

NRC/PG&E Open Meeting, San Francisco CA  
Diablo Canyon Independent Spent Fuel Storage Installation

# Properties of Subsurface Materials

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# Purpose

- Characterize subsurface materials for ISFSI, CTF and Transport Route for
  - ◆ Foundation properties
  - ◆ Slope stability assessments

# Subsurface Materials Assessed

## ■ Rock

- ◆ Dolomite
- ◆ Sandstone
- ◆ Friable rock

## ■ Clay Beds

# Subsurface Materials Properties - Rock

- Density
- Shear Wave Velocities
- Young's Moduli and Poisson's Ratios
- Shear Strength

## Rock Properties - Density

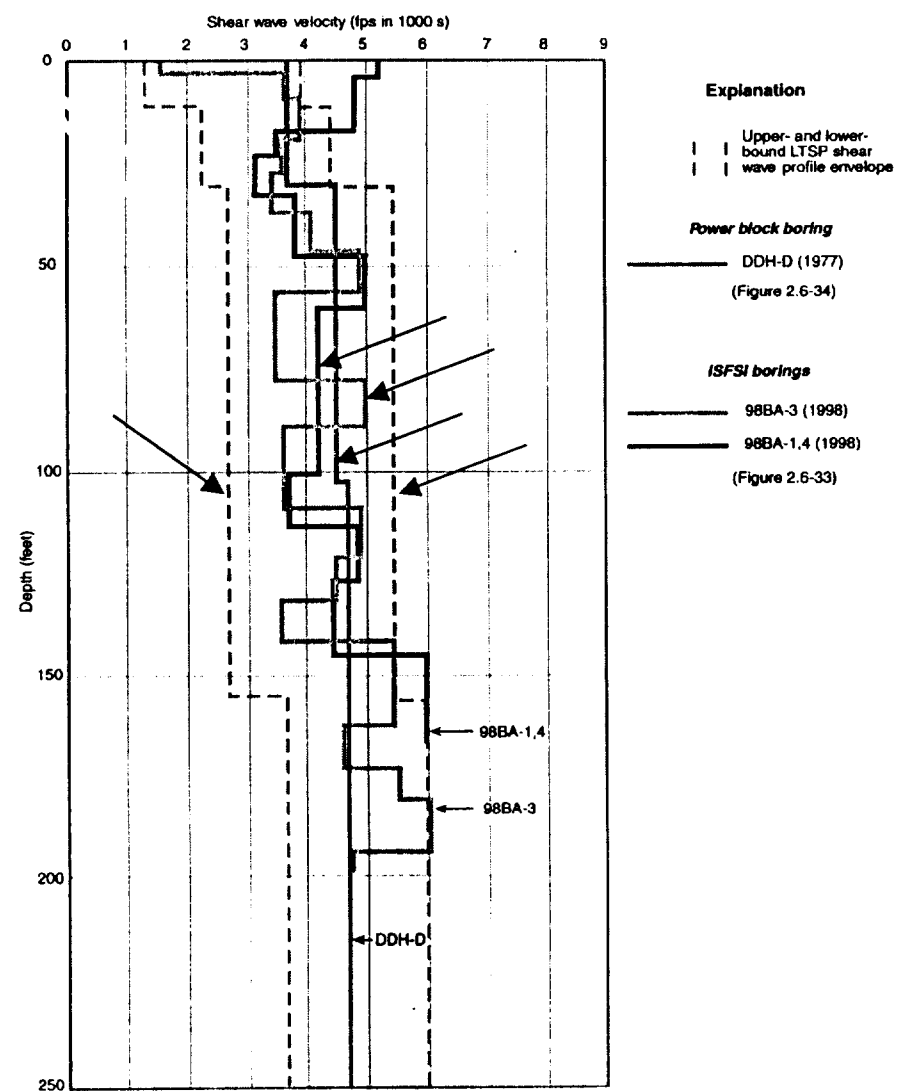
- Determined from lab tests of rock core samples of dolomite and sandstone
- 140 pcf  $\pm$  8 pcf for all rock

From SAR Section 2.6.4.3.1; Data Report I, Table 1

# Rock Properties - Shear Wave Velocities

- Obtained from suspension logging of borings at ISFSI site
- Compared with velocities obtained in previous investigations at power block

From SAR Section 2.6.5.1.3.2 Figs. 2.6-33, 34, and 35; SAR 2.6.1.10; Data Report C



Rock shear wave velocities

From SAR Figure 2.6-35

# Young's Moduli and Poisson's Ratios

- From suspension velocities for rock mass
- Compared with lab tests of rock core samples of dolomite and sandstone

From SAR Section 2.6.4.3.1; Data Report I



# Results - Rock Properties

## ■ Young's moduli

- ◆  $1.3 \times 10^6$  to  $1.5 \times 10^6$  psi, non-friable rock
- ◆  $0.20 \times 10^6$  to  $0.21 \times 10^6$  psi, friable rock

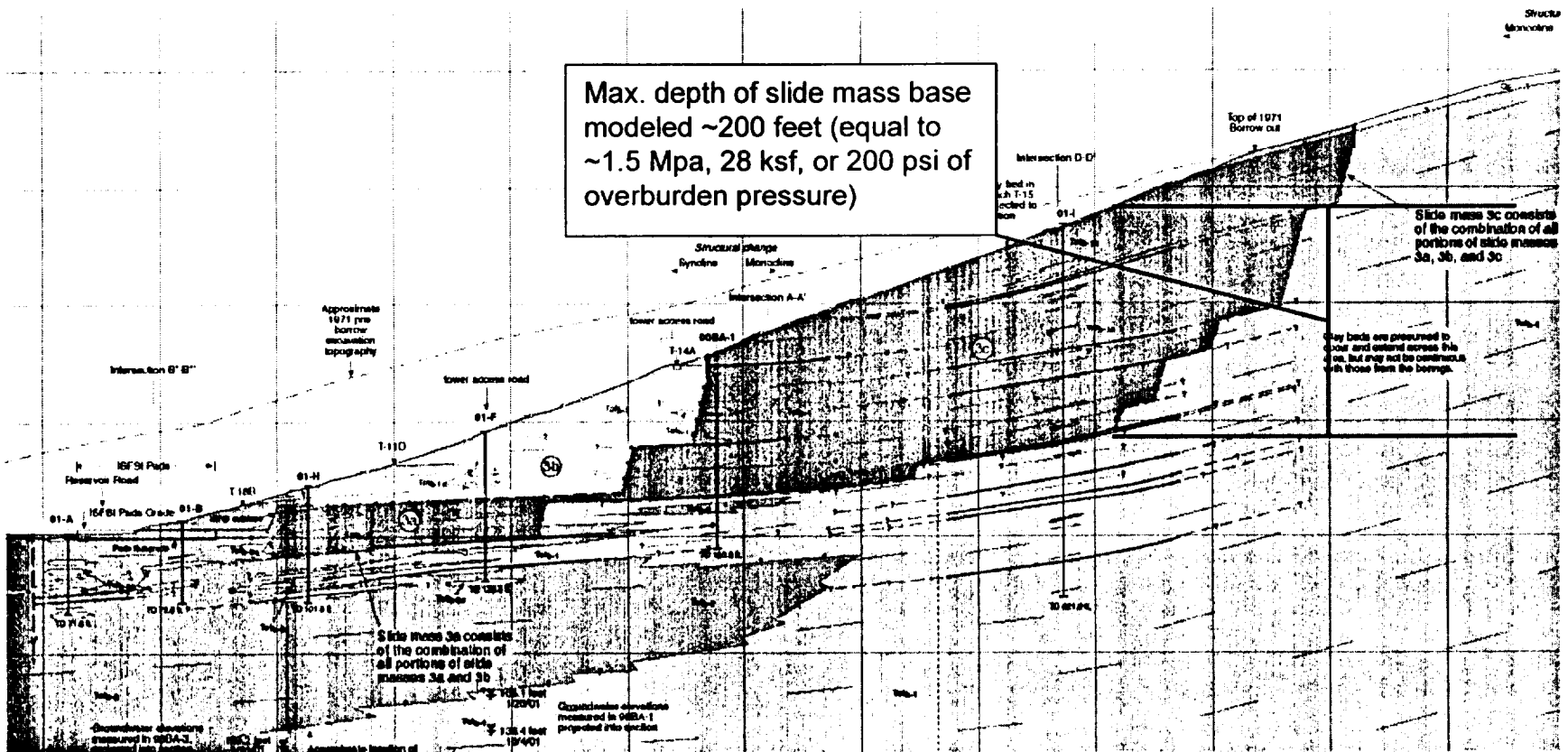
## ■ Poisson's ratios

- ◆ 0.22 to 0.37, non-friable rock
- ◆ 0.23 to 0.31, friable rock

From SAR Section 2.6.4.3.1; Data Report I

# Rock Strength Parameters depend on:

- Type of rock
  - ◆ Dolomite, sandstone
  - ◆ Friable rock
- Scale of rock mass analyzed
  - ◆ Large scale
    - ◆ Rock slide mass
  - ◆ Small scale
    - ◆ Rock wedges



# Large-scale Slide Mass

- Strength controlled by rock mass
  - ◆ Discontinuities (joints, bedding planes, faults)
  - ◆ Size of intact blocks
  
- Strength of rock mass based on Hoek-Brown method

From SAR Section 2.6.5.1.2.3

## NRC Request for Clarification:

- *“Discuss the technical basis (data and analysis) to justify the rock-mass friction angle of 50 degrees used to characterize the rock-mass strength of dolomite and sandstone.”*

# Hoek-Brown Input Parameters for Sandstone and Dolomite

- Geologic Strength Index (GSI) values as a function of discontinuity condition and spacing
- Material index ( $m_i$ ) values as a function of rock type and texture
- Unconfined compressive strength ( $\sigma_{ci}$ ) of intact rock samples

# Distribution of Hoek-Brown Input Parameter Values

| Dolomite        | mean plus<br>one sigma | mean | mean minus<br>one sigma |
|-----------------|------------------------|------|-------------------------|
| GSI             | 65                     | 56   | 46                      |
| m <sub>i</sub>  | 17                     | 15   | 13                      |
| σ <sub>ci</sub> | 47                     | 32   | 18                      |

| Sandstone       | mean plus<br>one sigma | mean | mean minus<br>one sigma |
|-----------------|------------------------|------|-------------------------|
| GSI             | 68                     | 65   | 62                      |
| M <sub>i</sub>  | 19                     | 18   | 17                      |
| σ <sub>ci</sub> | 31                     | 22   | 12                      |

From Calculation GEO.DCPP.01.19

# Example Hoek Brown strength envelope

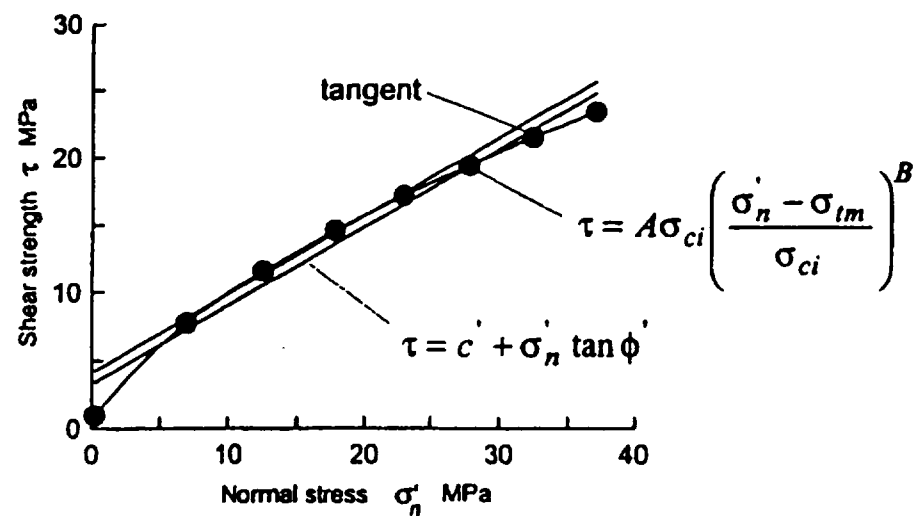
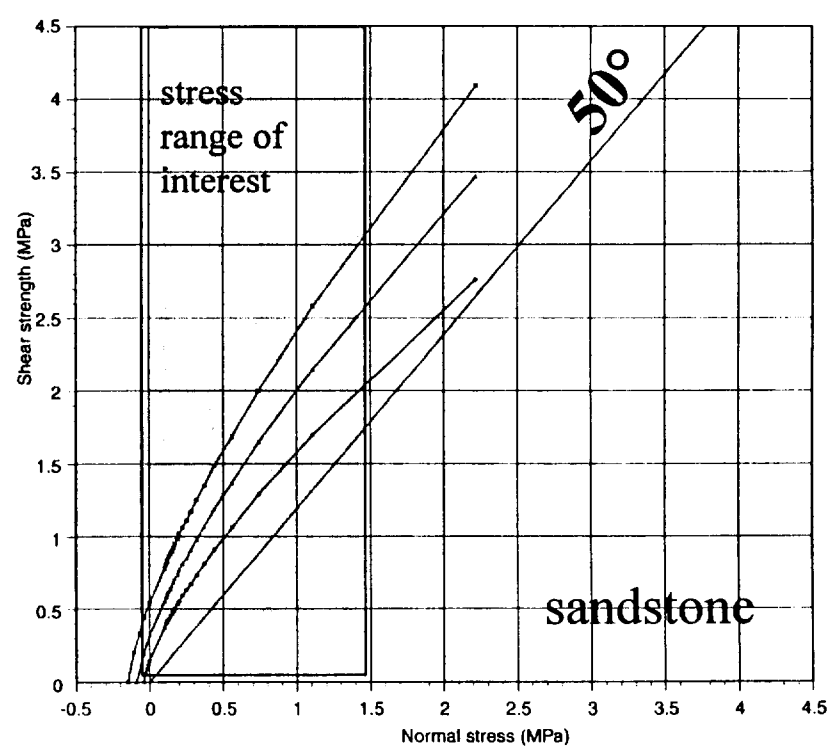
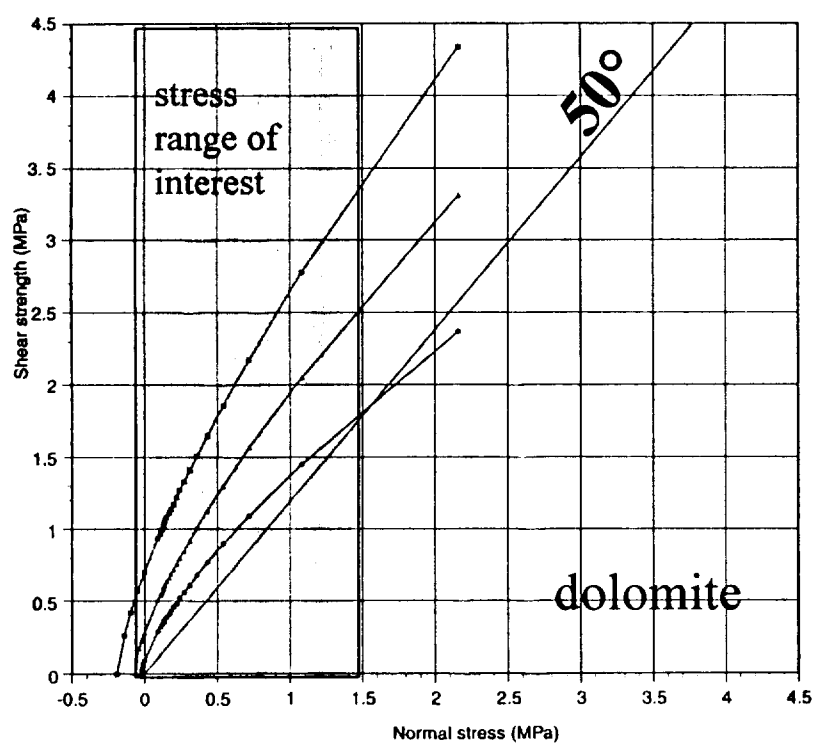


Figure 11.8: Plot of results from simulated full scale triaxial tests on a rock mass defined by a uniaxial compressive strength  $\sigma_{ci} = 85$  MPa, a Hoek -Brown constant  $m_i = 10$  and a Geological Strength Index  $GSI = 45$ .



# Rock Mass Strength envelopes (Hoek-Brown)

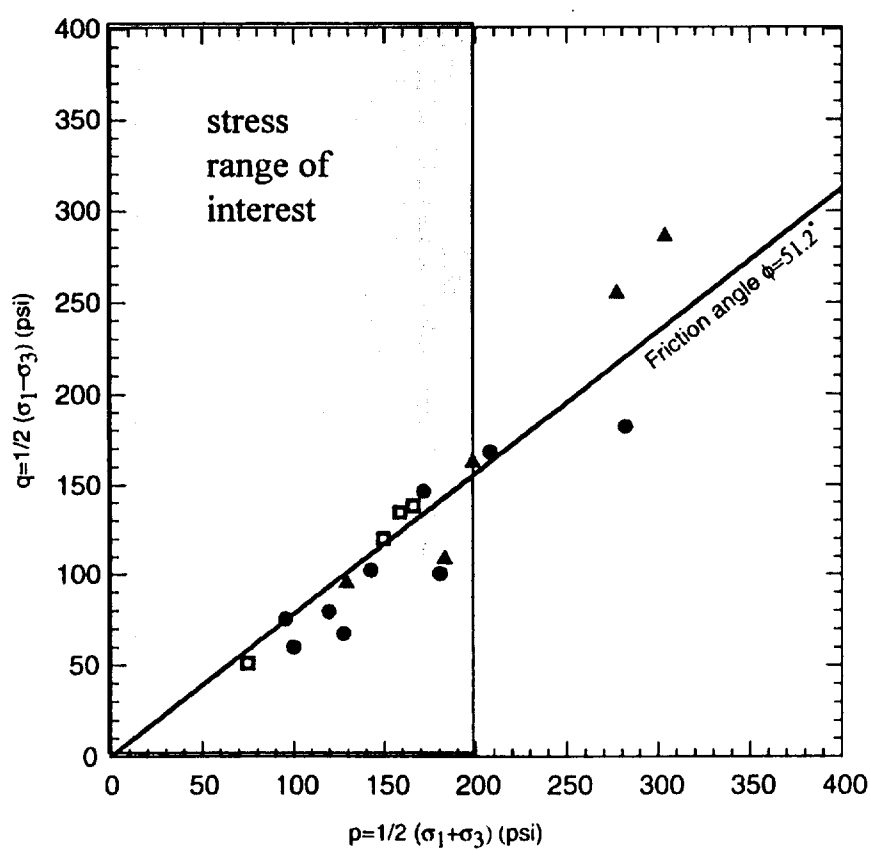


From SAR Figures 2.6-53 and 2.6-54; Calculation GEO.DCPP.01.19

# Properties of Friable Sandstone and Dolomite

- Strength not scale dependent since relatively homogeneous (discontinuities have weathered to consistency of rock fabric)
- Samples tested in the lab measured total and effective stresses

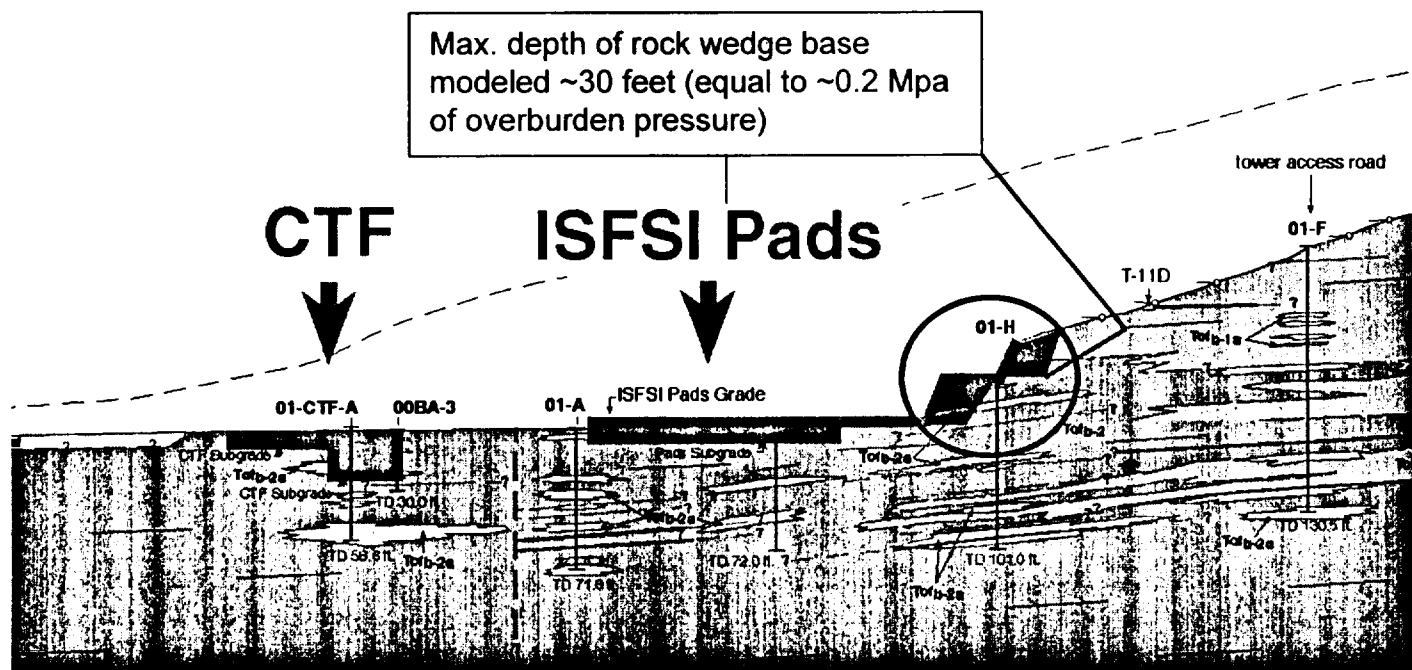
# Friable Rock Total Stress Strength envelope



From SAR Figure 2.6-55

# Small-Scale Rock Wedge

- Strength controlled entirely by discontinuities
- Strength of discontinuities based on Barton-Choubey method



Potential rock wedges

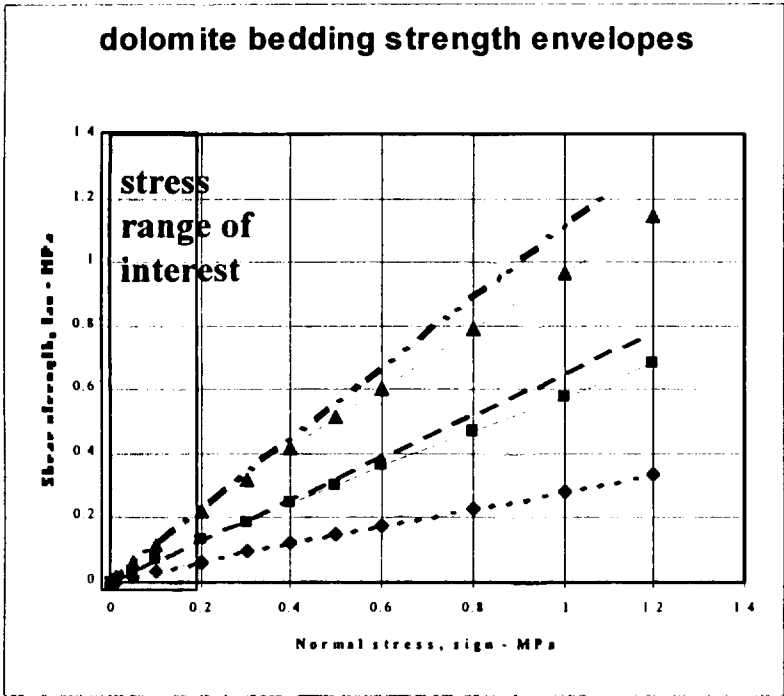
From SAR Figure 2.6-47

# Barton-Choubey Input Parameters for Sandstone and Dolomite

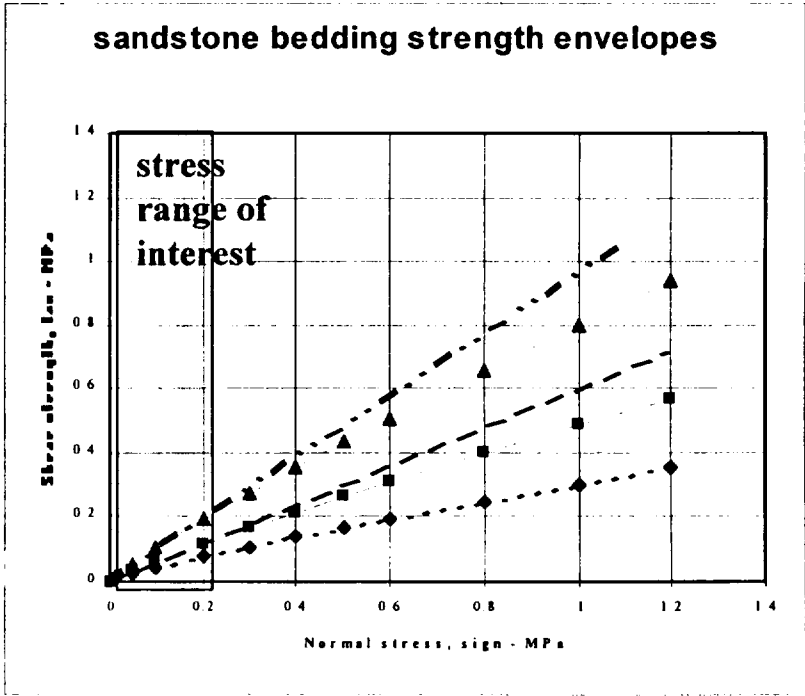
- Base friction angle ( $\phi_b$ ) based on lab tests of shear strength of discontinuities
- Joint compressive strength (JCS) based on lab tests of unconfined compressive strength
- Joint roughness coefficient (JRC) values based on field measurements of joints in trenches

From SAR Section 2.6.5.1.2.3)

Straight line fits:  
 $\phi = 18, 33, \text{ \& } 48^\circ$



Straight line fits:  
 $\phi = 21, 31, \text{ \& } 44^\circ$



(shaded zone equals stress range of interest)

From Calculation GEO.DCPP.01.20

# Clay Bed Properties

- Density
- Atterberg limits
- Over consolidation ratio
- Shear strength



# Density of Clay

- From lab tests of clay samples
- $120 \text{ pcf} \pm 5 \text{ pcf}$

From SAR Section 2.6.4.3.1; Data Report G, Table G-1

# Atterberg Limits

- From lab tests of clay samples
- Representative values of Plasticity Index (PI) are between 20 to 40

From Data Report G, Table G-1

# Overconsolidation Ratio (OCR)

- Estimated at several points along three clay beds from knowledge of previous ground surface
- Representative values
  - ◆ 2 to 5

From GEO.DCPP.01.31

# Clay Strength

- Strength of clay beds from lab tests on samples from tower road cut
- Lab results correlated with published PI and OCR relationships
- Post peak and/or large strain strengths used

## NRC request for clarification:

- “*Discuss the saturated undrained shear strength of the clay-bed soil.*”

# Unconsolidated Undrained Shear Strength

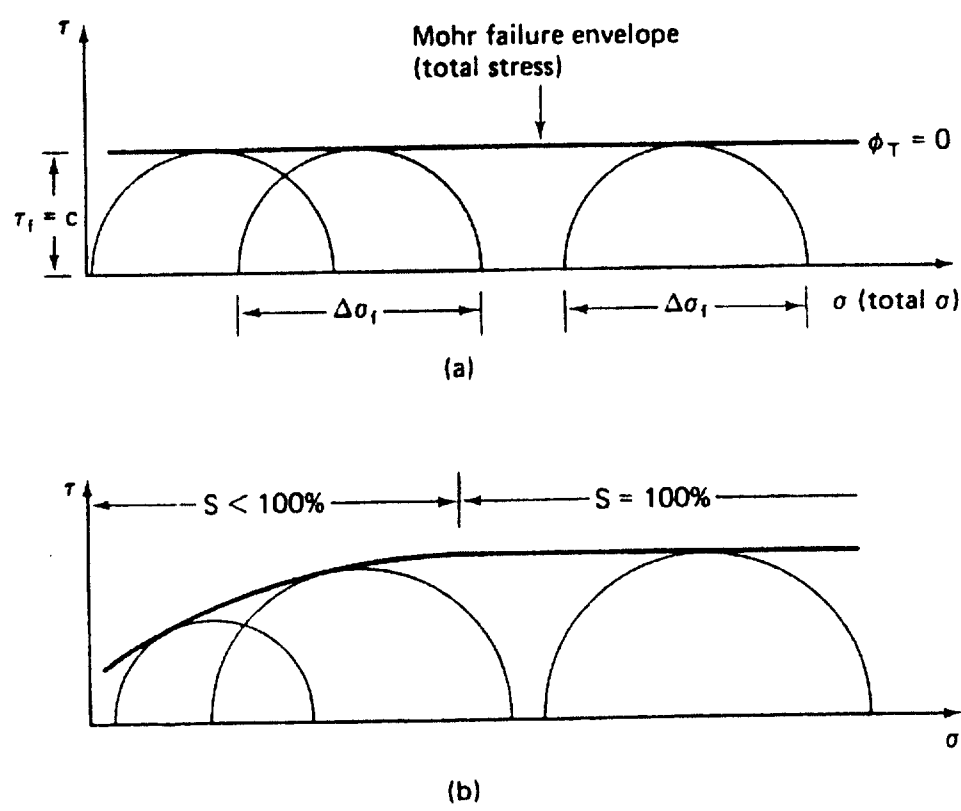
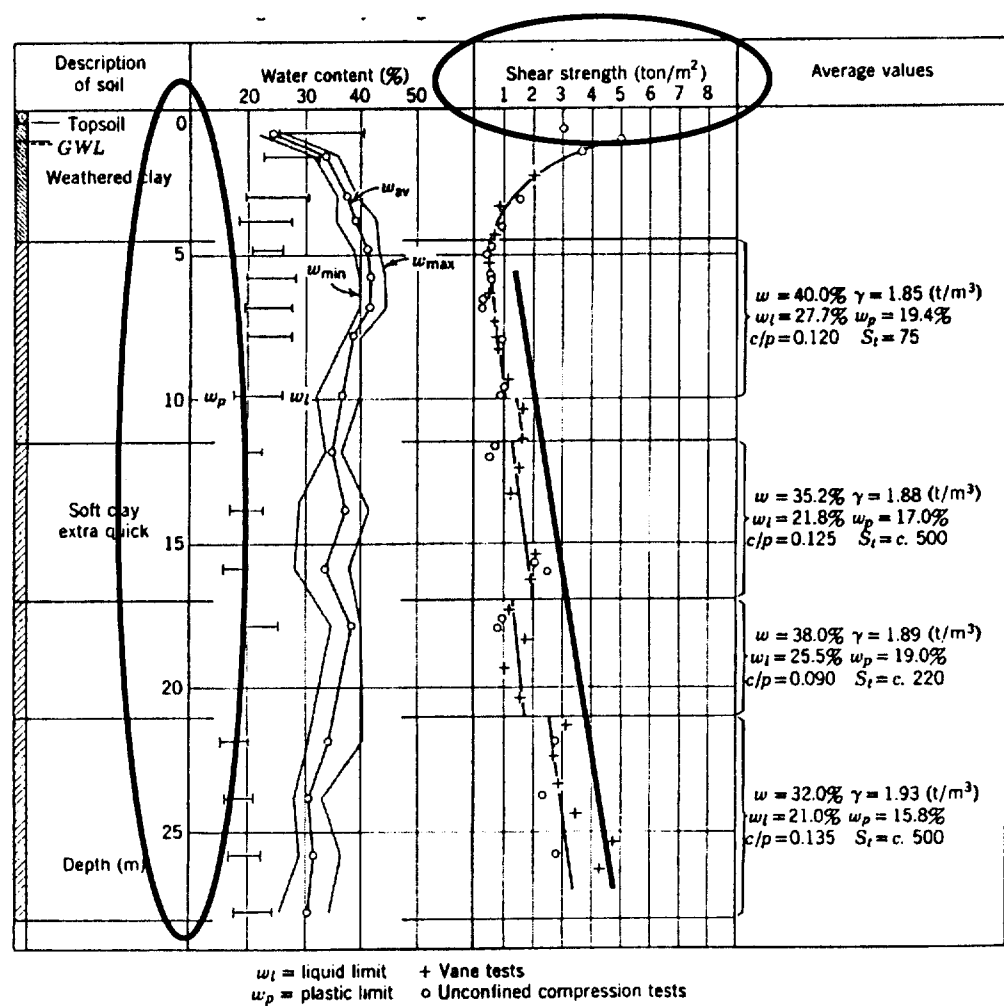


Fig. 11.40 Mohr failure envelopes for UU tests: (a) 100% saturated clay; (b) partially saturated clay.

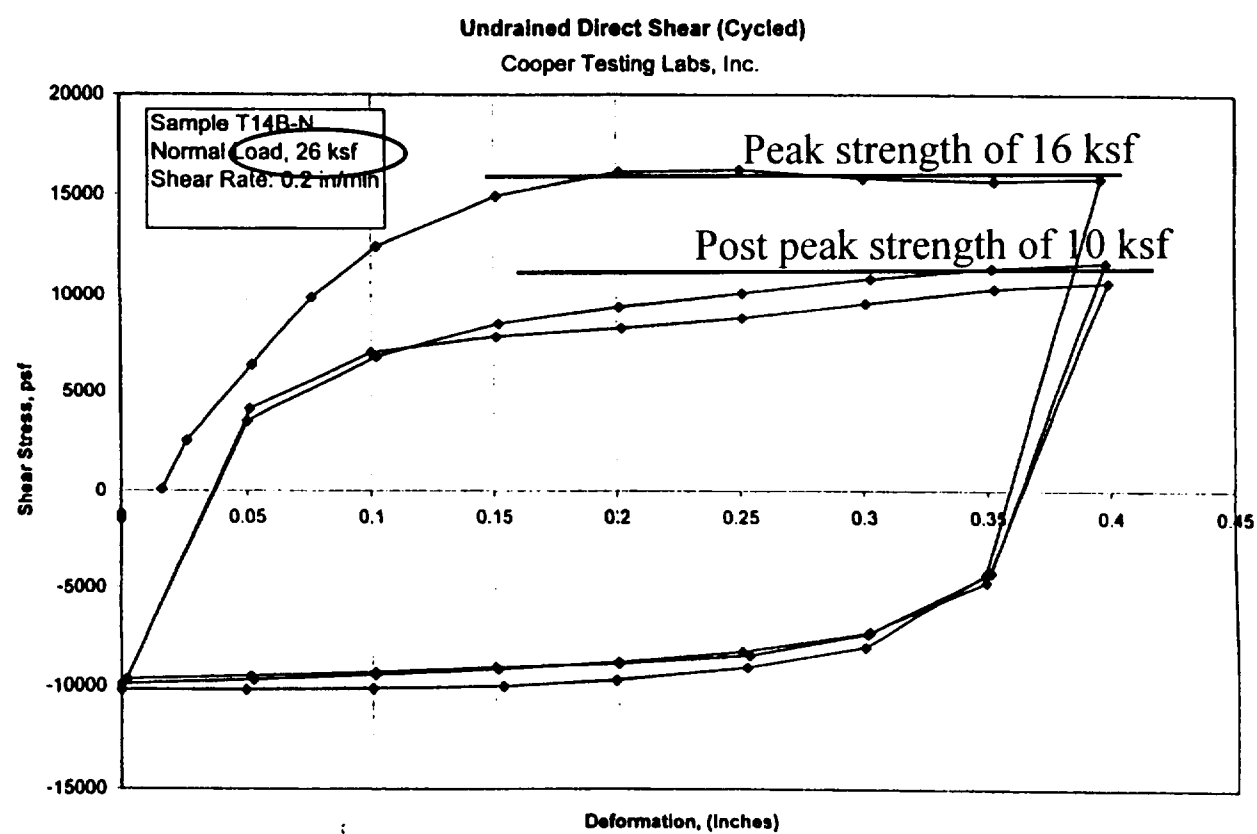
From Holtz and Kovacs, 1981

# Consolidated Undrained Shear Strength



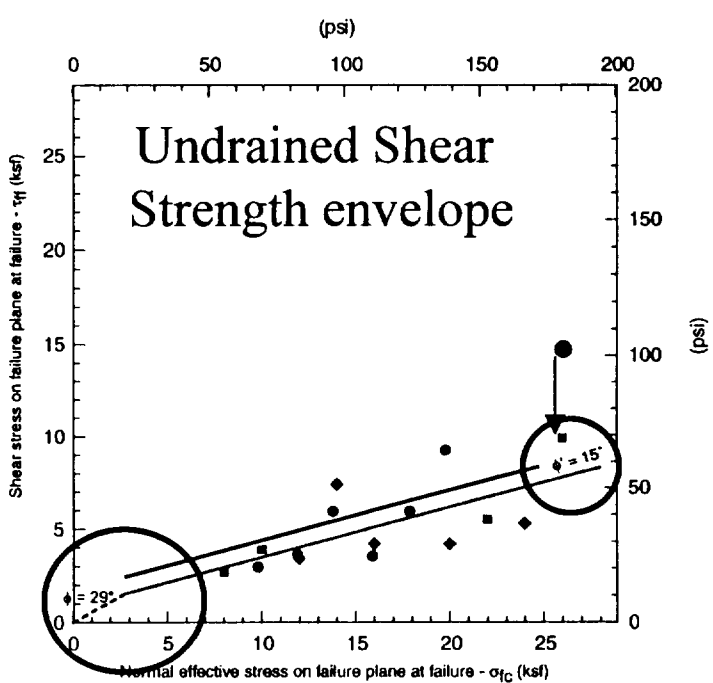
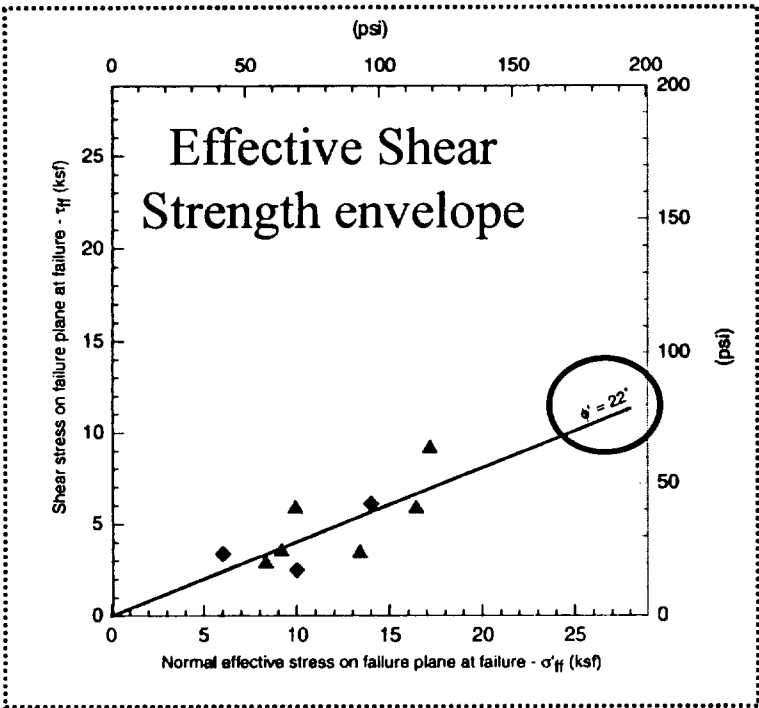
From: Lambe and Whitman, 1969

# Laboratory Strength Test Data





# Shear Strengths of Clay Bed



From SAR Figure 2.6-50 and 51; Calculation GEO.DCIPP.01.31

# Summary of Rock Properties

- Density:  $140 \text{ pcf} \pm 8 \text{ pcf}$  for all rock
- Shear Wave Velocities:  $> 4000 \text{ fps}$
- Young's Moduli: related to shear wave velocities
- Poisson's ratio: related to shear wave velocities
- Shear Strength:
  - ◆  $\phi = 50$  degrees for slide masses
  - ◆  $\phi = 18$  to  $31$  degrees for rock wedges

