

FY 2001

ADVICE OF ALLOWANCES AND FINANCIAL PLANS

MONTHLY STATUS REPORT

AND

SUMMARY OF REIMBURSABLE WORK AGREEMENTS

AS OF APRIL 30, 2001

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*5JB
5/17/01*

STATUS OF FY 2001 ADVISE OF ALLOWANCES AND FINANCIAL PLANS (AAFPs) (\$1,000s)
 SUMMARY OF CURRENT FINANCIAL STATUS VERSUS BASE TABLES

STRATEGIC ARENA	FUNCTION	(1) FY 2001 Initial Base Table 10/01/2000	(2) Last Approved Base Table 04/01/2001	(3) Previous AAFPs 03/31/2001	(4) Current AAFPs 04/30/2001	(5) Congressional \$500K Threshold Delta (4)-(2)	(6) YTD Reprogramming (4)-(1)	(7) = (4)-(3) Current Month Changes
(1) Nuclear Reactor Safety	FTEs	1,424	1,424	1,424	1,424	0	0	0
	Salaries & Benefits	\$155,120	\$155,938	\$155,938	\$155,938	\$0	\$818	\$0
	Contract Support/Travel	\$63,286	\$62,855	\$62,855	\$62,244	(\$611)	(\$1,042)	(\$611)
	TOTAL	\$218,406	\$218,793	\$218,793	\$218,182	(\$611)	(\$224)	(\$611)
(201 - 212) NMS Programs Fee Based	FTEs	374	377	377	377	0	3	0
	Salaries & Benefits	\$39,593	\$40,101	\$40,101	\$40,101	\$0	\$508	\$0
	Contract Support/Travel	\$11,591	\$12,384	\$12,384	\$12,129	(\$255)	\$538	(\$255)
	TOTAL	\$51,184	\$52,485	\$52,485	\$52,230	(\$255)	\$1,046	(\$255)
(213 - 120/160/215) Assistance to Other Federal Agencies - General Fund	FTEs	0	0	0	0	0	0	0
	Salaries & Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	Contract Support/Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	TOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(213 - 115) DOE - Hanford Tank Waste General Fund	FTEs	15	0	0	0	0	(15)	0
	Salaries & Benefits	\$1,457	\$727	\$727	\$727	\$0	(\$730)	\$0
	Contract Support/Travel	\$1,090	\$50	\$50	\$50	\$0	(\$1,040)	\$0
	TOTAL	\$2,547	\$777	\$777	\$777	\$0	(\$1,770)	\$0
(2) Nuclear Materials Safety	FTEs	389	377	377	377	0	(12)	0
	Salaries & Benefits	\$41,050	\$40,828	\$40,828	\$40,828	\$0	(\$222)	\$0
	Contract Support/Travel	\$12,681	\$12,434	\$12,434	\$12,179	(\$255)	(\$502)	(\$255)
	TOTAL	\$53,731	\$53,262	\$53,262	\$53,007	(\$255)	(\$724)	(\$255)
(301) High-Level Waste Regulation	FTEs	60	60	60	60	0	0	0
	Salaries & Benefits	\$6,686	\$6,448	\$6,448	\$6,448	\$0	(\$238)	\$0
	Contract Support/Travel	\$14,914	\$15,112	\$15,112	\$15,112	\$0	\$198	\$0
	TOTAL	\$21,600	\$21,560	\$21,560	\$21,560	\$0	(\$40)	\$0
(302 - 306;) Non-High-Level (308 - 312) Waste Programs Fee Based	FTEs	206	205	205	205	0	(1)	0
	Salaries & Benefits	\$22,429	\$22,427	\$22,427	\$22,427	\$0	(\$2)	\$0
	Contract Support/Travel	\$15,193	\$13,949	\$13,949	\$14,265	\$316	(\$928)	\$316
	TOTAL	\$37,622	\$36,376	\$36,376	\$36,692	\$316	(\$930)	\$316
(307) Waste Programs General Fund	FTEs	0	1	1	1	0	1	0
	Salaries & Benefits	\$0	\$118	\$118	\$118	\$0	\$118	\$0
	Contract Support/Travel	\$0	\$2,400	\$2,400	\$2,400	\$0	\$2,400	\$0
	TOTAL	\$0	\$2,518	\$2,518	\$2,518	\$0	\$2,518	\$0
(3) Nuclear Waste Safety	FTEs	266	266	266	266	0	0	0
	Salaries & Benefits	29,115	\$28,993	\$28,993	\$28,993	\$0	(\$122)	\$0
	Contract Support/Travel	30,107	\$31,461	\$31,461	\$31,777	\$316	\$1,670	\$316
	TOTAL	\$59,222	\$60,454	\$60,454	\$60,770	\$316	\$1,548	\$316

STATUS OF FY 2001 ADVICE OF ALLOWANCES AND FINANCIAL PLANS (AAFPs) (\$1,000s)
 SUMMARY OF CURRENT FINANCIAL STATUS VERSUS BASE TABLES

