as of:

12/19/00

APPENDIX I

Operations Branch Assignment Check Sheet: (Includes ES-201-1 & ES-501-1 Rev. 8 information)

Chief: H BUNDY

Facility/Task: ST IN

Task Start Date: 9/17/01

	ITEM DESCRIPTION	DUE DATE	INIT	DATE	
0	Exam/Inspection Schedule Agreement	Mar 21, 2001	JLP	12/19/2000	
1	NRC Staff & Fac. Contact Assigned	Mar 21, 2001	JLP	12/19/2000	
2	Facility contact briefed on security & other issues	Mar 21, 2001	JLP	12/19/2000	
3	Corp. Notification Letter Sent	Mar 21, 2001	HB.	11801	
3a	Inspection Announcement Letter Sent (PIR & LORT if req'd)	Aug 3, 2001	NA		
4	Task Expectations, Issues, & Standards Discussed w/ BC	Jun 19, 2001	HB	1/1/01	
5	[Reference Material Due]	May 20, 2001	AB	4/00/01	
6	Integrated Exam Outlines Due	May 20, 2001	AB	4/02/01	
7	Outlines reviewed by NRC & Feedback Sent	Jun 3, 2001	db	4/10/01	6/22
8	Preliminary Applications Due	Aug 18, 2001	17B	861	
9	Draft Exams w/ Doc./Ref. Due	Jul 19, 2001	(AB)	7/5/01	
10 [*]	Independent Reviewer Initials As Reviewed All Parts	Jul 29, 2001	1260	7/9/01	
7.	NRC Supervisor. Initials Approving for Fac. Rev.	Jul 29, 2001	VF8	7/9/01	
12	Exams Reviewed w/ Fac.	Jul 29, 2001	AB	9/6/01	
13	Final Appl. Due & Assign. Sheet Prepared	Sep 3, 2001	the	\$14/01	
14 [*]	NRC Supervisor Approved Final Exams	Sep 10, 2001	Em	9/13/01	
15	Final Appl. Rec'd & Waivers Sent	Sep 10, 2001	468	8/31/01	
16	Proctor Rules Reviewed w/ Fac. & Written Authorized	Sep 10, 2001	0FB	9/1/01	
17	Exam/Insp Material to Team	Sep 10, 2001	tos	9/10/01	
18	Fac. graded exam & Comments Rec'd	Sep 29, 2001	AB	9/21/01	
19	NRC Written Grading Completed	Oct 2, 2001	the	9/25/01	
20	Examiners Finished Grading Op. Tests	Oct 2, 2001	AB	9/26/01	
21	NRC Ch. Ex. Review Completed	Oct 12, 2001	(AS	9/26/01	
22 *	NRC BC Review Completed	Oct 13, 2001	ØR	9/28/01	
23	RPS/IP Examinees Updated Before Report Issued	Oct 18, 2001	ØR	10/abi	
24	License/Denials Signed & Report Issued	Oct 18, 2001	TAB	10/10/01	
25	Package Closed Out	Nov 8, 2001	100	10/10/01	
	Final Inspection Report Issued, Exam Package to OLA, Facility	. Contact Notified	of Result	s	
	ote Supervisor or Independent Reviewer initials required in for lir	nes 10, 11, 14, & 2	22.	-	
[] R	equired NRC-auth. exams only for line 5.			· · · · · · · · · · · · · · · · · · ·	

1) Optest Only

Examination Outline Quality Checklist

	South Texas Project Date(s) of Examination: Weeks of 09/1		Initia	
Item	Task Description	a	b*	T
1. W	a. Verify that the outline(s) fit(s) the appropriate model per ES-401.	Æ		Z
R	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	to		e
T	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	RS	,	k
E N	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	Â		k
2.	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, and major transients.			
S I M	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity; ensure each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s)*, and scenarios will not be repeated over successive days.			
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.			
3. W / T	 a. Verify that: (1) the outline(s) contain(s) the required number of control room and in-plant tasks, (2) no more than 30% of the test material is repeated from the last NRC examination, (3)* no tasks are duplicated from the applicants' audit test(s), and (4) no more than 80% of any operating test is taken directly from the licensee's exam banks. 			
	 b. Verify that: (1) the tasks are distributed among the safety function groupings as specified in ES-301, (2) one task is conducted in a low-power or shutdown condition, (3) 40% of the tasks require the applicant to implement an alternate path procedure, (4) one in-plant task tests the applicant's response to an emergency or abnormal condition, and (5) the in-plant walk-through requires the applicant to enter the RCA. 			
	c. Verify that the required administrative topics are covered, with emphasis on performance- based activities.			
	d. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on successive days.			
4.	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam section.			
G	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.			N
N E	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.			Π
R A	d. Check for duplication and overlap among exam sections.			Γ
L	e. Check the entire exam for balance of coverage.			Γ
Γ	f. Assess whether the exam fits the appropriate job level (RO or SRO).			Γ
c. NRC	Printed Name / Signature	d d	0 20 	
Note:	 * Not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required. 		• /	

11.4.