# Summary of Clay Bed Properties

- Density: 120 pcf  $\pm$  5 pcf average
- Atterberg Limits: PI of 20 to 40
- Over Consolidation Ratio: OCR of 2 to 5
- Shear Strength:
  - ◆ Effective shear strength:  $\phi = 22$  degrees
  - ◆ Undrained shear strength:
    - ◆  $\phi = 15$  degrees and  $c = 500$  psf, or
    - ◆  $\phi = 29$  degrees

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# Slope Stability Analysis

Joseph Sun  
Geotechnical Engineer  
PG&E Geosciences Department

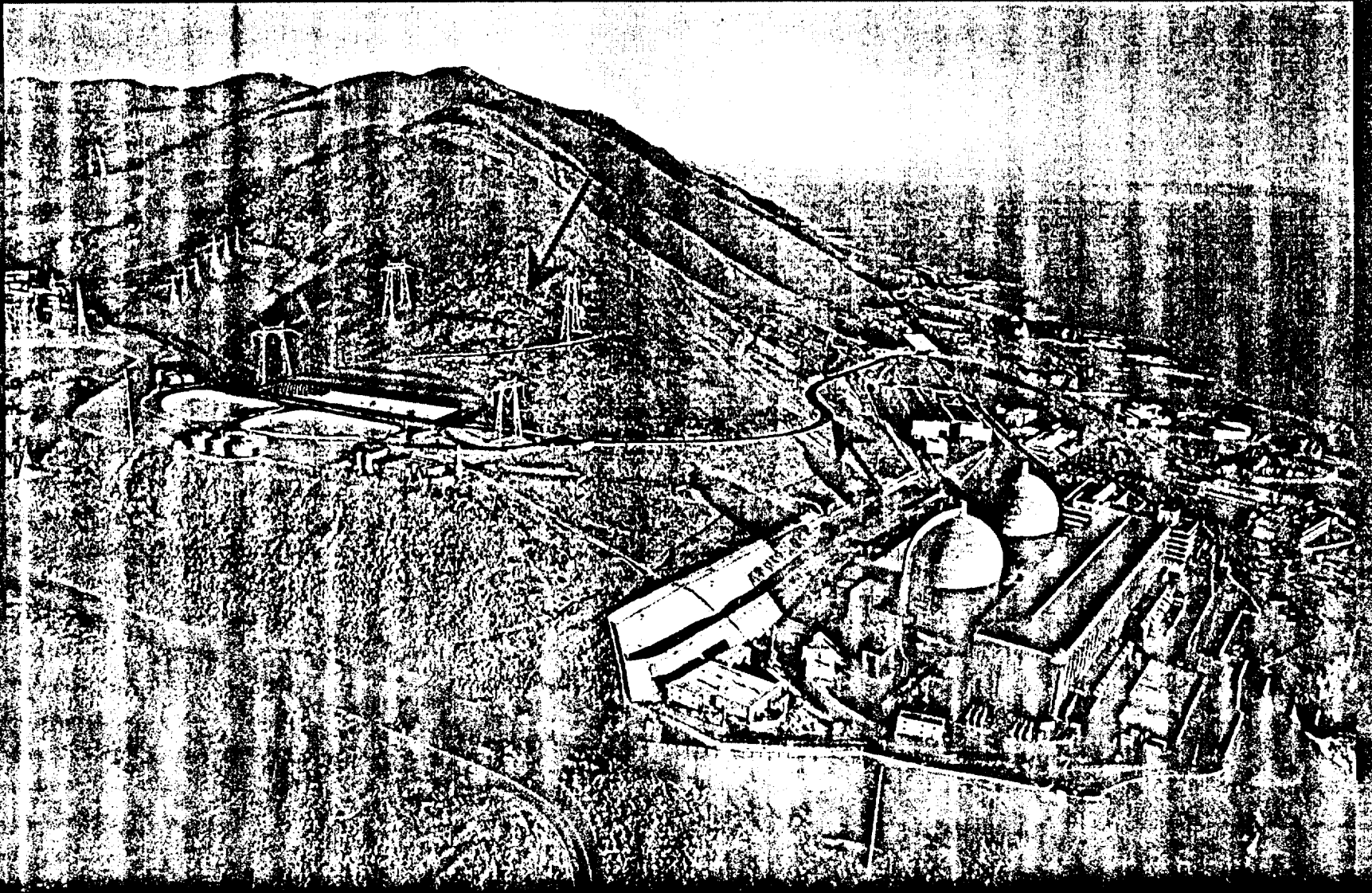


April 11, 2002

# Presentation of Slope Stability

- Hillslope above ISFSI Pads      Joseph Sun
- Transport route and CTF      Robert White
- Cutslopes      Jeff Bachhuber

# Slope Stability Study Areas



# Approach

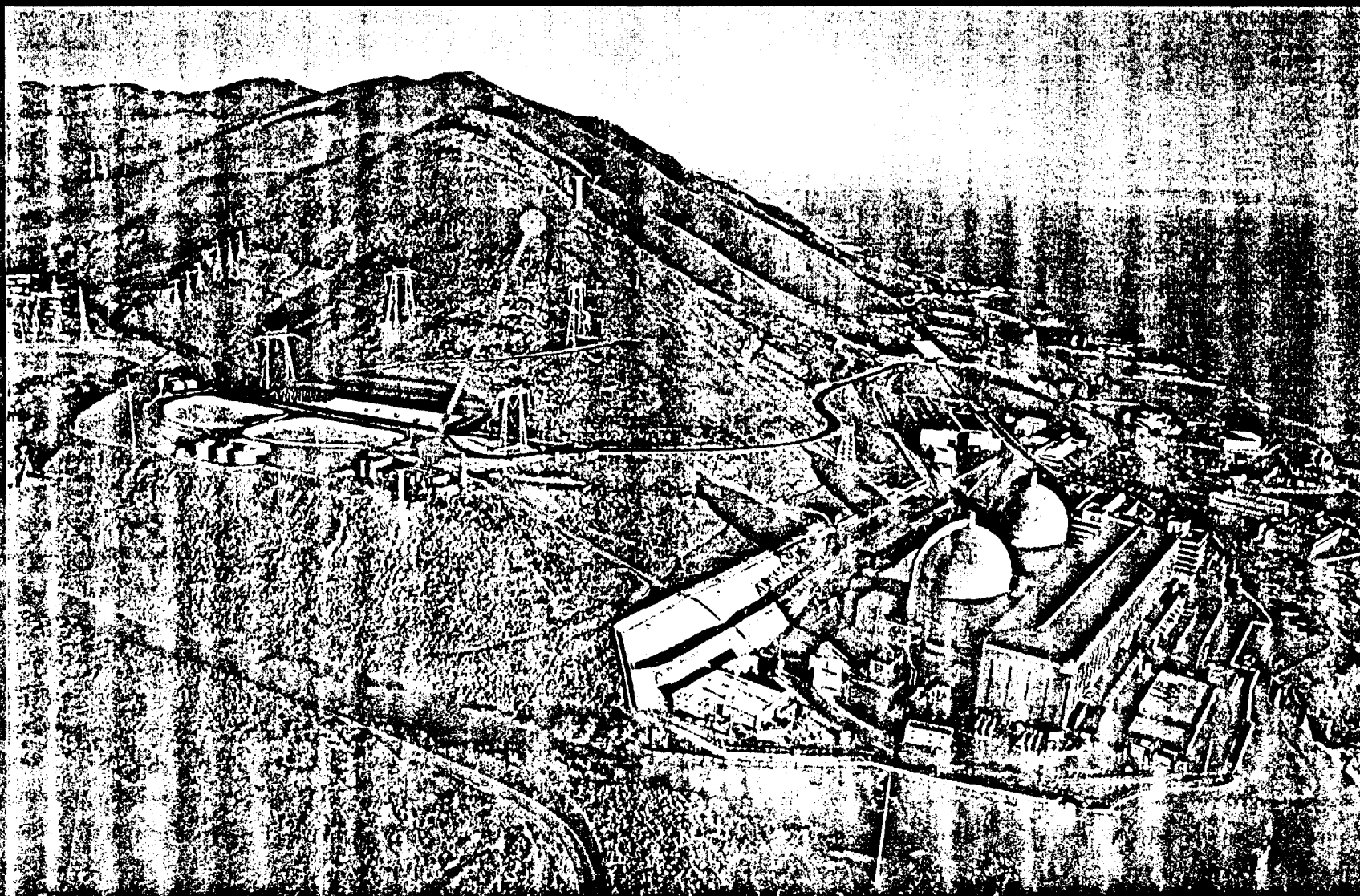
- Select cross section for analyses
- Develop material properties
- Perform slope stability analyses
- Perform dynamic response analyses
- Estimate potential seismic induced displacements of rock masses on clay beds

# Approach

- Select cross section for analyses
- Develop material properties
- Perform slope stability analyses
- Perform dynamic response analyses
  - ◆ Address NRC request for clarification on vertical ground motions
- Estimate potential seismic induced displacements of rock masses on clay beds

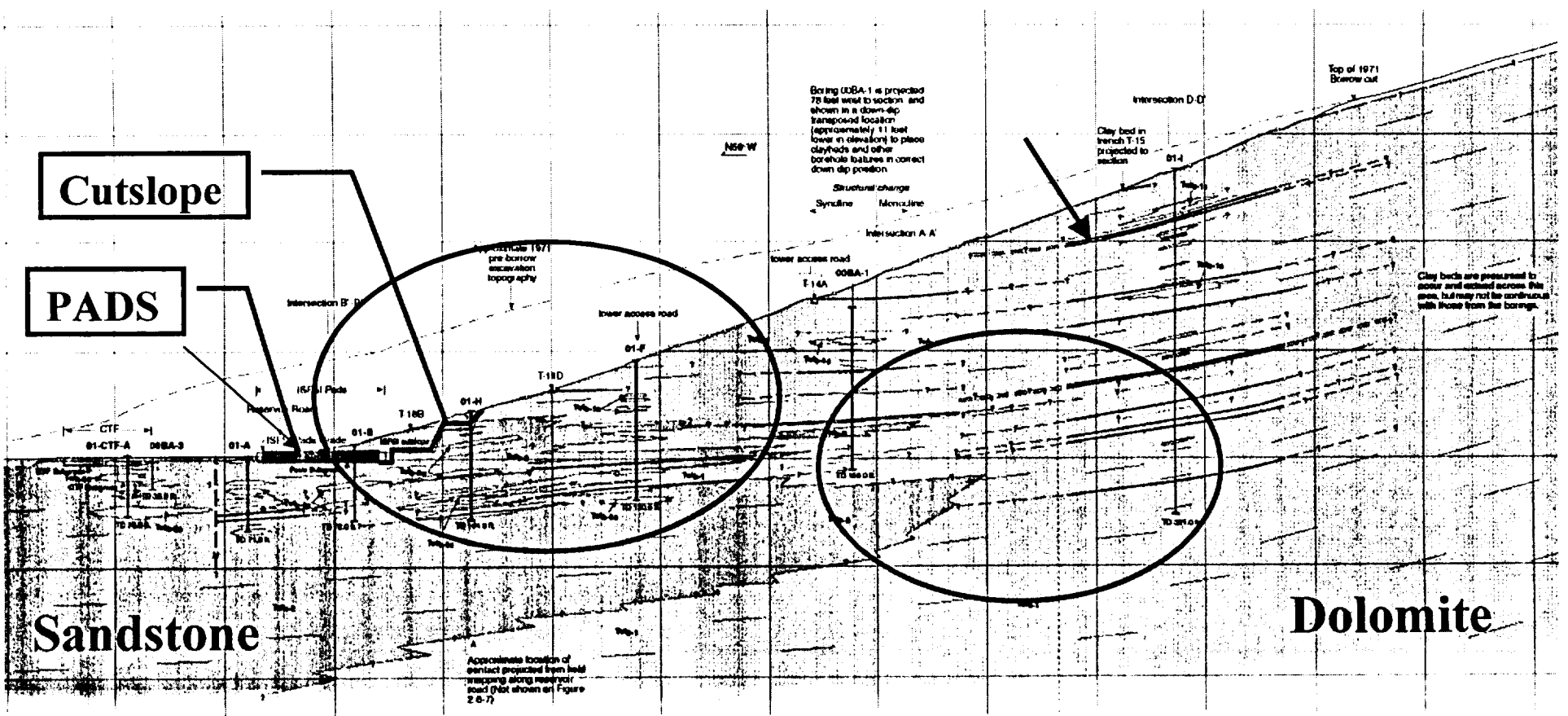


# Analysis Cross Section



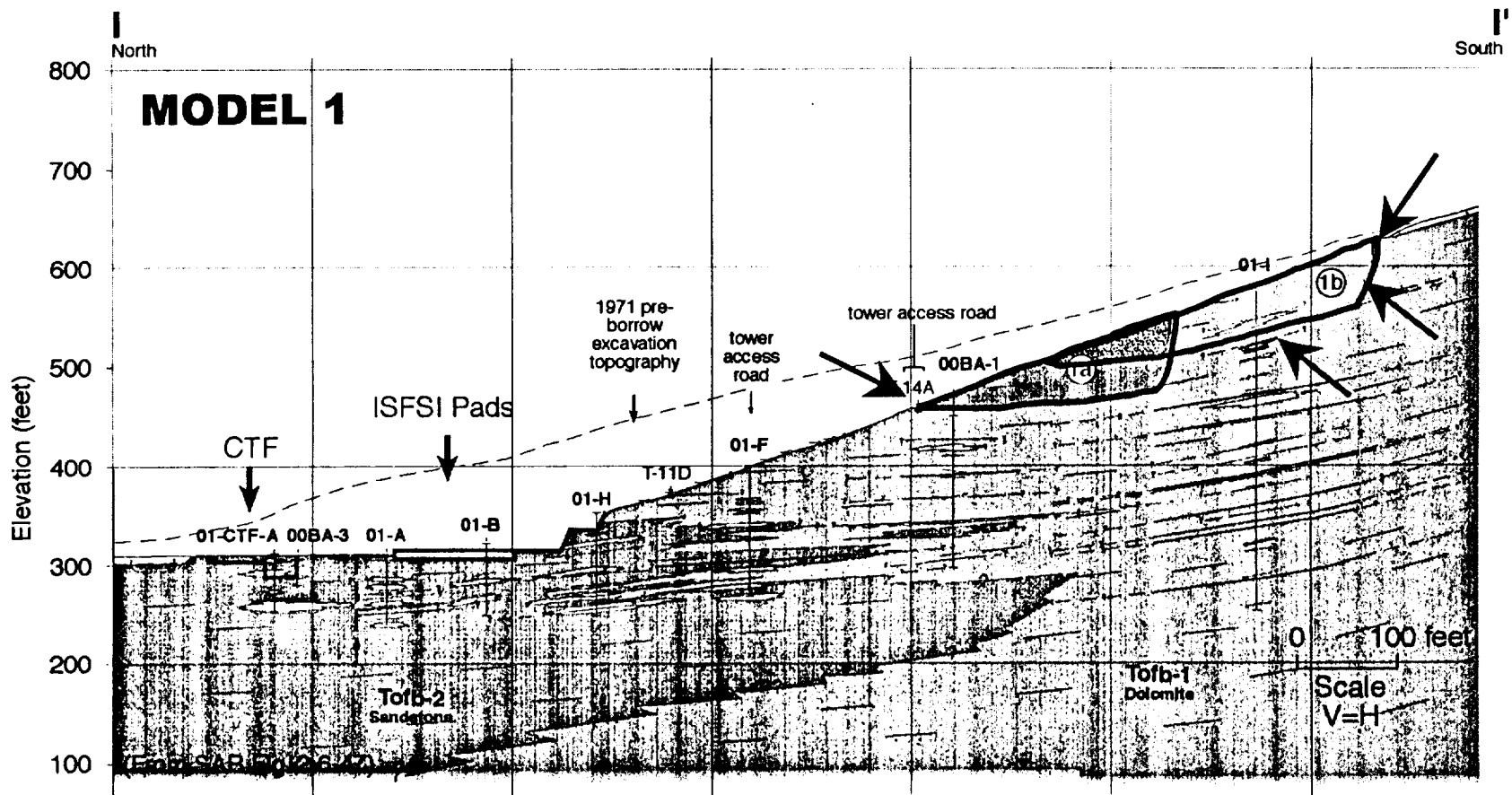


# Analysis Cross Section I-I'



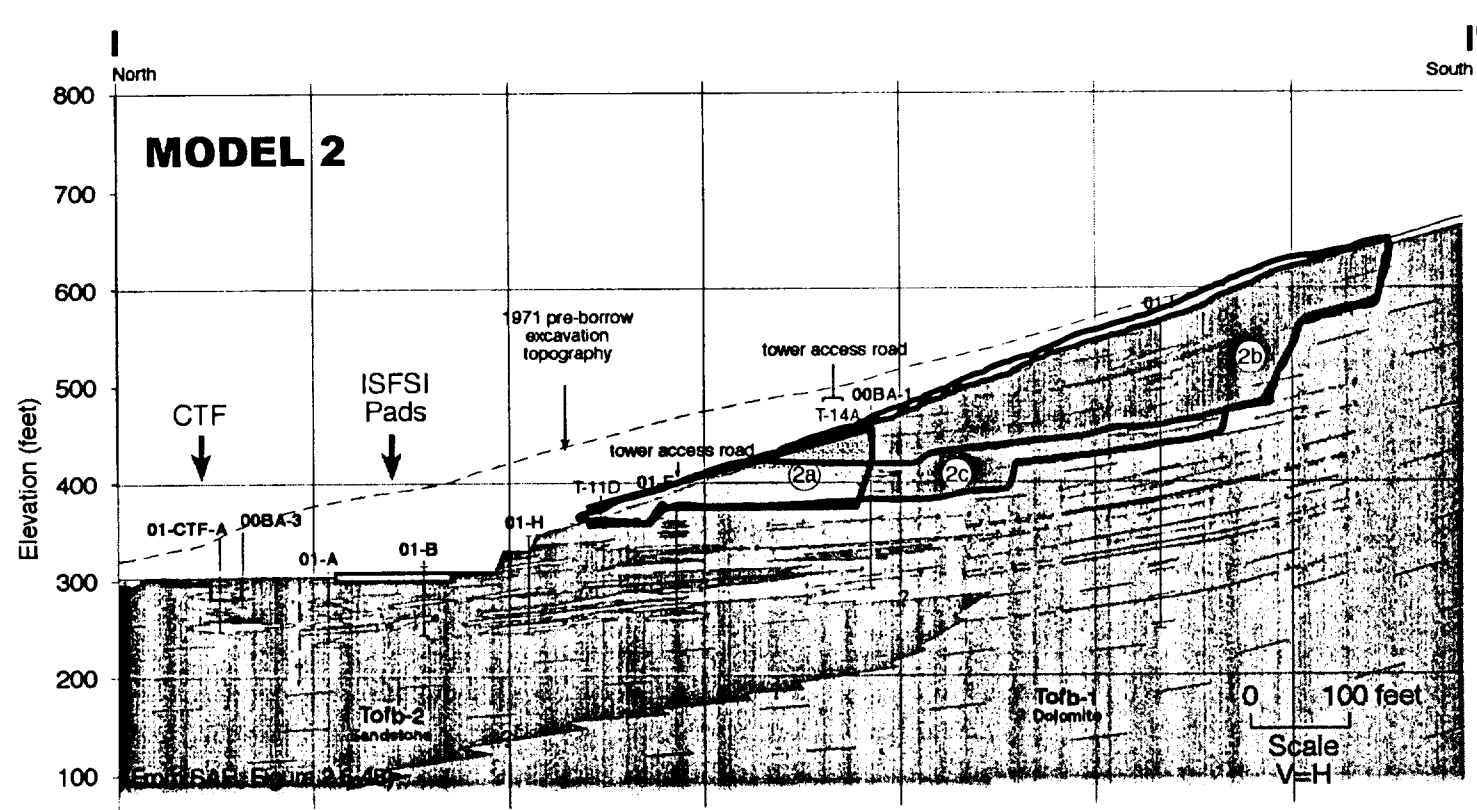
From SAR Figure 2.6-18

## Potential Large-scale Rock Mass Model –Upper Slope



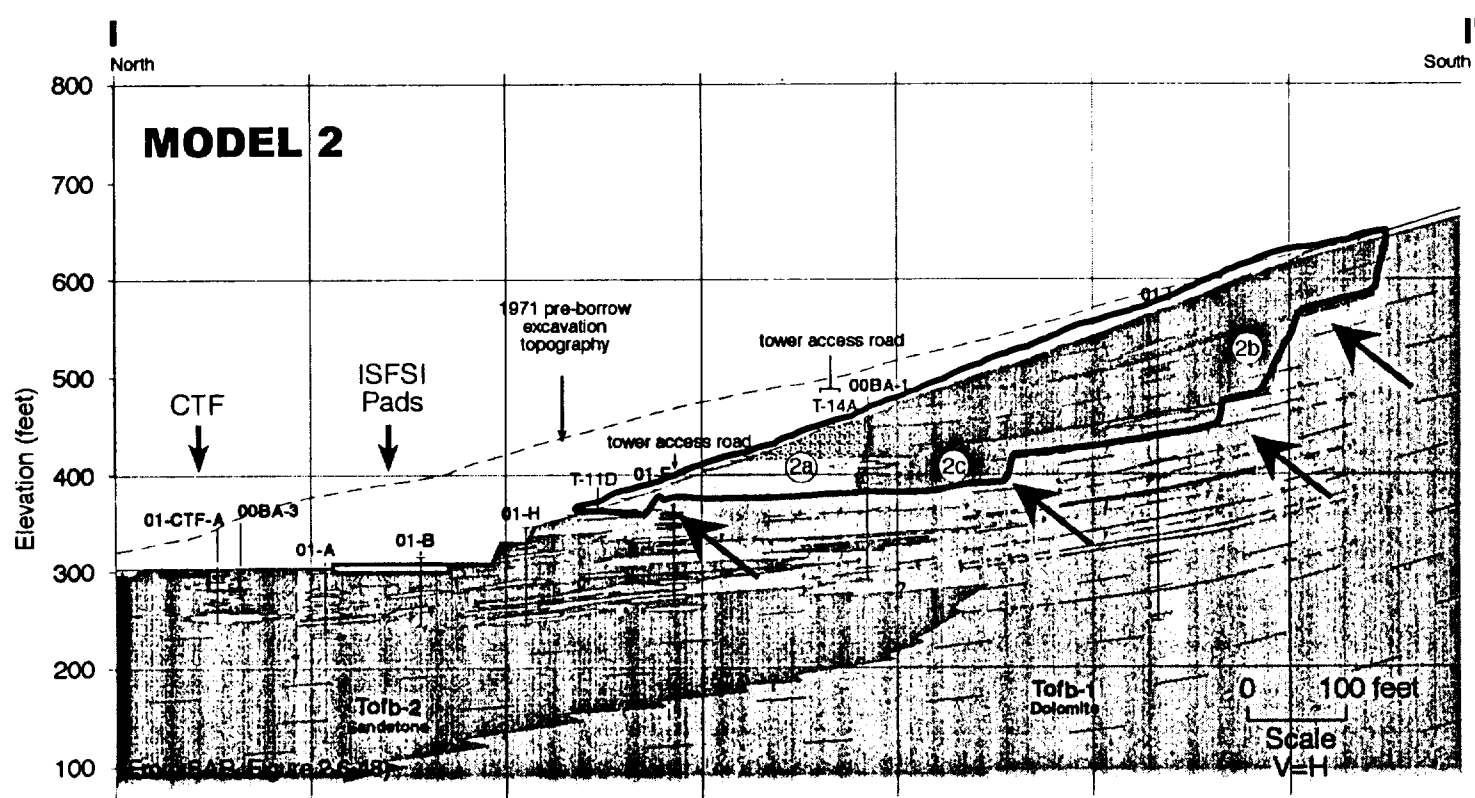
From SAR Figure 2.6-47

# Potential Large-scale Rock Mass Model –Intermediate Slope



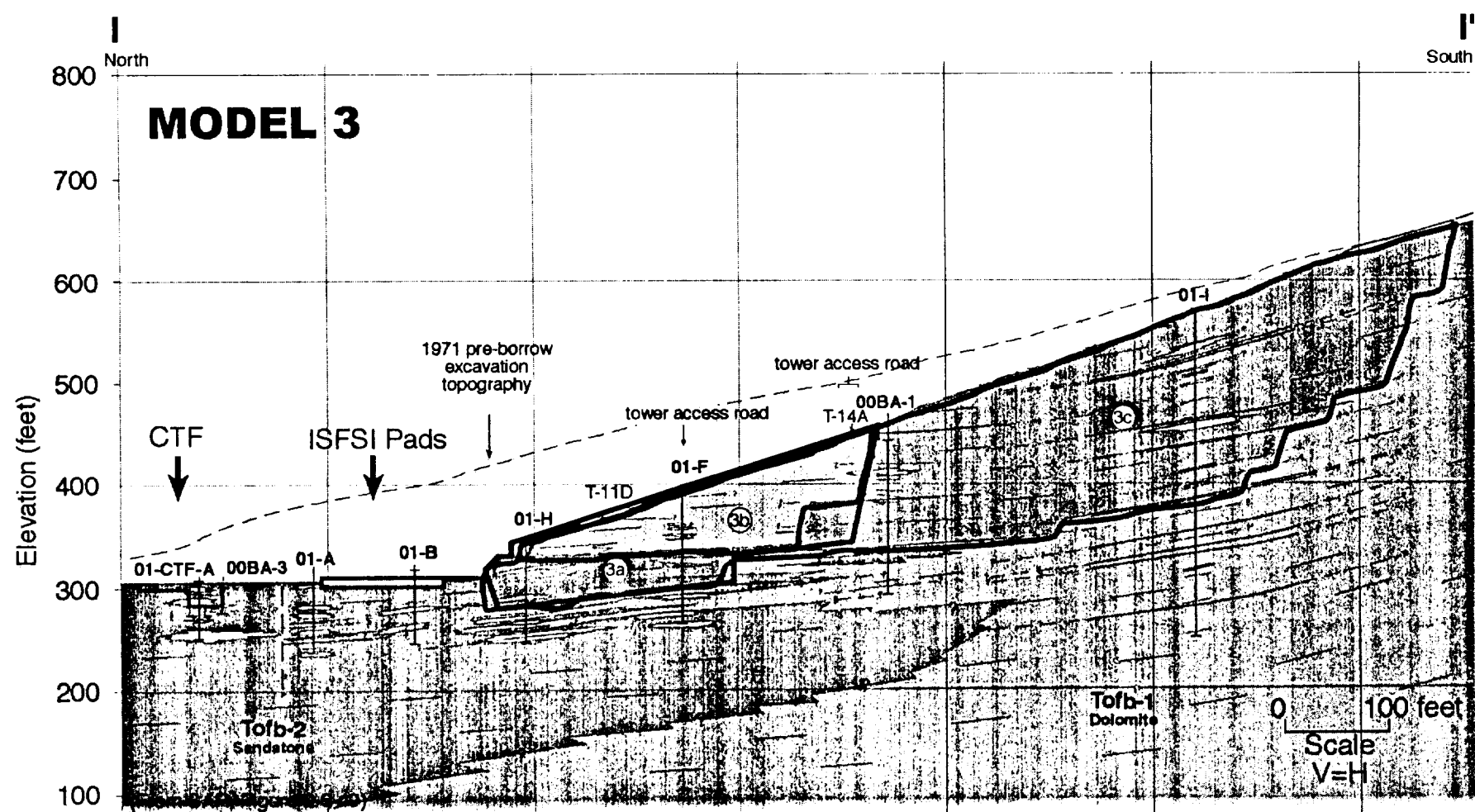
From SAR Figure 2.6-48

# Potential Large-scale Rock Mass Model –Intermediate Slope



From SAR Figure 2.6-48

# Potential Large-scale Rock Mass Model –Lower Slope



From SAR Figure 2.6-49

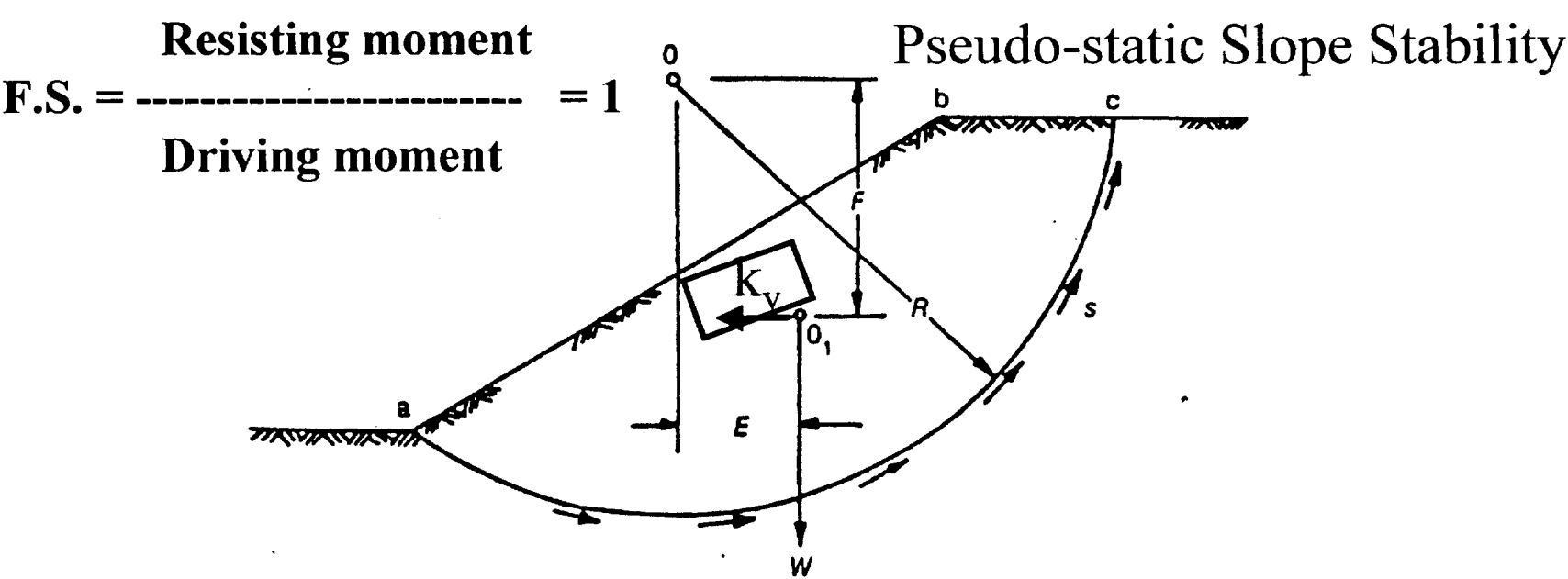
# Static Slope Stability

- 2-D analysis using Spencer's method of slices
- Input:
  - ◆ Geometry
  - ◆ Material properties: unit weights, strengths
- Output:
  - ◆ Static factors of safety (F.S.)
  - ◆ Yield acceleration ( $k_y$ )

From SAR Section 2.5.1.2 and Calc Package GEO.DCPP.01.24



# Slope Stability Theory



**Fig. 2.** Conventional method for computing effect of earthquake on stability of a slope (after Terzaghi, 1950)

# Assumptions

- Claybeds are saturated
- Tension cracks exist in the upper 20 ft
- Rock to rock contacts along the thin clay beds are neglected
- Lateral margins of potential slide masses are assumed to have no strength

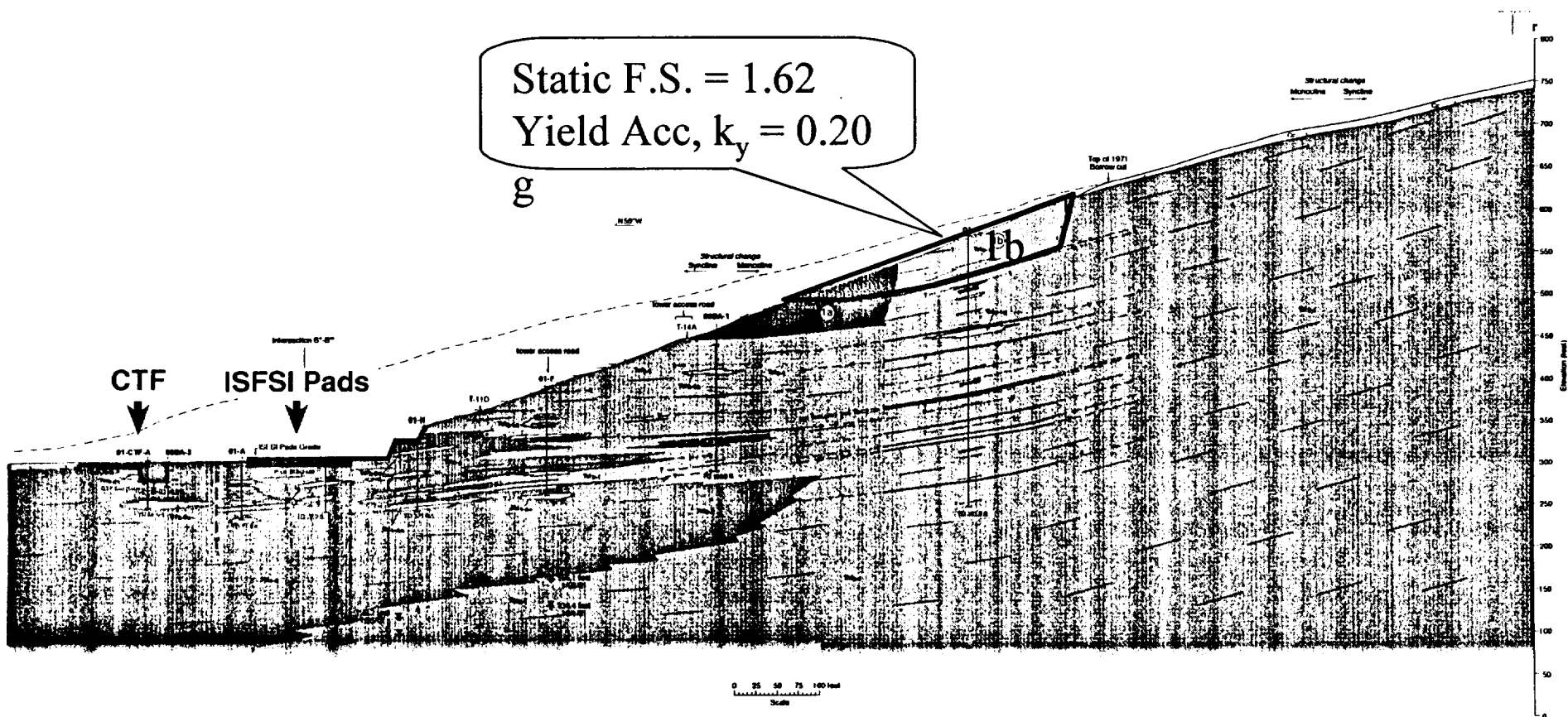
From SAR Section 2.6.5.1.2.2

# UTEXAS3 – Slope Stability

- Uses a 2-stage stability computation to evaluate the stability of slopes under seismic loading conditions (Duncan, Wright, and Wong, 1990)
  - ◆ 1<sup>st</sup> stage: computes the state of stress along the shear surface under long term loading conditions
  - ◆ 2<sup>nd</sup> stage: calculates undrained shear strength based on long term state of stress and performs slope stability analysis under seismic loading conditions

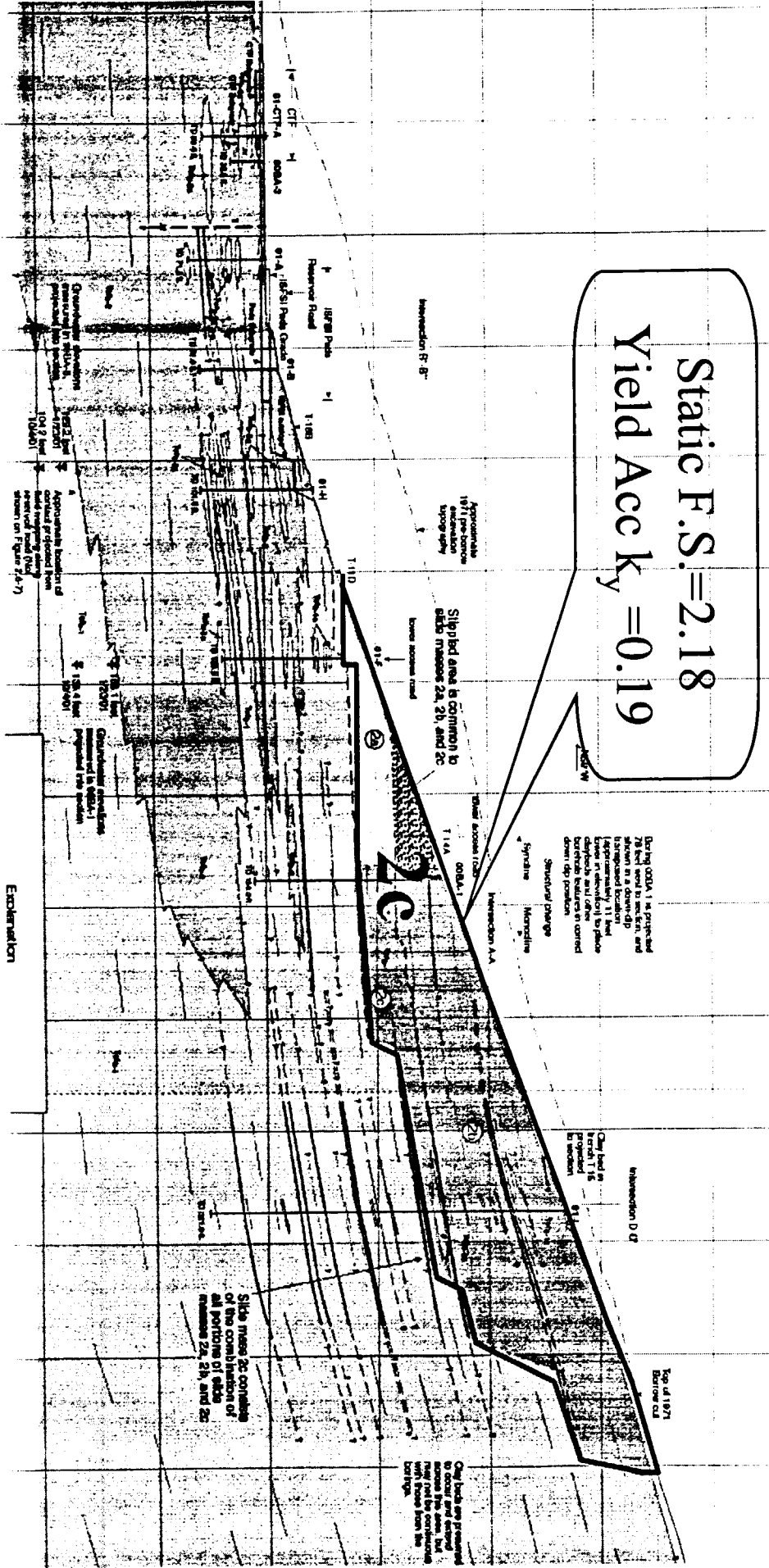
# Cross Section I-I' (shallow model)

Static F.S. = 1.62  
Yield Acc,  $k_y = 0.20$   
g



From SAR Figure 2.6-47

# Cross Section I-I' (medium depth model)



From SAR Figure 2.6-48

[illegible]

