

United States Government

Department of Energy

Memorandum

QA: QA

DATE: MAR 25 2002

REPLY TO:

ATTN OF: RW-3 (Ram Murthy/4-1460)

SUBJECT: ISSUANCE OF DEFICIENCY REPORTS (DR) YMSCO-02-D-082 and
YMSCO-02-D-083 RESULTING FROM OBSERVATIONS BY RONALD B. BERLIEN

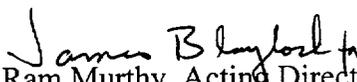
TO: YMSCO/DPM (D. G. Horton)

Enclosed are DRs YMSCO-02-D-082 and YMSCO-02-D-083 generated as a result of an observation.

Please provide responses to these deficiencies that meet the applicable requirements of Administrative Procedure (AP) 16.1Q, *Management of Conditions Adverse to Quality*. Send the original of your responses to Deborah G. Opielowski, Navarro Quality Services, P.O. Box 364629, Mail Stop 455, North Las Vegas, Nevada 89036-8629. Initial response to the DRs is due ten working days from the date of this letter. Any extensions to this due date must be requested in accordance with AP-16.1Q.

The Responsible Individual for this Condition Adverse to Quality (CAQ) should sign below and return to Ms. Opielowski within five working days.

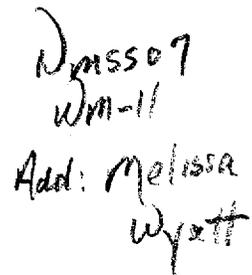
If you have any questions, please contact either James Blaylock at (702) 794-1420 or Patrick V. Auer at (702) 794-1353.


Ram Murthy, Acting Director
Office of Quality Assurance

OQA:JB-0839

Enclosures:

DRs YMSCO-02-D-082
and YMSCO-02-D-083


Dymsso7
WM-11
Add: Melissa
Wyatt

MAR 25 2002

Acknowledgement of Understanding of the CAQs (DRsYMSCO-02-D-082 and YMSCO-02-D-083) by the Responsible Individual:

<u>Responsible Individual</u>	<u>Date</u>
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cc w/encls:

N. K. Stablein, NRC, Rockville, MD
Robert Latta, NRC, Las Vegas, NV
S. W. Lynch, State of Nevada, Carson City, NV
Engelbrecht von Tiesenhausen, Clark County, Las Vegas, NV
R. W. Craig, USGS, Las Vegas, NV
S. H. Horton, BSC, Las Vegas
R. P. Keele, BSC, Las Vegas, NV, M/S 280
D. T. Krishna, BSC, Las Vegas, NV
P. V. Auer, NQS, Las Vegas, NV
W. J. Glasser, NQS, Las Vegas, NV
D. G. Opielowski, NQS, Las Vegas, NV
E. R. Cooper, DOE/YMSCO, Las Vegas, NV
J. R. Dyer, DOE/YMSCO, Las Vegas, NV
C. E. Hampton, DOE/YMSCO, Las Vegas, NV
J. M. Replogle, DOE/YMSCO, Las Vegas, NV
B. M. Terrell, DOE/YMSCO, Las Vegas, NV

OFFICE OF CIVILIAN
RADIOACTIVE WASTE MANAGEMENT
U.S. DEPARTMENT OF ENERGY
WASHINGTON, D.C.

8. DEFICIENCY REPORT
 CORRECTIVE ACTION REPORT
NO. YMSCO-02-D-082
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DEFICIENCY/CORRECTIVE ACTION REPORT

D60 3/19/02

1. Controlling Document: AP-2.20Q, Revision 0, ICN 1 - "Self-Assessments"
2. Related Report No.: SA-OLRC-2002-005

3. Responsible Organization: DOE, USGS, BSC
4. Discussed With: Don Horton, Don Krisha

5. Requirement:
Section 5.1 b of the procedure requires the Responsible Manager to develop a schedule of self-assessments that ensures a frequency commensurate with the status and importance of work activities within the organization. The schedule is to identify the self-assessment topic, proposed performance dates, and the Self-Assessment Team Lead.

6. Description of Condition:
Not all of the organizations within BSC are covered by the BSC self-assessment schedule, such as Internal Audit and Legal. (Note that the Applicability Section of the procedure states that the procedure does apply to financial and business organizations regarding product and process improvements.) The USGS schedule does not identify the Team Leads for the self-assessments. Neither the DOE nor USGS schedules include self-assessments covering ISM, or safety topics in general, in their schedules.

7. Initiator: Ron Berlien *R. Berlien* Date 3/7/02
9. Does a stop work condition exist? (Not required for a DR)
 Yes No
If Yes, Check One: A B C D

10. Recommended Actions:
NONE.

11. QA Review: *William Blosser Jr*
QAR *PAT AUER* Date 3-20-02
12. Response Due Date:
10 working days from issuance

13. DOQA Issuance Approval:
Printed Name Ram Murthy Signature *James Blaylock Jr* Date 3/25/02

22. Corrective Actions Verified: QAR Date
23. Closure Approved by: DOQA Date

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ORIGINAL
 DEFICIENCY REPORT
 CORRECTIVE ACTION REPORT
 NO. YMSCO-02-D-083
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DEFICIENCY/CORRECTIVE ACTION REPORT

060 3/19/02

1. Controlling Document: AP-2.20Q, Revision 0, ICN 1 - "Self-Assessments"
 2. Related Report No.: SA-OLRC-2002-005

3. Responsible Organization: DOE, BSC
 4. Discussed With: Don Horton, Don Krisha

5. Requirement:
 Section 5.4.3 b of the procedure requires the Self-Assessment Team Lead to enter any conditions and recommendations identified in the self-assessment report into CIRS.

6. Description of Condition:
 The semi-annual self-assessment review, SA-OLRC-2002-005, found that only 50 of the 196 identified conditions and recommendations identified in the self-assessments reviewed were entered into CIRS. (The self-assessments reviewed included self-assessments of Q activities, such as SA-SSFS-2001-013 and SA-S&AP-2002-003).

7. Initiator: Ron Berlien *RBB* Date 3/7/02
 9. Does a stop work condition exist? (Not required for a DR)
 Yes No
 If Yes, Check One: A B C D

10. Recommended Actions:
 NONE.

11. QA Review: *William J. Glass*
 QAR *PAT ALLER* Date *3-20-02*
 12. Response Due Date:
 10 working days from issuance

13. DOQA Issuance Approval:
 Printed Name Ram Murthy Signature *James Blaylock* Date *3/25/02*

22. Corrective Actions Verified
 QAR Date
 23. Closure Approved by:
 DOQA Date