

**VARIOUS CHECKLISTS**

**FOR THE BRAIDWOOD INITIAL EXAMINATION - OCTOBER 2001**

## *Braidwood* Station Examination Miscellaneous Post Examination Forms

NRC Initial Exam Record Retention Checklist

ES-501-1 Power Plant Examination Results Summary

ES-303-1 Individual Examination Reports for nine applicants

ES-403-1 Written Examination Grading Quality Checklist (RO examination)

ES-403-1 Written Examination Grading Quality Checklist (SRO examination)

Written Examination covers and answer sheets for nine applicants

Scenario cover sheets (D-1 Forms) for Scenarios 1,2&4 showing operator positions during their scenarios (Scenario #3 was not used)

ES 201-3 Examination Security Agreement

Facility:		Date of Exam:		Exam Level: <u>RO/SRO</u>		
Item Description				Initials		
				a	b	c
1.	Clean answer sheets copied before grading			Rm	N/A	Rm
2.	Answer key changes and question deletions justified and documented			Rm	N/A	Rm
3.	Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)			Rm	N/A	Rm
4.	Grading for all borderline cases (80% +/- 2%) reviewed in detail			Rm	N/A	Rm
5.	All other failing examinations checked to ensure that grades are justified			Rm	N/A	N/A
6.	Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants			Rm	N/A	Rm
		Printed Name / Signature			Date	
a. Grader	<u>R. Michael Morris</u>			<u>11/7/01</u>		
b. Facility Reviewer(*)	<u>N/A</u>			<u>N/A</u>		
c. NRC Chief Examiner (*)	<u>Stu R. McNeil / Stu R. McNeil</u>			<u>11/8/01</u>		
d. NRC Supervisor (*)	<u>DEH:lk / D. E. White</u>			<u>11/30/01</u>		
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.						

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Printed Name / Signature				Date		
a. Grader	<u>R. Michael Morris</u>			<u>11/7/01</u>		
b. Facility Reviewer(*)	<u>N/A</u>			<u>N/A</u>		
c. NRC Chief Examiner (*)	<u>Stu R. McNeil / Stu R. McNeil</u>			<u>11/8/01</u>		
d. NRC Supervisor (*)	<u>D. E. Hill / D. E. Hill</u>			<u>11/30/01</u>		
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.						

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 10/17-29/01 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/15-29/01. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. SCOTT M. DEPREST	EXAM AUTHOR / Senior Trng Spec.	<i>Scott M. Deprest</i>	6/5/01	<i>Scott M. Deprest</i>	10/30/01
2. STEVEN J. RUSSELL	ROG Exam COORDINATOR	<i>Steve Russell</i>	6/5/01	<i>Steve Russell</i>	10/30/01
3. Daniel P. Burton	LORT Op. Lead	<i>D. P. Burton</i>	6-5-01	<i>D. P. Burton</i>	10/24/01
4. Paul J. Heppelty	LORT Exam Developer	<i>Paul J. Heppelty</i>	6-5-01	<i>Paul J. Heppelty</i>	10/30/01
5. Gary L. Wolfe	ILT Exam Developer	<i>G. L. Wolfe</i>	6-12-01	<i>G. L. Wolfe</i>	10/30/01
6. John E. Browning	Unit Supervisor / OPS REP	<i>John E. Browning</i>	6-12-01	<i>John E. Browning</i>	11-6-01
7. SCOTT K. MEHLA	INSTRUCTOR / SSS	<i>Scott K. Mehla</i>	7-13-01	<i>Scott K. Mehla</i>	10/31/01
8. Dean Yarbrough	NSO	<i>Dean Yarbrough</i>	8-20-01	<i>Dean Yarbrough</i>	11-8-01
9. Jeffrey D. Gurdahl	US	<i>Jeffrey D. Gurdahl</i>	8/20/01	<i>Jeffrey D. Gurdahl</i>	10/31/01
10. Ronald TAPAK	Simulation support	<i>Ronald Tapak</i>	9/27/01	<i>Ronald Tapak</i>	10-30-01
11. Victor Guinto	LORT	<i>Victor Guinto</i>	9-24-01	<i>Victor Guinto</i>	10-30-01
12. ROBERT SPENCE	INSTRUCTOR #66	<i>Robert Spence</i>	9/24/01	<i>Robert Spence</i>	10-30-01
13. Danny Ackerman	C/O Supervisor	<i>Danny Ackerman</i>	9-24-01	<i>Danny Ackerman</i>	10-31-01
14. Sandra L. Malone	OSS	<i>Sandra L. Malone</i>	10-11-01	<i>Sandra L. Malone</i>	10-31-01
15. Cynthia A. Joneson	OSS	<i>Cynthia A. Joneson</i>	10/11/01	<i>Cynthia A. Joneson</i>	10-31-01