Examination Outline Quality Checklist

Form ES-201-2

Facility	: South Texas Project Date of Examination: 9/17/01			
Item			Initials	;
	Task Description	a	b*	c#
1.	a. Verify that the outline(s) fit(s) the appropriate model per ES-401.	NIA	NA	NA
W R I	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	NA	NA	$\left\{ \right\}$
T T	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	NA	NA	
E N	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	MA	N/A	\mathbf{V}
2. S	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, and major transients.	Ø	ge	ĦS.
I M	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity; ensure each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s)*, and scenarios will not be repeated over successive days.	Ø	g	H B
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	B	X	*3
3. W / T	 a. Verify that: (1) the outline(s) contain(s) the required number of control room and in-plant tasks, (2) no more than 30% of the test material is repeated from the last NRC examination, (3)* no tasks are duplicated from the applicants' audit test(s), and (4) no more than 80% of any operating test is taken directly from the licensee's exam banks. 	Ð	y Y	Ø B
	 b. Verify that: (1) the tasks are distributed among the safety function groupings as specified in ES-301, (2) one task is conducted in a low-power or shutdown condition, (3) 40% of the tasks require the applicant to implement an alternate path procedure, (4) one in-plant task tests the applicant's response to an emergency or abnormal condition, and (5) the in-plant walk-through requires the applicant to enter the RCA. 	ß	Ju	18 B
	c. Verify that the required administrative topics are covered, with emphasis on performance-based activities.	ß	Je g	ØB
	d. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on successive days.	Ŵ	y.	t/B
4.	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam section.	Ø	y,	B
G E	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	Ø	x	¢¢
N E	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	R	x	ŤØ.
R A	d. Check for duplication and overlap among exam sections.	12	y'	to B
L	e. Check the entire exam for balance of coverage.	B	X	ØG
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	R	9	43
c. Chie	Printed Mame / Signature		Date 26/ [27/e [27/e [27/e 1/9/9	21
	applicable for NRC-developed examinations. pendent NRC reviewer initial items in Column "c;" chief examiner concurrence required.			

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Written Examination Quality Checklist

Form ES-401-7 (R8, S1)

Facility:	South TEXAS PROTECT Dat	te of Exam	: 09	14/0		Exam Le	evel: R	OSRO
							Initia	
	Item Description					a	b*	c*
1.	Questions and answers technically accurate and a	applicable	to faci	lity		1AS	M	THE
2.	 a. NRC K/As referenced for all questions b. Facility learning objectives referenced as avail 	able	<u></u>			AX		and the
3.	RO/SRO overlap is no more than 75 percent, and per Section D.2.d of ES-401	SRO que	stions	are app	oropriate	AS	$\left \right $	He was
4.	Question selection and duplication from the last to appears consistent with a systematic sampling pro-		ensing	exam	S			HAR B
5.	Question duplication from the license screening/a indicated below (check the item that applies) and the audit exam was systematically and random the audit exam was completed before the licen the examinations were developed independent the licensee certifies that there is no duplicatio other (explain)	appears a nly develop ise exam v tly; or	ppropr bed; or	iate:		Ay	N/A	WB3
6.	Bank use meets limits (no more than 75 percent from the bank at least 10 percent new, and the rest modified); enter the actual question distribution at right	Bank	Mod	ified	New 32	Ay		HB3
7.	Between 50 and 60 percent of the questions on the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right	Memo 49	ory	5		AY		Æ
8.	References/handouts provided do not give away a	answers				AS		the
9.	Question content conforms with specific K/A state approved examination outline and is appropriate f assigned; deviations are justified					AS		B B
10.	Question psychometric quality and format meet E	S, Append	lix B, g	uidelin	es	AS		THE S
11.	The exam contains 100, one-point, multiple choice agrees with value on cover sheet	e items; th	e total	is corr	ect and	Ag	\downarrow	KB B
b. Facil c. NRC	a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor							
Note:	 * The facility reviewer's initials/signature are not a # Independent NRC reviewer initial items in Colu 							

Written Examination Quality Checklist

Form ES-401-7 (R8, S1)

·									
Facility:	South TEXAS PROJECT Dat	te of Exam	: 9	14/0	<u> </u>	Exam Le	vel: R		
	· · · · · · · · · · · · · · · · · · ·						Initial		
	Item Description a								
1.	Questions and answers technically accurate and	applicable	to faci	lity		10th	MA	ØB	
2.	 a. NRC K/As referenced for all questions b. Facility learning objectives referenced as avail 	able				A	(THE	
3.	RO/SRO overlap is no more than 75 percent, and per Section D.2.d of ES-401	SRO que	stions a	are app	oropriate	AB	F	1B	
4.	Question selection and duplication from the last to appears consistent with a systematic sampling pr		ensing	exam	s 			the sea	
5 .									
6.	Bank use meets limits (no more than 75 percent from the bank at least 10 percent new, and the rest modified); enter the actual question distribution at right	Bank	Mod	ified	New 34	AS		He He	
7.	Between 50 and 60 percent of the questions on the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right	Memo 45	ory	5	с/а 5	NA A		too of the second se	
8.	References/handouts provided do not give away	answers				4		#B	
9.	Question content conforms with specific K/A state approved examination outline and is appropriate f assigned; deviations are justified					AN AN		ĦB	
10.	Question psychometric quality and format meet E	S, Append	lix B, g	uidelin	es	AS		103	
11.	The exam contains 100, one-point, multiple choic agrees with value on cover sheet				ect and	AS	F	HB.	
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor									
Note:	 * The facility reviewer's initials/signature are not a # Independent NRC reviewer initial items in Colu 								

