	ATION/MC ICATION OF C	ONTRACT	1 CO TID CODE PAGE OF PAGES 5002.083
MENDMENT/MODIFICATION NO	3 EFFECTIVE DATE	4 REQUISITION/PURCHASE REQ	L
171	5/16/2002	NMS-97-009 5/2/02	
SSUED BY	CODE	7. ADMINISTERED BY (If other th	an Item 6)
U.S. Nuclear Regulatory Commiss Div of Contracts and Property M Two White Flint North - MS T-7-	1gmt	Div of Contracts	ulatory Commission and Property Mgmt North - MS T-7-I-2
Washington, DC 20555		Washington, DC 20	0555
			ALCUMENT OF SOLICITATION AS
IAME AND ADDRESS OF CONTRACTOR (No., street,	.county, State and ZIP Code)		(X) 9A. AMENDMENT OF SOLICITATION NO
Southwest Research Institute			9B DATED (SEE ITEM 11)
6220 Culebra Road			
San Antonio, TX 78228-0510 Attn: W. Patrick, President, CNWI	RA		10A. MODIFICATION OF CONTRACT/ORDER NO
210-522-5158, TIN 74-1070544	•••		NRC-02-97-009
DDE	FACILITY CODE		10B DATED (SEE ITEM 13) X 10-15-1987
	IIS ITEM ONLY APPLIES TO	AMENDMENTS OF SO	
The above numbered solicitation is amend			
fer submitted; or (c) By separate letter or te NOWLEDGMENT TO BE RECEIVED AT TI ESULT IN REJECTION OF YOUR OFFER.	elegram which includes a reference to HE PLACE DESIGNATED FOR THE . If by virtue of this amendment you o	the solicitation and amendmone RECEIPT OF OFFERS PRIO desire to change an offer alrea	R TO THE HOUR AND DATE SPECIFIED MAY add submitted, such change may be made
y telegram or letter, provided each telegram and date specified.	or letter makes reference to the solic	citation and this amendment,	and is received prior to the opening hour
ACCOUNTING AND APPROPRIATION DATA (If require	B&R 25015301129 BOC 2	52A Approp. 31X0200 0	JC: 01035
13. THIS IT	Deobligate: \$20,000 EM APPLIES ONLY TO MOD	DIFICATIONS OF CON	TRACTS/ORDERS,
IT MOI	DIFIES THE CONTRACT/OR	DER NO. AS DESCRIB	ED IN ITEM 14.
() A THIS CHANGE ORDER IS ISSUED PURSUANT TO 	O (Specify authority) THE CHANGES SET FOR	RTH IN ITEM 14 ARE MADE IN THE CO	NTRACT ORDER NO. IN ITEM 10A
B. THE ABOVE NUMBERED CONTRACT/ORDER IS SET FORTH IN ITEM 14. PURSUANT TO THE AUTH	MODIFIED TO REFLECT THE ADMINISTRATIVE	·	NTRACT ORDER NO. IN ITEM 10A asying office appropriation date, etc.)
B. THE ABOVE NUMBERED CONTRACT/ORDER IS SET FORTH IN ITEM 14. PURSUANT TO THE AUTH	MODIFIED TO REFLECT THE ADMINISTRATIVE IORITY OF FAR 43 103(b)	·	
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The purpose of this modification is to deobligate \$20,000 from Job Code D1035, High Level Waste Repository Program, and to change the Program Element Manager for the Container Life and Source Term (CLST) portion of the CNWRA Operations Plan from Tae Ahn to Tamara Bloomer. Accordingly, the following changes are made:

- 1. Section B.2.C.b and d are revised to show a deobligation of \$20,000 in High Level Waste Repository Program as shown on the attached change pages 5, 6 and 6a.
- 2. Section G.3.1.C is revised to change the Program Element Manager for CLST from Tae Ahn to Tamara Bloomer as shown on the attached change page 28.

All other terms and conditions of this contract, including the ceiling amount for the second renewal period of \$87,611,477.00, remain the same.

A summary of new obligations for the second renewal period of this contract is given below:

Total FY97 obligation amount \$445,000 (see Spent Fuel (CIS) below)

Total FY98 obligation amount \$10,792,270 (Note that this reflects the \$19,000 which was obligated on Mod. 123, but not reflected in this summary)

Total FY99 obligation amount \$11,862,000.

