

TRANSMITTAL OF MEETING HANDOUT MATERIALS FOR
IMMEDIATE PLACEMENT IN THE PUBLIC DOMAIN

This form is to be filled out (typed or hand-printed) by the person who announced the meeting (i.e., the person who issued the meeting notice). The completed form, and the attached copy of meeting handout materials, will be sent to the Document Control Desk on the same day of the meeting; under no circumstances will this be done later than the working day after the meeting.
Do not include proprietary materials.

DATE OF MEETING

7/11/01

The attached document(s), which was/were handed out in this meeting, is/are to be placed in the public domain as soon as possible. The minutes of the meeting will be issued in the near future. Following are administrative details regarding this meeting:

Docket Number(s)

05000286

Plant/Facility Name

INDIAN POINT 3

TAC Number(s) (if available)

Reference Meeting Notice

Purpose of Meeting
(copy from meeting notice)

NAME OF PERSON WHO ISSUED MEETING NOTICE

CURTIS COWGILL

TITLE

CHIEF, PROJECT BRANCH 6

OFFICE

REGION 1

DIVISION

DIVISION OF REACTOR PROJECTS

BRANCH

PROJECT BRANCH 6

Distribution of this form and attachments:

Docket File/Central File

PUBLIC

ANNUAL ASSESSMENT MEETING

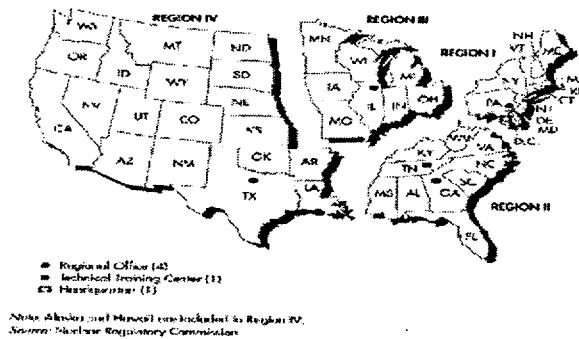


Nuclear Regulatory Commission

Agenda

- Introduction
- Review of Reactor Oversight Process
- Discussion of Plant Performance Results
- Licensee Remarks
- NRC Closing Remarks

