

2001-0226

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RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) / PRIVACY ACT (PA) REQUEST

RESPONSE TYPE  FINAL  PARTIAL

REQUESTER

David A. Lochbaum

DATE

AUG 21 2001

PART I. -- INFORMATION RELEASED

- No additional agency records subject to the request have been located.
- Requested records are available through another public distribution program. See Comments section.
- APPENDICES **A** Agency records subject to the request that are identified in the listed appendices are already available for public inspection and copying at the NRC Public Document Room.
- APPENDICES **B & C** Agency records subject to the request that are identified in the listed appendices are being made available for public inspection and copying at the NRC Public Document Room.
- Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, NW, Washington, DC.
- APPENDICES **B & C** Agency records subject to the request are enclosed.
- Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- We are continuing to process your request.
- See Comments.

PART I.A -- FEES

AMOUNT \*

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- You will be billed by NRC for the amount listed.
- None. Minimum fee threshold not met.
- You will receive a refund for the amount listed.
- Fees waived.

\* See comments for details

PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

- No agency records subject to the request have been located.
- Certain information in the requested records is being withheld from disclosure pursuant to the exemptions described in and for the reasons stated in Part II.
- This determination may be appealed within 30 days by writing to the FOIA/PA Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Clearly state on the envelope and in the letter that it is a "FOIA/PA Appeal."

PART I.C COMMENTS (Use attached Comments continuation page if required)

SIGNATURE - FREEDOM OF INFORMATION ACT AND PRIVACY ACT OFFICER

*Carol Ann Reed*  
Carol Ann Reed

**APPENDIX A  
RECORDS ALREADY AVAILABLE IN THE PDR**

<u>NO.</u>	<u>DATE</u>	<u>ACCESSION NUMBER</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	03/05/2001	ML010640183	Letter: From G. Tracy to O. Kingsley RE: Final Significance Determination for a White Finding (NRC Inspection Report No. 50-254/2000-201 and 50-265/2000-201) (51 pages)
2.	2/12/2001	ML010860414	E-mail: From D. Lochbaum to E. Lyman RE: No Rainbow at Quad Cities.
3.	02/08/01	ML010290409	Letter: From R. Meserve to P. Leventhal and E. Lyman, re: Response to 12/21/00 concerns on nuclear power plant physical protection oversight program.
4.	02/01/01	ML010390181	Letter: From G. Tracy to O. Kingsley RE: NRC Operational Safeguards Response Evaluation (Inspection Report No. 50-254/2000-201 and 50-265/2000-201)- decontrolled version (4 pages)
5.	01/25/2001	ML010250264	Memo: From A. Vietti-Cook to W. Travers & K. Cyr RE: Staff Requirements - COMSECY-00-0036 - Safeguards Performance Assessment Issues Associated with the Revised Oversight Process (2 pages-ML010250264).
6.	01/25/2001	ML010370391	VR - COMSECY 00-0036 - Safeguards Performance Assessment Issues Associated with the Revised Oversight Process
7.	12/21/2000	ML003781083	Letter from P. Leventhal to R. Meserve RE: Concerns RE Enforcement Actions Pursuant to 10XCFR73.55(a) under the OSRE Program
8.	10/18/2000	ML010370408	Safeguards Performance Assessment Issues Associated with the Revised Oversight Process
9.	06/16/00	ML003726046	Ltr from J. Dimmette, ComEd, to Document Control Desk, Re: Operational Safeguards Response Evaluation (OSRE) Teleconferences (2 pages)

**APPENDIX B  
RECORDS BEING RELEASED IN THEIR ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	Undated	Memorandum to J. Grobe from M. Parker; Subject: Quad Cities OSRE (3 pages)
2.	Undated	RIII Comments Quad Cities OSRE Report No. 2000-201 (2 pages)
3.	Undated	1 <sup>st</sup> Example since 1998 OGC memo (1 page)
4.	04/24/00	Exhibit 5 - Action Matrix (1 page)
5.	05/01/00	Quad Cities OSRE Schedule (1 page)
6.	05/02/00	Quad Cities OSRE Schedule (1 page)
7.	05/03/00	Quad Cities OSRE Schedule (1 page)
8.	05/04/00	Quad Cities OSRE Schedule (1 page)
9.	05/15/00	Safeguards Schedule - Phone Log (1 page)
10.	06/07/00	Email from J. Creed to SRAs RIII; Subject: New PRA Cases (1 page)
11.	06/07/00	Email from J. Creed to M. Parker and S. Burgess; Subject: Quad Cities OSRE Findings Assessment (1 page)
12.	06/12/00	Email from J. Creed to multiple addressees (provided by M. Parker) Subject: Quad Cities SDP (1 page)
13.	06/13/00	Safeguards Schedule - Phone Log (1 page)
14.	06/15/00	Email from B. Clayton to multiple addressees (provided by M. Parker) Subject: SDP panel for Quad Cities OSRE results (1 page)
15.	06/16/00	Memo, Dimmette to U. S. Nuclear Regulatory Commission, dated June 16, 2000, Subject: Operational Safeguards Response Evaluation (OSRE) Teleconference (2 pages)
16.	06/19/00	E-mail from J. Grobe to Glenn Tracy, subject: Quad Cities OSRE - use of Reactor SDP. (2 pages)

