

RAS 4529

# Official Transcript of Proceedings

## NUCLEAR REGULATORY COMMISSION

Title: Private Fuel Storage, LLC

Docket Number: 72-22-ISFSI; ASLBP No. 97-732-02-ISFSI

Location: Salt Lake City, Utah

Date: Wednesday, May 1, 2002

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UNITED STATES OF AMERICA  
 NUCLEAR REGULATORY COMMISSION

In the Matter of:	)	
PRIVATE FUEL STORAGE, LLC,	)	Docket No. 72-22
(Independent Spent Fuel	)	ASLBP No.
Storage Installation)	)	97-732-02-ISFSI
	)	

U. S. Nuclear Regulatory Commission  
 Shearton Hotel, Wasatach Room  
 Salt Lake City, Utah 84114

On May 1, 2002 the above-entitled matter came  
 on for hearing, pursuant to notice, before:

MICHAEL C. FARRAR, CHAIRMAN  
 Administrative Judge  
 U. S. Nuclear Regulatory Commission

DR. JERRY R. KLINE  
 Administrative Judge  
 Atomic Safety & Licensing Board Panel

DR. PETER S. LAM  
 Administrative Judge  
 Atomic Safety & Licensing Board Panel

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## I N D E X

## E X A M I N A T I O N

Witness Panel:	Page
Krishna Singh and Alan I. Soler	
Cont. Cross Examination by Ms. Nakahara	5986✓
Recross Examination by Mr. Soper	6076✓
Redirect Examination by Mr. Gaukler	6091✓
Recross Examination by Mr. Turk	6111✓
Further Recross Examination by Mr. Soper	6124✓
 Paul Trudeau	
Direct Examination by Mr. Travieso-Diaz	6133✓
Prefiled Testimony Admitted	6135✓
Cross Examination by Mr. O'Neill	6137✓
Cross Examination by Ms. Chancellor	6158✓

## E X H I B I T S

No.	MRKD/ADMTD
State's Exhibits	
173 Holtec's 2000-year Seismic Analysis <i>(proprietary document)</i>	<i>(Previously marked) / 6130'</i>
174 "Seismic Response Characteristics of HI-STAR 100 Cask System on Storage Pads" by Singh and Soler	6035 / 6131'
175 Figure 7.2-7 from the SAR	<del>6173</del> / 6185 ✓
176 Multi-cask Seismic Response at the PFS ISFSI, Holtec Report HI-971631 <i>(proprietary document)</i>	6185 ✓
Applicant's Exhibits	
UU Stone & Webster calculation numbers, Revision 9	6137/6137✓
VV Stone & Webster calculation numbers, Revision 6	6137/6137✓
WW "Sliding Stability -- Dynamic Loads" from Holtec for 2000 year earthquake	6137/6137✓

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1 9:00 a.m.

May 1, 2002

2 P R O C E E D I N G S

3 JUDGE FARRAR: Good morning, everyone.

4 It's 10 after 9:00. We were here ready to start,  
5 but the parties were engaged in what appeared to be  
6 a major discussion about some evidence. We wanted  
7 to let that discussion take place because, as we've  
8 seen, the parties are often able to work out things  
9 cooperatively better than we could by ruling on  
10 them. If you all want to tell us what that was all  
11 about.

12 MS. CHANCELLOR: Certainly. Last night  
13 when I got back to the office about seven o'clock I  
14 found this CD-ROM in a transmittal letter from  
15 Holtec, and the transmittal letter relates to PFS  
16 seismic analysis pad interface forces, and it's a  
17 transmittal letter transmitting this CD-ROM which  
18 has a whole bunch of zip files, a whole bunch of  
19 data. These Holtec force time histories were  
20 developed in the 2,000-year cask stability analysis  
21 and these are four time histories that we have  
22 requested from Dr. Soler and Dr. Singh during their  
23 deposition in March.

24 MR. GAUKLER: Requested from Dr. Wen  
25 Tseng.

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1 MS. CHANCELLOR: Oh, Dr. Tseng, Dr. Wen  
2 Tseng. There are too many "sings." We requested  
3 it from Dr. Wen Tseng and it is a critical  
4 calculation. It's a calculation that Holtec did  
5 and gave to Dr. Wen Tseng for the design of the  
6 pad. There is no -- the only piece of paper we got  
7 was a transmittal letter. Mr. Gaukler tells me  
8 there's like a three-page description of what is on  
9 these -- on the CD-ROM. And it's going to take our  
10 experts some time to review this data, look at the  
11 -- where Holtec produced the forces, on what part  
12 of the cask and the pad and how Dr. Tseng applied  
13 those forces in his calculation.

14 So I think the most expedient resolution  
15 of this is to allow us to cross-examine -- we'll  
16 certainly need to cross-examine Dr. Soler as to how  
17 he produced these force time histories. We may  
18 also need to cross-examine Dr. Wen Tseng as to how  
19 he applied them. And what I suggest is that we  
20 continue on today and that they come back. And I  
21 would also request that we be permitted to  
22 supplement our direct testimony if necessary. It  
23 would be the testimony of Dr. Bartlett and Dr.  
24 Ostadan for dynamic analysis.

25 JUDGE FARRAR: Supplement it to include

1 their response to this information?

2 MS. CHANCELLOR: Supplement it solely  
3 with respect to this information. "This  
4 information" being the CD-ROM and any accompanying  
5 documents that Mr. Gaukler gives me.

6 JUDGE FARRAR: Mr. Gaukler?

7 MR. GAUKLER: Yes. I just want to state  
8 a little more background. In general, the type of  
9 data that is on the CD-ROM is described in Dr. Wen  
10 Tseng's ICEC calculations and there are some simple  
11 plots there from the data, just for background  
12 purposes, but I agree that they got the CD last  
13 night. For various reasons I won't get into it.  
14 So we don't have any objection to their looking at  
15 the data. Right now I have tentative Dr. Wen Tseng  
16 and Dr. Soler here for the cross-examination of Dr.  
17 Khan and possibly Dr. Bartlett, and we'll see how  
18 their review is. Hopefully they'll be done by that  
19 time frame to follow up at that point in time with  
20 Dr. Singh and Dr. Soler. And I would want to be  
21 convinced that any additional testimony is really  
22 -- was due to the new information in the CD.  
23 Assuming that to be the case, I wouldn't object to  
24 that. But obviously --

25 JUDGE FARRAR: You can't commit to that

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1 right now.

2 MR. GAUKLER: Without reviewing it. And  
3 also -- yeah. We might also put it in in rebuttal  
4 too, is another possibility.

5 JUDGE FARRAR: Does the Staff have any  
6 position on this?

7 MR. TURK: No. We view this as a matter  
8 between the parties.

9 JUDGE FARRAR: Speaking of when  
10 witnesses are showing up, are we looking at plan A  
11 or plan B.

12 MS. CHANCELLOR: Plan Z, your Honor.

13 JUDGE FARRAR: If you haven't arrived at  
14 anything then let's not go over it.

15 MR. GAUKLER: Yeah. I would suggest  
16 that -- well, we have some stuff to talk to the  
17 Board about. I would suggest, given Dr. Singh's  
18 schedule, that we defer to that until we're done  
19 with the testimony of Dr. Singh and Dr. Soler, if  
20 that's okay with the State.

21 MS. CHANCELLOR: That's fine.

22 JUDGE FARRAR: Then we'll go ahead with  
23 the continuing State's cross-examination. As we  
24 discussed yesterday, we do have a witness  
25 availability problem. And while that's not

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1 paramount, we will try during the course of the  
2 proceeding to extend the same courtesy to any  
3 party's' witnesses. Before you start, Ms.  
4 Nakahara, Mr. Turk, do you have something?

5 MR. TURK: Yes, your Honor. Just a  
6 housekeeping matter. I would like to introduce to  
7 the Board two other members of the Staff who were  
8 here yesterday and are with us again today. I  
9 would ask them simply to stand as I introduce them.  
10 First Mr. E. William Brach, who is Director of the  
11 NRC Spent Fuel Project Office. And with him is Mr.  
12 Stephen Baggett who is a project manager on the  
13 Spent Fuel Project Office with current  
14 responsibility, while other facilities or projects,  
15 the Diablo Canyon project.

16 MS. CHANCELLOR: Your Honor, just to  
17 clarify, I assume that you did rule that that was  
18 acceptable that we supplement our testimony and  
19 that we have the opportunity to cross-examine Dr.  
20 Singh and Dr. Soler to the extent we need to based  
21 on our review of this late information?

22 JUDGE FARRAR: What we intended to rule  
23 was that you certainly have time to review that to  
24 cross-examine later, bring the witnesses back and  
25 cross-examine them whenever you're prepared to do.

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1 So on the matter of whether you can supplement your  
2 testimony, in theory you can do that. I think Mr.  
3 Gaukler was just saying he would want to make sure  
4 that your supplement was limited to the new  
5 information. But certainly if it were, then we  
6 would be inclined -- we would let you do so.

7 MS. CHANCELLOR: Okay. Thank you, your  
8 Honor.

9 JUDGE FARRAR: In other words? Wait a  
10 minute.

11 MR. GAUKLER: Where there was testimony  
12 that was giving new information as opposed to what  
13 they already had. One minor thing, I think the  
14 current instruction letter is on the CD itself, but  
15 we'll get you a hard copy as well.

16 MS. CHANCELLOR: At ten o'clock last  
17 night that was just a blow.

18 MR. GAUKLER: I understand that.

19 JUDGE FARRAR: Well, then let's get  
20 going. We promised Dr. Singh, what was it, two  
21 o'clock, to get him out of here?

22 DR. SINGH: Yes.

23 JUDGE FARRAR: All right. Go ahead, Ms.  
24 Nakahara.

25 MS. NAKAHARA: Thank you, your Honor.

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CROSS-EXAMINATION (Resumed)

BY MS. NAKAHARA:

Q. Good morning, your Honors. Good morning  
Dr. Singh and Dr. Soler.

DR. SINGH: Good morning.

DR. SOLER: Good morning.

Q. I'm Connie Nakahara. I apologize for my  
voice. I represent the State of Utah.

Dr. Singh, if you'll turn to your  
response to Answer 19, isn't it true that you state  
that ASME, the American Society of Mechanical  
Engineers Boiler and Pressure Vessel Code governs  
the design of pressure vessels for safety-related  
applications at nuclear power plants?

DR. SINGH: In general that is correct.

Q. All safety-related pressure vessels at  
nuclear power plants are rigidly connected to the  
foundation; isn't that correct?

DR. SINGH: No, that's not correct.

Q. What examples do you have?

DR. SINGH: Well, examples, actually,  
are quite contextual to these proceedings. All  
safety-related equipment where spent fuel is  
stored, almost all of that equipment at the present  
time in the United States and most countries

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1 overseas has spent fuel -- I mean the equipment  
2 that is used to store spent nuclear fuel in the  
3 spent fuel pools are free-standing and they are  
4 safety-related, they are Seismic Category 1, and I  
5 mean practically all of it.

6 JUDGE FARRAR: Dr. Singh, would you pull  
7 the microphone a little closer, please?

8 Q. (By Ms. Nakahara) Are spent fuel racks  
9 classified as pressure vessels?

10 DR. SINGH: No, they are not pressure  
11 vessels. They are safety-related and they are  
12 seismic Category 1.

13 Q. So isn't it true that there are no  
14 pressure vessels, safety-related pressure vessels  
15 at nuclear power plants that are not rigidly  
16 connected to the foundation?

17 DR. SINGH: Well, realize that the  
18 HI-STORM is not a pressure vessel either, okay? If  
19 you're inferring that HI-STORM is a pressure  
20 vessel, it is not.

21 Q. But in Answer 19, isn't it true that the  
22 HI-STORM meets the code governing design of  
23 pressure vessels?

24 DR. SINGH: Perhaps you're confused.  
25 Let me attempt to explain. The ASME Boiler and

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1 Pressure Vessel Code has many subsections. The  
2 subsection NF that applies to linear structures,  
3 that is not a pressure vessel, even though it's  
4 under the umbrella of the ASME Boiler and Pressure  
5 Vessel Code. There are codes within the ASME code  
6 that pertain to non-pressure vessels. Subsection  
7 NF, NF in quotes, is not a pressure vessel code,  
8 it's a structural code. And the HI-STORM system is  
9 engineered to meet the stress limits of the NF  
10 code.

11 Q. Isn't it true only high density racks  
12 are unanchored, spent fuel racks?

13 DR. SINGH: I would not make that bold  
14 of a statement. I cannot say that for the universe  
15 of equipment used at nuclear power plants.

16 Q. If you'll turn to your response to  
17 Question 27, yesterday Mr. Soper asked you some  
18 questions with respect to your seismic analysis at  
19 the facilities you list in your Answer 27. With  
20 respect to the site-specific analysis conducted,  
21 isn't it correct that the storage pads at Diablo  
22 Canyon will be embedded in soft bedrock?

23 DR. SINGH: I didn't hear the last few  
24 words.

25 Q. Isn't it correct that the storage pads

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1 at Diablo Canyon will be embedded in soft bedrock?

2 DR. SINGH: I would say they are founded  
3 on soft rock, to my knowledge. I did not -- we did  
4 not design the ISFSI pad for Diablo Canyon, but my  
5 understanding is that the pad is founded on rock.

6 Q. Are the storage pads at Dresden  
7 supported by soil cement on a clay layer?

8 DR. SINGH: No, there is no soil cement  
9 at Dresden.

10 Q. What about at Columbia Generating  
11 Station?

12 DR. SINGH: No, no soil cement is used  
13 at either Dresden or at Columbia Generating  
14 Station, to my knowledge.

15 Q. What about at Fitzpatrick?

16 DR. SINGH: Again, to my knowledge, soil  
17 cement has not been used.

18 Q. And what about at Sequoyah Fuels?

19 DR. SINGH: Sequoyah's pad has not been  
20 established yet, but the design of the pad which we  
21 have produced does not call for use of soil cement.

22 Q. And is the site at Sequoyah, could it be  
23 classified as a clay site, a clay-layered site?

24 DR. SINGH: I couldn't tell you for  
25 sure. After a while they all go together in my

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1 mind.

2 JUDGE FARRAR: Speaking of going  
3 together, we're losing some of your words. If you  
4 could put the microphone maybe between you and the  
5 witness -- or between you and counsel so you could  
6 look at her and talk into the microphone at the  
7 same time.

8 DR. SINGH: All right. Thank you for  
9 the direction, Judge.

10 Q. (By Ms. Nakahara) Dr. Soler, if you  
11 have in front of you PFS Exhibit 86 -- do you have  
12 the Exhibits from yesterday?

13 DR. SOLER: Unfortunately I gave them  
14 back so just give me a minute. Okay.

15 Q. Do they also have State's Exhibit 173,  
16 the Multi Cask Response?

17 DR. SOLER: You had better give me the  
18 other one too.

19 JUDGE FARRAR: And while we're doing  
20 that, Ms. Nakahara, PFS Exhibit 86, would you say  
21 for the record here what that is.

22 MS. NAKAHARA: It's the PFS Beyond  
23 Design Basis Scoping Analysis.

24 JUDGE FARRAR: And that's the document  
25 that was marked and then retrieved yesterday. So

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1 this might be a good time, I think Mr. Gaukler has  
2 already passed out the new copies, to have the  
3 reporter mark that. It turns out she already has.  
4 And just so all this is on the record in one place,  
5 we're going to talk about PFS Exhibit 86 and State  
6 Exhibit 173. Both of those are Company proprietary  
7 documents. We have made no ruling on whether they  
8 are, in fact, proprietary until someone challenges  
9 it, we just take them as that way.

10 But what happened yesterday was the  
11 Board sensed that the witnesses were waiving the  
12 claim of proprietary because they thought they had  
13 to in order to bring it into this proceeding and we  
14 advised them that they did not have to do that,  
15 that there were procedures we could invoke to  
16 continue with our proceeding and to protect the  
17 proprietary nature of the documents. They took  
18 that opportunity.

19 So as far as we're concerned, these have  
20 the same claim of proprietary nature that they did  
21 before we started 24 hours ago. So on that basis  
22 everyone will protect these copies of the documents  
23 that they have. The agreement that counsel reached  
24 was that while the documents would be protected,  
25 the discussion about them in the transcript did not

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1 have to be sealed or kept in confidence and we'll  
2 proceed on that basis.

3 Q. (By Ms. Nakahara) Dr. Soler, isn't it  
4 true that you are the sole author of PFS Exhibit  
5 86?

6 DR. SOLER: That's the Beyond Design  
7 Basis?

8 Q. Yes.

9 DR. SOLER: That is correct.

10 Q. And, Dr. Soler, isn't it true that you  
11 are the current author identified in State's  
12 Exhibit 173, Multi Cask Response at PFS ISFSI for  
13 2,000-Year Seismic?

14 DR. SOLER: That is correct.

15 Q. Is it also true that Revision 0 author  
16 to that document is Chuck Bullard?

17 DR. SOLER: That is correct.

18 Q. Dr. Soler, isn't it true that you are  
19 the sole author identified in the 1997 Multi Cask  
20 Response, Seismic Response of the PFS ISFSI?

21 DR. SOLER: I don't have that in front  
22 of me but I believe, to the best of my knowledge,  
23 that I'm identified as the author.

24 Q. Thank you. In Answer 41 in your  
25 response to Question 41, isn't it correct that you

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1 state the effect -- I'll wait. I apologize. Isn't  
2 it correct that you state that the effect of soil,  
3 soil cement foundation was modeled by appropriate  
4 springs and dampers characterizing the soil  
5 resistance in deflection and rotation?

6 DR. SOLER: That is correct.

7 Q. By modeling the soil springs and damper,  
8 is that how you accounted for the soil structure  
9 interaction?

10 DR. SOLER: That is how we included the  
11 soil in our dynamic model, yes.

12 Q. And is it also correct you use the soil  
13 spring and dampers provided in the Multi Cask  
14 Response at PFS ISFSI, States's Exhibit 173, the  
15 soil --

16 DR. SOLER: We were provided with the  
17 moduli of the soil appropriate to the earthquake.  
18 The formulas that we used to develop the springs  
19 were not provided to us by Geomatrix.

20 Q. Yesterday Mr. Turk asked you questions  
21 about the HI-STORM 100 CoC, Certificate of  
22 Compliance. I would just like to clarify an issue.  
23 Because the CoC is a generic license, isn't it  
24 correct that soil structure interaction effects are  
25 not included in that license?

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1 DR. SOLER: That is correct.

2 Q. Is the soil spring and damper concept  
3 that you use in your model -- strike that.

4 Is the soil spring and damper concept  
5 that you use in your model for the Multi Cask  
6 Response at PFS ISFSI for the 2,000 year the same  
7 method you use in all the Holtec seismic analysis?

8 DR. SOLER: Would you clarify what you  
9 mean by "all the Holtec seismic analysis."

10 Q. The ones you listed in I believe it was  
11 Answer 27, which would include Diablo Canyon, the  
12 site-specific cask stability analysis.

13 MR. GAUKLER: What page is that?

14 MS. NAKAHARA: I'm looking. It's Answer  
15 27.

16 DR. SOLER: Okay. Now what was your  
17 question again?

18 Q. (By Ms. Nakahara) Is this the same  
19 model, the same concept to account for soils --  
20 strike that.

21 The soil spring and damper concept you  
22 used in the Multi Cask Response for 2,000 Year at  
23 PFS, is that the same concept you used in these  
24 analyses to account for soil structure interaction  
25 effects?

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1 MR. TURK: I'm sorry, in which analysis?

2 MS. NAKAHARA: In Answer 27, which would  
3 be the Diablo Canyon. Let's just start with Diablo  
4 Canyon.

5 DR. SOLER: Soil springs were used in  
6 the TVA, Sequoyah, analysis. Diablo Canyon did not  
7 use -- the SSI analysis was not done by us. The  
8 time histories were provided to us. The fact that  
9 the pad is thick and founded on rock negated the  
10 use of soil springs for any of our analysis. The  
11 dynamic analysis of the Dresden plant, we had  
12 specified accelerations there at the base of the  
13 pad. And I believe, to the best of my  
14 recollection, that was also true for Energy  
15 Northwest and J.A. Fitzpatrick.

16 Q. (By Ms. Nakahara) Do you know what  
17 methodology was used to derive the accelerations at  
18 the pad for Dresden?

19 DR. SOLER: To the best of my knowledge,  
20 I don't know the methodology, but it satisfied the  
21 appropriate SRP, Standard Review Plan.

22 Q. Do you know the methodology that was  
23 used to derive the accelerations at Fitzpatrick?

24 DR. SOLER: We don't.

25 Q. And do you know the methodology that was

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1 used to develop the accelerations at Diablo?

2 DR. SOLER: No, I do not.

3 Q. Dr. Soler, will you describe your  
4 experience in analyzing soil dynamics in foundation  
5 design.

6 DR. SOLER: Other than the work that I  
7 have done for these ISFSIs, my expertise is not in  
8 the design of foundations and soils.

9 Q. Will you describe your experience in  
10 analyzing the effects of soil structure  
11 interaction.

12 DR. SOLER: My experiences on this  
13 project?

14 Q. In general.

15 DR. SOLER: In general and on TVA,  
16 Sequoyah, and then an internal report that I wrote  
17 generic to work we were going to do, but that is  
18 the extent of my work in soils.

19 Q. Thank you. Does Mr. Bullard have  
20 experience -- is it Mr. Bullard or Dr. Bullard?

21 DR. SOLER: Mr.

22 Q. Does Mr. Bullard have experience in  
23 analyzing soil dynamics and foundation design?

24 DR. SOLER: Mr. Bullard did not need  
25 that experience to do what he had to do in the

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1 project.

2 Q. Does Mr. Bullard have any experience in  
3 analyzing the effects of soil structure  
4 interaction?

5 DR. SOLER: What he gained on these  
6 projects that he worked on is the sum total of his  
7 experience.

8 DR. SINGH: Can I supplement the answer,  
9 if you will allow me?

10 MS. NAKAHARA: Yes.

11 DR. SINGH: I think the questions you're  
12 asking and the answers may not quite illuminate the  
13 matter properly. We do not -- we are not soil  
14 people in the sense that we do not develop  
15 properties, we do not run tests and characterize  
16 soils and develop properties of soils. We do,  
17 however -- we do, however, once we get the soil  
18 characterized by appropriate people, analyze the  
19 interaction between the soil and the structure  
20 attached to it using classical mechanics  
21 techniques.

22 You had asked earlier NRC did not  
23 include the soil structure interaction in the  
24 certificate. That is true. But there is in our  
25 FSAR the statement that we recognize the effect of

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1 soil structure interaction and when we began work  
2 on studying the interaction between the soil,  
3 again, characterized by others, we simply make the  
4 mathematical model for it.

5 In doing that work, we wrote to the NRC  
6 in a separate letter informing them of our broad  
7 methodology and we used that approach in the  
8 projects that we do. Because after all, the FSARs,  
9 every document is finite in the amount of  
10 information it has. And in this period of open  
11 communication with the NRC, when we have an area  
12 where there has not been in-depth discussion with  
13 the regulators, we would like a letter or we use  
14 some means, official means to communicate as to the  
15 work we do. And that's what we did in the area of  
16 soils structure interaction.

17 Q. With respect to the methodology to  
18 develop soil springs and dampers in ASME, isn't it  
19 correct that's an approximation method to account  
20 for soil structure interaction effects?

21 DR. SINGH: Practically all methods --

22 MR. GAUKLER: Just for clarification of  
23 the record I think counsel meant to say -- you said  
24 ASME and I think you meant to refer to something  
25 else, ASCE.

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1 MS. NAKAHARA: ASCE, thank you.

2 DR. SINGH: It should be ASCE. The  
3 procedures given in industry standards typically  
4 are conservative, they are approximate. And I will  
5 further go and tell you that if anyone tells you  
6 that a solution is exact using computer programs  
7 they are misstating the fact. Every solution has  
8 approximation built into it. That is the nature of  
9 engineering solutions.

10 Q. (By Ms. Nakahara) Dr. Soler, if you  
11 have a non-sliding condition -- or for a  
12 non-sliding condition, have you compared the  
13 reaction loads applied at the cement-treated soil  
14 interface for your soil springs and damper with a  
15 soil structure interaction code such as SASSI?

16 DR. SOLER: SASSI would not be applied,  
17 could not be applied to this project.

18 Q. But it could be applied to a non-sliding  
19 situation, correct?

20 DR. SOLER: The sliding or non-sliding  
21 is immaterial. It still couldn't be applied to  
22 this project because the casks and the pad  
23 interaction is nonlinear and the codes that I know  
24 of that are devoted to soil structure interaction  
25 in the manner which you're alluding are linear

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1 codes.

2 Q. But couldn't you use SASSI to verify  
3 your forces you're seeing at the interface between  
4 the pad and the cement-treated soil?

5 DR. SOLER: In my opinion, no.

6 Q. Then how do you know the loads that  
7 you've applied are an accurate representation of  
8 soil interaction effects?

9 DR. SOLER: The loads that I apply in  
10 the analysis are simply the inertial loads from the  
11 earthquake. They're applied at the base of the  
12 soil springs and propagate through the soil springs  
13 into the pad and then into the casks, in a  
14 simplified description. So the only loads that we  
15 apply are the seismic inertial loads, the  
16 accelerations due to the earthquake.

17 Q. And you're talking about the ones you  
18 received from Geomatrix, correct?

19 DR. SOLER: Geomatrix gave us the time  
20 histories, that is correct.

21 Q. How do you know that the soil springs  
22 and dampers that you calculated adequately account  
23 for the soil structure interaction effects?

24 DR. SOLER: In our opinion, they did.  
25 Using, in my opinion, using a code that doesn't

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1 apply to try to verify a problem solves nothing.  
2 Had I rigged up a problem and used SASSI and gotten  
3 results that agreed or disagreed, it would have  
4 provided no additional information to me. In my  
5 opinion, because of the nonlinearity of the problem  
6 we were dealing with, the only way to treat it  
7 would have been with the soil springs we were  
8 using, plus our model of the pad and the casks in  
9 terms of lump mass spring systems.

