

**SMALL ENTITY STATUS
DENIAL**

INVOICE NUMBER

AM3056-01

LICENSE NUMBER

NR0394 D1025

LICENSE FEE AND DEBT COLLECTION BRANCH
DIVISION OF ACCOUNTING AND FINANCE
OFFICE OF THE CHIEF FINANCIAL OFFICER
U.S. NUCLEAR REGULATORY COMMISSION
WASHINGTON, DC 20555-0001

The enclosed Certification of Small Entity Status (NRC Form 526) is being returned because of the blocks checked below. Enclosed also is an additional copy of NRC Form 526 for your use. If you determine that you qualify as a small entity under a different size standard, the completed form must be returned within 10 days to the address listed above. Questions may be e-mailed to: ias2@nrc.gov.

*Hermes ABRASIVES
P.O. Box 2389
VA BEACH, VA
23450*

Att: Dan Ziff

- The license number is missing.
- The invoice number is missing.
- The SIC/NAICS code is missing.
- The name and address must be entered as it appears on the annual fee invoice.
- The size standard box has not been checked. Check one box only.
- More than one size standard box was checked. Check one box only.

Gross annual receipts include all revenue in whatever form received or accrued from whatever sources, not solely receipts reported from licensed activities. For purposes of this certification, the gross annual receipts reported on the licensee's last tax return should be used to determine eligibility for small entity status. The licensee must have an average gross receipt of \$5 million or less over its last 3 fiscal years to qualify.

- The size standards apply to the licensee, not the individual authorized users listed in the license.
- A subsidiary of a large entity does not qualify as a small entity under the NRC's size standards.
- You do not meet the financial criteria under NRC's size standards.

Licensees classified as manufacturing concerns, with an average number of 500 or fewer employees during each pay period for the preceding 12 calendar months.

- Your company is not classified as a manufacturing concern according to the SIC/NAICS code.

Governmental jurisdictions referred to in the size standards are governments of cities, counties, towns, townships, villages, school districts, or special districts.

- Governmental jurisdictions with a population over 50,000 do not qualify as small entities.
- You do not meet the criteria of a governmental jurisdiction.
- The educational institution is not supported by a qualifying governmental jurisdiction.
- Federal agencies do not qualify as small entities.
- State agencies do not qualify as small entities.
- The hospital is classified as a Nongovernmental Not-For-Profit Organization in the American Hospital Association Guide.

An educational institution referred to in the size standards is an entity whose primary function is education, whose programs are accredited by a nationally recognized accrediting agency or association, who is legally authorized to provide a program of organized instruction or study, who provides an education program for which it awards academic degrees, whose educational programs are available to the public, and is not publicly supported and has 500 or fewer employees.

- You do not meet the criteria of an educational institution.

NRC Form 526 has not been signed by the Certifying Official.

Additional information is required.

Other *Per our conversation Hermes does not qualify for SE.*

SIGNATURE - LICENSE FEE AUDITOR <i>[Signature]</i>	DATE <i>6/8/01</i>	Team Leader <i>[Signature]</i>	Team Leader <i>[Signature]</i>	Branch Chief
		Initials	Initials	Initials <i>AW</i>
		Date	Date	Date <i>6/8/01</i>
CERTIFIED	DENIAL	LICENSEE CHALLENGED	SBA LETTER SENT & RECD.	DATE COMPLETED

**U.S. Nuclear Regulatory Commission
License Fees**

To: *Dan Ziff*

Fax #: *757-498-7689*

Number of Pages Inc. cover sheet *2*

Message: *Pls our conversation*

From: **Lisa A. Shea, Auditor,** *6/8/11*

Fax: **301-415-5387**

Phone: **301-415-8721**

Email: **las2@nrc.gov**

Thank you and have a nice day.

If you receive this fax in error, please fax back. Thank you.

SMALL ENTITY CHECKLIST

Name of Licensee Hermes Abrasives

Telephone Number 757-486-6623 License # see attached

Invoice # AM3056-01 Licensee Contact Mr J.F. Potter, Pres.

Parent Company Hermes Group Dan Ziff, RSO

Current No. of Employees _____ Average No over 3 yrs _____
(Based on IRS Form 941/ Return)

Type of Business Activity _____ SIC Code _____

Annual Income based on last three federal tax returns _____

Other FAX - 757-498-7689

Telecom Log

Date	Time	Contact
6/8/1	9 ⁰⁰	website lists 1700 in all left VM
	12 ⁰⁹	Dan C, yes their parent corp has 1700+ employees. I will fax him a denial so he can pay balance due

Prepared


by: Lisa Shea

Title: Examiner

Date: