



FOIA/PA REQUEST

Case No: 2002-0070
Date Rec'd: 12-27-01
Action Off: Pool
Related Case: _____

December 5, 2001

Mr. Russell Powell
FOIA Branch Chief
Nuclear Regulatory Commission
Washington, D.C. 20555

Dear Mr. Powell:

IRRC is a nonpartisan organization that compiles and analyzes information on business and social issues for institutional investors interested in corporate environmental stewardship. Pursuant to the provisions of the Freedom of Information Act, IRRC requests that the Nuclear Regulatory Commission provide IRRC with all civil and administrative violations of the Atomic Energy Act for which a monetary penalty was assessed for calendar year 2000 (where the PROPOSED CP field is greater than 12-31-99 and less than 1-1-01). IRRC respectfully requests the data be made available at the earliest possible date. IRRC respectfully requests information from the following fields (the field names are in **BOLD UPPER CASE** letters):

- full name of licensee ("LICENSEE");
- facility name ("FACILITY");
- street address, city, and state of facility;
- enforcement action number (EA#);
- licensee type (the type of license held by the company) ("TYPE");
- license number;
- court docket number;
- proposed amount of civil or administrative penalty ("PROPOSED CP");
- date in which the Notice of Violation was mailed by NRC ("PROPOSED CP DATE");
- imposed amount of civil or administrative penalty ("IMPOSED CP");
- date in which the imposed civil or administrative penalty was proposed through the sending of a letter notifying the licensee that the case is going to court ("IMPOSED CP DATE");
- amount paid by the licensee ("AMT PAID");
- date in which licensee made the payment ("AMT PAID DATE");
- Highest "Severity Level" (SL) of the violations for which the facility was cited by NRC ("HIGHEST SL");
- Number of Severity Level 1 violations received by the facility ("SL I");
- Number of Severity Level 2 violations received by the facility ("SL II");
- Number of Severity Level 3 violations received by the facility ("SL III");
- Number of Severity Level 4 violations received by the facility ("SL IV");
- Last day that an NRC inspector was on site investigating the incident pertinent to the Notice of Violation ("INSP DATE");
- Status of case (is the case open or closed?) ("STATUS");
- Action taken by NRC to close out this case ("ACTION");
- Date of the action taken by NRC to close out this case ("ACTION DATE");
- Relevant comments written by NRC enforcement officer ("FACTS")

We respectfully request this data be made available to IRRC in Microsoft Access or Microsoft Excel format. If it is not feasible to have the data provided in this format, IRRC requests the data in either Microsoft FoxPro (any version), dBase format, or ASCII tab-delimited format. We also respectfully request the **most recent documenter report** for this data. This documenter report should feature a list of field definitions, record layouts as well as all other relevant documenter report elements.

Please send the data by e-mail to Barry.Bautista@irrc.org or U.S. Post mail on PC-compatible 3.5 inch diskettes to Barry Bautista c/o IRRC. Please call me at (202) 833-0700 x216 if you have any questions. IRRC has requested and received this data from the Nuclear Regulatory Commission for all years since 1988 for independent research purposes — **please notify me immediately if the requested data is not available this year**. Also please notify me if this data can now be attained over the Internet (instead of through a Freedom of Information Act request). Thank you in advance for your assistance.

Sincerely,

Barry Bautista
Environmental Database Analyst

Investor Responsibility Research Center

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