10 Q. And this is based on your engineering  
11 judgment, correct?

12 DR. SOLER: My judgment and roughly  
13 about 40 years of experience.

14 Q. But didn't you just tell us that the  
15 only experience you have with soil structure  
16 interaction is this case?

17 DR. SOLER: That is true. But in the  
18 tenor of the analysis we were doing, the effect of  
19 the soil was simply masses and springs and dampers.  
20 So it's no different than any other dynamic  
21 problem.

22 Q. Do you have any working knowledge of the  
23 SASSI code?

24 DR. SOLER: I have looked through the  
25 manual, but that is the extent of my knowledge of

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1 it.

2 Q. Thank you. Dr. Soler, isn't it true in  
3 your 10,000-year analysis, I think in response to  
4 Answer 39, isn't it true this 10,000-year analysis  
5 did not account for the effects of soil structure  
6 interaction? And take the time answering, will  
7 you?

8 DR. SOLER: It accounted for soil  
9 structure interaction in the same manner that the  
10 DYNAMO analysis accounted for it by simulating the  
11 effect of the soil by a set of springs. Oh, we are  
12 talking about the --

13 Q. I was going to clarify.

14 DR. SOLER: The Beyond Design Basis or  
15 no?

16 Q. Is that the 10,000-year you were talking  
17 about in this response?

18 DR. SOLER: I have to -- there are two  
19 reports. The first one, you are correct, the first  
20 one stated explicitly that the analysis we were  
21 doing for that report assumed that the time  
22 histories were applied, were driving the pad. So  
23 there was no soil structure interaction model in  
24 that report.

25 Q. For the record, and I apologize for not

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1 having a copy for you, does the first analysis, the  
2 title sound like, or based on your recollection,  
3 the Dynamic Response of Freestanding HI-STORM 100  
4 Excited by 10,000-Year Return at PFS --

5 DR. SOLER: That sounds --

6 Q. -- dated November --

7 DR. SOLER: Actually, that references  
8 Reference 11-1 in the Beyond Design Basis Scoping  
9 Analysis.

10 Q. And that is the correct title?

11 DR. SOLER: That is the correct title,  
12 yes.

13 Q. Thank you. If you'll turn to your  
14 response to Question 55, isn't it correct you  
15 discuss the State's concern that Holtec used a  
16 static, and you refer to it as a small strain  
17 Young's modulus in this response?

18 DR. SOLER: No, you've got it backwards.  
19 The static modulus is a large strain modulus.

20 Q. You're correct. Our concern is that you  
21 used this -- no, strike that. Anyway, does this  
22 response deal with Young's modulus?

23 DR. SOLER: Yes, it does.

24 Q. Thank you. Isn't it true in this  
25 response you state the soil strain calculated in

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1 Holtec's tip-over drop analysis is 1.93 percent?

2 DR. SOLER: That is correct.

3 Q. Isn't it also true the soil strain  
4 calculation assumes a non-mechanistic tip-over?

5 DR. SOLER: That is also correct.

6 Q. And isn't it also true PFS has no  
7 cement-treated soil test data for either a small or  
8 large strain?

9 DR. SOLER: That I cannot attest to, but  
10 I -- that may be true. I do not -- I'm not  
11 involved with what soil testing has or has not been  
12 completed at this stage.

13 Q. And isn't it true that you did not  
14 quantify the effects of cask response in using a  
15 small strain Young's modulus on cask response?

16 DR. SOLER: Which response, the drop and  
17 tip-over response?

18 Q. No, the cask sliding tip-over -- cask  
19 sliding stability?

20 DR. SOLER: In the stability analysis  
21 the moduli were given to us. To my understanding,  
22 that moduli included that effective modulus for us  
23 to calculate soil springs included a layer of soil  
24 cement.

25 Q. If you'll look at your response to

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1 Question 57, is it true towards the bottom half of  
2 that response you state, "One accepted methodology  
3 for bounding the seismic -- one accepted  
4 methodology for bounding the effects of  
5 non-vertical seismic waves is, in fact, to  
6 deliberately induce a 5 percent loading  
7 eccentricity into the model to account for rocking  
8 and torsion effects"?

9 DR. SOLER: That is correct, yes.

10 Q. Is it your opinion that Holtec  
11 introduced eccentricities by analyzing the seismic  
12 response of less than 8 casks on a pad?

13 DR. SOLER: Yes, it is.

14 Q. Did you account for eccentricities in  
15 any other way in the cask response?

16 DR. SOLER: Did I or could I?

17 Q. Did you.

18 DR. SOLER: In the DYNAMO runs the only  
19 eccentricities to the global model were those from  
20 using different numbers of casks on the pad,  
21 different simulations.

22 Q. Holtec did not induce an additional 5  
23 percent load -- strike that.

24 At any one time wouldn't it be normal  
25 operations to have between 1 to 8 casks loaded on a

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1 pad?

2 DR. SOLER: I believe that any time  
3 during the course of a loading campaign you could  
4 have less than 8 casks on a pad.

5 Q. So Holtec did not induce an additional 5  
6 percent loading eccentricity above that expected  
7 for normal operations, right?

8 DR. SOLER: Well, to me that 5 percent  
9 that is stated is not really meant to cover this  
10 situation. Generally speaking, you're talking  
11 about a building where you may want to introduce a  
12 5 percent irregularity in some manner in that  
13 building model to account for these effects. I  
14 don't think my opinion, that that statement ever  
15 anticipated this kind of a problem.

16 Q. Isn't it true you have thought  
17 quantified the actual effects of non-vertically  
18 propagating waves on cask response?

19 DR. SOLER: I would say we bounded them  
20 probably by our Beyond Design Basis Analysis with  
21 all the conservatisms that we put in them.

22 Q. But you have not, in fact, quantified  
23 them; is that correct?

24 DR. SOLER: I have not been given a set  
25 of time histories which included non-vertical

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1 waves, no.

2 Q. Thank you. Dr. Soler, in response to --  
3 excuse me. Dr. Soler, in response to Question 60  
4 to 61, is it correct you discussed the analysis of  
5 Tennessee Valley Authority's Sequoyah Nuclear Power  
6 Plant?

7 DR. SOLER: I was busy turning the page  
8 there. I hate to make you repeat the question,  
9 but--

10 JUDGE FARRAR: Lanette, would you read  
11 that back, please?

12 (Pending question read.)

13 DR. SOLER: That is correct.

14 Q. (By Ms. Nakahara) Dr. Soler, what is  
15 the zero period acceleration at Tennessee Valley?

16 DR. SOLER: At the top of the pad, and  
17 this is from memory only, at the top of the pad I  
18 believe it was in the order of .45 to .55. I can't  
19 be sure.

20 Q. And I may not use this as an Exhibit, so  
21 if we can withhold from marking it right now. And  
22 this is also a proprietary document.

23 Are the TVA storage pads located on a  
24 clay-layered site?

25 DR. SOLER: I do not recall exactly how

1 the soil is characterized there.

2 Q. Isn't it correct the soil structure --  
3 strike that. The document that Ms. Chancellor just  
4 handed you, is it correct these are various pages  
5 from Revision 1 of HI2012727(3), which includes a  
6 cover page, Page 17, 20, 21. It also includes  
7 pages from Revision 2 of HI2012727(4), which  
8 includes a cover page, Page 17, 21, 22, 23, and  
9 pages D-9 through D-24 from the Appendix D, Rev 2  
10 of HI 2012727?

11 DR. SOLER: That's correct.

12 Q. Are you familiar with this document?

13 DR. SOLER: Yes.

14 Q. Isn't it true this document or somewhere  
15 in this document the soil structure interaction  
16 effects at the Tennessee Valley site are modeled as  
17 competent bedrock?

18 DR. SOLER: The time histories are  
19 imposed at competent bedrock, but soil spring  
20 effects were included between competent bedrock and  
21 top of ground -- or top of pad.

22 DR. SINGH: The bedrock varied between  
23 46 feet to --

24 DR. SOLER: 46 to 80 -- actually, 33 to  
25 83 feet I believe is the correct number.

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1 DR. SINGH: Below the pad.

2 Q. What are the estimated long-term  
3 settlement of the storage pads at the Tennessee  
4 Valley site, if you know?

5 DR. SOLER: I do not know.

6 Q. Isn't it true that the pads are not  
7 supported by soil -- by cement-treated soil?

8 DR. SOLER: As was stated earlier, the  
9 pads have not been finally designed yet so I can't  
10 answer that.

11 Q. Are you aware of whether pad deflection,  
12 whether the pad deflection was determined using a  
13 finite element analysis? Strike that. Let me  
14 start over. Are you aware of whether pad  
15 deflection was determined at the Tennessee Valley  
16 site?

17 DR. SINGH: Let me answer that. The pad  
18 was analyzed, the pad was analyzed as a finite --  
19 using a finite element program and the soil was  
20 simulated by a set of springs to analyze the pad.  
21 We do not as a practice make an attempt to predict  
22 long-term settlement. We can only conjecture.  
23 Even the concept in operating ISFSIs is that the  
24 long-term behavior of the pad is part of monitoring  
25 program for the ISFSI operator. And the

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1 settlement, we concern ourselves with making sure  
2 that the settlement, if it were to exceed a certain  
3 value, then the plant operator will take remedial  
4 action. It is not a stress evaluation effort.

5 Q. Do you agree that long-term settlement  
6 may deform the surface of the pad?

7 DR. SINGH: Over long term there may be  
8 some settlement depending on the manner in which  
9 the pad would be loaded, depending on the  
10 geotechnical conditions at the site, it may occur.  
11 Soil, as we all know, does creep under stress and  
12 it's possible for limited settlements to occur.

13 MR. GAUKLER: I want to put an objection  
14 on the record in terms of being beyond the scope of  
15 the direct.

16 JUDGE FARRAR: The same ruling as usual.

17 MR. GAUKLER: I understand. I just want  
18 to put it on the record, your Honor.

19 JUDGE FARRAR: That's fair. We'll  
20 overrule the objection. Let me interrupt here.  
21 Miss Nakahara, we can't help but notice you've been  
22 struggling with your voice for the past half hour.  
23 I seem to remember an obscure provision in the  
24 rules that says on occasion experts are allowed --  
25 expert nonlawyers are allowed to conduct

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1 questioning. That's an option. Since you are  
2 being advised by some experts that's an option we  
3 would make available to you under the physical  
4 circumstances of your voice problems. If you were  
5 to say you wanted to accept that option we would  
6 then be forced to listen to arguments from the  
7 other side, from the other parties about whether  
8 that was legitimate or not. But let's go off the  
9 record and discuss how we might handle this.

10 (A recess was taken.)

11 JUDGE FARRAR: Let's go back on the  
12 record. During the break we discussed how best to  
13 move forward and counsel for the State is willing  
14 to soldier on and apparently does not feel as bad  
15 as her voice sounds. So we will go forward on that  
16 basis.

17 MS. NAKAHARA: Thank you, your Honor.  
18 It's harder on everybody else to listen to me.

19 Q. (By Ms. Nakahara) Dr. Soler, in  
20 conducting your cask sliding analysis, will you  
21 describe the type of surface you used for the top  
22 of the pad? For example, was it perfectly flat?

23 DR. SOLER: I need a little  
24 clarification as to your question. Are you talking  
25 about the numerical analyses as such in the Multi

1 Cask Response Report when you're talking about cask  
2 sliding analysis?

3 Q. Yes.

4 DR. SOLER: There we assume in our  
5 system that a coefficient of friction exists  
6 between whatever points of the cask are in contact  
7 with the pad. We -- the pad, of course, starts out  
8 at a certain initial condition in terms of its  
9 position and orientation depending upon how many  
10 casks we have placed on the pad for that run.

11 Q. So based on that response, if you have  
12 less than 8 casks, did you consider any pad  
13 tilting?

14 DR. SOLER: Initially, yes. The initial  
15 position of the pad, given the soil springs that  
16 are in place under the pad and given the dead  
17 weight of the casks on top of the pad at their  
18 respective locations, the initial equilibrium  
19 position of the system is calculated, and that  
20 includes an initial downward deflection of the pad  
21 plus two rotations, which of course are small, but  
22 they are included.

23 Q. Did that initial condition reflect any  
24 deflections due to settlement?

25 DR. SOLER: No. They just reflected the

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1 dead weight. In other words, the pad was started  
2 in a condition where they had a dead weight on them  
3 in a certain location and a reaction from the soil  
4 springs.

5 DR. SINGH: Small settlements, in our  
6 technical opinion, will have a second order effect  
7 on the response of the casks.

8 Q. Have you ever quantified that for the  
9 PFS site?

10 DR. SINGH: Well, there are settlement  
11 guidelines in the codes that provide the acceptable  
12 settlement of structures based on their plane form  
13 dimensions. And I don't remember -- I have not  
14 memorized them, but those are the restrictions one  
15 uses in designing pads also.

16 Q. When you're talking about codes, what  
17 codes are you talking about?

18 DR. SINGH: That would be ASCE, ACI. I  
19 can't tell you off the top of my head, but there  
20 are specified acceptance limits for settlement of  
21 foundations and we recommend that those be met in  
22 pad designs.

23 Q. Do you know if those codes applied to  
24 the cask? Are the free-standing objects allowed to  
25 slide?

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1 DR. SINGH: Yes. There is no reason why  
2 those restrictions -- they are good, sound,  
3 experienced-based limitations on permitted  
4 settlement. There is no reason why they wouldn't  
5 apply to free-standing. After all, many buildings  
6 could be considered freestanding structure. As Dr.  
7 Soler explained yesterday, they are on vibration  
8 isolation pads, they are not necessarily anchored.

9 Q. How did those codes apply to a nonlinear  
10 analysis such as your cask stability analysis?

11 DR. SINGH: I don't see any conflict  
12 between the two. The nonlinear analyses that we  
13 perform capture the essential elements of the  
14 structure, essential characteristics of the  
15 structure to get a response with a high level of  
16 reliability with respect to its accuracy. The  
17 data, such as small settlement, flexibility  
18 considerations of the pad, they are second order  
19 effects. They do not modify the response of the  
20 casks in a significant manner.

21 Q. Dr. Singh, let's go back to the  
22 Tennessee Valley documents you provided us,  
23 selected pages, and that analysis, the flexibility  
24 of the pad. Refresh my memory, did you say that  
25 the overall pad deflection was determined?

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1 DR. SOLER: Are you directing that  
2 question to me? Are we back on Answer 60 and 61?

3 Q. Yes.

4 DR. SOLER: Okay. Now --

5 Q. Refresh my memory, did one of you say  
6 that you quantified the maximum amount of pad  
7 deflection when you designed the pad?

8 DR. SOLER: Our analysis is a two-part  
9 -- well, first of all, there's a three-part  
10 analysis that underlies all of this. First of all,  
11 there is a quantification of the soil properties at  
12 the site. That was not under our supervision. We  
13 were provided with appropriate data sufficient for  
14 our dynamic analyses such as shear moduli, Young's  
15 moduli, variations with respect to the soil had  
16 different depths. From that we developed the soil  
17 springs that we were going to use in our model.

18 To reflect the effect of the fact that  
19 there were varying depths of soil, we looked at  
20 both extremes of a deep soil and a shallow soil,  
21 carried out a number of evaluations using lower  
22 bound properties, best estimate and upper bound  
23 properties, and developed our dynamic model to  
24 predict the interaction forces between the pads and  
25 the casks, and then took those forces and applied

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1           them to a finite element model of the pad itself to  
2           evaluate the stresses in the pad.

3           Q.           When you evaluated the stresses in the  
4           pad, did you determine a maximum deflection?

5                       DR. SOLER:   The pad was treated as an  
6           elastic body in that case, but the rigid body  
7           deflections from the dynamic analysis were not  
8           included in that analysis.  It assumed a pad  
9           sitting on a foundation subject to the maximum  
10          values of the forces that we have found from our  
11          dynamic analysis.

12          Q.           And this is for the Tennessee Valley  
13          site, correct?

14                      DR. SOLER:   Yes, this was for TVA.

15                      MS. NAKAHARA:  Your Honor, I would like  
16          to renew the State's objection to strike answers  
17          60-61 and the first sentence of 64 as unreliable.  
18          The document that PFS provided us last Thursday is  
19          a partial -- if you recall our argument last  
20          Thursday, our argument that the document provides  
21          only selected portions of a larger document and  
22          based on the witness' knowledge of the actual soil  
23          properties at the site it's impossible for the  
24          State to even probe its relevance in the pad  
25          flexibility argument in this case.

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1 JUDGE FARRAR: Mr. Gaukler?

2 MR. GAUKLER: We believe that the  
3 excerpts of the document we provided the State are  
4 sufficient. They provide the results of the  
5 analysis insofar as flexibility is concerned, which  
6 was the focus of the testimony. It also provided  
7 the methodology by which flexibility of the pads  
8 were incorporated into the Tennessee Valley  
9 Authority analysis. So we believe there's  
10 sufficient information for them to cross the  
11 witnesses on.

12 I have tried to get ahold of the TVA  
13 counsel, but he's been out of the office too and  
14 we've traded telephone calls over the past couple  
15 of days and I have not been able to further resolve  
16 it. But we think there's sufficient information  
17 here for the State to cross-examine on the method  
18 of flexibility that was used to incorporate the  
19 flexibility of the pads. And she's cross-examined  
20 in terms of differences in the site and things of  
21 that sort really with no limitation to focus on  
22 differences between TVA and PFS.

23 JUDGE FARRAR: Does the Staff have a  
24 position on this?

25 MR. TURK: Just I would note that we

1 think that the cross-examination is adequate to  
2 test the witness' ability to rely on the TVA  
3 analysis.

4 JUDGE FARRAR: You say you think it is  
5 an adequate?

6 MR. TURK: It is adequate.

7 (The Board conferred off the record.)

8 JUDGE FARRAR: We will deny the motion  
9 to strike. Again going back to the first document  
10 this Board wrote in the case back in December, we  
11 disfavor motions to strike from any party,  
12 particularly in a case this complex, because all  
13 this evidence is woven together. So we will adhere  
14 to that general philosophy in this specific  
15 instance. We think that there has been a  
16 sufficient basis to cross-examine and that the  
17 success of that cross-examination goes to the  
18 weight rather than whether this evidence remains in  
19 the record. So on that basis we'll deny the  
20 motion.

21 Q. (By Ms. Nakahara) Dr. Soler, if you'll  
22 look at your response to Question 78.

23 DR. SOLER: Got it.

24 Q. You essentially conclude that in  
25 variation the coefficient of friction between the

1 bottom of the cask and the storage pad to the local  
2 deformation is negligible?

3 DR. SOLER: Coefficients of friction are  
4 really defined by the two materials that are  
5 sliding and whether or not the bodies are moving.  
6 Effects due to local deformations due to the load  
7 applied by one of the bodies on the other are  
8 generally considered second order. So our use of  
9 bounding values of either .2 or .8 in modeling the  
10 cask to the pad interaction were there to emphasize  
11 either sliding, the potential for sliding of the  
12 casks relative to the pad or the potential for  
13 tipping of the casks relative to the pad.

14 As I indicated yesterday in the couple  
15 of the Beyond Design Basis analyses, we actually  
16 treated what I consider as a real case where the  
17 coefficient of friction is likely to vary in a  
18 random manner at every instant of time due to local  
19 nature of the surface because there's more cement  
20 or more concrete or the reinforcement may be nearer  
21 to the surface or there may be a slight  
22 irregularity in the surface. We used random  
23 coefficients of friction to simulate that on every  
24 cask and really found no significant difference.  
25 So we feel that our bounding use of .2 and .8 in

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1 all of our analyses was sufficient to capture the  
2 potential for sliding and the potential for  
3 tipping.

4 Q. In your random case, where did you apply  
5 the coefficient of friction loads?

6 DR. SOLER: The coefficient of friction  
7 is, of course, an input to the program and you  
8 either input it as .8 or .2 or any number in  
9 between, if that is your desire. There is also a  
10 function built into the VN code which allows you to  
11 generate a random number between 0 and 1.

12 Q. Your random situation, the coefficient  
13 of friction was constant across the base of the pad  
14 for that particular value, correct?

15 DR. SOLER: No. In those simulations --

16 Q. Go ahead and answer.

17 DR. SOLER: In the simulations that we  
18 showed yesterday, as you will notice from the  
19 movies, every cask at every instant of time, once  
20 the excitation became strong, when these casks  
21 began to tip or move around on the pad as they were  
22 inclined, in general, every cask was moving in its  
23 own particular manner and the random cases that we  
24 ran, at every instant of time, at every point that  
25 happened to be in contact on each of the 8 casks,

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1 that number was randomly changing between .2 and 1.

2 Q. Let me clarify. In that situation for a  
3 single cask that has more than one point in contact  
4 with the surface, would those coefficient of  
5 friction values be the same or would they be  
6 different for a particular point in time?

7 DR. SOLER: You mean those let's say two  
8 points on a cask?

9 Q. Yes.

10 DR. SOLER: I am not competent enough to  
11 know the interworkings of that code to answer you  
12 either yes or no on that point. From the limited  
13 investigation that I did, where it was somewhat  
14 difficult for me to determine how many points were  
15 in contact at any given instant, I can only surmise  
16 that the one thing I was able to determine was that  
17 the coefficient of friction was changing with time.  
18 But I could not do it point to point.

19 Q. So for a particular point in time for  
20 one cask with more than one contact point, you do  
21 not know whether the coefficient of frictions were  
22 varied, correct? That's correct?

23 DR. SOLER: Across the two points I do  
24 not know. I have an opinion, but I do not know.  
25 Would you like my opinion?

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1 Q. No. Thank you.

2 DR. SINGH: Can I provide you additional  
3 information on the surface condition of the pad?

4 MS. NAKAHARA: If we're going to get you  
5 on the flight --

6 DR. SINGH: I would not blame you for  
7 half a minute.

8 MS. NAKAHARA: Go ahead.

9 DR. SINGH: Contrary to -- unless you  
10 work with the equipment, see the equipment, it may  
11 not be clear to everyone, I want to clarify this.  
12 The bottom of the cask, the bottom surface is  
13 crowned at the ends. In other words, it's not a  
14 sharp edge. You know, as you see in the diagram  
15 you see a sharp edge against the surface of the  
16 pad. In reality, the cask is crowned. It's the  
17 edge has been removed. And that is the way we make  
18 all equipment that is freestanding.

19 So in case there's a surface  
20 imperfection, in case there's a localized  
21 settlement there will not be the digging. You  
22 know, the hardware would not dig into the surface.  
23 In the spent fuel pools, the fuel racks sit on  
24 liners, lines of thin stainless steel plates. They  
25 are by their very construction not deflected, they

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1 have some bow, some ripples in them. Just in the  
2 act of installing them there are imperfection. And  
3 because of that, all hardware that we design and  
4 produce, it's always designed to be tolerant of  
5 local variations on surfaces. If that allays your  
6 concern about settlement, then these 30 seconds  
7 were worth it.

8 Q. Dr. Soler, if you'll turn to response  
9 Question 73.

10 JUDGE FARRAR: Is this a new subject?

11 MS. NAKAHARA: Yes.

12 JUDGE FARRAR: Let me ask a question  
13 then. If you would look at your Answer 92 on Page  
14 52.

15 DR. SOLER: Okay.

16 JUDGE FARRAR: On coefficients of  
17 friction, if I remember learning long ago, 0 is  
18 purely theoretical. You can imagine glare ice but  
19 there is no 0 in the real world. Is 1 also purely  
20 theoretical?

21 DR. SOLER: We used the value of 1  
22 simply because Dr. Ostadan suggested that that  
23 would be the approach.

24 JUDGE FARRAR: No, that wasn't my  
25 question. This is a conceptual question.

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1 DR. SINGH: 1 means complete binding,  
2 right, which is theoretically possible. If you  
3 take two materials like austenitic stainless steel  
4 I said yesterday and you apply pressure, large  
5 pressure, you can get 1.

6 JUDGE FARRAR: You can get 1.

7 DR. SINGH: But we don't have stainless  
8 on stainless here.

9 JUDGE FARRAR: No, that was just a -- so  
10 you can get 1. All right. Then I have two  
11 questions. Here you say that .8 is, for your  
12 purposes, essentially the same as 1, but you just  
13 said in the previous discussion that when you did  
14 the random analysis you went as high as 1. Help me  
15 on that. Why conceptually is .8 the same as 1?

16 DR. SOLER: Well, we were attempting, as  
17 I said yesterday, to provide some overall analyses  
18 that perhaps would bound every contention that was  
19 brought up at various times. So since the number 1  
20 had been mentioned as perhaps a more suitable upper  
21 bound, we used 1.

22 JUDGE FARRAR: In your random --

23 DR. SOLER: In those random analyses.

24 JUDGE FARRAR: Why in Answer 92 do you  
25 say that .8 is essentially the same as 1? Help me

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1 with that conceptually.

2 DR. SINGH: Let me answer. If you  
3 assume a friction coefficient, be it .8, .7, .6,  
4 what have you, and if you perform your analysis and  
5 the cask, the bottom of the cask doesn't slide,  
6 then if you use a larger value it isn't going to  
7 matter because essentially the interface had  
8 sufficient friction to keep the cask from sliding.

9 JUDGE FARRAR: Under the forces you were  
10 applying?

11 DR. SINGH: Exactly.

12 JUDGE FARRAR: If we got to much bigger  
13 forces then .8 and 1 would not be the same?

14 DR. SINGH: That's correct.

15 JUDGE FARRAR: Go ahead.

16 Q. (By Ms. Nakahara) Dr. Soler, in your  
17 response to Question 73, you state the effect of  
18 soil cement adjacent to each pad on the casks'  
19 response was neglected because the effect would  
20 likely be negligible. Isn't that correct?

