

TRANSMITTAL OF MEETING HANDOUT MATERIALS FOR  
IMMEDIATE PLACEMENT IN THE PUBLIC DOMAIN

This form is to be filled out (typed or hand-printed) by the person who announced the meeting (i.e., the person who issued the meeting notice). The completed form, and the attached copy of meeting handout materials, will be sent to the Document Control Desk on the same day of the meeting; under no circumstances will this be done later than the working day after the meeting. **Do not include proprietary materials.**

## DATE OF MEETING

7/11/01

The attached document(s), which was/were handed out in this meeting, is/are to be placed in the public domain as soon as possible. The minutes of the meeting will be issued in the near future. Following are administrative details regarding this meeting:

Docket Number(s)

50-286

Plant/Facility Name

INDIAN POINT 3

TAC Number(s) (if available)

~~01-032~~

Reference Meeting Notice

01-033

Purpose of Meeting  
(copy from meeting notice)

mtg between Entergy Nuclear  
Northeast management & NRC to  
discuss the plant performance  
assessment resulting from the  
initial implementation of ROP

NAME OF PERSON WHO ISSUED MEETING NOTICE

CURTIS J. COWGILL

TITLE

CHIEF

OFFICE

REGION 1

DIVISION

DIVISION OF REACTOR PROJECTS

BRANCH

PROJECTS BRANCH 6

Distribution of this form and attachments:

Docket File/Central File

PUBLIC

# ANNUAL ASSESSMENT MEETING



Nuclear Regulatory Commission

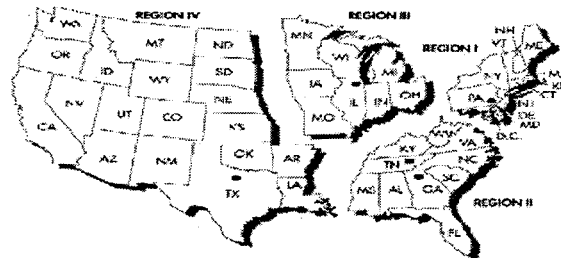
## Agenda

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- Introduction
- Review of Reactor Oversight Process
- Discussion of Plant Performance Results
- Licensee Remarks
- NRC Closing Remarks

# NRC REGIONAL OFFICES

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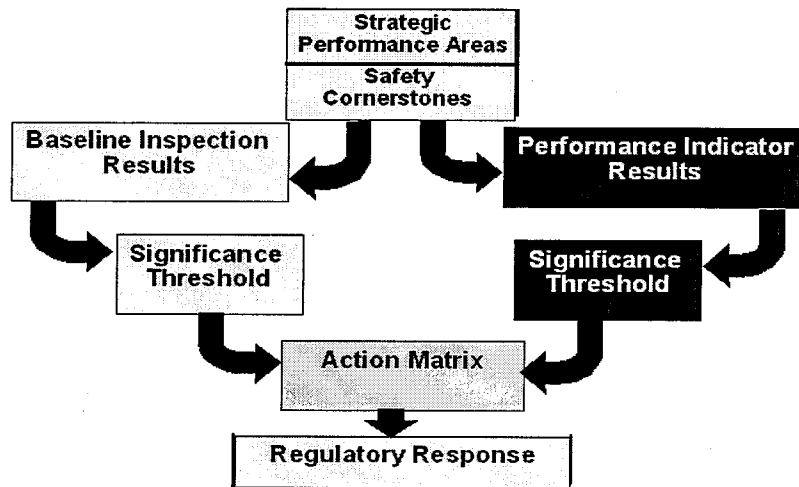
Note: Alaska and Hawaii are included in Region IV.  
Source: Nuclear Regulatory Commission

