

**NRC LICENSEE SELF-ASSESSMENT (LSA)
STUDY RESULTS TO DATE (AUGUST, 2001)**

**PRESENTED BY: LEON WHITNEY, IIPB/NRR
PRESENTED ON: AUGUST 16, 2001**

- **IP 40501 OF THE PREVIOUS ROP PROVIDED FOR LICENSEE SELF-ASSESSMENTS IN LIEU OF NRC TEAM INSPECTIONS**
 - **LIC. PROPOSED: SCHEDULE, SCOPE, LEVEL OF EFFORT, TEAM QUALS**
 - **NRC FINAL SAMPLING INSPECTION**
 - **~50% LICENSEE EFFORT POINT IN-PROCESS NRC EVALUATION**
 - **DIFFICULT TO REMAIN BELOW ~25% NRC OVERSIGHT LEVEL**
 - **IP 40501 LESSON LEARNED: LARGE TEAM (“AREA OF EMPHASIS”) INSPECTIONS (e.g., FP, MOV, EDSFI, SWOPI, AND SAFETY SYSTEM DESIGN), TENDED TO PROVIDE SIGNIFICANT NRC RESOURCE REDUCTIONS**

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- **OSHA VOLUNTARY PROTECTION PROGRAM (VPP) [NON-NRC GOVERNMENT PRECEDENT]**
 - **“VPP CONCEPT RECOGNIZES THAT ‘COMPLIANCE ENFORCEMENT’ (STET) ALONE CAN NEVER FULLY ACHIEVE THE OBJECTIVES”**
 - **WRITTEN VPP APPLICATION TO OSHA BY WORKPLACE MANAGEMENT**
 - **OSHA VERIFIES PROGRAM MEETS VPP CRITERIA**
 - **VPP PARTICIPANTS NOT SUBJECT TO ROUTINE OSHA INSPECTIONS**
 - **PERIODIC OSHA VPP REASSESSMENTS**
 - **PUBLIC RELATIONS BENEFITS FOR VPP PARTICIPANTS**

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- **OSHA VPP (CONTINUED)**
 - **OSHA VPP REVIEWERS “DO NOT LOOK FOR A SINGLE CORRECT WAY TO MEET VPP REQUIREMENTS” (ARGUABLY TO BEST IDENTIFY LATENT PROBLEMS)**
 - **VPP ENVIRONMENT WAS LOW CONSEQUENCE, SO NRC/OSHA PARALLELS MAY NOT APPLY**