21 DR. SOLER: That is correct.

22 Q. Isn't it also true that you have not, in  
23 fact, quantified the effects of the soil cement on  
24 the pad loadings?

25 DR. SOLER: I have not quantified it

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1 officially.

2 Q. In your response to Question 74, isn't  
3 it true you state that "this postulated closure of  
4 the soil cement pad gap would lead to horizontal  
5 impacts not included in the current analysis"?

6 DR. SOLER: That is correct.

7 Q. When you refer to the current analysis,  
8 are you referring to your cask stability analysis  
9 for the 2,000-year earthquake?

10 DR. SOLER: Any of the analysis, either  
11 Beyond Design Basis or the 2,000.

12 Q. In your response to 75, isn't it true  
13 you state that loads resting from the abutment of  
14 the pads and the soil cement would continue to be  
15 negligible?

16 DR. SOLER: Could you repeat that?

17 (Pending question was read back.)

18 DR. SOLER: That's addressed to me so  
19 I'm going to answer it.

20 DR. SINGH: All right.

21 Q. It's the second sentence.

22 DR. SOLER: I'm reading my answer for a  
23 second so that I can answer you correctly. The  
24 pad, of course, moves back and forth under  
25 oscillation -- under the earthquake loadings. The

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1 case of a pad sliding in one direction and then  
2 hitting, say, a rigid object, if you want to assume  
3 for the moment that the adjacent soil cement were  
4 rigid, that would provide an impact as well as an  
5 oscillating pad which hit the same impact. In our  
6 opinion, both of these loads would be  
7 insignificant, they would insignificantly affect  
8 the response of the system.

9 Q. That's based on your opinion, correct?  
10 Isn't it true you haven't quantified the actual  
11 effects?

12 DR. SOLER: The statement I will make,  
13 that there is no analysis on the record here that  
14 quantifies that effect at this present time.

15 Q. In response to Question 95, isn't it  
16 true you base your opinion that soil cement between  
17 the pads would not affect the casks response in any  
18 material manner, in part on Paul Trudeau's estimate  
19 of the amount of the pad sliding under the  
20 2,000-year Design Basis earthquake?

21 MR. TURK: I'm sorry, I could not hear  
22 the question. Could I ask that it be repeated?

23 (Pending question was read back.)

24 DR. SOLER: At the time this testimony  
25 was written, that is correct.

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1 Q. (By Ms. Nakahara) Isn't it true you  
2 haven't quantified the effects of that sliding on  
3 the casks' response?

4 DR. SOLER: There is no analysis on the  
5 record that I have done. If you're asking me have  
6 I ever done an analysis off the record, I will  
7 answer that in the affirmative.

8 Q. For the PFS site?

9 DR. SOLER: Yes.

10 JUDGE FARRAR: Let me interject a moment  
11 here. Two answers ago, read that back to me, would  
12 you please, Lanette, the testimony that said  
13 something about at the time of the test, when it  
14 was filed.

15 (Answer was read back.)

16 JUDGE FARRAR: That raises for everyone  
17 in the room why the limitation to when it was  
18 written. Has something happened since it was  
19 written?

20 DR. SOLER: I have done some additional  
21 analysis on my own which I've been careful to state  
22 is not on the record.

23 MS. NAKAHARA: Has counsel turned that  
24 over? Have you turned those documents over?

25 MR. GAUKLER: We're in the process of

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1 doing that analysis and will on redirect or  
2 rebuttal. We will turn it over when it's done.

3 MS. NAKAHARA: Your Honor, may we have a  
4 moment?

5 (Discussion off the record.)

6 MS. NAKAHARA: Thank you, your Honor.

7 JUDGE FARRAR: How much longer do you  
8 think, in terms of when we take our break, how much  
9 longer do you have?

10 MS. NAKAHARA: It will be another hour.

11 JUDGE FARRAR: Why don't we then take a  
12 break for 15 minutes, let the court reporters  
13 switch. Be back in 15 minutes from now.

14 (A break was held.)

15 JUDGE FARRAR: All right. I think  
16 everyone's here. Let's resume the State's  
17 cross-examination.

18 Off the record.

19 (A discussion was held off the record.)

20 Q. (By Ms. Nakahara) Yesterday you  
21 discussed the NRC validating or accepting certain  
22 aspects of the DYNAMO code.

23 DR. SINGH: That's correct.

24 Q. Isn't it true that the NRC has not  
25 validated DYNAMO for specific contact stiffness

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1 values?

2 DR. SINGH: A validation of a program is  
3 not done for specific contact stiffness values.  
4 Contact stiffness value's an input data, and the  
5 analyst has the burden to ensure, in running the  
6 program, that he's using the correct, appropriate  
7 value.

8 Q. Dr. Soler, if you'll refresh my memory,  
9 yesterday you stated the maximum rotation for --  
10 that you observed for a 10,000-year event at the  
11 PFS site. What was that?

12 DR. SOLER: It was based on the fact  
13 that I observed -- well, let me -- there are two  
14 reports. Are we talking about the initial report  
15 or the beyond design basis report?

16 Q. Let's talk about both. Let's start with  
17 the beyond design basis report.

18 DR. SOLER: Could I start with the other  
19 one?

20 Q. Okay. That's fine.

21 DR. SOLER: The initial report  
22 considered only one cask, so there was nothing to  
23 impede the rotation of the cask if it wanted to  
24 rotate away from vertical. In that case, we  
25 observed the maximum deflections of that cask were

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1 on the order of, I recall -- well, I don't recall  
2 the exact number, but the angle corresponding to  
3 them was about 10 degrees.

4 Q. And when you refer to --

5 DR. SOLER: Now, in the --

6 Q. Sorry.

7 DR. SOLER: -- in the cases that I  
8 showed yesterday --

9 Q. Can you stop?

10 DR. SOLER: Okay.

11 Q. When you refer to the initial report,  
12 what report are you talking about?

13 DR. SOLER: That was the initial report  
14 on the 10,000-year earthquake that is reference  
15 11-1 in the beyond design basis.

16 Q. Okay. Thank you.

17 And that's the report that did not  
18 account for soil structure interaction?

19 DR. SOLER: That is correct.

20 Q. Go ahead.

21 DR. SOLER: In that report we, from our  
22 observations of the results, calculated a maximum  
23 angle of rotation to be approximately 10 degrees  
24 with the coefficient of friction .8.

25 In the analyses -- in the beyond design

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1 basis report, there were other casks involved, and  
2 therefore, the maximum angle is probably somewhat  
3 less than that. But we did not do any specific  
4 calculations to determine what that maximum angle  
5 is since our original intent of that analyses was  
6 to visually observe all of our results.

7 Q. And you determined a maximum deflection  
8 for the 2,000-year?

9 DR. SOLER: The maximum deflection we  
10 certainly have determined for the 2,000-year  
11 earthquake, and that is reported in a summary  
12 table, in each direction.

13 Q. Do you agree that under a certain  
14 threshold value, the maximum tilting of the cask  
15 axis increases rapidly as the zero period  
16 acceleration increases?

17 DR. SOLER: I do not agree with that,  
18 rapidly, your word "rapidly." Certainly, given the  
19 2,000-year earthquake and these displacements, if  
20 you increase the strength of the earthquake, it is  
21 easy to surmise that the maximum displacements will  
22 increase. Your characterization of a rapid  
23 increase is one which I do not necessarily agree  
24 with.

25 Q. In your opinion should the maximum

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1 rotation allowed for a cask to tilt be set at some  
2 number less than the actual angle that would cause  
3 tip-over?

4 DR. SOLER: Since it is commonly  
5 accepted that the condition known as cg-over-corner  
6 is a threshold value which most people understand  
7 from simple analyses is a dividing point between  
8 the onset of tip-over and not tip-over for a very  
9 simple case, our approach, when we're trying to set  
10 factors of safety, if you will, for cask rotation  
11 is to use a certain fraction of the angle that  
12 would cause -- that would reach the cg-over-corner,  
13 which for the HI-STORM cask is roughly 29 degrees.

14 Q. So is that the fraction or the angle?

15 DR. SOLER: No, that is -- at 29  
16 degrees -- if you take the HI-STORM cask; tilt it  
17 over 29 degrees, the center of mass, the center of  
18 gravity of this cask, will -- if you draw a  
19 vertical line from that point, it will intersect  
20 the ground right at the point of contact of the  
21 HI-STORM with the ground. And if you -- in a  
22 static situation, if you -- if you imagine the cask  
23 positioned at that point, if you push it a little  
24 bit further, it will go over, if it doesn't quite  
25 reach that point, it would come back when you let

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1 it go.

2 So that is -- a very simplified measure  
3 of cask stability might be how far you are away  
4 from that particular angle. However, I must  
5 caution that that is a very simplified case, and it  
6 is quite conceivable that if you ever reach that  
7 angle in a dynamic situation, your cask will also  
8 be precessing. Whether or not it will tip over you  
9 could only tell from the solution.

10 Q. You mentioned that for a factor of  
11 safety you would set the angle at a fraction of the  
12 tip-over angle. What fraction would you set it at?

13 DR. SOLER: We -- we have -- in previous  
14 submittals where we characterized freestanding  
15 casks, we have generally tried to set, I believe  
16 here, that the position of the center of gravity of  
17 the cask at any time during the motion -- in other  
18 words, if you -- if you imagine for a minute that  
19 you track in the horizontal plane the position of  
20 the mass center of the cask, during that time that  
21 moves in that plane, and if you compare that plane  
22 to the diameter of the cask, which, in that case,  
23 is about 133, I believe we were setting that to be  
24 roughly about one-half the excursion -- if the  
25 excursion ever exceeded one-half of that radius, of

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1 the initial radius, then we considered that we  
2 would -- we would not accept that.

3 DR. SINGH: Now, realize that this is  
4 the calculated tilt. The actual maximum rotation  
5 that the cask would see, because of the huge  
6 conservatisms built in the dynamic model, would be  
7 much less.

8 So there are two layers of safety here.  
9 One is, Dr. Soler said, we set at 50 percent of the  
10 cg migration, and then the other built-in factor of  
11 safety is that the analysis procedure itself is  
12 extremely conservative. It's deliberately set to  
13 yield conservative results.

14 MS. NAKAHARA: I'd like to mark this as  
15 State's Exhibit 174.

16 THE COURT: All right. The reporter  
17 will mark this document we're being handed, Seismic  
18 Response Characteristics, as State 174 for  
19 identification.

20 (State's Exhibit-174 was marked.)

21 MS. NAKAHARA: Your Honor, can we take a  
22 break? I have the wrong pages in the exhibit.

23 JUDGE FARRAR: All right. Let's take a  
24 break, pretty much in place.

25 (A recess was taken.)

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1 JUDGE FARRAR: I understand that the  
2 exhibit we just marked is missing a page. And so  
3 to allow us to proceed, the witnesses have been  
4 given the complete document, and the State will  
5 substitute the proper excerpt at an appropriate  
6 occasion.

7 MS. NAKAHARA: Yes, Your Honor.

8 JUDGE FARRAR: Okay.

9 Q. (By Ms. Nakahara) Dr. Singh and  
10 Dr. Soler, is this a publication or a paper you  
11 presented called "Seismic Response Characteristics  
12 of HI-STAR 100 Cask System on Storage Pads," by  
13 you, Dr. Singh, Dr. Soler and Martin G. Smith?

14 DR. SOLER: That is correct.

15 Q. If you'll turn to page 15, Dr. Soler,  
16 please read the last sentence, partial sentence on  
17 page 15 continuing through that paragraph, into the  
18 record.

19 DR. SOLER: It starts with the word  
20 "exploratory"?

21 Q. I think it starts with after.

22 DR. SOLER: Oh, okay. After a certain  
23 threshold value, the response is maximum tilting of  
24 the cask axis increases rapidly with increase in  
25 the ZPA level.

1 Do you want me to go on?

2 Q. Yes, please.

3 DR. SOLER: For this reason, it is  
4 recommended that the acceptable response  
5 parameters, e.g., maximum rotation of the cask  
6 axis, be set at 1/4 of the ultimate value at which  
7 the cask will tip over. In addition to kinematic  
8 limits, specific requirements on stress limits on  
9 critical cask contents are also proposed.

10 Q. Thank you.

11 JUDGE FARRAR: And for the record's  
12 clarity, what does ZPA level --

13 DR. SOLER: Zero period accelerations.

14 DR. SINGH: Same as PGA.

15 MS. NAKAHARA: And I won't move for  
16 admittance until we get the right document.

17 Q. Dr. Soler, yesterday you stated in  
18 selecting a contact stiffness that it must be -- a  
19 contact stiffness value, it must meet the no  
20 physical penetration test, correct?

21 DR. SOLER: That is correct.

22 Q. Define what no visible penetration  
23 means.

24 DR. SOLER: That is subjective. It  
25 depends on the problem. If -- if I stand up and --

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1 on a wooden floor and someone else looks at my  
2 feet, they see no visible penetration. If they  
3 took a micrometer, a very fine micrometer, I would  
4 suspect that they would see some penetration.

5 Numbers that are, let's say, .0  
6 something, .00 something I would characterize for  
7 an analysis of the type that we're doing as a  
8 sufficient representation of no visible  
9 penetration. Numbers that I could measure with a  
10 ruler, say on the order of a quarter of an inch or  
11 so, I would consider as visible penetration and not  
12 representative of the situation that I would see  
13 when I would put one body down on another hard  
14 body.

15 Q. So is it fair to say you would set the  
16 limit at a quarter inch?

17 DR. SOLER: No. Well, let me -- let me  
18 get some -- you mean if I said contact stiffness  
19 was picked that gave me a quarter of an inch,  
20 that's okay?

21 Q. Yes.

22 DR. SOLER: No. That's -- that's -- I  
23 used that simply as an example here.

24 In my mind an acceptable limit for,  
25 let's say, a finite element analysis or a dynamic

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1 analysis would be as small as I could get it  
2 without running into problems of having my solution  
3 difficult to get convergence.

4 DR. SINGH: Let me explain the  
5 fundamentals here if I may. Can I?

6 Q. No.

7 DR. SINGH: The contact stiffness has  
8 been asked in the depositions. It's kind of a key  
9 parameter in the -- in the evaluations we have  
10 performed. Strictly speaking, the contact  
11 stiffness is, in this particular problem, you have  
12 the cask and you have the pad. I assume that the  
13 pad is on a rigid surface, okay?

14 Now, you take the cask and press down  
15 with it, apply a force. The amount by which this  
16 cask would penetrate the pad with an applied force,  
17 if you measured that force, let's call it D, if you  
18 applied a force F, then the stiffness is F over D.

19 Now, you can intuitively see that if you  
20 have a 3-foot-thick pad and you try to press down  
21 with the cask, you're going to have a very large  
22 value of the contact stiffness. Now, in the  
23 numerical calculation work, if you use a large  
24 stiffness, then your computation gets very, very  
25 slow. You have to use a small time step in the

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1 time history solution, and when you use very small  
2 time steps, you -- computers don't carry infinite  
3 number of digits in their computations -- you begin  
4 to have numerical inaccuracy problems.

5 So what we do, as analysts, we see if we  
6 can reduce the value of the contact stiffness  
7 without altering the result, okay? Now, that is  
8 the same answer that I gave to -- to -- to the  
9 judge earlier. If the friction coefficient is high  
10 enough, it doesn't matter. Similarly, if you  
11 reduce your contact --

12 DR. SOLER: Contact stiffness.

13 DR. SINGH: -- your friction coefficient  
14 is high enough in friction problems, it doesn't  
15 matter if the cask did not slip.

16 The same -- same core process applies in  
17 the selecting the contact stiffness. As long as  
18 the stiffness is high enough that it does not  
19 corrupt the solution process, then you are okay.  
20 If you take your stiffness down so low where now it  
21 is under -- not only is unrepresentative of the  
22 structure, but it begins to interfere with the  
23 dynamic characteristic of the structure, then you  
24 end up getting results that don't make any sense.  
25 They will be absurd. Some of the low values used

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1 by the State fall in that latter category. The  
2 contact stiffness values are selected so low that  
3 they distort the problem beyond its original  
4 context, and you get absurd answers.

5 Q. What would be the contact stiffness in  
6 the upward direction?

7 DR. SINGH: Contact stiffness in the  
8 upward direction would be zero.

9 Q. Okay. You mentioned that you would get  
10 the contact stiffness as low as you could so it  
11 wouldn't affect your analysis.

12 DR. SOLER: High, as high as you could.

13 Q. No. Actually I think --

14 DR. SINGH: Your question is correct.  
15 The actual contact stiffness is indeed very high.  
16 We -- in the numerical solution, we can use the  
17 actual calculated contact stiffness and pay the  
18 price in terms of calculating for a long, long time  
19 numerically and possibly have the -- the negative  
20 effect of numerical errors because of a significant  
21 -- the number of significant digits the computers  
22 carry in the calculations.

23 The analyst, an experienced analyst such  
24 as Dr. Soler -- he's been doing it for 30 years --  
25 he will use a contact stiffness which is high

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1 enough that it does not interfere with the natural  
2 vibration characteristics of the system, okay? But  
3 at the same time -- and, therefore, it does not  
4 corrupt the solution process. But he will use a  
5 number lower than the actual contact stiffness,  
6 which is a theoretical value you can calculate  
7 between two -- two bodies in contact.

8 Q. So if you're trying to find the correct  
9 high contact stiffness that is low enough not to  
10 corrupt your analysis -- have you run cases with  
11 varying contact stiffnesses for the cask stability  
12 analysis at the PFS site for the 2,000-year?

13 DR. SOLER: Yes.

14 DR. SINGH: Yes, we routinely -- we  
15 routinely do that -- he routinely does that to  
16 ensure that the value of contact stiffness is  
17 appropriate. That's a routine process in --

18 Q. What contact stiffnesses have you used  
19 as inputs?

20 DR. SOLER: For the 2,000-year return  
21 earthquake, we use the theoretical value that we  
22 computed, and I believe it was 4.54 times 10 to the  
23 8 pounds per inch total for the cask in the  
24 vertical direction.

25 Q. And what other contact stiffnesses have

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1 you used?

2 DR. SOLER: In the analysis with the --  
3 well, of course, we -- we got acceptable answers in  
4 the 2,000-year return earthquake, so there was no  
5 incentive for us there to lower the contact  
6 stiffness. But in the VisualNastran runs that we  
7 have done, we have lowered the stiffness to  
8 decrease the simulation times because of the vast  
9 amounts of data that we were collecting, and we  
10 have done a series of test runs where we  
11 established that the number we ended up with, which  
12 was roughly 4 -- 40 million pounds per inch, a  
13 factor of 10 less than in the 2000-year earthquake,  
14 does not significantly alter our answers.

15 So we're -- we're well in the range, and  
16 if you take that -- either of those values satisfy  
17 the test of physical reality in that if you  
18 calculate the -- if you take the dead load of the  
19 cask, which is 360,000 pounds, and divide by those  
20 stiffnesses, you get a very small number which is  
21 essentially the static deflection of the pad under  
22 the cask if you just put the cask down on the pad.  
23 And I do not -- in my opinion, anyway, I would  
24 suggest that most of the people in this room would  
25 consider that that pad deflecting 3/8 of an inch

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1 would not be physically meaningful for this kind of  
2 a problem.

3 Q. To clarify the record, you said that  
4 VisualNastran runs use a contact stiffness of 40  
5 million pounds per inch?

6 DR. SOLER: I believe that that was  
7 exactly it. I will check the number if you want an  
8 exact number.

9 Q. No. I just want to know which ones  
10 you're referring to. Are you referring to the  
11 VisualNastran runs in the beyond design basis  
12 document?

13 DR. SOLER: Either the beyond design  
14 basis or the first report, the 10,000-year.

15 Q. 10,000-year. What was the contact  
16 stiffness you used in your very first cask  
17 stability analysis in '97?

18 DR. SOLER: I believe was the 4.54 times  
19 10 to the 8 pounds per inch.

20 Q. If you'll refer to your response to 144,  
21 if you look at the second half on page 82, when you  
22 mentioned calculating the deflection for the dead  
23 load of the cask, is it correct for the 4.54 times  
24 10 to the 8 pound per inch context that you  
25 obtained 0.00008 inches?

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1 DR. SOLER: Well, that's what is  
2 written. If you want me to do the calculation, I  
3 better do it before I say yes or no.

4 Q. That's not the important point. That's  
5 okay.

6 DR. SOLER: Excuse me?

7 Q. That's not the important point. The --

8 DR. SOLER: The deflection associated  
9 with that stiffness would be 360,000 divided by  
10 454,000,000.

11 Q. Okay. And in your opinion, .00008  
12 inches meets the no visible penetration test,  
13 correct?

14 DR. SOLER: Assuming that that number --  
15 that I correctly did the division, yes, that would  
16 be a number that meets my test.

17 Q. And you also calculated the deformation  
18 for a 40 million pounds per inch context, correct?

19 DR. SOLER: Yes, that the value .009 I  
20 would also consider as an acceptable value.

21 Q. What about for 0.01 inch?

22 DR. SOLER: 0.01 inch? I would probably  
23 reserve judgment until I actually ran the  
24 calculation, but it may be acceptable. It may be  
25 acceptable. I mean it seems -- you know, there

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1       you're starting -- 001 is pretty small, 01 is also  
2       pretty small. I would believe I'd be reasonably  
3       willing to accept as a good value as a static  
4       deformation any number with a .0 in front of it for  
5       these kind of problems.

6               DR. SINGH: Realize, as he says, we say  
7       in the response that it's calculated -- 40 million  
8       is calculated based on 33 hertz, which -- which is  
9       a way to assure that the solution will not be  
10      corrupted by the natural characteristics of the  
11      system. Earthquakes don't have frequency context  
12      over 33 hertz. So if you -- if you set the contact  
13      stiffness in the range which is outside of the  
14      potential range where the frequency  
15      characteristics of the system may interfere or the  
16      input may interfere, then you will get -- then  
17      you'll get correct results. If your stiffness is  
18      too low, and, therefore, the frequency you will  
19      calculate corresponding to that stiffness is too  
20      low, then you will end up corrupting your solution.

21             Q.       Thank you, Dr. Singh, for reminding me I  
22      had a follow-up question.

23             Dr. Soler --

24             DR. SINGH: All right.

25             Q.       -- you mentioned that you ran some test

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1 runs varying the contact stiffness. What contact  
2 stiffness did you --

3 DR. SOLER: In --

4 Q. -- perform those runs?

5 DR. SOLER: In the VisualNastran models  
6 I have -- I have run cases with 10 times the  
7 stiffness that I've used here, and I have also run  
8 some cases with about 1/8 of the stiffness that  
9 I've used, the 40 million.

10 Q. 10 times, then, you would have run  
11 approximately a 400 million pounds per inch contact  
12 stiffness?

13 DR. SOLER: I believe that I -- I ran a  
14 run with something of that order.

15 Q. And is that with the PFS 10,000-year  
16 design basis -- or 10,000-year return earthquake?

17 DR. SOLER: Yes.

18 Q. And the 1/8 stiffness, is that 1/8 of  
19 the 40 million?

20 DR. SOLER: Roughly speaking, yes.

21 Q. Thank you.

22 And that's also for the 10,000-year  
23 return --

24 DR. SOLER: No. Let me correct that.  
25 That particular one was the 2,000-year.

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1 Q. Dr. Singh mentioned that the natural  
2 frequency -- strike that.

3 Dr. Singh mentioned a 33 hertz  
4 frequency. What is the cask rocking frequency?

5 DR. SOLER: Would you define -- well,  
6 what do you mean by the cask rocking frequency,  
7 that if I tilt it over and let it go, that --

8 Q. It goes back and forth.

9 DR. SOLER: That we have not calculated  
10 by simple techniques. I've not calculated that  
11 frequency.

12 Q. What contact stiffness would you expect  
13 if the cask rocking frequency is between 2 to 5  
14 hertz?

15 DR. SOLER: I would -- I would not  
16 choose a contact stiffness on the basis of the  
17 global motion of the cask. The contact stiffness  
18 is a -- is an item, if you will, that is imposed  
19 locally to describe the potential for compression  
20 only contact between two surfaces.

21 If you look through a microscope, if you  
22 will, at those two surfaces, at that point of  
23 contact, that stiffness, if you will -- I want to  
24 ascribe some intelligence to that stiffness -- that  
25 stiffness doesn't know that the cask is actually

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1 rotating down. When it hits -- when it hits the  
2 surface, these two local points in contact are  
3 coming straight at each other. You -- you can't  
4 ascribe the fact that the -- you have a rotational  
5 motion globally of that cask with choosing a  
6 contact stiffness.

7           If you choose a correct -- and correct  
8 in parentheses here meaning no one number is  
9 necessarily correct. If you choose a contact  
10 stiffness, it should not be problem dependent. You  
11 should be able to analyze equally well, calculate  
12 the dead load static deflection of the cask on a  
13 rigid pad. You should be able to predict what  
14 happens if you drop the cask on the pad and watch  
15 it bounce back up in the air and continue to bounce  
16 until it comes to rest. And you should also be  
17 able to correctly predict what happens if you tilt  
18 the cask and let it bounce back and forth in, say,  
19 a simple two-dimensional problem.

20           The same contact stiffness ought to be  
21 applicable, because if you go beyond that, then you  
22 are starting to get in the realm of saying that the  
23 same pad and the same cask which is located in Salt  
24 Lake City should have one contact stiffness in its  
25 model and the same cask and the same pad that's

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1 located, say, in California should somehow have a  
2 different contact stiffness associated with that  
3 phenomena. And that is something you just should  
4 not be in the realm of doing.

5 That is -- the contact stiffness should  
6 not be a function of the input motion.

7 Q. You mentioned you ran a test run with  
8 1/8 contact stiffness -- 1/8 of the 40 million  
9 pound contact stiffness for 2,000-year. Did you  
10 include the effects from the soil springs and  
11 dampers in that test run?

