

**APPROVAL FOR ISSUANCE OF A DIRECTIVE OR HANDBOOK**

**A. DESCRIPTION OF DIRECTIVE/HANDBOOK**

1. NUMBER AND TITLE:

Management Directive 9.14, "Organization and Functions, Office of International Programs"

2. BASIC AUTHORITY FOR ISSUANCE (Cite Executive Order, Statute, other Directive, etc.):

Conversion of the former Manual Chapter/Appendix system into the new Management Directive system.

Management Directive 1.1, NRC Management Directives System.

3. TYPE OF ISSUANCE:

Directive  Handbook

4. TYPE OF ACTION:

New  Revision  Change Page

**B. AUTHORIZATION FOR ISSUANCE**

5. OFFICIAL AUTHORIZING ISSUANCE:

NAME Richard A. Meserve

TITLE Chairman

SIGNATURE

DATE / /01

**C. PURPOSE OF DIRECTIVE/HANDBOOK**

Directive 9.14 replaces Manual Chapter 0116 and is being issued to reflect the Office of International Programs (OIP) April 2000 reorganization and to update and clarify responsibilities of the office. Included are reporting requirements of the Nuclear Nonproliferation Act.

**D. TECHNICAL EDITING**

EDITOR'S NAME:

Barbara Calure *Barbara Calure*

DATE COMPLETED:

5/24/01

TELEPHONE NUMBER:

415-7164

**E. CONCURRENCES FOR ISSUANCE**

OFFICE	Chief, RDB DAS:ADM	Director, DAS:ADM	Director, ADM	OGC	EDM, EDO	EDO	Chairman
NAME	MTLesar <i>MT</i>	VHWilson <i>VH</i>	MLSpringer <i>MS</i>	PHassell <i>PH</i>	PGNorry <i>PG</i>	WDTravers	RAMeserve
DATE	5/24/01	5/19/01	5/30/01	6/11/01	6/21/01	/ /01	/ /01

*25/29*

*AS 5/24/01*

TN: DT-01-

**To:** NRC Management Directive System Custodians

**Subject:** Transmittal of Management Directive 9.14, "Organization and Functions, Office of International Programs"

**Purpose:** Directive 9.14 replaces Manual Chapter 0116 and is being issued to reflect the Office of International Programs (OIP) April 2000 reorganization and to update and clarify responsibilities of the office. Included are reporting requirements of the Nuclear Nonproliferation Act.

**Office of Origin:** Office of International Programs

**Contact:** Rita Hoskins, 415-1739

**Date Approved:** (DRAFT 05/23/01)

**Volume:** 9 Organization and Functions

**Directive:** 9.14, Organization and Functions, Office of International Programs

**Availability:** Rules and Directives Branch  
Office of Administration  
Michael T. Lesar (301) 415-7163 or  
Christy Moore (301) 415-7086

# **Organization and Functions Office of International Programs**

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**Directive**  
(Formerly  
MC 0116) **9.14**

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# U. S. Nuclear Regulatory Commission

Volume: 9 NRC Organization and Functions

OIP

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## Office of International Programs Directive 9.14

### Organization and Functions

#### Supervision

(9.14-01)

The Office of International Programs is headed by a director who reports to the Commission.

#### Functions

(9.14-02)

The office is responsible for administering the agency's International Programs and for providing policy advice and assistance to the Chairman, the Commission, and the NRC staff on international issues. Specifically, consistent with the goals and priorities established by the International Council, the office—

- In consultation with other offices, such as the Office of Nuclear Reactor Regulation (NRR), the Office of Nuclear Material Safety and Safeguards (NMSS), the Office of Nuclear Regulatory Research (RES), the Office of the General Counsel (OGC), the Office of Congressional Affairs (OCA), and the Office of Administration (ADM), provides overall program coordination and policy guidance for NRC's international activities, including nuclear exports and imports, international safeguards, international physical security, nonproliferation matters, and international cooperation and assistance in nuclear safety and radiation protection. (021)
- In coordination with appropriate NRC and other U. S. Government offices, plans, develops, and implements NRC programs of international nuclear safety cooperation and assistance, as well as export and import licensing and interagency consultation on matters related to nuclear exports and imports. (022)

## Functions

(9.14-02) (continued)

