



**North  
Atlantic**

North Atlantic Energy Service Corporation  
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The Northeast Utilities System

August 16, 2001

Docket No. 50-443

NYN-01060

CR# 01-07218

United States Nuclear Regulatory Commission  
Attention: Document Control Desk  
Washington, DC 20555

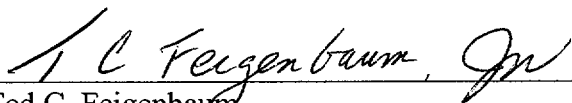
Seabrook Station  
Security Event Report 01-S01-00 for  
Incomplete Pre-Employment Screening Records

Enclosed, please find Security Event Report 01-S01-00 for an event that occurred at Seabrook Station on September 21, 2000. This event is being reported pursuant to 10 CFR 73.71(a)(4).

Should you require further information regarding this matter, please contact Mr. James M. Peschel, Manager-Regulatory Programs at (603) 773-7194.

Very truly yours,

NORTH ATLANTIC ENERGY SERVICE CORP.

  
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Ted C. Feigenbaum  
Executive Vice President and  
Chief Nuclear Officer

cc: H. J. Miller, NRC Region I Administrator  
G. F. Wunder, NRC Project Manager, Project Directorate I-2  
G. T. Dentel, NRC Senior Resident Inspector

IE 74

**ENCLOSURE 1 TO NYN-01060**

## LICENSEE EVENT REPORT (LER)

(See reverse for required number of  
digits/characters for each block)

Estimated burden per response to comply with this mandatory information collection request: 50 hours. Reported lessons learned are incorporated into the licensing process and fed back to industry. Send comments regarding burden estimate to the Records Management Branch (T-6 E6), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to: bjs1@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202 (3150-0104), Office of Management and Budget, Washington, DC 20503. If a means used to impose information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

FACILITY NAME (1) Seabrook Station						DOCKET NUMBER (2) 05000443						PAGE (3) 1 OF 3		
TITLE (4) Incomplete Pre-Employment Screening Records														
EVENT DATE (5)			LER NUMBER (6)			REPORT DATE (7)			OTHER FACILITIES INVOLVED (8)					
MO	DAY	YEAR	YEAR	SEQUENTIAL NUMBER	REV NO	MO	DAY	YEAR	FACILITY NAME			DOCKET NUMBER		
09	21	2000	2001	S01	00	08	16	2001	FACILITY NAME			DOCKET NUMBER		
OPERATING MODE (9)		1		THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR §: (Check all that apply) (11)										
POWER LEVEL (10)		100		20.2201(b)		20.2203(a)(3)(ii)		50.73(a)(2)(ii)(B)		50.73(a)(2)(ix)(A)				
				20.2201(d)		20.2203(a)(4)		50.73(a)(2)(iii)		50.73(a)(2)(x)				
				20.2203(a)(1)		50.36(c)(1)(i)(A)		50.73(a)(2)(iv)(A)		X 73.71(a)(4)				
				20.2203(a)(2)(i)		50.36(c)(1)(ii)(A)		50.73(a)(2)(v)(A)		73.71(a)(5)				
				20.2203(a)(2)(ii)		50.36(c)(2)		50.73(a)(2)(v)(B)		OTHER				
				20.2203(a)(2)(iii)		50.46(a)(3)(ii)		50.73(a)(2)(v)(C)		Specify in Abstract below or in NRC Form 366A				
				20.2203(a)(2)(iv)		50.73(a)(2)(i)(A)		50.73(a)(2)(v)(D)						
				20.2203(a)(2)(v)		50.73(a)(2)(i)(B)		50.73(a)(2)(vii)						
				20.2203(a)(2)(vi)		50.73(a)(2)(i)(C)		50.73(a)(2)(viii)(A)						
				20.2203(a)(3)(i)		50.73(a)(2)(ii)(A)		50.73(a)(2)(viii)(B)						
LICENSEE CONTACT FOR THIS LER (12)														
NAME James M. Peschel, Manager – Regulatory Programs								TELEPHONE NUMBER (Include Area Code) (603) 773-7194						
COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT (13)														
CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO EPIX	CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO EPIX					
N/A					N/A									
SUPPLEMENTAL REPORT EXPECTED (14)										EXPECTED SUBMISSION DATE (15)		MONTH	DAY	YEAR
YES (If yes, complete EXPECTED SUBMISSION DATE).					X	NO								
ABSTRACT (Limit to 1400 spaces, i.e., approximately 15 single-spaced typewritten lines) (16)														
<p>On September 21, 2000, a contractor employee (subsequently referred to as "individual") was granted temporary unescorted access to the Seabrook Station protected area. This access was granted in accordance with North Atlantic Energy Service Corporation (North Atlantic) procedures upon completion of an Unescorted Access Authorization Affidavit and submittal of the individual's fingerprint application to the Nuclear Regulatory Commission (NRC) on September 11, 2000. On November 14, 2000, North Atlantic received from the NRC the individual's Federal Bureau of Investigation (FBI) criminal history report. On November 18, 2000, the individual's unescorted access was favorably terminated in the Personnel Access Database (PADS). On November 19, 2000, North Atlantic reviewed the individual's criminal history and noted a discrepancy between the information provided by the individual on the Unescorted Access Authorization Affidavit and that contained in the FBI criminal history report. As a precautionary measure, North Atlantic accessed PADS and changed the individual's status from favorable to unfavorable. Subsequent discussion with the individual during pursuit of employment at another facility did not reveal a concern. The information provided by the individual resolved the apparent discrepancy between the Unescorted Access Authorization Affidavit and the FBI criminal history report. With this clarification, North Atlantic revised PADS to reflect a favorable status. On July 23, 2001, the FBI notified North Atlantic that there was an active warrant for the individual.</p> <p>The cause of this event was an incorrect FBI Criminal History Report. Temporary unescorted access to Seabrook Station would have been denied based upon this additional information. There were no adverse safety consequences as a result of this event. This event was reported (1-hour report) to the NRC on July 23, 2001 (Report Number 38162) pursuant to the requirements of 10 CFR 73.71(b)(1). North Atlantic updated PADS to reflect an unfavorable status.</p>														