12 DR. SOLER: Yes, we did.

13 Q. What were your results?

14 DR. SOLER: There was some changes. If  
15 you -- if you compare the results with the same  
16 results for the 40 million, if you want to consider  
17 that as what we consider as our base case, there  
18 were some differences in the results. As I recall,  
19 the maximum deflection of Cask No. 1, which I was  
20 tracking, was slightly larger than it was for the  
21 case with the 40 million stiffness.

22 Q. When you say "slightly larger," can you  
23 approximate that?

24 DR. SOLER: I would say maybe a half an  
25 inch or so, maybe -- maybe as much as an inch. I

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1 can't be sure without, you know, looking.

2 Q. Did you model 1 cask on a pad or 8  
3 casks?

4 DR. SOLER: No. The particular case we  
5 ran was 8 casks on the pad.

6 Q. Is the cask rocking amplitude a function  
7 of input motion?

8 DR. SOLER: I would say the cask rocking  
9 amplitude is a function of input motion, yes.

10 Q. In your testimony you talk about ANSYS  
11 gives guidance. Isn't it true that ANSYS has over  
12 500 examples for solving problems?

13 DR. SOLER: Did you get that?

14 THE REPORTER: Would you read it to me?

15 (The question was read.)

16 DR. SOLER: They call them verification  
17 problems. I believe 500 is probably a good number.

18 Q. (By Ms. Nakahara) Do you know if there  
19 is any example in ANSYS 5.7 to solve the problem of  
20 pure sliding and uplift on objects under an  
21 earthquake condition?

22 DR. SOLER: No. I mean I know for a  
23 fact that there is not a -- either a real or  
24 artificial earthquake applied to either pure  
25 sliding, pure uplift or any combination of same in

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1 the ANSYS verification manual.

2 Q. Do you know how many example problems  
3 are in the verification manual for VisualNastran in  
4 selecting contact stiffness?

5 DR. SOLER: In VisualNastran that use  
6 contact stiffnesses -- there is an option in  
7 VisualNastran to either deal with coefficient of  
8 restitution or model the stiffness by a spring, as  
9 we have done. Your choice of which option to use  
10 depends upon what information you're looking for.  
11 As a result the VisualNastran verification manual  
12 provides 6 problems that they have documented  
13 against other sources in the literature. I  
14 believe -- and here I'm strictly going by memory --  
15 that two of them definitely involve some kind of  
16 contact.

17 To those problems in our -- we, of  
18 course, in our validation manual repeated all of  
19 their sample problems to ensure that our computers  
20 were giving the same solutions that they indicated  
21 agreed with the exact solutions. We also added a  
22 problem that was directly attributable to studying  
23 whether or not VisualNastran could and correctly  
24 predict contact behavior.

25 That problem was a classical problem,

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1 which you can find in, I suspect, high school  
2 physics books, of the dropping of a sphere onto a  
3 hard surface where you correlate the initial height  
4 to the final height and, in fact, to the various  
5 heights as this object bounces. It is usually done  
6 in terms of illustrating the concept of what is  
7 called coefficient of restitution.

8 We duplicated the expected behavior  
9 there, and we went one step further in our  
10 validation by noting that there is a correspondence  
11 between coefficient of restitution and the amount  
12 of damping that you would put in parallel with a  
13 spring, and we ran the same problem as part of our  
14 validation manual using the contact stiffness  
15 approach as opposed to the coefficient of  
16 restitution approach to model collisions. And we  
17 got the agreement that we expected.

18 Q. The dropping sphere problem, that's not  
19 for pure sliding and uplift, correct?

20 DR. SOLER: That is not to pure -- well,  
21 uplift has nothing to do with it, but it is  
22 certainly not for pure sliding. I mean --

23 Q. Is there --

24 DR. SOLER: I mean contact stiffness  
25 disappears as soon as you have separation.

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1 Q. Is there any example in VisualNastran  
2 for an earthquake problem for pure sliding?

3 DR. SOLER: No.

4 Q. Have any of your contact stiffness  
5 results been validated compared to natural test  
6 data such as summary table data?

7 DR. SOLER: No.

8 Q. In your opinion is it possible to solve  
9 pure horizontal sliding problems without a  
10 horizontal stiffness?

11 DR. SOLER: Well, there are a number of  
12 simple problems where you can, because of the  
13 simplicity of the analysis, get away with assuming  
14 an infinite horizontal stiffness in your  
15 simulation. As a practical matter, as the ANSYS  
16 manual points out, if you try to do that in a  
17 reasonably complex problem, you're just asking for  
18 numerical problems. And they suggest that you  
19 should, you know, incorporate a reasonable  
20 horizontal stiffness kind of using the same  
21 guidelines that you would go about choosing a  
22 vertical stiffness for contact.

23 Q. For the simple problem -- have you tried  
24 to run a problem through your model without a  
25 horizontal stiffness?

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1 DR. SOLER: Without a horizontal  
2 stiffness?

3 DR. SINGH: You have to have a  
4 horizontal stiffness. That's --

5 DR. SOLER: Numerically the problem gets  
6 very difficult to -- you can't really treat a  
7 problem numerically where, at the same  
8 deflection -- you can either -- you can have two  
9 forces, either -- either zero or a limiting force.  
10 You have to -- you have to simulate any kind of a  
11 stiffness with a finite value.

12 Now, in the classical friction problem,  
13 that finite value where you either have sticking or  
14 slipping looks to you like it's occurring  
15 instantaneously when you're observing it, but in  
16 reality there are small imperceptible deformations  
17 that occur prior to the actual slip. The -- how  
18 detailed you want to model those is a function of  
19 how much risk you want to take in convergence. You  
20 try to simulate an infinite stiffness horizontally  
21 with a finite stiffness. But, again, it requires  
22 judgment as to whether the stiffness you choose is  
23 appropriate.

24 Have I answered your question?

25 Q. I'm not sure, to be honest.

1 DR. SOLER: I could always let Dr. Singh  
2 elaborate.

3 DR. SINGH: I was hoping you would ask  
4 me.

5 Q. Dr. Soler, can you write an equation of  
6 motion of pure sliding without horizontal  
7 stiffness?

8 DR. SOLER: You can certainly write an  
9 equation of motion in a piece-wise linear manner  
10 for an idealized, say, problem of a block that is  
11 sliding either due to some force applied to it, or  
12 if you have a spring attached to it and then you  
13 stretch the spring and then let it go, I believe  
14 those two problems have been solved analytically.  
15 And with enough effort, you can solve them without  
16 regards to a horizontal stiffness. There may be  
17 others, but these are, again, very simplified  
18 problems.

19 Q. I just have -- I have a few more, if  
20 you'll look at PFS's Exhibit 86, the beyond design  
21 basis --

22 DR. SOLER: Okay.

23 Q. -- document. If you'll turn to page 15,  
24 for the record, is it correct that there's a  
25 schematic on this page?

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1 DR. SOLER: Yes. There is a simplified  
2 figure on that page.

3 Q. At the attachment point of the spring --  
4 I don't have the rest of my question. Strike that.  
5 Sorry.

6 If you'll look at page 17, it's Table 2,  
7 Summary of VisualNastran Analysis, correct?

8 DR. SOLER: Correct.

9 Q. For Case 8 and 11 -- Cases 8 and 11,  
10 have you evaluated what would happen if you choose  
11 as an oscillation frequency time from 3 to 7 hertz?

12 DR. SOLER: Well, in case -- now, we  
13 tuned Case 8, but tuning referred only to the soil  
14 springs. We did not tune Case 11 because that was  
15 using the values that were given to -- soil values  
16 that came to us from Geomatrix. Neither of those  
17 runs had different contact stiffnesses between cask  
18 and pad. That's stated -- both of those runs had  
19 the same contact stiffness.

20 Q. Is it possible to run Case 8 and 11 and  
21 tune the pad oscillation frequency from 2 -- from 3  
22 to 7 hertz -- for a range of 3 to 7 hertz?

23 DR. SOLER: Let me see if I understand  
24 your question.

25 MR. GAUKLER: Can we have the question

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1 reread first?

2 (The question was read.)

3 Q. (By Ms. Nakahara) Actually I read that  
4 wrong. Let me strike that.

5 To change the contact stiffness to tune  
6 the frequency from 3 to 7 hertz?

7 DR. SOLER: I can certainly change the  
8 contact stiffness. However, I would not buy into  
9 the fact that the value I change it to is in any  
10 way accurate.

11 Now, beyond that, the question needs a  
12 little elaboration because there are a number of  
13 stiffnesses involved in the simulation. There's,  
14 first of all, the stiffness between the cask and  
15 the pad, which is the contact stiffness we're  
16 talking about, and there is certainly the vertical  
17 component of the stiffness of the soil.

18 Now, if you think of this complex  
19 problem as a very simple problem involving a cask  
20 mass, a pad mass, a spring between the cask and the  
21 pad and then another spring between the pad and the  
22 rock, or whatever, you have a classical  
23 2-degree-of-freedom system. We have two masses and  
24 two springs. Our contention is that one of those  
25 springs is very stiff, the contact stiffness, and

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1 the spring that we tuned to get what we call our  
2 tuned case was to deal with the -- I guess the --  
3 some uncertainties that were raised by the State  
4 about whether or not our values of soil springs  
5 were accurate or inaccurate or omitted certain  
6 features. And, therefore, in attempt to, if you  
7 will, wash away those concerns, we chose the worst  
8 case soil stiffness that we felt was appropriate.  
9 If we, if you will, just simply chose contact  
10 stiffnesses between cask and pad to be tuned to a  
11 certain input, then we'd -- in our opinion, we'd be  
12 buying into a game that's not technically  
13 justifiable.

14 Q. How do you ensure that the 5 hertz you  
15 selected is, in fact, the worst case scenario?

16 DR. SOLER: Well, in deposition  
17 testimony, 5 hertz was raised by the State's expert  
18 witness as being a frequency at which there was  
19 predominant earthquake energy being input into the  
20 motion and pointed out that he saw some observable  
21 deflections of the pad from the CEC calculations.  
22 Therefore, I was willing to buy into an analysis in  
23 which I said regardless of the physical reality of  
24 the site, let me just assume that, unfortunately, I  
25 have soil stiffness that is going to give me what

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1 I'll call simply a resonance condition in terms of  
2 a simple linear system.

3 MR. GAUKLER: And just for clarification  
4 of the record, you're talking about resonance  
5 system of the soil springs in that case, right?

6 DR. SOLER: Well, what I'm really  
7 talking about is imaging the cask and the pads as  
8 one body of a total mass and the soil spring  
9 vertically as one spring. So I have a mass and I  
10 have a K and an M. And the relation to the lowest  
11 natural frequency of a linear system consisting of  
12 a mass, M, and a spring constant, K, the lowest  
13 natural frequency in cycles per second is 1 over 2  
14 pi times the square root of K over M. So,  
15 therefore, with a given mass which I certainly had  
16 and a frequency which I wanted to tune to, I could  
17 simply pick the soil stiffness.

18 Q. Did you tune your frequency in the  
19 vertical direction?

20 DR. SOLER: I not only chose it in the  
21 vertical direction but chose it the same in the  
22 horizontal direction as well. So all three linear  
23 directions had soil springs that were tuned to 5  
24 hertz natural frequency based on 2 limits, either  
25 the pad and 8 casks as the mass -- yes, the pad and

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1 8 casks as the mass or the pad and 1 cask as the  
2 mass. I have 2 sets of tuned stiffnesses, if you  
3 will.

4 But is there more to your question or  
5 have I --

6 Q. If you'll turn to Appendix A, page  
7 A-1 --

8 DR. SOLER: That's of the beyond design  
9 basis?

10 Q. Yes.

11 DR. SOLER: Okay.

12 Q. Is it correct under the column input  
13 that the cutoff frequency is 33 hertz?

14 DR. SOLER: That is the frequency we  
15 chose to develop the spring constant K0 in that  
16 report, which represented the contact stiffness  
17 between the pad and the cask at one of the 34  
18 points.

19 Q. What is the basis for 33 hertz?

20 DR. SOLER: As Dr. Singh pointed out a  
21 little bit ago, earthquakes -- bodies under forces  
22 caused by earthquakes are generally considered as  
23 rigid bodies when their frequency -- natural  
24 frequency exceeds 33 hertz. That's because the  
25 major -- most earthquakes will have major energy

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1 content in the low frequency range. And if -- if  
2 you look at response spectrums, you can see that,  
3 generally speaking, above 10 to 15 hertz in the  
4 response spectrum at most sites, you will find that  
5 the response spectrum falls off and begins to  
6 approach the 0 period accelerations. And at 33  
7 hertz your response spectrums are, in general,  
8 flatlined at whatever either the ZPA or the PGA is  
9 designated for that site.

10 So what we're basically doing by this  
11 method of choosing contact stiffnesses is to make  
12 sure that our contact stiffness doesn't give rise  
13 to another frequency that is below the 33 hertz  
14 cutoff point we ascribe to.

15 You can also relate that same frequency,  
16 be it 33 or any other number, to the static  
17 deflection of the object. In other words, there's  
18 a direct 2- or 3-line simple calculation which will  
19 get from you a statement, if this object, mass and  
20 a single spring, has a certain natural frequency,  
21 what is its static deflection when I put it down on  
22 a surface? There's a very simple relationship that  
23 you can derive which will tell you -- if you tell  
24 me the natural frequency, I'll tell you the static  
25 deflection and, conversely, the other way, if you

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1 want to choose an observable or an appropriate  
2 static deflection that corresponds to a certain  
3 natural frequency of this simple system.

4 Q. Thank you.

5 On page A-3 of the appendix where you  
6 perform a calculation to check on resonance, can  
7 you explain why you selected a soil damping value  
8 of 1 percent?

9 DR. SOLER: Again, we -- there had been  
10 some contentions by the State that the soil damping  
11 values that we were using corresponding to best  
12 estimate, lower bound or upper bound and calculated  
13 in accordance with the soil modulus -- moduli that  
14 we received and the formulas in ASCE 86 somehow  
15 included -- we were including more damping than by  
16 using those formulas than we might have in our  
17 system because of other effects that we had  
18 neglected. And, therefore, since this entire  
19 report beyond the design basis was an attempt to  
20 answer let's just take the worst case we can  
21 reasonably imagine, we also decided to lower the  
22 damping value to, hopefully, a value that would be  
23 uncontestable.

24 Q. In calculating the constitution -- the  
25 coefficient -- sorry, the coefficient of

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1 restitution on page A-3, you account for 1-percent  
2 damping --

3 DR. SOLER: Well -- well, first of all,  
4 the coefficient of restitution is not calculated on  
5 page A-3. I think you want A-2 for that.

6 Q. What's the calculation of -- under Check  
7 on Resonance, where C is equal to 2 times 0.01  
8 times --

9 DR. SOLER: Okay. That equation, yes.  
10 I mean that's a calculation of a damping  
11 coefficient, not a coefficient of restitution.

12 Q. Oh, okay. I apologize.

13 Is the 0.01 factor in that calculation,  
14 is that the damping -- does that account for the --

15 DR. SOLER: That's -- that's the 1  
16 percent, yes.

17 Q. So that when you look at --

18 MR. TURK: I'm sorry, Your Honor. I'm  
19 having a hard time understanding the question  
20 because it's being asked in such a quiet manner.  
21 Can I hear the last question back, question and  
22 answer please?

23 (The record was read as follows:

24 "Question: Oh, okay. I apologize.

25 "Is the 0.01 factor in that calculation,

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1 is that the damping -- does that account for  
2 the --

3 "Answer: That's -- that's the 1  
4 percent, yes.")

5 Q. (By Ms. Nakahara) So if you look at  
6 your damping calculation on page A-2, is it correct  
7 you used a 40-percent damping value?

8 DR. SOLER: For our calculations  
9 involving VisualNastran for the design basis -- at  
10 the contact stiffness, we are using 40 percent of  
11 critical damping for the damping that represents  
12 the loss of energy at the pad/cask interface. The  
13 1-percent damping refers to the soil damping.

14 JUDGE FARRAR: Ms. Nakahara, I think  
15 we're all right when you're whispering right into  
16 the microphone, but when you get even a few inches  
17 away from it, the sound system doesn't pick it up.

18 MS. NAKAHARA: Actually, if I could have  
19 one moment, I think I'm done.

20 (A discussion was held off the record.)

21 MS. NAKAHARA: That concludes my  
22 cross-examination. Thank you to everyone for  
23 putting up with me.

24 JUDGE FARRAR: Well, we admire your  
25 fortitude and tenacity at pressing forward on a

1 difficult subject under difficult vocal cord  
2 conditions.

3 The Board has just a couple of  
4 questions. Let me start on page 66 of your  
5 testimony.

6 DR. SOLER: Okay.

7 JUDGE FARRAR: The summary chart appears  
8 to be nearly the same as Table 2 on page 17 of  
9 what's now PFS Exhibit 86; is that correct?

10 DR. SOLER: Except for the fact that --  
11 I mean we -- we did make some corrections, but I do  
12 not see them appearing here in that table. Those  
13 are the ones I read out yesterday.

14 JUDGE FARRAR: Right. As I look at the  
15 corrections, it looks like the corrections in your  
16 testimony were largely intended to bring the  
17 testimony into conformance with the table.

18 DR. SOLER: That is correct.

19 JUDGE FARRAR: There are -- but in the  
20 remarks, some of the corrections bring it into  
21 conformity, but the remarks in Table 2 of the  
22 exhibit are not exactly the same as the remarks on  
23 page 66 of your testimony, although the differences  
24 may be only matters of style or phraseology as  
25 opposed to substance. Can you compare those and --

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1 DR. SOLER: Let's see. I -- all right.  
2 I'm going to look at the corrected table.

3 JUDGE FARRAR: And just focus on the  
4 remarks.

5 DR. SOLER: On the remarks, the -- for  
6 Case 5, the testimony as I'm given says "Check  
7 sliding," and that is simply incorrect.

8 JUDGE FARRAR: No, no. That was changed  
9 to say "Check real configuration."

10 (A discussion was held off the record.)

11 JUDGE FARRAR: If the witness will hold  
12 his remarks or make sure, if you're talking to  
13 counsel, the reporter doesn't have to hear it.

14 5 says "Check real configuration," and  
15 that was --

16 DR. SOLER: That was --

17 JUDGE FARRAR: -- changed.

18 But on Case 1, for example, the table  
19 lists stiffness values per Appendix D which is  
20 omitted in the remarks.

21 DR. SOLER: Yes. The -- the report  
22 actually gives the appendix because our QA program  
23 requires that we give our reviewer enough  
24 information that tempts them to go there instead of  
25 just accepting the result.

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1 JUDGE FARRAR: And on 6 and 7 where you  
2 -- in the remarks of your testimony it now says  
3 "low stiffness" and "high stiffness," that's the  
4 same as the lower bound tune stiffness and upper  
5 bound tune stiffness?

6 DR. SOLER: Yes, in the report I used  
7 the word "lower bound," but I didn't mean lower  
8 bound soil stiffness. So I wanted the table in the  
9 testimony to not use the words "lower bound" or  
10 "upper bound."

11 JUDGE FARRAR: Okay. The corrections  
12 you made in the stiffness column yesterday, they  
13 now -- the columns in the testimony and the columns  
14 in the report coincide, but explain to me again why  
15 in Case -- Cases 5, 6 and 7 the number of casks  
16 that you're starting with is not the same as the  
17 number of casks in your stiffness calculation.

18 DR. SOLER: The number of runs we were  
19 planning to do, we started out with one cask on the  
20 pad, and, therefore, I calculated what I'll call  
21 the low tuned stiffness on the basis of 1 cask plus  
22 the pad and a stiffness then chosen to give us 5  
23 hertz.

24 At the other extreme of 8 casks on the  
25 pad, the mass was different, so the stiffness came

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1 out to be different but still tuned at 5 hertz.

2 The --

3 JUDGE FARRAR: Wait, wait. Maybe --

4 DR. SOLER: The --

5 JUDGE FARRAR: Wait, wait.

6 DR. SOLER: Okay.

7 JUDGE FARRAR: Maybe I didn't make my  
8 question clear. Case 5 --

9 DR. SOLER: I was just getting there by  
10 using the two extremes.

11 JUDGE FARRAR: Okay.

12 DR. SOLER: For the intermediate cases,  
13 I balanced the time that I had available with the  
14 necessity for calculating a new tuned stiffness  
15 based on either 3 casks -- 2 casks, 3 casks or 4  
16 casks, and I decided, since I really didn't see  
17 that much difference between the 1-cask case and  
18 the 8-cask case, that I was just going to  
19 arbitrarily decide that if I ever went beyond 3  
20 casks, I'd use the high stiffness and, if I was  
21 doing any case below 3 casks, I'd use the low  
22 stiffness. I did not on a case-by-case basis tune  
23 the stiffness, which is one reason why I changed  
24 the words to low and high.

25 JUDGE FARRAR: But I want to make sure I

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1 understand. So in Case 5 where you have 3 casks on  
2 the pad and you use a stiffness based on the  
3 mass -- mass of 1 cask, that's done for the reason  
4 you just said.

5 THE WITNESS: That is correct, yes.

6 JUDGE FARRAR: So the same when you have  
7 4 casks, but you base it on a mass of 8.

8 DR. SOLER: Correct.

9 JUDGE FARRAR: That's all I have.

10 Dr. Kline?

11 JUDGE KLINE: Yeah. I want to refer you  
12 to Question and Answer 42 in your testimony.

13 DR. SOLER: Okay.

14 JUDGE KLINE: Okay. It deals with the  
15 confirmation -- confirmatory analyses done by  
16 Sandia. What impact on you did the Sandia report  
17 have? In other words, did you have to do anything  
18 or go back and recalculate anything or restructure  
19 your analysis in any way after seeing the Sandia  
20 report?

21 DR. SOLER: No. Our -- our analyses --  
22 at the time we did our analyses, we did not have a  
23 copy of the Sandia report. We noted, however, that  
24 the summary they provided or that was provided to  
25 us did seem to give the same -- at the time it was

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1 provided to us, provided numbers that were, given  
2 the differences in the model, in agreement with  
3 ours to some extent.

4 JUDGE KLINE: Have you since seen the  
5 full report?

6 DR. SOLER: I have read the report but  
7 not in the detail I would read it as if I was a  
8 reviewer.

9 JUDGE KLINE: Okay. In your opinion  
10 does it constitute a genuine confirmation of what  
11 you did? That is to say, was it -- was it  
12 something that would give us confidence or should  
13 give us confidence in the analyses you performed?

14 DR. SOLER: Well, it is -- certainly  
15 includes some features that we did not include,  
16 like the flexibility of the pad, explicit  
17 differentiation between soil cement and underlying  
18 soil, the potential for sliding of the pad and the  
19 soil cement and, similarly, the potential for  
20 soil/soil cement sliding. It also modeled the  
21 soil/soil cement by a finite element  
22 representation, and it used a computer program  
23 that, in my opinion, would be capable of predicting  
24 large rotations.

25 In the 2,000-year earthquake, it

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1 certainly seemed to confirm completely the results.  
2 In my observations of the 10,000-year, it appears  
3 that the results that we are getting, if you would  
4 like to consider Sandia's results as a base case,  
5 are overpredicting deflections, although you could  
6 equally well use the other one as a base case.

7 JUDGE KLINE: Well --

8 DR. SOLER: Both of them -- I'd like to  
9 point out, regardless of the differences in  
10 solution methodology, the difference in models, the  
11 difference in number of casks, they both predict  
12 that the cask will not tip over.

13 DR. SINGH: The Sandia -- the Sandia  
14 results, the report, indeed provides a definitive  
15 confirmation to the conclusions we have reached --

16 JUDGE KLINE: All right. I note that  
17 they considered only a single cask. Would you  
18 still consider it a global confirmation of what you  
19 did, or is it a limited confirmation?

20 DR. SINGH: No. Actually, it's a -- in  
21 my personal view, it provides a global  
22 confirmation. The evaluation of casks on pads and  
23 stability calculations historically have been done  
24 using a single cask. That's been the standard  
25 practice. In the case of PFS, to go the extra

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1 mile, to allay concerns of people who -- who might  
2 entertain thoughts that the cask might tip over, we  
3 decided to make a multicask model. But the  
4 conclusions, as we said earlier during the  
5 testimony, they don't change if you consider  
6 multiple casks or one cask.

7 JUDGE KLINE: In your view, did the  
8 Sandia analysis -- was it equally applicable to the  
9 VisualNastran simulation as well as the DYNAMO  
10 simulation?

11 DR. SINGH: Yes. They both -- both  
12 solutions -- both solutions, whether we use DYNAMO,  
13 we use VisualNastran or we used Abacus that Sandia  
14 used, they all fundamentally rely upon the same  
15 principle, Newton's equations of motion. It's just  
16 the details of the modeling, the details of the  
17 way the program you organized differs. So, yes,  
18 the answer is yes.

19 JUDGE KLINE: Okay. Just a general  
20 question on confirming one model with another  
21 model. What does it do to our level of confidence  
22 in one model when it's confirmed by another model?  
23 I mean could we not view -- view it just as well as  
24 that you confirmed Sandia's model? .

25 DR. SINGH: Well, that would be -- that

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1 would be honoring a private company against the  
2 National Laboratory --

3 JUDGE KLINE: All right. What is the  
4 standard that says Sandia confirmed you?

5 DR. SINGH: Well, we view this as -- as  
6 a peer effort by another organization --

7 JUDGE KLINE: Yeah, okay.

8 DR. SINGH: -- fully competent to  
9 perform -- fully qualified to perform such  
10 evaluations. And the fact that they performed  
11 these analyses -- we weren't even aware that the  
12 work was being done by Sandia, let alone have  
13 access to their results. That they independently  
14 came to the same conclusion is certainly -- we view  
15 this as an excellent confirmation of the work we  
16 have done.

