is in control of a plane  
17 because it is an entirely different situation like  
18 we talked about, the two groups; one side of the  
19 coin you are in control and the other side of the  
20 coin you are out of control.

21 A. I can't speculate for him, sir. I'm  
22 sorry.

23 Q. We also talked briefly about the  
24 other -- two of the other accidents, the one in  
25 Cold Lake, Canada and the one at Hill Air Force

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Base with the pilot on the runway?

2 A. Yes.

3 Q. We have been able to obtain the accident  
4 reports for those and I would like to have you take  
5 a look at them.

6 A. Okay.

7 Q. I'd like to have these marked as PFS  
8 Exhibits 97 and 98. These are the accident reports  
9 for accident number two in footnote two of his  
10 testimony, the Cold Lake accident of June 21, 2000,  
11 and accident 3 of October 17 of 2001.

12 (EXHIBITS-97 AND 98 WERE MARKED.)

13 JUDGE FARRAR: The court reporter has  
14 marked the two documents as PFS 97 and 98 for  
15 identification.

16 MS. MARCO: Which one was PFS Exhibit  
17 97?

18 JUDGE FARRAR: 97 is the September 21,  
19 2000 report. And 98 is the February 6, 2002  
20 report.

21 JUDGE FARRAR: Maybe we could identify  
22 those better. 97 deals with the June 21, 2000  
23 accident. And 98 deals with the October 17, 2001  
24 accident. Those are the dates in footnote 2 of the  
25 testimony on Answer 46.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. Lieutenant Colonel Horstman you are  
2 reviewing the Cold Lake accident report?

3 A. Yes, sir.

4 Q. I'm going to be focusing my questions --  
5 obviously you need to review the whole thing to the  
6 extent you feel you need to. I'm going to be  
7 focusing my questions on Page 6 and Page 14.

8 MR. SOPER: Your Honor, might I suggest  
9 if Colonel Horstman is going to be quizzed on this  
10 that we might take a five minute break so he could  
11 read through it and other people could maybe  
12 stretch at the same time?

13 JUDGE FARRAR: Why don't we do that. It  
14 wouldn't interfere with anything and may save time  
15 in the long run as a general familiarity rather  
16 than having to keep asking for a pause. So --

17 MR. GAUKLER: And just for your  
18 information on the Hill Air Force Base one, I'm  
19 going to be focusing on Pages 4, 5, 6, and then  
20 there's a statement of opinion at the end on Pages  
21 20 and 21.

22 JUDGE FARRAR: All right, then --

23 MR. GAUKLER: And 22. Those are the  
24 pages I would be focusing on. So 4-6 and 20-22 for  
25 the runway accident.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 JUDGE FARRAR: Let's take a ten minute  
2 break to give the witness plenty of time and allow  
3 him to get a break, also. It is five of. We will  
4 be back at five after.

5 (A break was taken.)

6 JUDGE FARRAR: We took a little longer  
7 break than expected to let the witness review the  
8 documents and get his own break. So if the witness  
9 is ready, then we will continue.

10 A. Yes, sir.

11 Q. Let's focus on the Cold Lake, accident  
12 first, Colonel Horstman. And this was the accident  
13 where a pelican hit the canopy of the plane and  
14 temporarily caused the blindness of the pilot.  
15 Correct?

16 A. Correct.

17 Q. And if you turn to Page 6, you see that  
18 the plane was travelling at this time at 2200 feet  
19 AGL and 570 knots true air speed. Correct?

20 A. That's correct.

21 Q. And if you look at the Paragraph 14, it  
22 describes what happened during the accident;  
23 correct? The second paragraph under 11 --

24 MR. SILBERG: Page 14.

25 Q. Page 14. Sorry about that.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 A. Yes, it does.

2 Q. And it shows that there was a loud  
3 thunk, he said he could see the stars? Why don't  
4 you read the first sentence.

5 A. Describes hearing a loud thunk with  
6 things getting really, really loud and vision going  
7 dark; felt like his eyes were closed so tightly he  
8 could see the stars. MP could not tell if he  
9 actually closed his eyes.

10 Q. And he --

11 JUDGE FARRAR: Mr. Gaukler, it may be a  
12 minor point but you and the witness both read that  
13 wrong. It wasn't nighttime. He said he could "see  
14 stars", not "see the stars". May not matter, but  
15 depending on where your examination goes, it may  
16 matter.

17 Q. He could see stars from having a pelican  
18 crash into the canopy; correct?

19 A. That's what it says, sir.

20 Q. And he lost his vision; correct?

21 A. Correct.

22 Q. And it states in the last sentence that  
23 his vision returned approximately two minutes after  
24 landing on the ground; correct?

25 A. That's correct.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 Q. Does it also tell you -- how far does  
2 the plane -- if you go back to page 6, it says that  
3 the main aircraft impact site is located  
4 approximately two nautical miles northeast of the  
5 pilot landing zone.

6 A. It says that.

7 Q. So the plane landed about two miles from  
8 where the pilot, upon ejecting from the aircraft,  
9 landed.

10 A. That's what it says.

11 Q. Assuming that the pilot came down  
12 directly in a straight line, or close thereto, how  
13 long do you think the plane traveled after he  
14 ejected before it hit the ground? Can you  
15 calculate that?

16 A. For the --

17 Q. How much time. Time-wise.

18 A. Not accurately. I can give you a pretty  
19 good guess because when the pilot goes up, you know  
20 the rocket motor fires. I don't know what the  
21 exact aircraft parameters were, but the wind is  
22 going to carry the pilot because of the parachute.  
23 But if it is two miles apart, that is consistent  
24 with about 15 seconds or so, depending on a variety  
25 of things. It could be more and it could be less.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 If the aircraft were going straight up, you would  
2 expect them to land very close, and yet it might be  
3 two minutes before it landed. So without any more  
4 information, I would hesitate to hazard a guess.

5 Q. Now, going back to Page 14, the  
6 paragraph we were reading from, it talks about that  
7 he reported experiencing confusion and  
8 disorientation. The pilot did. That's the third  
9 sentence in that middle paragraph.

10 A. It says here that he was aware of his  
11 disorientation and loss of vision. So if he was  
12 disoriented, he was aware of it.

13 Q. Okay. And he was also, the last  
14 sentence of that paragraph states that the mishap  
15 pilot was able to recall the entire ejection  
16 sequence from seat separation to opening  
17 parachute's shock. Correct?

18 A. That's correct.

19 Q. So despite his disorientation, he was  
20 still able to follow the correct procedure to eject  
21 from the plane; correct?

22 A. That's correct.

23 Q. Now, isn't it true that a blinded  
24 pilot -- now, this pilot was blind for two minutes  
25 after he landed on the ground, so he couldn't see,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 would not be able to avoid a site on the ground in  
2 the event of a crash because he could neither see  
3 the site or read any of his navigational aids that  
4 might assist him in doing so?

5 A. He would have no ability to avoid the  
6 site.

7 Q. And therefore, if you were to classify  
8 this accident according to the categories that PFS  
9 did in the report, this would be under the category  
10 of able to avoid, this would be a no. Correct?  
11 Unable to avoid.

12 A. That is correct.

13 Q. And therefore, in terms of relevance in  
14 terms of what -- in terms of relevance in  
15 determining what a pilot would do for those pilots  
16 in control of the airplane, having the potential to  
17 avoid, it wouldn't be relevant. Correct?

18 A. I don't understand the question.

19 Q. Well, for a pilot, he would not be in  
20 control of a plane with any reasonable chance to  
21 direct it or anything like that. And it would not  
22 be relevant -- this type of accident would not be  
23 relevant for a pilot who was in control of the  
24 plane and could see the navigational aids in front  
25 of him and visually relevant to the extent there

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 was no cloud cover.

2 A. I don't understand. Relevance to what?

3 Q. Because this pilot was blinded, is this  
4 really relevant to a situation when a pilot is in  
5 control of the plane with his sight where he can  
6 see the navigational aids in front of him, whatever  
7 they may be, and see the surrounding area,  
8 depending upon weather? You would agree with me.  
9 Correct?

10 A. The pilot was in control of the  
11 aircraft. He elected to eject. It was a perfectly  
12 flyable, controllable flyable aircraft, and he  
13 elected to eject. I think that speaks for itself.

14 Q. Could he fly the plane blind?

15 A. He wasn't blind. He couldn't see. So  
16 if he is going to zoom, at what point is he in  
17 control or out of control? And if you gave a set  
18 of parameters, we could probably define it further.  
19 But there was nothing about the aircraft that was  
20 unflyable. The pilot elected to eject because he  
21 could not see.

22 Q. I don't know what you said initially. I  
23 thought you said he wasn't blind, but he couldn't  
24 see. He was blind for some period of time;  
25 correct?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           A.       Yes. And it states here two minutes  
2 after landing on the ground. But when he ejected  
3 he did not know that. So I cannot speculate what  
4 his thoughts were at the time, other than the  
5 aircraft was flyable and he elected to eject.

6           Q.       And he couldn't fly it at the point he  
7 elected to eject.

8           A.       He couldn't what?

9           Q.       Fly it when he elected to eject.

10          A.       I don't agree.

11          Q.       Isn't it true he was at 2200 feet, which  
12 is close to the recommended eject limit? Correct?

13          A.       I don't see the relevance of that.  
14 That's where he was.

15          Q.       He was not up high in the sky and had a  
16 lot of time to decide what to do; correct?

17          A.       That's why you zoom.

18          Q.       He had 15 seconds until the plane  
19 crashed; correct?

20          A.       No. I said that would be an estimation.  
21 If he zoomed, it would be a completely different  
22 set of circumstances. We don't know.

23          Q.       Okay. Let me ask you this question. I  
24 don't know if I got an answer to it. Is this  
25 accident relevant to a pilot who was in control of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 the plane who was not blinded, who could see the  
2 navigational aids in front of him and the  
3 surrounding area to the extent possible because of  
4 weather? Yes or no?

5 A. I have no idea what you are asking.

6 Q. Is this accident relevant to determining  
7 whether a pilot in a flyable airplane, controllable  
8 airplane, would be able to avoid a site or would  
9 take action to avoid a site where the pilot was  
10 able to see, was not blinded, so he could see the  
11 navigational aids and the surrounding area? Yes or  
12 no?

13 A. It is perfectly relevant.

14 Q. Okay. If you were blinded, would you  
15 continue to fly the airplane here?

16 A. It would depend on the circumstances.

17 Q. And what would those circumstances be?

18 A. The weather, the air speed, the  
19 temperature, the condition that I thought the  
20 airplane was in, whether I knew I had hit a bird,  
21 whether it was completely unknown that I had hit a  
22 bird. When you practice these things in the  
23 simulator and everything goes instantly blank, the  
24 first thing you do is zoom the aircraft. Upon  
25 reading this, I don't know that he zoomed the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 aircraft. I don't know.

2 Q. What would you have done in these  
3 circumstances as far as you know from this accident  
4 report, reading it, and from talking to the person  
5 who was involved?

6 A. Probably something very, very similar.

7 Q. I'd like to have you look at the -- does  
8 this accident report refer any place to jettisoning  
9 of stores? Did you see that, going through it?

10 A. I did not.

11 Q. Now, if you look at the wreckage or the  
12 impact area where they described the wreckage on  
13 Page 6, you see no reference there to jettison  
14 stores; correct?

15 A. In this, if I could go back and look at  
16 the whole accident. I don't recall any jettison  
17 stores.

18 Q. Okay. Now, let's go to the PFS Exhibit  
19 98 which is the October 17 accident. Now, this was  
20 the accident where the pilot was taking off at Hill  
21 Air Force Base and it was found out that the tire  
22 blew, and he aborted. Okay?

23 A. That's correct.

24 Q. Now, isn't it true, upon reading the  
25 accident report, if you look at Page 4, I believe,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 and I believe it is on subsequent pages, as well,  
2 that the pilot at approximately 1400 feet down the  
3 runway, the pilot reported a very loud explosion,  
4 saw a column of flame by the left side of his  
5 canopy, and experienced a slight deceleration.  
6 Correct?

7 A. That's what it says, yes.

8 Q. And based on that information that he  
9 had at that time in the cockpit, he concluded that  
10 he had an engine failure and elected to abort the  
11 takeoff for that reason. Correct?

12 A. That's correct.

13 Q. And that was a reasonable conclusion,  
14 given the information he had at that point in time?

15 A. It was not unreasonable.

16 Q. And that's what the accident report  
17 concluded; correct?

18 A. That's correct.

19 Q. That it was not an unreasonable  
20 conclusion or unreasonable action for him to take.

21 A. That's correct.

22 Q. Now, I believe you said before -- well,  
23 first of all, why is this accident even relevant,  
24 since the plane never took off, in terms of whether  
25 a pilot flying an aircraft through Skull Valley

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 would take action to avoid a site on the ground?  
2 Why is that even relevant in the first place, since  
3 the plane never took off?

4 A. Because what we were attempting to do  
5 when we interviewed these pilots, who were chosen  
6 not by us but by Hill Air Force Base officials, for  
7 their mental decision process when it came to  
8 ejecting and whether or not they had the ability to  
9 avoid a site.

10 Q. You said chosen by Hill Air Force  
11 officials. In what respect were they chosen by  
12 Hill Air Force officials?

13 A. I contacted the office of the 388  
14 operations group commander, explained what I wanted  
15 to do, and asked if there were any officers that  
16 had flown airplanes that had ejected; and if there  
17 had been, would they allow me to discuss the  
18 ejection part of their accident.

19 Q. And do you have any documentation  
20 concerning that interchange or the interviews?

21 A. No, I don't.

22 Q. So you don't have any notes of your  
23 interviews with these people?

24 A. My interview notes, we transcribed  
25 into -- I gave them to Connie and we put them into

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       whatever you read.

2               Q.       Okay. Looking at the accident report,  
3 going further down, so the pilot here -- I think  
4 you initially said that this was a flyable airplane  
5 and therefore the pilot could have taken off and  
6 therefore it is really very relevant. Correct?  
7 That was -- do you remember stating that?

8               A.       It is. And if you go back and look at  
9 what we now know about what the pilot, his decision  
10 process, the "Dash 1", the Bible, if you will,  
11 talks about aborting. And there's a warning which  
12 is a life or death situation. And it says,  
13 "Aborting takeoff at high speeds with a blown tire  
14 may be more dangerous than continuing with takeoff.  
15 For heavy gross weights takeoffs, an abort at high  
16 speed with a blown tire is extremely dangerous  
17 because braking and directional control are  
18 impaired." So if he would have decided that it was  
19 a blown tire, he would have taken off normally and  
20 landed at a much lighter gross weight.

21              Q.       And he didn't do that here because he  
22 thought he had an engine failure; correct?

23              A.       That's correct. He erroneously applied  
24 some information.

25              Q.       But it was reasonable information.

1 A. It is reasonable.

2 Q. And also, if you look at -- he didn't  
3 eject immediately, did he?

4 A. No, he did not.

5 Q. As a matter of fact, he only ejected  
6 after he began to lose control because of the  
7 damage caused by the blown tire and the reverse  
8 castoring of the wheel, as they described it?