From SAR Figure 2.6-49

# Results of Static Slope Stability

| Slide Mass | Static F.S. | Yield Acc. (k <sub>y</sub> ) |
|------------|-------------|------------------------------|
| 1a         | 2.55        | 0.28                         |
| 1b         | 1.62        | 0.20 ←                       |
| 2a         | 2.55        | 0.31                         |
| 2b         | 2.16        | 0.24                         |
| 2c         | 2.18        | 0.19 ←                       |
| 3a         | 2.86        | 0.44                         |
| 3b         | 2.70        | 0.39                         |
| 3c         | 2.26        | 0.25 ←                       |
| 3c-1       | 2.38        | 0.28                         |
| 3c-2       | 2.28        | 0.23                         |

From SAR Table 2.6-3

# Methodology of Seismic Analysis

- Yield accelerations ( $k_y$ ) determined from slope stability analysis
- Response of slide masses under seismic loading evaluated using 2-D finite element method
- Displacements of slide masses calculated using Newmark sliding block approach



# QUAD4M – 2D Dynamic Response Analysis

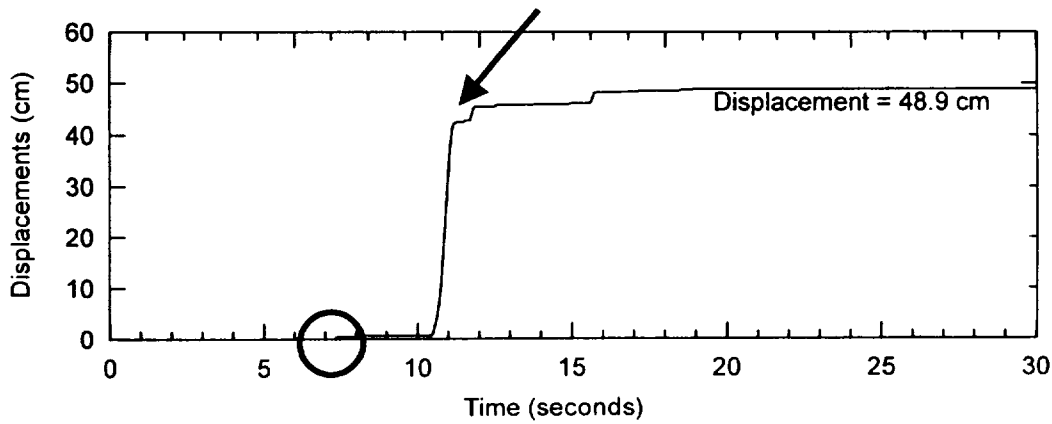
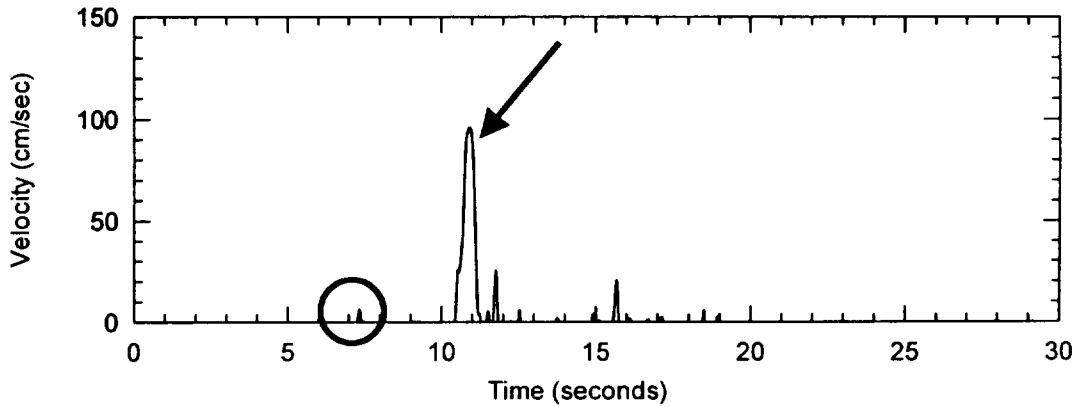
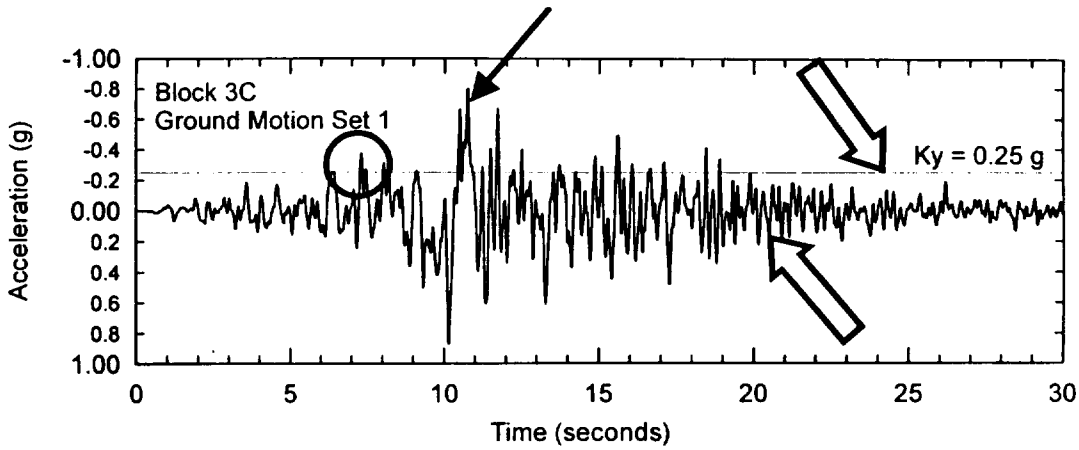
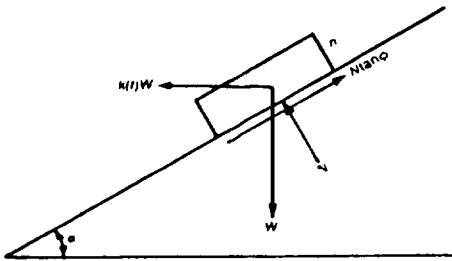
## ■ Input:

- ◆ Unit weights
- ◆ Shear wave velocities and damping values
- ◆ Non-linear material properties

## ■ Output:

- ◆ Acceleration time histories of slide masses

# Illustration of Newmark Sliding Block Displacement Calculation



# NRC Request for Clarification

*“ ... the slope safety evaluation presented in the SAR, which was developed using the horizontal ground motion components without the vertical component, should be clarified ... ”*

# Effects of Vertical Ground Motions on Sliding Analysis

- Inclination of slide planes (bedding planes)
  - ◆ Vertical motions more important for steeply dipping slide planes (greater than 30-40°)
  - ◆ ISFSI clay beds typically dip less than 15°
- Steepness of slope
  - ◆ Vertical motions more important for steeper slopes
  - ◆ ISFSI hillslope is about 3:1 (H:V) and the effect of steepness of slope is incorporated in the 2-D slope stability and dynamic response analyses

# Effects of Vertical Ground Motions on Sliding Analysis

- Material strength properties
  - ◆ More important for sandy material
  - ◆ Less important for clayey material
  - ◆ ISFSI slide plane material is clay beds

# Ground Motion Considerations for Sliding Block Analysis

- **Direction of slide mass movements:**
  - ◆ Occurs along claybeds
  - ◆ Claybeds are horizontal to sub-horizontal
  - ◆ Postulated slide mass movements are influenced by horizontal motions
- **Slide plane inclination:**
  - ◆ Limited effect on computed displacement (less than 10%) if the inclination is less than  $20^\circ$  based on Makdisi (1976) for sandy materials
  - ◆ For material similar to the ISFSI claybeds, the influence would be less
  - ◆ ISFSI claybeds typically dip less than  $15^\circ$

# Ground Motion Considerations for Sliding Block Analysis (cont'd)

- **Undrained shear strength of claybeds:**

- ◆ Controlled by long term overburden pressure
- ◆ Relatively insensitive to seismic loadings

- **Peak arrival time:**

- ◆ Arrival time of horizontal peak is typically 1 to 3 seconds behind arrival of vertical peak based on near field recordings
- ◆ During strong horizontal shaking, the energy (as measured by Aries Intensity) on the vertical component is typically 10% to 30% of the energy on the horizontal component

# Ground Motion Considerations for Sliding Block Analysis (cont'd)

## ■ **Standard of practice for seismic design of dams:**

- ◆ Evaluation of permanent displacement of embankment dams under seismic loading is based on horizontal component of the design motion (USBR, 1989)

## ■ **Recent studies:**

- ◆ Study at Cal Tech indicated that vertical ground motions have limited impact on block movements based on numerical analysis and physical modeling Yan, et al. (1996)



# Preliminary Site-Specific Study

- Evaluate effect of vertical motions on computed yield acceleration
- Evaluate effect of vertical motions on slide mass responses
- Incorporating vertical ground motions resulted in displacements varying less than 10% from calculations based on horizontal component alone

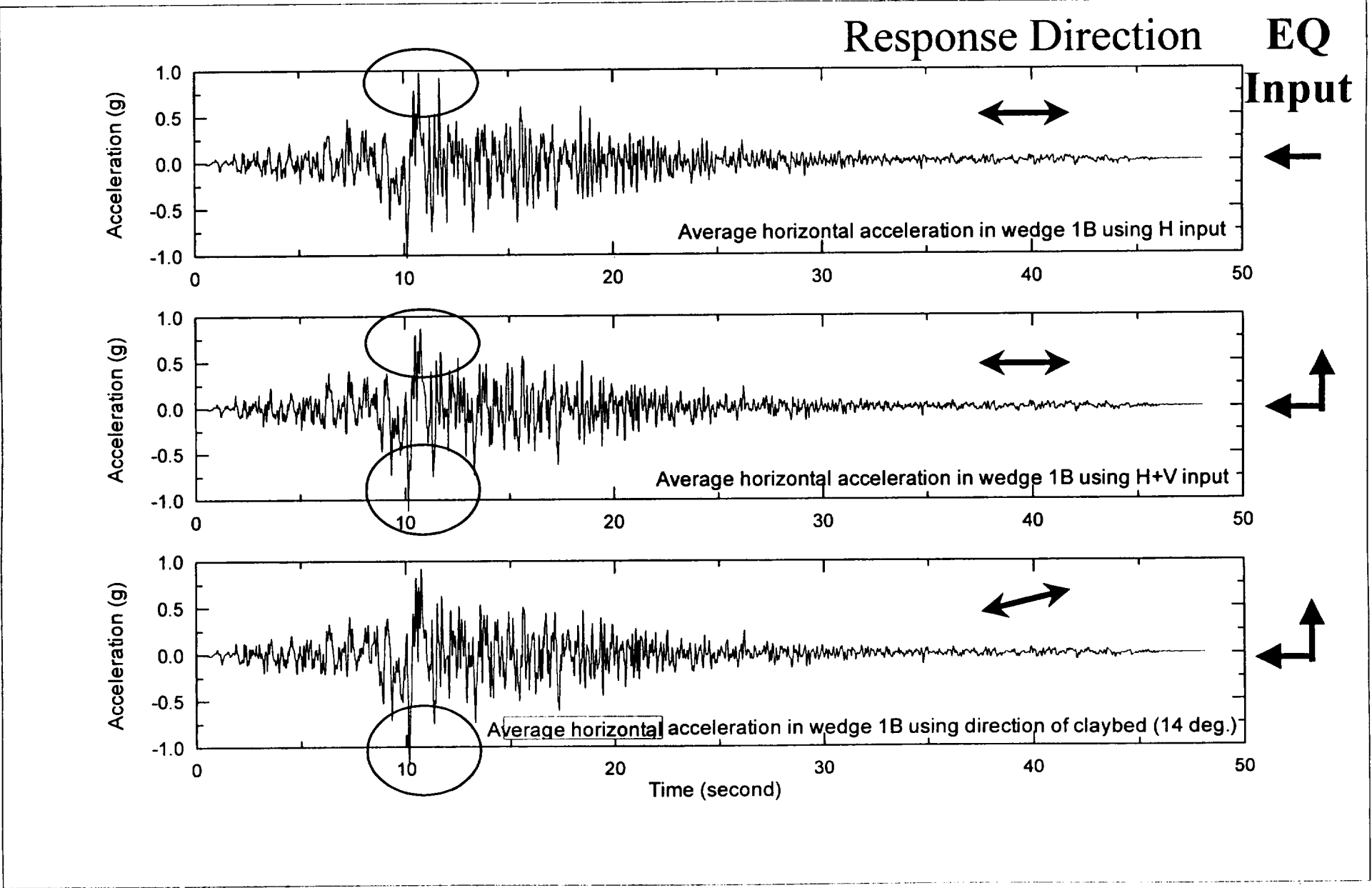
# Effects of Vertical Seismic Coefficient ( $k_v$ ) on Yield Acc ( $k_y$ )

|              |             |             |  |
|--------------|-------------|-------------|--|
|              | $k_v / k_h$ | $k_y$       |  |
| - $k_v$ up   | -0.8        | 0.23        |  |
|              | -0.6        | 0.22        |  |
|              | <b>-0.4</b> | <b>0.20</b> |  |
|              | <b>-0.2</b> | <b>0.19</b> |  |
|              | <b>+0.0</b> | <b>0.19</b> |  |
|              | <b>+0.2</b> | <b>0.18</b> |  |
|              | <b>+0.4</b> | <b>0.17</b> |  |
|              | +0.6        | 0.17        |  |
| + $k_v$ down | +0.8        | 0.16        |  |

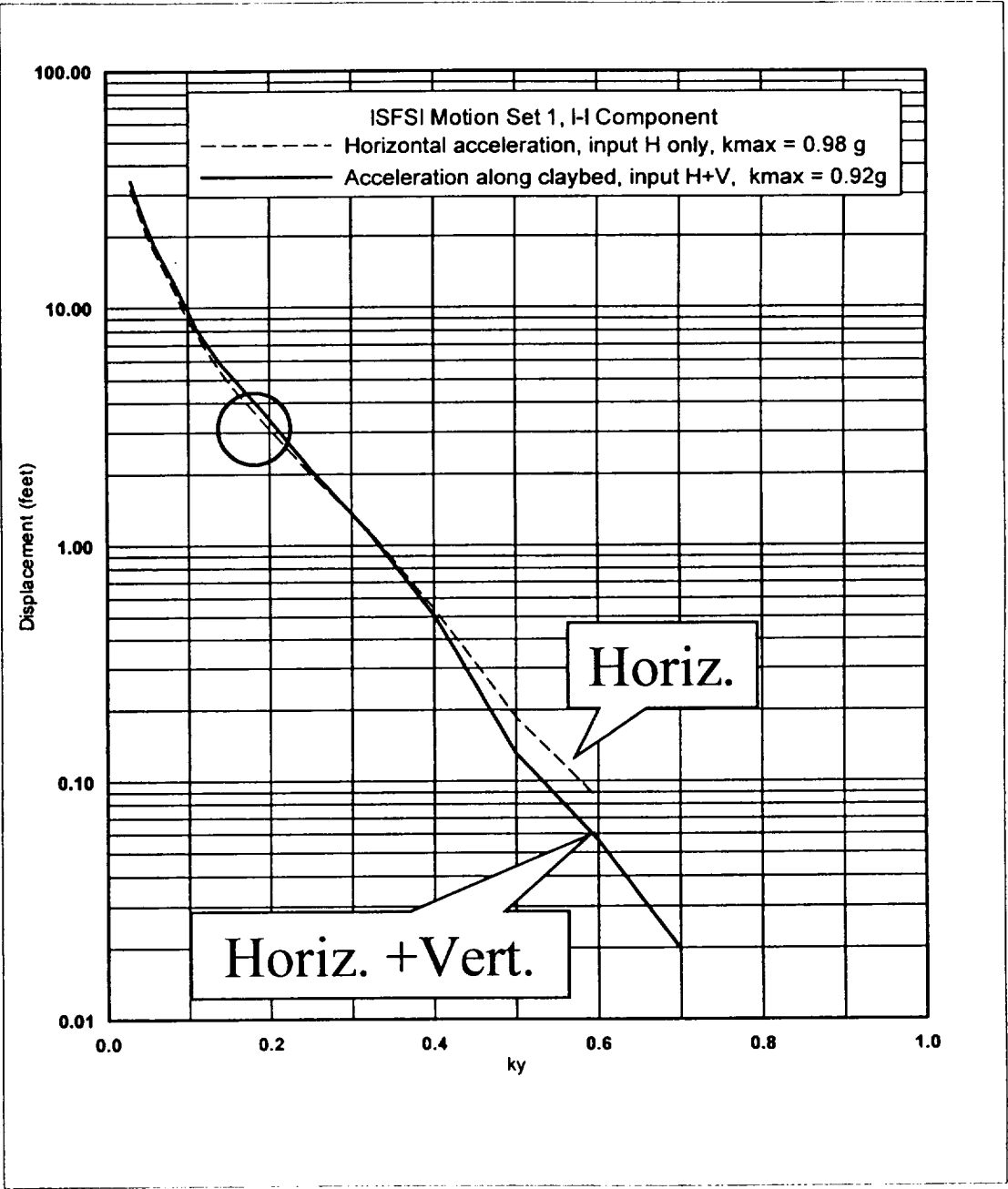
Zone of applicability

Based on hand calculation of slide mass 1B

# Response of Block 1B



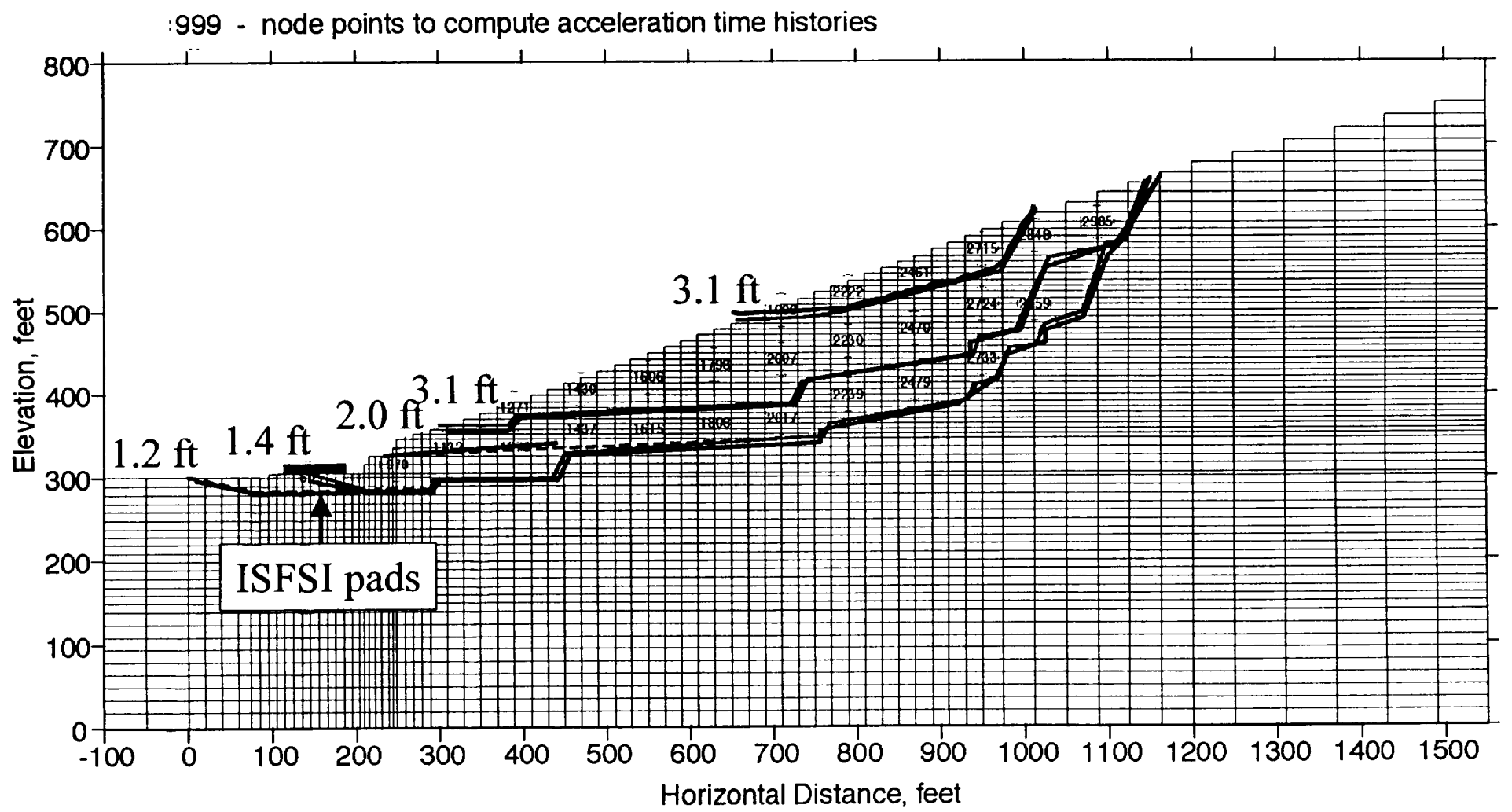
# Vertical Ground Motion Effects on Computed Displacements



# Conclusions on the Effects of Vertical Ground Motions

- Effect on clay bed shear strength
  - ◆ Minimal to none
- Effect of inclination of potential slide plane
  - ◆ Minimal
- Effect on computed horizontal response of slide masses
  - ◆ Minimal
- Overall effect on computed displacements
  - ◆  $\pm 10\%$

# Potential Seismic Induced Displacements on Clay Beds



# Mitigation Measures for Slide Masses

- Set back

- ◆ 25 ft wide bench between cutslopes
- ◆ 40 ft clearance between edge of ISFSI pads and toe of cutslope

- Debris fences

# Conclusions

- The stability of the hillslope above the ISFSI pads was analyzed and the slopes have ample factors of safety under static conditions.
- The hillslope above the ISFSI site may experience small displacements when exposed to the design-basis earthquakes.



## Conclusions (cont'd)

- The maximum seismic induced displacements could potentially be about 3 feet on the upper slope to about 1 to 2 feet on the lower slope.
- Mitigation measures will be implemented to minimize effects of the small displacements and protect the ISFSI facilities to perform their intended design functions.

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# Transport Route Stability and Displacement Analyses

Robert White

Geotechnical Engineer

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April 11, 2002

## Transport Route Slope Stability and Displacement Analyses Steps

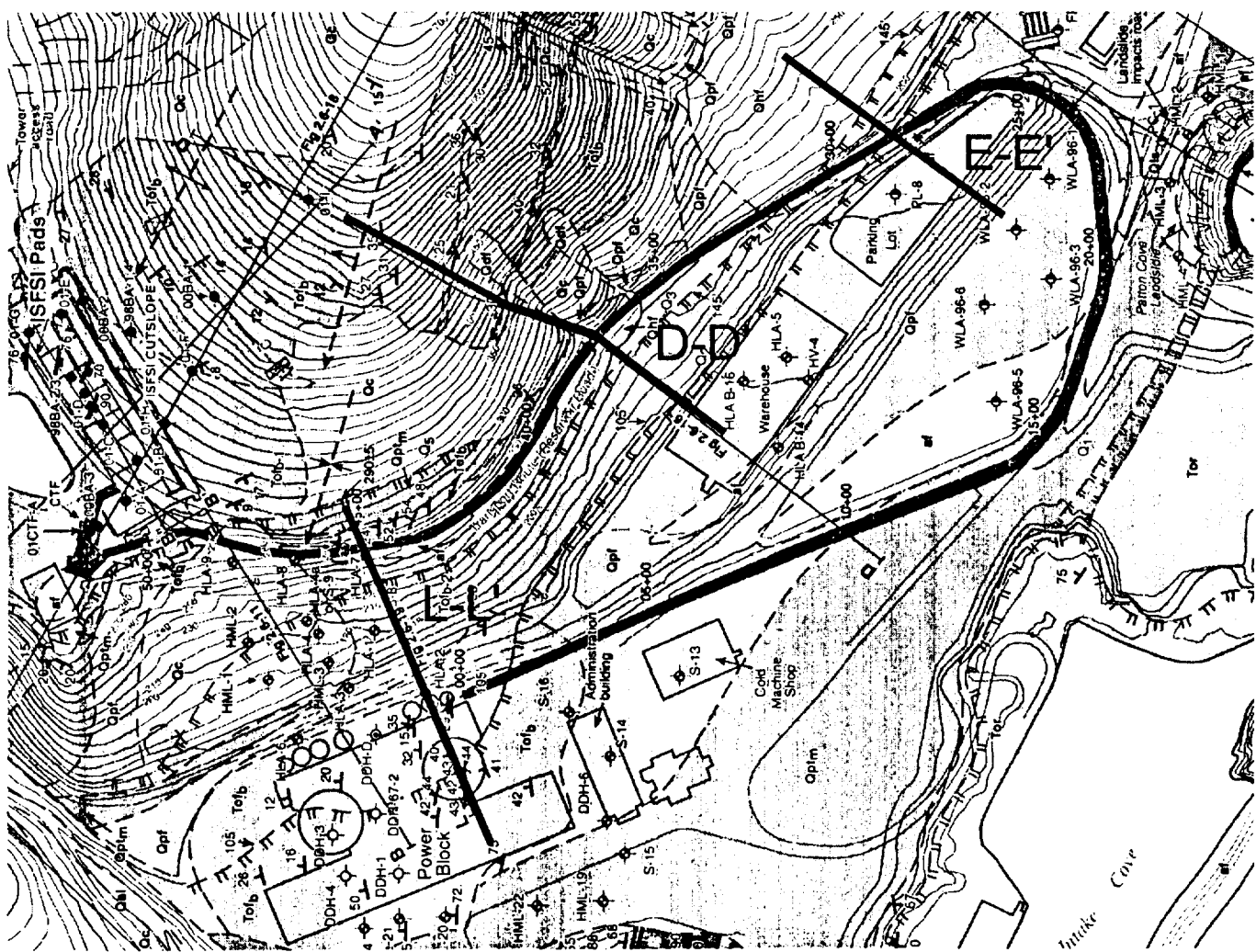
1. Locate critical slide mass with minimum factor of safety. Determine yield acceleration for critical slide mass.
2. Determine seismic coefficient time history for critical slide mass.
3. Determine potential earthquake-induced displacement of critical slide mass.

From SAR 2.6.5.4.2; GEO.DCPP.01.28, 29, AND 30

# Stability and Yield Acceleration Analysis of Critical Slide Masses

- Three representative sections along the transport route were selected
- Affect of transporter load also evaluated

# Analytical Sections





# Finite Element Sections

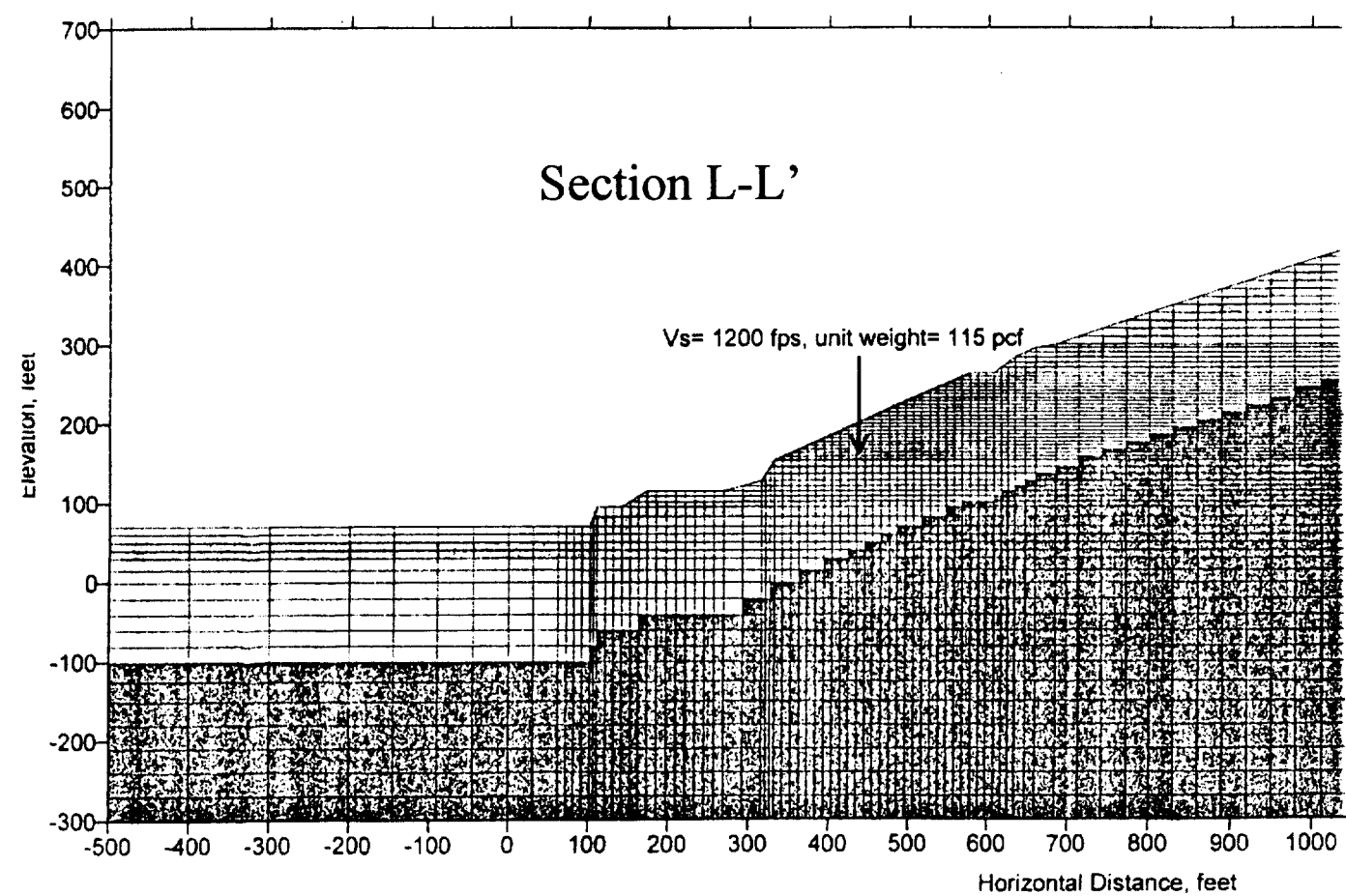
- Finite element meshes for Sections L-L' and E-E' were prepared.
- A finite element mesh for Section D-D' was not prepared, as it is similar in configuration to Section E-E'.

# Seismic Coefficient Time Histories of Slide Masses

- Finite element meshes for Sections L-L' and E-E' were prepared.
- A finite element mesh for Section D-D' was not prepared, as it is similar in configuration to Section E-E'.



# Seismic Response Analyses Slide Masses



[From Figure 1, GEO.DCPP.01.29]

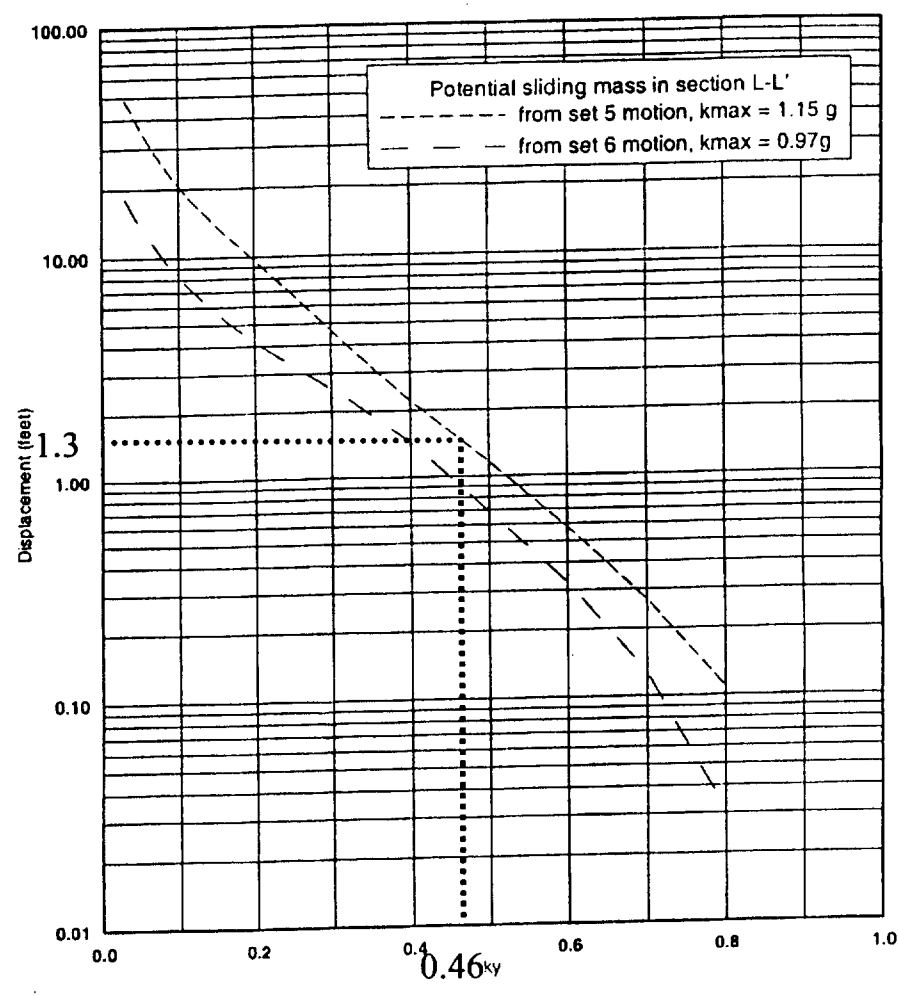
# Seismic Coefficient Time Histories of Critical Slide Masses

- ILP ground motions were rotated to the direction of Sections L-L' and E-E' and input into the finite element program.
- The seismic coefficient time histories for the critical slide masses were obtained by averaging multiple nodal point time histories within the respective masses.

# Earthquake-Induced Displacements of Critical Slide Masses

- The Newmark sliding block analysis procedure was used to estimate the potential displacements of the critical slide masses using the seismic coefficient time histories to estimate the potential slide mass movements.
- Potential displacements of the critical slide masses in the three sections analyzed range from 0.5 to 1.3 feet.

# Transport Route Displacement Analyses



From Figure 6, GEO.DCPP.01.30

## Conclusions

- Three representative sections along the transport route were evaluated for static and seismic loading conditions
- The sections are stable under transporter loads
- Displacements of 1.3 feet or less were calculated for slide masses subjected to the ILP ground motion

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# Stability Analysis of Cutslopes