17 JUDGE KLINE: Okay. Thank you.

18 JUDGE FARRAR: Mr. Turk, in light of  
19 Mr. Soper's cross-examination, would you be good  
20 enough to alert me when you put on a witness who  
21 can deal with this subject from the Staff point of  
22 view that Dr. Kline has just been mentioning?

23 MR. TURK: Yes, Your Honor. That would  
24 be the testimony of Dr. Luk and Mr. Guttman.

25 JUDGE FARRAR: Okay.

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1 MR. TURK: As I understand it, we're  
2 currently expecting that Dr. Luk will be deposed  
3 over the weekend, and we hope that his testimony  
4 will be presented this coming Monday.

5 JUDGE FARRAR: Okay.

6 MR. TURK: And I will do some follow-up  
7 questioning in light of the Board's questions with  
8 these witnesses just to bring out some highlights.

9 JUDGE FARRAR: But on this question we  
10 can get only so far with these witnesses, and we'll  
11 want to talk about the Staff --

12 MR. TURK: Absolutely.

13 JUDGE FARRAR: -- review process.

14 MR. TURK: Yes. And Dr. Luk and  
15 Mr. Guttman are here in the room, and I'm sure  
16 they're listening with great interest to the  
17 question.

18 JUDGE FARRAR: And, Mr. Soper, in light  
19 of Dr. Kline's questions, do you want to do any  
20 further examination of these witnesses, either  
21 right now or before we excuse them?

22 MR. SOPER: I'd just ask one question.

23

24

25

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## RECROSS-EXAMINATION

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BY MR. SOPER:

Q. It seems to me that the Sandia -- Sandia study was quite different than the Holtec study with respect to the 10,000-year event in that my recollection is Holtec predicted a rotation, a maximum rotation, of something in excess of 10 degrees; is that correct?

DR. SOLER: The initial report predicted a -- I believe that's correct. The report that you have seen the results of in the movie, I haven't calculated explicitly the rotations, but they obviously, as you could see, would be large.

Q. But doesn't your answer say 10 degrees? What do you mean you haven't calculated it?

DR. SOLER: My answer for the design basis -- for the original Reference 11-1, I made a calculation. The Beyond Design Basis Scoping Analysis, I showed you movies. And I do not believe in that report -- and I'll just look in a second -- that I've quoted any 10-degree value in that report.

There is no 10-degree value quoted in the Beyond Design Basis Report.

Q. What about your testimony?

1 DR. SOLER: My testimony, I quoted the  
2 10-degree value, I believe, and, again, I was  
3 talking while the movie was playing. I am willing  
4 to estimate that it is highly likely that in those  
5 movies the angular displacement -- the angular  
6 rotation of one or more casks was probably in that  
7 order, but I have not explicitly calculated that.  
8 I believe that at least for Cask No. 1 that is a  
9 task which I have --

10 Q. Well, let me read your testimony for  
11 Answer 39. Although the loaded cask exhibited  
12 larger rotations relative to the pad (approximately  
13 10.89 degrees from the vertical) than seen in the  
14 earlier analysis using the lower earthquake levels,  
15 the results of this analysis still showed the  
16 existence of significant margins against tip-over.

17 DR. SOLER: At the time that testimony  
18 was written, that was referring to -- and I've lost  
19 track of the number, so I'll simply read the  
20 reference. That was referring to the results in  
21 the report that we had issued at the time. Let me  
22 get the reference page here entitled "Dynamic  
23 Response of Freestanding HI-STORM 100 Excited By  
24 10,000-Year Return Earthquake at PFS." That is the  
25 report in which I quoted the 10-degree value which

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1 I refer to in this testimony.

2 Q. Well, that's precisely what I'm asking.  
3 Now, Sandia, when they came up with their maximum  
4 rotation, they came up with what, sir? Do you  
5 recall?

6 DR. SOLER: It was certainly not 10  
7 degrees. It was --

8 Q. It was about 1 degree.

9 DR. SOLER: -- 1 -- 1 something.

10 Q. Now, one result is 1 degree, your result  
11 is almost 11 degrees, and you claim that that  
12 distance, the order of, you know, 10 times or 11  
13 times, is somehow consistent and accurate. Is that  
14 what you're saying?

15 DR. SOLER: No. What I claimed, that in  
16 2,000-year return --

17 Q. I'm talking about the 10,000-year.  
18 Answer my question.

19 DR. SOLER: I don't believe that I've  
20 ever claimed -- I think I claimed that they  
21 confirmed that the casks will not tilt over.

22 Q. I see. And that's all you're --

23 DR. SOLER: That's all I claimed.

24 Q. Okay. Thank you.

25 DR. SINGH: Now, can I supplement the

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1 answer, Mr. Soper?

2 Q. No. I ask questions that I want answers  
3 to, and other people do the same, sir.

4 DR. SINGH: Okay.

5 MR. SOPER: That's all I have. Thank  
6 you, Your Honor.

7 (The Panel confers off the record.)

8 JUDGE FARRAR: Mr. Soper, is that all  
9 you have at this time?

10 MR. SOPER: Yes, it is. Thank you,  
11 Your Honor.

12 JUDGE FARRAR: Dr. Lam has some  
13 questions.

14 JUDGE LAM: Dr. Soler, Dr. Singh, for  
15 the 2,000-year return earthquake, are the maximum  
16 accelerations experienced by the casks within the  
17 limits set by the certificate of compliance?

18 DR. SINGH: The certificate of  
19 compliance does not have limits on the -- on the  
20 cask accelerations. It has limits on the pad  
21 accelerations, on the surface of the pad. It does  
22 not -- the CoC does not speak to the acceleration  
23 in the cask itself.

24 JUDGE LAM: Ah-ha. Because when I was  
25 reading what the State has proffered, I saw summary

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1 results for the pad, okay? So the accelerations  
2 don't refer to the pad. Then let me rephrase the  
3 question, then.

4 For this particular facility, do you see  
5 the maximum accelerations experienced by the pad  
6 within the limits of the certificate of compliance.

7 DR. SINGH: No. It won't be within the  
8 limits of the general CoC.

9 JUDGE LAM: Do you mean it exceeds the  
10 limits?

11 DR. SINGH: Yes, sir.

12 JUDGE LAM: Do you know, how much  
13 exceedance are we talking about here?

14 DR. SINGH: The 2,000-year earthquake,  
15 the values -- the horizontal values are, I think,  
16 on the order of .71.

17 DR. SOLER: If -- if you -- the  
18 horizontals are in the order of .7, and the  
19 vertical, I think, is .695. And the formula in the  
20 CoC, it is quite apparent that you cannot meet that  
21 formula with those combinations of accelerations.

22 JUDGE LAM: So for the design basis  
23 2,000-year return earthquake, the general license  
24 would not apply here?

25 DR. SOLER: That is correct.

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1 DR. SINGH: I should add that the  
2 general license accelerations, Your Honor, are  
3 based on simple static tipping of the cask. It  
4 does not consider dynamics at all. And that's  
5 deliberately done by the NRC, I believe, to set a  
6 low threshold for the general license.

7 JUDGE LAM: Which is what you refer to  
8 as a screening limit. Is that --

9 DR. SINGH: Right, that's correct.

10 JUDGE LAM: Now, in the same token, for  
11 the 10,000-return interval, the general license  
12 would not apply?

13 DR. SOLER: No.

14 DR. SINGH: The 10,000-year actually  
15 does not apply by virtue of the fact that it's not  
16 even a design basis earthquake, and the CoC does  
17 not deal with beyond the design basis earthquakes.

18 JUDGE LAM: Yeah, indeed.

19 If I may direct you gentleman to your  
20 answer to Question 170 in your direct testimony --

21 JUDGE FARRAR: Judge Lam, let me  
22 interrupt for a second.

23 When you say, Dr. Singh, it's not the  
24 design basis earthquake, you mean it's not the  
25 design basis earthquake under the exemption the

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1 Staff granted you?

2 DR. SOLER: That's correct.

3 DR. SINGH: Well, I take a much more  
4 provincial view. A design basis earthquake is  
5 defined by the facility owner in every case. Every  
6 nuclear plant as a design basis earthquake. In  
7 this case PFS defined the design basis earthquake.  
8 They interacted with the NRC to define it. We take  
9 that as the legal regulatory position.

10 JUDGE FARRAR: For now.

11 DR. SINGH: For our work, yes.

12 DR. SOLER: Okay. We're there.

13 JUDGE LAM: Okay. Gentlemen, the way I  
14 read your direct testimony, you -- both of you  
15 offer an opinion that even after tip-over, based on  
16 kinetic energy impact considerations, the  
17 structural integrity of the multipurpose canister  
18 would not be breached. Is that a correct reading?

19 DR. SOLER: That's -- that's correct.

20 DR. SINGH: That's correct, yes.

21 JUDGE LAM: Do you have any opinion as  
22 to the cooling capacity of the MPC even when the  
23 cask is tipped over?

24 DR. SINGH: Yes. If the cask were in a  
25 horizontal configuration, then the ventilation

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1 action would be modified. The bottom and top vents  
2 will no longer be in the vertical alignment.  
3 Instead, the -- the -- some of the vents act as the  
4 exit vent, and the ones at the bottom will act in  
5 the horizontal configuration as the entrance. The  
6 actual transfer of heat will be somewhat diminished  
7 from the vertical orientation.

8           However, the -- the NRC, based on sound  
9 science, defines temperature limits for the fuel  
10 for normal condition and for off-normal condition,  
11 off-normal conditions being those that -- that  
12 obtain, but rarely. For example, when the fuel is  
13 being loaded, it is subjected to vacuum drying  
14 conditions, and it does not have the same level of  
15 heat transfer that it does when the cask is in  
16 storage. The horizontal tipped over  
17 non-mechanistic condition is beyond off-normal.  
18 That's a -- that's a -- as I said yesterday, it's a  
19 counter-factual scenario. But even under that  
20 scenario, we have done evaluations that assure us  
21 that the temperature of the fuel cladding will  
22 remain below the short-term temperature limits  
23 imposed by the NRC, which is 1058, 1,058-degree  
24 factor.

25           Actually, we have done additional

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1 evaluations where we have shown that if some of the  
2 ducts were blocked by some non-mechanistic means,  
3 still the temperature will remain below 1058.

4 JUDGE LAM: Dr. Soler, do you have  
5 anything to add to that?

6 DR. SOLER: No. I'm not really involved  
7 in the thermal evaluations, so I don't want to make  
8 any statements.

9 JUDGE LAM: Thank you, gentlemen.

10 JUDGE FARRAR: That concludes the  
11 Board's questions.

12 It's 12:15. Mr. Gaukler, how much  
13 redirect do you have?

14 MR. GAUKLER: I would guess 15, 20  
15 minutes, something like that.

16 JUDGE FARRAR: And, Mr. Turk?

17 MR. TURK: Probably 15 to 20 minutes.

18 JUDGE FARRAR: I would say unless you  
19 all think differently, let's press on to make sure  
20 we get the witness out rather than take a lunch  
21 break. Does that make sense?

22 MR. GAUKLER: Why don't we take a short  
23 10-minute break, rest room. Is that all right?

24 JUDGE FARRAR: Okay. It's -- let's  
25 shorten it and be back at 12:25.

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1 (A recess was taken.)

2 JUDGE FARRAR: Before, Mr. Gaukler,  
3 starting your redirect, Judge Kline, has a  
4 follow-up question to something that was said  
5 before.

6 JUDGE KLINE: One of the hazards of  
7 taking a break is the Board thinks of more  
8 questions.

9 One question has to do with the cooling  
10 that you mentioned just before the break, and you  
11 mentioned it was a short-term cooling standard. So  
12 the question we have is what's the -- what is the  
13 time limit on that short-term standard, and what  
14 happens, if, in fact, it's exceeded?

15 DR. SINGH: The NRC, to my knowledge,  
16 has not specified a specific time limit.

17 JUDGE KLINE: Okay.

18 DR. SINGH: We would consider, based on  
19 the underlying principles, that if the casks were,  
20 say, horizontal for 30 days, that would be a  
21 short-term duration.

22 JUDGE KLINE: I see.

23 DR. SINGH: This is strictly my personal  
24 interpretation of the underlying principles that  
25 leads -- which led the regulators to establish a

1 short-term limit.

2 JUDGE KLINE: It sounds like something  
3 we should explore with the Staff.

4 DR. SINGH: Or you can explore with me.  
5 I can explain the fundamental principles.

6 JUDGE FARRAR: Well, not so much the  
7 fundamental principles. As you say, for a short  
8 term, whatever that means, it would be under the  
9 melting temperature of the fuel. But whatever the  
10 short term is, that implies that sometime later the  
11 fuel might get -- might exceed the -- or the  
12 cladding might exceed the melting temperature. And  
13 so then the obvious question is what would you  
14 do -- you know, if you knew 30 days was all right  
15 and 35 was not, what would you do on day 32 to stop  
16 any melting from occurring?

17 DR. SINGH: Let me -- let me clarify  
18 first, the off-normal temperature limit is not the  
19 melting temperature. It's far from it. Off-normal  
20 is just another NRC conservatism. They specified a  
21 temperature limit -- as a matter of fact, 10 years  
22 ago the temperature limit in at least one certified  
23 cask was 1200 degrees, and they lowered it to 1058,  
24 I guess in the interest of additional conservatism.

25 But that is not the melting temperature

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1 at all. There is scenario where the fuel  
2 cladding -- we can't postulate where the fuel  
3 cladding will reach melting temperature, especially  
4 at PFS, and the reason is this: Before fuel comes  
5 to PFS, it has to be cool enough so it can be  
6 transported, you know, in a cask that has no vents,  
7 no ducts, no openings, and because of that, the  
8 fuel already is -- it's so cool that it's  
9 impossible to physically make that reach the  
10 melting point by -- through any natural means.

11 JUDGE LAM: Dr. Singh, are you saying  
12 you have done the analysis to demonstrate if the  
13 casks tip over, sitting in a horizontal position,  
14 their will not be any fuel melting even if it sits  
15 there for --

16 DR. SINGH: Yes. The answer is yes. We  
17 have actually done -- I'm offering more than you  
18 asked. We have actually done evaluations where we  
19 have assumed that the cask is buried under debris  
20 for a long time, and we could not reach melting  
21 temperature --

22 JUDGE LAM: Buried, you mean covered  
23 with soil?

24 DR. SINGH: Covered with debris.

25 JUDGE LAM: I see.

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1 DR. SINGH: And we could not reach  
2 melting temperature for the kind of heat loads that  
3 PFS will have.

4 JUDGE LAM: Even when it's sitting  
5 horizontally so that --

6 DR. SINGH: Horizontal, vertical, any  
7 configuration. If you cover it with debris, then  
8 there's no ventilation.

9 JUDGE LAM: Are you saying all the  
10 passages were blocked?

11 DR. SINGH: All the passages are  
12 blocked.

13 JUDGE LAM: All the cooling passages  
14 were blocked --

15 DR. SINGH: It would not reach melting  
16 temperature for PFS heat loads.

17 JUDGE LAM: Are you saying that in that  
18 situation, you rely on just conductive heat  
19 transfer?

20 DR. SINGH: Conduction, that's exactly  
21 right, and radiation.

22 DR. LAM: And conductive heat transfer  
23 to surface?

24 DR. SINGH: Some conductive heat  
25 transfer, yes.

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1                   We have done in-depth studies of the  
2 behavior of the cask under a variety of natural  
3 phenomena, and it's absolutely stable, totally.  
4 There's no potential fuel clad melting at all.

5                   JUDGE LAM: Is the Staff aware of these  
6 types of calculations?

7                   DR. SINGH: The staff is in our shorts  
8 all the time. I'm sure they know.

9                   JUDGE FARRAR: Dr. Singh, when I jumped  
10 into this line of questioning, I thought it was  
11 going to lead to a discussion of what remedies you  
12 would take, whether that was cooling or righting  
13 the cask or so forth. In light of what you've just  
14 said, it's going to lead to something else. You're  
15 not getting out of town for a while.

16                   I assume the State will want to pursue  
17 this -- your conclusions just stated either with  
18 Dr. Singh or with somebody.

19                   MR. GAUKLER: I would say, Your Honors,  
20 the issue of fuel degradation is covered in part in  
21 the testimony on radiation dose consequences which  
22 is part of Section E. So this same topic is kind  
23 of natural there, so I don't have to stay -- think  
24 it necessarily would require him to stay today.

25                   JUDGE FARRAR: Are you able to come back

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1 at some future point?

2 DR. SINGH: I am in principle committed  
3 to providing support to this Board and to the State  
4 of Utah to come to the -- to get a good, solid  
5 understanding how safe these systems are. Yes,  
6 I'll be back if necessary.

7 MR. TURK: May I note also, Your Honor,  
8 that Mr. Guttman, who is now sitting beside me, was  
9 the thermal reviewer for the HI-STORM cask. He's  
10 listened to the questions and answers, and he will  
11 be part of the testimony with Dr. Luk. And he's  
12 available to respond to your questions as well.

13 JUDGE FARRAR: I might say to the State  
14 this is an example of when we ask questions -- we  
15 have questions we don't know what the answers will  
16 be, but any time if the answers are something that  
17 you want to take up at greater length, certainly we  
18 will give you the opportunity to do so.

19 MS. CHANCELLOR: Your Honor, I believe  
20 that this line of questioning -- I know it was  
21 initiated by the Board, but it does get into the  
22 area of Part E of the Unified Contention. And we  
23 would take issue with a lot of things that  
24 Dr. Singh had said, and those issues will be  
25 litigated in Part E. And for now we will not

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1 follow-up, and I believe that Dr. Singh, Dr. Soler  
2 and Dr. Redman will be a panel on Section E.

3 JUDGE FARRAR: Okay. I just wanted to  
4 make sure your interests were not harmed by any  
5 questions we asked.

6 DR. SINGH: In Washington I hope, right?

7 MS. CHANCELLOR: Depends how the Board  
8 viewed Dr. Singh's responses whether our interests  
9 are harmed.

10 JUDGE FARRAR: Mr. Gaukler, go ahead.

11 MR. GAUKLER: Yes.

12

13

REDIRECT EXAMINATION

14 BY MR. GAUKLER:

15 Q. Towards the end -- excuse me -- towards  
16 the end of your cross-examination, you were  
17 discussing -- Mr. Soper was discussing with you the  
18 differences between the Sandia report and your  
19 report, particularly with respect to the  
20 10,000-year earthquake. Dr. Singh, you were about  
21 to supplement with something. Do you see any  
22 particular difference between -- in terms of the  
23 results from the Sandia report and the Holtec  
24 report, do you see any discrepancy between them, so  
25 to speak?

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1 DR. SINGH: No. I find the two pieces  
2 of work to be quite consistent in the results they  
3 produce. The -- the Holtec evaluation, it can be a  
4 designer's assistance.

5 We make conservative models  
6 deliberately. We want to leave margins. We don't  
7 want to get close to the limit. Our model had  
8 built-in features of safety that exaggerate the  
9 response of the cask under the earthquake.

10 Sandia, which does evaluations,  
11 analyses, tries to replicate the behavior of the  
12 system as best -- as best as that they can. That's  
13 their mission at the National Laboratory. They  
14 model, for example, in this case the foundation,  
15 the soil subgrade as a continuum. We modeled it as  
16 a set of discrete springs. The -- they used a  
17 computer program, and they clearly expended a great  
18 deal of effort in trying to simulate many aspects  
19 of the model, of the actual system that we, as  
20 designers, use -- if you'll recall -- we call it --  
21 we use a conservative approach to simulate them.

22 Now, that said, I should inform you that  
23 the Sandia solution also has many aspects of  
24 conservatism. The rotations that they predict,  
25 which is based on a more exacting model, are not

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1 the rotations, in my view, that will obtain in  
2 nature if that 10,000-year earthquake were to  
3 occur.

4 And the reason -- I'll give you a simple  
5 example. We model the overpack and the MPC and the  
6 fuel inside it as a solid monolith. In reality, in  
7 reality during an earthquake, under vibratory  
8 motion, the fuel assemblies will vibrate. They  
9 rattle inside the storage location. So does the  
10 MPC. They do not -- their motion during an  
11 earthquake is not synchronized. It's not in  
12 unison.

13 Now, our studies have shown -- for  
14 example, we did some work on planar motion of  
15 rattling of fuel, and we found that only 44 percent  
16 of the total mass of the fuel participates in the  
17 dynamic motion. The aggregate effect of the fuel  
18 mass is only 44 percent of its total mass because  
19 of the rattling effect. Now, consider that if the  
20 mass that is trying to overturn the cask is reduced  
21 in that manner, of course the response will be  
22 reduced.

23 Now, these things are not considered in  
24 our solution deliberately to have built-in  
25 conservatism. With all this -- and there are many,

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1 many other conservatisms. Clearly, the cask is not  
2 rigid. The cask has a finite amount of energy  
3 absorption capability. After all, if you subject  
4 it to large force, it will deform. Clearly the  
5 structure can absorb energy. We don't consider  
6 that. We did not consider the flexibility in the  
7 MPC. We do not consider the flexibility in the  
8 fuel basket, the fuel and so on. So all this adds  
9 to the layers of conservatism that the model -- we  
10 get so used to it, we don't even think about it.  
11 We have -- we have kind of permanently embedded  
12 these conservatisms in our model.

13 So, yes, our solution is very  
14 conservative. Sandia's solution is conservative.  
15 The reality will be something less than what I  
16 believe Sandia predicts in terms of the rotation of  
17 the casks during earthquakes.

18 Does that answer you, Mr. Gaukler?

19 Q. Yes, it does. Thank you.

20 Yesterday in the discussion you were  
21 discussing various types of damping, Dr. Soler and  
22 Dr. Singh. You may have clarified that somewhat  
23 today, but I'd like to have you go -- try to  
24 clarify it more, the different types of damping and  
25 particularly the different locations of damping as

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1 they relate to the system that we're talking about  
2 here.

3 DR. SOLER: We talk about material  
4 damping, impact damping, soil damping. I'm going  
5 to break it into -- and structural damping.  
6 Material damping -- and I -- as I describe it, I  
7 will tell you what is and is not included in the  
8 PFS analysis.

9 Material damping is the kind of damping  
10 you would get because of the inelastic behavior of  
11 the material. A classic case would be an elastic  
12 plastic material where you have yielding during  
13 your loading, and every time you have yielding, you  
14 get some energy dissipation. Material damping is  
15 not modeled in our system because we do not model  
16 the cask as either an elastic or inelastic  
17 material. We model it as simply a rigid body.

18 Structural damping is the damping you  
19 ascribe to a given structure to account for things  
20 that you perhaps have not modeled in detail in the  
21 structure. For instance, in a bolted joint that  
22 forms a very small part of a large complex  
23 structure that you're analyzing, the fact that the  
24 surfaces rub against one another during a  
25 loading -- during -- under any loading condition

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1 will lead to some energy dissipation, and if you  
2 look at the structure as a whole, you can ascribe  
3 an effective damping to that to model the energy  
4 dissipation that's observed.

5 And the -- some of the Reg Guides give  
6 you a broad overview. For a certain kind of  
7 structure, you're allowed a certain amount of  
8 damping if you're going to model structural  
9 damping.

10 But the use of structural damping  
11 assumes that you have modeled your structure as an  
12 elastic material. Since we have modeled our  
13 structure as a rigid body, we do not have any  
14 structural damping included.

15 The only two damping mechanisms that are  
16 left are the dampers that we have interposed  
17 between the cask and the pad to model the energy  
18 dissipation which is physically observable when you  
19 have an impact and the soil damping. Now, the soil  
20 damping is made up of a -- of a number of  
21 mechanisms, but we have simply either included that  
22 damping in accordance with recognized formulas in  
23 ASCE or we have arbitrarily chosen the soil damping  
24 to be very low.

25 As far as the impact damping is

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1 concerned, we have in our 2,000-year design basis  
2 used a very conservative representation of that  
3 damping as 5 percent of critical damping. Critical  
4 damping has a formal definition in the vibration  
5 literature as 2 times the square root of the mass  
6 times the stiffness. A percentage of critical  
7 damping would be to take whatever value you compute  
8 from that formula and multiply it by that  
9 percentage divided by a hundred to get the damping  
10 value for your system.

11 So in the 2,000-year design basis runs,  
12 we used a very conservative value of 5 percent of  
13 critical damping. For the 10,000-year scoping  
14 analysis and the first report which considered just  
15 a vibrating pad and 1 cask, we assumed that the  
16 damping between cask and pad was equivalent to 40  
17 percent of critical damping. The State, in their  
18 solutions that they have run on their simple  
19 models, have used damping at that location as low  
20 as -- as low as .01 percent of critical damping.

21 So in all of the analyses that have  
22 done -- that have been done for PFS on one side or  
23 another, different values of damping have been  
24 ascribed to that interface, and the only way to  
25 describe what is correct is, again, to resort to

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1 physical principles and observable facts.

2 Have I --

3 Q. Yes.

4 Dr. Singh, do you want to add something?

5 DR. SINGH: Yes. The impact damping for  
6 the HI-STORM-to-pad interface, in my opinion, would  
7 be well in excess of 40 percent. I base this on  
8 the fact that you actually can calculate damping  
9 between two interfaces. You actually can. It's a  
10 tedious effort, but you can do that.

11 We did some evaluations where we -- we  
12 postulated that a metal cask drops on a thick, very  
13 thick concrete foundation, and we simulated that on  
14 a computer program that allows treatment of  
15 material not in reality, in other words, allows the  
16 physical phenomenon of impact to be simulated.  
17 Having done that, we determined the amount of  
18 bounce back from the -- from the cask. You drop  
19 the cask, you see how much the cask bounces back.  
20 You can directly, by the amount it bounces back,  
21 calculate the damping.