17. 06/20/00 Email from B. Clayton to multiple addressees (provided by M. Parker) Subject: Quad Cities Pre-SDP/enforcement panel meeting (1 page)
18. 06/21/00 Email from B. Hicks to ENFPANEL; Subject: Quad Cities Pre-SDP/enforcement panel meeting (1 page)
19. 06/22/00 Handwritten Notes - Quad OSRE SDP Panel (1 page)
20. 06/23/00 Email from C. Miller to M. Ring re: Fwd: Quad Cities (1 page)
21. 06/23/00 Email from B. Clayton to W. Dean re: Quad Cities (1 page)
22. 06/26/00 ADP/EA Request & Strategy form. (1 page)
23. 06/26/00 Email from M. Ring to multiple addressees (provided by M. Parker) Subject: Quad Cities (1 page)
24. 07/11/00 Email from Chris Miller to M. Parker; Subject: 5 & 6 racks (1 page)
25. 07/13/00 Handwritten Notes - Quad OSRE SDP Panel continuation (2 pages)
26. 07/17/00 Safeguards Schedule - Phone Log (1 page)
27. 07/27/00 Email from J. Creed to J. Grobe; Subject: Quad Cities SDP and the OSRE (1 page)
28. 08/07/00 Safeguards Schedule - Phone Log (1 page)
29. 08/11/00 View graphs of EDO Briefing on Assessment and Enforcement of OSRE Findings. (3 pages)
30. 08/15/00 Handwritten Notes - Quad OSRE exit/new info (2 pages)
31. 08/15/00 Quad Cities Nuclear Power Station - NRC OSRE Meeting Handout (18 pages)
32. 08/21/00 Email from J. Grobe to multiple addressees (provided by M. Parker) Subject: Re: Fwd: Quad Cities Station time line for drill #3 (2 pages)
33. 08/22/00 E-mail from J. Thompson to I. Jung, subject: Quad Cities OSRE SDP. (1 page)
34. 08/23/00 Email from G. Tracy to multiple addressees (provided by M. Parker) Subject: Re: RSVP-Quad Cities Time Line Call (Rev. 1) (1 page)

35. 08/23/00 Email from M. Ring to David Muller; Subject: Re: problems I have with the time line (1 page)
36. 08/23/00 Email from J. Creed to multiple addressees (provided by M. Parker) Subject: RSVP - Quad Cities Time Line Call (Rev 1) (1 page)
37. 08/23/00 E-mail from John Thompson to A. Stone, C. Miller, D. Hills, subject: RSVP - Quad Cities Time Line Call. (1 page)
38. 08/25/00 Safeguards Schedule - Phone Log (1 page)
39. 08/25/00 Email from J. Creed to B. Clayton; Subject: QC OSRE SDP panel (1 page)
40. 08/25/00 Email from B. Clayton to multiple addressees (provided by M. Parker) Subject: QC OSRE SDP panel (1 page)
41. 08/29/00 Safeguards Schedule - Phone Log (1 page)
42. 09/05/00 SDP/EA Request & Strategy Form. (1 page)
43. 09/08/00 Safeguards Schedule - Phone Log (1 page)
44. 09/08/00 Email from B. Clayton to multiple addressees (provided by M. Parker) Subject: Quad Cities OSRE (1 page)
45. 09/12/00 Email from B. Clayton to multiple addressees (provided by M. Parker) Subject: Quad Cities OSRE panel (1 page)
46. 09/12/00 E-mail from V. Ordaz to A. Madison, A. Boland, A. Mendiola, subject: RIII SDP/Enforcement Panels on 9/14 & 9/15. (1 page)
47. 09/19/00 SDP/EA Request & Strategy Form. (1 page)
48. 10/16/00 Safeguards Schedule - Phone Log (1 page)
49. 02/02/01 E-mail from V. Ordaz to A. Vietti-Cook, subject: Comm. Plan for SRM on COMSECY-00-0036. (2 pages)
50. 02/05/01 Email - Fwd: Comm Plan for SRM on COMSECY-00-0036 (1 page)
51. 03/05/01 Letter, Tracy to Kingsley, dated March 5, 2001, Subject: Final Significance Determination for a White Finding (NRC Inspection Report Nos. 50-254/2000-201 and 50-265/2000-201) at Quad Cities