Jeff Bachhuber  
Engineering Geologist  
William Lettis & Associates

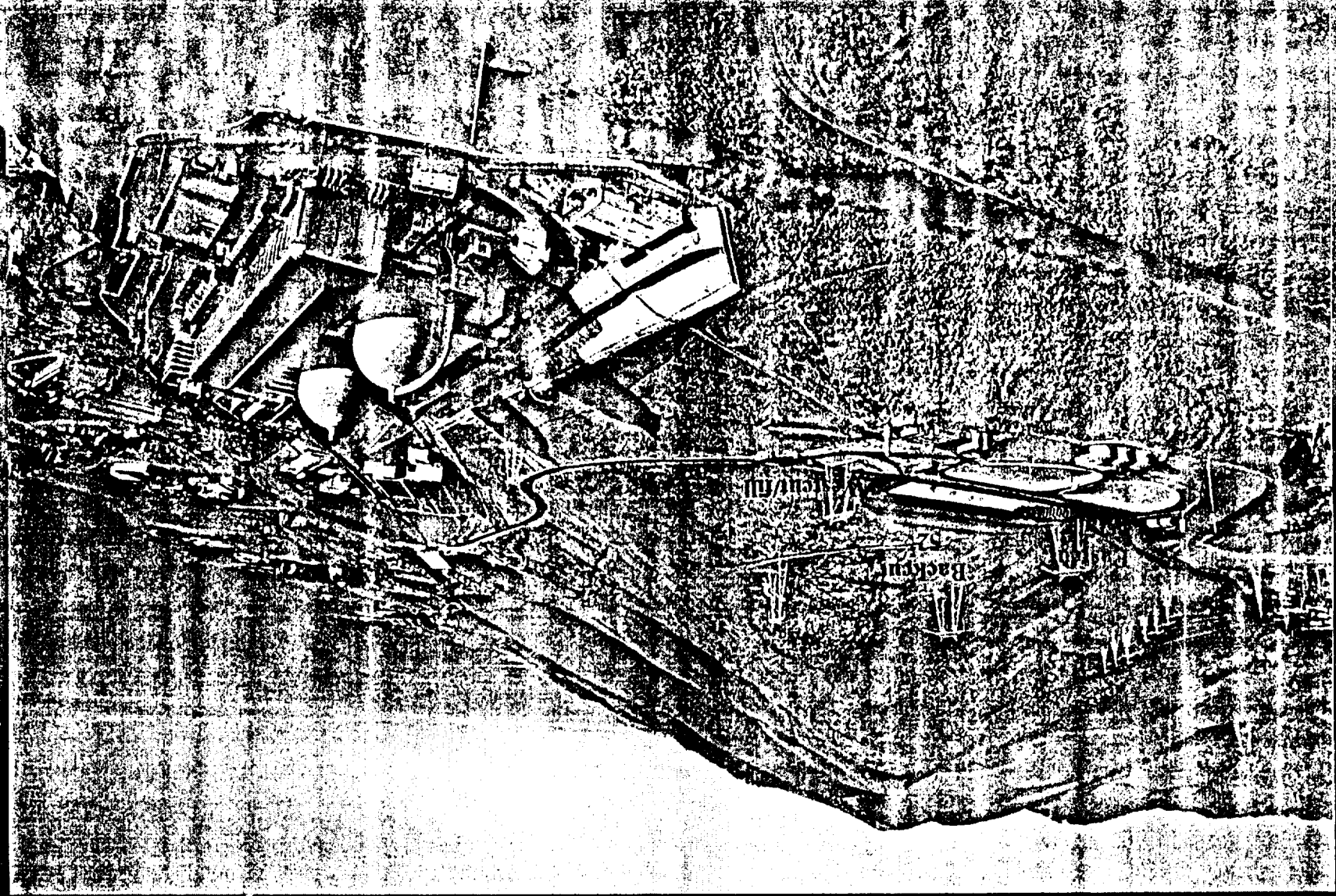


April 11, 2002

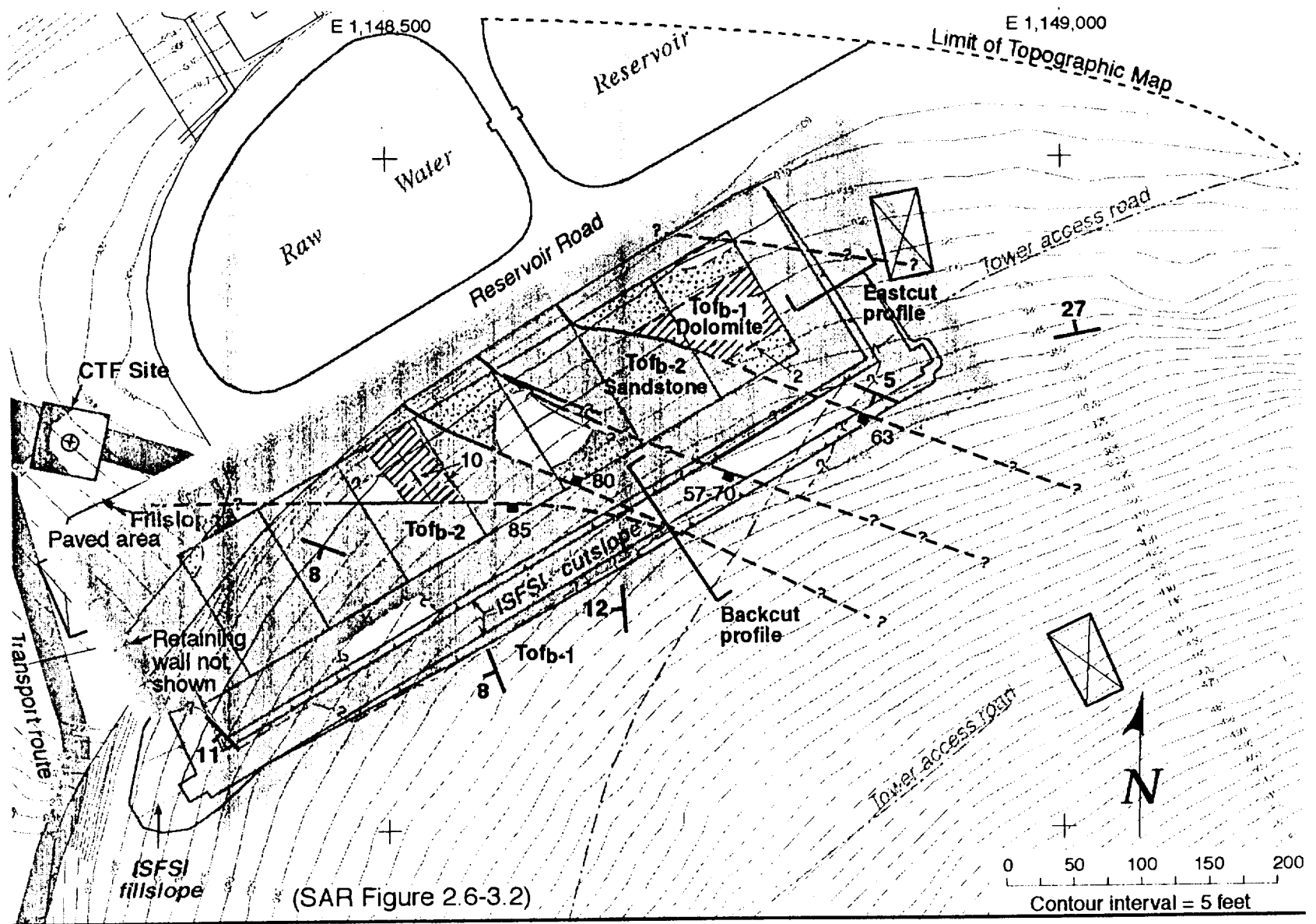
# Purposes

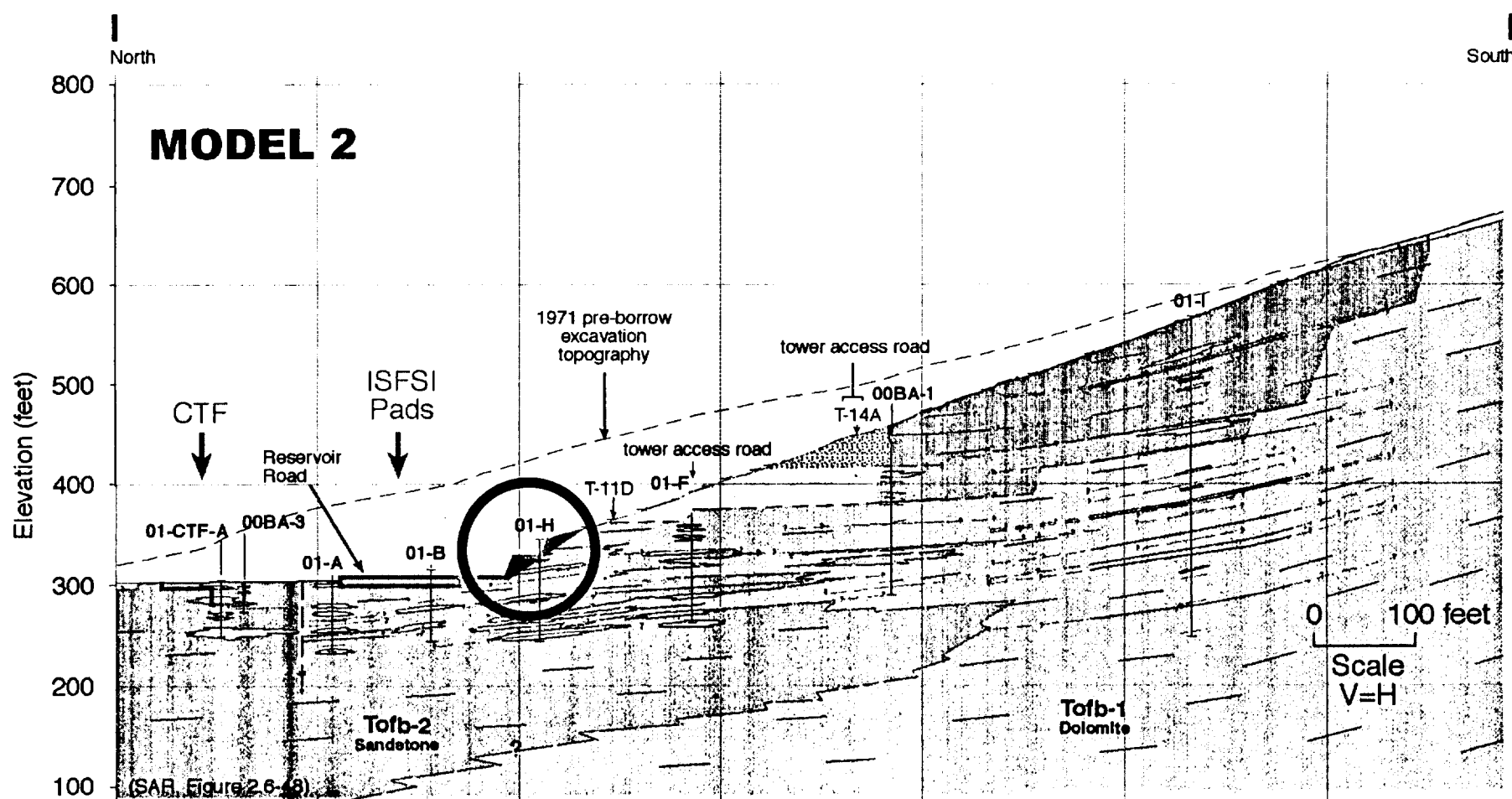
- Evaluate static and dynamic stability of proposed ISFSI pad cutslopes against possible smaller-scale rock block (wedge) failures
- Develop conceptual cutslope rock anchor support

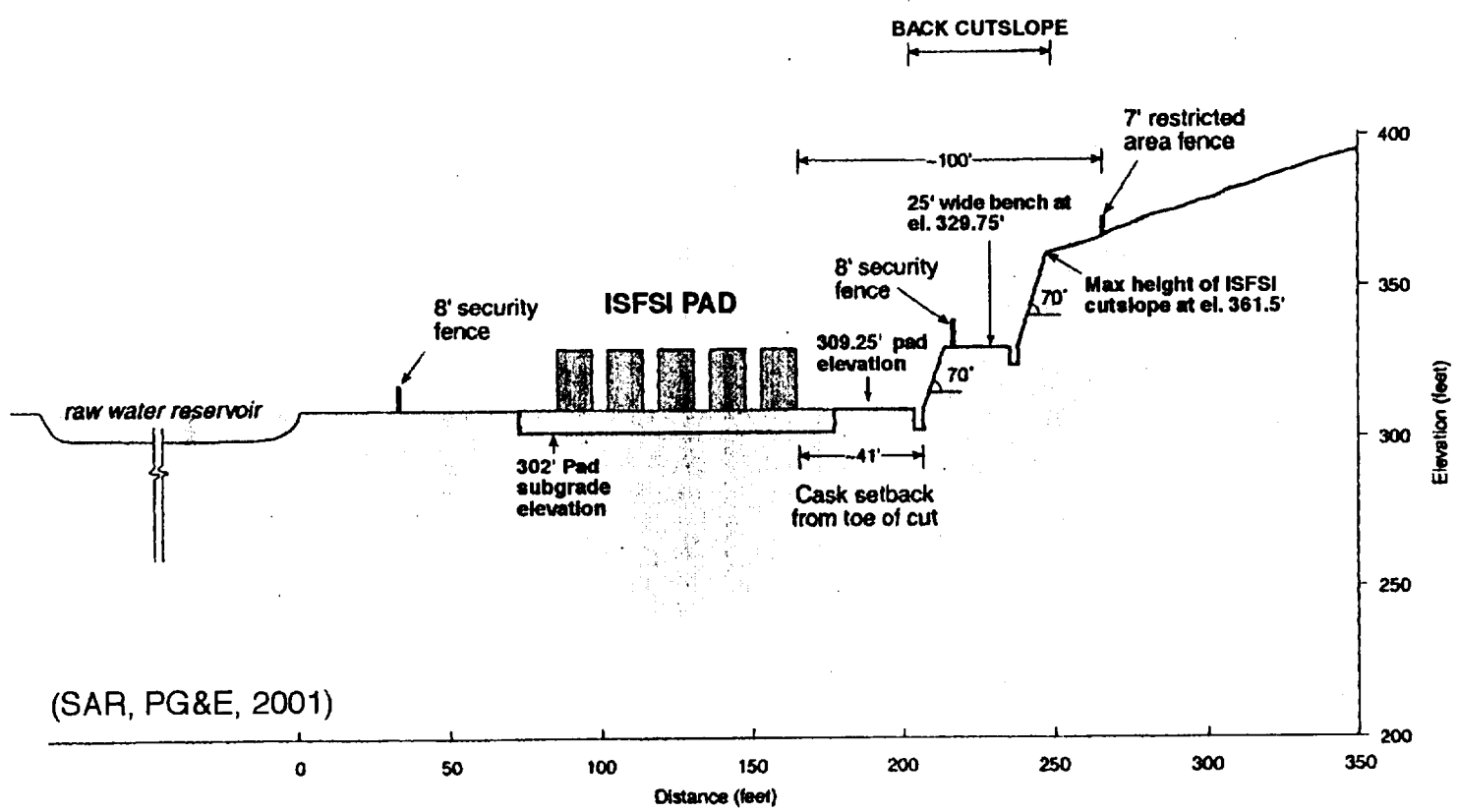
# Location of ISFSI Cut Slopes

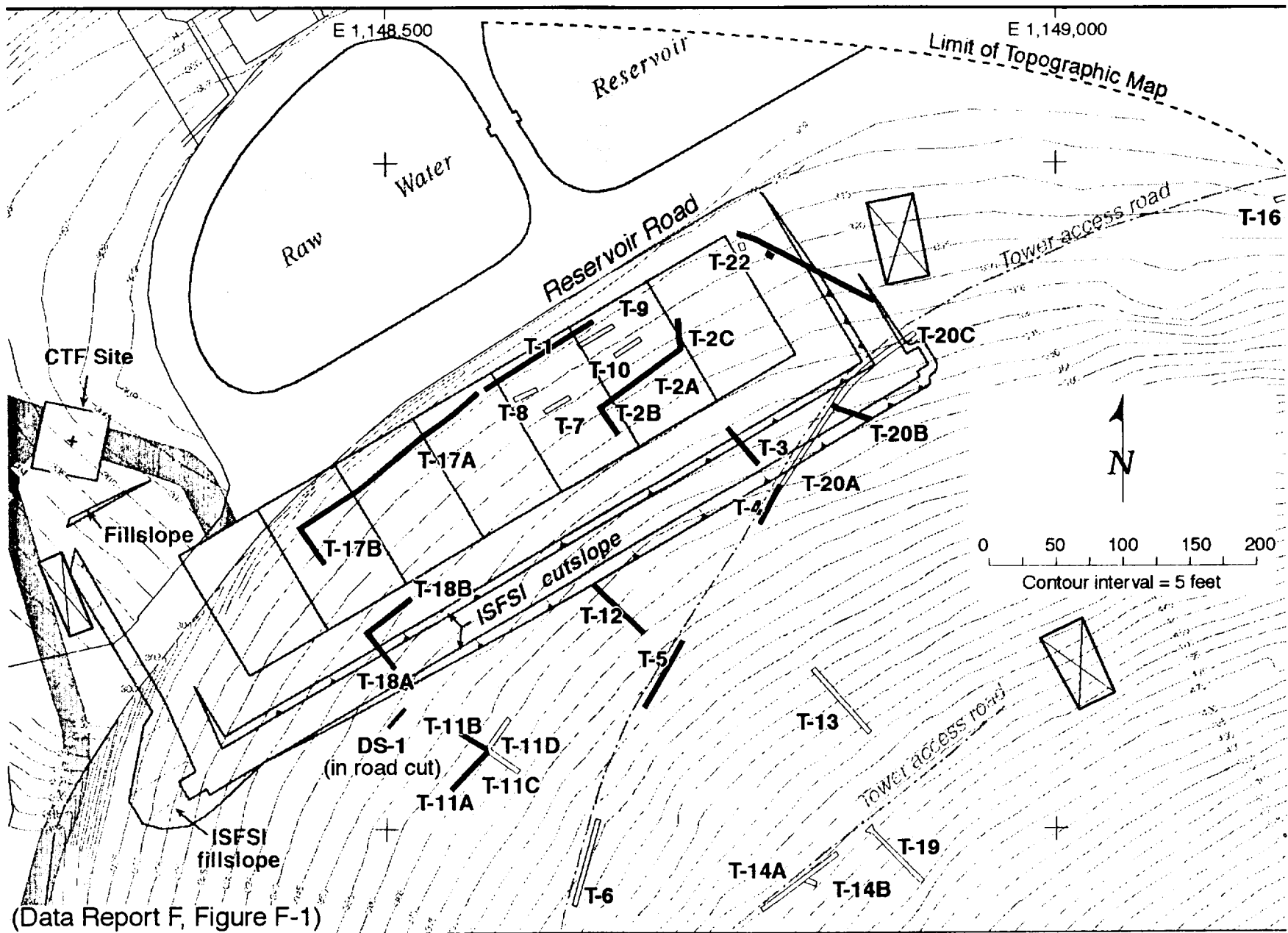






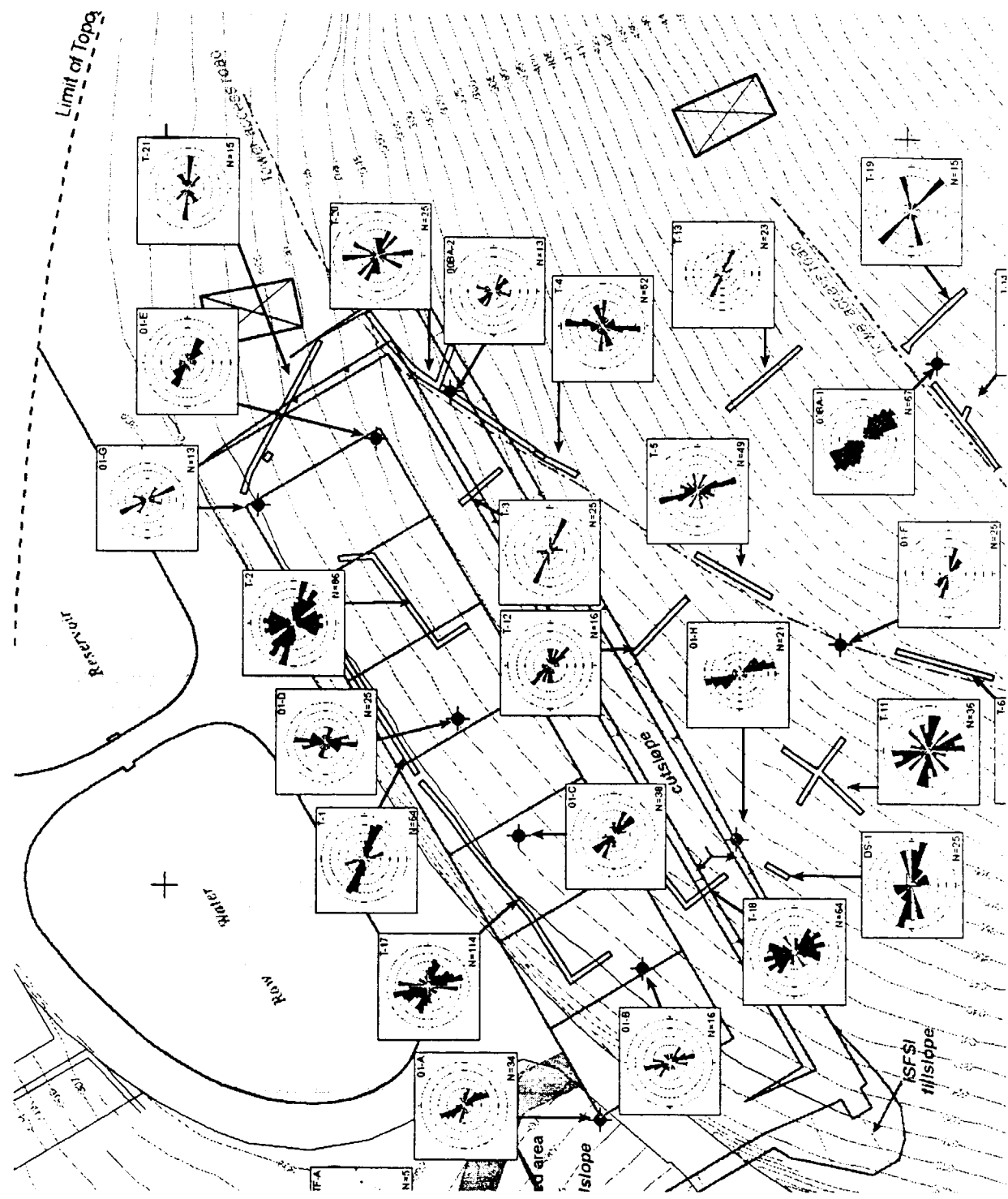


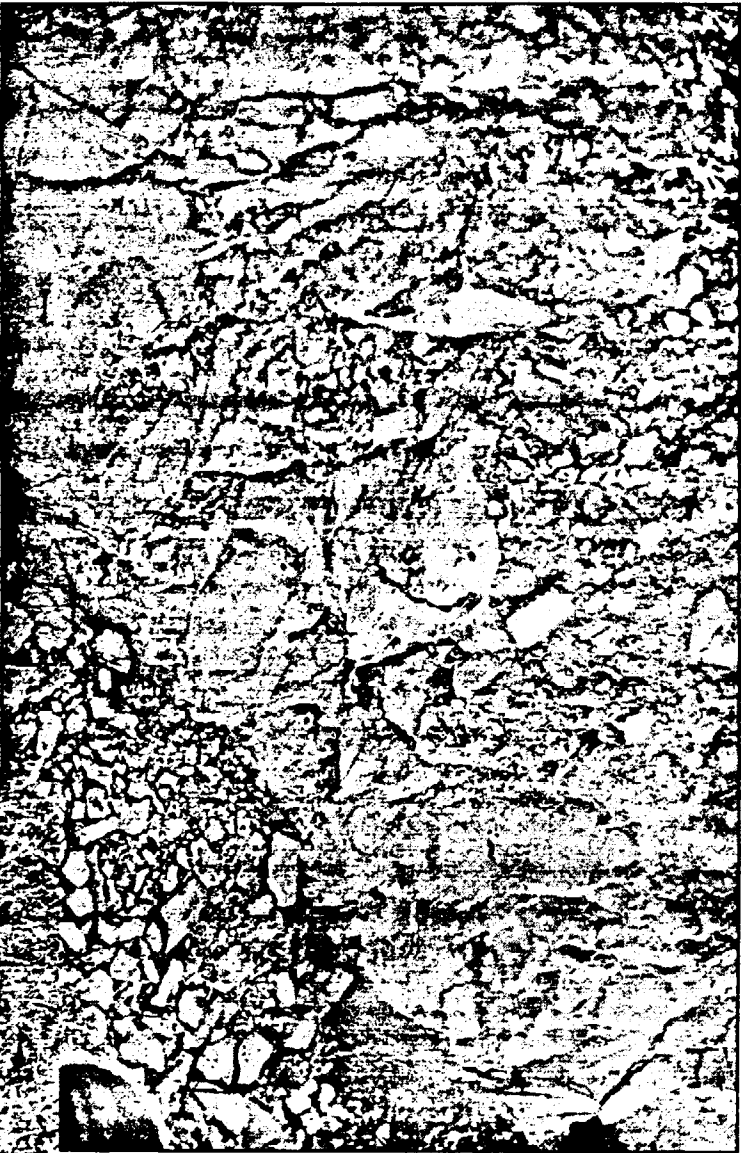




# Rock Mass Discontinuities

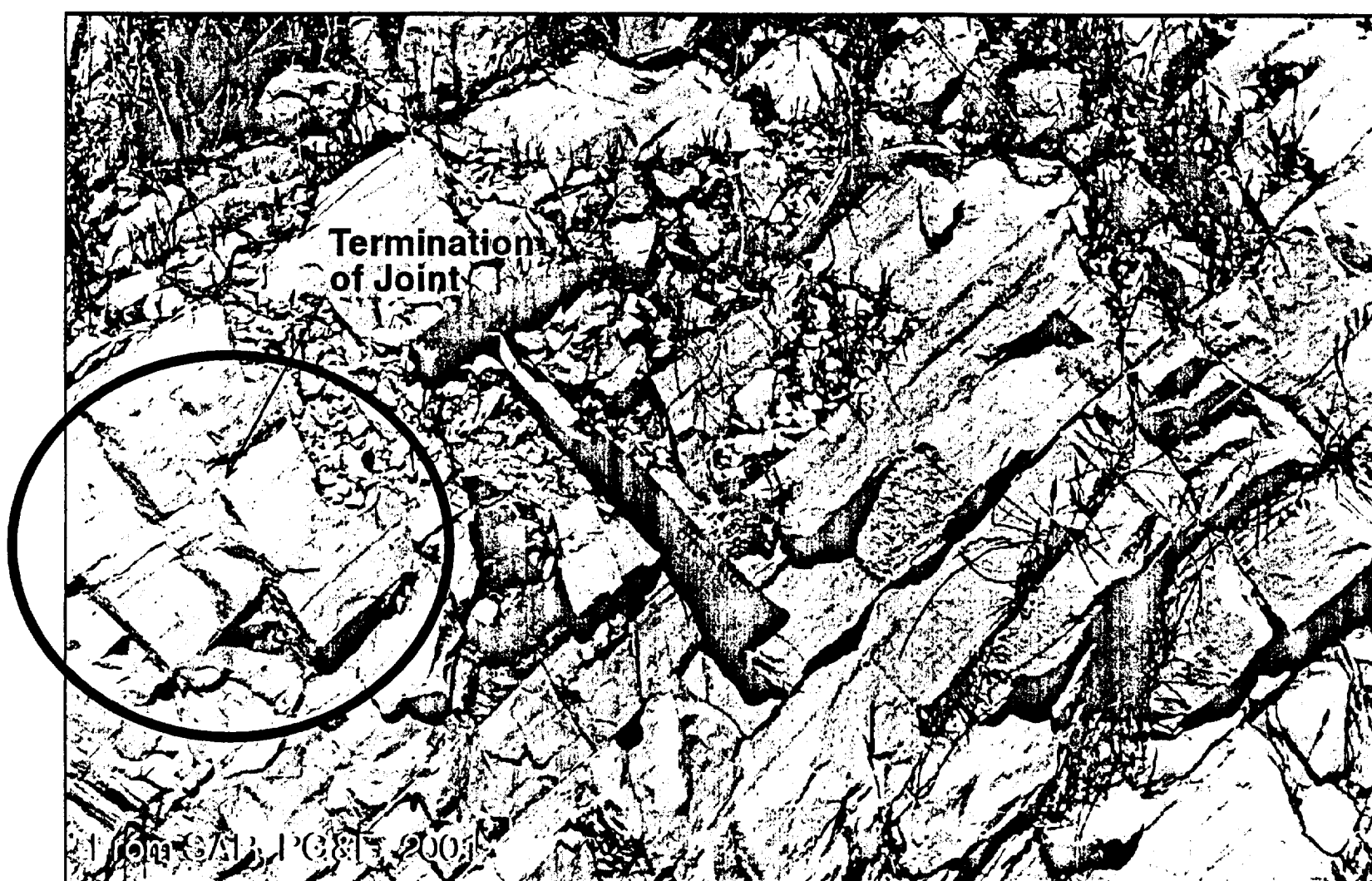
| Type    | Typical Spacing          | Lateral Continuity       | Surface Roughness          | Degree of Bonding | Dip Angle                 | Shear Strength   |
|---------|--------------------------|--------------------------|----------------------------|-------------------|---------------------------|------------------|
| Bedding | 0.5 to tens of feet      | Tens to hundreds of feet | Moderately smooth to rough | Moderate to High  | Gentle                    | Moderate to High |
| Joints  | 0.5 to 3.5 feet          | Several to tens of feet  | Smooth to rough            | Low to Moderate   | Moderately steep to steep | Moderate to Low  |
| Faults  | Tens to hundreds of feet | Tens to hundreds of feet | Smooth to rough            | Low to Moderate   | Steep                     | Low              |

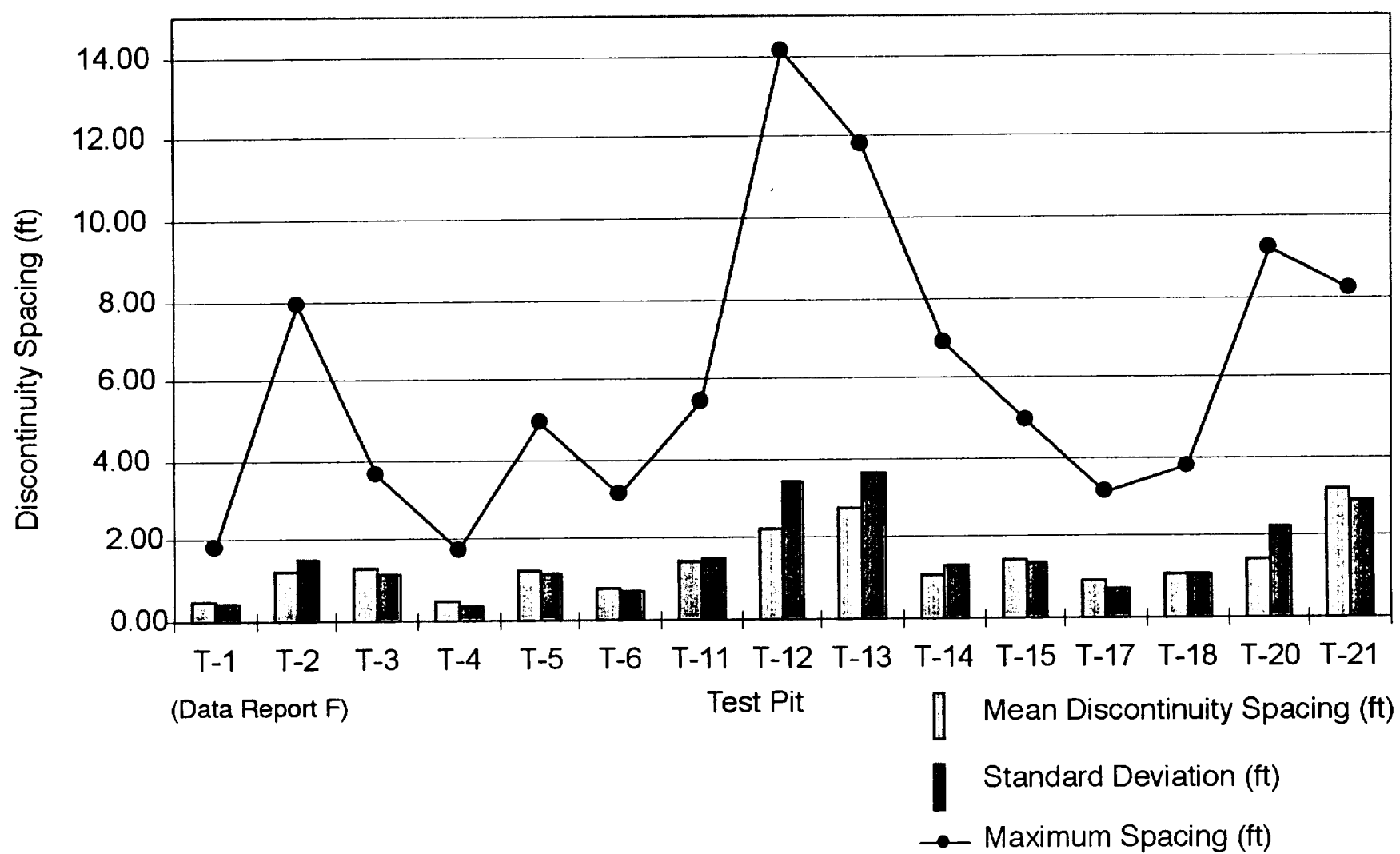












# Approach

- Kinematic Analyses:
  - ◆ Identify potential failure modes
  - ◆ Select model for pseudostatic analyses
- Pseudostatic Analyses:
  - ◆ Deterministic Factor of Safety
  - ◆ Evaluate Sensitivity of Input Parameters
  - ◆ Determine Anchor Force Requirements
- Evaluate Mitigation Options

# Assumptions For Analyses

- Stability controlled by rock mass discontinuities
- Discontinuity shear strength is represented by the frictional component of the median Barton shear strength envelope
- We assume no benefit from cohesion
- Groundwater/rainwater collects in discontinuities up to half-height of the wedge
- Wedges are limited within the outermost 20 to 25 feet of slope
- Tension cracks exist at the top of the cutslope

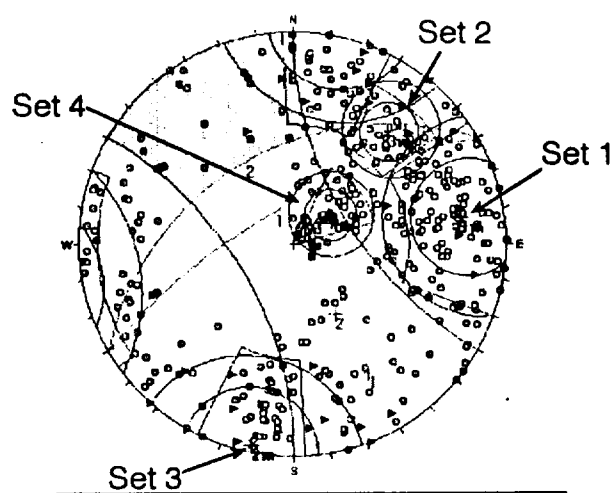
# Software

- Qualified software
- Kinematic Analyses – DIPS Version 5.041 (Rocscience, 1999)
- Pseudostatic Analyses – SWEDGE Version 3.06 (Rocscience, 1999)

# Kinematic Analyses

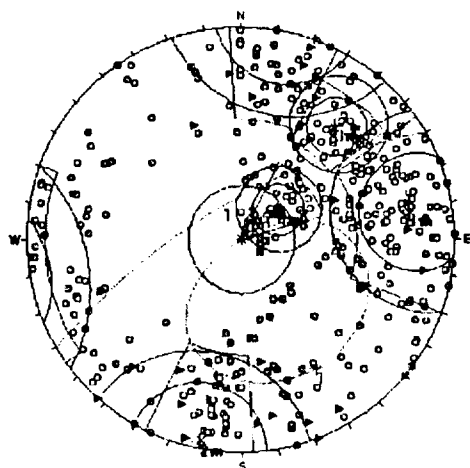
## Input Parameters

- Geologic mapping data
- Discontinuity surveys in trenches and cuts
  - ◆ Bedrock bedding
  - ◆ Joints
  - ◆ Faults

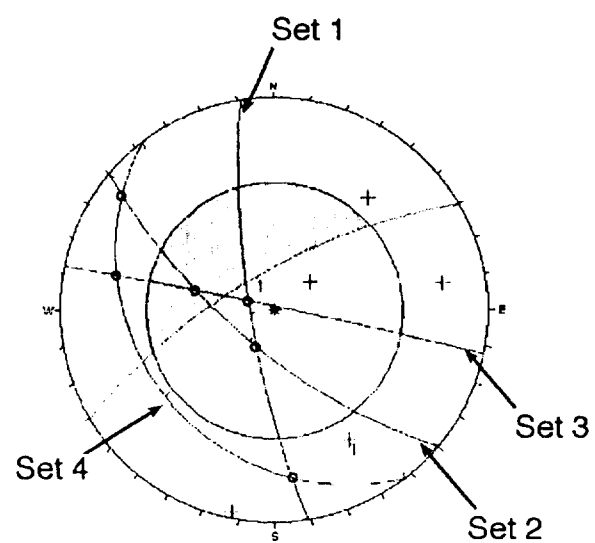


**A. Topple hazard (low hazard)**

## Southern Hemisphere Projections



**B. Planar sliding hazard (low to moderate hazard)**



**C. Wedge sliding hazard (high hazard)**

(SAR, PG&E, 2001)

# Results - Kinematic Analyses

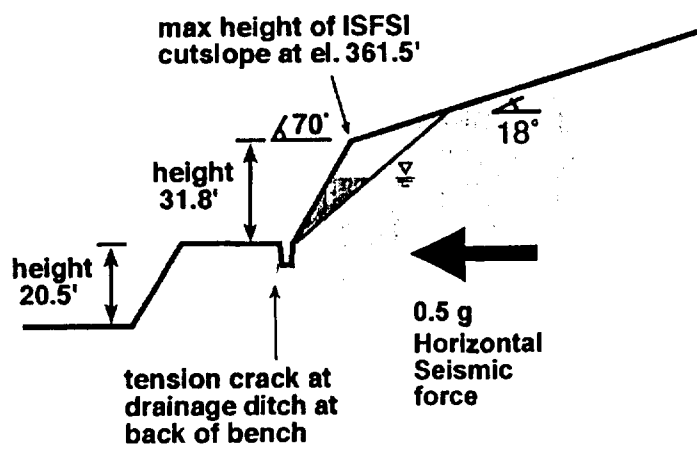
| Cutslope | Topple | Planar Sliding | Wedge Sliding         | Pseudostatic Analyses | Mitigation Required? |
|----------|--------|----------------|-----------------------|-----------------------|----------------------|
| Eastcut  | Low    | Mod. To High   | Mod. To High (3 sets) | Yes                   | Yes                  |
| Backcut  | Low    | Low to Mod.    | High (4 sets)         | Yes                   | Yes                  |
| Westcut  | High   | Low            | Very Low              | No                    | No                   |



# Pseudostatic Analyses

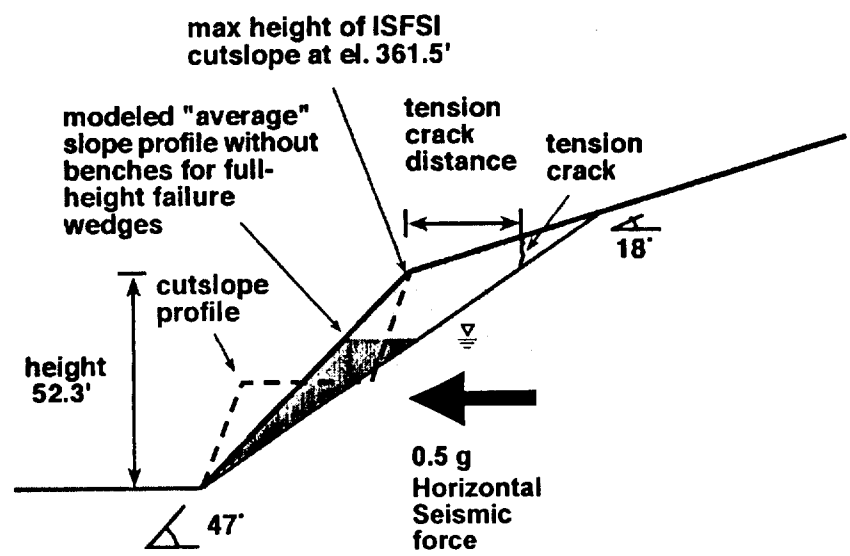
## Input Parameters

- Barton mean shear strength values
- Laboratory direct shear test results
- Seismic loading of 0.5g acting as a uniform horizontal force
- Cutslope geometry from design drawings
- Wedge intersections from kinematic analyses
- Variable wedge geometry, shear strength



Single bench wedge

SWEDGE analysis



Total cut-height wedge as modeled by  
SWEDGE program

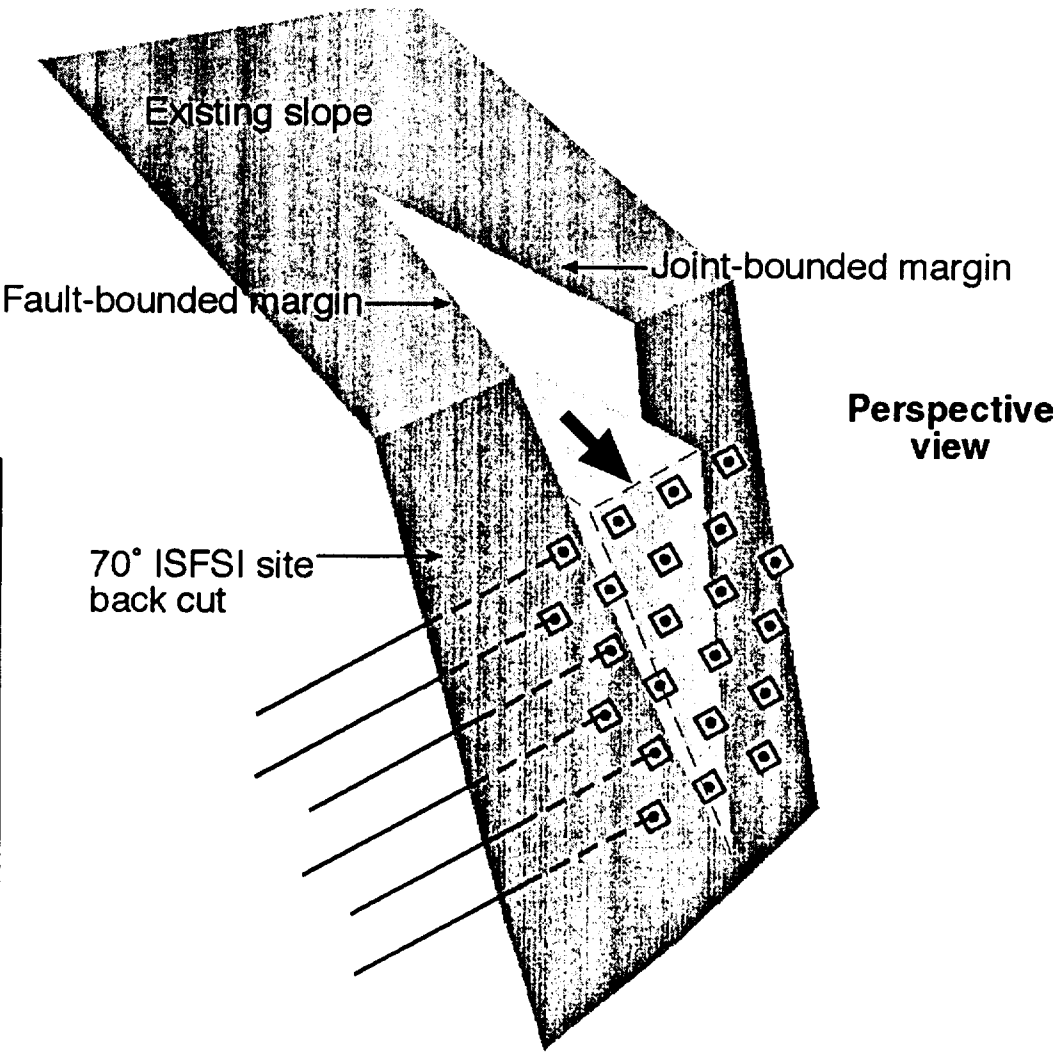
(From SAR, Fig.2.6-60)