9 A. No. I believe he ejected so he could  
10 save his life.

11 Q. He decided to eject -- now, look at the  
12 opinion summary on Page 20. It says in the second  
13 sentence -- in the second paragraph and the third  
14 sentence, it says, "The mishap pilot elected to --"

15 A. Which page?

16 Q. On Page 20.

17 A. Okay?

18 Q. "The mishap pilot elected to abort the  
19 takeoff. There is clear and convincing evidence  
20 that he was unable to maintain directional control  
21 on the runway due in large part to a phenomenon  
22 known as reverse castoring. When it became evident  
23 that the aircraft would depart the runway, the  
24 pilot successfully ejected." Correct?

25 A. That's what it says.

1 Q. Doesn't that show that he ejected only  
2 when the aircraft -- when he couldn't control the  
3 aircraft and the aircraft began to leave the  
4 runway?

5 A. When you go through your aircraft  
6 specific training, one of the things that is  
7 commonly discussed --

8 Q. I would appreciate a yes or no answer  
9 first.

10 A. Please restate the question.

11 MR. GAUKLER: Please read it back.

12 (Record was read as follows:

13 "Doesn't that show that he ejected only  
14 when the aircraft -- when he couldn't control  
15 the aircraft and the aircraft began to leave  
16 the runway?"

17 A. There's two different questions in there  
18 so I can only answer one. One is when he was on  
19 the runway and the other is when he lost control.  
20 Which one would you like me to answer?

21 Q. You can't answer that question yes or  
22 no?

23 A. I cannot answer it yes or no.

24 Q. Give me your answer, then, and we will  
25 see why you can't answer it yes or no.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           A.       The pilots are trained to always stay on  
2 a prepared surface. If they are going to depart a  
3 prepared surface, meaning a runway, a taxiway,  
4 where there's blacktop, and you are going to go  
5 into the dirt, if you do that at anything over the  
6 speed which you would walk, there's a high  
7 probability that the airplane is going to turn over  
8 and kill you. So we always said that if you are  
9 taxi speed or higher and you depart a prepared  
10 surface, you eject. I have taxied an airplane and  
11 lost my nose wheel steering and was out of control  
12 until I was able to stop the aircraft. I didn't  
13 eject. If I had been at 30 miles an hour and gone  
14 into the infield where there's ditches and dirt, et  
15 cetera, I would have ejected. So exactly which  
16 part of that ejection -- in my opinion, he ejected  
17 because he was going to depart the prepared surface  
18 at a speed faster than a walk.

19           Q.       And he was going to depart the prepared  
20 surface because he lost, as it says here, ability  
21 to maintain directional control on the runway.  
22 Isn't that correct?

23           A.       That's why he was departing the surface.

24           Q.       And if he had maintained directional  
25 control of the aircraft, he would not have departed

1 from the runway; correct?

2 A. That's an assumption. But I would  
3 assume so. I don't know.

4 Q. So it's correct to say that he ejected  
5 when he lost directional control of the aircraft  
6 and started to go off the runway. The answer is  
7 yes then, isn't it?

8 A. The answer is no. There's two separate  
9 -- you have to look at the timeline. The aircraft,  
10 when he aborted, was perfectly controllable for  
11 over 2000 feet. Then it became uncontrollable and  
12 he decided to do something about it, which was not  
13 eject. When he realized the aircraft was not going  
14 to remain on the prepared surface, he ejected.

15 Q. Did this person jettison storage before  
16 he ejected?

17 A. I don't recall. I'd have to read it  
18 again, but . . .

19 Q. I think there's something on page -- I  
20 see some stuff on the bottom of Page 9 and top of  
21 Page 10 where it talks about stuff like the right  
22 fuel tank being partially torn off.

23 A. The checklist procedures do not direct  
24 you to jettison ordnance on an abort because the  
25 way they are jettisoned, the front part of the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 pylon, which attaches to the wings, explodes first  
2 and so the nose of the ordnance or the fuel tank or  
3 whatever is in the wing would begin to drop, and  
4 then the aft one does. If you do that on the  
5 ground, it can cause a number of problems. One is  
6 it can collide with the aircraft. If you are  
7 trying to do a cable engagement with your tail  
8 hook, it would interfere with that. So they don't  
9 direct it. And I could go back and read  
10 specifically --

11 Q. You would not have expected him to  
12 jettison ordnance in this case?

13 A. No, sir, I wouldn't.

14 Q. I think you also -- one of the accidents  
15 also concerned Colonel Coots landing that F-111;  
16 correct?

17 A. Yes, sir.

18 Q. And again, I just heard you tell me that  
19 F-111's, you don't eject ordnance because of a  
20 different type of system? Is that correct?

21 A. It depends. If you are carrying all  
22 your ordnance internally, you do not jettison your  
23 ordnance. If you are carrying the small 25-pound  
24 bombs, they are not wired to be jettisoned. If you  
25 are carrying long-range external fuel tanks, then

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 you would jettison those. But you didn't carry the  
2 fuel tanks very often.

3 Q. And you didn't -- the fuel tanks would  
4 not be ordnance; correct?

5 A. Well, they are not a weapon ordnance.  
6 They are external stores.

7 Q. Okay. But you don't carry those --

8 A. I have a nomenclature problem.

9 Q. Did you ask Colonel Coots if he  
10 jettisoned?

11 A. I did not ask him that. I quite  
12 honestly assume he did not, because it was rare to  
13 fly the F-111 with long-range fuel tanks.

14 Q. Given that, going back to the first  
15 paragraph of Answer 46, the third sentence, you say  
16 that all four pilots said their thoughts were  
17 focused on their own survival and all the pilots  
18 said they did not even consider where the aircraft  
19 would impact, and did not consider where the  
20 jettison stores would impact. Now, isn't it true  
21 that at least two of those accidents, as far as you  
22 can best tell, did not even involve jettison  
23 stores?

24 A. That's correct.

25 Q. And therefore that sentence is not

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 correct, because not all four pilots had to worry  
2 about jettison stores; correct?

3 A. I think you are correct, yes.

4 Q. I'd like to go on to --

5 JUDGE FARRAR: Mr. Gaukler, are you  
6 leaving this accident?

7 MR. GAUKLER: Yes, I am.

8 JUDGE FARRAR: Then let me ask a couple  
9 of questions. There was a problem here with the  
10 nose wheel. Under what circumstances can you take  
11 off and land with landing gear problems? Can you  
12 land those with no gear on foam safely?

13 LT. COL. HORSTMAN: They don't use foam  
14 anymore, sir. And I'll -- give me a second and I  
15 will give you some specific answers.

16 In the back of the "Dash 1", the  
17 checklist, it gives you a variety of circumstances.  
18 The left main landing gear will not extend; do you  
19 land or do you not? And it depends on whether or  
20 not you have a fuel tank in the left wing. Do you  
21 land when the nose gear is not extended? Well, it  
22 gives you a whole checklist to follow. You can  
23 land the F-16 without either main gear, and it's  
24 been done. And it's not necessarily recommended  
25 but it can be done and it has been done. So there

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 are a series of circumstances --

2 JUDGE FARRAR: And what does the air-  
3 field do to prepare for you coming in without your  
4 gear?

5 LT. COL. HORSTMAN: Everybody but the  
6 fire department leaves. The fire department is at  
7 the approach of the runway, and when you hit they  
8 chase you.

9 JUDGE FARRAR: But there's no foam.

10 LT. COL. HORSTMAN: No, sir. They don't  
11 use that anymore. They found it doesn't do much.  
12 One of the things you would do if you were going to  
13 land an airplane with a blown nose gear tire or any  
14 of the landing gear didn't work or any of that kind  
15 of stuff, is reduce your weight to the lightest  
16 gross weight possible, and that would obviously  
17 depend on whether you would jettison your external  
18 stores or keep them or what have you. So because  
19 you would land with a low fuel state, that's one of  
20 the reasons. They haven't done that for years.

21 MR. SILBERG: Done what for years?

22 LT. COL. HORSTMAN: Foam the runways.  
23 It is done at some civilian airports, and some it's  
24 not. Southwest landed an airplane without a left  
25 wing landing gear about three years ago in Ontario,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 California. They didn't foam the runway. That's  
2 kind of a movie thing.

3 JUDGE FARRAR: We have had a long  
4 history with movies in this proceeding.

5 LT. COL. HORSTMAN: Does that answer  
6 your question, sir?

7 JUDGE FARRAR: Yes, it does. The other  
8 question, you talked about that the weapons  
9 wouldn't explode on impact unless they were armed.

10 LT. COL. HORSTMAN: Yes.

11 JUDGE FARRAR: Tell me what "arming"  
12 means and why, without arming, they would not  
13 explode on impact.

14 LT. COL. HORSTMAN: The weapons carried  
15 by most all of the airplanes in the Air Force are  
16 the bomb body, a nose attachment, and a tail  
17 attachment. The tail attachment can be guidance  
18 fins or regular aerodynamic fins. The nose would  
19 contain a fuse.

20 So inside the bomb, and let's just say  
21 that it is tritinol, a high explosive inside the  
22 bomb. That is a stable explosive. You can hit it  
23 with a hammer and it won't blow up. The fusing  
24 mechanism is what causes the bomb to detonate. And  
25 the fuse has various settings for upon impact how

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 long it delays in milliseconds it will blow up, so  
2 you can get farther into the dirt or building  
3 before it blows up. But the fusing mechanism is  
4 both physical and a switch.

5 When you take off, the fuse in the front  
6 of the airplane is wired to the safe position. And  
7 then you would arm the weapon so that when the bomb  
8 falls off of the aircraft, a wire is then  
9 subsequently pulled from the fuse, which arms it.  
10 There are electrical ones, as well. But the bombs  
11 are designed to not explode unless the fuse is  
12 activated. And the fuse is activated by timers or  
13 wind or a little propeller in the front, or a  
14 variety of things.

15 When you jettison, you have not armed  
16 the munition. Even if you had, on the type that  
17 has the wire, there's a solenoid that would release  
18 and that wire would no longer stay attached to the  
19 aircraft. So there's a couple of fail-safe type  
20 mechanisms.

21 I have jettisoned a number of high  
22 explosive bombs due to whether they were hung, a  
23 variety of things, and did not arm them on purpose.  
24 And they hit the ground just like one filled with  
25 concrete would.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 JUDGE FARRAR: Okay. Thank you.

2 Q. (By Mr. Gaukler) I'd like to go to  
3 Question and Answer 48. And there the question is,  
4 "Explain why a pilot of a crashing F-16 may not  
5 have time to maneuver the aircraft to avoid impact  
6 to the PFS facility prior to ejecting." And the  
7 last sentence to your answer says, "There is often  
8 only seconds or no time remaining after required  
9 emergency procedures and before ejection to assess  
10 and carry out measures that would avoid impact on  
11 the PFS facility site."

12 Now, the question whether a pilot would  
13 have time to avoid the PFS site or time to maneuver  
14 to avoid the PFS site again would differ depending  
15 upon the two categories of accidents we have been  
16 talking about; whether he was in control of the  
17 plane with the potential ability to avoid, or  
18 whether he was not in control of the plane with no  
19 ability to avoid. Correct?

20 A. I don't agree with that.

21 Q. You don't agree with that? You don't  
22 think there would be any difference in the time  
23 allowed? In other words, if you are not in control  
24 of the plane, you are going to have less time to  
25 take the steps, whatever it is --

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           A.       No, not necessarily. And there's a  
2       number of examples. The example we just discussed  
3       in Cold Lake, he ejected. So this was a minimal  
4       amount of time in a controllable aircraft. Again,  
5       it would depend on a variety of circumstances. And  
6       if the aircraft were out of control, then he might  
7       skip everything and jump out, or he might try, as  
8       the Atlantic City guard tried, for 30 seconds to  
9       keep flying the airplane. So it depends on the set  
10      of circumstances.

11          Q.       So it would depend upon whether -- one  
12      of the circumstances would be, in part, whether you  
13      were in control of the plane or not, because if you  
14      were not in control of the plane it would be like  
15      you would have less time; isn't that true? As a  
16      general matter?

17          A.       I can't generalize like that. That  
18      would be a factor.

19          Q.       I think you gave us an example of a  
20      situation where you were at -- assuming you were at  
21      Tempe Springs and hit a bird and you were able to  
22      continue to fly, I think you calculated 17 miles in  
23      that instance?

24          A.       Yes, sir.

25          Q.       And how much time was that, about?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           A.       That would be probably three to four  
2 minutes. It's just a guess off the top of my head.

3           Q.       In Question and Answer 52 -- excuse me.  
4 Question and Answer 51 I want to go to. There you  
5 say or you refer to a Lockheed Martin document  
6 which you claim shows that 52 percent of F-16  
7 accidents are caused by pilot error. Do you see  
8 that?

9           A.       Yes, sir.

10          Q.       Now, you reviewed the accident reports,  
11 the 126 or whatever it is --

12          A.       Yes, sir.

13          Q.       -- accident reports.

14          A.       Yes, sir.

15          Q.       And do you remember the most likely  
16 cause of failure in that instance was engine  
17 failure; correct?

18          A.       In that database, yes.

19          Q.       And it was approximately or roughly  
20 half; correct?

21          A.       That's correct.

22          Q.       And in your review of that database, you  
23 agreed that roughly half were engine failures;  
24 correct?

25          A.       Yes, sir.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Q. And you would say that most time engine  
2 failure does not involve pilot error? The great  
3 majority of the time?

4 A. Yes, sir, I think that is fair to say.

5 Q. And is it also true to say that you are  
6 going to have accidents that occur on the range  
7 that may or may not involve pilot error? Strike  
8 that.

9 Isn't it true that you are more likely  
10 to have pilot error that might cause an accident  
11 when you are doing your high stress maneuvers on  
12 the range in air-to-air combat training, for  
13 example, or something like that?

14 A. I don't know for a fact.

15 Q. But you are under more aggressive  
16 maneuvering and stress and have to respond much  
17 more quickly than you do when you are flying down  
18 Skull Valley, for example. You wouldn't do any  
19 dogfighting on the range.

20 A. You are flying much more aggressively.  
21 I'm not sure I understand the question. You  
22 clearly are performing, the aircraft and your body,  
23 in a very stressful environment.

24 Q. And you are going at -- a stressful  
25 environment with less time to react, et cetera,

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 given the speeds and the circumstances, generally  
2 speaking when you are --

3 A. Not generally speaking. There are  
4 specific times, pulling out of a dive bomb pass for  
5 example, that you would want to be very accurate.  
6 There are other times it is a very routine -- I  
7 would say that as a general characterization, over  
8 80 percent of the time on the bombing range is  
9 straight and level, or a 30-degree bank turn. Very  
10 generic. So categorizing on a bombing range versus  
11 doing some high performance activity, you have to  
12 delineate both.

13 Q. And let's focus on the high performance  
14 activity. You would agree that in your high  
15 performance stressful activity, just the situation  
16 would be less forgiving of pilot errors that might  
17 cause an accident; correct?

18 A. I agree with that, yes, sir.

19 Q. Going on to Question and Answer 53.  
20 Excuse me. To 61. You say there, the question  
21 there, "Are there factors other than weather that  
22 could prevent a pilot from locating the proposed  
23 PFS site?" And you say that if an accident -- "If  
24 accident circumstances do not require an immediate  
25 ejection, a pilot will lift the nose of the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 aircraft during an emergency procedure which limits  
2 the pilot's visibility." I thought, for example in  
3 the example you gave of your hypothetical at Tempe  
4 Springs, that at some point in your example you  
5 pushed the nose over. You zoom initially, for  
6 example, on the engine failure and then you push  
7 the nose over and you kind of glide downward.  
8 Correct?