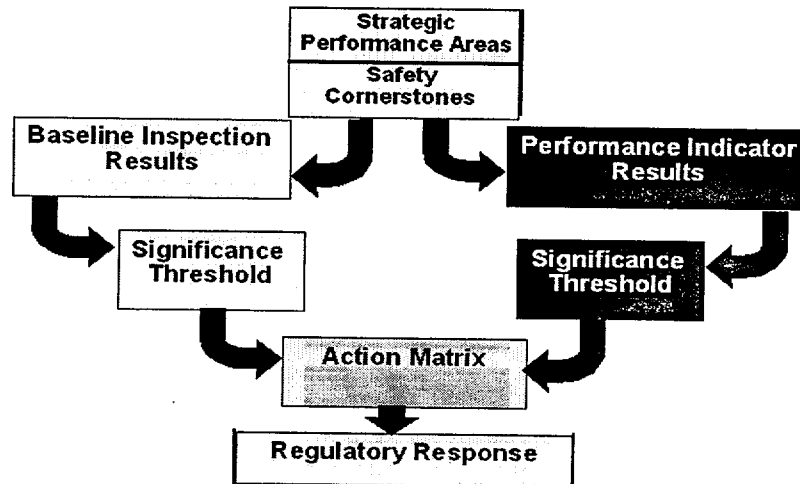
NRC REGIONAL OFFICES



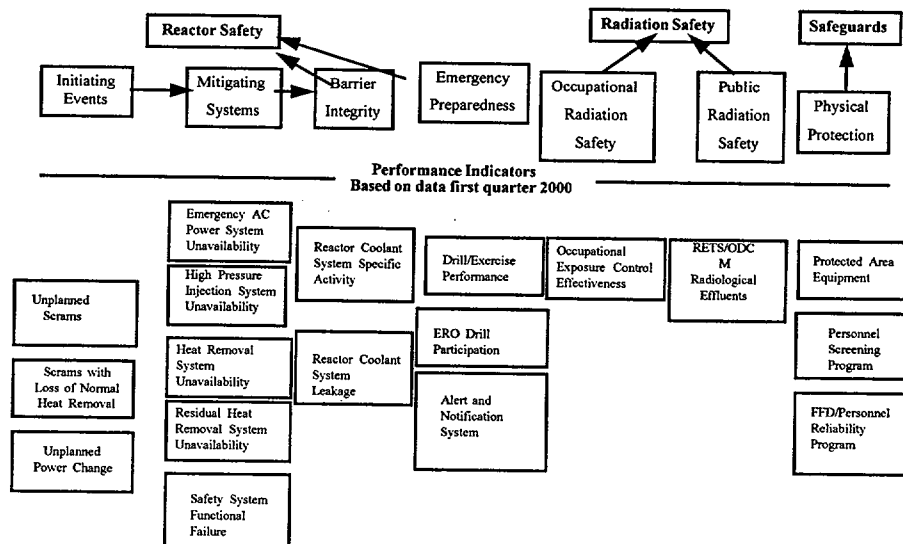
NRC Performance Goals

- Maintain safety and protect the environment
- Enhance public confidence
- Improve effectiveness, efficiency, and realism of processes and decision making
- Reduce unnecessary regulatory burden

Reactor Oversight Process

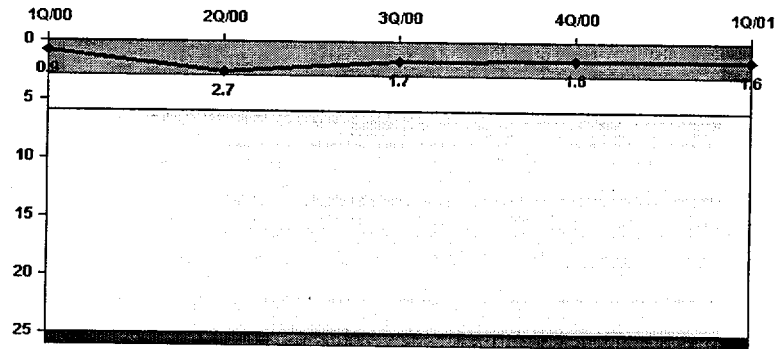


RELATIONSHIP OF STRATEGIC PERFORMANCE AREAS, SAFETY CORNERSTONES AND PERFORMANCE INDICATORS



EXAMPLE PERFORMANCE INDICATOR

Unplanned Scrams per 7000 Critical Hrs



Thresholds: White > 3.0 Yellow > 6.0 Red > 25.0

SIGNIFICANCE THRESHOLD

Performance Indicators

- GREEN:** Performance requiring no NRC oversight beyond baseline inspection.
- WHITE:** Performance may result in increased NRC oversight.
- YELLOW:** Performance that minimally reduces safety margin and requires more NRC oversight.
- RED:** Performance that represents significant reduction in safety, requires more NRC oversight, but provides adequate protection to public health and safety.

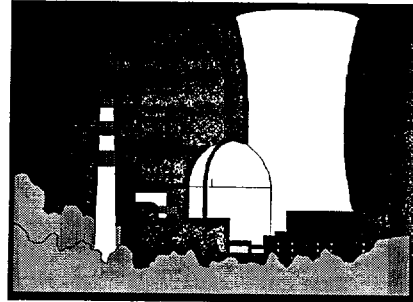
Inspection Findings

- GREEN:** Very low safety issue.
- WHITE:** Low to moderate safety issue.
- YELLOW:** Substantial safety issue.
- RED:** High safety issue.