Operating Test Quality Checklist

Form ES-301-3

Facility:	South Texas Project Date of Examination: 9/17/01 Operatin	g Test	Numbe	er: 1
	1. GENERAL CRITERIA	a	Initia	s c#
а.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	R	q	1 88
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	12	F	VEE 8
с.	The operating test shall not duplicate items from the applicants' audit test(s)(see Section D.1.a).	Ø	9×	N/A
d.	Overlap with the written examination and between operating test categories is within acceptable limits.	D NJA	N/A	0 N/A
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	B	ge	#8
	2. WALK-THROUGH (CATEGORY A & B) CRITERIA			
a.	Each JPM includes the following, as applicable:			
	 initial conditions initiating cues references and tools, including associated procedures reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee specific performance criteria that include: detailed expected actions with exact criteria and nomenclature system response and other examiner cues statements describing important observations to be made by the applicant criteria for successful completion of the task identification of critical steps and their associated performance standards restrictions on the sequence of steps, if applicable 	R	Z	Ŵ
b.	The prescripted questions in Category A are predominantly open reference and meet the criteria in Attachment 1 of ES-301.	MA	MA	N/A
с.	Repetition from operating tests used during the previous licensing examination is within acceptable limits (30% for the walk-through) and do not compromise test integrity.	B	q	₩.
d.	At least 20 percent of the JPMs on each test are new or significantly modified.	68	q	128
	3. SIMULATOR (CATEGORY C) CRITERIA			
а.	The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.	R	Z	THE
c. NRC (Printed Name / Signature Hen Struble Herrie y Reviewer(*) James H. Calvert James H. Calvert Chief Examiner (#) <u>H.F. Bundy</u> H.B. Supervisor <u>A.F. Statul H.F. Bundy</u> Supervisor <u>A.F. Statul H.F. Bundy</u>	6 6 7	Date 26/ 27 27 13	6/
NOTE:	 * The facility signature is not applicable for NRC-developed tests. # Independent NRC reviewer initial items in Column "c;" chief examiner concurrence required. 	-		

Owritten exam is not being developed by the facility

Service of the servic

Simulator Sc/enario Quality Checklist

Form ES-301-4

Facility:	South Texas Project	Date of Exam: 9/17/01	Scenario Num	bers: 1 / 2 / 3 Ope	erating	Test N	o.: 1
		QUALITATIVE ATTRIBUTE	S			Initia	s
. 11	÷ 18		·		а	b*	c#
					<u> </u>		
1.	The initial conditions are service, but it does not cu	realistic, in that some equipmen ue the operators into expected e	t and/or instrument	tation may be out of	18	g	VES.
2.	The scenarios consist mo	ostly of related events.			B	ze	TH8
3.	the malfunction the symptoms/ the expected op	onsists of scenario when it is to be initiate (s) that are entered to initiate th cues that will be visible to the cr perator actions (by shift position nation point (if applicable)	e event 🐔 ew 🗠		ß	Z	
4.	No more than one non-m without a credible preced	echanistic failure (e.g., pipe brea ing incident such as a seismic e	ak) is incorporated	into the scenario	Ø	ge	TB8
5.	The events are valid with	regard to physics and thermody	namics.		ß	ġ	NH S
6.	Sequencing and timing of complete evaluation resu	f events is reasonable, and allow Its commensurate with the scen	vs the examination ario objectives.	team to obtain	B	g	138
7.	If time compression techr have sufficient time to car given.	niques are used, the scenario su rry out expected activities withou	ummary clearly so i ut undue time const	ndicates. Operators traints. Cues are	NA	NA	NA
8.	The simulator modeling is	s not altered.			R	ge	14
9.	The scenarios have been evaluated to ensure that f	validated. Any open simulator unctional fidelity is maintained v	performance deficient while running the place	encies have been anned scenarios.	ið	q	10
10.	Every operator will be eva	luated using at least one new o n altered in accordance with Se	r significantly modi	fied scenario All	12	q	138
11.	All individual operator cor the form along with the single sector the single sector between the single sector between the single sector between the sector between th	npetencies can be evaluated, as mulator scenarios).	s verified using For	m ES-301-6 (submit	R	ge	VB8
12.	Each applicant will be sig specified on Form ES-30	nificantly involved in the minimu 1-5 (submit the form with the sin	m number of transi nulator scenarios).	ents and events	R	æ	A9
13.	The level of difficulty is ap	propriate to support licensing de	ecisions for each cr	rew position.	R	g	#8
TARGE	QUANTITATIVE ATTRIB	UTES (PER SCENARIO; SEE S	SECTION D.4.D)	Actual Attributes			
1.	Total malfunctions (5-8)			8,6,6	12	g.	TH2
2.	Malfunctions after EOP er	ntry (1-2)		21212	R	ge	TAS
3.	Abnormal events (2-4)			3,3,3	12	g	28
4	Major transients (1-2)	······································	-	1111	2	æ	VFD
5	EOPs entered/requiring s	ubstantive actions (1-2)		11212	N	af a	¥\$
6.	EOP contingencies requir	ing substantive actions (0-2)		1,0,1	8	X	vil
7.	Critical tasks (2-3)			21212	NX		il