9 A. That is correct.

10 Q. And so in that instance the nose would  
11 not prevent your seeing everything, things in front  
12 of you; correct?

13 A. On the contrary, it would for a period  
14 of time. And again, for a period of time it would  
15 not. So there are a number of factors. And that's  
16 one of them. For a certain period of time your  
17 nose is going to be climbing up to 30 degrees, so  
18 you can't see any of the ground in front of you  
19 which would eliminate your ability to locate  
20 anything.

21 Q. That being the zooming process when you  
22 are zooming up?

23 A. In that case, yes.

24 Q. And then when you turn over, after you  
25 get done zooming up and you turn down, turn your

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 nose down to glide, that would no longer be the  
2 case?

3 A. You point the nose down. You turn it  
4 left and right.

5 Q. Okay.

6 A. But when you begin your glide descent,  
7 if it is a clear, beautiful day, then your vision  
8 would be restricted to below your flight path.  
9 Things are under the nose of the aircraft and you  
10 would avoid those because you would overfly them.

11 Let me expand. I see a question mark.  
12 If there was a road intersection that you did not  
13 see in your glide, and you were gliding directly  
14 towards it, based on the previous testimony of Col.  
15 Fly and myself, you would overfly that before you  
16 impacted. So you would have limited visibility of  
17 your flight path but you would overfly that object.

18 Q. You would overfly the object that you  
19 would have limited visibility of before you would  
20 impact the ground?

21 A. Yes, sir.

22 Q. And so it's -- looking at the last  
23 sentence, based on what you just described to me,  
24 view of the ground is not blocked for the entire  
25 distance the aircraft would glide, as you state

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       there then. Isn't that correct?

2           A.       No. It is very true. If you were going  
3       to head long to the PFS site, say a couple miles  
4       long, you would never see it.

5           Q.       You would have overflowed it then, in  
6       that hypothetical. You just told me a mile --

7           A.       The question asks whether it would  
8       prevent a pilot from locating the PFS site. And in  
9       that case it would prevent you from locating the  
10      site. It doesn't ask whether or not I would impact  
11      the site.

12          Q.       But in that case where you wouldn't see  
13      it, you would have overflowed the site before you  
14      would have impacted it, correct?

15          A.       In that example, yes.

16          Q.       Let's go on to Question and Answer  
17      number 62. You say there, the question there is,  
18      "In its crash report PFS states that if the  
19      proposed PFS site is not visible, the pilot would  
20      use navigation instruments or radio to locate the  
21      site. Is that correct?" And you say, "No, it's  
22      not correct. First of all, the pilot would have  
23      available navigational aids that would provide him  
24      with situational and positional awareness."  
25      Correct?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 A. Correct.

2 Q. And whether or not it precisely located  
3 the PFS site or not would depend upon whether or  
4 not you had it programmed into your heads-up  
5 display. Correct?

6 A. Whether that was a programmed turn  
7 point? The avionics would give you information  
8 towards that. You don't program your heads-up  
9 display.

10 Q. I'm sorry about the terminology.

11 A. That's okay.

12 Q. So in some circumstances it would be  
13 correct that you could or you would see it on your  
14 avionics, and other circumstances you would have  
15 the avionics to provide you with a general  
16 situational and positional awareness; correct?

17 A. It depends. And let me expand. In the  
18 examples we have used before, you go from that  
19 knoll or the peak or the ranch that is about 10  
20 miles north, east of the PFS site. If that was a  
21 steer point and then the downtrack was another  
22 steer point and you were the wingman flying on the  
23 right, your navigation instruments would be a  
24 secondary tool to you. Your first tool to navigate  
25 is your flight leader. And your instruments would

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 provide you with very little useful information.  
2 You would know that you would be right of course,  
3 and you would be five degrees or eight degrees or  
4 ten degrees right of course. And you would know  
5 the distance to the next steer point, which would  
6 provide you with, if you were a normal person,  
7 essentially no information with respect to a  
8 detailed location of the PFS site.

9 Q. Now, you are assuming in that instance  
10 that the pilot has no familiarity with the area but  
11 having flown in Skull Valley several times you  
12 would be familiar with where the PFS site was  
13 generally located in terms of your steer point;  
14 correct?

15 A. The question I'm trying to answer is  
16 whether or not the navigation aids, instruments, or  
17 the radio on the aircraft are going to help the  
18 pilot locate the site. And they will not in this  
19 case.

20 Q. Well, you said they would give you where  
21 you are in terms of steer point, so you'd know  
22 where you were in terms of steer points. And if  
23 you know where the PFS site was in terms of steer  
24 point, that would give you situational awareness;  
25 correct?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           A.       Basic situational awareness would  
2       probably be easier to obtain by looking east  
3       towards the mountains. Because if you were to  
4       assume that each of these sites -- let's take the  
5       PFS site for example. Every second the radial and  
6       the DME from the next steer point changes, and you  
7       never measure what that is so you have no accurate  
8       information to provide you with any useful  
9       information, whether it is a 360 for 18 DME, or 350  
10      for 17 DME, it's of no use to you because you are  
11      trying to triangulate and you only have one leg of  
12      the triangle built.

13           Q.       You are talking about a wingman, in this  
14      instance, as opposed to the leader?

15           A.       In that case. And if it was the leader,  
16      he would know whether or not he was on course and  
17      whether or not they were or the distance remaining  
18      to the next steer point. And if they were doing a  
19      g warmup turn, he would only know that he was, in  
20      this case, west of his course. How far west is in  
21      degrees, so if you are going to do the math, at 18  
22      miles how far is 10 degrees? It is not something  
23      that a pilot does. He worries about the next story  
24      point.

25           Q.       Now, you say here in Question and Answer

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 62, if the engine fails, the precision in the  
2 navigation system is reduced. The instruments work  
3 on and off for short periods of time as the  
4 electrical system switches the backup systems, so  
5 the pilot cannot rely on them. You remember that  
6 this question of the backup came up in Col. Fly's  
7 testimony.

8 A. Yes, sir. And I also don't know the  
9 exact number of seconds, but it flickers for a  
10 while.

11 Q. You would take my representation that  
12 the exhibit that we introduced as I think PFS  
13 Exhibit -- one of the exhibits, I won't try to go  
14 from memory, said that it would come up in two  
15 seconds?

16 A. It could be two seconds or longer,  
17 depending on how long the secondary power bus took  
18 to power up.

19 Q. I would like you to take a look at --  
20 I'm going to show you PFS Exhibit 000. May I  
21 approach?

22 I'm showing the witness PFS Exhibit 000  
23 which is a page from the "Dash 1", and I'm going to  
24 ask him to look at the paragraph that says EPU  
25 operation, and in particular the last sentence.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 And tell me what it says in terms of the time for  
2 the, the approximate time for the EPU to come up to  
3 speed?

4 A. The EPU is the emergency power unit.  
5 And the sentence is, "After receiving any start  
6 command the EPU requires approximately two seconds  
7 to come up to speed." And what happens is that the  
8 aircraft loses electrical power, the engine seizes,  
9 no more generator, whatever the case. The EPU  
10 receives a signal and says, "We need power," and it  
11 fires up and it takes up to two seconds to come up  
12 to speed. Once it has come up to speed, then it  
13 powers the emergency bus. And every simulator I  
14 have had, the amount of time it takes to power that  
15 bus and provide me with information varies. It is  
16 not instantaneous. And when it does provide me  
17 with information, it provides me with a lot less  
18 information than it did before.

19 For example, if I was aiming at a road  
20 intersection or the PFS site and I had my TD box,  
21 the target designator box that we discussed, that  
22 means that I'm in a bombing mode, a simulated  
23 bombing mode to improve the accuracy of the  
24 avionics. And I have the target designator box out  
25 in front of me, and hopefully it will be right

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 around the intersection or PFS site or whatever  
2 point on the ground I have selected.

3 When I lose electricity, and the  
4 emergency bus becomes powered, my bombing mode goes  
5 away so the target designator box is no longer  
6 there. You lose your employment capability, which  
7 is your air-to-air and your air-to-ground and your  
8 radar. And so all of the things that you are used  
9 to seeing are no longer available as useful pieces  
10 of information. There is steer point information  
11 available. But all of the normal HUD indications,  
12 which would provide you with a lot of awareness,  
13 are gone.

14 So whether it takes two seconds or four  
15 seconds, you have lost your engine in this case,  
16 and you are trying to figure out where you are.  
17 And what you look at in front of you in the  
18 heads-up display is not what you expect to see. So  
19 you are trying to orient yourself with information  
20 that is unfamiliar for a period of time.

21 What a pilot would then do, if he or she  
22 was trying to precisely locate where they were, is  
23 to go back to the navigation mode, which requires  
24 some switchology. And at the same time you are  
25 competing for what the checklist requires you to do

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 for zooming, jettisoning your stores, restarting  
2 the engines, doing all those kinds of things. And  
3 in that period of time, where you are is completely  
4 irrelevant. So there's a period of time where your  
5 navigation instruments do not provide you with any  
6 assistance.

7 Q. And it's true that your heads-up display  
8 will still continue to display your steer points  
9 once the EPU comes back on line; correct?

10 A. It would. But they don't come up  
11 immediately. The first thing that comes up is the  
12 pitch ladders. And as the avionics and navigation  
13 systems begin to turn on into the heads-up display,  
14 then you would see them, yes. They do eventually  
15 come on. The exact amount of time, I don't  
16 remember.

17 Q. Now, let's go on further, I think, in  
18 this question and answer. You say that a pilot  
19 would not call Clover Control to locate the  
20 proposed PFS facility when time is critical in an  
21 emergency. Now, isn't it true that in some of the  
22 accident reports, we see instances where pilots who  
23 may not see the ground because of cloud cover call  
24 air traffic or call their control and get directed  
25 away from an area to avoid a site or populated area

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 or some area on the ground?

2 A. Yes, there are instances. Clover  
3 Control can't see you when you are in Sevier B MOA.  
4 So if you are above Sevier B MOA, you could ask  
5 them for help if you had the time and energy or if  
6 your flight leader or wingman had the time or the  
7 forethought to do that. But in Sevier B they are  
8 of little use. And then in your descent, they  
9 would not be able to provide you any information  
10 once you went down behind the mountains.

11 Q. Let me ask how do you square your answer  
12 there with the answer, Question and Answer 28,  
13 where you claim that pilots can and do fly through  
14 Sevier B MOA under IFR. IFR is Instrument Flight  
15 Rules?

16 A. It is.

17 Q. And in Instrument Flight Rules you are  
18 under control from Clover Control. Correct?

19 A. No, sir. Instrument Flight Rules are  
20 the rules set for which you are flying under, the  
21 weather condition would be VMC. When you take off  
22 at a Hill Air Force Base --

23 JUDGE FARRAR: Weather condition would  
24 be what?

25 LT. COL. HORSTMAN: There's a rule set

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 and a condition --

2 JUDGE FARRAR: No, no. You used an  
3 acronym.

4 LT. COL. HORSTMAN: VMC, visual  
5 meteorological conditions.

6 When you take off from Hill Air Force  
7 Base, the routine procedure is to file an IFR  
8 flight plan and take off under an IFR flight plan  
9 and then proceed with that IFR flight plan through  
10 the flight. You can cancel your IFR flight plan  
11 and you can pick it back up. Whether you are in  
12 the clouds or out of the clouds is a flight  
13 condition, not the set of flight rules.

14 Q. I guess my question was, the Question  
15 and Answer 28 is, "Are all flights in the Sevier B  
16 MOA flown under visual flight rules?" And the  
17 answer is, "No. Pilots can and do fly F-16s  
18 through the Sevier B MOA under Instrument Flight  
19 Rules as well as Visual Flight Rules." So I take  
20 it there you are saying that pilots can fly through  
21 the Sevier B MOA under Instrument Flight Rules,  
22 first of all; correct?

23 A. You can fly under both. They can't see  
24 you on the radar, but you have procedural service.  
25 They pick you up when you exit down to the south.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. Now, so you are saying -- doesn't Clover  
2 Control provide a minimum en route altitude for  
3 flying Instrument Flight Rules?

4 A. They do.

5 Q. And the minimum en route altitude is  
6 something that you are supposed to fly above;  
7 correct?

8 A. If you are operating under that, yes.  
9 You can say, "We are going to descend," and they  
10 give you procedural service.

11 Q. And isn't minimum altitude, en route  
12 altitude in Sevier B above 9500 feet MSL?

13 A. For most of it, yes.

14 Q. And so wouldn't that preclude you from  
15 flying IFR in --

16 A. No. You can keep your IFR clearance and  
17 fly under a procedural service and you tell them  
18 where you are. And they say, "Fine. Call us when  
19 you get out."

20 Q. I asked you something like this in your  
21 July, 2001 deposition. If you will turn to that on  
22 Page 46, please.

23 JUDGE FARRAR: Mr. Gaukler, is this  
24 still on the same subject?

25 MR. GAUKLER: Yes.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 JUDGE FARRAR: Tell me when you are  
2 leaving it because I have a follow-up question to  
3 ask to something that you asked.

4 A. What page?

5 Q. Bottom of Page 45 and top of Page 46.  
6 We were talking about IFR in Skull Valley. And you  
7 say, "I think technically," and I'm reading from  
8 46, the second sentence, "I think technically they  
9 could control you for a brief period of time  
10 because of the radar line of sight down that low.  
11 But if you are going to go through there under  
12 Instrument Flight Rules, in my experience most of  
13 us would fly in the higher altitude above the MOA."

14 A. That's correct.

15 Q. So what you are saying is that even if  
16 technically you might be able to fly in the MOA --  
17 strike that.

18 Even if you could technically fly IFR  
19 within the Sevier MOA, you generally would not do  
20 that?

21 A. I generally would not.

22 Q. Okay. I'm ready to go on to the next  
23 question.

24 JUDGE FARRAR: Let me follow up with  
25 Answer 62 on Page 26. Mr. Gaukler asked you about

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 this. I could read the last two sentences of that  
2 as indicating that the PFS facility is so small or  
3 so lacks significance in an emergency that you  
4 wouldn't call, you just wouldn't bother to ask  
5 about it. But that's not what I thought I heard  
6 you answer a few minutes ago. You gave an answer  
7 that sounded different from that. So can you  
8 reconcile your answer of a few minutes ago with the  
9 implication I could draw from reading the answer or  
10 the last two sentences of Answer 62.

11 LT. COL. HORSTMAN: Yes, sir. There are  
12 many times, most of the time you wouldn't call for  
13 help anywhere. You are in a military operating  
14 area. There's generally very little in the area or  
15 in cities, et cetera. Clover Control is -- what's  
16 a polite way to say this? They offer little  
17 utility in directions unless you are going to  
18 encroach upon the range air space. They have a  
19 radar. The information they have on you is  
20 historical data. If you turn 30 or 45 degrees they  
21 don't know it until the next radar sweep, the next  
22 interpretation, sometimes up to 45 seconds to a  
23 minute. They offer you very little support to  
24 providing accurate navigation. If you were at  
25 30,000 feet and heading east you would say, "I have

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com



1 a lot of time." If you are with clover they saw  
2 you approaching the eastern edge of the boundary.  
3 They would tell you that.

4 If you asked where Salt Lake City is,  
5 they would tell you "east". It is not marked on  
6 their map. They have no reference information for  
7 where this site is. Whether they would put it on  
8 their displays, I don't know. But they would offer  
9 you very little utility. And more importantly the  
10 pilot in military operating area historically isn't  
11 going to ask.