NRC Resident and Regional Inspectors Conduct Safety Inspections

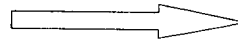
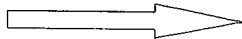
Baseline Inspections at all reactor sites to monitor plant safety performance in each of the Strategic Performance Areas

Event Follow-up and Supplemental Inspections when required



ACTION MATRIX CONCEPT

Licensee Response	Regulatory Response	Degraded Cornerstone	Multiple/Degraded Cornerstone	Unacceptable
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Increasing Safety Significance

Increasing NRC Inspection Efforts

Increasing NRC/Licensee Management Involvement

Increasing Regulatory Actions

NATIONAL SUMMARY

FIRST QUARTER CALENDAR YEAR 2001

- **Performance Indicator Results:**

Green:	1818
White:	14
Yellow:	0
Red:	0

- **Total Inspection Findings:**

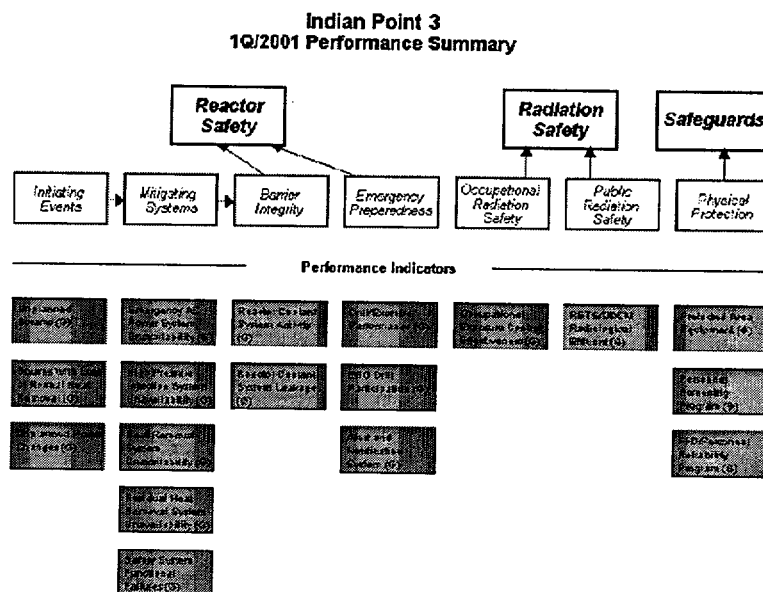
Green:	1031
White:	20
Yellow:	1
Red:	1

NATIONAL SUMMARY OF PLANT PERFORMANCE - 102 PLANTS FIRST QUARTER CALENDAR YEAR 2001

Licensee Response -	83
Regulatory Response -	15
Degraded Cornerstone -	3
Multiple/Repetitive Degraded Cornerstone -	1
Unacceptable -	0

IP3 ANNUAL ASSESSMENT

- Operated Safely
- Fully met all cornerstone objectives
- Current performance within the Licensee Response Band of Action Matrix - End of First Quarter 2001:
 - All Inspection Findings of very low safety significance (Green)
 - No adverse trends in cross-cutting areas
 - All Performance Indicators requiring no additional NRC oversight (Green)
- NRC plans to conduct Baseline Inspections



	Initiating Events	Mitigating Systems	Barrier Integrity	Emergency Preparedness	Occupational Radiation Safety	Public Radiation Safety	Physical Protection
	Most Significant Inspection Findings						
1Q/2001	No findings this quarter	G	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter
4Q/2000	No findings this quarter	G	Findings without color designation	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter
3Q/2000	G	G	No findings this quarter	G	No findings this quarter	No findings this quarter	No findings this quarter
2Q/2000	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter	No findings this quarter
	Miscellaneous findings						
	Additional Inspection & Assessment Information						

Assessment Reports/Inspection Plans:

- 1Q/2001
- 4Q/2000
- 3Q/2000
- 2Q/2000

List of Inspection Reports

REACTOR OVERSIGHT PROCESS NRC WEB SITES

www.nrc.gov/NRR/OVERSIGHT/index.html

www.nrc.gov/NRC/ADAMS/index.html (documents are available via ADAMS or by contacting the PDR at 1- 800- 397- 4209)