Form ES-301-5

South Texas Project 9/01 Exam

APPLICANT: I1			OPERATING TEST NO.:1				
Evolution	Minimum		Scenario	Numbe	•		
Туре	Number	1 2		3	4		
Reactivity	1						
Normal	1						
Instrument/ Component	4		I				
Major	1						
	· · · · · · · · · · · · · · · · · · ·	1					
Reactivity	1	4					
Normal	0						
Instrument/ Component	2	3, 7					
Major	1	5					
Reactivity	0						
Normal	1		1				
Instrument/ Component	2		3, 4, 5, 7				
Major	1		6				
	Evolution Type Reactivity Normal Instrument/ Component Major Reactivity Normal Instrument/ Component Major Reactivity Normal Instrument/ Component	Evolution TypeMinimum NumberReactivity1Normal1Instrument/ Component4Major1Reactivity1Reactivity1Normal0Instrument/ Component2Major1Reactivity0Instrument/ Component2Major1Normal1Instrument/ Component2Instrument/ Component2Reactivity0Normal1Instrument/ Component2	Evolution TypeMinimum Number1Reactivity11Normal11Instrument/ Component41Major14Reactivity14Normal01Instrument/ Component23, 7Major15Reactivity01Instrument/ Component23Normal15Reactivity01Instrument/ Component23Normal15Reactivity01Instrument/ Component21	Evolution TypeMinimum NumberScenario 1Reactivity12Reactivity11Instrument/ Component41Major14Normal01Reactivity14Normal01Instrument/ Component23, 7Reactivity15Reactivity15Reactivity11Instrument/ Component23, 4, 5, 7	Evolution TypeMinimum NumberScenario Number123Reactivity11Normal11Instrument/ Component41Major14Normal0Instrument/ Component2Reactivity14Normal0Instrument/ Component2Reactivity15Reactivity115Instrument/ Component3, 4, 5, 7		

	Reactivity	0		
SRO-U	Normal	1		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- Reactivity manipulations may be conducted under normal or controlled (2) abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- Whenever practical, both instrument and component malfunctions should (3) be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

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South Texas Project 9/01 Exam

APPLIC	OPERATING TEST NO.:1					
Applicant	Evolution	Minimum		Scenario	Number	
Туре	Туре	Number 1 2 3		3	4	
	Reactivity	1				
RO	Normal	1				
	Instrument/ Component	4				
	Major	11				
	r					1
	Reactivity	11		2		
As RO	Normal	0				
	Instrument/ Component	2		4, 7		
	Major	11		6		
SRO-I						
	Reactivity	0				
As SRO	Normal	1	1			
	Instrument/ Component	2	2, 3, 4, 6, 7		2, 3, 4, 6	
	Major	1	5		5	

	Reactivity	0		
SRO-U	Normal	11		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

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Form ES-301-5

South Texas Project 9/01 Exam

APPLIC	ANT:I3	OPERATING TEST NO.:1					
Applicant	Evolution	Minimum		Scenario	Number		
Туре	Туре	Number	1	2	3	4	
	Reactivity	1					
RO	Normal	11					
	Instrument/ Component	4					
	Major	11					
	r	r	· · · · · · · · · · · · · · · · · · ·				
	Reactivity	1	4				
As RO	Normal	0					
	Instrument/ Component	2	3, 7		3, 4		
	Major	1	5		5		
SRO-I	.	_					
	Reactivity	0					
As SRO	Normal	1		1			
	Instrument/ Component	2		3, 4, 5, 7			
	Major	1		6			

SRO-U	Reactivity	0		
	Normal	11		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Form ES-301-5

South Texas Project 9/01 Exam

OPERATING TEST NO.:1

Applicant	Evolution	Minimum		Scenario	Number		
Туре	Туре	Number	1	2	3	4	
	Reactivity	1					
RO	Normal	1					
	Instrument/ Component	4		i 			
	Major	1					
	Reactivity	1					
As RO	Normal	0					
1	Instrument/ Component	2					
	Major	1	·				
SRO-I							
	Reactivity	0					
As SRO	Normal	1					
	Instrument/ Component	2			 		
	Major	11					

SRO-U	Reactivity	00			
	Normal	1	1	1	
	Instrument/ Component	2	2, 3, 4, 6, 7	3, 4, 5, 7	
	Major	1	5	6	

Instructions: (1)

Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

South Texas Project 9/01 Exam

APPLIC	APPLICANT: U2			IG TEST	NO.:1		
Applicant	Evolution	Minimum	Scenario Number				
Туре	Туре	Number	1	2	3	4	
RO	Reactivity	1					
	Normal	1					
	Instrument/ Component	4					
	Major	1					
r		<u> </u>	<u> </u>	T	I		
	Reactivity	1					
As RO	Normal	0					
	Instrument/ Component	2					
	Major	1					