12 Now, if you were flying in a  
13 semi-populated area and thought about it, had the  
14 time and the situation and you did, then it would  
15 not be Clover Control. It would be Salt Lake  
16 Approach Control. And they would be probably much  
17 more in tuned to where stuff was. Does that help?

18 JUDGE FARRAR: Yes. Salt Lake Approach  
19 Control is civilian operation or is that part --

20 LT. COL. HORSTMAN: Yeah. Well, it is  
21 federal, but it is civilian, yes.

22 JUDGE FARRAR: I mean civilian as  
23 opposed to the military. The FAA runs that.

24 LT. COL. HORSTMAN: Yes, sir. Just like  
25 the Phoenix Approach Control. It is for

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 controlling all the airplanes, but it is primarily  
2 for the civilian traffic.

3 JUDGE FARRAR: Thank you. Just to  
4 follow up on another subject you were discussing,  
5 what powers the EPU? Is that a battery deal or --

6 LT. COL. HORSTMAN: No.

7 JUDGE FARRAR: -- airplane speed?

8 LT. COL. HORSTMAN: A chemical called  
9 hydrozyne which, about one part per million will  
10 kill you, apparently. It's real dangerous stuff  
11 and very volatile.

12 JUDGE LAM: Judge Farrar, are you done  
13 with the Colonel? Okay. Colonel Horstman, where  
14 is Clover Control located?

15 LT. COL. HORSTMAN: A couple of  
16 different locations, depending whether they are  
17 deployed. They normally set up at Hill Air Force  
18 Base in a building at the Air Force base.

19 JUDGE LAM: What are their general  
20 capabilities? In your testimony you say they may  
21 or may not be able to locate the aircraft.

22 LT. COL. HORSTMAN: They have radar line  
23 of sight throughout the primary UTTR and going from  
24 the UTTR. In the south end of the UTTR, the lower  
25 you go, the less they can help you because they

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       lose their radar line of sight. So their  
2       capabilities in the northern UTTR are very good for  
3       a radar control agency. In the south UTTR it is  
4       very good for all of it except for the southern  
5       fringes of lower altitudes. And then when you get  
6       over to the far west, you lose some. In Skull  
7       Valley, because of the mountains to the west, they  
8       don't have line of sight to the mountains. So that  
9       is more of their line of sight geographical  
10      capability. They use a radar that they can -- use  
11      just a regular radar or your IFF squawk, your  
12      electronic transmitter. And they provide you with  
13      air space control, et cetera.

14               JUDGE FARRAR: Mr. Gaukler, thanks for  
15      allowing the interruption. We wanted to clarify  
16      that at the same point in the record that your  
17      questions appeared.

18              Q.       (By Mr. Gaukler) Question 65 asks, "Are  
19      you aware of any published authorities or articles  
20      in military or industrial journals that suggest  
21      that the success rate of F-16 pilots in avoiding  
22      aircraft crash impacts to a specific site can be  
23      predicted or quantified?" And your answer is no.  
24      Correct?

25              A.       That's correct.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Q. You were here during the redirect  
2 testimony of Gen. Cole, Gen. Jefferson, and Col.  
3 Fly; correct?

4 A. That's correct.

5 Q. And do you recall their reference to an  
6 English study in which there was a study of the  
7 pilot's ability to avoid a site when they were in  
8 control of the plane?

9 A. I remember the discussion about it. I  
10 don't consider them an authority.

11 Q. You don't consider them an authority?

12 A. No.

13 Q. Okay. I'd like to go on to Question and  
14 Answer --

15 JUDGE FARRAR: Wait. "Them" meaning --

16 LT. COL. HORSTMAN: The authors of that.

17 JUDGE FARRAR: The authors of the study?

18 Q. (By Mr. Gaukler) Do you know the  
19 authors of the study?

20 A. No, I do not.

21 Q. How do you know they are not  
22 authoritative, then?

23 A. I don't consider them an authority.

24 Q. You don't consider them an authority  
25 even though you don't know who they are?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           A.       You have to prove to me that they are an  
2 authority as opposed to me assuming that they are  
3 an authority.

4           Q.       Okay. And you haven't reviewed the  
5 study yourself, or the article?

6           A.       I have read excerpts from it and  
7 there's -- I have read excerpts, not the whole  
8 thing. Not the methodology.

9           Q.       Okay. You referred, in Questions 66  
10 through 70, you referred to the Air Force accident  
11 reports and the process of preparing them; correct?

12          A.       Yes, sir.

13          Q.       And you mentioned in Question and Answer  
14 67 that you served as the interim president of a  
15 safety investigation board convened to investigate  
16 an F-16 crash.

17          A.       Yes, sir.

18          Q.       That was just one crash; correct?

19          A.       That's correct. Just one.

20          Q.       And isn't it true that an interim  
21 president is basically a caretaker of the site and  
22 information at the crash site until a formal person  
23 from the Board is appointed?

24          A.       That's correct, sir.

25          Q.       And that process before the formal

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 president would be appointed would be several days,  
2 a week at most, approximately?

3 A. Probably several days. I think a week  
4 is on the long side.

5 Q. So you were in charge for several days  
6 until the person was appointed?

7 A. Yes, sir.

8 Q. And that's the only formal experience  
9 you have with being part of or preparing a crash  
10 investigation report, crash accident report?

11 A. Yes, sir. But the interim safety board  
12 does not prepare the report.

13 Q. Okay. Going to Question and Answer 71,  
14 that's where you are asked, "Do the Air Force  
15 accident reports reviewed by PFS provide a basis to  
16 predict whether pilots of crashing F-16s would  
17 successfully avoid an impact to the PFS facility."  
18 In the second paragraph of that answer, you say,  
19 and this is based upon your review, I take it, "In  
20 fact, none of the 126 reports over the ten-year  
21 period reviewed by PFS discloses a situation where  
22 a pilot will hit a specific ground feature such as  
23 the PFS facility and took action to avoid impacting  
24 it." Do you see that sentence?

25 A. I do.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. You were here, weren't you, when Colonel  
2 Cosby gave his testimony over the phone?

3 A. I was.

4 Q. And it's true that Colonel Cosby's  
5 accident, that Colonel Cosby was involved in one of  
6 the 126 accidents in the group that were reviewed  
7 by you and Gen. Cole, et cetera?

8 A. Yes.

9 Q. And you would acknowledge, would you  
10 not, that he took action to avoid an apartment  
11 building right in front of him? I think he said, I  
12 forget the degree turn but it was a very sharp  
13 turn; correct?

14 A. He made a large turn and I don't recall  
15 if he said it was an apartment complex before or  
16 after. I don't remember his specific  
17 consideration. I think the accident board  
18 discussed the whole area. But based on the  
19 accident reports, I didn't see any specific ground  
20 site.

21 Q. The accident -- I think it is PFS  
22 Exhibit 79. I'm going to give you a copy of it.  
23 This is PFS Exhibit 79 which is the accident report  
24 involving Colonel Cosby. And I'd like to have you  
25 focus on the last part of the third page into the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 document. And the Bates number on the bottom of  
2 the page is 57619.

3 A. I have turned to that.

4 Q. And there, in the middle of that last  
5 paragraph on that page, it talks about one minute  
6 and 16 seconds into the flight. About the middle  
7 of the paragraph it says, "Noticing a residential  
8 area in," and then something is blanked out,  
9 "flight path," and then something is blanked out,  
10 "made a 2g left turn towards Southridge." Doesn't  
11 that show he made a turn? Isn't that a reference  
12 in the accident report to showing that action was  
13 taken to avoid the residential area?

14 A. It does say he maneuvered from a  
15 residential area. It doesn't say a specific ground  
16 feature, which was in the answer. I grew up in  
17 southern California. My residential neighborhood  
18 was 20 miles by 10 miles. I have no idea exactly  
19 what was in front of him. It doesn't say in the  
20 accident report. Subsequently we find out that it  
21 was a residential area with an apartment complex.

22 Q. Okay. But the accident report shows  
23 that he took action to avoid a residential area;  
24 correct?

25 A. Very correct. Yes, sir.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 Q. And you are saying that is not the same  
2 as taking action to avoid a specific ground  
3 feature? Is that what you are saying?

4 A. That's exactly what I'm saying. Which  
5 house was he trying to avoid? Which intersection  
6 was he trying to avoid? How big was the  
7 residential area? Ten miles by ten miles? One  
8 city block? I have no idea on that report.

9 Q. Excuse me, Lieutenant Colonel Horstman.  
10 Isn't that pretty much similar to saying, for a  
11 pilot coming down in Skull Valley, saying, "I see  
12 4000 casks over here. I'm going to avoid those  
13 4000 casks." And then responding, "Well, he didn't  
14 say which particular cask he was going to avoid."  
15 Isn't that a similar type answer to what you just  
16 gave me?

17 A. No, sir, it's not.

18 Q. I'd like to go on to the next area.

19 JUDGE FARRAR: Mr. Gaukler, before you  
20 do that, had you wanted that marked?

21 MR. GAUKLER: That's already PFS Exhibit  
22 79. My co-counsel has reminded me I do want to  
23 move into evidence PFS Exhibits 97 and 98, which  
24 are the two accident reports we discussed earlier  
25 in the testimony.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 JUDGE FARRAR: Mr. Soper, any objection  
2 on the --

3 MS. MARCO: No objection.

4 JUDGE FARRAR: -- two accident reports?

5 MR. SOPER: No objection.

6 JUDGE FARRAR: Then we will have those  
7 be admitted.

8 (EXHIBITS-97 AND 98 WERE ADMITTED.)

9 Q. (By Mr. Gaukler) I'd like to go on to  
10 the last section of your testimony, Section 6,  
11 where you talk about the PFS analysis of F-16  
12 accident reports. In the first Question and Answer  
13 79, you were asked, "Is the PFS analysis of F-16  
14 accident reports found at Tab H of the crash report  
15 useful in determining the risk impact to the  
16 proposed PFS facility from aircraft?" About the  
17 third sentence into your answer, the one that  
18 begins, "Even if." "Even if the analysis correctly  
19 identified those accidents with an increment of  
20 time available to the pilot, that time would most  
21 likely be used on tasks related to pilot survival  
22 and not on attempting to locate and avoid the PFS  
23 facility site."

24 Again, isn't that an overly broad  
25 generalization based upon what you said previously;

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 that pilots with time and circumstances permitting  
2 would take actions to avoid a site on the ground?

3 A. Again, it depends on the particular  
4 circumstances and the amount of time available.

5 Q. So it is an overly broad generalization,  
6 isn't it?

7 A. I guess you would have to define "overly  
8 broad generalization".

9 Q. It's not true in the sense that you are  
10 saying that most likely it would be based on or it  
11 would be tied up in tasks related to pilot  
12 survival, not on attempting to locate and avoid the  
13 PFS facility site.

14 A. Is that a question?

15 Q. Well, I will make my question very  
16 clear. Let's take a case of engine failure which  
17 we have talked about in Skull Valley, you have  
18 actually given examples, where a pilot would have  
19 time even under a cloud deck, would get a chance to  
20 zoom that high, would have time and he would avoid  
21 the site; correct?

22 A. He would avoid the site, yes.

23 Q. And therefore, in view of that and in  
24 view of the fact that engine failure is one of the  
25 most likely causes of failure in Skull Valley, at

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 least in terms of purposes of our analysis here,  
2 isn't the question or isn't the statement that most  
3 likely the time would be used on tasks related to  
4 pilot survival, not on attempting to locate and  
5 avoid the PFS facility site is not true with  
6 respect to a large category of the accidents that  
7 would be relevant to our determination here.

8 A. Again, it depends on the circumstances.  
9 I do agree that there's a large body that they  
10 would be able to; there's also a body which they  
11 would not be able to.

12 Q. And therefore, I take it that you would  
13 agree that with that category that we have just  
14 been discussing, the words "most likely" would not  
15 apply.

16 A. You have categorized an engine failure  
17 and --

18 Q. Like I said, with engine failure the  
19 words "most likely" wouldn't apply?

20 A. When I answered this question I wasn't  
21 doing engine failures.

22 Q. I understand. I'm asking now with  
23 respect to engine failures, which we have  
24 identified as one of the typical things that would  
25 cause failure in Skull Valley, the words "most

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1       likely" would not apply. Wholly apart from this  
2       answer here, the words would not apply?

3           A.       Again, it depends on the circumstances  
4       to include the weather, et cetera.

5           Q.       I'd like to go on to Question and Answer  
6       80. There you take issue with the fact, you say  
7       that the question there -- excuse me. I got mixed  
8       up, reading the wrong lines.

9                   The question there is, "Does the PFS  
10       analysis at Tab H of the crash report correctly  
11       determine the probability of crashes in Skull  
12       Valley where the pilot would remain in control of  
13       the aircraft and have time to avoid the facility?"  
14       And you say no. And one of the major reasons, you  
15       say, is because PFS has only evaluated accident  
16       reports over a ten-year period, not for the entire  
17       accident history of the F-16. You see that?

18          A.       I do.

19          Q.       Now, wouldn't the issue be whether or  
20       not PFS has evaluated a sufficiently large sample  
21       group of F-16s, not necessarily every single F-16  
22       accident that occurred in history?

23          A.       I'm not a statistical expert, as I have  
24       discussed before. It just seems logical to me that  
25       you would want to look at all the accidents before

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 you selectively choose which accidents to use.

2 Q. Well, do you have any indication that  
3 PFS selectively chose the accidents it was looking  
4 at?

5 A. Yes. Starting with the year group.

6 Q. Just other than picking up the last ten  
7 years of the time they did their analysis. Did  
8 they selectively choose --

9 A. No, not after that.

10 Q. So they just chose the last ten years.  
11 That's what your understanding is; correct?

12 A. That's my understanding.

13 Q. And you don't have any idea whether the  
14 number of accidents in that group is sufficient for  
15 statistical or statistically significant study or  
16 not, do you?

17 A. I do not know.

18 Q. And so therefore, in terms of -- from an  
19 analytical viewpoint, you have no opinion whether  
20 the ten years of the report is sufficient or not  
21 sufficient?

22 A. As I stated, it would appear more  
23 logical to use all the accidents in the body.

24 Q. But you have no statistical basis to  
25 make that determination?

1 A. That is correct.

2 Q. And you also say that or you also refer  
3 to the fact that, "PFS has not obtained and  
4 reviewed accident reports for 18 of the 139 (13  
5 percent) F-16s that were destroyed in this period."  
6 Now, again, it is your understanding that those  
7 were just random accidents that the Air Force did  
8 not have available to provide to us?

9 A. I have no understanding. They were not  
10 there.

11 Q. No understanding whatsoever?

12 A. They are not there.

13 Q. And that's all -- and do you make any  
14 assumption in terms of what they would show or  
15 would not show?

16 A. I don't know what is in them.

17 Q. Okay. So that doesn't affect your  
18 answer, again, in terms of whether or not this --  
19 so it comes down to whether or not this is a  
20 statistically significant sample, the 121 we have?

21 A. You are beyond my -- I don't understand.

22 Q. I think I asked you this the first time  
23 around. Just to make sure, I'll just repeat this  
24 one question with respect to Question and Answer 81  
25 where you take issue whether PFS correctly

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 categorized the various accidents by assessing the  
2 phase of flight. In other words, did they  
3 correctly categorize it as normal or some other  
4 phase of flight? To the extent that you disagreed  
5 with PFS's assessment of the phase of flight, that  
6 would be reflected in PFS Exhibit X which is the  
7 Table 1 that you marked up at the deposition?