22 We did that evaluation, and we found  
23 that the damping, even for a metal cask, all metal  
24 cask, was in excess of 50 percent. So 40 percent  
25 is, in our view, a conservative value consistent

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1 with our approach to use conservative numbers in  
2 our analyses.

3 Q. Dr. Singh, yesterday you were being  
4 questioned by Dr. Soper in terms of the use of  
5 DYNAMO model for PFS as opposed to, say, for  
6 example, the VisualNastran with respect to  
7 analyzing the design basis earthquake, and you've  
8 mentioned that there were compelling reasons for  
9 the use of DYNAMO. Would you please elaborate on  
10 the reasons you believe exist for the use of the  
11 DYNAMO code with respect to the evaluation of the  
12 PFS 2,000-year design basis earthquake?

13 DR. SINGH: Well, I kind of forget the  
14 context of the question that was asked, but DYNAMO  
15 has, in our -- in our experience, shown to be  
16 impeccable with respect to its performance in  
17 dealing with problems where tilting impact and so  
18 on can take place.

19 We actually had modelled ANSYS, which is  
20 a general purpose program, and we have found that  
21 in the case of a simulation of an earthquake on a  
22 freestanding structure, it was given unstable,  
23 actually incorrect results. General purpose  
24 programs have the capability to do a large variety  
25 of problems, but in a specific instance, in that

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1 specific instance, we found that ANSYS was not  
2 accurate whereas DYNAMO did give a stable and  
3 correct solution.

4 This program having been used -- DYNAMO  
5 having been used in over a thousand discrete  
6 structures, qualifying them, is a well tested  
7 program, and that was the reason I said there were  
8 compelling reasons to use it when the question was  
9 asked.

10 Q. Dr. Singh and Dr. Soler, in the context  
11 of the questioning, it was discussed that DYNAMO  
12 was a small rotation program as opposed to  
13 VisualNastran and, because of large angles of  
14 rotation, you would want to go to a different  
15 program. Do you have a range in which you would  
16 say that DYNAMO would be capable of evaluating  
17 rotations?

18 DR. SOLER: Well, if you -- outside of  
19 any computer program, if you simply take the  
20 classical physics problem of asking yourself that  
21 you want to examine a body in a slightly rotated  
22 position subject to a side force and resisted by  
23 simply gravity, intending to pivot around its edge,  
24 you can write the equations of motion for that or  
25 the equations of equilibrium for that, invoking

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1 what I'll call a small motion or small rotation  
2 principle where you replace the sine of the angle  
3 by the angle itself and when you replace the cosine  
4 of the angle by the -- by 1 plus Theta squared over  
5 2 -- I don't want to embarrass myself here. In any  
6 case, you can also assume that you use the sine and  
7 cosine directly and form the imposed moment versus  
8 the resisting moment to determine the position --  
9 the final configuration.

10 So in that simple problem you could use  
11 as a measure of when small deflection theory breaks  
12 down by simply looking at the stability  
13 relationship which would involve, in that case, the  
14 tangent of the angle Theta. So if you take for  
15 different angles Theta from, say, 0 to wherever you  
16 want to go and compare the value of tangent Theta  
17 to the value Theta itself, you find that at about  
18 20 degrees you -- I believe it's roughly about a  
19 10-percent difference between tangent Theta and  
20 Theta. And if you go way back into mathematics,  
21 tangent Theta is also expressible in terms of a  
22 power series in Theta, so the question you're  
23 really asking is when is the power -- the first  
24 term of the power series able to represent the  
25 trigonometric function.

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1           And I would say in engineering problems,  
2 depending on the particular application you're  
3 looking at, 10 percent may be even -- less than 10  
4 percent would be a place beyond which you  
5 necessarily don't want to go without a program that  
6 can treat -- capable of handling large rotations.  
7 And if you go there with a small rotation problem,  
8 you go there with full knowledge that results that  
9 you get may not be -- I won't say incorrect, but  
10 it -- at the very least they may be inaccurate.

11           Q.       Also, in yesterday's cross-examination  
12 the question came up with respect to using a  
13 VisualNastran, for analysis at Diablo Canyon with  
14 respect to the anchored casks to be used there as  
15 opposed to using DYNAMO for that analysis. Would  
16 you please explain the reasons why VisualNastran  
17 was used with respect to the analysis of the  
18 anchored casks at Diablo Canyon?

19           DR. SOLER: The analysis at the ISFSI  
20 pad was truly for an anchored cask, and we could  
21 have equally well used DYNAMO or VisualNastran at  
22 that location. However, the project as a whole had  
23 to look at the transfer cask in the spent fuel  
24 pool, coming out of the spent fuel pool, sitting in  
25 the cask wash-down area, being raised and lowered

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1 during that time, being put on a transporter, the  
2 transporter moving over the road to a cask transfer  
3 facility and eventually getting into a HI-STORM --  
4 into an anchored HI-STORM on the pad.

5 The sum total of all of the analyses  
6 that we performed in our role for Diablo Canyon,  
7 the ISFSI calculation was only one part of that,  
8 and we determined at the outset that, to the extent  
9 possible, we were going to use one program to  
10 encompass all the analyses. And some of the  
11 analyses in the pool building, as we did them,  
12 definitely had the potential of having large  
13 rotations. So we -- we were using VisualNastran  
14 from, in effect, the cradle to the grave during the  
15 whole project.

16 Q. Also, the question came up, Dr. Singh, a  
17 question of you with respect to the use of DYNAMO  
18 or VisualNastran for the HI-STORM 100-SA, which is,  
19 I take it, the HI-STORM anchored cask -- 100-S  
20 anchored cask. Would you please describe in more  
21 detail how DYNAMO was used or VisualNastran was  
22 used in respect to that cask? And we're talking  
23 about, I think, the Holtec filings with the NRC.

24 DR. SINGH: I understand. We used --  
25 for the anchored cask configuration, we used both

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1 DYNAMO and VisualNastran. The DYNAMO solutions are  
2 reported in complete detail in a topical report  
3 that we provided to the NRC in the 1998 time frame.  
4 That report is entirely predicated on the use of  
5 DYNAMO.

6 The recent submittal that we made to the  
7 NRC where we were in the midst of doing evaluations  
8 for Diablo Canyon anchored situation and also  
9 attempting to get a general CoC, we stayed  
10 consistent and used VisualNastran. But on our  
11 document with the NRC, there are solutions for  
12 using both DYNAMO and VisualNastran.

13 Q. And were there any material differences  
14 between the solutions using DYNAMO and  
15 VisualNastran?

16 DR. SINGH: There are no differences in  
17 the sense that if you use the same data, you get  
18 similar answers. But in our -- in our dealing with  
19 the regulators, you know, we -- we vary the margins  
20 in the parameters, central parameters, so the  
21 actual numbers may be different, but the  
22 consequence, the solution, in the end, is the same.

23 Q. Yesterday, Dr. Singh, in the questioning  
24 by Mr. Turk, you were discussing the diametral gap  
25 between the multipurpose canister and the HI-STORM

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1 cask inside of that cylinder, the HI-STORM 100, and  
2 you mentioned some numbers from memory. Have you  
3 had a chance to go back and check those numbers and  
4 get precise numbers for that?

5 DR. SINGH: Yes, yes. It was bothering  
6 me all afternoon, but I was speaking from some  
7 fuzzy memory and I wasn't sure if it was quite  
8 right.

9 I borrowed the CD from the NRC. They  
10 graciously lent it to me so I could check the FSAR.  
11 The range that I'd given yesterday was between 2 to  
12 4 inches diametral range. The range actually is  
13 wider. It's from 3/4 of an inch, minimum, to  
14 4.75-inch maximum. The effective gap that retains  
15 the MPC in its place over most of its axial extent,  
16 the diametral gap is 3/4 of an inch. The radial  
17 gap, therefore, is 3/8 of an inch.

18 I apologize for having not consulted my  
19 information before -- before speaking yesterday.

20 Q. Dr. Singh and Dr. Soler, you were shown  
21 State Exhibit 174, which was a paper concerning the  
22 HI-STORM 100 cask. First of all, the HI-STAR 100  
23 cask is a different cask system from the HI-STORM  
24 100 cask system; is that correct?

25 DR. SOLER: That is correct.

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1 Q. And it was referring to c over g of  
2 approximately .25 in there. In general, what's the  
3 margin of safety in terms of the HI-STORM 100 cask  
4 with respect to tip-over?

5 DR. SOLER: Well, the pure geometry, if  
6 you -- if you look at the two casks, they were both  
7 on the -- on the computer background yesterday.  
8 The HI-STAR transport cask's base is 83.25 inches,  
9 and its height, total height, is about 203 inches,  
10 I believe. And, again, I'm working without  
11 consulting the FSAR, but I believe that's a correct  
12 number.

13 So if you look at the ratio of height to  
14 diameter, that's 200 over 83 -- I won't calculate  
15 it. Compare that same number with HI-STORM where,  
16 in our analyses we were using 231, which is the  
17 height, divided by the diameter, which is 133,  
18 roughly 50-percent larger, you will find that the  
19 ratio of height to diameter of the HI-STORM is  
20 roughly -- it's less than 2. I think it's about  
21 1.8. And the ratio for HI-STAR is 240 over 80,  
22 it's 2.something. So the HI-STAR is inherently  
23 less -- the HI-STAR is more prone to incipient  
24 tipping, if you will, incipient tipping meaning the  
25 classical solution at what coefficient of friction

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1 will you just begin to tip.

2           So the HI-STORM is inherently stable.  
3 They both have a well defined center of  
4 gravity-over-corner position, and if you were doing  
5 a dynamic analysis of either, depending on the  
6 scenario, meaning whether you were trying to do an  
7 actual calculation of what might happen under  
8 bounding conditions or whether you are doing a  
9 calculation to establish or suggest a regulatory  
10 position may differ, but in either case or in any  
11 case, I would adjudge a factor of safety against  
12 overturning against that cg-over-corner angle.

13           So in the case of the beyond the design  
14 basis analyses that I performed here in two  
15 reports, taking the 10-degree angle that I have  
16 quoted for one of the them, the cg-over-corner  
17 angle is 29 degrees plus a little bit. Therefore,  
18 I would ascribe the -- in reality, I would ascribe  
19 the safety factor against reaching cg-over-corner  
20 to be just under about 3. It would be 2.9.

21           As far as the safety factor against  
22 overturning, I mean if you ask a direct question as  
23 to exactly when will the cask overturn, the only  
24 way you could answer that is by numerical  
25 experiments of raising the strength of the

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1 earthquake, because this -- in a dynamic situation,  
2 the way the casks are behaving, they're not only  
3 tilting, but they're precessing. You get very  
4 complex motions with --

5 Q. What do you mean by precessing?

6 DR. SOLER: Precessing, I guess the  
7 simplest example of precessing is a child's top  
8 where you buy it out of the store, you press the  
9 handle, it spins, you let it go. And then it will  
10 eventually start to wobble and move around in a  
11 circle before it falls over. Precessing is  
12 basically the -- well, this is easy. That's  
13 precessing.

14 DR. SINGH: It's a helical motion.

15 DR. SOLER: A glass rolling around its  
16 rim, its base, that's precessing motion.

17 All those motions taken together, I  
18 would not preclude a cask going beyond its  
19 cg-over-corner angle for some instance of time and  
20 then being able to right itself.

21 DR. SINGH: Just like a bicyclist going  
22 around a curve, you know, the cg could be outside  
23 of the footprint of the bike, but it doesn't fall  
24 over. So you have -- you can have in a cask  
25 also -- even though we set the cg-over-corner as a

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1 limit, in reality, there are additional margins.

2 Q. Thank you, Dr. Singh and Dr. Soler.

3 One last question, I believe.

4 JUDGE FARRAR: Mr. Gaukler?

5 MR. GAUKLER: Yeah.

6 JUDGE FARRAR: You mean there's going to  
7 be a gyroscopic action on the casks?

8 DR. SOLER: I would not be attributing  
9 the safety of this cask to gyroscopic action. I'm  
10 just stating that looking at those analyses, I  
11 cannot be persuaded that if I ever calculated 29.7  
12 degrees that the cask would necessarily tip over.  
13 It may right itself.

14 DR. SINGH: And it's strictly a  
15 theoretical evaluation. All we are saying is that  
16 if you were to look at the stability of a cask  
17 statically, rotation by 29.3 degrees, if you leave  
18 the cask at that location and slightly move it  
19 over, it will tip-over in the static world. In the  
20 dynamic world, the structure is more tolerant. It  
21 would -- it may be leaning to a greater angle, and  
22 still it would not tip over. It's strictly a  
23 theoretical postulate. Our belief is that even a  
24 10,000-year earthquake -- as I said earlier,  
25 Sandia's report that shows rotations in the order

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1 of 1 degree is closer to the truth than ours is,  
2 which shows about 10 degrees.

3 JUDGE LAM: But shouldn't the optimism  
4 for taking credit for precessing be moderated  
5 somewhat by if you -- if your center gravity over  
6 the corner, you may have some residual momentum  
7 going that way, so by the time you're there, you  
8 could tip over --

9 DR. SINGH: Yes, yes, you could, and the  
10 solution will predict that. The capability of the  
11 program that can do the true geometric nonlinearity  
12 means that it can -- it has the capability to  
13 predict accurately -- accurately within the  
14 constraints of the model, capture movement of the  
15 centerline. This statement was made strictly to --  
16 to -- in the theoretical space. In reality, the  
17 rotations of the cask are going to be very small,  
18 even in the 10,000-year earthquake postulate.

19 JUDGE FARRAR: Mr. Gaukler, you had one  
20 more question?

21 MR. GAUKLER: I think I have no more  
22 questions. You took my one question, Your Honor.

23 JUDGE FARRAR: Mr. Turk?

24 MR. TURK: Yes, Your Honor. Do you want  
25 me to start now?

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1 JUDGE FARRAR: Yes, please.

2

3

RECROSS-EXAMINATION

4 BY MR. TURK:

5 Q. Gentlemen, let me ask you, first of all,  
6 if you're familiar with the history of the  
7 VisualNastran model. Do you recall if it was ever  
8 called by some other name?

9 DR. SOLER: Yes. It was originally  
10 called Working Model, and it was developed -- I'm  
11 not privy to the details of the development, but  
12 there was a firm called Knowledge Revolution which  
13 was a small firm up in the San Francisco area.  
14 They were bought out by MSC Software, I suspect,  
15 about 3 or 4 years ago, and after an initial period  
16 of one year, they changed the name of the code to  
17 VisualNastran Desktop because MSC Software is one  
18 of the suppliers of the Nastran computer code.

19 Q. Is it correct also that Holtec utilized  
20 Working Model in their submittal of the HI-STAR  
21 transportation cask to the NRC?

22 DR. SOLER: Yes. Did you want me to  
23 elaborate or did you just want a yes --

24 DR. SINGH: It's true that -- I believe  
25 we used it in HI-STAR.

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1 DR. SOLER: We did use it in HI-STAR in  
2 the transport submittal.

3 Q. Yes, and the Staff accepted --

4 DR. SOLER: The Staff accepted --

5 Q. -- the HI-STAR submittal --

6 DR. SOLER: Yes.

7 Q. -- using that code.

8 DR. SOLER: Yes.

9 Q. Is it the same code? I believe for  
10 HI-STAR it was Version 3.0/4.0. Is that the same  
11 as the current --

12 DR. SOLER: Yes, and it was the  
13 two-dimensional version. The current code is now  
14 denoted by its year. The code that we used on the  
15 early reports were 2001, and on -- I believe on the  
16 recent calculations here it's 2001 R2.

17 DR. SINGH: Mr. Turk, we are routinely  
18 receive updates on general purpose programs from  
19 the owner of the program. We buy the program, you  
20 also buy updates. When we get an updated program,  
21 such as the case with Working Model, we got -- we  
22 received later updates. We have a stringent  
23 quality assurance program. We put the new update,  
24 check it against the old proven version, and we run  
25 -- we run a variety of test problems according to

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1 our quality assurance program to ensure that the  
2 new program does not have any bugs. And there have  
3 been instances where we have not accepted a later  
4 rev of the program because we found that it had  
5 bugs. So it's -- it's a -- it's a rigorous, well  
6 orchestrated process at our company.

7 Programs are continuously being  
8 improved. For example, VisualNastran now has  
9 visual capabilities, as you saw yesterday. Working  
10 Model did not, not to that extent.

11 DR. SOLER: Not to that extent. We  
12 couldn't make a movie with Working Model, but it --  
13 you could watch the motion in real time.

14 Q. You mentioned in your testimony the  
15 Diablo Canyon application. Is it correct that to  
16 this date the NRC has not yet accepted the Diablo  
17 Canyon application or has not yet approved --

18 DR. SINGH: To my knowledge, the  
19 application is currently being reviewed by the  
20 Staff.

21 Q. So we don't know yet whether the Staff  
22 has accepted the use of VisualNastran in the Diablo  
23 Canyon application?

24 DR. SINGH: That is correct.

25 DR. SOLER: Could I add a little bit to

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1 that?

2 The Staff has accepted the latest  
3 version of the HI-STORM FSAR, and that includes a  
4 section on anchored casks where VisualNastran has  
5 been employed.

6 Q. For which cask is that?

7 DR. SINGH: HI-STORM.

8 DR. SOLER: HI-STORM 100-SA.

9 Q. The Staff has not yet issued the final  
10 approval -- not yet issued the certificate of  
11 compliance for that, have they?

12 DR. SOLER: That is correct --

13 DR. SINGH: We have the SER, and it's in  
14 rulemaking right now.

15 Q. And is it correct that current status  
16 of -- the Staff has published for comment the draft  
17 SER?

18 DR. SINGH: That's correct.

19 Q. And in the draft SER the Staff proposes  
20 to accept --

21 DR. SINGH: That is correct.

22 Q. -- the use of that model?

23 DR. SINGH: I don't know if there are  
24 explicit statements in the SER, but my  
25 understanding is the anchored HI-STORM methodology

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1 and solutions were found to be acceptable to the  
2 Staff.

3 Q. So we're waiting to see if that's  
4 ultimately the final decision in the CoC if and  
5 when it issues?

6 DR. SINGH: I believe it's -- June the  
7 10th is supposed to be when the period ends.

8 Q. That's the comment period?

9 DR. SINGH: Yes.

10 Q. Earlier in testimony today, it may have  
11 been a mistake in my hearing the answer, but I  
12 thought I had heard you say that the NRC directed  
13 your use of a -- or I'm sorry, that the NRC set the  
14 lower limit --

15 DR. SOLER: No, I meant to --

16 Q. -- of cask tip-over.

17 DR. SOLER: By that I was referring to  
18 Reg Guide 161 which ascribes the appropriate  
19 damping that you could use, structural damping that  
20 you could use for, say, welded structures, bolted  
21 structures. I think in that case it's probably a  
22 maximum limit.

23 MR. TURK: I'm sorry, Your Honor. May  
24 we just take a minute?

25 Q. The NRC Staff did not direct you to use

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1 any particular static analysis, did they?

2 DR. SINGH: No.

3 DR. SOLER: That is correct.

4 DR. SINGH: The Staff does not direct.

5 The Staff only asks questions.

6 Q. So, in effect, what happened, then, is  
7 you proposed your own or you used your own static  
8 analysis submitted to the Staff for approval, and  
9 then the Staff provided its --

10 DR. SINGH: That is correct.

11 Q. -- its comments as to whether it's  
12 acceptable or not?

13 DR. SINGH: Right.

14 Q. Yesterday there was some testimony about  
15 cold bonding, and I believe, Dr. Singh, you were  
16 talking about the cold bonding force where steel is  
17 in contact with other steel. Those are not the  
18 forces that you would expect for cold bonding  
19 between steel and concrete, are they?

20 DR. SINGH: I cannot visualize the --  
21 even physically the potential of steel bonding with  
22 concrete because the material is so dissimilar and  
23 concrete has such a low compressive strength that  
24 before it will bond, it will crush. You know, if  
25 you apply pressure, increasingly larger pressure,

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1 before you will create a bond, it will crush. I  
2 just can't physically visualize a process where  
3 cold bonding between concrete and steel can be  
4 carried out, industrial.

5 Q. In that case, in your response to Judge  
6 Farrar's question earlier today when you were  
7 discussing the potential use of the value of 1 for  
8 bonding, would you believe, then, that that's a  
9 totally theoretical but impossible value that would  
10 be achieved in the real world for the  
11 cask-on-concrete situation?

12 DR. SINGH: Yes. Actually, the value of  
13 concrete-to-steel interface friction  
14 coefficients -- and they were measured a long time  
15 ago. You know, when that used to be the  
16 state-of-the-art research to do 60 years ago,  
17 people were measuring friction coefficients. And  
18 the values that are quoted in the literature do  
19 not, to my knowledge, exceed .7 under any  
20 measurement. So that's why we used .8 as an upper  
21 hypothetical limit. It's a -- the approach in  
22 friction, being the friction determinate, needs to  
23 be lavish with respect to the range of parameters  
24 that we study.

25 Q. Okay. And, Dr. Soler, in your written

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1 testimony Ms. Nakahara was asking you about  
2 something that appears I believe on page 82 of your  
3 testimony in Answer 144. At the top of page 82  
4 there is a mathematical formula depicted where you  
5 take 360,000 pounds, divide it by 454 million  
6 pounds per inch. Could you take a moment to do  
7 that calculation and see if your testimony  
8 correctly represents the value?

9 I have a calculator which has proven  
10 invaluable to me, if you'd like to borrow it.

11 DR. SOLER: All right.

12 JUDGE FARRAR: Off the record.

13 (A discussion was held off the record.)

14 DR. SOLER: It's actually .0079, so  
15 there is two extra 0s in that.

16 Q. Will you try that one more time?

17 DR. SOLER: I'm going to go back to  
18 school.

19 Q. It's not the best key pad on that  
20 calculator.

21 MR. SOPER: Is that the calculator the  
22 Staff uses for everything?

23 DR. SOLER: It seemed to stop --

24 MR. TURK: Actually, the calculator I  
25 used yesterday very well, the State told me it was

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1 too simple a calculator.

2 DR. SOLER: I think -- I think your  
3 calculator runs out of gas with the 454 million.

4 Let me -- let me -- wait. I'll take off  
5 the three 0s. There we go. Three 0s. .00079 is  
6 the answer to that division, so I believe that  
7 there is an extra 0 in that calculation result on  
8 line --

9 Q. Knowing that the correct value is  
10 essentially an order of magnitude different from  
11 what's stated in the testimony, does that change in  
12 any way your answer to this question?

13 DR. SOLER: No, because as you'll note  
14 in the next to the last line, there is a value  
15 quoted of .009 which is even an order of magnitude  
16 larger than that, and we feel that that is  
17 acceptable also.

18 Q. There was some examination about PFS  
19 Exhibit 86 which is the Beyond the Design Basis  
20 Analysis, and there's a table that has the 11  
21 different cases.

22 DR. SOLER: Yes.

23 Q. Which of the cases in that table would  
24 you believe represents the most realistic case for  
25 the 10,000-year earthquake?

1 DR. SOLER: Well, all of them are  
2 realistic because it's possible to load in the  
3 manner in which the casks are loaded. I do not  
4 think any of the analyses using the tune stiffness,  
5 at least for 1 cask and 8 casks, is realistic.  
6 That was deliberately chosen as bounding. The most  
7 realistic is probably 11, if you assume that the  
8 soil moduli are consistent with the 10,000-year  
9 earthquake, and, of course, No. 1, which is also  
10 based on soil moduli which are consistent with the  
11 earthquake level.

12 As far as friction is concerned,  
13 regardless of anything else, I would think the real  
14 case would be Case 5 and 10, which is random  
15 coefficients of friction. As a rule, though, those  
16 would not be considered suitable for submission to  
17 the regulators because they're not reproducible.

18 Q. In your opinion, are any of the cases  
19 depicted here actually realistic cases for a  
20 10,000-year earthquake?

21 DR. SOLER: 11, 10, with the  
22 conservative assumption that the soil is tuned.  
23 Same for 9. That uses a lower bound of .2. The  
24 damping in all of these cases, I believe, is  
25 extremely conservative, so I guess I would

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1 summarize my answers is that they're all realistic,  
2 but they're all very conservative.

3 Q. And the most realistic one would be Case  
4 No. 11?

5 DR. SINGH: None of them, in my opinion,  
6 are realistic. They are all run with extremely  
7 conservative parameters. Key parameters which are  
8 used in the solution are extremely conservative.  
9 Each one of them gives an exaggerated response of  
10 the actual problem. None of them should be  
11 considered realistic in the sense that you will  
12 actually expect it if you were to subject the  
13 equipment to the earthquake.

14 Q. Earlier today there was some discussion  
15 of the Sandia report, and just to make sure I  
16 understand your testimony, you degree that Sandia  
17 did not use any soil springs in its analysis?

18 DR. SOLER: That's correct.

19 Q. And they did not use any dampers between  
20 the cask and the pad?

21 DR. SOLER: I --

22 DR. SINGH: I believe they have.

23 DR. SOLER: Well, I believe -- I'm not  
24 sure of that, but the normal way of simulating  
25 contact would certainly require you to ascribe a

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1 stiffness there. But I do not know whether they  
2 also ascribed a damper there.

3 DR. SINGH: If they did not put a  
4 damper, then they made their model very  
5 conservative.

6 Q. It's also correct that Sandia did not  
7 confirm your methodology, but, instead, they  
8 confirmed that the results --

9 DR. SINGH: I don't know what their task  
10 was. You know, we don't know what their mission  
11 was.

12 Q. But you were asked whether you believe  
13 the Sandia report confirms your analysis. And I'd  
14 like to clarify that the Sandia report confirmed  
15 that your results are within the range that they  
16 would consider appropriate, but they didn't go out  
17 and confirm your method of analysis, did they?