Total FY99 deobligation amount \$183,756.57.

Total FY00 obligation amount \$12,971,071.

Total FY00 deobligation amount of \$100,000.

Total FY01 obligation amount of \$13,415,000.

Total FY02 obligation amount of \$14,201,000.

Total FY02 deobligation amount of \$20,000.

Cumulative Total of NRC Obligations for JC 1035 (HLW) \$63,382,584.43.

Description of Changes Incorporated by Modification No. 171 to NRC-02-97-009

Page 3

((**...**, ..., ..., ..., ...))

Total FY97 obligation amount \$200,000 Total FY98 obligation amount \$250,000

Total FY00 obligation amount \$100,000 Total FY01 obligation amount \$331

Cumulative Total of NRC Obligations for CIS \$550,331.

4 (Tank Waste Remediation)

Total FY98 obligation amount \$250,000

Total FY99 obligation amount \$285,000

Total FY00 obligation amount \$840,000

Total FY01 deobligation amount \$65,000

Cumulative Total of NRC Obligations for JC J5164 \$1,310,000.

(Spent Fuel Dry Transfer)

Total FY97 obligation amount \$25,000.

Total FY98 obligation amount \$200,000.

Total FY98 deobligation amount \$33,000

Total FY99 obligation amount \$283,600

Total FY00 obligation amount \$135,000

Total FY01 deobligation amount \$45,000

Cumulative Total of NRC Obligations for JC J5206 \$565,600.

Aluminum-Based Spent Fuels)
Total FY98 obligation amount \$125,000.

Cumulative Total of NRC Obligations for JC J5210 \$125,000

(TMI-2 Fuel Debris)

Total FY98 obligation amount \$208,000.

Total FY98 deobligation amount \$8,000.

Total FY99 obligation amount \$100,000

Total FY99 deobligation amount \$15,898.

Total FY01 obligation amount \$878.

Cumulative Total of NRC Obligations for JC J5186 \$284,980.

Description of Changes Incorporated by Modification No. 171 to NRC-02-97-009

Page 4

Total FY98 obligation amount \$158,000 Total FY99 obligation amount \$332,630

Total FY00 obligation amount \$443,920

Total FY01 obligation amount \$715,000

Total FY02 obligation amount \$600,000

Cumulative Total of NRC Obligations for JC J5226 \$2,249,550.

(SKB Peer Review) Total FY00 obligation amount \$9,987

Cumulative Total of NRC Obligations for JC J5324 \$9,987.

(Naval Spent Fuel)
Total FY00 obligation amount \$161,000
Total FY01 deobligation amount \$26,000

Cumulative Total of NRC Obligations for JC J5327 \$135,000.

(Diablo Canyon)
Total FY01 obligation amount \$15,000
Total FY02 obligation amount \$257,138.69

Cumulative Total of NRC Obligations for JC J5390 \$257,138.69.

(WSS)

Total FY02 deobligation amount \$3,028.61

Cumulative Total of NRC Deobligations (for second renewal period) \$3,028.61.

0 (INEEL ISFSI-2) Total FY02 obligation amount \$200,000

Cumulative Total of NRC Obligations for JC J5410 \$200,000

This modification deobligates funds in the amount of \$20,000 in Job Code D1035, High Level Waste Repository.

NRC-02-009 Modification No. 171 Page 5

e. Total amount authorized by Operations/Project Plans was as follows:

High-Level Waste

Amount: \$ 44,662,918

Licensina Support System

Amount: \$35,900

Research

Amount: \$ 15,584,461.47

Waste Solidification Systems

Amount: \$642,391.87

Amount: \$39,900

Transportation

Amount: \$0

Monitored Retrievable Storage

Amount: \$20,459.70

Tank Waste Remediation

Amount: \$772,035.00

Safety Review of TMI-2

Amount: \$158,290

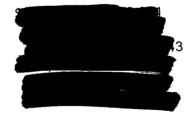
Total amount authorized: \$61,916,356.04

f. The total award fee available; the award fee earned thus far and the evaluation period applicable thereto were as follows:

Evaluation Period

- 11 9/27/92-4/9/93
- 12 4/10/93-9/24/93 13 9/25/93-9/30/94
- 14 10/1/94-9/29/95
- 15 9/30/95-9/27/96
- 16 9/28/96-9/26/97

Available Award Fee Award Fee Earned



Current Data

- C. <u>September 27, 1997 September 27, 2002</u>
- The total estimated ceiling amount of this Cost-Plus-Award-Fee type contract for a. the above mentioned period is \$87,611,477.00.
- The amount presently obligated by the Government with respect to this contract for b. this period is \$ 69,085,171.12. In addition, carryover funds in the amount of \$3,299,571.15 (note that \$3,028.61 was deobligated in FY02 from JC J5190) are available for use in this renewal period as indicated below. The award fee pool will be as stated in the AFDP. The plan will reflect the actual award fee pool based on cumulative estimated costs for performance of approved Operations/Project Plans. The applicable base fee percentage is also described in the AFDP.

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- c. Evaluation of the award fee earned will be accomplished in accordance with the then current AFDP (Attachment 11). Neither the determination as to the amount of fee available during a given period, the amount of award fee earned, nor the determination of the criteria under which the subject award fee will be made, shall be subject to FAR Subpart 52.233-1, entitled, "Disputes".
- d. Total funds currently obligated by Job Code (JC) are as follows:

High-Level Waste

New Funds: \$ 63,686,341

Deob.: \$303,756.57

Carryover: \$1,989,455.71 Total: \$65,372,040.14

Tank Waste Remediation

New Funds: \$1,375,000 Deobligation: \$65,000 Carryover: \$1,246,284.95

Total: \$2,556,284.95

Spent Fuel (TMI-2 Fuel Debris)

New Funds: \$308,878

Deob.: \$23,898 Carryover: \$28,746.69

Total: \$313,726.69

Aluminum-Based Spent Fuels

New Funds: \$125,000

Total: \$125,000

Spent Fuel (PFS)*

New Funds: \$2,249,550

Total: \$2,249,550

SKB Peer Review

New Funds: \$9,987

Total: \$9,987

Diablo Canyon

New Funds: \$272,138.69

Total: \$272,138.69

Waste Solidification Systems 93

New Funds:

Carryover: \$2,763.35

Total: \$2,763.35

Waste Solidification Systems

New Funds: \$0

Deobligation: \$3,028.61 Carryover: \$35,349.05

Total: \$32,320.44

Spent Fuel (Dry Transfer)

New Funds: \$643,600

Deobligate: \$78,000 Carryover: \$0

Total: \$565,600

Spent Fuel (CIS)

New Funds: \$450,000

Total: \$450,000

Spent Fuel (CIS)

New Funds: \$100,331

Total: \$100,331

Naval Spent Fuel

New Funds: \$161,000

Deob: \$26,000

Total: \$135,000

INEEL ISESI-2

New Funds: \$200,000

Total: \$200,000

NF 02-97-009 Modification No. 171 Page 6a

Total New Funds Obligated for 2nd Renewal Period: \$69,581,825.69
Funds Deobligated during 2nd Renewal Period: 496,654.57
(Note that \$3,028.61 was deobligated from carryover funds rather than new funds.)
Net Obligated: \$69,085,171.12

^{*}PFS is subject to the license fee recovery provisions located elsewhere in this contract. The TAC number for this project is L22462.

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C. The NRC PEMs, POs, and areas of work for which they are designated are as follows:

COPS/KTI Subtasks	Program Element Manager	Project Officer
Management, Planning and Computer Suppor (Subtask 158)		None
Quality Assurance (Subtask 159)	Deborah DeMarco	Ted Carter
Igneous Activity (Subtasks 461, 462)	John Trapp	None
Structural Deformation Seismicity (Subtasks 471,472)	& Philip Justus	None
Evolution of Near-Field Environment (Subtasks 561, 562)	David J. Brooks	None
Container Life & Source Term (Subtasks 571, 572)	e Tamara Bloomer	None
Thermal Effects on Flor (Subtask 661)	w Jeffrey Pohle	None
Repository Design & Thermal- mechanical Effects Mysore Nataraja (Subtask 671)		None
TSPA & Technical Inte Consolidated Documer (Subtasks 761, 762, 76	Larry L. Campbell (External QA)	
Revision to EPA and N Regulations (Subtask 771)	IRC Timothy McCartin	None
Isothermal Flow (Subtasks 861, 862, 86	Neil Coleman 64)	None
Radionuclide Transpor (Subtask 871)	rt John Bradbury	None