8 A. That is correct.

9 Q. Then we don't need to go any further  
10 into that. Question and Answer 82, you are asked  
11 there to explain how PFS excluded F-16 accident  
12 reports by incorrectly assessing Skull Valley-type  
13 events and Sevier B MOA conditions. And you list  
14 several things there. And I kind of marched  
15 through them one by one to make sure I understand  
16 what you are saying and to what extent they have  
17 been covered by what we talked about before or have  
18 not been covered by what we talked about before.  
19 You first say that PFS incorrectly excluded  
20 accidents that occurred at altitudes higher than  
21 5000 feet AGL. That's what you first state.  
22 That's the first thing you state they improperly  
23 excluded?

24 A. That's correct.

25 Q. Now, you understand that the review



1 category for Sevier B only went up to 5000 feet  
2 AGL; correct?

3 A. That's correct.

4 Q. And, therefore, for that category, they  
5 did not look at flights above 5000 AGL?

6 A. That's correct.

7 Q. Just for the Sevier B flight conditions;  
8 correct?

9 A. That's correct.

10 Q. But there was no similar altitude  
11 restriction on Skull Valley-type events; correct?

12 A. That's correct.

13 Q. And with respect to Skull Valley-type  
14 events, this statement would not apply to Skull  
15 Valley-type events; correct?

16 A. The category that we discussed yesterday  
17 was what I was referring to.

18 Q. So in other words, just so the record is  
19 clear, there's no altitude restriction on PFS's  
20 category Skull Valley-type events in the table  
21 which has been identified as PFS Exhibit X.

22 A. I believe there is. And I don't know  
23 what altitude bounds they used, but they excluded a  
24 30,000 foot, or something, because it was high  
25 altitude. The definition says high altitude and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 lower speed, et cetera. Since it wasn't defined,  
2 I'm assuming we have excluded it based on that.

3 Q. Is that the only example that you know  
4 of?

5 A. It's the only one off the top of my  
6 head, yes.

7 Q. And the only question, I think when we  
8 talked about this yesterday, was that one paragraph  
9 that talked about or we had issue with in terms of  
10 definition of Skull Valley-type event was that  
11 paragraph where it talked about some Able to Avoid  
12 accidents at high altitudes or something similar to  
13 that.

14 A. Well, I don't know the exact definition  
15 of Skull Valley-type events because it has never  
16 been defined.

17 Q. Well, it was defined. You read the  
18 definition yesterday.

19 A. The parameters are not specifically  
20 defined.

21 Q. We talked about this yesterday and  
22 there's no need to go back over it; correct? Do  
23 you have anything to change from your testimony  
24 yesterday with respect to Skull Valley-type events?

25 A. I do not.

1 Q. Then you say that the PFS incorrectly  
2 excluded accidents while under Instrument Flight  
3 Rules. Are you aware of any accidents that PFS  
4 excluded under Instrument Flight Rules?

5 A. Off the top of my head, I do not have an  
6 example.

7 Q. And to the extent any such accidents  
8 were identified by you, they would be identified in  
9 this markup of Table 1 in PFS Exhibit X?

10 A. That's correct.

11 Q. Now, you also say that PFS incorrectly  
12 excluded accidents caused by mid-air collisions.  
13 And you discuss, in fact, one of those mid-air  
14 collisions in Question and Answer 83. Other than  
15 the September 16, 1997 accident discussed in  
16 Question and Answer 83, are you aware of any other  
17 mid-air collisions from the group of accident  
18 reports reviewed by PFS where they improperly  
19 excluded a mid-air collision?

20 A. Off the top of my head, again, no.

21 Q. And again, to the extent you had any  
22 such or took issue with any such accident  
23 evaluation, that would be in your markup of Table  
24 1, which is PFS Exhibit X; correct?

25 A. Yes, that's correct.

1 Q. Okay. So then we talked about -- you  
2 say that PFS incorrectly excluded accidents caused  
3 by g-induced loss of consciousness; correct?

4 A. Correct.

5 Q. And then you have in Question and Answer  
6 84, the May 25, 1990 accident which you claim was  
7 caused by g-induced loss of consciousness which we  
8 talked about at length before, which I don't plan  
9 to go through. Correct?

10 A. Correct.

11 Q. Now, other than that accident which you  
12 claim was caused by g-induced loss of  
13 consciousness, is there any other accident that you  
14 claim that PFS improperly excluded that was a  
15 g-induced loss of consciousness accident?

16 A. No, sir. Only what we marked up in the  
17 table.

18 Q. So anything would be in the table again;  
19 correct?

20 A. Yes, sir.

21 Q. Are there any other ones in the table  
22 that you are not aware of?

23 A. No, sir.

24 Q. Are you saying there are no other ones  
25 in the table, or are you saying you don't know off

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 the top of your head whether there are any other  
2 ones in the table?

3 A. I'm saying that we addressed that one in  
4 the table. And as far as I know, there are no  
5 other g-induced loss of consciousness that would  
6 apply.

7 Q. So this is the only accident where the  
8 issue of g-induced loss of consciousness would be  
9 an issue?

10 A. That's correct.

11 Q. Again, then you talk about bird strikes  
12 being another category which you claim that PFS  
13 improperly excluded. And again, I think you  
14 referred to an accident in Question and Answer 85  
15 which involved bird strikes which we have already  
16 discussed at length. I don't want to go back into  
17 the details. Other than that accident involving  
18 bird strikes, are there any other bird strike  
19 accidents that you claim PFS improperly excluded?

20 A. No, sir.

21 Q. You then claim that PFS improperly  
22 excluded accidents involving lightning strikes.  
23 What accidents do you claim that involved lightning  
24 strikes do you claim PFS improperly excluded?

25 A. Give me one moment. The accident on 31

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS

1323 RHODE ISLAND AVE., N.W.

WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 July, 1992 which we have marked up in Table 1.

2 Q. So it is included in Table 1, first of  
3 all?

4 A. Yes, sir.

5 Q. And have you read the testimony of Gen.  
6 Cole, Gen. Jefferson, and Col. Fly on that  
7 accident?

8 A. I have.

9 Q. And they have concluded that that  
10 accident was not caused by lightning; correct?

11 A. They have. And I thought long and hard  
12 about that.

13 Q. I just asked you -- I don't want to get  
14 into a long decision. They determined it was not  
15 caused by lightning; correct?

16 A. That's correct.

17 Q. And isn't it correct that they excluded  
18 the accident for reasons other than what you claim  
19 was lightning? In other words, they thought the  
20 accident was inapplicable to Skull Valley for  
21 reasons other than what you claim was the  
22 lightning. Let me strike that.

23 They did not exclude it from Skull  
24 Valley-type events because of any lightning being  
25 involved.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 MR. SOPER: Object as to foundation.

2 JUDGE FARRAR: Mr. Gaukler?

3 Q. Is it your understanding, let me just  
4 ask it this way, is it your understanding that they  
5 did not exclude the event from Skull Valley because  
6 of a determination that it was caused by lightning?

7 A. I don't believe the reason for the crash  
8 is on Table 1. It's the ACRAM phase, Skull Valley  
9 flight conditions, Skull Valley-type events. They  
10 considered it a takeoff. I considered it a normal  
11 en route, which would lead to whether or not it is  
12 included in the database. The cause of the  
13 accident is not really relevant to that discussion.

14 Q. Okay. And are you aware or did you see  
15 any accident reports where PFS, in fact, did  
16 include accident caused by lightning in their  
17 evaluation?

18 A. Can you restate that? I missed the  
19 first part.

20 Q. Did any of the accident reports that PFS  
21 did include as a Skull Valley-type event in their  
22 analysis, did any of those accidents include  
23 accidents involving what PFS determined to be  
24 lightning?

25 A. No, I do not believe so.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. You don't believe so?

2 A. No.

3 Q. Now, going back to --

4 JUDGE FARRAR: Mr. Gaukler, it's been a  
5 while since we had a break. Let me ask you how  
6 much longer you have.

7 MR. GAUKLER: Roughly a half hour.

8 JUDGE FARRAR: And then Mr. Soper, you  
9 have what?

10 MR. SILBERG: You are forgetting the  
11 Staff.

12 MS. MARCO: I have ten to twenty, give  
13 or take a few.

14 JUDGE FARRAR: Okay. And then Mr.  
15 Soper, you have how long, so far?

16 MR. SOPER: I'm going to say 30 minutes.

17 JUDGE FARRAR: Okay. Why don't we take  
18 a quick break now, come back, and maybe, Mr.  
19 Gaukler, you could finish by lunch. Then we  
20 could -- that would give the other parties a chance  
21 to think about it during lunch and finish up this  
22 witness right after lunch. And let's take no  
23 longer than ten minutes right now. It is quarter  
24 of. Let's be back promptly at five of.

25 (A break was taken.)

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701



1 JUDGE FARRAR: It is five of. Let's see  
2 if we can finish the cross of Colonel Horstman  
3 before lunch.

4 Q. Lieutenant Colonel Horstman, I have one  
5 follow-up question with respect to Question and  
6 Answer 71. And that's where I asked you whether  
7 there was any reports that showed a pilot took  
8 action to avoid a site on the ground. Let me ask  
9 you the converse question. In your review of the  
10 accident reports, did you see any report where a  
11 pilot in control of an aircraft did not take steps  
12 to attempt to minimize damage to sites on the  
13 ground?

14 A. None, sir.

15 JUDGE FARRAR: There were too many  
16 negatives there. Would you read that back, Diana.

17 (The record was read as follows:

18 Lieutenant Colonel Horstman, I have one  
19 follow-up question with respect to Question and  
20 Answer 71. And that's where I asked you  
21 whether there was any reports that showed a  
22 pilot took action to avoid a site on the  
23 ground. Let me ask you the converse question.  
24 In your review of the accident reports, did you  
25 see any report where a pilot in control of an

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 aircraft did not take steps to attempt to  
2 minimize damage to sites on the ground.")

3 JUDGE FARRAR: So there were no reports  
4 where the pilot did not take action to avoid sites  
5 that he could have? Forget the negatives. You  
6 tell us in your own words what you think you just  
7 said.

8 LT. COL. HORSTMAN: The accident  
9 reports, that is not really part of it unless it  
10 becomes there's damage or something. The one we  
11 discussed in Florida, he thought he could land.  
12 Turns out he was wrong, so he ended up near a  
13 neighborhood. So he chose poorly, but it wasn't  
14 that he didn't try to avoid something. He had no  
15 opportunity at that point to avoid anything. There  
16 are no cases in this database where it's written  
17 down that the pilot did not avoid a ground site.

18 JUDGE FARRAR: Okay.

19 Q. (By Mr. Gaukler) A couple of follow-ups  
20 on the question of Instrument Flight Rules. I  
21 asked you about flying IFR through the Sevier B.

22 A. Yes.

23 Q. We talked about minimum en route  
24 altitudes. Now, what's the purpose of minimum en  
25 route altitude?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1           A.       That's the lowest altitude that they can  
2 safely control you. They won't control you  
3 actively below that.

4           Q.       And what's the purpose of that?

5           A.       Safety.

6           Q.       So it is to keep pilots safe from the  
7 ground and obstructions on the ground?

8           A.       Yes. Because the controllers have a  
9 responsibility to aid the pilot to do that. When  
10 you go below that line, their ability to aid you  
11 goes away.

12          Q.       And if I understand your testimony  
13 correctly, you said that pilots may legally operate  
14 Instrument Flight Rules below the minimum en route  
15 altitude using the procedural control you mentioned  
16 earlier?

17          A.       That's correct.

18          Q.       And another quick question, is it true  
19 that pilots may fly in weather while operating IFR,  
20 under Instrument Flight Rules?

21          A.       In the weather, Instrument Flight Rules?  
22 You have to be under Instrument Flight Rules when  
23 you are in the weather.

24          Q.       If you are flying in clouds?

25          A.       That's correct.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           Q.       I think we were going through the items  
2           on Question and Answer 82. And I think we have one  
3           left. Now, you claim that PFS did not include in  
4           assessing or include in its category of Skull  
5           Valley-type events accidents involving poor  
6           visibility due to cloud cover which could occur in  
7           Skull Valley?

8           A.       That's what it says, yes.

9           Q.       Now, focusing on the Skull Valley-type  
10          events, which is the broadest the category used in  
11          the Gen. Cole, Gen. Jefferson, and Col. Fly  
12          analysis of flights applicable to assessing the  
13          hazard at Skull Valley. Can you identify for me  
14          any accident reports that were not included in the  
15          category of Skull Valley-type events because of  
16          poor visibility due to cloud cover?

17          A.       Off the top of my head, I cannot.

18          Q.       So you can't think of any right now?

19          A.       That's correct.

20          Q.       And if there were any such accidents,  
21          they would be reflected in your markup of Table 1,  
22          which is PFS Exhibit X. Is that correct?

23          A.       That's correct.

24          Q.       If you can't think of any, how can you  
25          say that we incorrectly excluded them?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1           A.       I don't know what the visibility  
2 requirements were, based on the definitions.

3           Q.       Based on what definitions?

4           A.       The definitions of Skull Valley events.  
5 It discusses weather. If you point it out to me I  
6 will get it out and read that part. I can't locate  
7 the ACRAM definitions. I don't remember what  
8 document they are in.

9           Q.       Tab H.

10          A.       There we go. The discussion on Page 15  
11 of Tab H talks about, "This 'Skull Valley-Type  
12 Events' category captures accidents caused by  
13 events which could reasonably happen in Skull  
14 Valley transit. This includes not only accidents  
15 which actually did happen in a flight environment  
16 substantially like that in Skull Valley." And I  
17 don't know what the definition of that is  
18 weather-wise.

19          Q.       But in any event, any disagreement you  
20 had you would have marked it up --

21          A.       That's correct.

22          Q.       -- in the results on Table 1, which is  
23 PFS Exhibit X. Correct?

24          A.       That's correct. Sir.

25          Q.       Okay. A few questions on number of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 flights through Skull Valley. In Question and  
2 Answer 31, you say that PFS should use the sortie  
3 count for fiscal year 2000 and increase for the  
4 additional F-16s at Hill Air Force Base rather than  
5 using the average for 1999 and 2000. Is that  
6 correct?

7 A. That's correct.

8 Q. Didn't you say in your July, 2001  
9 deposition, albeit not directly in response to this  
10 type of question, that taking the average of the  
11 fiscal year 1999 and fiscal year 2000 counts  
12 increased for the additional F-16s at Hill appears  
13 to be a logical, sound way to do that?

14 A. It does. And in the year 2000 they flew  
15 more sorties that year because they desired to fly  
16 more sorties. So they scheduled more sorties in  
17 the increased rate that was previously discussed.  
18 If they had the capacity to fly at an increased  
19 rate, it would appear that they would be logical to  
20 use that capacity because it is potentially going  
21 to happen.

22 Q. Didn't you say at your deposition it was  
23 logical to use the average of the two years?

24 A. At that time it was, yes.

25 Q. This was -- you are saying it was

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 logical at the time of your deposition?