- Formulates and recommends policies concerning nuclear exports and imports, international safeguards, international physical security, and nonproliferation matters, in coordination with other NRC offices having interests or responsibilities in these areas. (023)
- In coordination with other NRC offices, plans, develops, defines expected outcomes, and manages international nuclear safety information exchange programs with assigned countries and international organizations. (024)
- Coordinates interagency reviews of international regulatory information exchange and research agreements for the NRC. (025)
- Coordinates NRC's foreign intelligence responsibilities regarding nuclear safety, exports, and nonproliferation. (026)
- Establishes and maintains working relationships with individual countries and international organizations, as well as with other involved U.S. Government agencies. (027)
- Enters into, extends, modifies, and terminates reimbursable, allocation, or transfer agreements from the U. S. Agency for International Development (AID) involving provision of funds to NRC consistent with Management Directive 4.2, "Administrative Control of Funds," Part VIII. (028)
- Ensures that international activities carried out by the Commission and staff are well coordinated internally and Governmentwide and are consistent with NRC and U.S. policies. (029)
- Coordinates visits of foreign nationals to the NRC, as well as NRC visits with nationals of foreign organizations, including identification of expected outcomes, and advice and recommendations on NRC foreign travel and expenditures from NRC official representational funds. (0210)

## Functions

(9.14-02) (continued)

- In coordination with appropriate U.S. Government agencies and NRC offices, arranges for expert assistance to and from other countries and international organizations in the areas of nuclear health and safety, environmental protection, safeguards, and physical security. (0211)
- Transmits to OCA, within 30 days of receipt, any credible information generated by the NRC or received from an NRC licensee or an NRC license applicant regarding current activities of foreign nations that is significant from the proliferation standpoint, for the purpose of informing appropriate congressional committees pursuant to reporting requirements of the Nuclear Nonproliferation Act (NNPA). (0212)

For purposes of this provision, credible information subject to reporting under the NNPA refers to all information generated by the NRC or received from an NRC licensee or an NRC license applicant with respect to the agency's activities to carry out the purposes and policies of the NNPA and to otherwise prevent nuclear proliferation; and the current activities of foreign nations that are significant from the proliferation standpoint.

- Plans, develops, and implements export and import licensing activities. (0213)
- Processes nuclear export and import license applications and issues licenses under 10 CFR Part 110 after receiving any necessary guidance from the Commission. (0214)
- Develops and maintains analyses of nuclear regulatory and energy programs, activities, and policies of foreign countries and provides them to the Commission and the NRC staff, including reports on worldwide developments concerning nuclear regulatory matters. (0215)
- Develops and transmits NRC responses to the Department of Energy (DOE) on nuclear technology transfer cases under 10 CFR Part 810, pursuant to interagency procedures after receiving any necessary guidance from the Commission. (0216)

## **Functions**

(9.14-02) (continued)

- Develops and transmits NRC recommendations for agreements for cooperation negotiated by the Secretary of State pursuant to Section 123 of the Atomic Energy Act, as amended, after receiving any necessary guidance from the Commission. (0217)
- Develops and transmits NRC responses to DOE on subsequent arrangement requests under Section 131 of the Atomic Energy Act, as amended, after receiving any necessary guidance from the Commission. (0218)
- Arranges for representatives of foreign regulators to be temporarily assigned to NRC for training or regulatory cooperation. Interacts with such foreign representatives to provide guidance to effect a smooth settling in to local conditions. Obtains from relevant program office a Program of Work (POW) covering the period of assignment at NRC. Monitors POW and foreign representatives to check that desired outcome of training or regulatory cooperation is being achieved. Informs/coordinates with relevant program office if such desired outcome is not being achieved. (0219)
- Assists in identifying and resolving issues associated with the International Nuclear Regulators Association (INRA). Coordinates preparations for the Chairman's participation in INRA meetings, including coordinating discussion papers for the meetings and implementation of decisions reached by the association insofar as they impact NRC. (0220)
- Coordinates NRC program office participation in the NRC International Council, which was established to provide a more focused international program supported by all involved offices to promote a common approach to, and provide more timely information and guidance on, international issues and activities. (0221)
- Works with the program offices in forecasting the upcoming international activities and in estimating and allocating the needed resources to support the international activities. (0222)
- Performs any other functions assigned by the Commission. (0223)

## Delegation of Authority to the Director

(9.14-03)