18 DR. SINGH: I don't believe they have  
19 reviewed our analysis and specifically concurred  
20 with it in the actual analysis model. If I stated  
21 that they -- they have validated our analysis, that  
22 was a misstatement. They -- all I meant to state  
23 is that their solution -- considering the level of  
24 additional detail they used in their model, it is  
25 not unreasonable to expect that their solution

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1 would be closer to reality than ours, being that we  
2 used many, many conservative elements in our --

3 JUDGE FARRAR: And when Mr. Tuck  
4 suggested that confirmed your result, that would be  
5 limited to confirming that you said the cask  
6 wouldn't tip over and they said the cask wouldn't  
7 tip over?

8 DR. SINGH: That's correct.

9 DR. SOLER: Correct.

10 JUDGE FARRAR: But not going beyond --  
11 not going beyond that?

12 DR. SINGH: That is correct.

13 Q. (By Mr. Turk) Do you know also whether  
14 Sandia considered a case in which all 8 casks were  
15 placed upon the pad?

16 DR. SINGH: No. I don't remember.

17 JUDGE FARRAR: We're getting to the  
18 point where we need to make a choice.

19 MR. TURK: I'll be done in a moment.

20 JUDGE FARRAR: You can ask -- if you can  
21 ask fewer questions, the witnesses can give shorter  
22 answers, we could eat or -- because you can't leave  
23 until the state gets another go-round.

24 MR. TURK: Your honor, at this point I  
25 don't think I have any other questions.

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1 JUDGE FARRAR: All right. Ms. Nakahara?

2 MR. SOPER: Actually, these are probably  
3 questions that fall in --

4 JUDGE FARRAR: Go ahead, Mr. Soper.

5 MR. SOPER: Thank you, Your Honor.

6

7

FURTHER RECROSS-EXAMINATION

8

BY MR. SOPER:

9 Q. Dr. Singh, you're not changing your  
10 testimony that DYNAMO is not capable of analyzing  
11 large rotations, are you?

12 DR. SINGH: No. I did not -- I do not  
13 claim to state that DYNAMO would produce accurate  
14 results for very large rotations -- situations in  
15 the cask where large rotations can occur.

16 Q. And of the various NRC proceedings where  
17 you've used DYNAMO, you certainly haven't asked the  
18 NRC to rely on a DYNAMO analysis for anything  
19 involving large rotations, have you?

20 DR. SINGH: To our knowledge, we have  
21 not had DYNAMO used, to my recollection, where  
22 large rotations -- large rotations occurred.

23 Q. Well, given your acknowledgment that  
24 it's incapable of that, I guess that makes sense.

25 DR. SINGH: Well, incapable would be

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1 perhaps a poor choice of terms. A program --  
2 Dr. Soler explained earlier today and I explained  
3 yesterday, a program that does not have the  
4 geometric nonlinearity modeling capability, it will  
5 become increasingly more inaccurate as the solution  
6 becomes one of large rotation. It does not mean --  
7 you will -- you will get a solution. It will have  
8 a greater inaccuracy to it than if you were doing a  
9 problem that involved only small rotations.

10 Q. Well, Dr. Soler put it this way: It's  
11 not capable without modification of modeling the  
12 potential for a cask to execute a large rotation.  
13 Do you agree with that?

14 DR. SINGH: I agree with it in the  
15 tech -- as spoken by an engineer. In the legal  
16 statement, you can sparse -- you know, parse words  
17 and come up with different conclusions. I think he  
18 means to say that the program will -- the solution  
19 will be part -- will become less accurate -- as the  
20 problem itself begins to exhibit -- the problem  
21 begins to exhibit large rotations, it becomes  
22 increasingly less accurate. Inapplicable is a  
23 strong term.

24 Q. All right. Well, incapable was the word  
25 that Dr. Soler used.

1           It's that very reason, sir, is it not,  
2           that you did not use DYNAMO in the 10,000-year  
3           analysis at PFC -- PFS?

4           DR. SINGH: That, I believe, is correct.

5           DR. SOLER: That is a correct statement.

6           Q.       And with respect to the difference in --  
7           going to the Sandia analysis, now, with respect to  
8           the difference in the 10,000-year results  
9           indicating that -- the Holtec results indicating  
10          almost 11 percent cask rotation --

11          DR. SOLER: 11 degrees.

12          DR. SINGH: 11 degrees.

13          Q.       -- 11 degrees, excuse me, compared to  
14          the Sandia results indicating more like 1 degree,  
15          Dr. Singh, I think you told us that that was not  
16          surprising or remarkable in your view.

17          DR. SINGH: That's correct.

18          Q.       And that would be because the various  
19          engineering judgments involved in running the two  
20          analysis are to be expected?

21          DR. SINGH: The -- I would call it  
22          engineering judgments. I would say the details of  
23          the model -- we tried to capture the response of a  
24          complex structure. In doing so, two groups of  
25          analysts would use -- they used clearly different

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1 programs, as you see in this case.

2 They also go to a different level of  
3 articulating the problem in the model. The less  
4 the level of articulation, in our case being -- as  
5 I said before, we are designers. We try to stay --  
6 keep things conservative. We will -- our model  
7 will typically, typically predict a greater  
8 rotation, much greater than the nature will obtain.

9 Now, another analyst -- another group of  
10 analysts who is trying to study the behavior with a  
11 greater focused effort and larger model can get  
12 closer to the truth. The actual rotation may be --  
13 in nature may be half a degree. And Sandia has  
14 gotten, through a more elaborate model -- and I'm  
15 only giving my opinion -- closer to the truth than  
16 our model which is loaded with conservatisms in  
17 many, many respects would.

18 But, again, our object is not to predict  
19 tip-over. Our object is to conservatively  
20 prognosticate whether tip-over will occur.

21 Q. I see. So these large differences are  
22 explainable due to the way the problem was modeled  
23 by the various analysts?

24 DR. SINGH: That is correct.

25 Q. I see. And not surprising or remarkable

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1 in your view, I guess you'd say?

2 DR. SINGH: It is not. Considering the  
3 caliber of work Sandia has done and the caliber of  
4 work done by Holtec, I believe that we have both  
5 modeled the problems with reasonable accuracy.

6 Q. Okay.

7 DR. SINGH: The differences in solutions  
8 are only because of the differences in the level of  
9 articulation in the -- of the physical problem into  
10 the model.

11 MR. SOPER: Thank you. That's all I  
12 have.

13 JUDGE FARRAR: Okay. Then that  
14 concludes it.

15 I just have one curious question. What  
16 does STAR and STORM stand for, if anything?

17 DR. SINGH: They do stand -- STAR is --  
18 H-I, you know, is Holtec International. It's also  
19 a nice word in English. STAR stands for storage  
20 transport and repository.

21 This cask can be used for storage,  
22 HI-STAR, it can be used for transport and it can be  
23 taken to the repository.

24 JUDGE FARRAR: No commercials. Just  
25 answer the question.

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1 DR. SINGH: STORM --

2 MR. GAUKLER: Got you on that one.

3 DR. SINGH: STORM, incidentally -- just  
4 a definition. STORM is storage module, STOR, M,  
5 storage module. It's strictly for storage.

6 But hopefully you will publicize it for  
7 the world.

8 JUDGE FARRAR: We thank you for your  
9 testimony. You will be back later in the  
10 proceeding, another panel with another gentleman,  
11 so we'll see you then.

12 It's now 1:30. How long will the  
13 State's cross-examination of Mr. Trudeau take?

14 MS. CHANCELLOR: Your Honor, I believe  
15 that we'll get through Mr. Trudeau and Mr. Ebbeson  
16 today and -- what day are we at?

17 JUDGE FARRAR: This is Wednesday.

18 MS. CHANCELLOR: Wednesday. We'll be  
19 through -- Mr. Trudeau will take quite a while.  
20 Mr. Ebbeson and the following witness won't take as  
21 long, if you're worried about where we are in the  
22 schedule. But Mr. Trudeau will take a long time.

23 JUDGE FARRAR: Then in terms of the  
24 court reporters, who we've now knocked off their  
25 schedule by having such a late lunch, we don't need

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1 to go late tonight for any particular reason?

2 MS. CHANCELLOR: Certainly not,  
3 Your Honor.

4 JUDGE FARRAR: Okay. Then let's take an  
5 hour lunch break, come back at 2:30. See you then.

6 (Lunch break was taken.)

7 JUDGE FARRAR: We're back on the record  
8 for the afternoon session. We have some  
9 housekeeping matters. Apparently yesterday I  
10 didn't say the magic words about State Exhibit 173.  
11 I thought I had admitted it but had not. We got to  
12 the point of no objections. So that will be  
13 admitted, since there were in fact no objections.

14 Mr. Travieso-Diaz, you said you had a --  
15 oh, no, let's hold that one.

16 Ms. Nakahara, you've now submitted State  
17 Exhibit 174 for identification which has the proper  
18 pages replacing the previous 174 for  
19 identification?

20 MS. NAKAHARA: Yes, that's right.

21 JUDGE FARRAR: Did you want to move to  
22 admit that at this point?

23 MS. NAKAHARA: Yes, please.

24 JUDGE FARRAR: Any objections?

25 MR. GAUKLER: No objection, your Honor.

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1 JUDGE FARRAR: Mr. Turk?

2 MR. TURK: No, your Honor.

3 JUDGE FARRAR: Then that will be  
4 admitted.

5 (INTERVENOR EXHIBIT-174 ADMITTED.)

6 JUDGE FARRAR: PFS 86 I think has never  
7 been moved.

8 MR. GAUKLER: We moved to admit it last  
9 night. Mr. Soper still had some questions about  
10 it. I don't know where the State stands on that,  
11 but we're going to get more information to the  
12 State on that this afternoon.

13 JUDGE FARRAR: That's fine. We'll just  
14 leave that under advisement. Then we're ready to  
15 start with Mr. Trudeau.

16 MR. TRAVIESO-DIAZ: Good afternoon,  
17 gentlemen. I want to start by saying that I'm here  
18 to give Mr. Gaukler so much needed relief. Before  
19 we start, there is a small housekeeping matter that  
20 we'll have to clarify on the record. Last night in  
21 reviewing the exhibits to Mr. Trudeau's testimony  
22 that we're going to hear in a moment, I realized to  
23 my dismay that Exhibit VV --

24 JUDGE FARRAR: V as in Victor?

25 MR. TRAVIESO-DIAZ: Two Victors. --

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1 which is the copy of a PFS calculation for the  
2 canister transfer building that a copy that was  
3 distributed in the books that you have was missing  
4 a number of pages. The copies that were mailed out  
5 with initial filing was also deficient. So what I  
6 have done is I have distributed to the parties and  
7 to the Board a substitute exhibit that has the  
8 entirety of that calculation. I have consulted  
9 with counsel for the State and the Staff, and they  
10 have no objections. So if you wouldn't mind  
11 replacing Exhibit VV in your books with the new  
12 exhibit, that would be good.

13 JUDGE FARRAR: Have you pointed out to  
14 them which were the missing pages?

15 MR. TRAVIESO-DIAZ: It's obvious,  
16 because it stops at page 34, and the calculation is  
17 65 pages long. And I think the State was aware of  
18 the problem.

19 JUDGE FARRAR: Okay.

20 MR. TRAVIESO-DIAZ: I'd also like to  
21 state for the record that we have distributed in  
22 advance to the court reporter and the parties and  
23 the Board the prefiled testimony of Mr. Trudeau, so  
24 I think everybody should have copies of that.

25 JUDGE FARRAR: Is that any different

1 from what you sent in a month ago?

2 MR. TRAVIESO-DIAZ: There are some  
3 corrections that Mr. Trudeau will refer to, but  
4 they have already been inserted in the copy that  
5 you have now.

6 I think the witness is available to be  
7 sworn.

8 JUDGE FARRAR: Yes. Stand and raise  
9 your right hand, sir.

10

11 PAUL J. TRUDEAU,  
12 called as a witness, having first been duly sworn,  
13 was examined and testified as follows:

14

15 DIRECT EXAMINATION

16 BY MR. TRAVIESO-DIAZ:

17 Q. Will you please state your name for the  
18 record.

19 A. I'm Paul Trudeau.

20 Q. Do you have before you a copy of a  
21 document entitled Testimony of Paul J. Trudeau on  
22 Section D of Unified Contention Utah L/QQ dated  
23 April 1, 2002?

24 A. Yes, I do.

25 Q. Are there any corrections you wish to

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1 make to that document?

2 A. Yes.

3 JUDGE FARRAR: Let me interrupt you  
4 there. I should have asked this on previous  
5 occasions. If the corrections are already entered,  
6 why do we need to go through them?

7 MR. TRAVIESO-DIAZ: Well, the only  
8 reason we need to go through them is in the event  
9 that any party has objections to corrections at  
10 this time. I'll be happy to dispense with this  
11 question to save time.

12 JUDGE FARRAR: How many are there?

13 THE WITNESS: Three.

14 JUDGE FARRAR: Then go ahead and do  
15 them.

16 MS. CHANCELLOR: I'll state for the  
17 record the State has no objection.

18 MR. TRAVIESO-DIAZ: I will then in that  
19 case dispense with reading of the corrections.  
20 They are obvious. They are marked in the document.

21 JUDGE FARRAR: And there's nothing  
22 scientifically overwhelmingly significant?

23 MR. TRAVIESO-DIAZ: I don't believe so,  
24 but if there is anything I'm sure we'll hear  
25 somehow.

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1 Q. (By Mr. Travieso-Diaz) Did you prepare  
2 this testimony and the corrections thereto or under  
3 your supervision, or was it done under your  
4 supervision?

5 A. Yes.

6 Q. Were the corrections that you made in  
7 your testimony true and correct, to the best of  
8 your knowledge and belief?

9 A. Yes.

10 MR. TRAVIESO-DIAZ: Your Honor, I move  
11 that the testimony of Paul J. Trudeau be admitted  
12 into evidence as if read as his direct testimony in  
13 this proceeding.

14 JUDGE FARRAR: Any objection,  
15 Ms. Chancellor?

16 MS. CHANCELLOR: No objection, your  
17 Honor.

18 JUDGE FARRAR: Mr. Turk?

19 MR. TURK: No. I point out, Mr. O'Neill  
20 will be handling the examination of this witness.

21 JUDGE FARRAR: Okay.

22 MR. O'NEILL: No objections.

23 JUDGE FARRAR: Then the testimony will  
24 be bound in the record at this point as if read.

25 (PREFILED TESTIMONY OF PAUL J. TRUDEAU FOLLOWS.)

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April 1, 2002

UNITED STATES OF AMERICA  
NUCLEAR REGULATORY COMMISSION  
Before the Atomic Safety and Licensing Board

In the Matter of )  
 )  
PRIVATE FUEL STORAGE L.L.C. ) Docket No. 72-22  
 )  
(Private Fuel Storage Facility) ) ASLBP No. 97-732-02-ISFSI

TESTIMONY OF PAUL J. TRUDEAU  
ON SECTION D OF UNIFIED CONTENTION UTAH L/QQ

I. WITNESS BACKGROUND

Q1. Please state your full name.

A1. Paul J. Trudeau.

Q2. By whom are you employed and what is your position?

A2. I am a Senior Lead Geotechnical Engineer at Stone & Webster, Inc., a Shaw Group Company ("S&W") in Stoughton, Massachusetts.

Q3. Please summarize your educational and professional qualifications.

A3. My professional and educational experience is described in the *curriculum vitae* attached hereto. As indicated there, I have twenty-nine years of experience in geotechnical engineering. My experience includes the performance of subsurface soil investigations; the performance and supervision of the analysis of foundations in support of the design of structures; the performance of laboratory tests of soils including index property tests, consolidation tests, static and dynamic triaxial tests, and other tests; the performance of analyses of the performance of soils and structures under static and dynamic conditions; the development of geotechnical

design criteria for other engineering disciplines, such as Structural, Environmental, Engineering Mechanics, and Electrical; and the preparation of the geotechnical sections of Preliminary and Final Safety Analyses Reports and Environmental Reports.

**Q4.** What is the basis of your familiarity with the Private Fuel Storage Facility?

**A4.** S&W is the Architect/Engineer for the Private Fuel Storage Facility (“PFSF”) under contract with Private Fuel Storage, L.L.C. (“PFS” or “Applicant”). As such, it coordinates the facility design activities, including the studies needed to characterize the PFSF site and establish its suitability. My particular areas of concentration on the PFSF project are the analysis of soils – settlement, bearing capacity, and stability of foundations – as well as the conduct of soils investigations, laboratory testing of soils to measure static and dynamic properties, and the performance of computer-aided analyses of the behavior of soils and structures under static and dynamic loading conditions.

**Q5.** What is the purpose of your testimony?

**A5.** The purpose of my testimony is to respond to allegations raised by the State of Utah in Section D of Unified Contention Utah L/QQ with respect to the seismic analysis of the storage pads, casks, and their foundation soils and the seismic analysis of the Canister Transfer Building and its foundation. I am also filing separate testimony on the allegations raised by the State in Section C of Unified Contention Utah L/QQ. That testimony addresses: (1) the characterization of subsurface soils at the PFSF site through subsurface investigations, sampling and analyses; (2) the stress/strain behavior of the soils under design basis earthquake conditions; and (3) the use of soil cement and cement-treated soil to enhance the seismic behavior of the soils beneath and adjacent to the foundations of the safety-related structures at the PFSF.

## **II. SEISMIC STABILITY ANALYSES PERFORMED BY S&W FOR THE PFSF**

**Q6.** What are the main stability analyses that you have conducted regarding the performance of safety-related structures at the PFSF during seismic events?

A6. Part of my duties as lead geotechnical engineer is to perform, or direct the performance of, analyses of the response of the PFSF structures to the forces imparted by postulated seismic events. In particular, I was responsible for the preparation of Stone & Webster Calculation Nos. 05996.02-G(B)-04, Rev. 9, *Stability Analyses of Cask Storage Pads* (July 26, 2001) (“Cask Storage Pad Stability Calc. Rev. 9”), and 05996.02-G(B)-13, Rev. 6, *Stability Analyses of Canister Transfer Building* (July 26, 2001) (“CTB Stability Calc. Rev. 6”). Copies of relevant excerpts from these two calculations are included as PFS Exhibits UU and VV. e X

Q7. Would you please describe how seismic stability analyses such as those are conducted?

A7. In the seismic stability analyses, we seek to evaluate three potential failure modes for the structures: sliding stability, overturning stability, and bearing capacity stability. Sliding failure occurs if the structure moves horizontally, parallel to the ground. Overturning failure occurs if the structure rotates as a rigid body about a horizontal axis. Bearing capacity failure takes place if the soils beneath the structure become overloaded in the vertical direction, leading to excessive settlement or rotation of the structure’s foundation.

Q8. You use the term failure. Is the intent of the analyses to determine whether the structure in question will actually undergo sliding, overturning or bearing capacity failure?

A8. No. The intent of the analyses is to establish what margin or “factor of safety” (“FS”) is provided by the design of the structure’s foundations against each of the failure modes. It is typical in the industry to use  $FS = 1.1$  as the desired safety factor against each of the three failure modes that I mentioned for load combinations that include seismic loads from the design basis earthquake. For example, Section 3.8.5 of NUREG-0800, the Standard Review Plan (“SRP”) for Nuclear Power Plants, indicates that the factors of safety against overturning and sliding are acceptable if they exceed 1.1 for load combinations that include seismic loads due to the design basis earthquake.

Q9. If, for example, a factor of safety of 1.1 against sliding is not demonstrated, does that mean that the structure will actually slide in a seismic event?

**A9.** No. It is only when the results of the analysis predict a factor of safety of less than 1.0 that the failure mode in question might occur. Even then, our analyses include additional conservatism in various parameters, such that even if the calculated factor of safety was less than 1, the structures likely would not slide during the seismic event. In addition, because of the cyclic nature of the seismic loading, each of the peak accelerations we use to estimate the dynamic loads from the earthquake exists only for one, very brief moment in time – typically less than 0.005 seconds – and then the earthquake accelerations reverse direction. Therefore, even if the forces due to the peak acceleration of the earthquake exceeded the resisting forces, a fraction of a second later the accelerations would decrease, and the corresponding inertial forces would decrease as well, such that the structure would not experience significant horizontal displacement. In addition, even for an earthquake as large as the design basis earthquake for the PFSF, there will be only one point in time where the acceleration will equal the maximum value – at every other point in time, the accelerations will be much less than the peak value – yet the analyses assume that the forces due to these peak accelerations act continuously for purposes of computing the factor of safety.

**Q10.** Do you analyze, for each type of failure mode, various combinations of earthquake loadings?

**A10.** Yes. In addition to a reference “static” case (“Case I” in PFS Exhibits UU and VV), in which only the weight of the structure and its effect on the soils beneath the foundation are determined, we run, for each seismic failure mode, three families of cases: one (labeled “Case II”) for static loads plus dynamic horizontal forces due the earthquake; another (labeled “Case IIIA,” “Case IIIB,” and “Case IIIC”) for static plus various combinations of horizontal and vertical uplift forces due to the earthquake; and another family (labeled “Case IVA,” “Case IVB,” and “Case IVC”) for static plus various combinations of horizontal and vertical compression forces due to the earthquake.

**Q11.** Do you also perform variations of each case in which some of the assumptions or parameters are varied?

- A11.** Yes. In addition to a “base case” that reflects the design intent with respect to the soils and foundations, we also perform hypothetical, “what if” analyses, in which other behavioral modes are explored.
- Q12.** Does performance of those hypothetical “what if” analyses mean that they are regarded as constituting credible scenarios for the behavior of soils and structures in an earthquake?
- A12.** No. The hypothetical analyses may be performed for a variety of reasons, such as, for example, determining what additional margins may be present in the design for which credit is not taken. However, performance of a hypothetical analysis does not necessarily mean that it is regarded as credible.
- Q13.** What was the “base case” you analyzed with respect to the sliding stability of the cask storage pads?
- A13.** That case is described and analyzed on pages 15 through 28 of Cask Storage Pad Stability Calc. (B)-04, Rev. 9 (PFS Exh. UU). It is based on engaging the shear strength of the soils beneath the pads to provide resistance against sliding forces. To ensure that the full shear strength of the soils is available to provide resistance against sliding, an “engineered mechanism” will be provided through the replacement of the top layer (1 to 2 feet) of soil below the cask storage pads with a cement-treated soil mixture having a minimum compressive strength of 40 psi, which provides a shear strength that is nearly twice as strong as the underlying clayey soils. The details of the design, testing, and construction of this cement-treated soil layer are described in my testimony on Section C of Unified Contention Utah L/QQ. ✕
- Q14.** What conservative assumptions are made in the base case?
- A14.** In addition to replacing the soils within one to two feet beneath the pads with cement-treated soil that provides nearly twice the shear resistance as the *in situ* clayey soils beneath the pads, the design intent is also to replace the top 3 ft. of soil below grade in the areas around the cask storage pads with a 2 ft.-4 in. thick layer of soil cement with a minimum compressive strength of 250 psi, topped with 8 in. of compacted aggregate. The purpose of this soil cement placed adjacent to

the pads is to provide a firm foundation for supporting the cask transporter that will move storage casks onto the pads. This soil cement installation will provide significant, additional, resistance against sliding of the pads in an earthquake; however, the base case conservatively does not take credit for the strength of the soil cement installed around the pads to resist these sliding forces. Thus, the base case analysis conservatively ignores the cohesive strength of the soil cement in calculating the dynamic active earth pressures that must be resisted to preclude sliding. In addition, it ignores the passive resistance provided by the soil cement adjacent to the pad, and it ignores the shearing resistance available between the sides of the pad parallel to the direction of sliding and the soil cement adjacent to the pads. The analysis also conservatively uses shear strengths of the clayey soils based on static strengths measured in direct shear tests, despite the well-known phenomenon that such clayey soils exhibit increases in shear strength of as much as 100% when subjected to rapid loadings, such as those imparted by the design basis earthquake.

**Q15.** Are similarly conservative assumptions also made in the base cases for the other potential failure mechanisms?

**A15.** Yes. Similarly conservative assumptions (such as the use of static shear strength for the soils) are also made in the bearing capacity and overturning failure cases.

**Q16.** Have you sought to estimate how much the factors of safety would increase in the various stability calculations if, for example, more realistic values of the shear strength of the soils were used?

**A16.** Yes. I performed several simple calculations to estimate how much the factors of safety against failure would increase if the shear strength of the clayey soils was increased 50% from the strengths obtained in the static strength tests to account for the well known phenomenon that the dynamic strength of clayey soils under rapid rates of loading comparable to the cycling applicable for earthquakes is 50% to 100% greater than the strength measured in static shear tests. The results are as follows:

**For the pads (bearing capacity failure):**

As shown in SAR Table 2.6-7 (also p. 107 of Calc. G(B)-04-9, PFS Exh. UU), of the cases that combine the earthquake components in accordance with the 40-40-100 rule recommended by ASCE 4-86 (p. 12 of G(B)-04-9, PFS Exh. UU), Load Case IVB had the lowest FS against a bearing capacity failure based on inertial forces (p. 69 of Calc. G(B)-04-9, PFS Exh. UU: FS = 2.1 using the static shear strength,  $c = 2,200$  psf). Increasing the soil shear strength by 50% to 3,300 psf to account for the dynamic strength of this clayey soil, increases this FS to 3.63. Conversely, the earthquake accelerations would have to be increased by a factor of 1.74 (i.e., to a horizontal acceleration of 1.24g and a vertical acceleration of 1.21g) to reduce the FS to 1.1, and by a factor of 1.79 (i.e., a horizontal acceleration of 1.27g and a vertical acceleration of 1.24g) to reduce the FS to 1.0.