		1		
SRO-I				
	Reactivity	0		
As SRO	Normal	1		
	Instrument/ Component	1 2.000		
	Major	1		

SRO-U	Reactivity	0			
	Normal	11	1	1	
	Instrument/ Component	2	2, 3, 4, 6, 7	3, 4, 5, 7	
	Major	1	5	6	

Instructions: (1)

Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

South Texas Project 9/01 Exam

APPLIC	APPLICANT: U3		OPE	ERATING	TEST N	0.:1
Applicant	Evolution	Minimum		Scenario	Number	
Туре	Туре	Number	1	2	3	4
	Reactivity	1				
RO	Normal	1				
	Instrument/ Component	4				
	Major	1				
	r	T			· · · · · · · · · · · · · · · · · · ·	
	Reactivity	1				
As RO	Normal	0				
	Instrument/ Component	2				
	Major	11				
SRO-I						
	Reactivity	0				
As SRO	Normal	11				
	Instrument/ Component	2				
	Major	1				
	· · · · · · · · · · · · · · · · · · ·					

SRO-U	Reactivity	0			
	Normal	1	1		
	Instrument/ Component	2	2, 3, 4, 6, 7		
	Major	1	5		

Instructions: (1)

1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

NRC Reviewer:

REV 1: Removed information for U3 from scenario #2 (Surrogate RO)

Form ES-301-5

South Texas Project 9/01 Exam

APPLICANT: R1		OF	PERATIN	G TEST	NO.:1	
Applicant	Evolution	Minimum		Scenario	Number	•
Туре	Туре Туре	Number	1	2	3	4
<u></u>	Reactivity	1	4			
RO	Normal	1		1		
	Instrument/ Component	4	3, 7	3, 5		
	Major	1	5	6		

	Reactivity	1		
As RO	Normal	0		
	Instrument/ Component	2		
	Major	1		
SRO-I				
	Reactivity	0		
As SRO	Normal	1		
	Instrument/ Component	2		
	Major	1		

	Reactivity	0			
SRO-U	Normal	1		:	
	Instrument/ Component	2			
	Major	1			

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Transient and Event Checklist

Form ES-301-5

South Texas Project 9/01 Exam

	APP	LICA	NT	: R2	
--	-----	------	----	------	--

OPERATING TEST NO.:1

Applicant Type	Evolution	Minimum	mum Scenario Number				
Туре	Туре	Number	1	2	3	4	
	Reactivity	1	4				
RO	Normal	1		1			
	Instrument/ Component	4	3, 7	3, 5			
	Major	1	5	6			
	Reactivity	1					

	Reactivity	1		
As RO	Normal	0		
	Instrument/ Component	2		
	Major	1		
SRO-I			 	
	Reactivity	0		
As SRO	Normal	1		
	Instrument/ Component	2		
	Major	1		

	Reactivity	0			
SRO-U	Normal	11		·	
	Instrument/ Component	2			
	Major	1			

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

NRC Reviewer:

Form ES-301-5

South Texas Project 9/01 Exam

APPLICANT: R3		OPERATING TEST NO.:1					
Applicant	Evolution Minimum		Scenario Number				
Туре	Туре	Number	1	2	3	4	
	Reactivity	1		2			
RO	Normal	1	1				
	Instrument/ Component	4	2, 4, 6	4,7			
·	Major	1	5	6			

	Reactivity	1			
As RO	Normal	0			
	Instrument/ Component	2			
	Major	1			
SRO-I	· .		 		
	Reactivity	0			
As SRO	Normal	11		L	
AS SRU	Instrument/ Component	2			
	Major	1			

	Reactivity	0		
SRO-U	Normal	1		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Form ES-301-5

South Texas Project 9/01 Exam

APPLIC	ANT: R4	OPERATING TEST NO.:1				
Applicant	Evolution	Minimum		Scenario	Number	
Туре	Туре	Number	1	2	3	4
	Reactivity	1		2		
RO	Normal	1	1			
	Instrument/ Component	4	2, 4, 6	4, 7		
	Major	1	5	6	l	
		r==				r
	Reactivity	1		, 		
As RO	Normal	0				
	Instrument/ Component	2				
	Major	1				· · ·
SRO-I						
	Reactivity	0				
As SRO	Normal	1				
	Instrument/ Component	2				
	Major	1				

	Reactivity	0		
SRO-U	Normal	1		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Form ES-301-5

South Texas Project 9/01 Exam

APPLICAN	IT: R5	

OPERATING TEST NO.:1

Applicant	Evolution	Minimum		Scenario Number				
Туре	Туре	Number	1	2	3	4		
	Reactivity	1		2				
RO	Normal	1	1					
	Instrument/ Component	4	2, 4, 6	4, 7				
	Major	1	5	6				
·		T			r –			
	Reactivity	1						
As RO	Normal	0						
	Instrument/ Component	2						

	Component	2		
	Major	1		
SRO-I				
	Reactivity	0	l	
As SRO	Normal	1		
	Instrument/ Component	2		
	Major	1		

	Reactivity	0		
SRO-U	Normal	1		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