Dynamic Factor of  
Safety = 1.3  
Capacity 34 kips  
Length 23 feet + Bond

Critical wedges

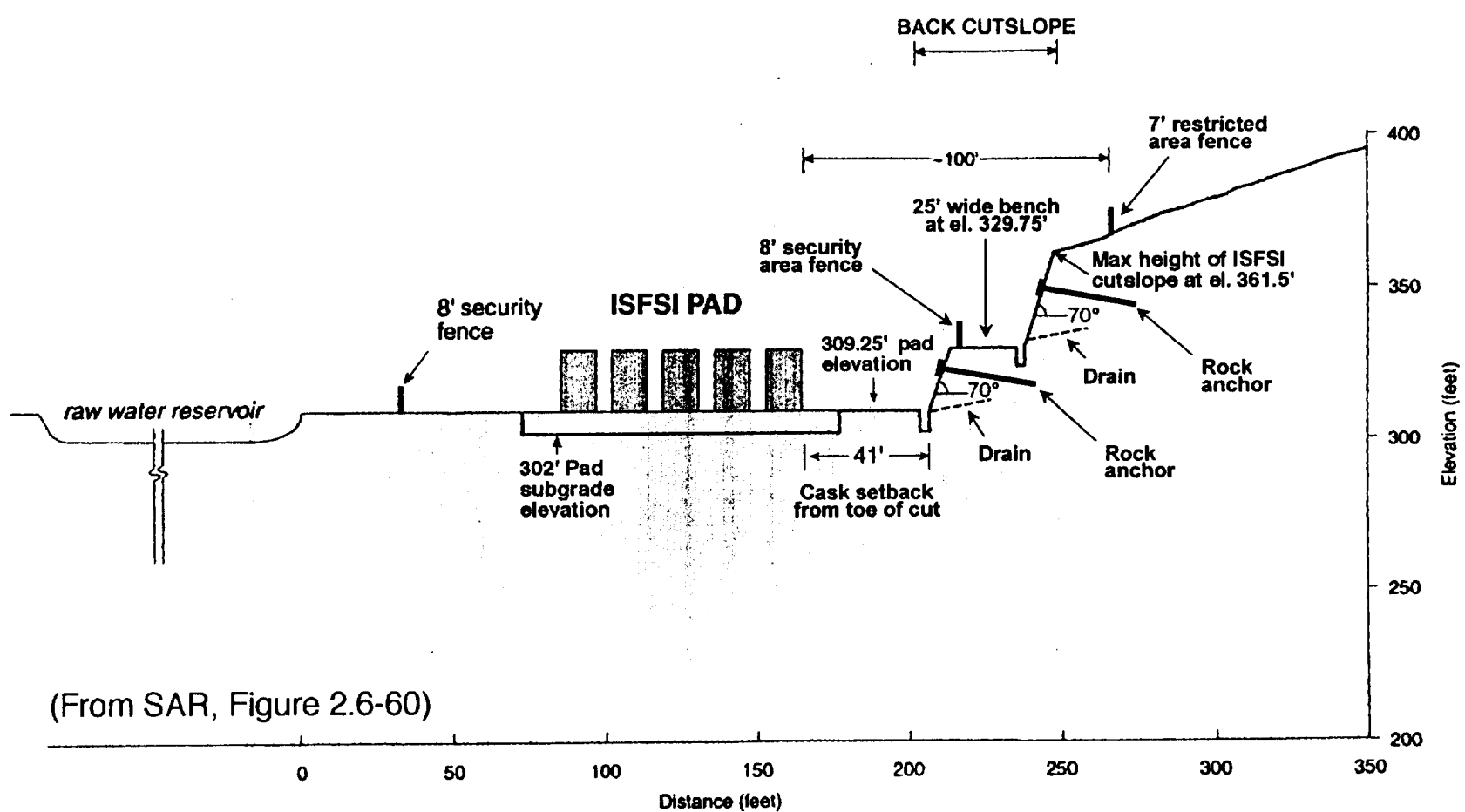
| Backcut | Dynamic factor of safety |                 | Anchor characteristics |                                       |
|---------|--------------------------|-----------------|------------------------|---------------------------------------|
|         | critical wedge weight    | without support | with support           | per anchor capacity*<br>anchor length |
|         | 1784 kips                | 0.62            | 1.3                    | 33.9 kips                             |
|         | 4475 kips                | 0.63            | 1.3                    | 32.1 kips                             |
|         | 40 kips                  | 0.0             | 1.4                    | 18.6 kips                             |
|         | 10 kips                  | 0.3             | 1.7                    | 9.4 kips                              |
| Eastcut | critical wedge weight    | without support | with support           | per bolt capacity<br>anchor length    |
|         | 34 kips                  | 0.54            | 1.3                    | 9.0 kips                              |
|         | 23.8 kips                | 0.0             | 1.4                    | 8.4 kips                              |

\* 5' x 5' pattern



# Mitigation

- ◆ Rock anchor
- ◆ Drainage
- ◆ Debris fences
- ◆ Shotcrete
- ◆ Setback



# Conclusions – Cutslope Geology

- Proposed ISFSI cutslopes will be excavated in dolomite, sandstone, and friable rock
- Rock mass discontinuities control cutslope stability
- Discontinuity spacing limits size of potential blocks to less than 20 to 25 feet

# Conclusions – Cutslope Stability

- Stability analyses shows that cutslopes exhibit high likelihood for wedge failure
- Rock anchors will effectively stabilize cutslopes to achieve a dynamic, saturated Factor of Safety of 1.3
  - ◆ 34 kip anchors at 5' X 5' spacing
  - ◆ Penetration lengths of up to 25'
  - ◆ Proposed mitigation measures provide high margin of safety

# Conclusions – Cutslope Stability

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NRC/PG&E Open Meeting, San Francisco, CA  
Diablo Canyon Independent Spent Fuel Storage Installation

# Response to NRC question

William D. Page

Senior Engineering Geologist

PG&E Geosciences Department



April 11, 2002

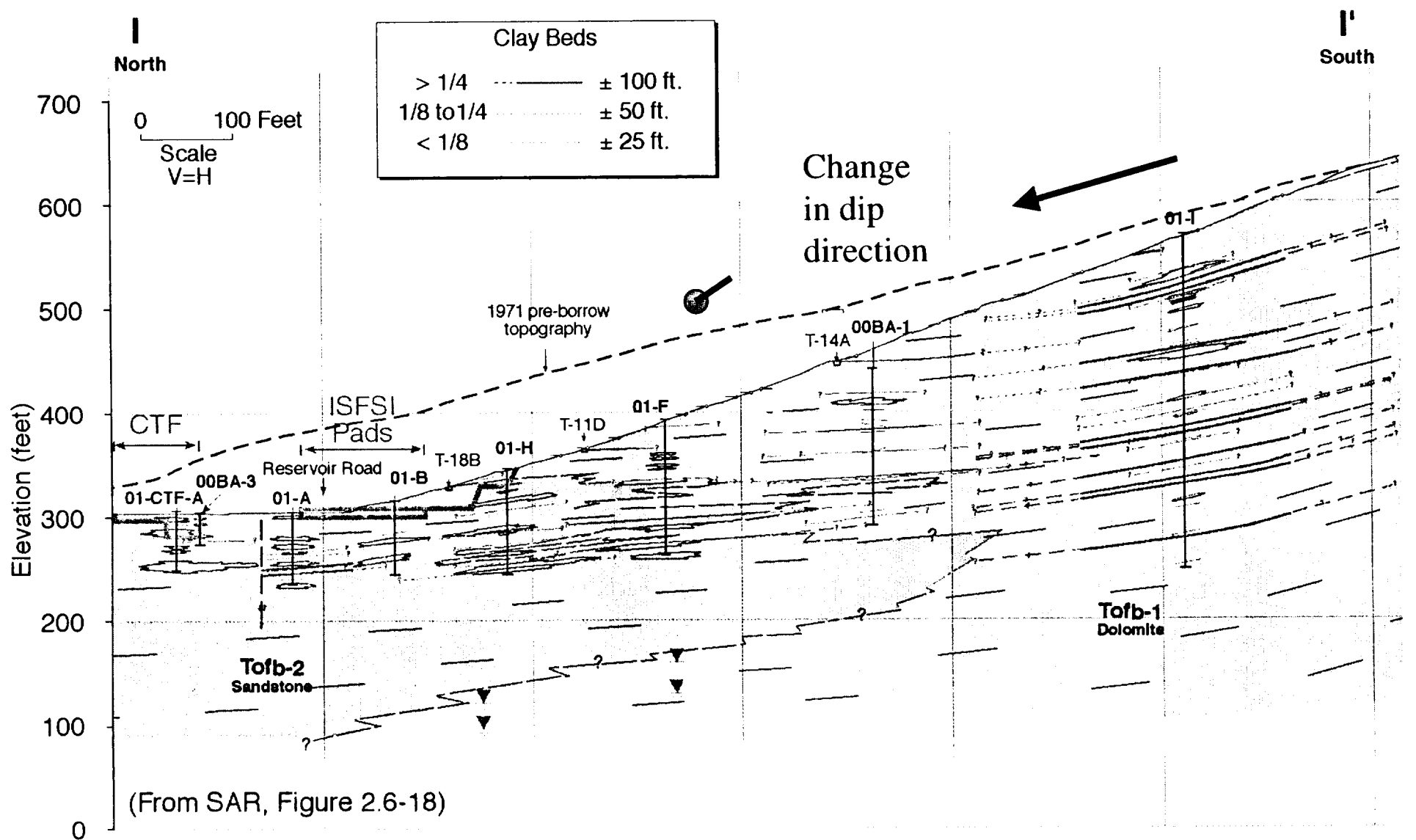
*Question:*  
*Explain Degree of Confidence in Results*

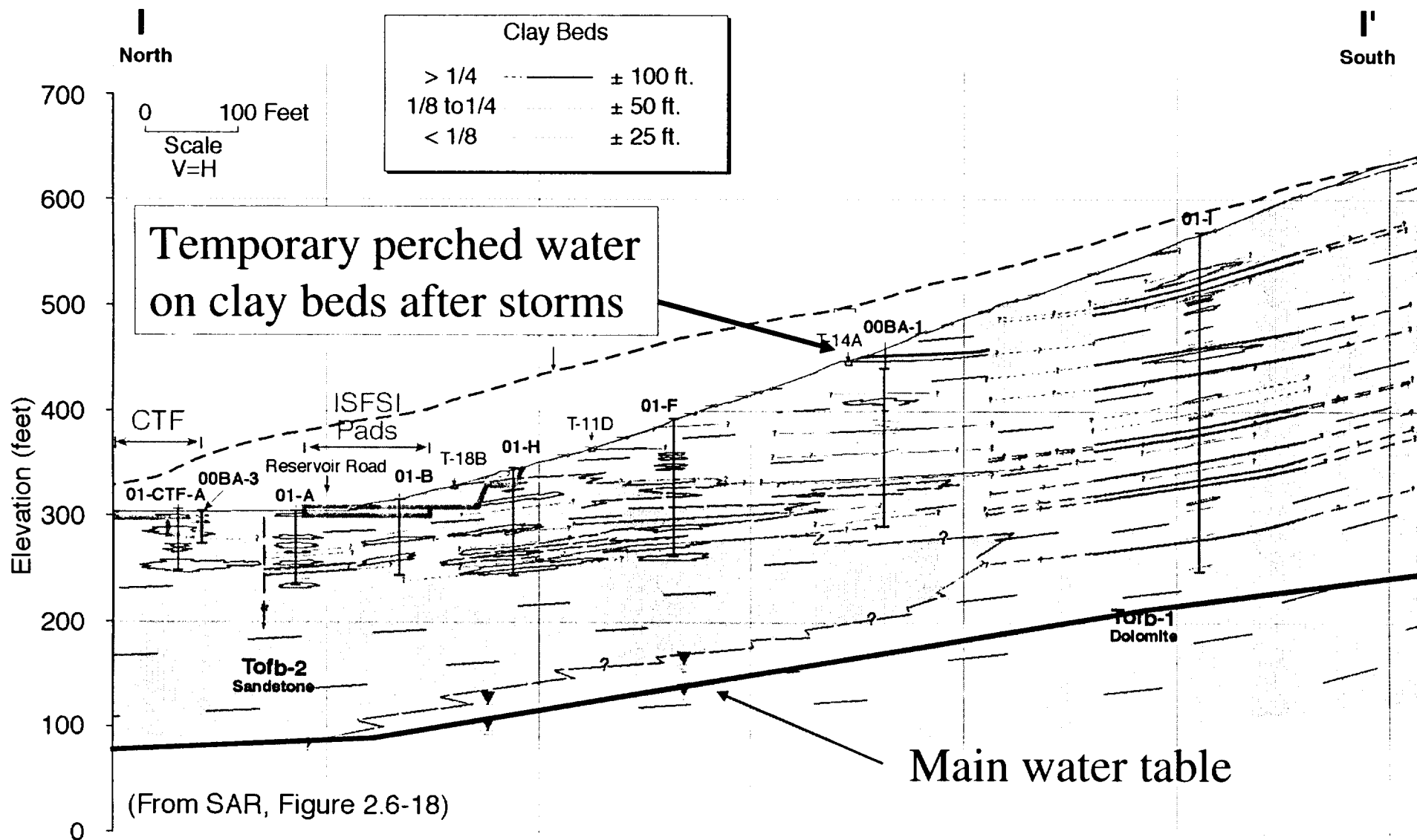
- Input parameters used for modeling potential large-scale rock mass movements are realistic and conservative
- Confidence in predicted foundation conditions at CTF, ISFSI Pads and ISFSI cutslopes

# Input Parameters for Modeling

- Geometry of clay beds well understood
- Groundwater conditions known, clay beds assumed saturated

# Dip Direction

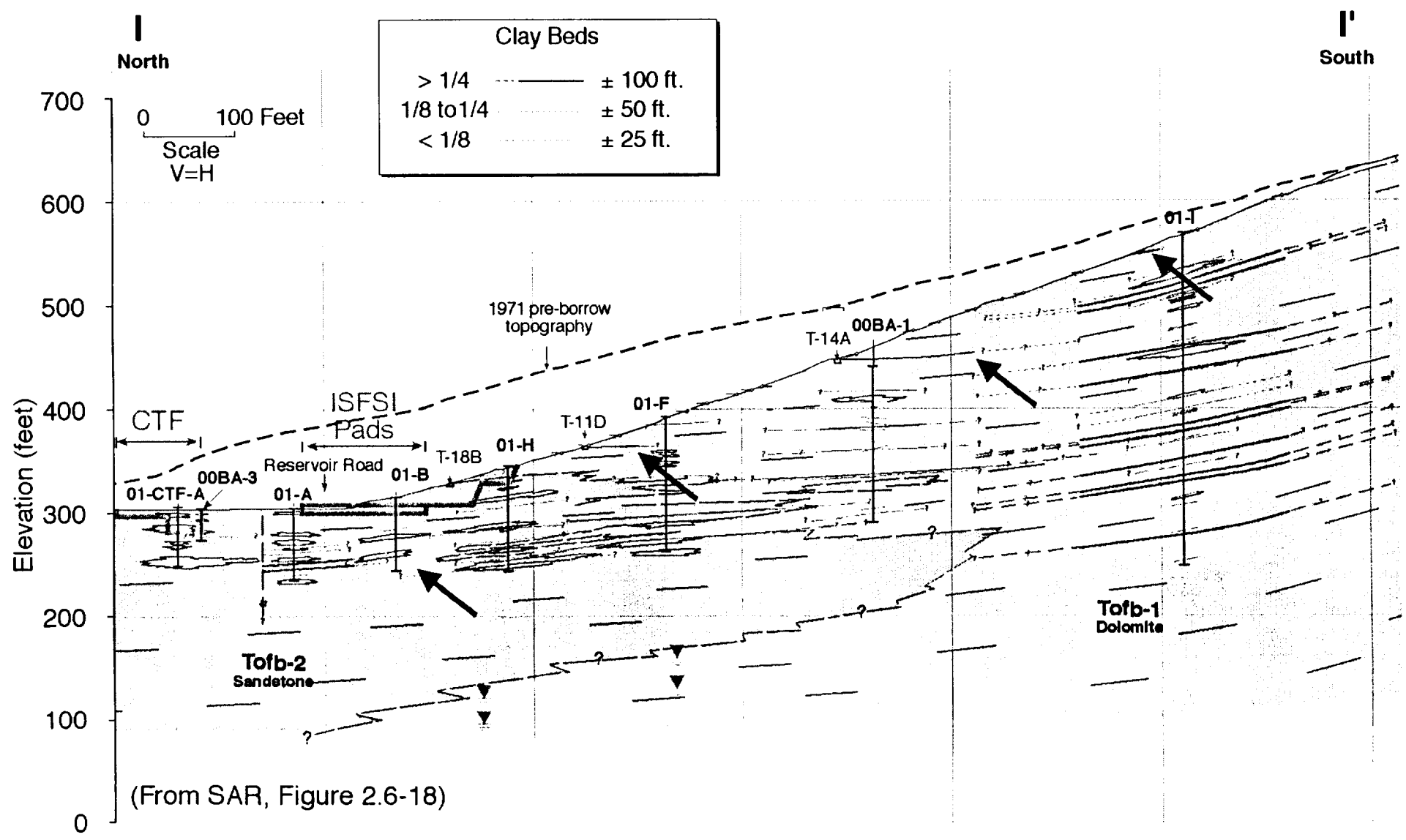




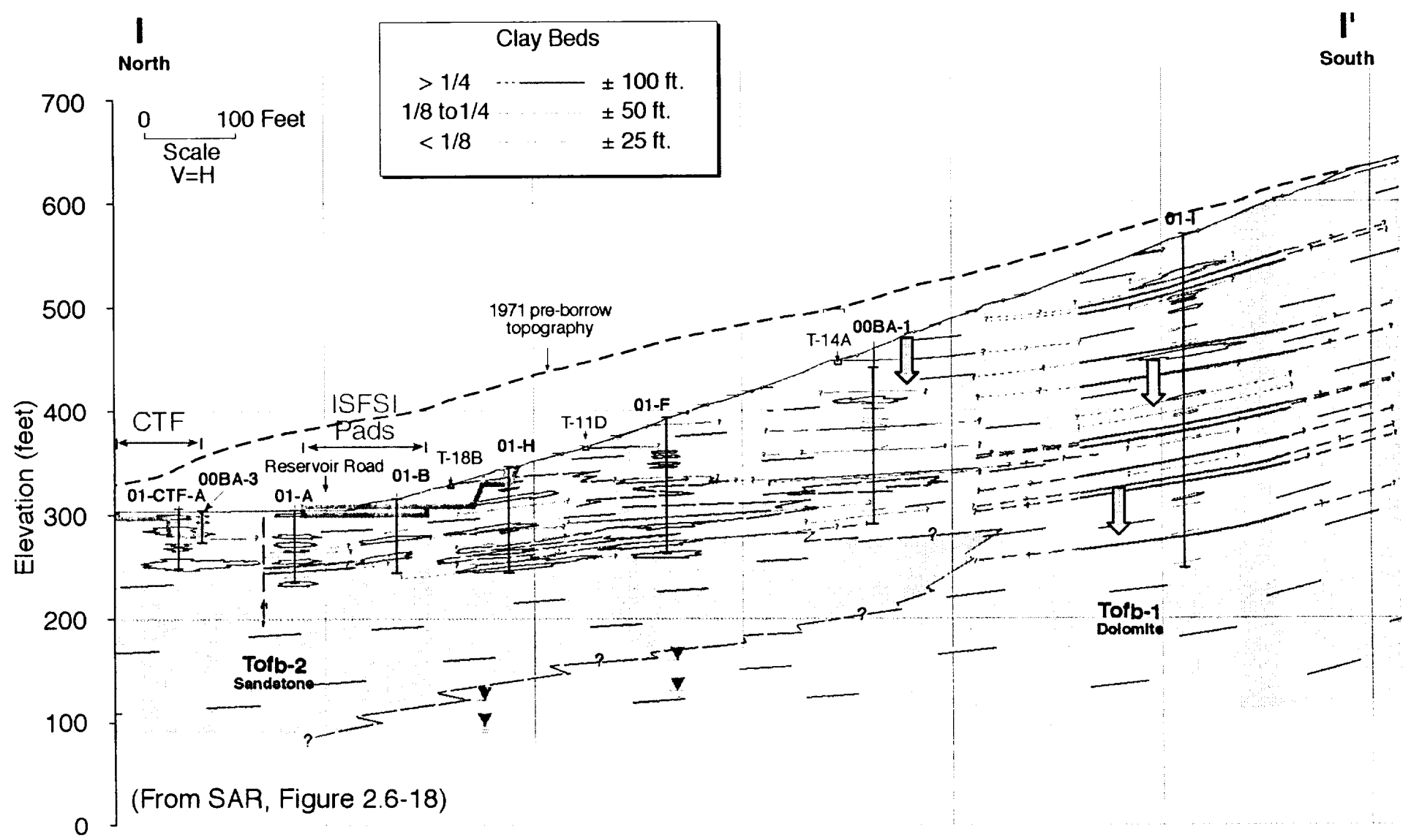
# Large-scale Mass Movements

- Geologic interpretations of extent of clay beds is conservative, but not extreme
- Potential slide planes are chosen to follow the full extent of more extensive clay beds and step between clay beds, this assumes minimum rupture of rock
- Rock to rock contact along potential slide plane along clay beds not factored into model, this would increase the clay strength from that used

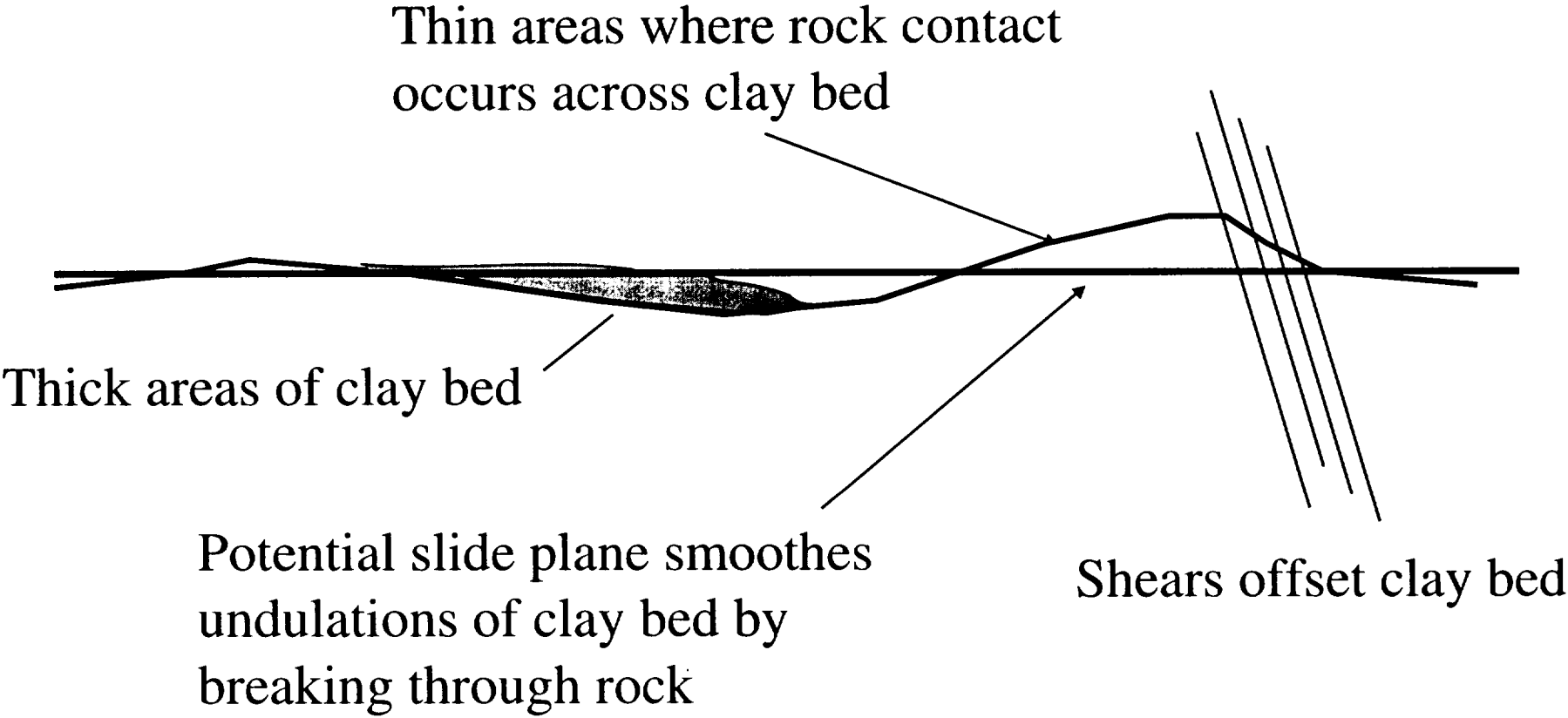
# Clay Beds Not Continuous



# Clay Bed Extent Based on Thickness







Potential Slide Plane Breaks through  
Rock along Clay Bed

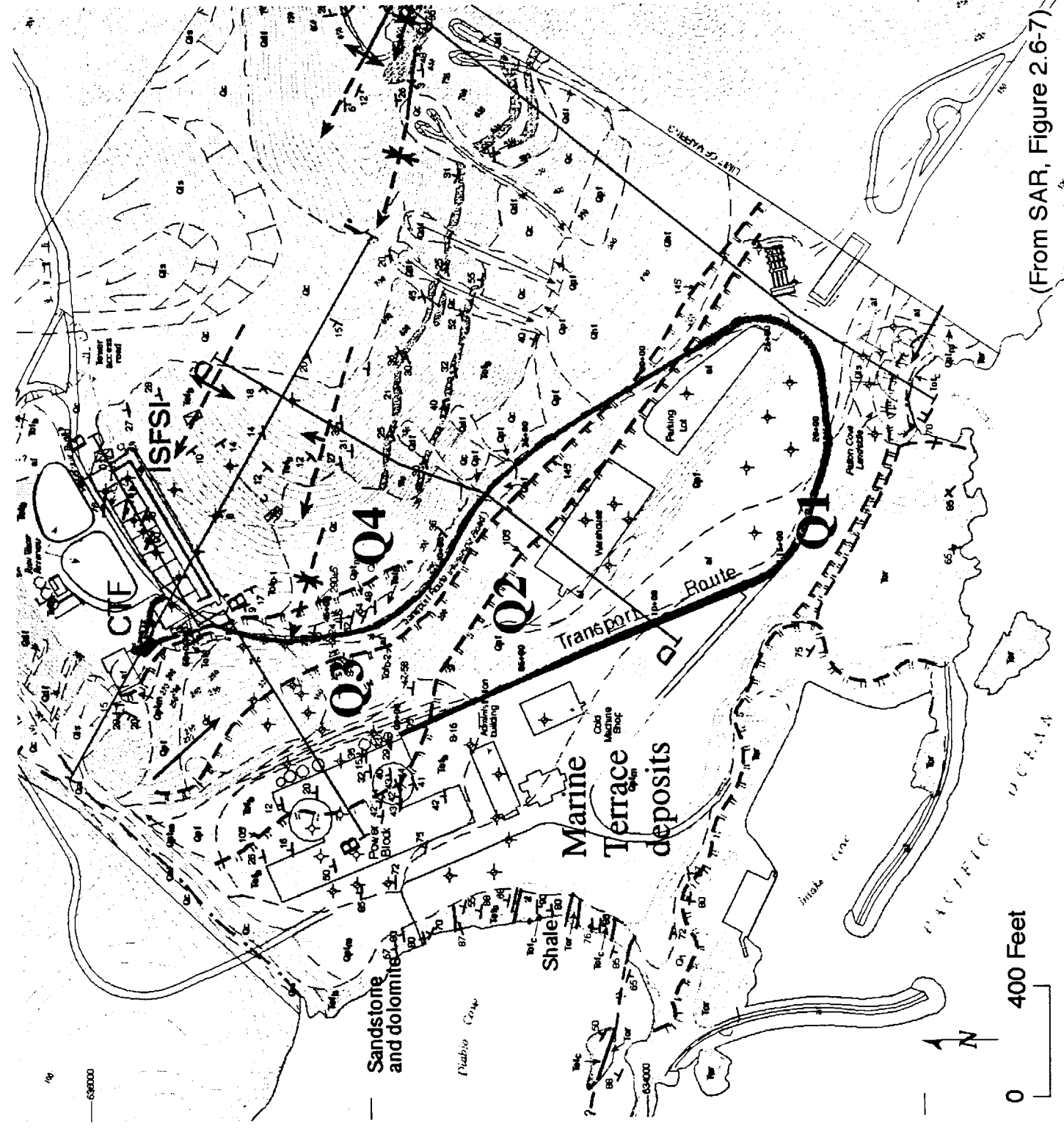
# Evidence of No Landslides at ISFSI

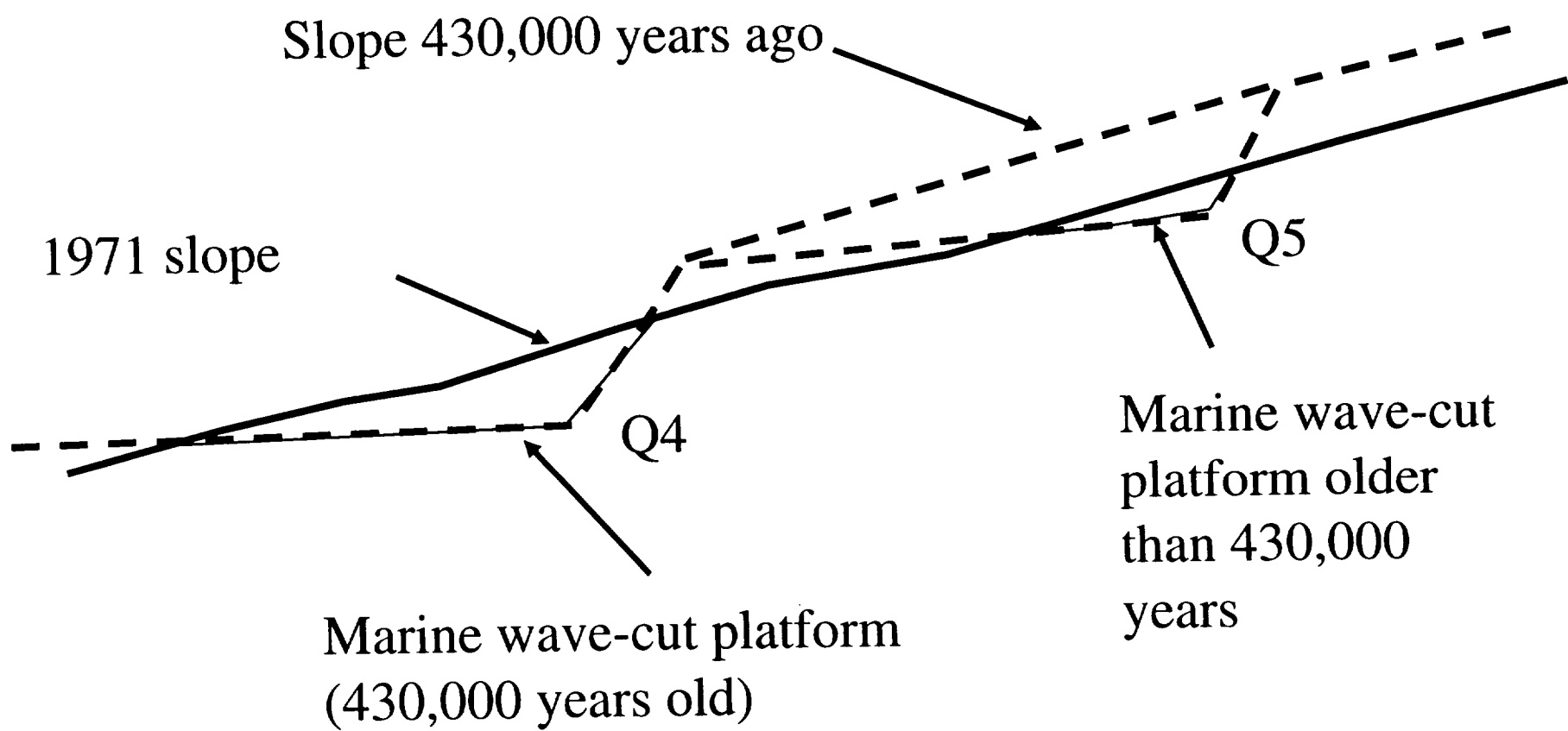
- No evidence on pre-1971 air photos
- No evidence in studies for and excavation of borrow site
- No evidence of any fissures or fissure fills in trenches for ISFSI

# Assumed Displacement of Large Scale Slide Mass

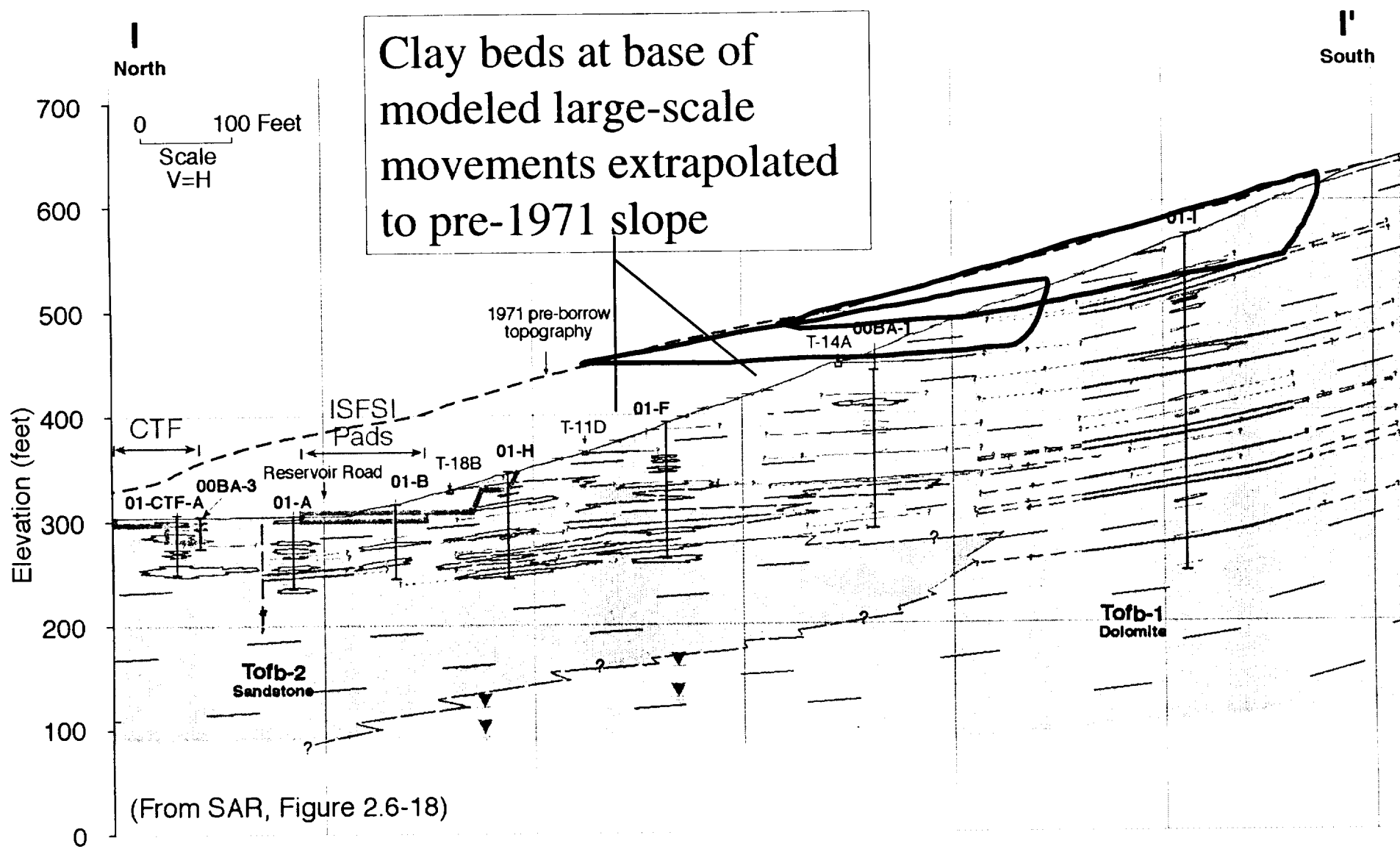
- Fractures in the slope larger than 3 to 4 inches would have left a record on the slope
  - ◆ No vegetation lineaments (similar to the zones of intense growth in filled trenches)
  - ◆ No open fractures or soil-filled fractures in trenches on slope
- Hillslope is 430,000 years old
- Subjected to many large earthquakes
  - ◆ Assumed 4 inches would occur in one slide event

# Marine Terraces



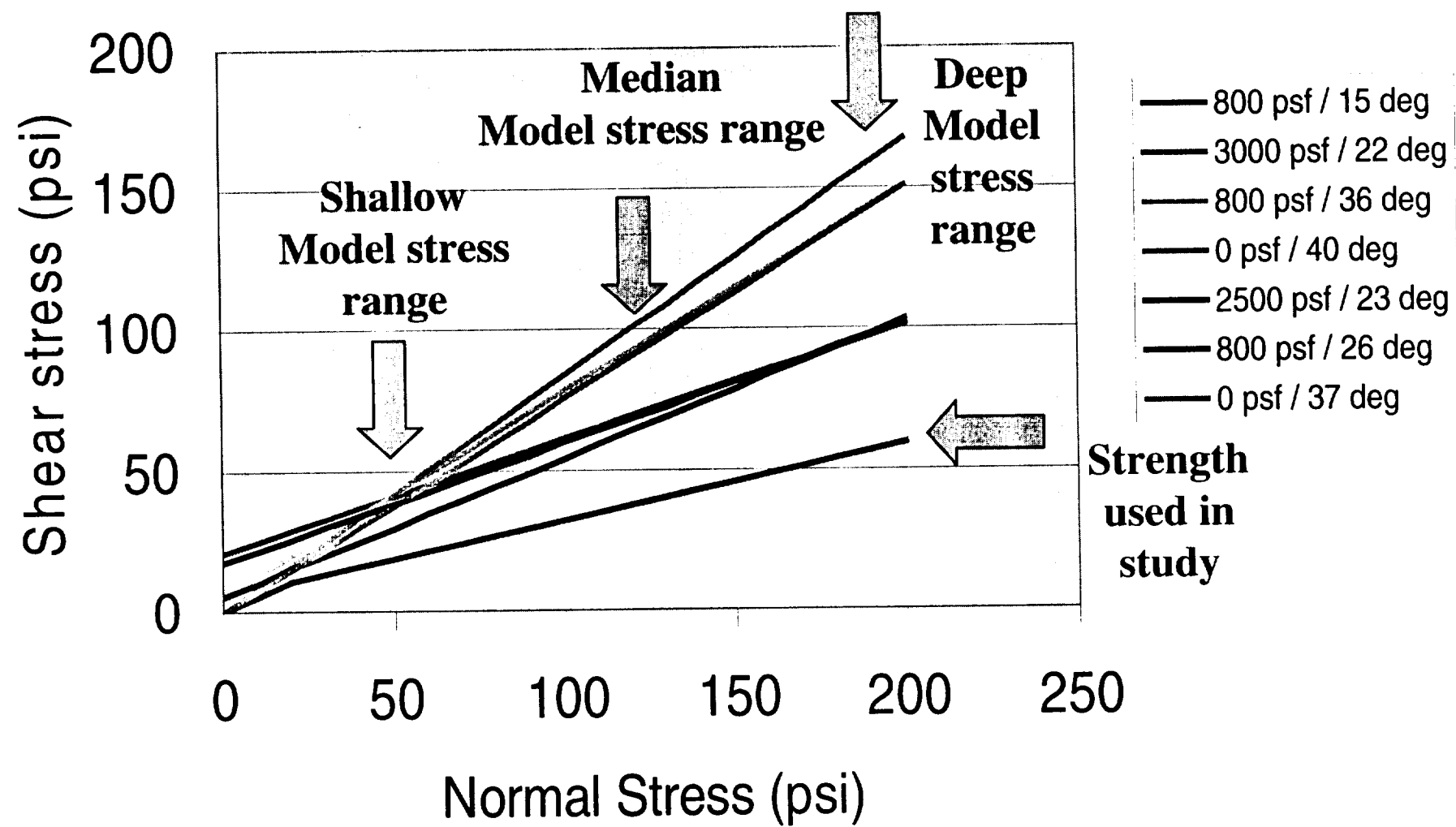


Hill Slope is 430,000 Years Old,  
but degraded a Few Tens of Feet



# Results of Sensitivity Study

## Clay Bed Strengths



# Confidence in Predicted Foundation Conditions at CTF, ISFSI Pads and Cutslopes

- High confidence in rock types predicted
  - ◆ Sandstone
  - ◆ Dolomite
  - ◆ Friable Sandstone
  - ◆ Friable Dolomite
  - ◆ Clay beds