## NRC Performance Goals

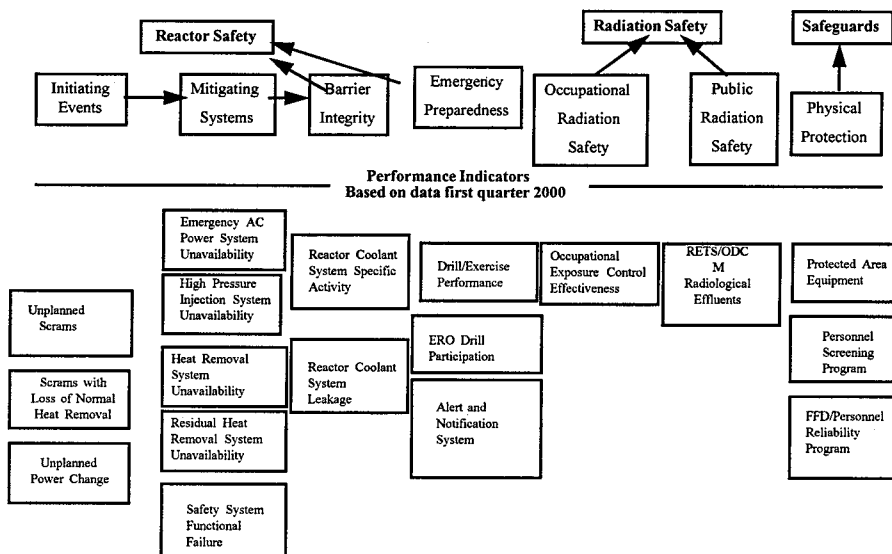
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- Maintain safety and protect the environment
- Enhance public confidence
- Improve effectiveness, efficiency, and realism of processes and decision making
- Reduce unnecessary regulatory burden

# Reactor Oversight Process

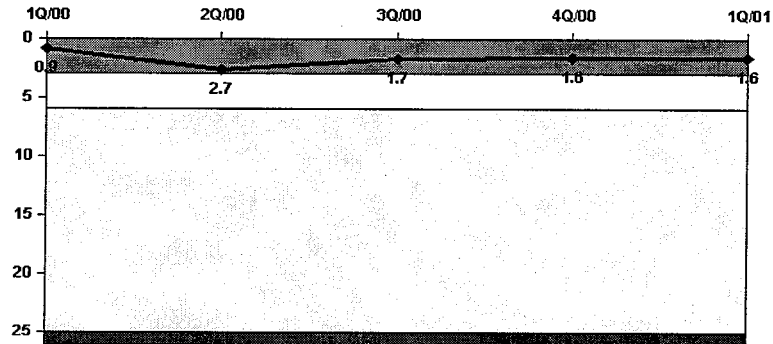


## RELATIONSHIP OF STRATEGIC PERFORMANCE AREAS, SAFETY CORNERSTONES AND PERFORMANCE INDICATORS



## EXAMPLE PERFORMANCE INDICATOR

Unplanned Scrams per 7000 Critical Hrs



Thresholds: White > 3.0 Yellow > 6.0 Red > 25.0

## SIGNIFICANCE THRESHOLD

### Performance Indicators

- GREEN:** Performance requiring no NRC oversight beyond baseline inspection.
- WHITE:** Performance may result in increased NRC oversight.
- YELLOW:** Performance that minimally reduces safety margin and requires more NRC oversight.
- RED:** Performance that represents significant reduction in safety, requires more NRC oversight, but provides adequate protection to public health and safety.

### Inspection Findings

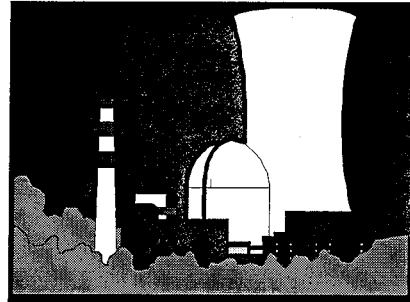
- GREEN:** Very low safety issue.
- WHITE:** Low to moderate safety issue.
- YELLOW:** Substantial safety issue.
- RED:** High safety issue.