**LICENSEE EVENT REPORT (LER)**

FACILITY NAME (1)	DOCKET (2)	LER NUMBER (6)			PAGE (3)
		YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	
Seabrook Station	05000443	2001	- S01	- 00	2 OF 3

**NARRATIVE** (If more space is required, use additional copies of NRC Form 366A) (17)

**I. Description of Event**

On September 21, 2000, a contractor employee (subsequently referred to as "individual") was granted temporary unescorted access to the Seabrook Station protected area. This access was granted in accordance with North Atlantic Energy Service Corporation (North Atlantic) procedures upon completion of an Unescorted Access Authorization Affidavit (UAAA) by the individual and submittal of the individual's fingerprint application to the Nuclear Regulatory Commission (NRC) on September 11, 2000. On November 14, 2000, North Atlantic received from the NRC the individual's Federal Bureau of Investigation (FBI) criminal history report. On November 18, 2000, upon completion of the individual's work activities, the individual's unescorted access was favorably terminated in the Personnel Access Database (PADS). On November 19, 2000, North Atlantic reviewed the individual's criminal history and noted a discrepancy between the information provided by the individual on the UAAA and that contained in the FBI criminal history report. Specifically, the FBI criminal history report stated there was "No Complaint Filed" associated with the two charges that were not disclosed on the UAAA. As a precautionary measure, North Atlantic accessed PADS and changed the individual's status from favorable to unfavorable.

On March 26, 2001, North Atlantic received a telephone call from Vermont Yankee (VY) concerning the PADS database status of unfavorable for the individual. Discussions between North Atlantic, VY personnel, and the individual resolved the apparent discrepancy. Specifically, the individual indicated that the subject charges were dismissed, which appeared to match the "No Complaint Filed" terminology on the FBI criminal history report. With this clarification, North Atlantic revised PADS to reflect a favorable status.

On June 4, 2001, the NRC informed North Atlantic that the individual was suspected of having an outstanding warrant. Upon confirmation of the impending warrant with the respective Sheriff's Office, North Atlantic accessed PADS and changed the subject individual's status from favorable to unfavorable with a notation "additional information subsequent to termination."

On July 23, 2001, the FBI notified North Atlantic that there was an active felony warrant for the individual. This event was reported (1-hour) to the NRC on July 23, 2001 (Report Number 38162) pursuant to the requirements of 10 CFR 73.71(b)(1).

**II. Cause of Event**

The cause of this event was an incorrect FBI Criminal History Report. There was no indication from the FBI Criminal History Report that a warrant was outstanding for the individual's arrest. The report stated "No Complaint Filed" associated with the subject charges. The individual explained that these charges had been dismissed. It is not known whether the individual was aware that there was an outstanding arrest warrant as was subsequently identified by the FBI. Had the information been available regarding the arrest warrant, North Atlantic would have denied the individual's temporary unescorted access.

**III. Analysis of Event**

There were no adverse safety consequences as a result of this event. The individual's work responsibilities involved the erection of temporary power and light fixtures to support work conducted during the last refueling outage. The individual did not perform work on safety-related equipment. The responsible North Atlantic supervisor stated that approximately once per night he would check a sample of the work activities performed by the individual to ensure the temporary power and light fixtures were being erected as requested. The supervisor stated that based on his observations, he had no adverse feedback on the individual's work performance during the individual's work period at Seabrook Station.

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		2001	- S01	- 00	

**NARRATIVE** (If more space is required, use additional copies of NRC Form 366A) (17)

IV. Corrective Action

1. North Atlantic discussed the individual's scope of work and work history with the individual's North Atlantic supervisor for the period of time the individual was onsite at Seabrook Station (September 11, 2000 to November 18, 2000). No adverse feedback was identified.
2. Upon confirmation of the individual's arrest warrant, North Atlantic updated the Personal Access Data System (PADS) for the individual from a favorable to an unfavorable status. This will ensure that additional adjudication is conducted before this individual is granted unescorted access to other nuclear power plants.

V. Additional Information

None

Similar Events

This is the third event of this type at Seabrook Station. The first event occurred on December 14, 1998 (SER 98-S01-00). The second event occurred on April 8, 1999 (SER 99-S01-00). These previous events were the result of individuals failing to provide accurate information on their Unescorted Access Authorization Affidavit.

Manufacturer Data

Not applicable.