# Interpretations with Less Certainty

- Locations and percentage of rock types not known with certainty
- Friable diabase may be encountered and is expected to have the same properties as friable sandstone
- Attitude of clay beds uncertain, more clay beds may be exposed
- Precise location of faults uncertain, other shear zones are expected

# Conclusion

- High degree of confidence that there will be no significant surprises
- Features will be mapped during construction
- Planned mitigation measures will be applied as appropriate

***April 9, 2002***

Diablo Canyon ISFSI Site Tour



Attachment 3

***Diablo Canyon Dry Cask Tour Agenda***  
***April 9, 2002***

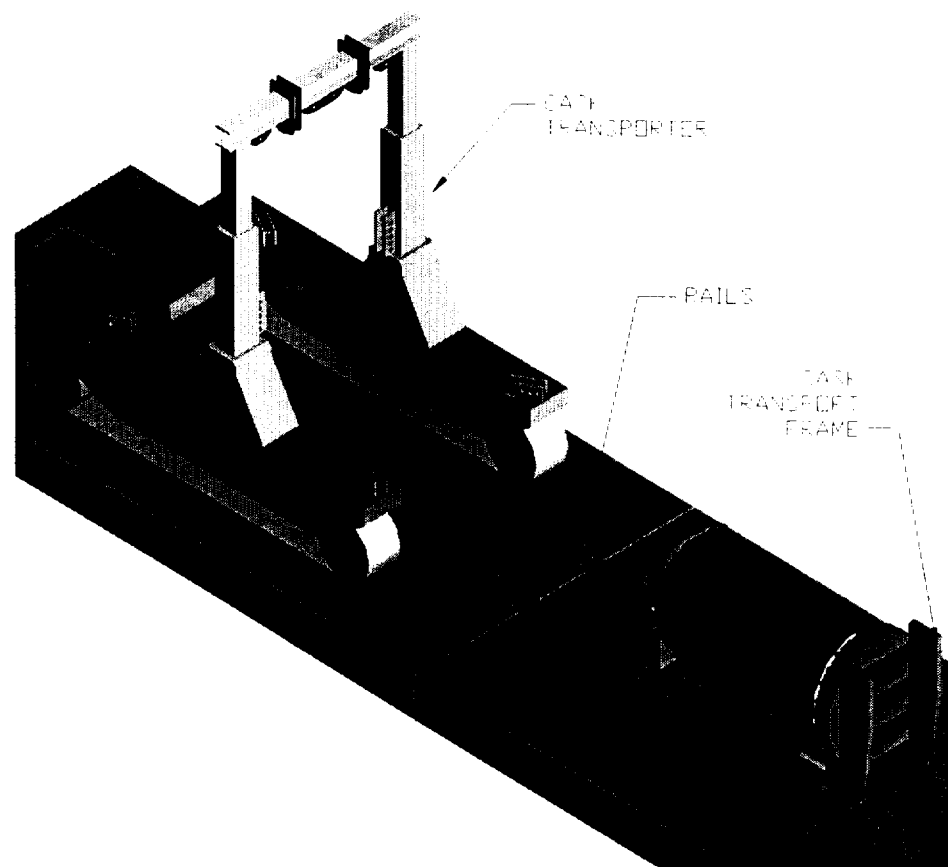
- 8:00 – 8:25      Maintenance Shop Building  
Training, Badging, Dosimetry
- 8:30 – 8:35      Canyon Room (Breakfast provided)  
Intro by Jearl Strickland, USFP Manager
- 8:35– 9:30      Canyon Room  
Part 50 and Part 72 Presentations
- 9:30 – 9:40      Break
- 9:40 – 10:40    Canyon Room  
Geotechnical Presentation

***Diablo Canyon Dry Cask Tour Agenda  
April 9, 2002***

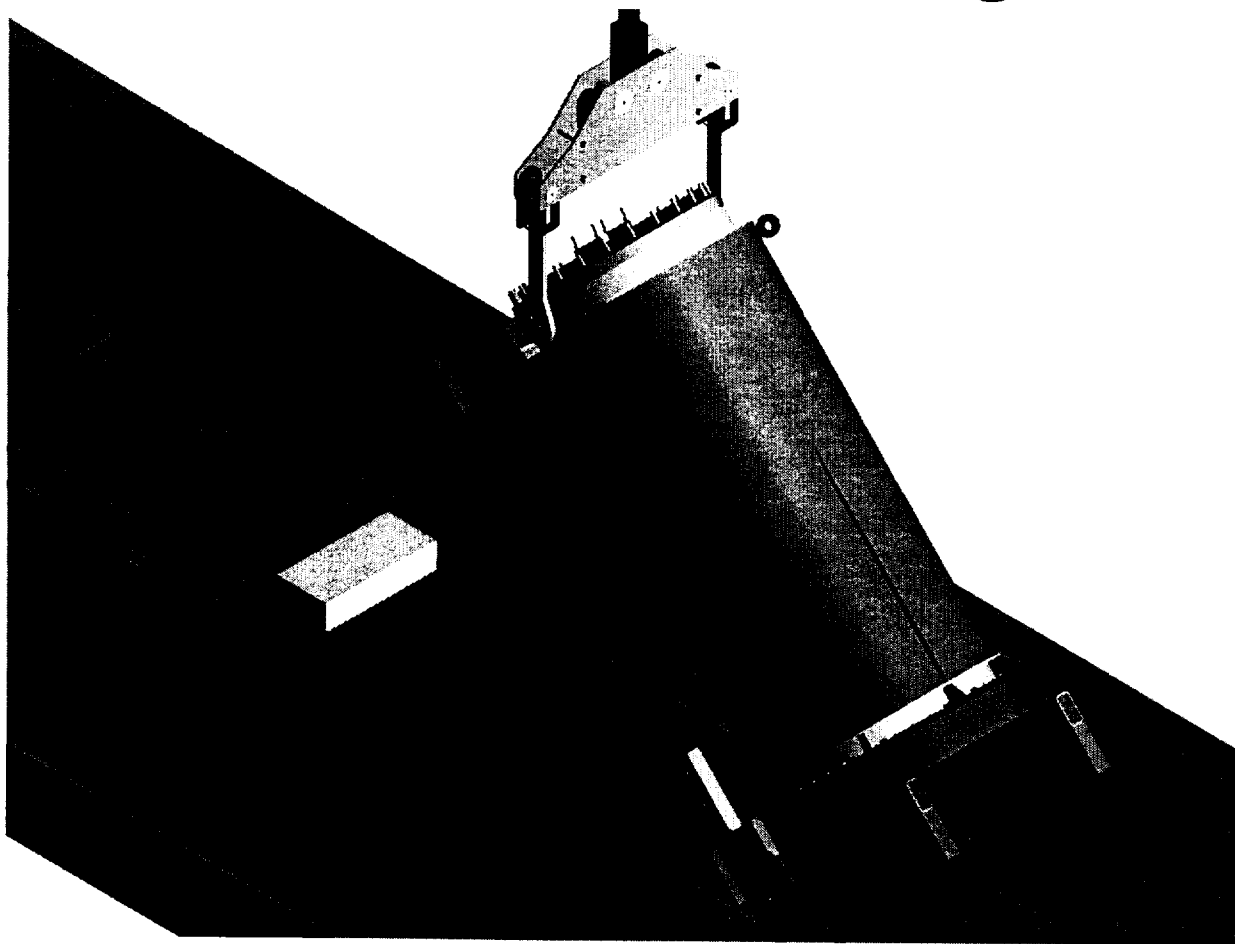
|               |  |
|---------------|--|
| 10:45 – 12:15 | Board bus in front of Training Building<br>Geosciences Tour  |
| 12:15 – 1:00  | Training Building, Room 123<br>Lunch   |
| 1:05 – 4:00   | Board bus in front of Training Building<br>Field/ISFSI Site Inspection<br>(Outside Protected Area) |
| 4:00 – 5:00   | Training Building, Room 123<br>Closure Activities, Q&A   |

# ***Process for Loading Used Fuel into Dry Storage***

# ***Transfer Cask Placement in Fuel Handling Bldg***

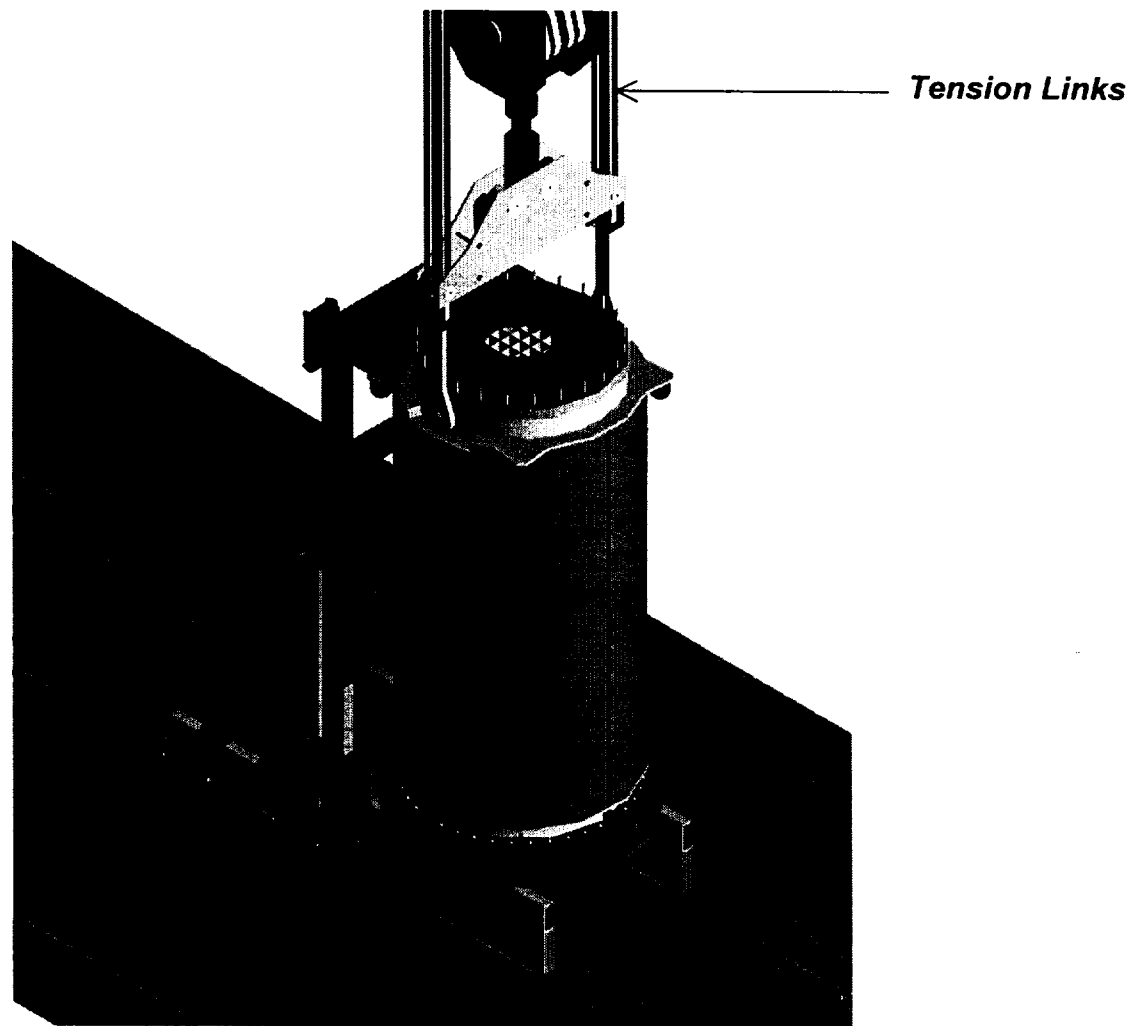


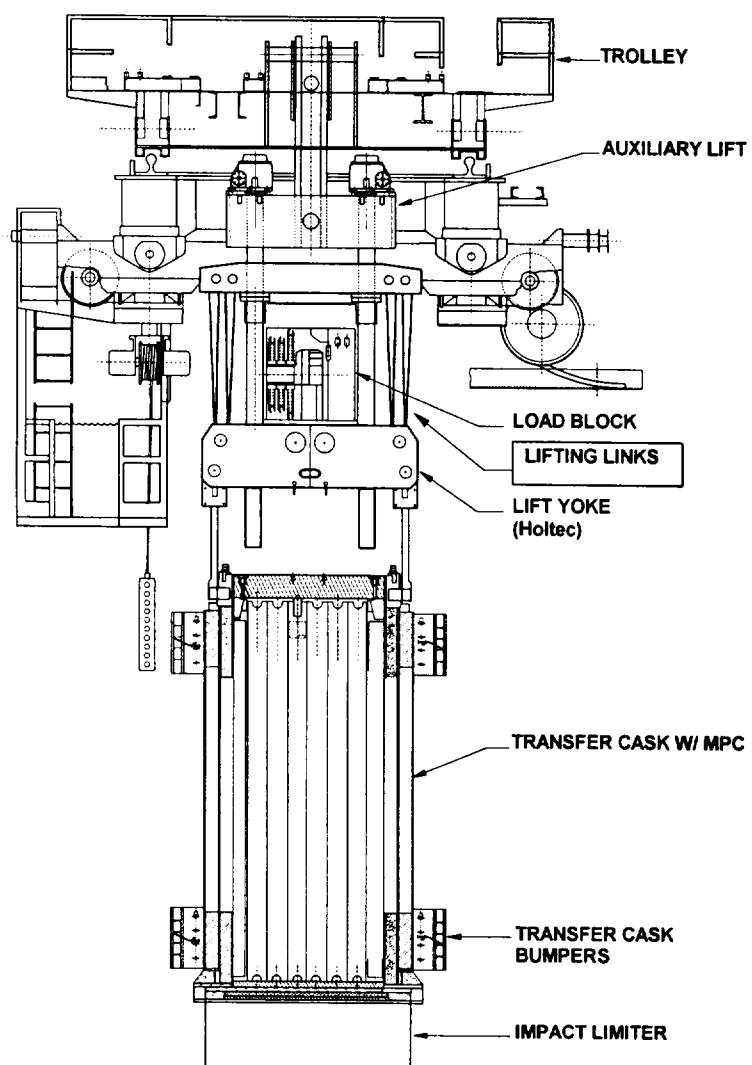
# ***Transfer Cask Upending in Fuel Handling Bldg***





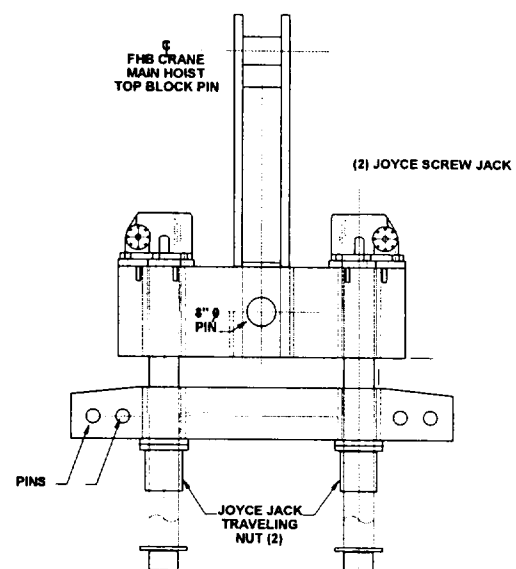
# ***Cask Transport Frame Stabilizer***



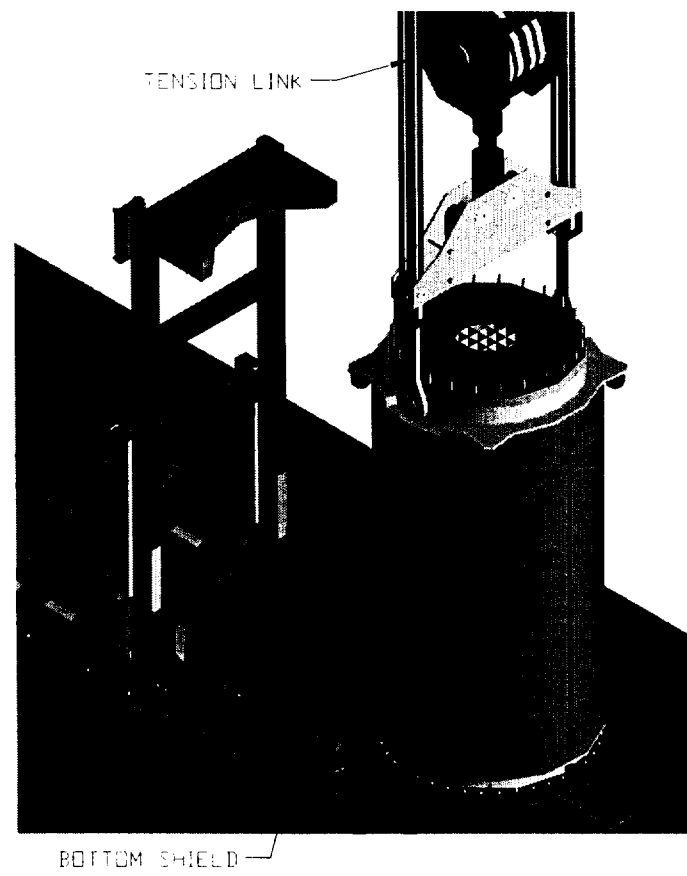


# FUEL HANDLING BUILDING CRANE

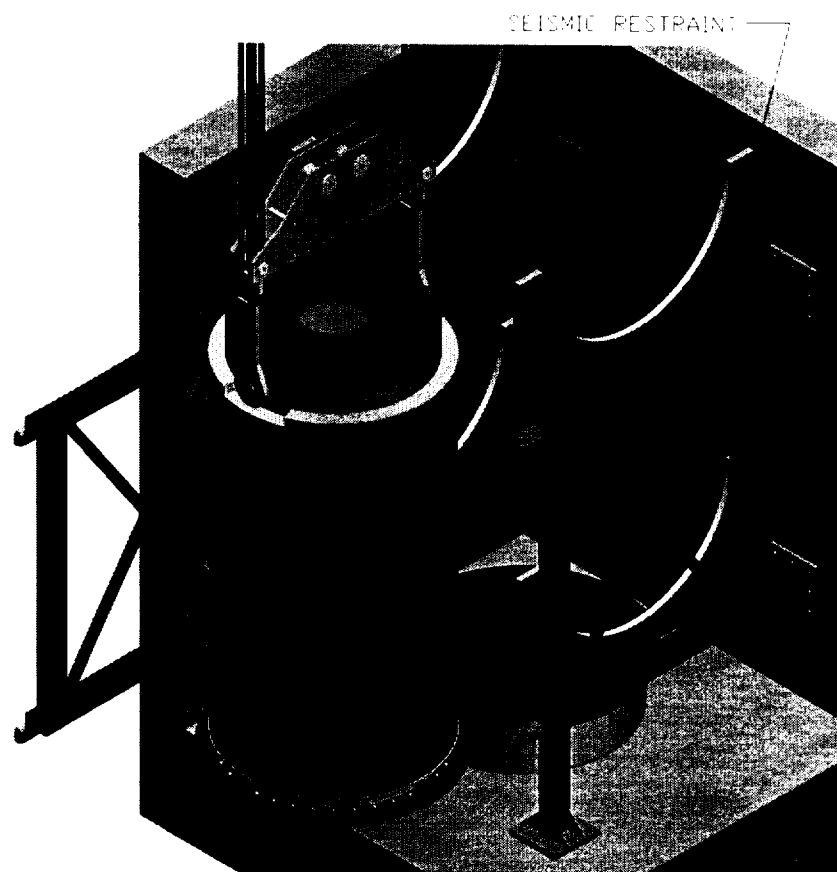
## AUXILIARY LIFT



# ***Transfer Cask Removal From the Cask Transport Frame***



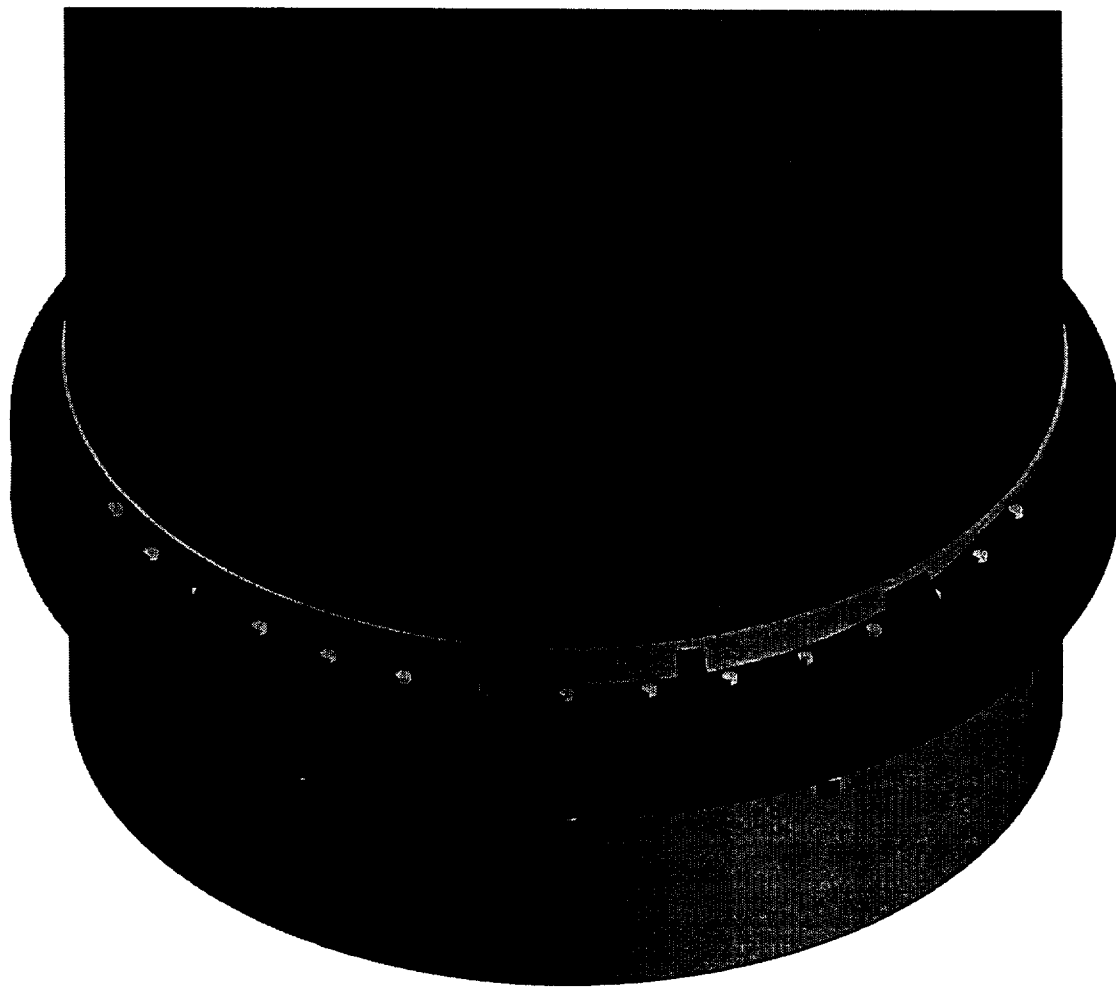
# ***Transfer Cask Placement in the Cask Washdown Area***



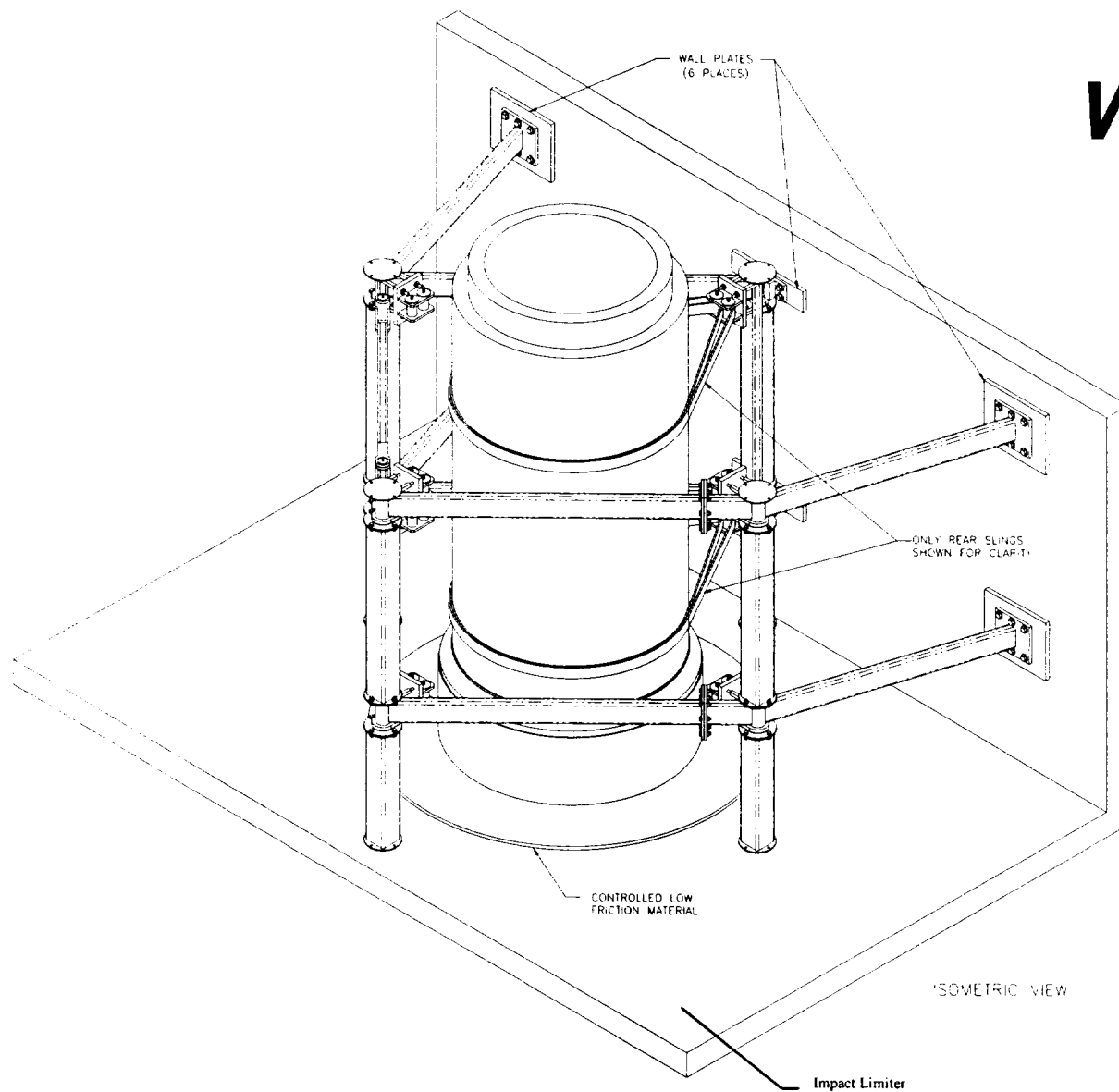
Note: Main hook not  
shown, but is  
engaged

***Note: Seismic Restraint is not current version in this slide***

# ***Attachment of Impact Limiter***

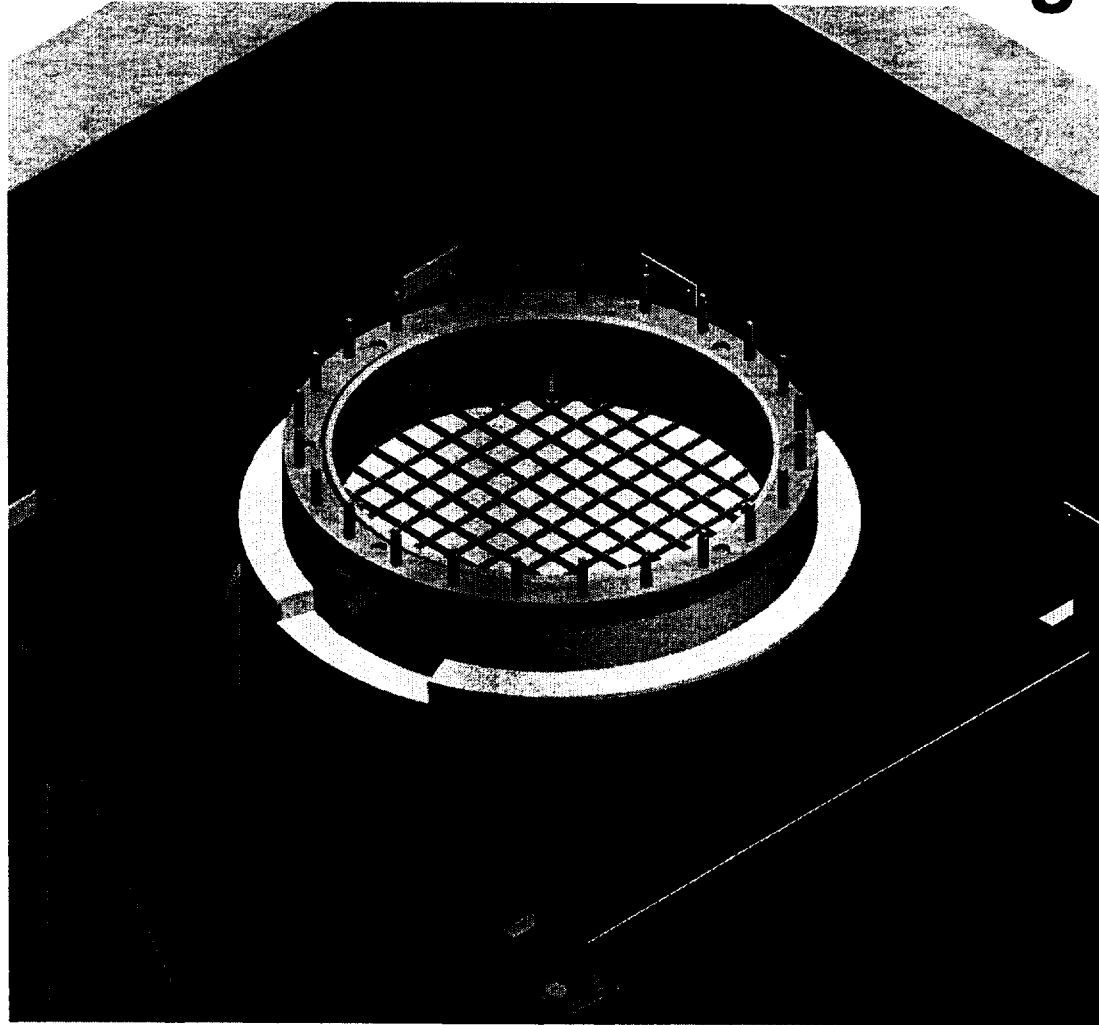


# Cask Washdown Area Seismic Restraint



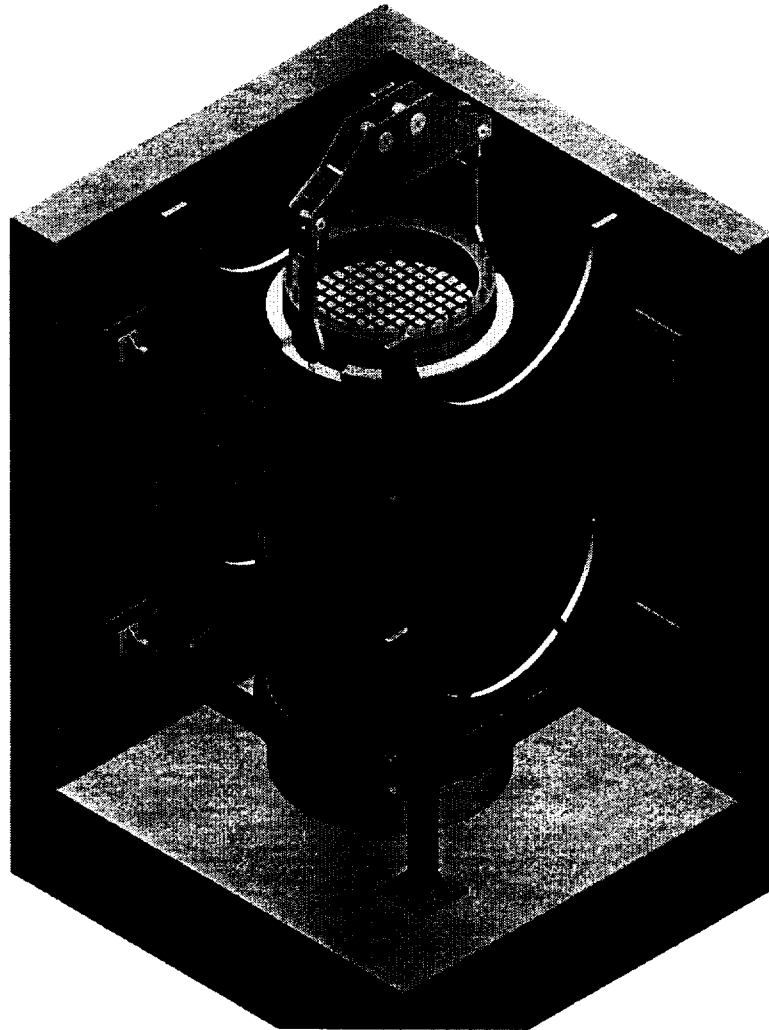
↑

# ***MPC and Annulus Filling***



***Note: Seismic restraint is not current version in this slide***

# ***Transfer Cask Removal from Frame***

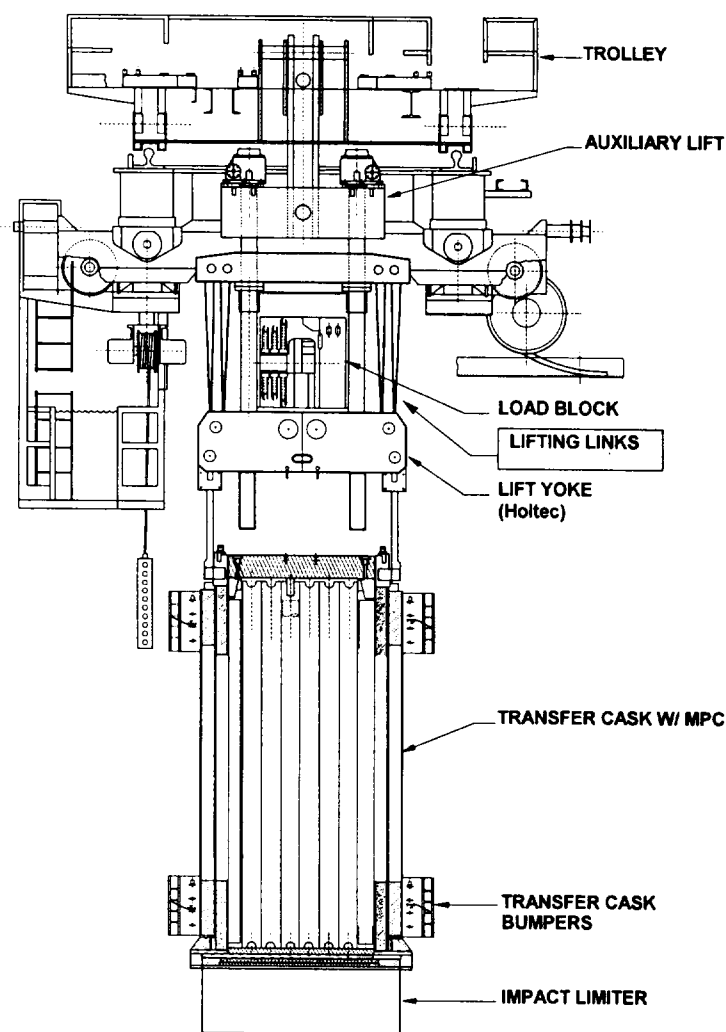


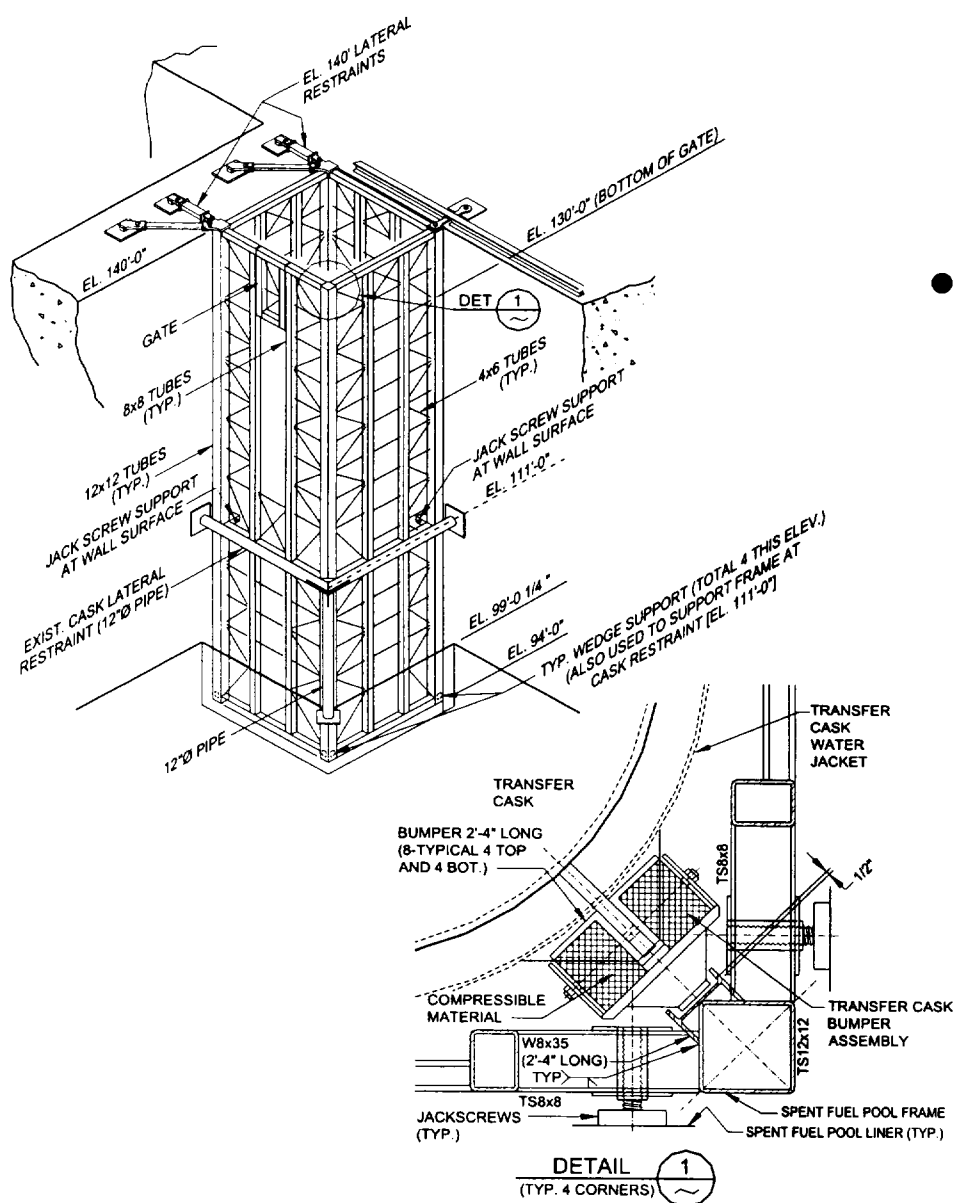
Note: Main hook is engaged, but not shown