NOTES: 1. Operating Portion for Braidwood only.

2. See page 2 of 4

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2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/15-29/01. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

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2. <u>STEVEN J. RUSSELL</u>	<u>ROG Exam COORDINATOR</u>	<u>Steven J. Russell</u>	<u>6/5/01</u>	<u>Steven J. Russell</u>	<u>10/30/01</u>
3. <u>Daniel P. Burton</u>	<u>LORT GP. Lead</u>	<u>Daniel P. Burton</u>	<u>6-5-01</u>	<u>Daniel P. Burton</u>	<u>10/24/01</u>
4. <u>Paul J. Heppelt</u>	<u>LORT Exam Developer</u>	<u>Paul J. Heppelt</u>	<u>6-5-01</u>	<u>Paul J. Heppelt</u>	<u>10/30/01</u>
5. <u>GARY L. WOLFE</u>	<u>ILT Exam Developer</u>	<u>G. L. Wolfe</u>	<u>6-12-01</u>	<u>G. L. Wolfe</u>	<u>11/6/01</u> (2)
6. <u>John E. Browning</u>	<u>Unit Supervisor / OPS Rep</u>	<u>John E. Browning</u>	<u>6-12-01</u>	<u>John E. Browning</u>	<u>11-1-1</u>
7. <u>SCOTT K. MEHLA</u>	<u>INSTRUCTOR / SSS</u>	<u>Scott Mehla</u>	<u>7-13-01</u>	<u>Scott Mehla</u>	<u>10/31/01</u>
8. <u>Dean Yarbrough</u>	<u>NSO</u>	<u>Dean Yarbrough</u>	<u>8-20-01</u>	<u>Dean Yarbrough</u>	<u>10/31/01</u> (3)
9. <u>Jeffrey D. Gurbuhl</u>	<u>US</u>	<u>Jeffrey D. Gurbuhl</u>	<u>9/20/01</u>	<u>Jeffrey D. Gurbuhl</u>	<u>10/31/01</u>
10. <u>Ronald TAPAK</u>	<u>Simulator Support</u>	<u>Ronald Tapak</u>	<u>9/27/01</u>	<u>Ronald Tapak</u>	<u>10-30-01</u>
11. <u>Victor Guinto</u>	<u>LORT</u>	<u>Victor Guinto</u>	<u>9-24-01</u>	<u>Victor Guinto</u>	<u>10-30-01</u>
12. <u>ROBERT SPENCE</u>	<u>INSTRUCTOR #66</u>	<u>Robert Spence</u>	<u>9/24/01</u>	<u>Robert Spence</u>	<u>11/2/01</u> (2)
13. <u>Danny Ackerman</u>	<u>C/O Supervisor</u>	<u>Danny Ackerman</u>	<u>9-24-1</u>	<u>Danny Ackerman</u>	<u>10-31-1</u>
14. <u>Sandra L. Malone</u>	<u>OSS</u>	<u>Sandra L. Malone</u>	<u>10-11-01</u>	<u>Sandra L. Malone</u>	<u>10-31-01</u>
15. <u>Cynthia A. Joneson</u>	<u>OSS</u>	<u>Cynthia A. Joneson</u>	<u>10/11/01</u>	<u>Cynthia A. Joneson</u>	<u>10-31-01</u>

NOTES: 1. Operating portion for Braidwood only.  
 2. From page 1 of 4  
 3. See page 1 of 4