2 A. I was unaware that the year 2000 rate  
3 was increased by desire. They flew more sorties  
4 per airplane because that's what they wanted to do.  
5 The tasking from the command, the ability to  
6 generate sorties, if that is increased because  
7 there were outside inputs that the wing has no  
8 control over, and they have the ability to support  
9 those sorties, why is it not logical, then, to  
10 assume that they can continue to produce the same  
11 amount of work which generates that higher number  
12 of sorties?

13 Q. And by the same token, there could be  
14 events in the future that would lead to a decrease  
15 or desire not to fly as many sorties; correct?

16 A. That is correct, sir.

17 Q. So, therefore, it would still remain  
18 logical to get an average.

19 A. It could remain logical to use a variety  
20 of different numbers.

21 MR. SOPER: Could we have a reference to  
22 the deposition?

23 MR. GAUKLER: It's Page 15 of the July.

24 JUDGE FARRAR: July which?

25 MR. GAUKLER: July, 2001. I don't

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 intend to go there, given the answer.

2 Q. (By Mr. Gaukler) Now, you would agree  
3 that -- your Question and Answer 31 would add the  
4 sorties for the B and D MOAs; correct?

5 A. I need to review it. I don't recall.

6 Q. It's 30. I'm looking at Question 30,  
7 your Honor.

8 A. That's correct.

9 Q. Now, isn't it true that some of the  
10 flights identified as Sevier B and D flights would  
11 be flights that do not go through Skull Valley --

12 A. That do not go through Skull Valley?

13 Q. Strike that. Would you agree with me  
14 that some of the flights identified in the MOA  
15 usage reports for Sevier B and Severe D would be  
16 for flights that go through part of the MOAs that  
17 are not or is not Skull Valley?

18 A. That's a fair assumption, but they don't  
19 track where they are.

20 Q. And there's some routes that go through  
21 the southern part of those MOAs that are nowhere  
22 near Skull Valley; correct?

23 A. I believe all the preplanned routes go  
24 through Skull Valley to enter, but there are  
25 sorties that do not go through Skull Valley and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 enter.

2 Q. Aren't there some routes from other Air  
3 Force bases that come from the south? For example  
4 isn't there IR Route 310 which comes from Nellis  
5 Air Force Base in Nevada where flights following  
6 that route would go through part of Sevier B down  
7 in the southern part?

8 A. Yes, sir. That is true.

9 Q. And they would be included in that count  
10 for Sevier B and D in the MOA usage counts? Do you  
11 know?

12 A. I don't actually know. I think they  
13 would be, but I don't know.

14 Q. Okay. And I noticed, looking at this  
15 question and answer Number 30, you say at the  
16 bottom of that Question and Answer, "As I have  
17 testified, I have flown many times above both MOAs  
18 while transiting Skull Valley." You see that?

19 A. Yes, sir.

20 Q. I believe that -- didn't we just discuss  
21 in the April hearing, and I asked you whether you  
22 would fly above the MOAs where you would have to be  
23 under control in a culvert, and you said that was  
24 unusual?

25 A. It is unusual. But nonetheless I have

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 still done it a number of times.

2 Q. Many times?

3 A. More than three.

4 Q. Going on to a few miscellaneous points.

5 On Question 11, now, in the April hearing you  
6 mentioned how north of -- in Skull Valley,  
7 approximately north of Dugway village, the minimum  
8 altitude was 1000 feet?

9 A. That's the minimum of flight  
10 restrictions. The MOA starts at a hundred feet  
11 above the ground.

12 Q. Okay. So the actual, in terms of Skull  
13 Valley area, the minimum flight restriction would  
14 be a 1000 feet even though the MOA --

15 A. Today that is correct. If the Air Force  
16 desired, they could change it tomorrow to 500 feet  
17 like the rest, or 100 feet or 200 feet.

18 Q. Or 2000 feet?

19 A. Or they could tell us not to fly there.

20 Q. Now I'd like to direct your attention  
21 to -- well, the Aircraft Crash Report at Page 21.  
22 There the report talks about the F-16 flight  
23 control computer that will hold the aircraft on the  
24 flight path set by the pilot even after he ejects.  
25 Do you see that?

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 A. I see that.

2 Q. And you agree with that; correct?

3 A. To a degree. I think that the previous  
4 telephone testimony was a better definition because  
5 if you were to eject at a five degree angle of  
6 bank, the five degree angle of bank would stay  
7 there and the same angle would actually generate a  
8 turn. It is going to function that way. And  
9 whether the aircraft goes perfectly straight or  
10 wanders one way or the other is random and unknown.

11 Q. Now, I'd like to have you turn to Page  
12 210 of your December 11 deposition. Now, you see  
13 there on the bottom of Page 209 to the top of page  
14 210 I refer you to that section of the report and I  
15 ask you, "Page 21 of the report talking about the  
16 F-16 flight control computer which keeps the plane  
17 on track once the pilot ejects." And you agree  
18 with that and your response is?

19 A. My response is. "Yeah."

20 Q. Would you read the rest of it?

21 A. Especially in the Skull Valley  
22 something, you bet. Yeah."

23 Q. And also, we were talking about the fact  
24 that a pilot ejecting -- well, "A pilot in that  
25 type of situation would have to move the plane only

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 a small degree of the turning radius to avoid PFS  
2 site." And you agreed with that, as well.

3 A. Yes. If he was initially pointed  
4 directly at it, you would have to move a little  
5 amount. And if he wasn't pointed directly at it  
6 and he moved that same amount, he might be pointed  
7 directly at.

8 Q. But if he was pointed at it --

9 A. Directly at it, it would be a small  
10 amount. Assuming the aircraft was on that track,  
11 if you will. There's a random scatter pattern with  
12 it.

13 Q. Do you know what that is?

14 A. It is random.

15 Q. Have you evaluated what you claim to be  
16 the scatter pattern?

17 A. Not formally, no.

18 JUDGE FARRAR: Mr. Gaukler, are you  
19 finished with that immediate subject?

20 MR. GAUKLER: I think so, yes.

21 JUDGE FARRAR: Then let me ask a  
22 question about the turn. In the situation you just  
23 described, you turn the plane and I think you said  
24 earlier when you turn an airplane you don't change  
25 where you point the nose. You, in fact, bank to

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 turn? Is that correct.

2 LT. COL. HORSTMAN: In simplistic terms,  
3 that's correct. It's more involved. But you turn  
4 the bank to turn the airplane.

5 JUDGE FARRAR: Okay. Am I also correct  
6 from what you said a long time ago in this  
7 proceeding that all other things being equal, you  
8 are safer ejecting in level flight than in any  
9 other configuration.

10 LT. COL. HORSTMAN: Yes. That's  
11 correct. Pointed up, very slow.

12 JUDGE FARRAR: Okay. So the turn -- now  
13 you are at 2000 feet in my hypothetical. You are  
14 2000 feet which is the minimum safe ejection, or  
15 maybe you are a little below it. Minimum safe  
16 ejection altitude. You now have two things, two  
17 conceivable things within the scope of this  
18 proceeding on your mind; your survival and perhaps  
19 avoiding the PFS site. Does banking to avoid the  
20 PFS site have a possible impact on your survival  
21 because of the different configuration in which you  
22 are ejecting?

23 LT. COL. HORSTMAN: It does, but if you  
24 were to calculate it, it would be absolutely  
25 minuscule because you have the altitude safety

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 barrier already built in. When you are descending  
2 and you reach 2000 feet and you magically level off  
3 just at that, as was previously discussed, you then  
4 want to slow down to the slowest possible speed.  
5 And as you do that, the airplane at some speed will  
6 decide, "No more. You are going to start  
7 descending." So you slow down to 100 or so knots  
8 and in that time your nose goes higher and higher  
9 before you eject. And when you are doing that,  
10 your ability to look out in front of you at the  
11 horizon is restricted by the nose of the aircraft.  
12 So you look left and right for your horizon, or at  
13 the heads-up display. And whether you are in truly  
14 level flight or ten degrees abank, it is not  
15 relevant towards your safety. It would be hard to  
16 determine what the angle of safety would be.

17 JUDGE FARRAR: So if you thought about  
18 it and if you were inclined to do so, you would  
19 bank to avoid the site, if you knew where it was  
20 and if you cared about it, without, to any  
21 significant respect, jeopardizing your survival.

22 LT. COL. HORSTMAN: To a degree, yes,  
23 you could, sir.

24 JUDGE FARRAR: Okay. Let me just add to  
25 that. And that's in the circumstances you

1 described where you were in control. It sounded  
2 like almost, the way you described it, you were  
3 fully in control because you were descending and  
4 pointing the nose up the way you want it.

5 LT. COL. HORSTMAN: That's correct. And  
6 the weather was perfect and all of these things  
7 were working out for you.

8 JUDGE FARRAR: And your answer might  
9 differ if you were nearly out of control, had some  
10 control but you were nearly out of control and now  
11 you are only at a 1000 feet. Would your answer  
12 change?

13 LT. COL. HORSTMAN: It would be slightly  
14 different but fundamentally, even at 1000 feet, you  
15 are pretty comfortable with your ejection seat and  
16 your ability to survive. We noted what is  
17 interesting is while you are supposed to jump out  
18 at 2000 feet, a significant portion of the  
19 accidents we looked at, the pilots were ejecting  
20 well below that 2000 feet. And the closer you get  
21 to the ground, the more distracted you get by the  
22 ground.

23 JUDGE FARRAR: Suppose you are at 1000  
24 feet and you are really in trouble and now you see  
25 the site right in front of you. Now, do you go and

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 do a hard bank, again referring to the movies, like  
2 we see in the movies? And now you are ejecting  
3 horizontally instead of vertically.

4 LT. COL. HORSTMAN: It wouldn't do any  
5 good because when you go into a lot of bank you  
6 have to have the air speed, the air flow over the  
7 wing surfaces. The lift is not pointing horizontal  
8 to turn the aircraft. Now we are talking we are  
9 only going a hundred miles an hour when it is  
10 barely flyable, and you go like this (indicating)  
11 and jump out and the airplane is still heading that  
12 way. It is going to turn a slight amount because  
13 it is trying to maintain that angle of attack  
14 through the wind, but it is going to start slicing  
15 down because it doesn't have a turn input. It has  
16 the roll input and then, as Colonel Cosby said, it  
17 may turn a little but it is going to fall to the  
18 earth. So it would be futile, basically.

19 JUDGE FARRAR: Futile to try to avoid --

20 LT. COL. HORSTMAN: It wouldn't do any  
21 good.

22 JUDGE FARRAR: Meanwhile, if you did do  
23 it, what happens to you ejecting horizontally  
24 rather than vertically?

25 LT. COL. HORSTMAN: I would worry

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701



1 greatly about that. The ejection seat, according  
2 to all or everything we have, would work just fine.  
3 But now you are getting into -- while you are still  
4 in the ejection envelope your risk increases  
5 dramatically.

6 JUDGE FARRAR: Because part of the  
7 ejection principle is that it is going to shoot you  
8 up and give your parachute longer to open.

9 LT. COL. HORSTMAN: That's correct. You  
10 can eject upside down. Could you do it at 1000  
11 feet? Yes. Would you live? I could look it up.  
12 The answer is doubtful. You are into an area where  
13 your survival becomes very questionable.

14 JUDGE FARRAR: Okay. Thank you.

15 Q. (By Mr. Gaukler) I just want to go back  
16 to a couple things, just to close up. First of  
17 all, you referred, in your testimony in April, to a  
18 conversation that you said you had with the  
19 commander of Air Combat Command concerning the May  
20 25, 1990 g-LOC accident. Correct?

21 A. Correct.

22 Q. And the person you initially identified  
23 as a four-star general. Correct?

24 A. That's correct. He was the DO at the  
25 time.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Q. He was a two-star at the time?

2 A. That's correct.

3 Q. And that was General Ryan?

4 A. It was.

5 Q. General Ryan never was and never became  
6 commander of Air Combat Command, was he?

7 A. No. He was director of operations. He  
8 became the chief of staff of the Air Force.

9 Q. So when he became a four-star, he was in  
10 a different position?

11 A. That is correct.

12 Q. Now, you said also -- so you never had a  
13 conversation with the commander of Air Combat  
14 Command?

15 A. I have had many conversations with the  
16 commander of --

17 Q. On this accident.

18 A. That's correct.

19 Q. Now, you said that, I believe, at the  
20 time you were -- what position were you at the  
21 time?

22 A. I was on the Staff.

23 Q. And you were executive officer to one of  
24 the generals at the time?

25 A. Or executive officer of the composite

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 wing program. One of the two. I forget which I  
2 was doing.

3 Q. Do you know which it was?

4 A. I do not. I believe I was executive  
5 officer but I'm not specifically -- it was ten  
6 years ago or eleven years ago.

7 Q. And would you have been more likely to  
8 have been in a position to have a conversation  
9 depending upon which of those positions you were  
10 in?

11 A. No. My job as the composite wing  
12 program manager, I spoke with essentially all of  
13 the operational Air Combat Command on a regular  
14 basis.

15 Q. Now, who did you work for when you were  
16 an executive officer?

17 A. General Tom Griffith and general Marcus  
18 Hurly.

19 Q. And in that circumstance, you were  
20 working for a general officer then?

21 A. I was.

22 Q. And in your position as a program  
23 commander, program manager who you were working  
24 for?

25 A. I worked for a colonel that worked for

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 the general.

2 Q. So you wouldn't have been working for a  
3 general officer then?

4 A. Everybody works for the general officer.

5 Q. But your position, you were working for  
6 a general officer in this situation?

7 A. My reporting official was a Colonel.  
8 Because of the nature of my duties, I had a great  
9 deal of interface, we were building the first ever  
10 composite wing or the first -- we were building a  
11 composite wing at Mountain Home Air Force Base,  
12 Idaho. I was the project officer for the Air Force  
13 to do that.

14 Q. I'd like to have you look at Page 4291  
15 of your transcript where you talk about your  
16 position at that time. Look at the hearing  
17 transcript. I handed out a book to you yesterday  
18 of the hearing transcript.

19 A. Which page?

20 Q. 4291. And on the bottom of that page --

21 A. I don't have it.

22 Q. Sorry.

23 JUDGE FARRAR: Mr. Gaukler, you said  
24 4291?

25 MR. GAUKLER: Yes.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 JUDGE FARRAR: And it has a Page 22 on  
2 the top?

3 Q. Yes. Friday evening, April 13, 2002.

4 A. I found it.

5 Q. And if you look at the last answer on  
6 that page, you say, "In the normal course of my  
7 responsibilities at combat command I have worked  
8 directly for a general officer and I was in contact  
9 with a number of general officers on a regular  
10 basis as his executive officer." So I take it you  
11 are referring to the period of time you were  
12 executive officer as opposed to assistant program  
13 manager?

14 A. That's correct.

15 Q. Now, I'm going to hand out your resume  
16 which is an exhibit, which I forget the exact state  
17 exhibit number so I will just hand it out to you.  
18 It shows that you were in the executive officer  
19 position from May 1991 through May 1992?

20 A. It does.

21 Q. And it shows you were the program  
22 manager from April 1989 to May 1991.

23 A. August of 89 to May of '91.

24 Q. Excuse me. And therefore, in the spring  
25 and summer of 1990 you would have been in the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 program manager position, not the executive officer  
2 position?

3 A. That's correct.

4 Q. And if you look at the accident report,  
5 you knew when the accident investigation board  
6 meeting was for which accident?