Note: Seismic Restrain is not current version in this slide



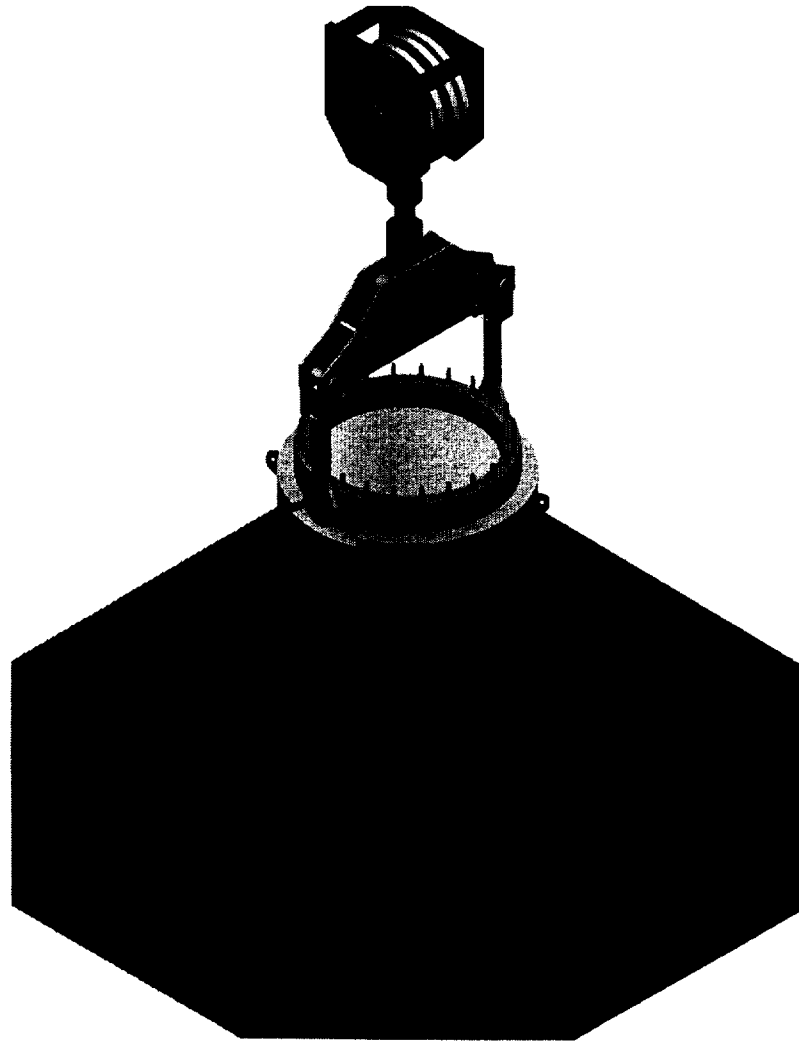
**TRANSFER CASK  
READY FOR  
HORIZONTAL  
MOVEMENT TO  
SFP**



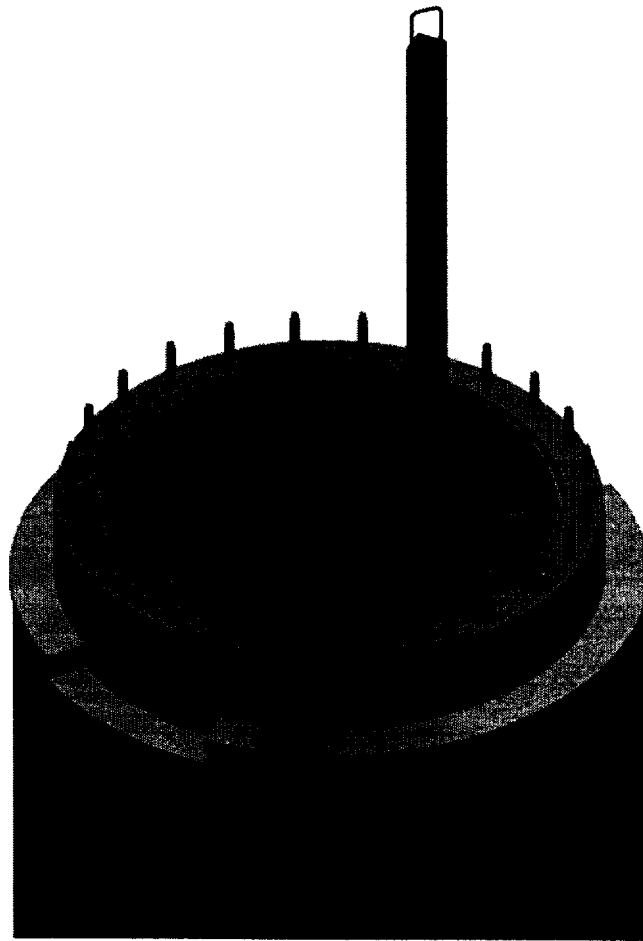


# • SPENT FUEL POOL STRUCTURAL FRAME DETAIL

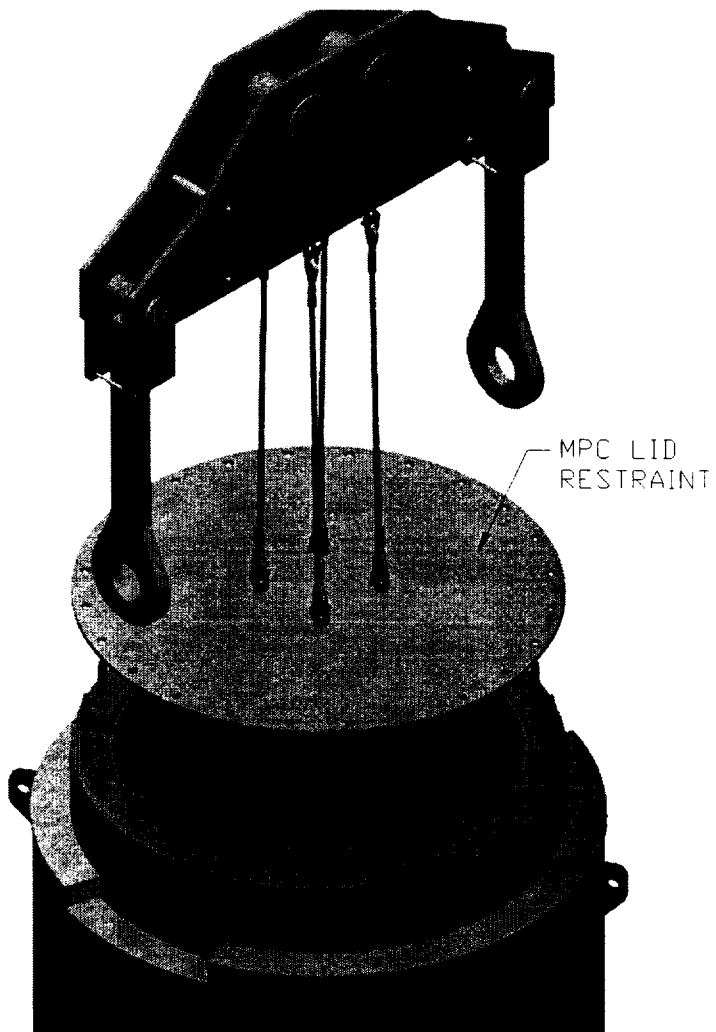
# ***Transfer Cask Placement in Pool after Engaging in SFP Frame***



# ***Spent Fuel Loading into MPC***

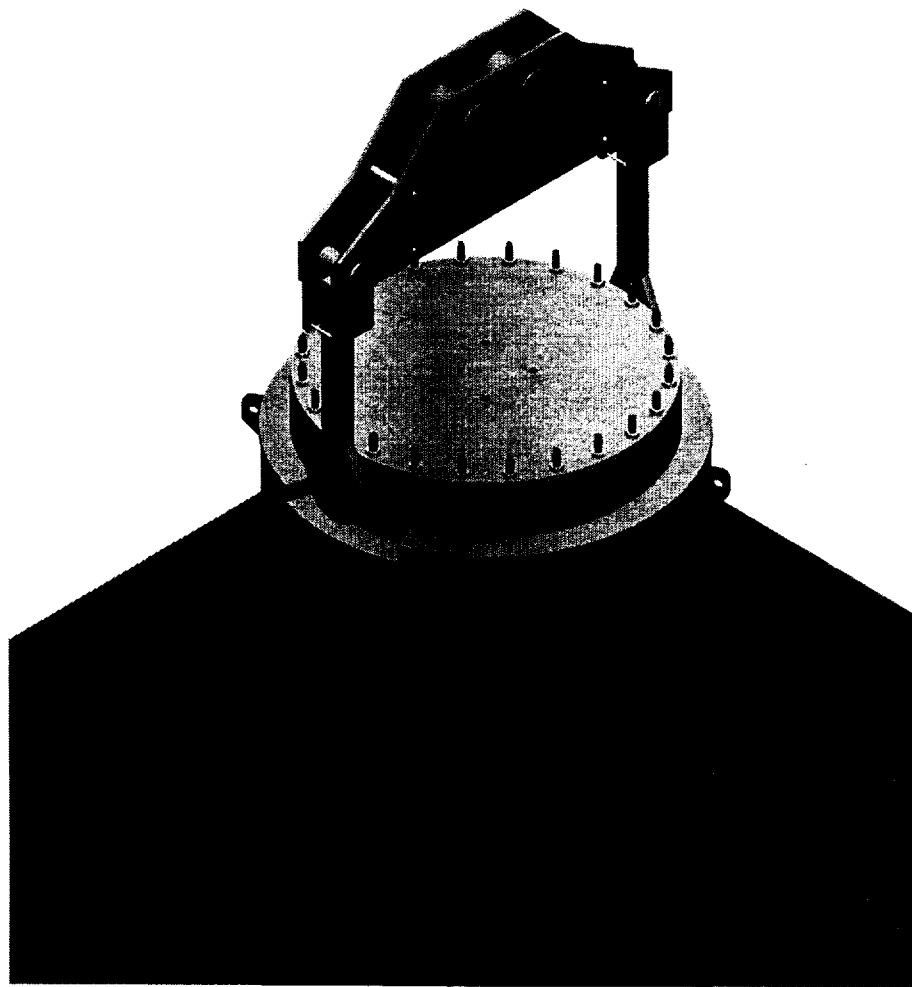


# ***MPC Lid Installation***



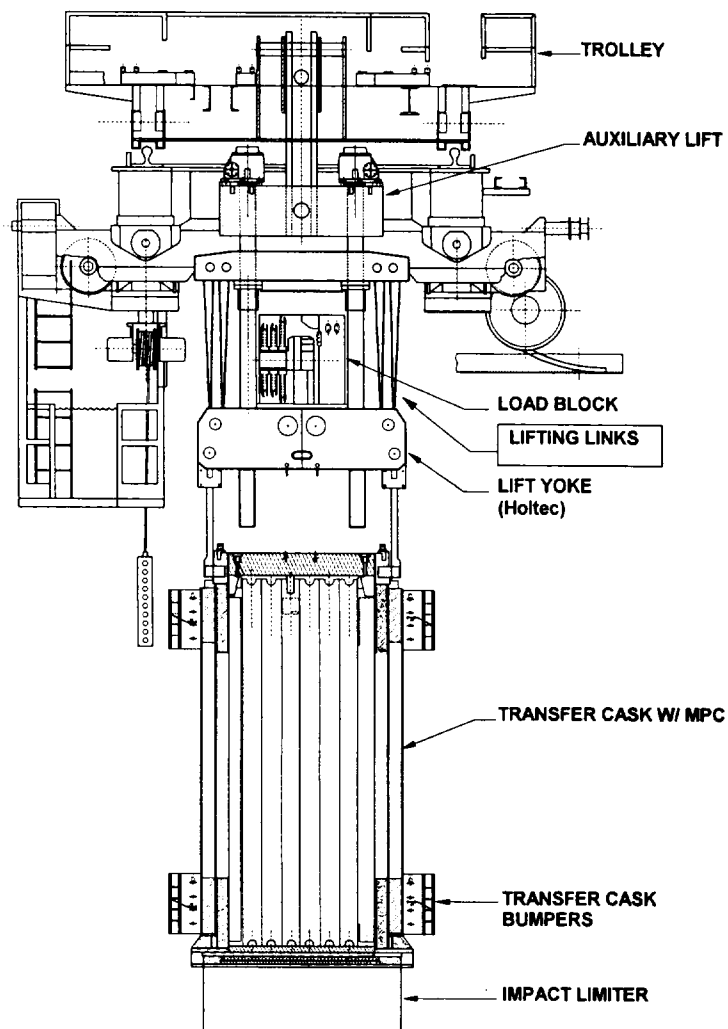
Notes: Main hook is engaged, but  
not shown  
Final lid restraint will differ

# ***MPC Lid Installation***

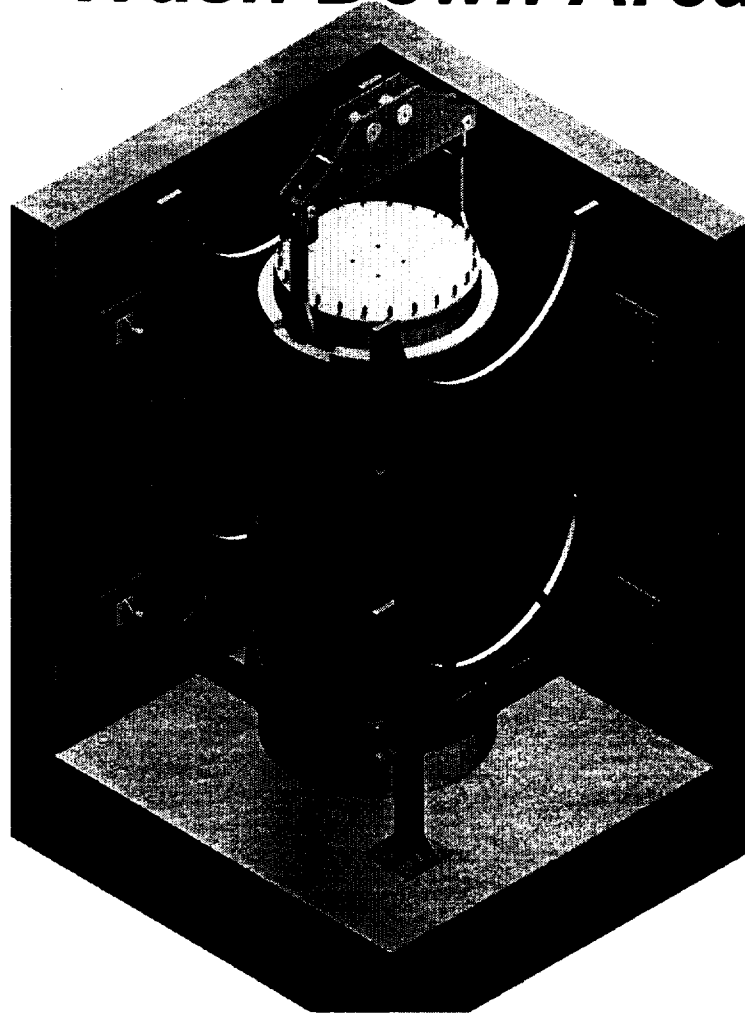


Note: Main hook is engaged, but not shown

# **TRANSFER CASK READY FOR HORIZONTAL MOVEMENT TO CWA**



# ***Placement of Transfer Cask in Cask Wash Down Area***

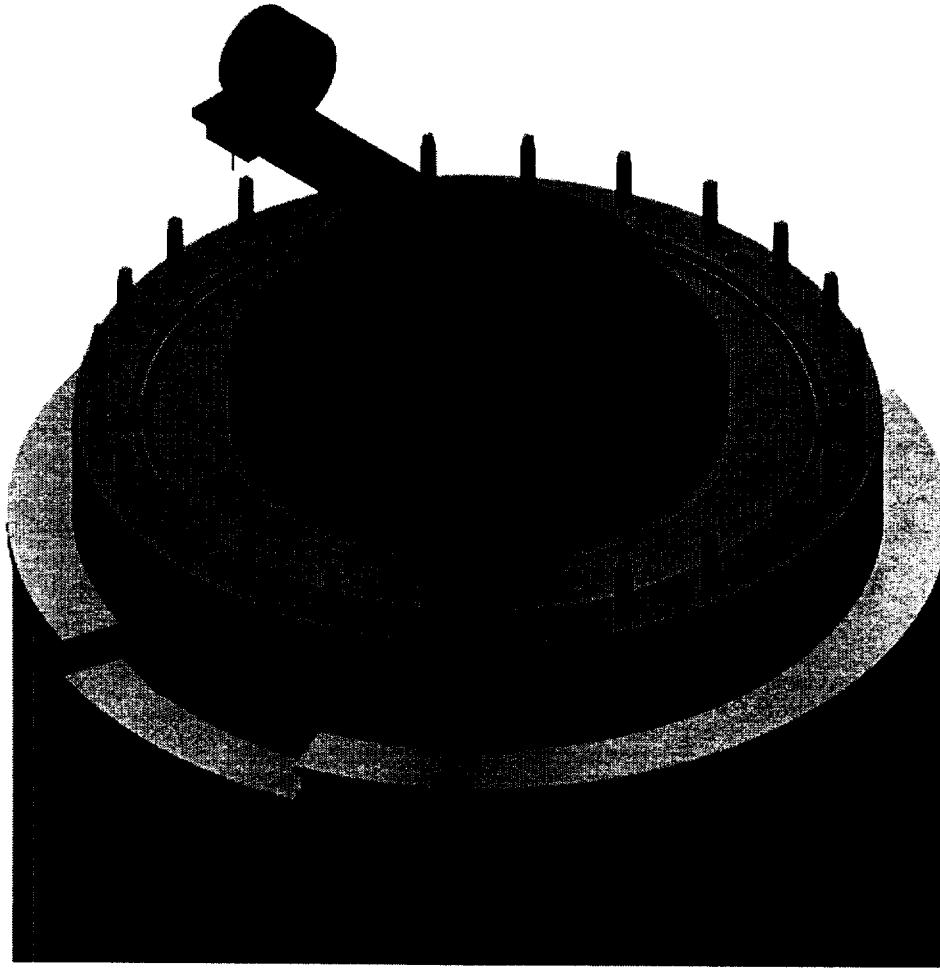


Note: Main hook is engaged, but not shown

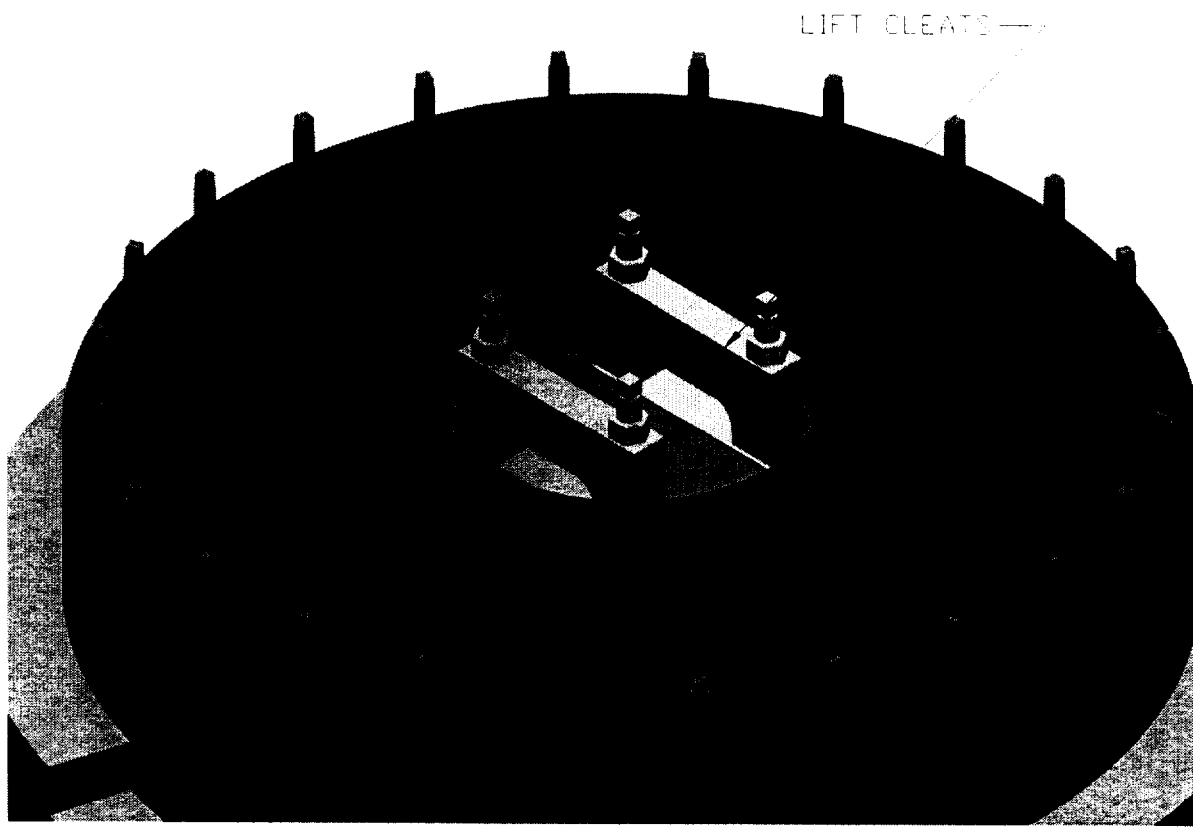
Note: Seismic Restraint is not current version in this slide



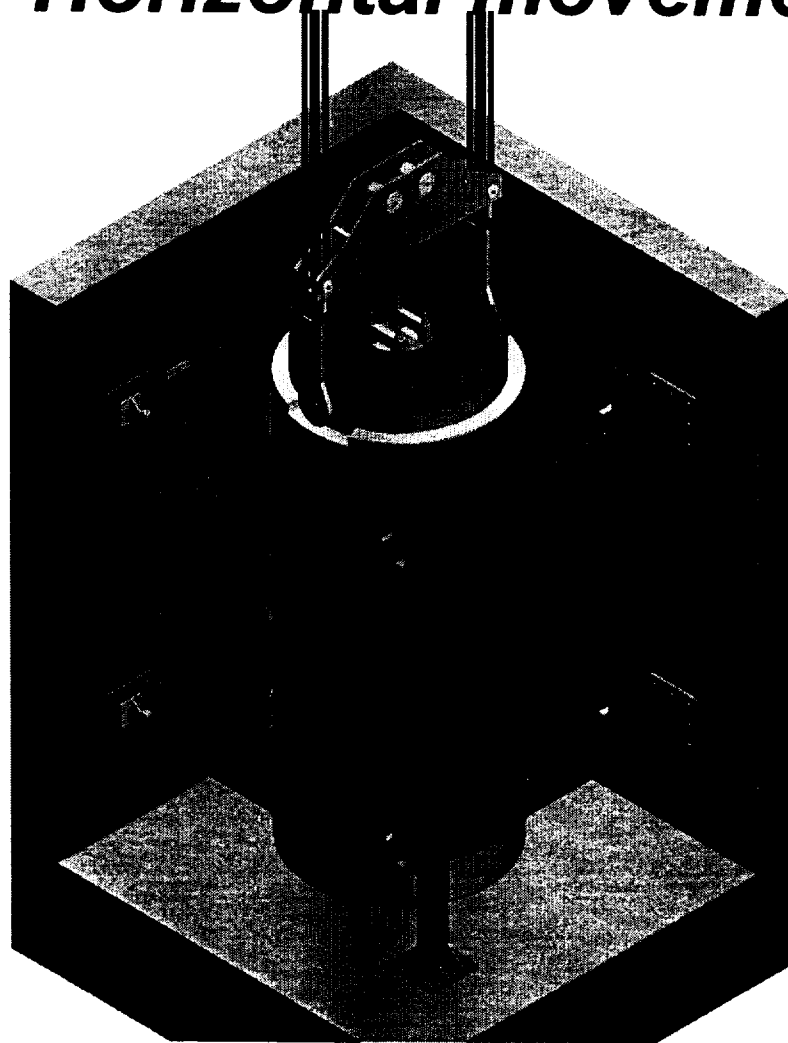
# ***MPC Lid Welding***



# ***MPC Lift Cleat Installation***



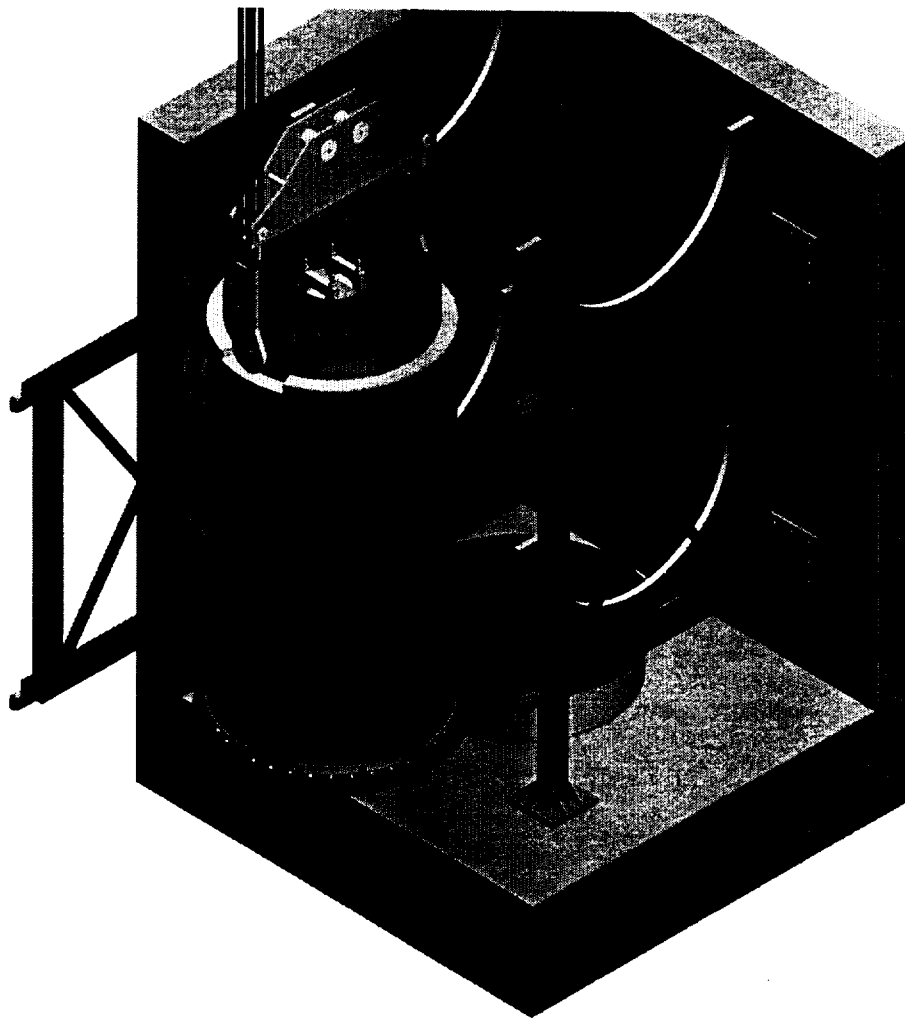
# ***Transfer Cask Readied for Horizontal movement***



Note: Main hook is engaged, but not shown

Note: Seismic Restrain is not current version in this slide

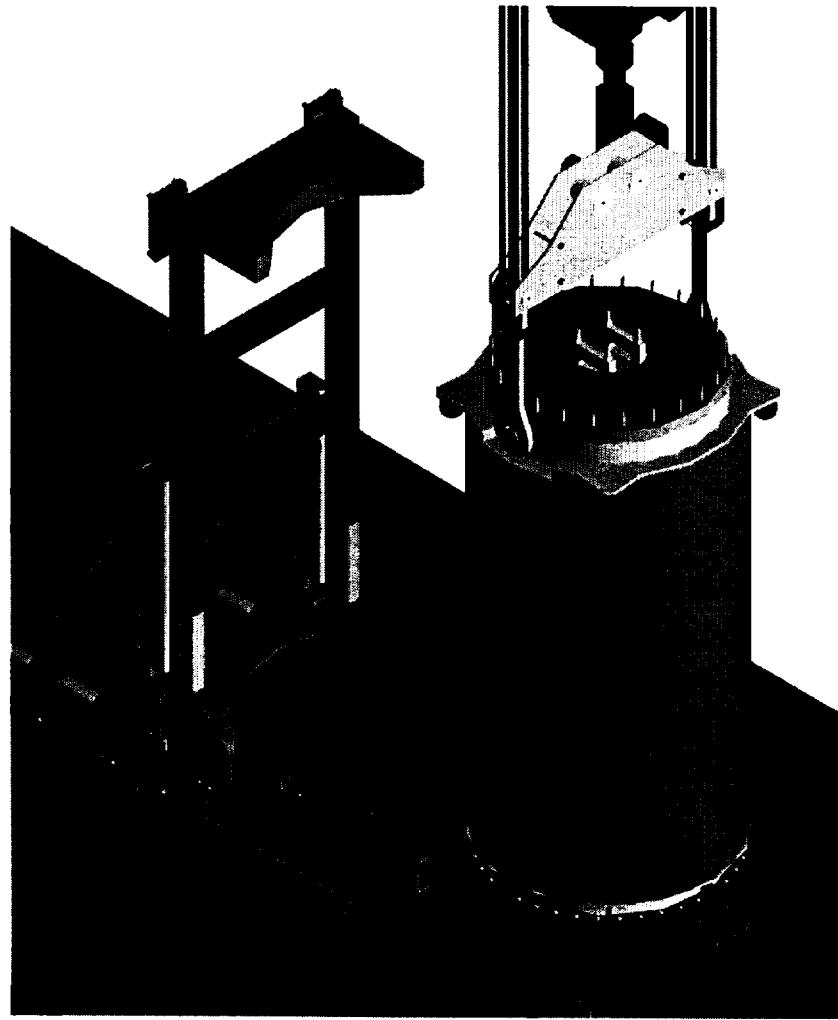
# *Transfer Cask Removal from Frame*



Note: Main hook is engaged, but not shown

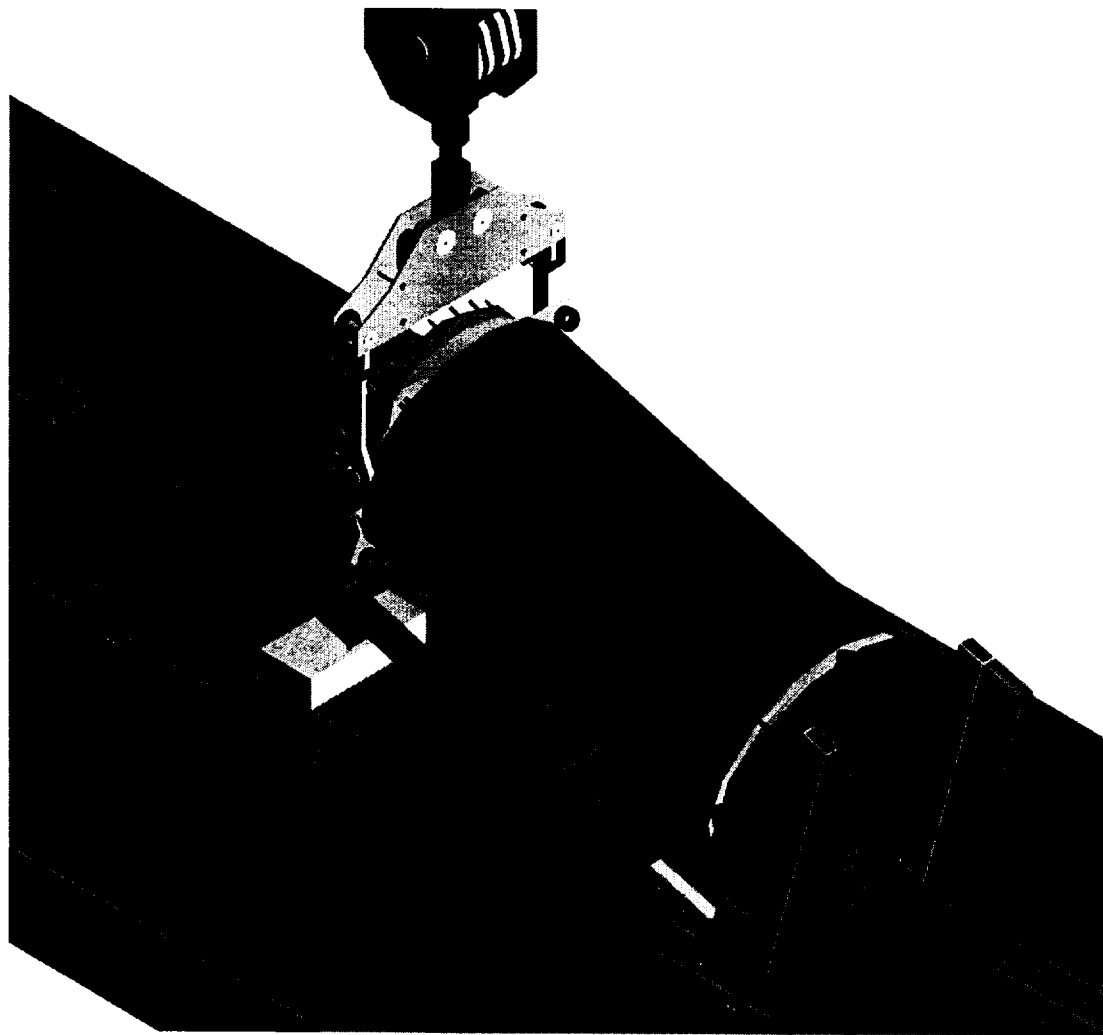
Note: Seismic Restraint is not current version in this slide

# ***Transfer Cask Placed on Transport Frame***

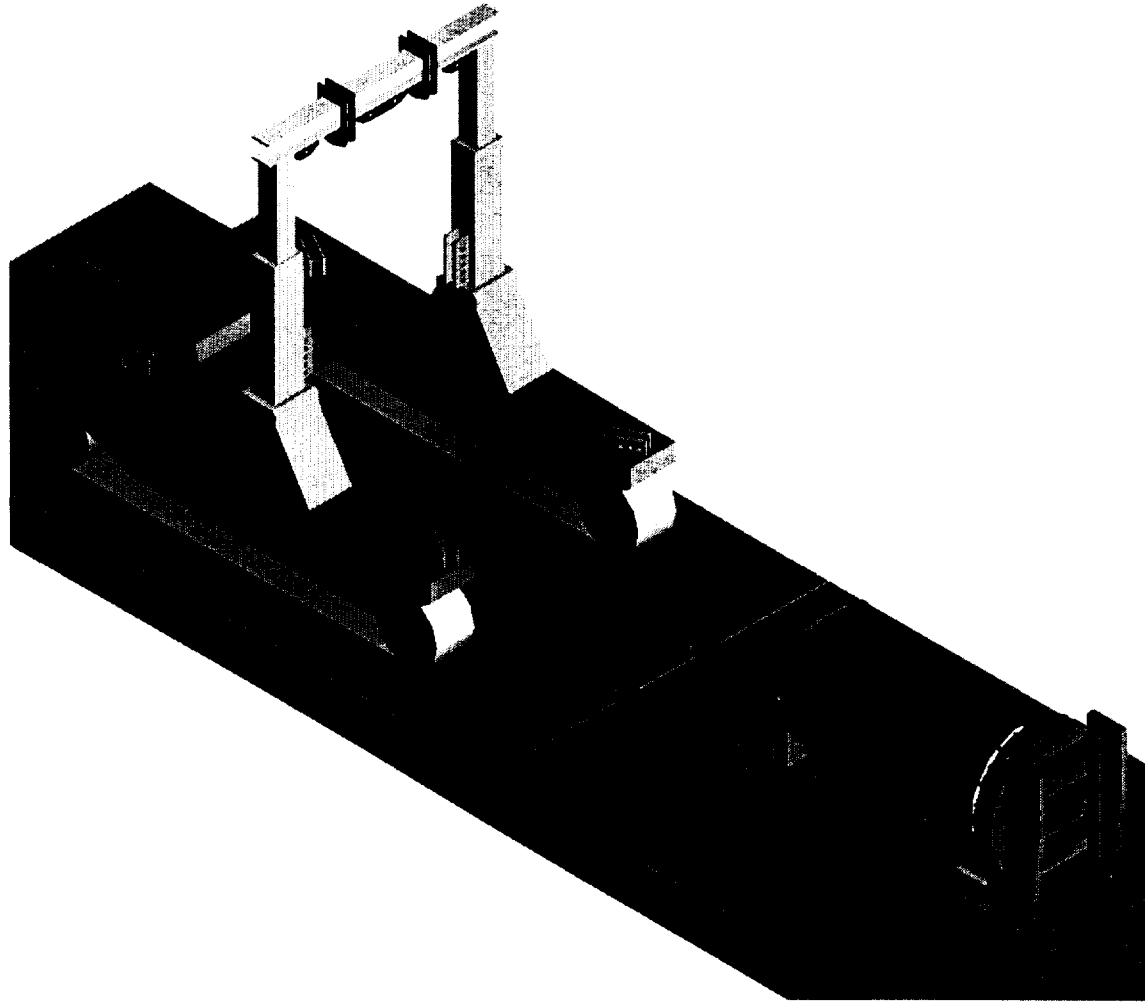


Note: Main hook is engaged, but not shown correctly

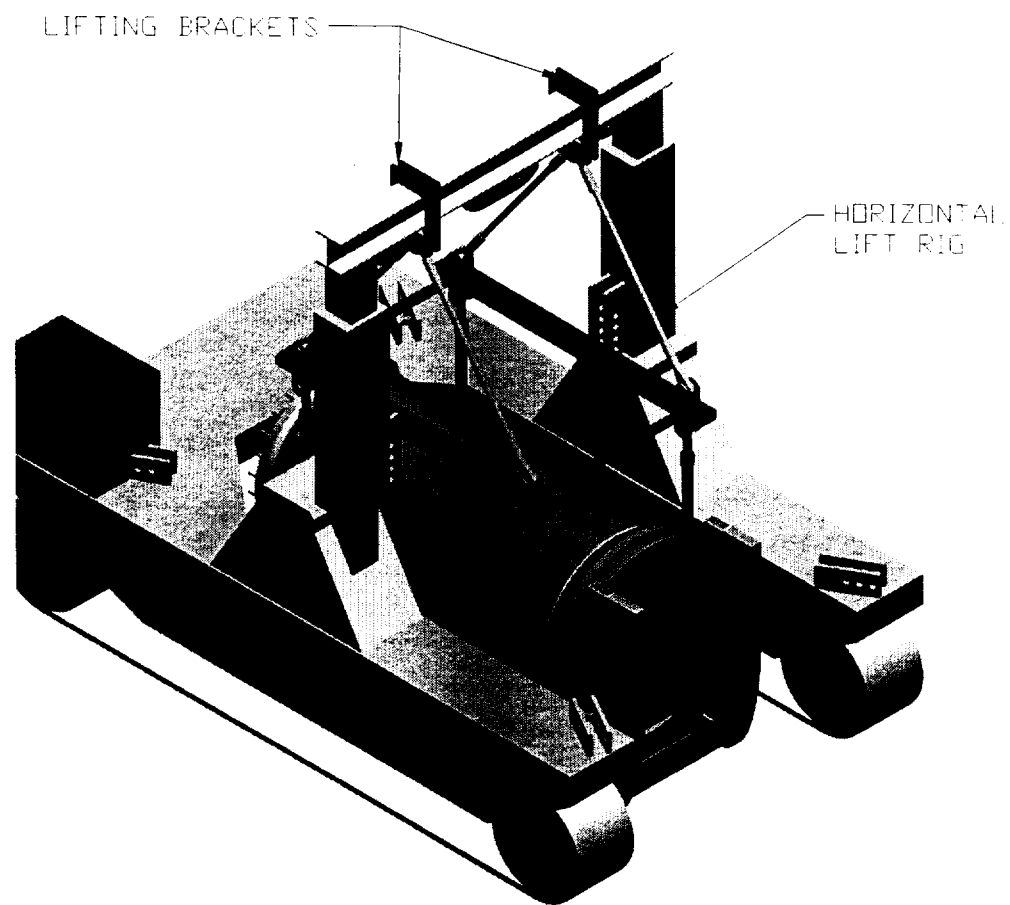
# ***Transfer Cask Downending Using Impact Limiter***