South Texas Project 9/01 Exam

TINIO TE

APPLIC	ANT: R6	OPERATING TEST NO.:1					
Applicant	Evolution	Minimum		Scenario	Number		
Туре	Туре	Number	1	2	3	4	
	Reactivity	1			1		
RO	Normal	1	1	1			
	Instrument/ Component	4	2, 4, 6	3, 5	2, 4, 6		
······································	Major	1	5	6	5		

	Reactivity	1		
As RO	Normal	0		
i	Instrument/ Component	2		
	Major	1		
SRO-I				
	Reactivity	0		
As SRO	Normal	1		
	Instrument/ Component	2		
	Major	11		

	Reactivity	0		
SRO-U	Normal	1		
	Instrument/ Component	2		
	Major	1		

Instructions: (1) Enter the operating test number and Form ES-D-1 event numbers for each evolution type.

- (2) Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.
- (3) Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirement.

Author:

Competencies Checklist

Form ES-301-6

South Texas Project 9/01 Exam Operating Test No.: 1

		Applic SR	ant l ' O-I	1	4	Applic SR	ant I 2 O-I	2		Applic SR		3	
Competencies		SCEN	IARIC)		SCENARIO				SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4	
Understand and Interpret Annunciators and Alarms	3	4			2	4	2		3	4	3		
Diagnose Events and Conditions	3	4			5	4	5		3	4	3		
Understand Plant and System Response	3	6			3	6	2		3	6	5		
Comply With and Use Procedures (1)	4	4			3	2	2		4	4	3		
Operate Control Boards (2)	4					2			4		5		
Communicate and Interact With the Crew	5	6			5	6	5		5	6	5		
Demonstrate Supervisory Ability (3)		6			5		5	i		6			
Comply With and Use Tech. Specs. (3)		3			2		2			3			
Notes:													

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Competencies Checklist

Form ES-301-6

South Texas Project 9/01 Exam Operating Test No.: 1

	A	Applic R	ant R O	1	A		ant R O	2	A	pplic: R		3
Competencies		SCEN	ENARIO			SCENARIO			SCENARIO)
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	3	3			3	3			2	4		
Diagnose Events and Conditions	3	3			3	3			2	4		
Understand Plant and System Response	3	5			3	5			4	6		
Comply With and Use Procedures (1)	4	5			4	5			1	2		
Operate Control Boards (2)	4	5			4	5	-		1	2		
Communicate and Interact With the Crew	5	6			5	6			5	6		
Demonstrate Supervisory Ability (3)												
Comply With and Use Tech. Specs. (3)												
Notes:												

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Competencies Checklist

Form ES-301-6

South Texas Project 9/01 Exam Operating Test No.: 1

	4	Applic: R		4	A	pplica R		5	A	pplica R		6
Competencies		SCEN)	1. S	SCEN		\mathbf{b}	્	SCEN	IARIC)
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	2	4			2	4			2	3	2	
Diagnose Events and Conditions	2	4			2	4			2	3	4	
Understand Plant and System Response	4	6			4	6			4	5	2	
Comply With and Use Procedures (1)	1	2			1	2			1	5	1	
Operate Control Boards (2)	1	2			1	2			1	5	2	
Communicate and Interact With the Crew	5	6			5	6			5	6	5	
Demonstrate Supervisory Ability (3)												
Comply With and Use Tech. Specs. (3)												
Notes:												

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Brandy John Strand

Competencies Checklist

Form ES-301-6

South Texas Project 9/01 Exam

Operating Test No.: 1

REV 1

	4	Applicant U1 SRO-U			A	pplica SR(ant U ጋ-U	2	Applicant U3 SRO-U			
Competencies		SCEN		>		SCEN	IARIC)	SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	2	4			2	4			2			
Diagnose Events and Conditions	5	4			5	4			5			
Understand Plant and System Response	3	6			3	6			3			
Comply With and Use Procedures (1)	3	4			3	4	-		3			
Operate Control Boards (2)												
Communicate and Interact With the Crew	5	6			5	6			5			
Demonstrate Supervisory Ability (3)	5	6			5	6			5			
Comply With and Use Tech. Specs. (3)	2	3			2	3			2			

Notes:

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

NRC Reviewer:

Rev 1: Removed scenario #2 information for U3 (surrogate RO)

Written Examination Grading Quality Checklist

Facility: South Texas Project Date of Exam: 09/14/01	Ex	am Lev	vel: RO
		Initials	
Item Description	а	b	С
1. Clean answer sheets copied before grading	₽8	NA	.fu
2. Answer key changes and question deletions justified and documented	NA		MA
 Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations) 	AX		In
4. Grading for all borderline cases (80% +/- 2%) reviewed in detail	AX		In
5. All other failing examinations checked to ensure that grades are justified	A		Nt
 Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants 	S	X	M
Printed Name / Signature		D	ate
a. Grader AUFRED Savatez / aup a	1	9/24	1/01
b. Facility Reviewer(*) NA	2		
c. NRC Chief Examiner (*)	CLORY	_d/.	20/01
d. NRC Supervisor (*)	•	9/3	8/01
(*) The facility reviewer's signature is not applicable for examination NRC; two independent NRC reviews are required.	ns grade	d by th	ie