1. Pre-Examination

11/3/01 + 11/3/01  
 10/29/01  
~~10/29, 11/5, 11/12, 11/19, 11/26~~ *u/01*

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of ~~10/29, 11/5~~ as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 11/3/01. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Gary L Wolfe	Instructor / Fac Author	G.C. Wolfe	6/5/01			①
2. Richard M. Williams	Shift Mgr / Facility Representative	R.M. Williams	6-5-01	R.M. Williams	11-6-01	②
3. Scott M. Deprest	Instructor / Fac Author	Scott M. Deprest	6/5/01			③
4. JEFFREY L. HAMILTON	Simulator Supervisor	J. Hamilton	6/29/01	J. Hamilton	11/6/01	
5. Steven J. Russell	ILT Exam Coordinator	S. Russell	6/5/01			④
6. Chris H. Herman	Nuclear System Operator / Validator	Chris H. Herman	8/15/01	Chris H. Herman	11-8-01	
7. Russell J. McKinnon	Instructor / LORT Exam	R. McKinnon	8-15-01			
8. TODD A. KALISIK	Simulator Support / Software	T. Kalisik	8-21-01			⑤
9. Del Miller	Simulator Support / Hardware	Del Miller	8-21-01	Del Miller	11/7/01	
10. Dan Carl	RO	Dan Carl	8-21-01	Dan Carl	11-8-01	
11. Terry Holder	Instructor / LORT Exam	Jerry S. Holder	8-29-01	Jerry S. Holder	11-7-01	
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13.						
14.						
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NOTES: FOR BRAIDWOOD Written ONLY.

① See page 1 of 4  
 ② See page 1 of 4

③ See pg 36 of 4

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 10/29/01 + 11/3/01 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

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2. Richard M. Williams	Shift Mgr / Facility Representative	Rich Williams	6-5-01	R.M. Williams	11-6-01
3. Scott M. Deprest	Instructor / Fac Author	Scott M. Deprest	6/5/01		
4. JEFFREY L. Hamilton	Simulator Supervisor	Jeffrey Hamilton	6/29/01	Jeffrey Hamilton	11/6/01
5. Steven J. Russell	ILT Exam Coordinator	Steve Russell	6/5/01		
6. Chris A. Herman	Nuclear Service Operator / Voluntary	Chris A. Herman	8/15/01	Chris A. Herman	11-8-01
7. Russell J. McKinnon	Instructor / LORT Exam	Russell J. McKinnon	8-15-01		11-7-01
8. TODD A. KALISIK	Simulator Support / Software	Todd A. Kalisik	8-21-01		11-9-01
9. Del Miller	Simulator Support / Hardware	Del Miller	8-21-01	Del Miller	11/7/01
10. Dan Carlk	RO	Dan Carlk	8-21-01	Dan Carlk	11-8-01
11. Terry Holder	Instructor / LORT Exam	Terry S. Holder	8-29-01	Terry S. Holder	11-7-01
12.					
13.					
14.					
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NOTES: FOR BRAIDWOOD Written ONLY.

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2. Post-Examination

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	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1.	MARK TRUSHEIM	SWIFT Ops Supervisor	<i>[Signature]</i>	10-15-01	<i>[Signature]</i>	10-30-01
2.	DARIN MYERS	TRAINING DIRECTOR	<i>[Signature]</i>	10-15-01	<i>[Signature]</i>	10-31-01
3.	GREGORY BARKER	ILT GROUP LEAD	<i>[Signature]</i>	10-15-01	<i>[Signature]</i>	10-30-01
4.	Daniel Harris	ILT INSTRUCTOR	<i>[Signature]</i>	10-15-01	<i>[Signature]</i>	10/30/01
5.	Steve Rantz	ILT INSTRUCTOR	<i>[Signature]</i>	10-15-01	<i>[Signature]</i>	10/31/01
① 6.	Andrew Sedlowski	Simulator Software	<i>[Signature]</i>	10-16-01	<i>[Signature]</i>	10/31/01
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NOTES:  
 ① OPERATING PORTION FOR BRAIDWOOD ONLY