7 A. I'm sorry.

8 Q. Do you know when the accident  
9 investigation meeting was for that accident?

10 A. I don't know what accident you are  
11 talking about.

12 Q. The g-LOC. May 25, 1990 g-LOC.

13 A. Not off the top of my head but it would  
14 have been probably late April.

15 Q. I'm going to bring up to you PFS Exhibit  
16 80 which is the accident report.

17 JUDGE FARRAR: This accident occurred  
18 when?

19 Q. May 25, 1990. And PFS Exhibit 80 is the  
20 copy of the accident report which I'm going to show  
21 the witness. Doesn't it say in the first paragraph  
22 of PFS Exhibit 80 that the accident was conducted  
23 from 20 June, 1990 to 20 July, 1990?

24 A. The investigation was.

25 Q. And wouldn't normally the Board

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 procedures and accident reports be issued within  
2 three to four months after they conducted the  
3 investigation?

4 A. Yes, sir, they would.

5 Q. And that would put you into the fall of  
6 1990. Correct?

7 A. That's correct.

8 Q. And at that point in time, you would  
9 have still been program manager and not the  
10 executive officer?

11 A. That's correct.

12 Q. Yesterday when you were giving your  
13 little demonstration here in terms of the cloud  
14 cover --

15 MR. SOPER: Object to the  
16 characterization of it. I thought it was quite  
17 nice.

18 JUDGE FARRAR: Mr. Soper you once called  
19 an animation a cartoon, so now we are even.

20 MR. GAUKLER: I won't do it anymore if  
21 you won't.

22 MR. SOPER: Touche'.

23 Q. (By Mr. Gaukler) I remembered your  
24 tutorial in April where you were informing the  
25 Board about flying down Skull Valley. You remember

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 we had the maps, the corporation board with the  
2 maps up and you were talking about the Board on a  
3 typical flight down Skull Valley. Correct?

4 A. Yes, sir, I recall that.

5 Q. And you talked about flying down with or  
6 taking a two-wing formation as an example and how  
7 you would fly with one of the wingmen kind of over  
8 that small knoll at elevation 6048 feet. Do you  
9 recall that? That was kind of the northern tip of  
10 the Stansburies.

11 A. In the morning I would do that.

12 Q. Yes. In the morning you would do that.  
13 And if I recall correctly, you said that you would  
14 start your g-awareness turns, typically start them  
15 approximately seven nautical miles south of the  
16 knoll, roughly?

17 A. I believe so, yes.

18 Q. And then would you do your g-awareness  
19 turn?

20 A. That's what I said.

21 Q. And if I remember correctly, you were  
22 asked where in the process of the g-awareness turn,  
23 where it would put you in terms of the site. And  
24 you said, "At some point in g-awareness turn you  
25 would be directly over the site."

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1           A.       I don't recall those words. I would  
2 point directly at the site.

3           Q.       Okay. And you said generally speaking  
4 this is where you would do your g-awareness turns,  
5 going down Skull Valley as a general rule, time  
6 permitting, assuming this wasn't something  
7 different?

8           A.       Generally speaking that's where I did my  
9 g-awareness turns.

10          Q.       Now, you had also talked about  
11 g-awareness turns in your December 11, 2000  
12 deposition. Correct?

13          A.       I believe so.

14          Q.       And didn't you say there - I'm going to  
15 hand out and I'd like to have marked as PFS Exhibit  
16 99 some excerpts from that deposition.

17                   (EXHIBIT-99 WAS MARKED.)

18          Q.       If you look --

19                   JUDGE FARRAR: The reporter has marked  
20 excerpts from the December 11 transcript script as  
21 PFS 99 for identification. Go ahead, Mr. Gaukler.

22          Q.       On Page 58 and 59 of the deposition we  
23 were talking about where you do warmup turns and  
24 g-awareness turns. Do you see that?

25          A.       Just a moment please. Okay.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Q. And the bottom of Page 58, and going to  
2 the top of Page 59, you say where you would do your  
3 g-awareness turns would depend upon a lot of  
4 circumstances. Correct?

5 A. Correct.

6 Q. And you would agree with that; right?

7 A. That's correct.

8 Q. And you go on to say one reason for  
9 doing the g-awareness turns further down the MOA is  
10 you may have less field and therefore it would be  
11 easier to do g-awareness turns.

12 A. Yes. If you are carrying two external  
13 fuel tanks and two 2000-pound bombs or 6500 pound  
14 bombs, the landing would give you a better  
15 g-awareness turn.

16 Q. And you say or you refer, going down  
17 south of Dugway, some people would go down south of  
18 Dugway to do the g-awareness turns. Right?

19 A. It says I know some that would go down  
20 south of Dugway where it was wider. So some did.

21 Q. So you didn't identify any particular  
22 place in this answer where you would do g-awareness  
23 turns. Correct?

24 A. No, I did not.

25 Q. Now, if you go down -- I'd like to have

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 you go to Page 105, which is the second page. And  
2 there we were asking you or I was asking you about  
3 to what extent you may be pointing at the site  
4 while you were travelling down Skull Valley.  
5 Remember that?

6 A. I don't remember it but --

7 Q. Look at Page 104, Page 105.

8 A. I see it.

9 Q. Do you remember one of the issues you  
10 had raised was your claim that when flying down  
11 Skull Valley the planes would be pointed at the  
12 site for some point of time in their transiting  
13 Skull Valley. Correct?

14 A. That's correct.

15 Q. And I asked you on Page 105 in that  
16 respect at Line 5, "Are you talking about  
17 g-awareness maneuvers?" Isn't it true you say,  
18 "Generally the g-awareness maneuver would come  
19 after you pass south of the proposed site. As I  
20 mentioned before, maneuvers such as tactical  
21 turning, maneuvering, getting your aircraft on  
22 turning down to the south after a turn." So didn't  
23 you say there that g-awareness turns are generally  
24 done south of the proposed site?

25 A. That's what it says, yes.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 Q. I don't have any other questions.

2 JUDGE FARRAR: All right. In order to  
3 help the parties prepare their questions, the Board  
4 has just a few questions we will do now so you can  
5 use your lunch hour to best advantage. Dr. Kline?

6 JUDGE KLINE: I would like to have you  
7 take a look at your answer 19.

8 LT. COL. HORSTMAN: Which document, sir.

9 JUDGE KLINE: Your prefiled testimony.

10 JUDGE KLINE: On the question of using  
11 the PFS site as a steering point, isn't this  
12 something that would be subject to Air Force  
13 command? Couldn't some commander somewhere just  
14 say, "Don't do that."

15 LT. COL. HORSTMAN: I have never known  
16 an instance where any commander said, "You will not  
17 use a turn point." What you do when selecting turn  
18 points is try to select something that is a viable  
19 turn point. And in Germany we use nuclear power  
20 plants for turn points because you can fly over  
21 them.

22 JUDGE KLINE: Really?

23 LT. COL. HORSTMAN: So I have never  
24 heard of anybody saying not to use a point.

25 JUDGE KLINE: And were you present when

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 we spoke to Colonel Bernard?

2 LT. COL. HORSTMAN: Yes, sir.

3 JUDGE KLINE: In the prior hearings.

4 LT. COL. HORSTMAN: Yes, sir.

5 JUDGE KLINE: He was questioned about  
6 the point of being able to avoid a site like PFS.  
7 And this is in the April 12 transcript at 3906 and  
8 3907. And in response to a question there, let's  
9 see, at 3908 he is asked if an airplane could  
10 divert around the site and he answers, "Actually,  
11 if there was a nuclear storage facility there, I  
12 don't think they would run down Skull Valley." So  
13 on the one hand we have someone telling us that  
14 they wouldn't even fly in Skull Valley, and I don't  
15 know how true that is. But on the other hand we  
16 have you telling us that they not only fly there,  
17 but they would zero in on it. And so I guess I'd  
18 like to have you reconcile these views.

19 LT. COL. HORSTMAN: The Air Force has  
20 this training area. And if there were a -- in  
21 other areas where there are nuclear facilities you  
22 have a no fly zone at I believe 1000 feet.  
23 Possibly 1500 feet. And that's the only  
24 restriction there is. And as I said, in Germany we  
25 used to use those as turn points because they had

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 all of the features desired for a turn point;  
2 vertical development, heat development, light  
3 source, 90 degree angles. All of these things  
4 which are good for your sensors.

5 So while I appreciate the fact that he  
6 didn't believe we would fly down Skull Valley at  
7 all, I believe the Air Force's position,  
8 long-standing, is this is our training area and  
9 we'd like to use it. If there will be some kind of  
10 restriction, don't build the restriction. But to  
11 not use it as a turning point has never, in my  
12 knowledge, been done.

13 JUDGE KLINE: Okay. That's all.

14 JUDGE FARRAR: Judge Lam?

15 JUDGE LAM: Colonel Horstman, in your  
16 prefiled testimony you had raised numerous issues  
17 and numerous deficiencies that you consider that  
18 the Applicant had in their application and in their  
19 analysis about aircraft hazards. May I ask you to  
20 take a step back and tell us what are the most  
21 glaring deficiencies that you have seen? Give us  
22 several compelling examples.

23 LT. COL. HORSTMAN: The most compelling  
24 example, I believe, is the weather; clouds,  
25 obstructions to visibility. I don't believe that

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 locating the PFS site on the ground is as easy as  
2 has been discussed. I believe in many cases it is  
3 impossible. In many cases it is very easy. But  
4 the weather is a significant factor in flying  
5 fighter aircraft. Much more so than civilian,  
6 because you have other members that you are looking  
7 out for and visually need to see. Your ability to  
8 navigate and precisely identify things which are  
9 not targets in the bombing range, if you don't have  
10 it programmed in, you are liable to just have a  
11 general awareness of where the haystack is, but not  
12 the needle.

13 The assumption that pilots will always  
14 know where it is and always be able to avoid it, I  
15 don't agree with being able to locate it. I firmly  
16 believe if a pilot saw it and had the ability and  
17 time, they would avoid it, as previous testimony  
18 from every Air Force and Navy and Marine Corps  
19 pilot would indicate. But you have to find it and  
20 then you have to take steps to avoid it. And I  
21 don't know that it's as easy as we all kind of  
22 want to believe.

23 JUDGE LAM: Another example, Colonel  
24 Horstman?

25 LT. COL. HORSTMAN: If the aircraft is

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 not in control, the pilot's ability to avoid the  
2 site is zero. If he is 500 miles away, he is not  
3 going to hit it. But if he is aiming at it, his  
4 ability to avoid it is zero. There are mid-air  
5 crashes in routine phases of flight. There are all  
6 kinds of mitigating circumstances that can happen  
7 when flying. And they change every single day,  
8 based on the pilot's mental attitude, based on  
9 whether he slept properly last night, based on the  
10 weather, based on his aircraft performance and the  
11 aircraft configuration with bombs and fuel, based  
12 on the mission, if it is air-to-air or  
13 air-to-ground. All of these things go into the  
14 decision-making process of the pilot.

15 When you have an emergency, your  
16 attention gets diverted from all routine phases of  
17 flight to solving the emergency. In the  
18 emergencies I have had, I landed out of all of  
19 them. I have lost an engine before in two-engine  
20 airplane and it took a significantly longer amount  
21 of time than I expected it to. It is not an easy  
22 thing. And when you are alone, by yourself in a  
23 multi-million dollar airplane, that's your cocoon  
24 and it is very comfortable. And that's why we see  
25 people ejecting very, very low and very, very late.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)



1 So we have seen a significant number of mistakes in  
2 all of these accidents that we have evaluated.  
3 Most of them didn't do what they were supposed to  
4 do. Not most. A number of them did not do what  
5 the rule books said they were supposed to do. So  
6 mistakes are being made all of the time. And to  
7 assume that you are going to be able to perform  
8 perfectly when you have that high stress emergency,  
9 I don't think that's a fair statement.

10 JUDGE LAM: Colonel Horstman, within the  
11 first week of this proceeding we heard extensive  
12 testimony from Gen. Jefferson, Gen. Cole, and Col.  
13 Fly on the pilot's ability to avoid a land target.  
14 And they had assessed a success probability of 95  
15 percent. Among many reasons they cite to support  
16 this assessment were three reasons: One, the  
17 training of the U.S. Air Force pilot; two, the  
18 visibility of the PFS facility; three, the  
19 sufficient time available for the pilot to take  
20 action. If I were to ask you to critique that  
21 theory, what would you say?

22 LT. COL. HORSTMAN: Your Honor, I would  
23 say that their discussion on training is exactly  
24 correct. The Air Force does a magnificent job of  
25 training their pilots. In all the training I have

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 ever been to, the finest flight training, beyond a  
2 shadow of a doubt, was the F-16 training. They are  
3 highly trained pilots and yet we still see a number  
4 of significant mistakes that cause crashes and  
5 cause people to eject below where they are supposed  
6 to.

7 In high stress situations, the training  
8 is a great back bone and provides you with  
9 wonderful procedures. But it also focuses your  
10 attention on things which allow you to go below the  
11 altitude where you are supposed to eject. In high  
12 stress environments you make a lot more mistakes.

13 As far as the visibility, the weather is  
14 not always beautiful in Skull Valley. And if  
15 there's clouds between me and the site, I can't see  
16 it. And we don't know exactly what the weather is  
17 every day out there because they only measure it in  
18 certain categories. I have flown through the Skull  
19 Valley many, many times and never seen the ground.  
20 I have flown through Skull Valley many, many times  
21 and never seen a cloud in the sky. Those are two  
22 very, very different sets of circumstances.

23 And finally, with respect to time, it  
24 depends on the accident and it depends on  
25 whether -- in an engine failure situation, whether

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 it's instantaneous or very insidious, whether you  
2 hit a bird, whether your hydraulic failure quits.  
3 Remember, time is not only good, it is also bad.  
4 If you are over the Great Salt Lake and you have  
5 engine problems, as previous testimony from Col.  
6 Fly said, you are going to Michaels Army Air Field  
7 and call a cab and go back to Hill Air Force Base.  
8 You are going to point at the sitem and you have  
9 time.

10 If your engine subsequently fails, you  
11 have lost some of that time. You still have a  
12 certain amount of time, but it differs in every  
13 different situation. The weather impacts that.  
14 Whether your engine has seized impacts that.  
15 Whether your canopy is broken. If you had a  
16 g-induced loss of consciousness, time doesn't  
17 matter. You still hit the ground. So there are a  
18 number of set of circumstances when time is good,  
19 and yet it doesn't always help you. And you get  
20 temporal distortion when you have an emergency and  
21 you focus your attention on a couple of small  
22 things.

23 The Air Force teaches you to aviate  
24 first and then navigate and then communicate.  
25 Those are the three big what to do in an emergency.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Other than flying the aircraft, maintain aircraft  
2 control, which we have talked about. Navigation is  
3 secondary. And communication is tertiary. So your  
4 number one primary responsibility is flying the  
5 airplane. It doesn't say we are to look at sites  
6 on the ground. One small blurb in the "Dash 1"  
7 says to avoid a populated area. There are probably  
8 10,000 pages of procedures and directives and  
9 education on how the airplane works that talk about  
10 how to do all the things an F-16 does, and one  
11 little blurb about avoiding a populated area. So  
12 having the time may or may not be all that  
13 important.