- 52. 01/18/01 E-mail from G. Smith to G. Tracy, subject SRM on COMSECY-00-0036 - Safeguards Performance Assessment. (1 page)
- 53. No date Tentative Plan for Assessing and Enforcing OSRE Findings. (1 page)

**APPENDIX C  
RECORDS BEING RELEASED IN THEIR ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)</u>
1.	Undated	Schedule (1 page)
2.	Undated	Pre-SDP/Enforcement Panel Agenda (1 page)
3.	06/06/00	E-mail from G. Tracy, NRR, to J. Creed & J. Grobe, RIII, Re: Quad Cities OSRE Findings Assessment (1 page)
4.	06/19/00	E-mail from R. Rosano, NRR, to G. Tracy, NRR, J. Grobe, RIII, Re: Quad Cities OSRE - Use of Reactor SDP (2 pages)
5.	06/20/00	E-mail from D. Coe, NRR, to V. Ordaz, NRR, Re: Physical Protection SDP (1 page)
6.	06/20/00	E-mail from V. Ordaz, NRR, to A. Mendiola, B. Clayton, et al., Re: Quad Cities Pre SDP/Enforcement Panel Meeting (1 page)
7.	07/27/00	E-mail from V. Ordaz, NRR, to D. Orrik, G. Tracy, et al., NRR, Re: Quad Cities Enforcement Package (1 page)
8.	08/17/00	E-mail from J. Sipek, ComEd, to D. Orrik and R. Rosano, NRR, Re: Quad Cities Station Time Line for Drill #3
9.	08/23/00	E-mail from G. Tracy, NRR, to A. Howell, RIV; C. Casto, RII; J. Grobe, RIII; W. Lanning, RI; Re: OSRE Oversight (1 page)
10.	08/26/00	E-mail from V. Ordaz, NRR, to A. Madison, D. Orrik, D. Coe, G. Tracy, et al., NRR, Re: 8/31-Quad Cities SERP (2 pages)
11.	08/28/00	E-mail from J. Sipek, ComEd, to J. Creed, RIII, and D. Orrik, NRR, Re: Revised Timelines (1 page)
12.	08/29/00	E-mail from J. Monninger, NRR, to D. Orrik & D. Coe, NRR, Re: Quad Cities SERP 8/31 (1 page)
13.	08/29/00	E-mail from J. Monninger, NRR, to B. Clayton, RIII; J. Luehman, OE; R. Borchardt, NRR; T. Reis, NRR; Re: Quad Cities SERP 8/31 (1 page)
14.	09/01/00	E-mail from J. Sipek, ComEd, to J. Creed and S. Reynolds, RIII; G. Tracy, NRR, et al. Re: Quad Cities Station Time Line for Drill #3 (1 page)
15.	09/25/00	E-mail from Z. Hsu, NRR, to A. Saso, RIII, Re: Comments on Quad Cities Inspection Report from Jack Grobe (1 page)
16.	10/23/00	E-mail from V. Ordaz, NRR, to D. Coe, M. Johnson, P. Koltay, W. Dean, NRR, Re: Status of SERP Issues (1 page)

17. 01/18/01 E-mail from G. Tracy, NRR, to B. Boger, J. Johnson, & V. Ordaz, NRR, Re: Fwd:SRM on COMSECY-00-0036-SPA (1 page)
18. 01/19/01 E-mail from J. Johnson, NRR, to B. Boger, G. Tracy, V. Ordaz, NRR, Re: Fwd:SRM on COMSECY-00-0036-SPA (1 page)
19. 01/26/01 E-mail from V. Ordaz, NRR, to B. Boger, D. Coe, F. Gillespie, et al., NRR, Re: Fwd:Staff Requirements-COMSECY-00-0036-Safeguards Performance Assessment (1 page)
20. 01/29/01 E-mail from W. Dean, NRR, to T. Boyce, D. Coe, J. Thompson, NRR, Re: COMSECY 0036 and 0610 (1 page)
21. 02/03/01 E-mail from V. Ordaz, NRR, to A. Vietti-Cook, SECY, Re: Commission Plan for SRM on COMSECY-00-0036 (2 pages)
22. 02/08/01 E-mail from V. Ordaz, NRR, to J. Sharkey, OCM, Re: Publicly Available Documents Re: COMSECY-00-0036 (1 page)
23. 02/08/01 E-mail from V. Ordaz to G. Good, RIV; J. Creed, RIII; J. White, RI; K. Barr, RII; Re: Fwd:Publicly Available Documents re: COMSECY-00-0036
24. 02/08/01 Communications Plan for SRM on COMSECY-00-0036 (1 page)
25. 02/09/01 E-mail from S. Bailey, NRR, to V. Ordaz, NRR, Re: Call from Quad Cities (1 page)
26. 02/12/01 E-mail from D. Lochbaum, Union for Concerned Scientists, to E. Lyman, Nuclear Control Institute, Re: No Rainbow at Quad Cities (2 pages)