

## **2. LSN ROLES AND RESPONSIBILITIES**

The responsibilities of the Commission, the LSN Administrator (LSNA), and the participants under the LSN program arise from the LSN Rule and the roles of each defined therein. This section sets forth those responsibilities as they relate to ensuring the availability and integrity of data and documents in the system.

### **2.1 The Commission**

- Designate a Pre-License Application Presiding Officer prior to LSN scheduled availability, but no later than fifteen days after the DOE certification of initial compliance under Section 2.1009(b).
- Specify the jurisdiction of the Pre-License Application Presiding Officer.
- Rule on appeals of orders of the Pre-License Application Presiding Officer as permitted under the LSN Rule.

### **2.2 The Secretary of the Commission**

- Reconstitute the LSS Advisory Review Panel (LSSARP) as the LSNARP.
- Appoint additional members to the LSNARP consistent with the requirements of the Federal Advisory Committee Act (5 United States Code (U.S.C.) app. 1).
- Maintain the official docket of the licensing proceeding and determine whether the license application can be effectively accessed under the electronic docket rules.

### **2.3 LSNARP**

- Provide advice to the NRC on the fundamental issues of the type of computer system necessary to access the LSN effectively.
- Provide advice to the Secretary of the Commission on the operation and maintenance of the electronic docket established for the licensing proceeding.
- Provide advice to the LSNA on solutions to improve the functioning of the LSN.
- Provide advice on format standards for providing electronic access to participant LSN website documentary materials.
- Provide advice on the procedures and standards for the electronic transmission of filings, orders, and decisions during both the pre-license application phase and the hearing phase.

- Constitute such subgroups or subpanels (e.g., the Technical Working Group (TWG)) as determined necessary by the Secretary of the Commission.

## **2.4 LSNA**

- Act as the NRC's representative to the LSNARP.
- Notify the Commission of LSN-related issues or incidents which would preclude the NRC performing the license review in the Congressionally-directed three-year time-frame.
- Identify technical and policy issues relating to implementation of the LSN for LSNARP and Commission consideration.
- With the approval of the Atomic Safety and Licensing Board Panel (ASLBP) Chairman, establish operating procedures and policies for the LSN.
- Implement, with the advice of the LSNARP, a configurable set of standards for bibliographic header content and format, image and text files, record packages, and the use of unique item identification numbers (document accession numbers).
- Implement, with the advice of the LSNARP, policies, procedures and guidelines for LSN security; priority access to the LSN; website search and response time; website availability (uptime); and website backups.
- Establish standards specifying the publication, on participant LSN websites, of the website's weekly (minimum) server statistics, including number of "hits," problems, added documents, corrected/modified documents, etc.
- Establish, maintain, and operate an LSNA baseline computer system for monitoring the overall LSN system performance and individual participant's compliance with their responsibilities under the LSN Rule.
- Establish and operate a notification procedure that will communicate to participants information about correction, replacement, or deletion of materials previously published on participants' LSN websites.
- Establish target dates by which participant LSN website document collections should be ready to connect to the central LSN site.
- Establish target dates for operational policies, procedures, and standards to be promulgated to participants.
- Coordinate the resolution of problems experienced by participants regarding LSN availability.

- Coordinate the resolution of problems regarding the integrity and completeness of participant documentary material presented on their LSN websites.
- Provide periodic reports to the Commission (and to the LSN community) on the status of LSN functionality and operability.
- Provide advice to the Office of the Secretary (SECY) and the Office of the Chief Information Officer (OCIO) regarding the design and operation of the Electronic Hearing Docket (EHD) and Electronic Information Exchange (EIE) components of the HLW repository proceeding.
- Post announcements on the central LSN site about the overall LSN program and items of interest (hours of availability, scheduled outages, etc.) for the participant sites.
- Post notices on the central LSN site that contain listings of changes, if any, to each participant's collection, identified by LSN accession number, with a description of what the change was and why it was necessary.
- Review all participant LSN website designs to ensure that they meet the design standards.

## **2.5 LSN Participants**

- Designate an official who will be responsible for administering their LSN responsibilities.
- Designate technical points of contact for various functions including who will act as webmasters for their LSN site, who is responsible for the website help desk, and who should be contacted for loss of service and related problems.
- Establish procedures to implement the requirements of Section 2.1003 of the LSN Rule.
- Provide training to their staff on the procedures described above.
- Obtain the computer system necessary to comply with the requirements for electronic document production and service.
- Make all their documentary material available in electronic format in accordance with Section 2.1003 of the LSN Rule.
- Make available (for inspection and copying) any document not provided in electronic form within five business days after it has been requested.
- Comply with all standards for presentation of documentary materials established by the LSNA.

- Comply with all operational and functional standards regarding their LSN website operation and maintenance as established by the LSNA and the LSNARP TWG.
- Maintain and publish data on their LSN website operation and functionality as required by the LSNA.
- Cooperate in the LSNA review of corrected, changed, or deleted documents on their LSN website.
- Cooperate in the advisory review process established by the NRC under Section 2.1011(d) of the LSN Rule.
- Demonstrate substantial and timely compliance with participation in the licensing proceedings in accordance with the requirements of Section 2.1003 of the LSN Rule.
- Transmit all filings in the adjudicatory proceeding on the license application electronically according to established requirements.

## **2.6 Participant Certifying Official**

- Certify to the Pre-License Application Presiding Officer that the procedures specified in the LSN Rule have been implemented and that, to the best of his or her knowledge, the documentary material specified in the LSN Rule has been identified and made electronically available. The initial certification must be made at the time the participant is required to comply with § 2.1003. The responsible official for the DOE shall also update this certification at the time of submission of the license application.

## **2.7 Pre-License Application Presiding Officer**

- Rule on disputes over the electronic availability of documents during the pre-license application phase.
- Rule on any claim of document withholding.
- Prescribe procedures that effectively safeguard and prevent disclosure of proprietary, safeguards, and other forms of sensitive information to unauthorized persons.

## **2.8 Presiding Officer**

- Subsequent to appointment following the submission of the DOE application, receive and dispose of all LSN-related motions by either a written or oral ruling.
- Appoint a discovery master to resolve disputes between parties concerning informal requests for information.

- Direct the parties, interested governmental participants, or their counsel to appear at a specified time and place to consider matters relating to the proceedings.