Written Examination Grading Quality Checklist

Facility: South Texas Project Date of Exam: 09/14/01	Exar	n Leve	I: SRO
		Initials	;
Item Description	а	b	c
1. Clean answer sheets copied before grading	AS .	NH	fre
2. Answer key changes and question deletions justified and documented	NA	Í	NA
 Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations) 	AG		Sa
4. Grading for all borderline cases (80% +/- 2%) reviewed in detail	A6		Sa
5. All other failing examinations checked to ensure that grades are justified	NA		NK
 Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants 	Æ	ł	fr
Printed Name / Signature		C	Date
a. Grader ALFRED SANCHEZ QUAL	\mathbf{X}	92	401
b. Facility Reviewer(*) NA			
c. NRC Chief Examiner (*) <u>STEP/TEN 1- M^cCrofy</u>	A Suc	ny c	1/20/01
d. NRC Supervisor (*)	-	9/.	28/01
(*) The facility reviewer's signature is not applicable for examinatio NRC; two independent NRC reviews are required.	ns grade	ed by t	he

Page 1 of 4

10/09/2001 14:20:46 Report 21

Operator Licensing Exam Schedule From 09/01/2001 To 10/31/2001

Region: 4 Phase Code: 5 Operational

Exam Week	Site/Docket No./Insp Rpt #	# Candidates	Туре	Exam Author	Chief Examiner	Examiners Assigned
09/04/2001	South Texas Project / 05000498 / 2001301		Prep		BUNDY, HOWARD F.	BUNDY, HOWARD F.
09/10/2001	South Texas Project / 05000498 / 2001301		Prep		BUNDY, HOWARD F.	BUNDY, HOWARD F. MCCRORY, STEPHEN L. SANCHEZ, ALFRED WERNER, G E.
09/17/2001	South Texas Project / 05000498 / 2001301	RO - 6 SROI - 3 SROU - 3	Admin	NNF	BUNDY, HOWARD F.	BUNDY, HOWARD F. MCCRORY, STEPHEN L. SANCHEZ, ALFRED WERNER, G E.
)9/17/2001	South Texas Project / 05000499 / 2001301		Admin	NNF	BUNDY, HOWARD F.	BUNDY, HOWARD F. MCCRORY, STEPHEN L. SANCHEZ, ALFRED WERNER, G E.

Page 2 of 4 10/09/2001 Report 21	14:20:46			ing Exam Schedule 001 To 10/31/2001		
Region: 4	Phase Code: 5	Operational				
Summary By Dat	е					
09/2001 STP - So RO - 6	outh Texas Project SROI - 3	SROU - 3	LSRO - 0	 Total for South Texas Project: 12	· · · · · · · · · · · · · · · · · · ·	

Page 3 of 4 10/09/2001 14:20: Report 21	46	erator Licensing Exam Schedule From 09/01/2001 To 10/31/2001	
Region: 4	Phase Code: 5 Operational		
Summary By Site			
STP - South Texa RO - 6	as Project SROI - 3 SROU - 3 LSRO - 0	Total for South Texas Project: 12	

Page 4 of 4 10/09/2001 Report 21	14:20:46			r Licensing Exam Schedule n 09/01/2001 To 10/31/2001	
Region: 4	Phase Code: 5	Operational			
Summary By Re	gion				
Region RO -		SROU - 3	LSRO - 0	Total for Region 4: 12	

Sites: STP Orgs: 4620 Exam Author: ALL



South Texas Project Electric Generating Station P.O. Box 289 Wadsworth, Texas 77483

October 3, 2001 NOC-AE- 01001198 File No.: G30 NUREG 1021 STI: 31350867

Mr. Howard Bundy Chief Examiner U. S. Nuclear Regulatory Commission 611 Ryan Plaza Drive Suite 400 Arlington, TX 76011-8064

South Texas Project Units 1 and 2 Docket Nos. STN 50-498, STN 50-499 <u>Security Agreement</u>

Enclosed is the Examination Security Agreement (Form ES-201-3) for the Examination that was given at the South Texas Project Electric Generating Station from September 14, 2001 to September 21, 2001.

Please contact me at (361) 972-7435 or Ken Struble at (361) 972-8611 x-6914 if you have any questions.

Sincerely,

ames H. Califert

James H. Calvert Manager, Operations Training

Attachment: Examination Security Agreement (Form ES-201-3)

ES-201	Examination Security Agreement	Form ES-20 ²
1 Dro Examination	Unitten Exam Validation	

re-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 9/17/01 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC.Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9-17-31. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATENOTE
1. JAMES R HAMAIS	RO	am At	9/5/01	franci	10-01-01
	RO	1mx	9/5/01	Ama	9/26/07
3-JAMES B MEBTINK	<u></u>	Mr. Martink	9.5.01 -	Achata	10.1.01
4. Brad Hardt	VS	Pl stalt	95-01 2	2 htm	- 10-0-07
5. MASON C. REDDIX, UR	US	MCAL	55000	- Mark	100701
6. Robert A. GALiley I	<u>Ro</u>	BALK	9-5-01	IXHUA	- 9-24-0/
7. <u>ſ</u>	· · · · · · · · · · · · · · · · · · ·	700	<u></u>		\
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15					