- The director is authorized and directed to take any actions necessary to implement the functions assigned by this directive, or other official directives or communications, subject to the limitations they may prescribe. (031)
- The director is authorized to grant exemptions from NRC regulations pertaining to export of nuclear facilities, nuclear components and commodities, and source, byproduct, and special nuclear materials and to import of nuclear facilities and source, byproduct, and special nuclear materials to the extent permitted by the Atomic Energy Act of 1954, as amended, in accordance with NRC regulations and Commission policy and direction. The Commission will be notified of any proposed exemption regarding a controversial or non-routine export matter. (032)
- The director is authorized to serve as the head of the International Council. Other members of the International Council include the directors of the program offices and the Assistant for Operations, EDO. The roles of the International Council are to (1) establish the goals and priorities of NRC's international activities and ensure that the NRC maintains a focused integrated international program, supported by all involved offices, that promotes NRC's strategic plan and (2) provide a forum for NRC senior managers to exchange information, address questions and resolve problems, identify and discuss international priorities (including changed emphases or new initiatives that should be brought to the Commission's attention), ensure that ongoing activities provide the desired benefits, and secure participating offices' support of planned activities. (033)

## Redelegation of Authority by the Director

(9.14-04)

The director may, except where expressly prohibited, redelegate in writing to others employed by the Office of International Programs the authority delegated to the director by this or other official directives or communications, subject to the limitations stated below and to any other stipulations the director may deem necessary.

## **Redelegation of Authority by the Director**

(9.14-04) (continued)

- The director shall send a memorandum to the Commission specifying the redelegation, with a copy to the Executive Director for Operations, the Secretary of the Commission, the General Counsel, and the Director of the Office of Human Resources. (041)
- The director shall stipulate any limitations on further redelegations of authority he or she makes. (042)
- The director shall maintain a file of all redelegations. (043)

## **Redelegation of Authority to the Deputy Director**

(9.14-05)

The deputy director is authorized to act for the director during his or her absence.

## **References**

(9.14-06)

Atomic Energy Act of 1954, as amended (42 U.S.C. 2011 et seq.).

Consolidated Appropriations Act for Fiscal Year 2000, Public Law 106-112.

Energy Reorganization Act of 1974, as amended (42 U.S.C. 5801 et seq.).

Foreign Relations Authorization Act for Fiscal Years 2000 and 2001.

Nuclear Nonproliferation Act of 1978 (NNPA), Subsection 602(c)(1)(B), as amended.

## References

(9.14-06) (continued)

### NRC Documents

COMSECY-00-0005, "Arms Control, Nonproliferation, and Security Assistance Act of 1999," OGC to Commission, dated January 13, 2000.

NRC Announcement No. 007, "Reporting Requirements of the Nuclear Nonproliferation Act", February 1, 2000.

*Delete  
this  
reference*

## Organizational Structure and Internal Assignments

(9.14-07)

The Office of International Programs is a single organizational component with no subdivisions.



UNITED STATES  
NUCLEAR REGULATORY COMMISSION

WASHINGTON, D.C. 20555-0001

May 15, 2001

MEMORANDUM TO: Michael T. Lesar, Chief  
Rules and Directives Branch  
Division of Administrative Services  
Office of Administration

FROM: Janice Dunn Lee, Director *Ronald A. Harber*  
*for* Office of International Programs

SUBJECT: MANAGEMENT DIRECTIVE 9.14, "ORGANIZATION AND  
FUNCTIONS, OFFICE OF INTERNATIONAL PROGRAMS"

By memorandum dated April 2, 2001, to NRC Office Directors and Commissioners, comments were solicited on draft Management Directive 9.14, "Organization and Functions, Office of International Programs. NRC office comments have been summarized and resolved as shown in the Attachment 1 table. The recommended changes are reflected in the revised Management Directive 9.14 in Attachment 2 in redline/strikeout format. NRC office comments are included in Attachment 3. A signed NRC Form 521, Request for Publication or Elimination of an NRC Management Directive is included as Attachment 4.

If you have any questions regarding this request, please contact Rita Hoskins of my staff at 415-1739 (or E-mail address RYH1).

cc: Valeria Wilson, ADM/DAS

Attachments:

- (1) Comment Resolution Table on Management Directive 9.14
- (2) Revised Management Directive 9.14 in redline/strikeout format
- (3) NRC Office comments
- (4) NRC Form 521, Request for Publication or Elimination of an NRC Management Directive