**For the pads (sliding failure):**

*we considered for demonstrating how the safety margin*

For the sliding stability of the pads, the critical case will be for 10 pads sliding in the north-south direction. Pages 32 and 33 of Calc. G(B)-04-9 illustrate that using the static shear strength of the clay soils, the factor of safety against sliding of an entire column of pads in the north-south direction is 1.51. If we increase the clay soil strength by 50% to account for the normal increase of strength for clayey soils to dynamic loadings such as these, the factor of safety for this case increases to 2.2. Conversely, the pad + soil cement + cement-treated soil inertial forces and the maximum cask dynamic forces from the 2,000-yr return period earthquake would have to be more than doubled (i.e., the horizontal earthquake acceleration would have to be increased to 1.44g) for this case to obtain a factor of safety against sliding equal to 1.1.

x x

**For the CTB (bearing capacity failure):**

As shown in SAR Table 2.6-10 (also p. 48 of Calc. G(B)-13-6, PFS Exh. VV), of the cases that combine the earthquake components in accordance with the 40-40-100 rule recommended by ASCE 4-86, Load Case IVB had the lowest FS against

a bearing capacity failure based on inertial forces (p. 41 of Calc. G(B)-13-6, PFS Exh. VV: FS = 6.25, with a shear strength  $c = 3,180$  psf.) (The soil shear strength  $c = 3,180$  psf was adjusted from the  $c = 2,200$  psf for these soils based on the CPT results, as described on p. 9 of the calculation.) Increasing the soil shear strength by 50% (to  $c = 4,770$  psf) to account for the dynamic strength of these clayey soils increases the FS to 10.1. Conversely, the earthquake accelerations would have to be increased by a factor of 4.34 to reduce the FS to 1.1, and by a factor of 4.39 to reduce the FS to 1.0.

**For the CTB (sliding failure):**

As shown in p. 23 of G(B)-13-6, PFS Exh. VV,  $c = 1.36$  ksf is the applicable static residual shear strength of the soil for the CTB sliding case that used the full passive resistance of the soil cement around the building. The factor of safety against sliding for that shear strength value is 1.26. Increasing the shear strength of the soil by 50% ( $c = 2.04$  ksf) to account for the dynamic strength of the clayey soils, increases the FS against sliding to 1.61. Conversely, the earthquake accelerations would have to be increased by a factor of 1.46 to reduce the FS to 1.1, and by a factor of 1.61 to reduce the FS to 1.0 for  $c = 2.04$  ksf.

**Q17.** Are there other conservatisms incorporated into the design practices and the codes and standards used in performing this and the other stability analyses?

**A17.** There are several major elements of conservatism in nuclear industry design practices and applicable codes and standards that are reflected in the stability analyses conducted by PFS. These conservatisms include those in the utilization of "lower bound" (as opposed to best estimate or mean) values of the soil properties, in analysis assumptions, and the definition of "failure". Such conservatisms form part of the intentional and recognized safety margin inherent in the NRC seismic evaluation process discussed in the testimony of Dr. Allin Cornell being filed simultaneously with this testimony. These conservatisms imply that the foundations will have much greater factors of safety against failure

than the analyses predict, and would not actually fail until the earthquake ground motions become far larger than the design basis motions.

**Q18.** What were the results of the base case analyses?

**A18.** The analyses show that the minimum factor of safety against sliding of the storage pads in the event of a design basis earthquake is 1.27 (versus a target of 1.1), ignoring, as indicated above, the passive resistance available due to the soil cement adjacent to the pad. This value is based on the dynamic loads acting in the east-west direction. Those acting in the north-south direction are somewhat lower, resulting in a factor of safety against sliding of a single pad in the north-south direction of 1.36. This means that the storage pads will not slide in the event of a design basis earthquake. It should be noted that the calculated factor of safety against sliding between the base of the concrete pad and the underlying cement treated soil layer is 1.98, meaning that the limiting factor in the resistance to sliding is the bond between the cement-treated soil and the native soil underneath, not the bond between the cement-treated soil and the concrete pad above it.

**Q19.** What other sliding cases did you analyze for the storage pads?

**A19.** We also considered a case in which we take credit for the passive resistance provided by the 2 ft.-4 in. layer of soil cement to be placed around the pads, in order to demonstrate the beneficial effect of placing this soil cement adjacent to the pads. Our calculations for that case, which include only the forces acting on the pad, not those on the underlying cement-treated soil, are presented on pages 29 and 30 of Cask Storage Pad Stability Calc. Rev. 9 (PFS Exh. UU), show that the minimum factor of safety against sliding in the north-south direction without including the passive resistance of the soil cement is 1.52, and that this factor of safety increases to 2.35 when the passive resistance due to the soil cement adjacent to the pad is included. It also demonstrates that the factor of safety against sliding in the east-west direction is increased to 3.3 when the passive

resistance of the soil cement is included; thus, the critical direction for sliding of the pads is the north-south direction.

The sliding stability of an entire column of 10 pads in the north-south direction also was considered. In this case, the resistance to sliding of the entire column (running N-S) of pads exceeds that of each individual pad because there is more area available to engage more shearing resistance from the underlying soils than just the area directly beneath the individual pads. The extra area is provided by the 5-ft long x 30-ft wide plug of soil cement that exists between each of the pads in the north-south direction. This analysis assumes that the soil cement east and west of the long column of pads provides no resistance to sliding, conservatively assuming that the soil cement somehow shears along a vertical plane at the eastern and western sides of the column of 10 pads running north-south. The resulting factor of safety increases from 1.36 for an individual pad in the north-south direction to 1.50 for an entire column of 10 pads.

We also considered a hypothetical sliding stability case, presented on pages 36 to 45 of Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU, in which the cohesive portion of the strength of the clayey soils along the interface with the cement-treated soils underneath the pads is completely ignored. In this hypothetical case, resistance to sliding is provided only by the frictional portion of the shear strength of the clayey soils beneath the cement-treated soil layer underneath the pads, and it is based on an obviously conservative value of the friction angle for the underlying soils. Not surprisingly, the pads are shown to slide in an earthquake under these assumptions, whether a single pad or a row of pads is considered.

This analysis also includes an estimation of the horizontal displacements that will be experienced by a row of 20 pads under the assumptions described above. The estimation is based on a method described in the technical literature for assessing the displacement of dams and embankments during earthquakes. This analysis yields horizontal displacements of the pads on the order of 2 to 6 inches. Again,

these displacements apply only to a hypothetical case based on extremely conservative assumptions.

Another hypothetical analysis (pages 46-51 of Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU) was conducted in which it was assumed that the storage pads rest directly on cohesionless soils, instead of on cement-treated soil and the clays that exist at the PFSF site. For that case, based on a conservative, lower-bound friction angle of 30 degrees for the cohesionless soils that were postulated to exist directly at the base of the pads, horizontal displacements of the pads on the order of 1.9 to 2.2 inches are predicted.

**Q20.** What weight should be given to the various hypothetical cases you just described?

**A20.** These cases are important in that they illustrate various conditions that bound the characteristics of the PFSF site soils and their performance in a design basis earthquake. However, the case that represents the design basis of the pads, which in itself incorporates a number of conservative assumptions, demonstrates that the design of the foundations of the cask storage pads provides a more than adequate factor of safety against sliding of the pads and the casks they support in an earthquake.

**Q21.** What analyses did you perform of the bearing capacity of the cask storage pads?

**A21.** The bearing capacity analyses, which are presented on pages 52-98 of Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU, consider both static load cases and two different sets of dynamic loads. One set of dynamic loads was that resulting from the inertial forces applicable to the peak ground accelerations from the design basis ground motion. The other set of dynamic loads was based on the maximum dynamic cask driving forces obtained by the designer of the pads for cases in which the pad supports 2, 4, and 8 casks.

**Q22.** What results did you obtain?

**A22.** For the case of dynamic loads based on inertial forces from the design basis ground motion, the lowest factor of safety against bearing capacity failure was

1.17 (Case II, p. 59 of Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU), and was obtained under the very conservative assumption that 100% of the earthquake loads act in both horizontal directions at the same time. More realistic cases, in which the loads were distributed among the three dimensions in accordance with procedures set forth in industry standards, yielded factors of safety against bearing capacity failure exceeded 2 (Case IVB, p. 69 of Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU).

In the second set of analyses, the dynamic loads were based on those developed by the pad designer for varying numbers of casks loaded onto the pads. Those analyses were based on the conservative assumption that the maximum dynamic forces will all occur at the same time at each node in the model used to represent the cask storage pads, which, therefore, represents an upper bound of the dynamic forces that can be applied to the pads. A minimum factor of safety against bearing capacity failure of 1.6 (Case IVB, p. 97 of Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU) was obtained, applying to the case in which 8 casks are loaded onto the pad.

**Q23.** What analyses did you perform of the overturning stability of the cask storage pads?

**A23.** Overturning analyses were based on the dynamic loadings from the design basis ground motion. The analyses showed that the factor of safety of the storage pads against overturning is 5.6, well in excess of recommended margins.

**Q24.** Would you please summarize the results of the stability analyses of the storage pads under design basis earthquake loadings?

**A24.** The analyses that we performed of the sliding stability, bearing capacity, and overturning stability of the foundations of the storage pads show that significant margins are available for those foundations in the event of a design basis earthquake. These factors of safety, which incorporate a number of conservative assumptions, assure that the pads and the storage casks will remain stable under the loads imparted by the design basis earthquake. Moreover, the results of the base cases plus the conservatisms built into the stability analyses (as demonstrated

just by increasing the shear strength of the soils to more realistic values) make it safe to predict that the storage pads will not experience failure under the loadings from an earthquake far more severe than the design basis earthquake.

### **III. RESPONSE TO STATE CLAIMS IN SECTION D RELATING TO SEISMIC STABILITY ANALYSES OF STORAGE PADS AND CASKS**

**Q25.** In Section D of Unified Contention Utah L/QQ, the State alleges several deficiencies in the PFS seismic stability analyses for the storage cask pads and the CTB and its foundation. Are you familiar with those allegations?

**A25.** Yes.

**Q26.** What is your general response to the State's allegations?

**A26.** The claims raised by the State are either incorrect or seek to find fault with some of the hypothetical cases that are included in the seismic stability analyses but which do not represent the design basis case; therefore the claims are irrelevant. They are also inconsequential in that the deficiencies alleged to exist, even if present, would not materially affect the validity of the analyses.

**Q27.** In Subsection D.1.b(i) of Unified Contention Utah L/QQ, the State asserts that the Applicant has not demonstrated adequate factors of safety against overturning and sliding stability of the storage pads and their foundation system for the design basis earthquake because the Applicant's calculations incorrectly assume that the pads will behave rigidly during the design basis earthquake. The assumption of rigidity is alleged to lead to significant underestimation of the dynamic loading atop the pads, especially in the vertical direction. Is this claim correct?

**A27.** No. As discussed in the testimony of Dr. Wen-Shou Tseng filed simultaneously herewith, the storage cask pad deflections under design basis earthquake loads are very small and the pads can be considered as essentially rigid for analytical purposes (although Dr. Tseng's organization, International Civil Engineering Consultants, Inc. or ICEC, conservatively treated the pads as flexible for purposes of their structural design). Because the pads are essentially rigid, the premise to the State's assertion that our stability analysis are faulty is incorrect. In addition, it can be demonstrated that the dynamic loads have not been underestimated in these analyses.

**Q28.** State witnesses have testified that the estimate you used of the seismic loadings on the pads in the horizontal and vertical direction use the peak ground acceleration of the design basis motion, which underestimates the accelerations to which the pads and storage casks will be subjected. Is there a significant difference between the peak ground acceleration and the accelerations to which the pads will be subjected?

**A28.** No. The difference, if any, is not significant, because the appropriate response spectrum curve to be used for determining these acceleration values should be based on the damping applicable for the pad + casks + soil system. This damping should include both radiation damping and material damping; however, the bulk of the energy is dissipated due to radiation damping in this case. The radiation damping is calculated based on a relatively simple formulation, and for the best-estimate soil properties, it can be shown to be approximately 50% for vertical vibration of the pad + casks + soil system. This number varies only slightly for the lower-bound (52%) and upper-bound (48%) soil properties. For such high degrees of damping, the amplification that would occur for the pad + casks + soil system would be much lower than would apply based on the response spectrum plot for 5% damping referred to by the State [Trudeau/Chang Deposition 11/15/00 at 172:22] as a demonstration that a huge amplified response is applicable for the pad foundations. The fundamental frequency for this case is approximately 6.21 Hz, corresponding to a fundamental period of 0.16 sec. The response spectrum for the vertical earthquake time history for 50% damping indicates that the maximum acceleration should be 0.757g, which is a slight amplification over the 0.695g used to calculate the inertial forces applicable for the pad + soil cement in these analyses. This is not the smoothed design response spectrum, however. As shown in Table 1 in Calc. 05996.02-G(PO18)-3-1 for a period of 0.16 sec, the response spectrum of the PFS vertical time history overestimates the design response spectrum by approximately 13%. If this adjustment is taken into consideration, the applicable vertical acceleration for 50% damping would be  $0.757g \div 1.13$ , or 0.67g. This value is less than the value of 0.695g that was used to calculate the inertial forces applicable for the pad + soil cement in these analyses.

At any rate, the response spectrum technique is a very conservative way of arriving at the dynamic loads applicable for the pads and underlying cement-treated soils in this case. An independent verification of the dynamic loads used in the sliding stability analyses presented in the Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU, can be obtained from a review of the time histories of the forces used by Holtec in its soil-structure interaction analysis of the cask storage pad. Time histories of the forces at the base of the pad generated by Holtec (without the soil mass attached to the pad) show that the peak horizontal force at the base of the pads during the entire earthquake record was 3,310 kips, acting in the east-west direction at 4.675 seconds into the time history. (Holtec's time history of forces from their SSI analysis of the casks + pad + virtual soil mass underlying the pad show that these peak forces are less when the virtual soil mass attached to the pad is included.) The peak horizontal force acting in the north-south direction was less than this, equaling only 2,540 kips at 5.445 seconds into the time history. Therefore, the critical direction for sliding is in the east-west direction. The factor of safety against sliding of the pad for this worst-case loading from Holtec's SSI analysis is calculated as follows for the 30 ft x 67 ft pad:

$$FS_{\text{Sliding}} = \frac{\Sigma \text{Resisting Forces}}{\Sigma \text{Driving Forces}}$$

$$FS_{\text{Sliding E-W w/oPassive}} = \frac{2.1 \text{ ksf} \times 30 \text{ ft} \times 67 \text{ ft}}{3,310 \text{ k} + 65.3 \text{ k}} = 1.25$$

(In this equation, 2.1 ksf is the shear strength of the soil, 3,310 kips is the earthquake's peak horizontal sliding force, and 65.3 kips is the force due to dynamic active earth pressure acting on the pad in the same direction as the earthquake's acceleration.)

The minimum factor of safety against sliding of the pad at any point in time resulting from the time history of forces from Holtec's SSI analysis, 1.25, is

nearly the same as the minimum factor of safety against sliding of 1.27 calculated on p. 23 of the Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU, for the design basis case. Therefore, the use of the peak horizontal ground accelerations in determining the sliding forces of the pad and underlying cement-treated soil does not significantly underestimate the dynamic loads acting on the storage cask pad foundation, if it underestimates them at all.

Further, the minimum factor of safety against sliding applies to only a single point in time in the entire time history. At every other point in the time history, the factors of safety against sliding exceed this value. Plotting the factor of safety against sliding vs time based on the time history of forces from Holtec's SSI analysis without the virtual soil mass included, demonstrates that the average factor of safety against sliding is approximately 10 throughout the duration of the earthquake, greatly exceeding this minimum value, as shown in PFS Exh. WW.

**Q29.** By how much would you expect the seismic loadings would change if you used the natural frequency of the pads in the analyses?

**A29.** The time history of forces, described in my previous answer, which were developed by Holtec in their SSI analysis of the pad + casks, provides a more rigorous and correct determination of these dynamic forces than you would obtain from the use of the response spectrum at the appropriate damping value. The calculation of the factor of safety against sliding based on this time history of forces at the base of the pad + casks demonstrates that there is only a very slight reduction in the minimum factor of safety against sliding when these loads are used, from 1.27 to 1.25, compared to the use of inertial forces of the pad and cement-treated soil based on the peak horizontal ground accelerations.

**Q30.** Subsection D.1.c(i) of Unified Contention L/QQ asserts that the Applicant has failed to provide a realistic evaluation of the foundation pad motion with cement-treated soil under and around the pads in relation to motion of the casks sliding on the pads in that Applicant's evaluation ignores the effect of soil-cement around the pads and the unsymmetrical loading that the soil-cement would impart on the pads once the pads undergo sliding motion. State witnesses have asserted that one of the consequences of this deficiency is that the Newmark sliding block analysis for the storage casks did not

consider the potential for unsymmetrical sliding and underestimated the displacement of the storage pads. How do you respond?

**A30.** In considering this hypothetical scenario, it is important to understand that the pads have a greater resistance to sliding along their base than does the soil cement. As indicated on p. 39 of the Cask Storage Pad Stability Calc. Rev. 9, PFS Exh. UU, "the soil cement cannot even resist sliding of itself during the earthquake **if only the frictional portion of the strength is assumed to be available** along its base." Thus, if the pads slide, so will the soil cement – they will move in concert – and the pads will not be impacting the soil cement. In this situation, it is proper to ignore the presence of the soil cement in estimating displacements of the pads. It is unreasonable for the State's witness to assume that the soil cement will have more resistance to sliding than the pads.

The Newmark sliding block analysis is included in the pad stability calculation for the hypothetical case where it is assumed that the shear strength available to resist sliding at the interface between the cement-treated soil and the in situ clayey soils is based only on the frictional portion of the clay strength, completely ignoring the cohesive strength of the clay. For this obviously conservative scenario, the factor of safety against sliding was less than 1, indicating that the pads might be expected to slide due to the earthquake. An estimation of the amount of sliding that might occur was made based on the method proposed by Newmark<sup>1</sup> for estimating displacements of dams and embankments during earthquakes.

Newmark defines "N·W" as the steady force applied at the center of gravity of the sliding mass in the direction which the force can have its lowest value to just overcome the stabilizing forces and keep the mass moving. If the surface is horizontal, then it is just as easy for the block to slide to the left as it is to the right. In this case there is symmetrical resistance to sliding, and this is the case

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<sup>1</sup> Newmark, N. M., 1965, "Effects of Earthquakes on Dams and Embankments," *Fifth Rankine Lecture, Geotechnique*, Institution of Civil Engineers, London, 15(2), pp139-60.

that applies for the pads at the PFSF, because the site is essentially horizontal. For a block resting on an inclined plane, such as applies to a model of the slope of an embankment or a dam, the situation is different. It is much easier for the block on the slope to move downhill than it is to move uphill, because gravity helps in moving the block downhill. The force required to move the block uphill must overcome both the resistance to sliding of at the base of the block on the slope and gravity. In this case, the resistance to sliding is considered to be unsymmetrical, because it is more difficult to move the block back up the hill than to move it down the hill.

The soil cement at one side of the cask storage pad provides the same resistance to sliding as at the other; therefore, this clearly is a case of symmetrical sliding as defined by Newmark.

It is also worth remembering that this is not the design basis case for the pads. PFS's design basis for the pads relies on the shear strength available at the interfaces between the cask storage pad and the underlying cement-treated soil and between the cement-treated soil and the underlying clayey soils, and on the commitment to demonstrate by testing that this shear strength can be achieved and that it is achieved by construction. The design basis of the pads provides a conservatively calculated factor of safety against sliding that exceeds 1.1; therefore, the pads do not slide. Since the pads do not slide, the question is moot.

**Q31.** In paragraph D.1.g of Unified Contention L/QQ, the State asserts that PFS has failed to analyze for the potential of pad-to-pad interaction in its sliding analyses for pads spaced approximately five feet apart in the longitudinal direction. What is your understanding of the bases for the State's claim?

**A31.** My understanding is that the State is claiming that the stability analysis of the storage pads failed to consider potential of pad-to-pad interaction, but assumed all pads in a quadrant move together as an integrated foundation. The State believes this is an erroneous assumption.

**Q32.** Is it?

**A32.** No. PFS's design basis for the pads provides a factor of safety against sliding that exceeds 1.1; therefore, the pads do not slide, but rather, they will move with the underlying soil during the earthquake. The only possible interaction between the pads is dependent on the shear deformation of the pad above its base and the soil cement plug between the pads. However, the concrete pads and the soil cement plug between the pads are both very rigid with respect to the seismic shear loading. For example, the SHAKE analyses included in Calc. 05996.02-G(PO18)-2, Rev. 1 include soil cement at the top of the profile. The results for the lower-bound, fault-parallel case indicate that the effective shear strains in the clayey soil layer underlying the soil cement averaged 0.13%. This case produced the highest shear strains in this clay layer of all of the various soil property and earthquake component cases analyzed. However, even for this case, the effective shear strains in the soil cement were only 0.0034%, which is insignificant when considering movements required to effect pad-to-pad interactions. Therefore, shear distortions within the soil cement and concrete pads due to the upward propagation of seismic waves should be very small. It is, therefore, anticipated that the pad and soil cement plug between the pads will deflect in phase with the underlying soils, meaning that the interaction between the pads will be insignificant.

**IV. RESPONSE TO STATE CLAIMS IN SECTION D RELATING TO SEISMIC STABILITY ANALYSES OF CANISTER TRANSFER BUILDING AND CASKS**

**Q33.** In paragraph D.2.c of Unified Contention L/QQ, the State asserts that the Applicant's calculations are deficient because they ignore the out-of-phase motion of the CTB and the cement-treated soil cap, which potentially can lead to the development of cracking and separation of the cap around the building perimeter. How do you respond to the State's claim?

**A33.** The State claims that various mechanisms can lead to the formation of cracks in the soil cement that surrounds the building: shrinking and curing of the soil cement during the placement process, differential settlement between the building foundation and the surrounding soil cement, bending stresses in an earthquake, motion between the building foundation and the surrounding soil cement. I disagree that earthquake bending stresses will lead to the formation of new cracks,

or that differential settlement between the building foundation and the soil cement layer will lead to crack formation. At any rate, as I discussed before, these are all thin, vertical, random cracks that do not affect the ability of the soil cement to provide the passive resistance to sliding relied upon in the design.

**Q34.** Why will not new cracks be formed due to earthquake bending stresses?

**A34.** The effect of bending stresses on the soil cement surrounding the CTB mat will be to alternately open and close the tops and bottoms of any shrinkage cracks that may have occurred in the soil cement in the area, not to form new cracks.

**Q35.** And why will there be no new cracks due to differential settlement?

**A35.** Because, as the CTB foundation mat is loaded, the soils within the profile adjacent to the mat also will experience increases in stresses, as the loading gets distributed over a wider area deeper in the soil profile. This stress distribution results in settlement of the soil cement areas adjacent to the mat which will approximate those at the edge of the mat, so that there will not be an abrupt differential settlement noted at the joint between the edge of the mat and the soil cement. These settlements will gradually decrease with increasing distance from the edge of the mat. The resulting settlement profile will be dish-shaped, concave downward, extending some distance away from the edge of the mat, so no cracks will form due to differential settlement. The concave downward shape of the settlement profile will result in closing of the lower portion of the nearly vertical shrinkage cracks. This lower portion of the soil-cement profile provides a greater percentage of the resistance due to increased passive pressure at depth; therefore, this settlement is beneficial in improving the ability of the soil cement to provide passive resistance.

**Q36.** Why would there be no effect on the passive resistance of soil cement around the CTB if new cracks are formed or existing cracks reopen?

**A36.** Because the passive resistance of soil cement is not diminished by the presence of a crack. The effect of cracks opening as seismic waves pass through the soil-cement layer is, at most, to cause the building to displace a small distance to close

each crack, and then the full passive resistance of the soil cement to sliding is restored.

**Q37.** Does that mean that the CTB might actually slide some distance?

**A37.** Theoretically, the CTB might move a small distance – measured in fractions of an inch to inches – in order that the cracks in the soil cement be closed and full passive resistance be restored. Were that to happen, however, there would be no safety-related consequences, because there are no connections between the CTB and any other safety-related systems, structures, or components that would be adversely impacted by such horizontal movement.

**Q38.** Have concerns been expressed by the State regarding potential failure mechanisms for the CTB other than sliding?

**A38.** Yes. State witnesses have raised concerns about potential overturning of the CTB in a seismic event. However, my understanding is that the concerns refer to some of the assumptions made in the overturning calculations that are part of the stability analysis of the CTB, not with the calculation results, which show that there is a significant factor of safety in the CTB design against overturning ( $FS_{OT} = 1.95$ , p. 15 of CTB Stability Calc. Rev. 6, PFS Exh. VV). While I disagree with the concerns, I agree with the conclusions expressed by the State's witnesses that overturning of the CTB during a design basis earthquake is not a realistic concern.

**Q39.** Is bearing capacity failure of the CTB a concern?

**A39.** No. To my knowledge, neither the State nor any of its witnesses has raised bearing capacity as a failure mechanism of concern for the CTB. This is not surprising, since our calculations show that for all cases analyzed, the factor of safety against bearing capacity failure of the CTB is 5.5 (Load Case II, SAR Table 2.6-10 and p. 48 of CTB Stability Calc. Rev. 6, PFS Exh. VV) or greater. Thus, bearing capacity failure of the CTB is not a credible scenario.

**Q40.** Would you please summarize the results of the stability analyses of the CTB under design basis earthquake loadings?

**A40.** The analyses that we performed of the sliding stability, bearing capacity, and overturning stability of the CTB show that adequate factors of safety are available for those foundations in the event of a design basis earthquake. These factors of safety, which incorporate a number of conservative assumptions, assure that the CTB will not be subject to failure under the loads imparted by the design basis earthquake. Moreover, the results of the base cases plus the demonstrated conservatisms built into the stability analyses (as demonstrated just by increasing the shear strength of the soils to more realistic values) make it safe to predict that the CTB will not experience failure under the loadings from an earthquake significantly more severe than the design basis earthquake.

**Q41.** Does that conclude your testimony?

**A41.** Yes, it does.