14 Temporal distortion will take a 30  
15 second time frame and make it a completely second  
16 time frame when you go back and review. The  
17 accident we just looked at, the individual that hit  
18 a bird seems to remember everything that happened.  
19 That whole sequence of events probably took one  
20 second. He remembers it all. It happens both  
21 ways. You remember nothing, you remember  
22 everything. So having time may in fact help you.  
23 But it doesn't always solve the problem.

24 JUDGE LAM: Colonel Horstman. Let me  
25 ask you one more question. You took issue with the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Applicant's analysis of the 121 F-16 accident  
2 reports. The Applicant's analyses indicated  
3 roughly 97 to 100 percent of the time the pilot  
4 would have time and control. You seem to dispute  
5 that assessment. Now, I have two questions. One  
6 is to what level of detail have you conducted your  
7 analysis of these 121 accident reports? Two, what  
8 type of number were you able to determine as to the  
9 fractions of the time the pilot would remain in  
10 control and had sufficient time?

11 LT. COL. HORSTMAN: I reviewed each of  
12 the accidents provided to me, a couple of different  
13 times. Once just to get a general review, and then  
14 to categorize it I read it a second time to see if  
15 it fit into the four finite categories that PFS has  
16 offered. So I have reviewed them thoroughly. I  
17 have very little expertise in coming up with a  
18 factor or percentage. My objective, sir, was to,  
19 as I discussed before, to try to see if the  
20 categories were appropriate for the applicable  
21 accidents. In many cases we found that three  
22 different categories could be appropriate for an  
23 individual accident. So my intent was to try to  
24 figure out whether or not they were correctly  
25 categorized. And as far as what percentage, I have

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 never really run a calculation. The only  
2 calculations that I ran were if you just add up the  
3 whole column, for example, 40-something percent of  
4 the aircraft were out of control or in control.  
5 Just simple math. That's all I did.

6 JUDGE LAM: Thank you, Colonel Horstman.

7 JUDGE FARRAR: But you made a statement  
8 this morning earlier that indicated some difficulty  
9 you were having with the small universe of  
10 accidents that they eventually relied on. But yet  
11 when Judge Lam asked you a few minutes ago what  
12 were the major deficiencies you saw in the case,  
13 you did not include that as one of them.

14 LT. COL. HORSTMAN: That's correct.

15 JUDGE FARRAR: Okay. Can you reconcile  
16 those two? You said it was a problem but you did  
17 not re-urge it a few minutes ago.

18 LT. COL. HORSTMAN: I think it is  
19 troubling that we don't have all the accidents for  
20 the whole history of the F-16. I don't know  
21 statistically what it would do. So to say it is a  
22 major problem, I can't take that leap of faith. I  
23 don't know that it is.

24 JUDGE FARRAR: So you were talking about  
25 having all the F-16 accidents, not about the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 statistical analysis of the ones that we do have?

2 LT. COL. HORSTMAN: That's correct, sir.

3 JUDGE FARRAR: Okay. I think that  
4 concludes the Board's questions. It's almost one  
5 o'clock. Let's come back at 2:00 for the Staff's  
6 cross and the State's redirect. And then our step  
7 after that, Mr. Gaukler, is you would want to put  
8 on the former military officer panel as rebuttal?

9 MR. GAUKLER: That's correct.

10 JUDGE FARRAR: And how long will that  
11 take?

12 MR. GAUKLER: My colleague is working on  
13 that right now downstairs. I don't know.

14 JUDGE FARRAR: But our time constraint  
15 is the State wants to have with them Colonel  
16 Horstman during that entire exercise?

17 MR. GAUKLER: That's my understanding.

18 JUDGE FARRAR: Let's figure out what we  
19 are going to do that today. Speaking of lunch, our  
20 intention is at this point that Friday after lunch  
21 we will announce our decision orally on Utah SS.  
22 Mr. Gaukler, you will be here; Ms. Marco, you may  
23 want to have Mr. Turk here; Mr. Wiseman cannot be  
24 here. And Mr. Soper, you can -- well, I don't know  
25 what we will be trying Friday. You may let Ms.

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 Chancellor and Mr. Stewart know that that's what we  
2 are now planning. That would be after lunch on  
3 Friday. See you at two o'clock.

4 (Noon recess.)

5 JUDGE FARRAR: All right. Before we get  
6 started this afternoon, Mr. Gaukler?

7 MR. GAUKLER: Yes. I'd like to move for  
8 the admission of PFS Exhibit 99, which is the  
9 excerpt from the December 11th, 2000 Horstman  
10 deposition, the one we just talked about before  
11 lunch.

12 JUDGE FARRAR: Any objection to that?

13 MS. MARCO: No objection.

14 MR. SOPER: No objection.

15 JUDGE FARRAR: That will be admitted.

16 (APPLICANT'S EXHIBIT-99 WAS RECEIVED.)

17 We were then going to start the Staff  
18 cross-examination of Col. Horstman. Go ahead,  
19 Ms. Marco.

20 MS. MARCO: Thank you.

21

22 CROSS-EXAMINATION

23 BY MS. MARCO:

24 Q. Good afternoon.

25 A. Good afternoon, ma'am.



1 Q. Hi. I'm Catherine Marco. I'm attorney  
2 for the NRC Staff. I'd like to start with  
3 conversations you had with F-16 pilots who have  
4 ejected. You state that Col. Coots ejected from an  
5 F-111 on September 16th, 1982 in the United  
6 Kingdom. Did you ask him where he was flying at at  
7 the time of the accident?

8 A. He was stationed in one of the two air  
9 force bases over in England flying F-111's.

10 Q. Was that Lecheyers (phonetic) base in  
11 Scotland?

12 A. No, it's called Leuchars, ma'am. And  
13 that is the base where he was doing a low approach.  
14 Leuchars is an RAF base, Royal Air Force, and he  
15 was stationed at Lakenheath, RAF Lakenheath, which  
16 is a U.S. Air Force base about 200 miles south of  
17 there.

18 Q. But during the accident was he flying  
19 towards the Leuchars base?

20 A. Yes, ma'am, he was.

21 Q. All right. Did he tell you what was  
22 underneath him at 150 feet AGL when he had the  
23 hydraulic failure?

24 A. He didn't need to. It's the ocean.

25 Q. In question 33 of your testimony you

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 consider the service length of an aircraft such as  
2 the F-16. You state that any estimate of future  
3 crash rates must approximate the service life of  
4 the F-16. Do you recall that testimony?

5 A. Not those specific words, but yes, the  
6 concept.

7 Q. Do you know when the F-16 was initially  
8 put in service?

9 A. I can look it up for you. It was late  
10 70's. I don't have the exact date off the top of  
11 my head.

12 MS. MARCO: May I approach the witness?

13 JUDGE FARRAR: Yes, you may.

14 Q. (By Ms. Marco) I have placed a thing in  
15 front of you. Can you identify what it is?

16 A. It's a very large book. It's called The  
17 Great Book of Modern War Planes with over 800  
18 full-color illustrations.

19 Q. Are you familiar with this book?

20 A. I've seen it before, yes.

21 Q. Will you please turn to page 184 of this  
22 book.

23 A. Okay.

24 Q. The first full paragraph -- I guess it's  
25 the second full paragraph. What does that say in

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 the first sentence?

2 A. "The USAF accepted its first production  
3 of F-16's on August 17th, 1978, and the first  
4 delivery to an operational unit followed on January  
5 6th, 1979."

6 Q. And the second sentence, what does that  
7 say?

8 A. "First unit to be equipped was the 388th  
9 Tactical Fighter Wing at Hill Air Force Base, Utah,  
10 which built up its full strength of 102 Fighting  
11 Falcons by the end 1980 and trained air crews for  
12 tack and export customers."

13 Q. Isn't it true that the U.S. Air Force  
14 gets F-16 aircraft in blocks?

15 A. When they produce aircraft, every --  
16 after a certain amount of time for an upgrade they  
17 would go from the block 10 to the block 15.  
18 There's also an A model and C model delineation.  
19 They're currently flying up through the block 50  
20 for the United States Air Force and the block 60  
21 for foreign air forces.

22 Q. And isn't it true that the F-16 aircraft  
23 are regularly maintained in these blocks?

24 A. Yes, ma'am.

25 Q. Shouldn't the service life begin to be

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 counted from the time that the aircraft is first  
2 delivered to the U.S. Air Force for use?

3 A. The service life?

4 Q. Yes.

5 A. That would be one way to calculate it,  
6 by the first delivery. Another one would be by the  
7 first operational squadron.

8 Q. But you would have us calculate from  
9 manufacturing of the prototype, correct?

10 A. As I said, that would be one way to do  
11 that.

12 Q. And developing of the prototype?

13 A. The production aircraft, there are test  
14 aircraft and there are production aircraft. The  
15 test aircraft would never be anywhere for any other  
16 purpose than flight tests and things like that.  
17 They would not ever go to a base like Hill or Moody  
18 Air Force Base, Georgia.

19 Q. So when you consider that, why should  
20 that be considered in a data base to determine what  
21 the impact would be here in this case?

22 A. The best way to do it is by aircraft  
23 coding, I believe. By coding the first delivery of  
24 the training aircraft or combat coded aircraft, you  
25 would then get a non, what I'll call unique

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 aircraft. The first F-22 was a one-of-a-kind  
2 airplane. The second F-22 was a one-of-a-kind  
3 airplane. So the service life starts when the  
4 airplane starts and it ends when the airplane ends,  
5 because it's an F-16 or it's an F-22. Where you  
6 calculate the operational service life would depend  
7 on whether it's training or operational.

8 Does that answer your question?

9 Q. And when was the first training aircraft  
10 delivered to the U.S. Air Force?

11 A. It doesn't say here, but it's reasonable  
12 to assume that it was in 1979.

13 Q. In answer 39 of your testimony you state  
14 that you have performed emergency procedures while  
15 flying both F-16's and F-111's because of engine  
16 hydraulic and electric failure. Do you recall that  
17 testimony?

18 A. Yes.

19 Q. Did you perform these procedures in  
20 response to actual emergency, or was this in a  
21 training exercise?

22 A. They were a response to actual  
23 emergencies.

24 Q. And are engine hydraulic and electrical  
25 failures the types of problems that a pilot of a

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 crashing F-16 would encounter?

2 A. They are some of them, yes.

3 Q. And so although you were busy with these  
4 procedures in dealing with this, you were still  
5 able to land the plane each time, correct?

6 A. That's correct. None of the emergencies  
7 that I had required me to eject from the aircraft.

8 Q. You state that if time allows after all  
9 these procedures are completed, the pilot, and I  
10 believe you say can only then assess whether there  
11 are any populated or built-up areas to avoid. Is  
12 that right? If I understand your testimony in  
13 question and answer 39.

14 A. When I say can only then assess, your  
15 first action is to perform the emergency procedure  
16 checklist, and that takes up all of your time. So  
17 as soon as you accomplish that, you will then be  
18 worried about navigation, because it's aviate,  
19 navigate, communicate.

20 So your first order of business is to  
21 try to solve the problem at hand. The problem at  
22 hand is not landing the airplane, identifying any  
23 of the ground unless it's an obstruction to your  
24 flight path, for example, a mountain. So if you  
25 don't have one of those then you try to solve the

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)

1 problems, and if you solve the problem you go about  
2 your business and land somewhere.

3 If you don't solve the problem then you  
4 begin the next series of the next checklist, if you  
5 will, because sometimes there's multiple  
6 checklists, or you would look out in front,  
7 navigate, talk on the radio. You would do all of  
8 those kinds of things in accordance with not only  
9 the checklist but common aviation sense.

10 Q. Okay. But I was looking at your  
11 procedures in question and answer 39. I'll ask you  
12 to look at that.

13 A. Okay.

14 Q. So as I understand what you said, you're  
15 not saying that avoidance is impossible, though?

16 A. Oh, clearly not, no. As a matter of  
17 fact, it is very possible.

18 Q. So are you saying it's last on this  
19 list?

20 A. It's item 4 on the list, but the last  
21 item just talks about when you need to eject. But  
22 in this kind of typical scenario, yes. Where the  
23 airplane goes would be the last item that I would  
24 be concerned about.

25 Q. So all these procedures are required to

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 be followed in every emergency?

2 A. No, ma'am. As a previous question, if  
3 we lost a system, a hydraulic problem, I wouldn't  
4 follow all of those. If I lost my radio, I would  
5 be in an emergency by definitions from the FAA. I  
6 wouldn't follow those. If I lost an engine then I  
7 would follow those kinds of steps, and I would  
8 refer through my checklist to make sure that I got  
9 all of the exact steps accomplished to restart the  
10 engine or eject or whatever else.

11 Q. In step 5, let's look at step 5 a  
12 second. You state that if the pilot must eject,  
13 the pilot will assess the ejection scenario. Does  
14 this mean assessing features of the terrain below?

15 A. Yes, ma'am, and it also means assessing  
16 the weather. If you penetrated into the weather,  
17 say, from above, you were gliding down and you  
18 thought you were 5,000 feet above the ground and  
19 you entered the clouds, you're no longer in a  
20 controlled environment because you no longer really  
21 know what's in front of you.

22 Q. But one element of that is assessing the  
23 terrain below, correct?

24 A. That is correct.

25 Q. And the pilot will look for a large,



1 flat landing area?

2 A. I would.

3 Q. And from negative features like lights?

4 A. I would look for the landing area where  
5 I had the best probability to not get injured,  
6 which includes those, or, as the previous testimony  
7 was, I believe it was a Beauty Rest mattress.

8 Q. Do you recall the approximate number of  
9 times that any of the F-16's flying in Skull Valley  
10 sustained lightning strikes?

11 A. I don't recall any.

12 Q. How many times have you flown in Skull  
13 Valley in lightning conditions?

14 A. Many, many times. And I don't have an  
15 answer. I've flown well over a hundred times in  
16 Skull Valley, and anytime there's a thunderstorm  
17 within ten nautical miles you're in lightning  
18 conditions.

19 Q. In an F-16?

20 A. Yes, ma'am.

21 Q. Answer 19, you state that many pilots  
22 will use the facility as a turning or a navigation  
23 point. Isn't the use of a facility as a turning  
24 point strictly a matter of convenience?

25 A. No, it's not strictly a matter of

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

1 convenience. You're required to choose turn points  
2 so that you're going to have a route of flight and  
3 you're going to be able to judge your fuel. And  
4 the Air Force won't let their young pilots just go  
5 wander around. You have to have a road map for  
6 where you're going to go.

7 Q. But that facility, use of that facility  
8 would be a matter of convenience?

9 A. Yes. And I think if you look at that  
10 facility, every time a pilot or a flight lead in an  
11 F-16 formation is going to choose a point to turn,  
12 he goes through an evaluation process which  
13 includes all of those types of things which would  
14 make a good turn point or a bad turn point:  
15 vertical development, infrared development,  
16 lighting potentially for a night turn point, color  
17 contrast, depth perception, sun angle. If it's  
18 cloudy you'd be able to see it anyway. Whether it  
19 has a radar reflectivity. All of those kinds of  
20 things from the various sensors on the aircraft.

21 So the more of the categories that you  
22 can say yes to that have these characteristics,  
23 that would lead you more towards choosing a  
24 particular point as a turn point. And in Skull  
25 Valley there are currently no definitive turn

**NEAL R. GROSS**

COURT REPORTERS AND TRANSCRIBERS  
1323 RHODE ISLAND AVE., N.W.  
WASHINGTON, D.C. 20005-3701

(202) 234-4433

[www.nealrgross.com](http://www.nealrgross.com)