NOTES:

ES-201	Examination Security Agreement	Form ES-201-3
1. Pre-Examination Uriter	Exam Administration	

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of <u>9-/7-0</u> as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC.Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of **9**-14-94. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATENOTE
1. Scott A. Sieben 2. Bernard F. Neurohr	Instructor Instructor	Bly and	9-14-01	- Stal	7.24-0)
3. William D. Markhan		usmaille		usmarthan	9/24/01 10/01/01
4					
5					
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NOTES:

ES-201 **Examination Security Agreement** Form ES-201-3

1. <u>Pre-Examination</u> Operation Exam Development

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 9-12-01 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. <u>Post-Examination</u>

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of <u>**1**-(1-91</u>). From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
	Ken Struble	Instructor Exam Development	Auto	3-6-01	Kant	9-24-01
	Jim Cabert	Ops Trong Mgr/ Material Review	JA Cabe	3-14-01	J. H. Calvert	2/24/01
	ADRIAN CULVER	INSTRUCTUR Exten DEVERMENT	advier Culver	15-4-16-	of Oldina Culva	9/24/01
4.						·
5. 6.	·					
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NOTES:

ES-201	Examination Security Agreement	Form ES-201-3
1. <u>Pre-Examination</u>	Written exam development	

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of <u>9-14-e1</u> as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of **9-14-51**. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATENOTE
1. Mike DeFrees	Ops Trug. Supr SRD TAXINING Sustancton	∞p	FIZOTO		6-9/24/01
2. TERRANCE B. Batts	SROTARINING Instruction	From to that?	8/20/01 15	2 How	> 9/24/01
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13				·	
13					· · · · · · · · · · · · · · · · · · ·
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NOTES:

24 of 24

Support

1. <u>Pre-Examination</u>

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of $\frac{\gamma - (\gamma - O)}{1 - O}$ as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of <u>1-()-o(</u>. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. <u>Cirseph A Brodsky</u> 2. <u>Nlichael J Scott</u> 3. <u>DAVID GOODMAN</u> 4. <u>ROBERT RAB</u> 5.	Simulator Supervisor Simulator Spec. Simulator Spec. Simulator NAMVARE	Joseph A Brodshy Mechany Start Aniel III Conternan Bobert Cos	03/15/2001 	Josh A Brothy Wedgel Laig David M A Joneur Portant Day	09/27/2001 09/27/2001 09 22 01 09 02 01
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Page of

ES-201



ES-201	Examination Security Agreement	SForm ES-201-3
1. Pre-Examination	Operational Exam Administration	

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 9,17-01 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC.Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9-12-94 _. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

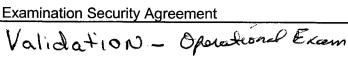
PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATENOTE
1. WILLIAM D. MARKHAN	INSTRUCTOR	"ipufailla	09/17/01	usmailh	- roloilog
2. Bernard F. Neurohr	Fustmetor	BARK	9/17/01 1	Pr. n_	9/24/01
3. Scott A. SIEBEN	Instructor	Stop 1	9/1.7/01	Ale	1 9-24-01
4. <u>Erinal L. Morrista</u>	Instructor	EImigh	9 11/01 7	- I Mars.	1 9-21-01
5. TERRANCE B. Balts	Instruction	2 tombs	9/17/01	2 Kat	124/01
6. Steve P Sieben	Instructor	- Strit	9-17-01	SIST	9-24-01
7. CHRISTOQUERE HORAING	INGRACIOR	Atta & Kony	2-17-01 (Inth X	9/21/01
8. Mike JeFrees	Ops Tring Supr	Motol is the	9/17/07	THOV A	9/24/01
9. Hon Skinper	Instructor	Konglot I. Shewitz	9/18/01-	Koncell L. St	1 mm 9/24/01
10. Dewar CARANTY	MAINTANCE	One Courty	9/18/01 1	Jam Pith	10-2-07
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NUREG-1021, Revision 8, Supplement 1

24 of 24





Form ES-201-3

1. <u>Pre-Examination</u>

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of $\frac{9-12-20}{2}$ as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of <u>rirr</u>. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTEDNAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Alexander C. M. Galliarde	REACTER EPERATOR	Kato	5-27-6/	Alter	9-28-07
2. R.A. Galiler II	REACTOR OPERATOR	TROAT	5-28-1	HEAL	8-24-01
3. Stanley A. Mason	SRO	Xtan mason	5-27-1	The face	>9-26-07
4. Gregory W. Janak	_SRO	- And the	6-19-01	ALL	10/3/01
5. LING 6 MOOREFIELS	PRACTOR OFRATOR	- Andra	6-19-01 2	to on	10/3/01
6. millegel Chrotan	Reactor perstore	mehrel Char	6/21/01	Muchanke the	10/3/01
7. MICHMEL A UREBE	SRO 9	Mechan Voul	9-4-01	nth	10-1-01
8. PAUL B SHINE	REACTOR OPERATOR	test	9.4.01	Pat	(0.3.0)
9. SLott C. Saylors	REALTOR DPERATOR	e e	9.4.1	EX4	12-3-1
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Page of



ES-201