## NRC Resident and Regional Inspectors Conduct Safety Inspections

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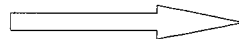
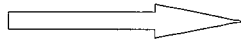
Baseline Inspections at all reactor sites to monitor plant safety performance in each of the Strategic Performance Areas

Event Follow-up and Supplemental Inspections when required



### ACTION MATRIX CONCEPT

Licensee Response	Regulatory Response	Degraded Cornerstone	Multiple/Degraded Cornerstone	Unacceptable
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**Increasing Safety Significance**

**Increasing NRC Inspection Efforts**

**Increasing NRC/Licensee Management Involvement**

**Increasing Regulatory Actions**

## **NATIONAL SUMMARY**

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### **FIRST QUARTER CALENDAR YEAR 2001**

- **Performance Indicator Results:**

Green:	1818
White:	14
Yellow:	0
Red:	0

- **Total Inspection Findings:**

Green:	1031
White:	20
Yellow:	1
Red:	1

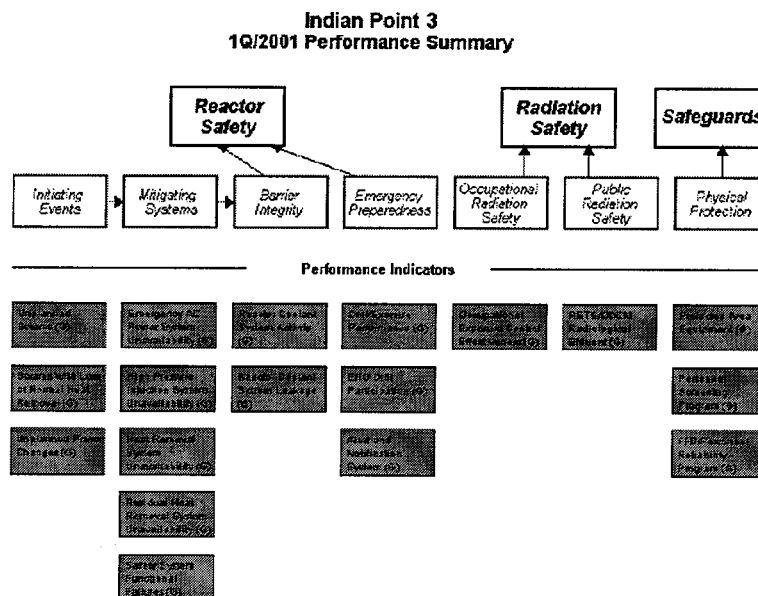
### **NATIONAL SUMMARY OF PLANT PERFORMANCE - 102 PLANTS FIRST QUARTER CALENDAR YEAR 2001**

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Licensee Response -	83
Regulatory Response -	15
Degraded Cornerstone -	3
Multiple/Repetitive Degraded Cornerstone -	1
Unacceptable -	0

# IP3 ANNUAL ASSESSMENT

- Operated Safely
- Fully met all cornerstone objectives
- Current performance within the Licensee Response Band of Action Matrix - End of First Quarter 2001:
  - All Inspection Findings of very low safety significance (Green)
  - No adverse trends in cross-cutting areas
  - All Performance Indicators requiring no additional NRC oversight (Green)
- NRC plans to conduct Baseline Inspections





	Initiating Events	→	Mitigating Systems	→	Barrier Integrity	Emergency Preparedness	Occupational Radiation Safety	Public Radiation Safety	Physical Protection
	Most Significant Inspection Findings								
1Q/2001	No findings this quarter		G		No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter
4Q/2000	No findings this quarter		G		Findings without color designation	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter
3Q/2000	G		G		No findings this quarter	G	No findings this quarter	No findings this quarter	No findings this quarter
2Q/2000	No findings this quarter		No findings this quarter		No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter
					Miscellaneous Findings				
	Additional Inspection & Assessment Information								

☐ Assessment Reports/Inspection Plans:

- ☐ 1Q/2001
- ☐ 4Q/2000
- ☐ 3Q/2000
- ☐ 2Q/2000

☐ List of Inspection Reports

## REACTOR OVERSIGHT PROCESS NRC WEB SITES

[www.nrc.gov/NRR/OVERSIGHT/index.html](http://www.nrc.gov/NRR/OVERSIGHT/index.html)

[www.nrc.gov/NRC/ADAMS/index.html](http://www.nrc.gov/NRC/ADAMS/index.html) (documents  
are available via ADAMS or by contacting the  
PDR at 1- 800- 397- 4209)