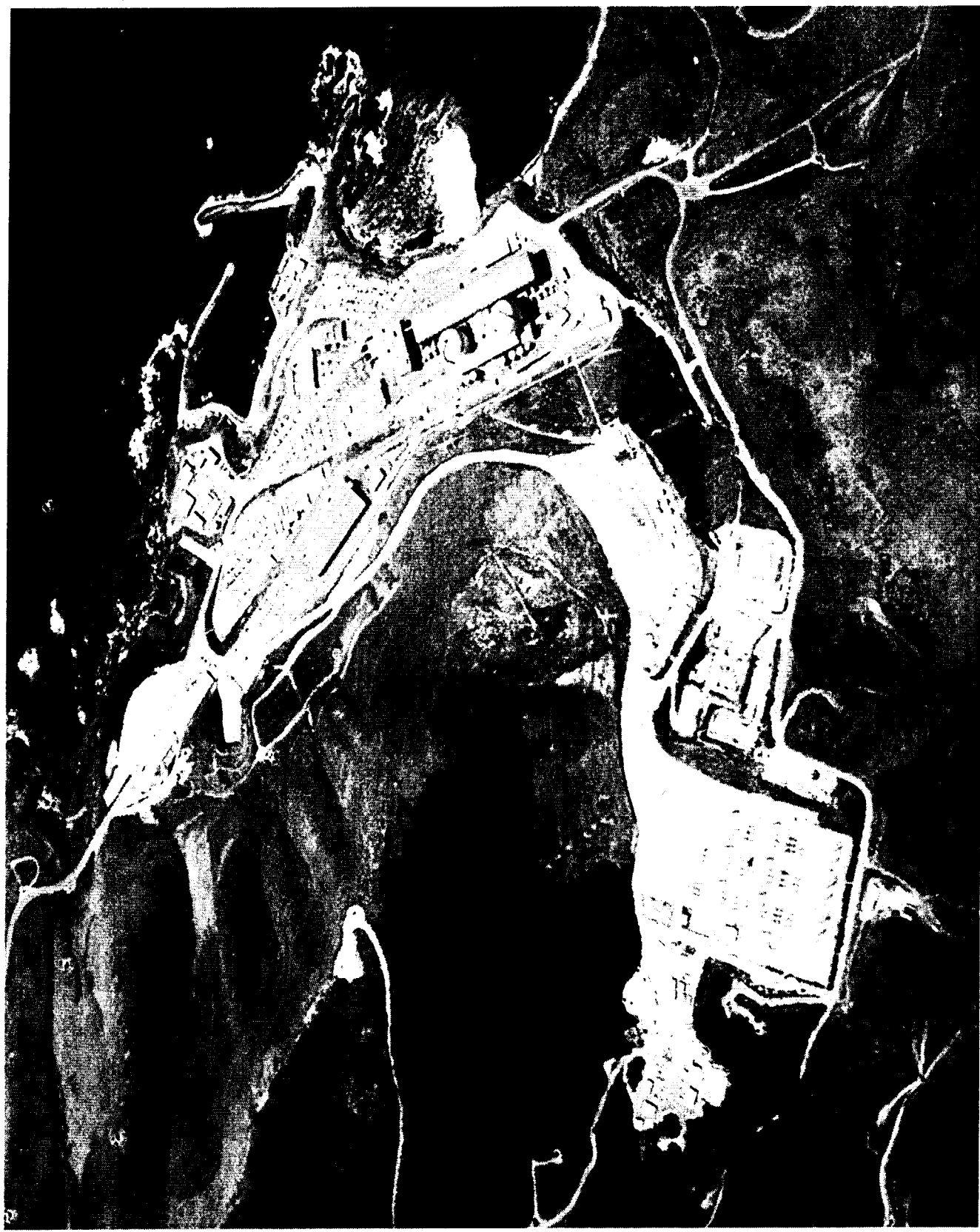
# ***Transfer Cask movement to Transporter***



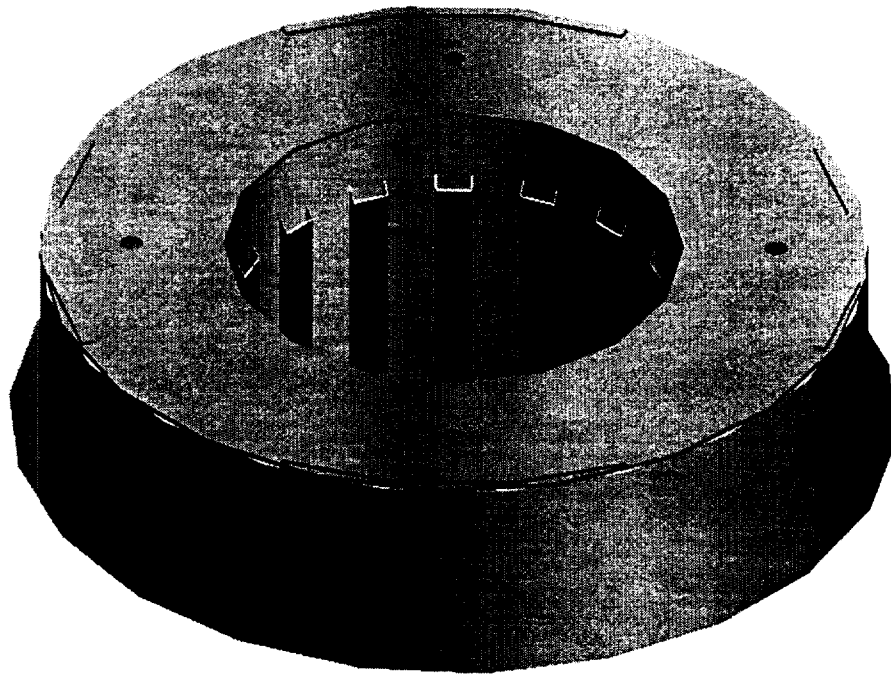
# *Transporter Rigging*



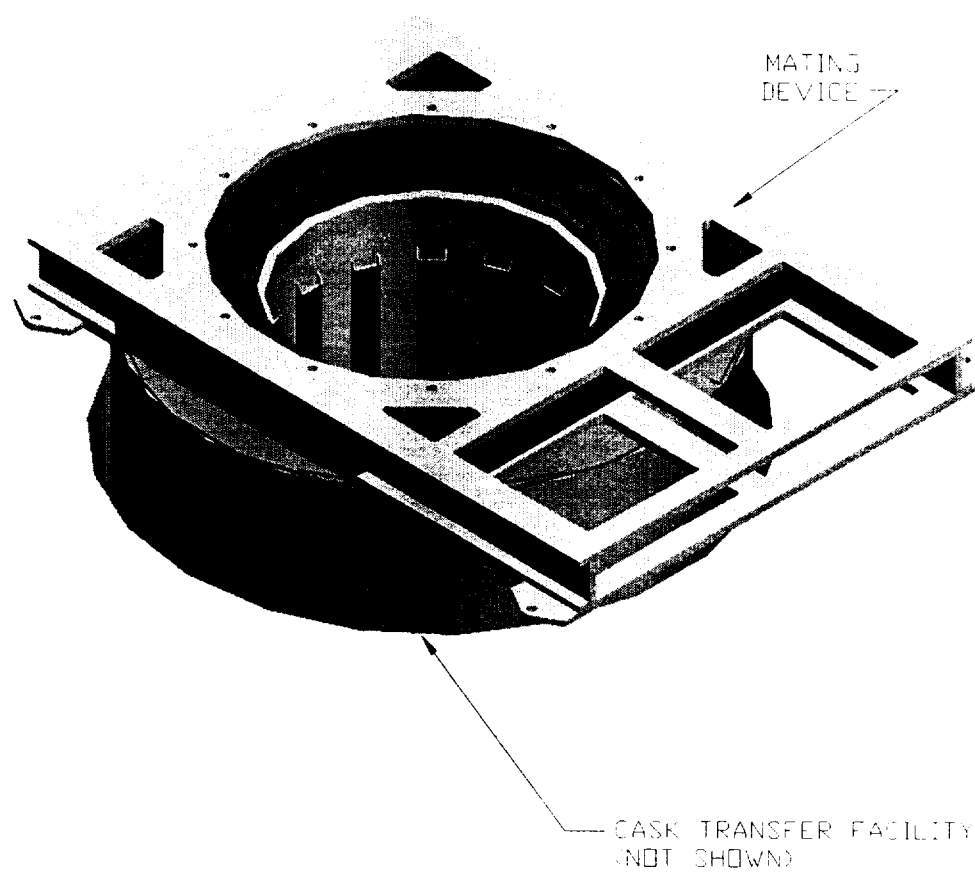




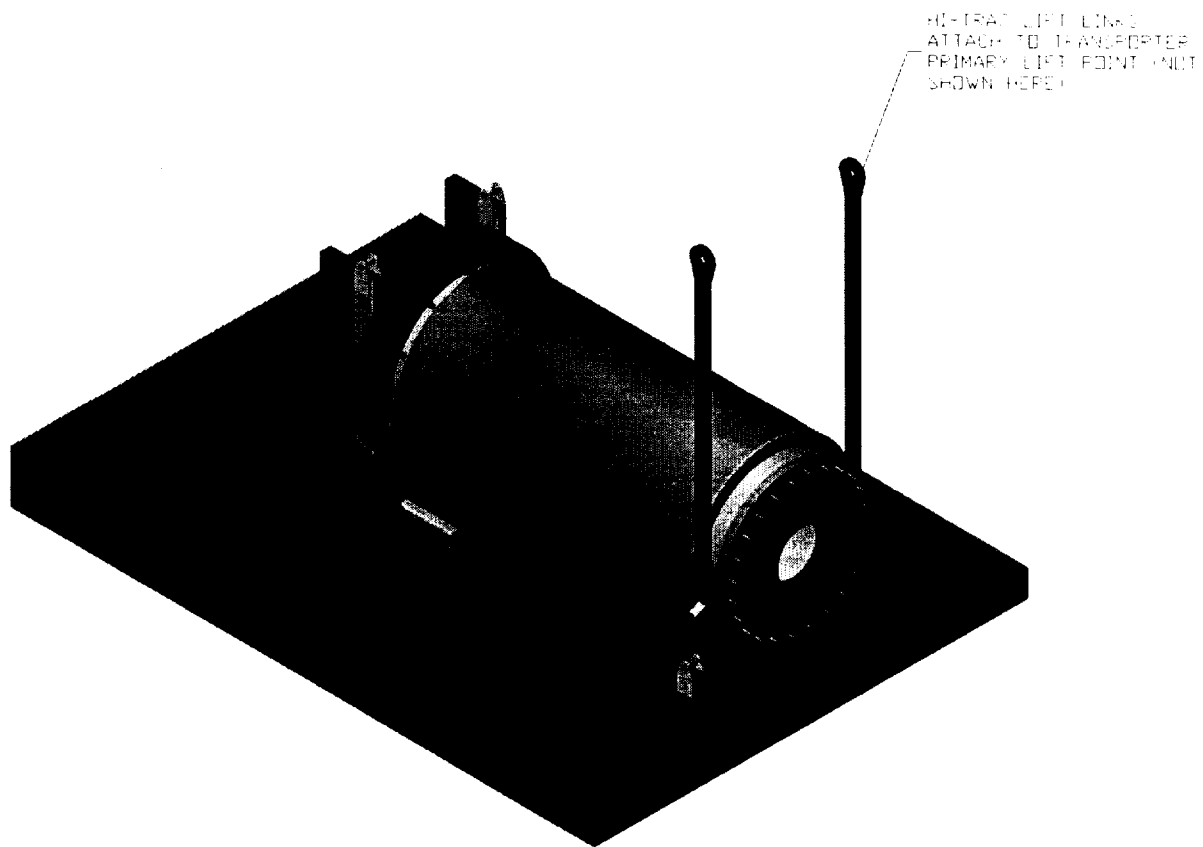
# ***Hi-Storm Overpack Lowered into Cask Transfer Facility***



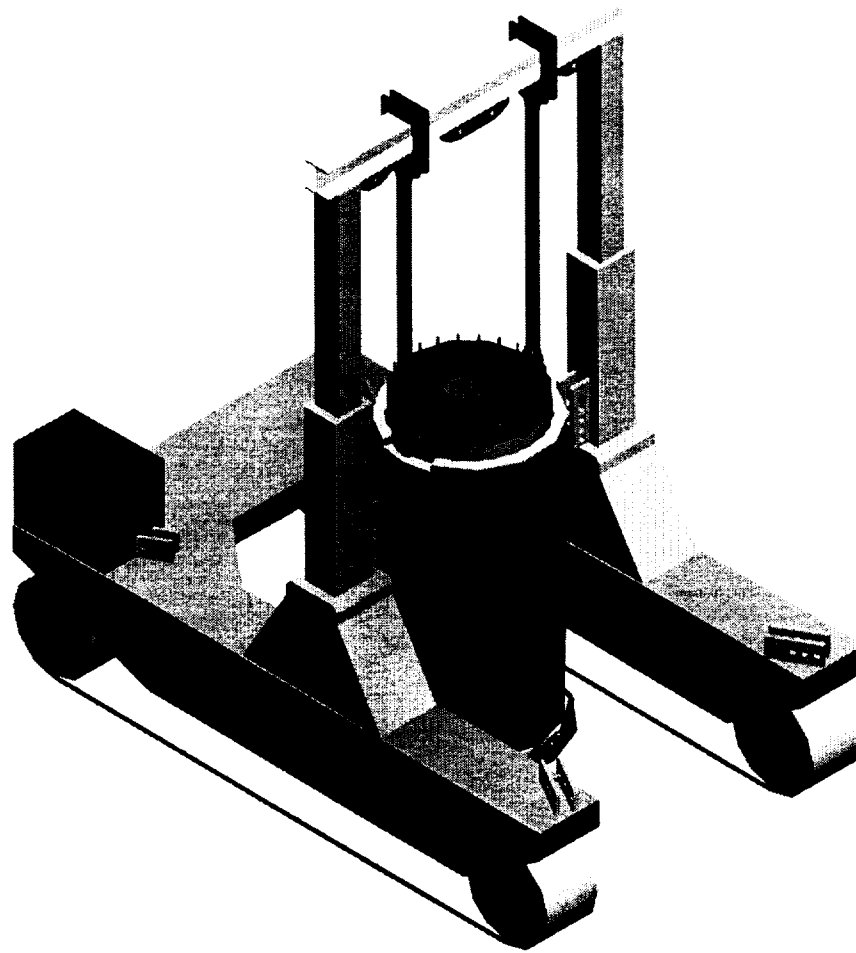
# ***Hi-Storm Overpack with Mating Device***



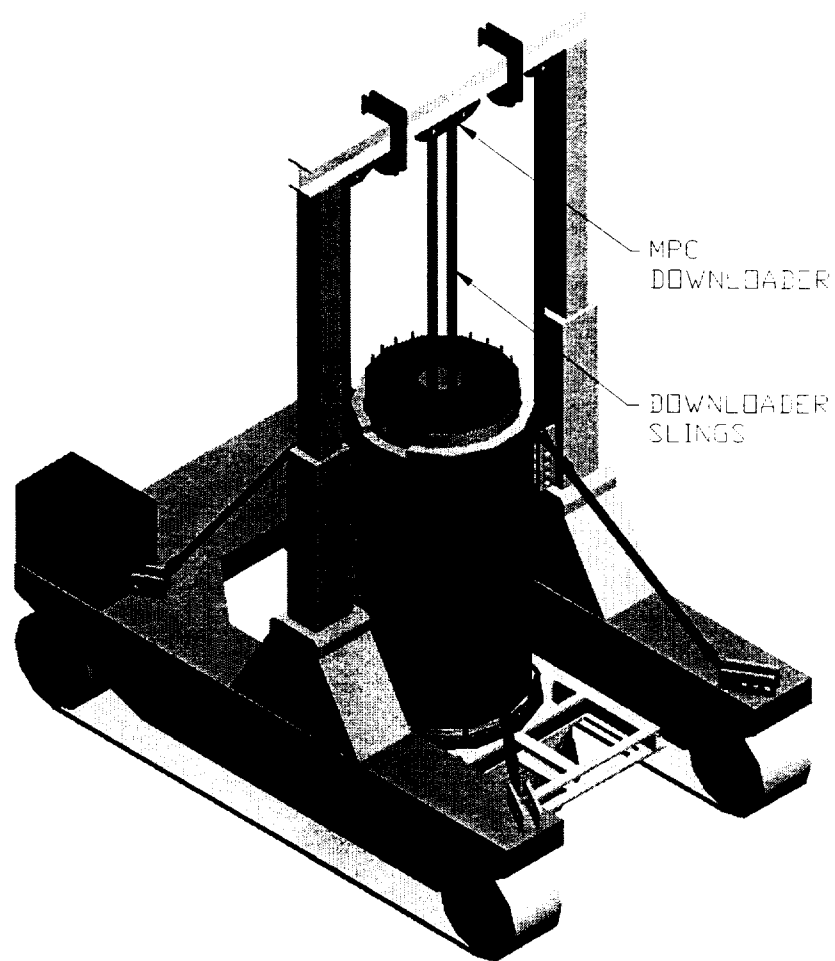
# ***Transfer Cask Upended (Transporter not Shown)***



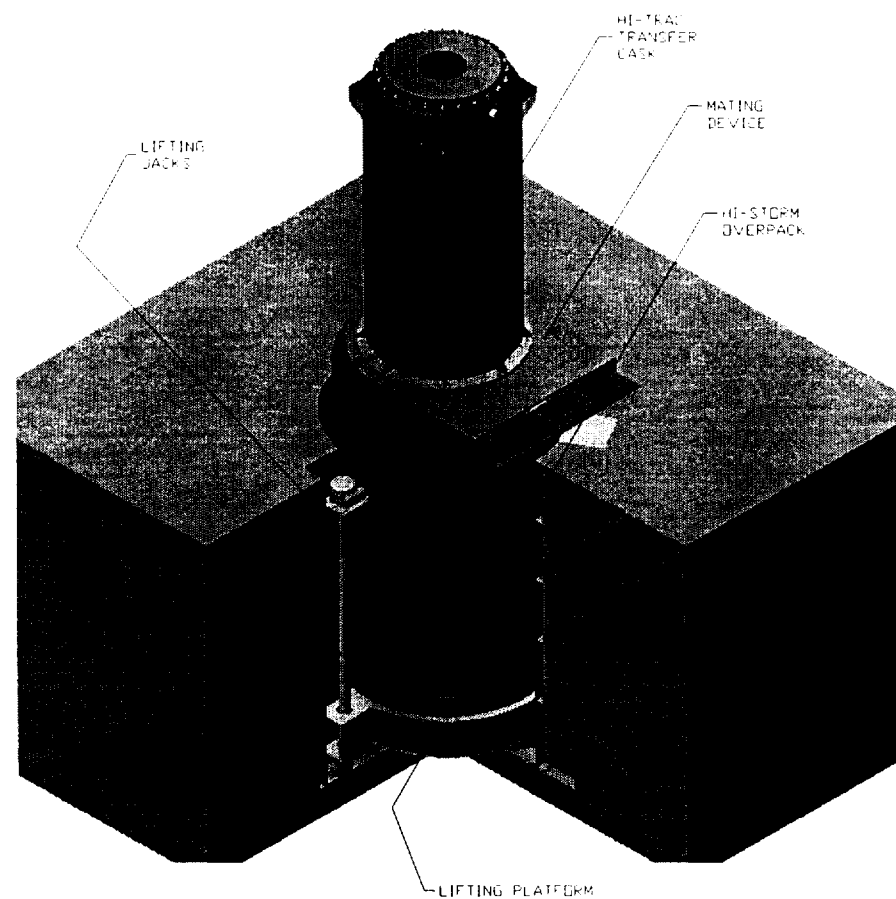
# ***Transfer Cask movement to CTF***



# ***Placement of Transfer Cask over Storage Cask***

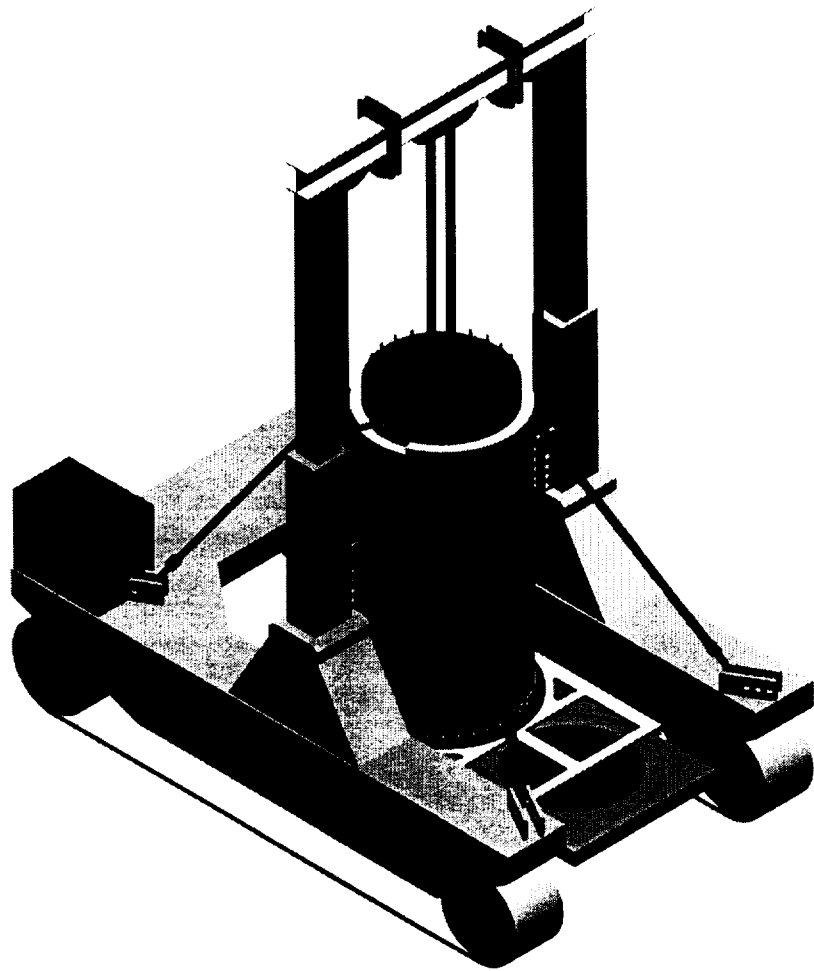


# AT CTF W/O TRANSPORTER SHOWN



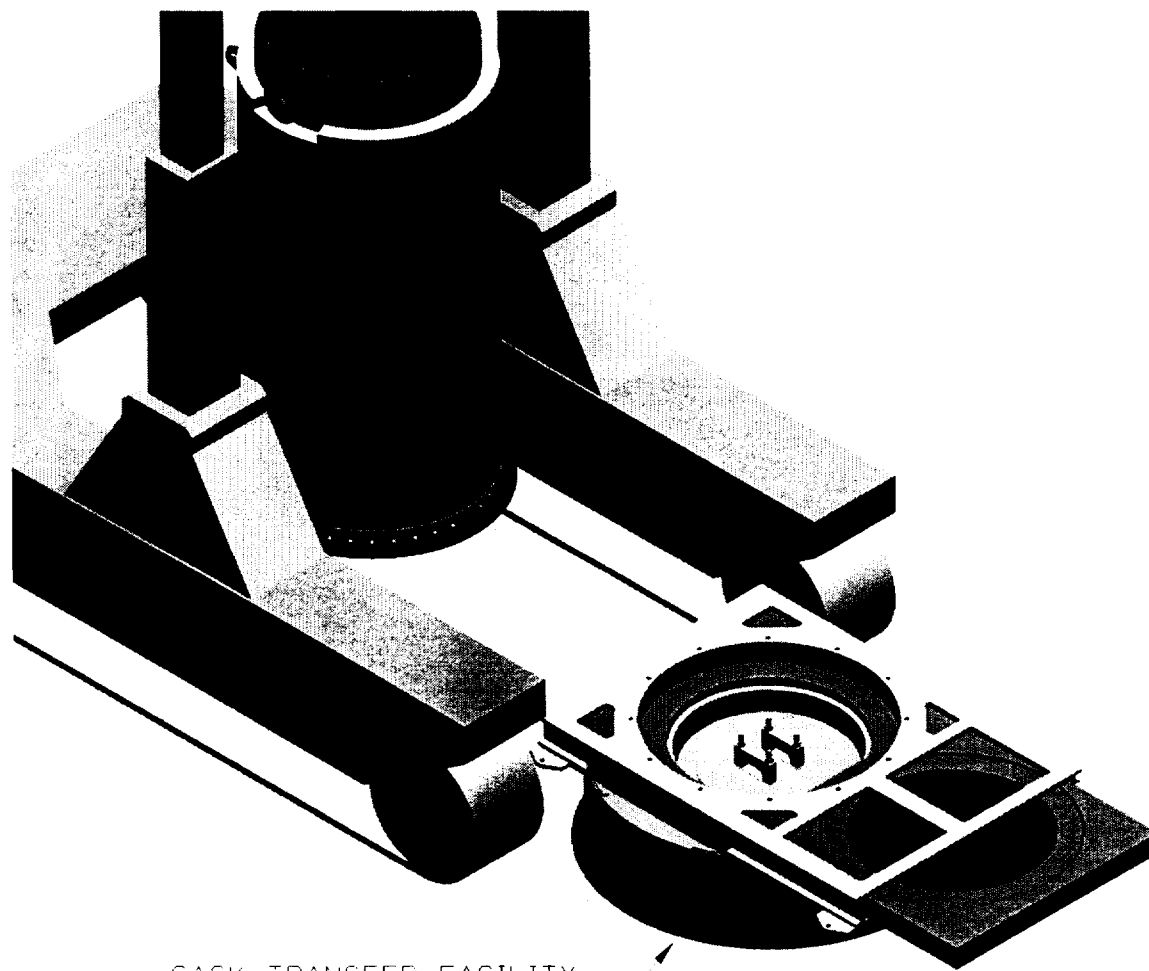
Note: Transporter and lateral restraints not shown.

# ***Transfer of MPC into Storage Cask***



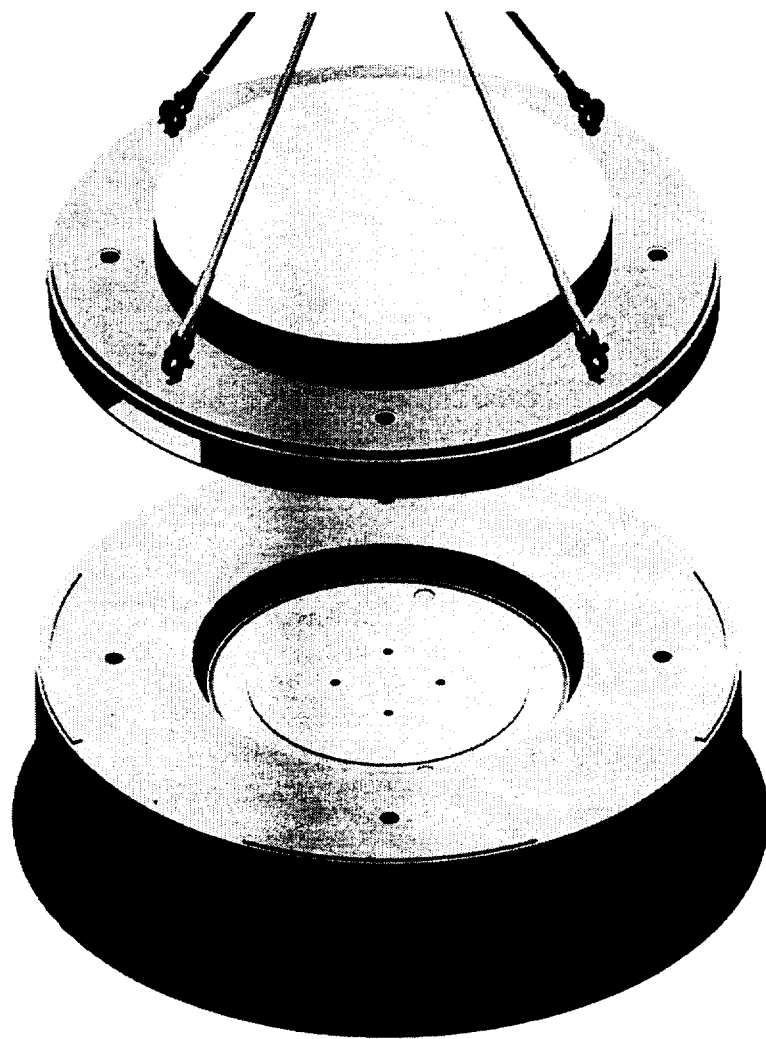


# ***Transfer Cask Removal from CTF***

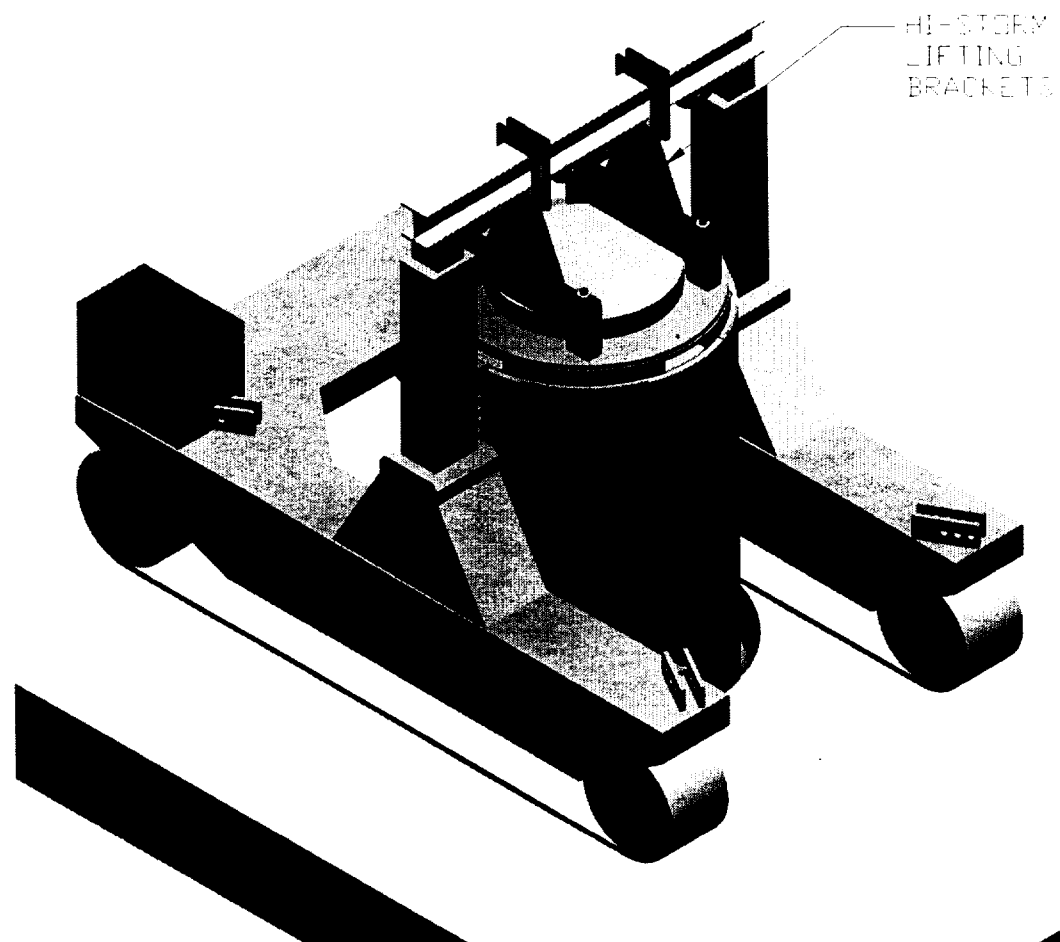


CASK TRANSFER FACILITY  
(NOT SHOWN)

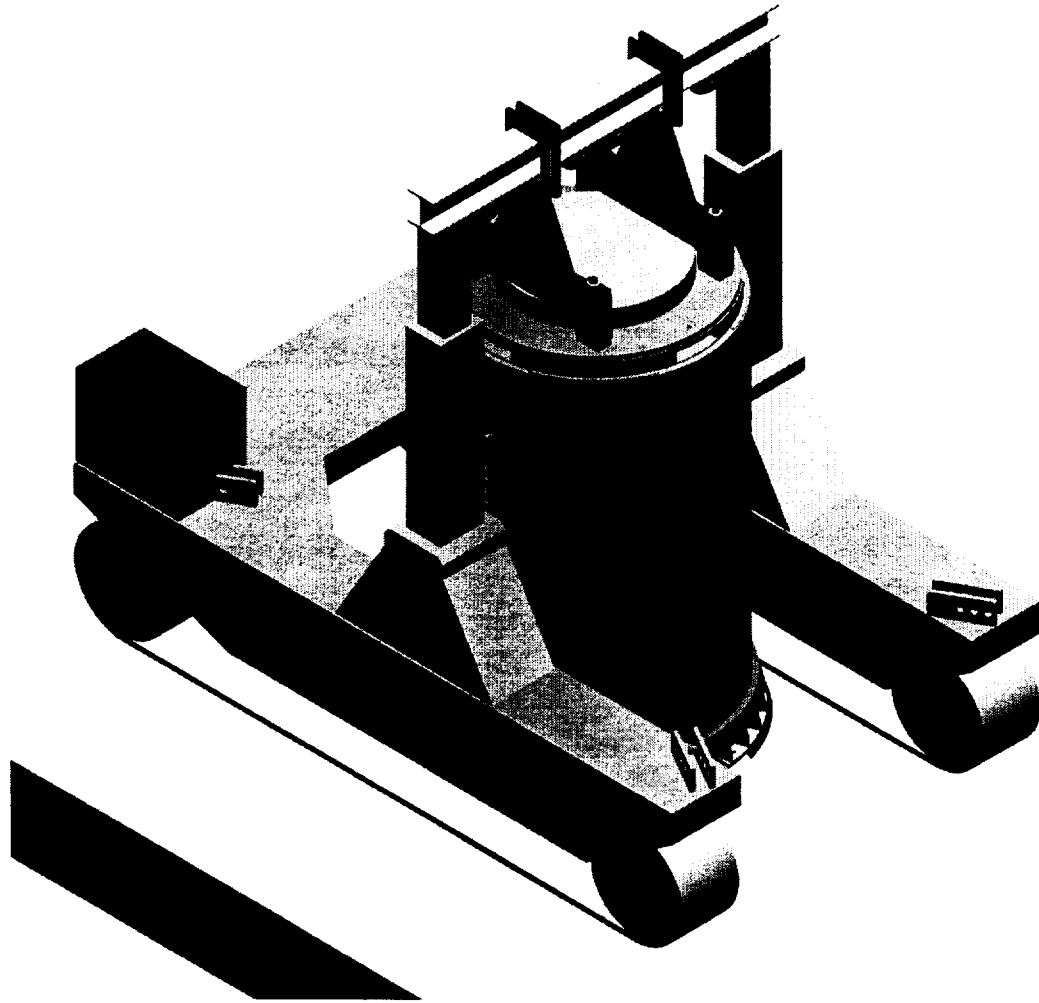
# ***Storage Cask Lid Placement***



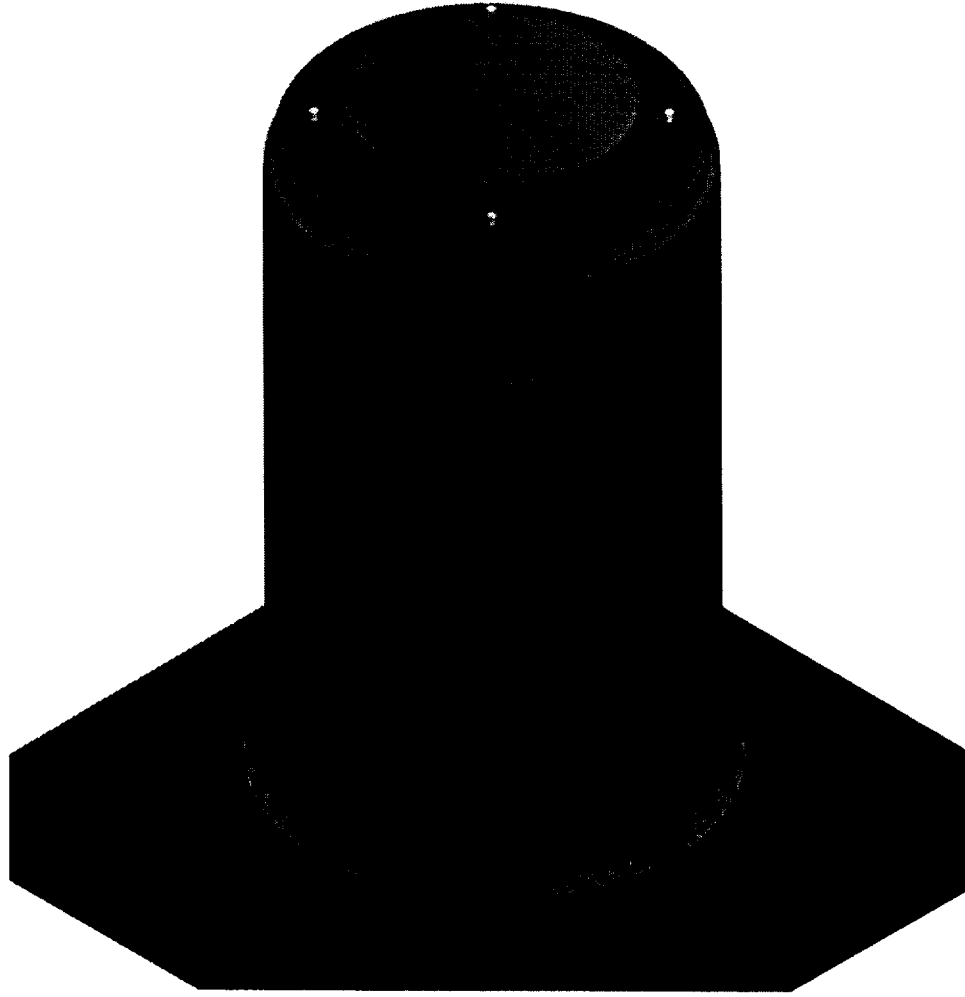
# ***Overpack Raised out of CTF***



# ***Overpack Transported to Storage Facility***



# ***Overpack Placement on Storage Pad***



# Overpack Loading Operations

| Activity  | Part 50                      | Part 72                           |
|---|------------------------------|-----------------------------------|
| 1. Move empty cask and MPC into FHB and prepare for loading | Impact on structure          | N/A                               |
| 2. Empty transfer cask and MPC being placed in SFP          | Heavy load drop              | N/A                               |
| 3. Load fuel assemblies into MPC                            | Spent fuel movement in pool  | Fuel TSs                          |
| 4. Remove transfer cask from SFP                            | Heavy load drop on structure | Thermal req's                     |
| 5. Decontamination  | Existing processes           | N/A                               |
| 6. Welding, leak testing and prepare for movement           | Releases and SSIP            | Fuel conditions/<br>closure req's |
| 7. Transfer cask movement in FHB                            | Heavy load drop on structure | N/A                               |
| 8. Transfer cask movement outside FHB                       | Effect on plant SSCs         | Transporter stability             |



# ***Part 50 and 72 Scope***

- Part 50
  - Crane modifications
  - Heavy load drop structural analyses
  - Cask seismic restraints
  - Affect on facility during transport
- Part 72(Holtec CoC 1014)
  - Cask structural limits (drops, missiles, etc)
  - Criticality analysis during cask handling
  - Thermal analysis during cask handling