STRATEGIC ARENA	FUNCTION	(1) FY 2001 Initial Base Table 10/01/2000	(2) Last Approved Base Table 04/01/2001	(3) Previous AAFPs 03/31/2001	(4) Current AAFPs 04/30/2001	(5) Congressional \$500K Threshold Delta (4)-(2)	(6) YTD Reprogramming (4)-(1)	(7) = (4)-(3) Current Month Changes
(401 - 402) Intern'l Activities Fee Based Fund	FTEs	32	32	32	32	0	0	0
	Salaries & Benefits	\$3,486	\$3,504	\$3,504	\$3,504	\$0	\$18	\$0
	Contract Support/Travel	\$623	\$736	\$736	\$736	\$0	\$113	\$0
	TOTAL	\$4,109	\$4,240	\$4,240	\$4,240	\$0	\$131	\$0
(403) Assistance to Other Federal Agencies (A.I.D.) General Fund	FTEs	6	6	6	6	0	0	0
	Salaries & Benefits	\$653	\$655	\$655	\$655	\$0	\$2	\$0
	Contract Support/Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	TOTAL	\$653	\$655	\$655	\$655	\$0	\$2	\$0
(4) International Nuclear Safety Support	FTEs	38	38	38	38	0	0	0
	Salaries & Benefits	\$4,139	\$4,159	\$4,159	\$4,159	\$0	\$20	\$0
	Contract Support/Travel	\$623	\$736	\$736	\$736	\$0	\$113	\$0
	TOTAL	\$4,762	\$4,895	\$4,895	\$4,895	\$0	\$133	\$0
(5) Management and Support	FTEs	614	614	614	614	0	0	0
	Salaries & Benefits	\$61,768	\$61,842	\$61,842	\$61,818	(\$24)	\$50	(\$24)
	Contract Support/Travel	\$84,011	\$88,145	\$88,145	\$88,309	\$164	\$4,298	\$164
	TOTAL	\$145,779	\$149,986	\$149,986	\$150,126	\$140	\$4,347	\$140
S&E SUBTOTAL	FTEs	2,731	2,719	2,719	2,719	0	(12)	0
	Salaries & Benefits	\$291,192	\$291,760	\$291,760	\$291,736	(\$24)	\$544	(\$24)
	Contract Support/Travel	\$190,708	\$195,631	\$195,631	\$195,245	(\$386)	\$4,537	(\$386)
	TOTAL	\$481,900	\$487,390	\$487,390	\$486,980	(\$410)	\$5,080	(\$410)
(6) Inspector General	FTEs	44	44	44	44	0	0	0
	Salaries & Benefits	\$4,270	\$4,400	\$5,100	\$5,100	\$700	\$830	\$0
	Contract Support/Travel	\$1,230	\$1,950	\$1,250	\$1,250	(\$700)	\$20	\$0
	TOTAL	\$5,500	\$6,350	\$6,350	\$6,350	\$0	\$850	\$0
NRC TOTAL	FTEs	2,775	2,763	2,763	2,763	0	(12)	0
	Salaries & Benefits	\$295,462	\$296,160	\$296,860	\$296,836	\$676	\$1,374	(\$24)
	Contract Support/Travel	\$191,938	\$197,581	\$196,881	\$196,495	(\$1,086)	\$4,557	(\$386)
	TOTAL	\$487,400	\$493,740	\$493,740	\$493,330	(\$410)	\$5,930	(\$410)

STRATEGIC ARENA/ Allowance Holder Description of Change		Date of Change	Amount (\$1,000s)
NUCLEAR REACTOR SAFETY (NRS)			
CEN #26	Return from IRO, NRS Arena, originally allocated for potassium iodide pills.	04/02/01	(\$400)
HR #12	Transfer to M&S Arena, Human Resources, to meet increased demand for recruiting activities.	04/02/01	(\$150)
HR #13	Transfer to NWS Arena, to support the NMSS Division of Waste Management request to establish a training course on dose assessment computer codes.	04/12/01	(\$60)
NRR #11	Transfer to NWS Arena, Regulation of Decommissioning, to support the development of a new rule for vehicle barriers at defueled power reactor sites.	04/02/01	(\$34)
NRR #12	Transfer \$239K from NRS Arena, Reactor Licensing, \$8K from NWS Arena, Regulation of Decommissioning, \$109K to NRS Arena, Reactor License Renewal for technical evaluations of SAMA reviews, \$138K to NRS Arena, Reactor Inspection and Performance Assessment, for bench marking and maintenance of inspection notebooks and SDP Worksheets for the revised Reactor Inspection Oversight Process.	04/24/01	\$8
RG1 #6	Transfer from NMS Arena for additional external training needs.	04/19/01	\$25
Nuclear Reactor Safety (NRS) Subtotal			(\$611)
NUCLEAR MATERIALS SAFETY (NMS)			
CEN #26	Transfer to NWS Arena, to support non-HLW travel to fulfill assigned responsibilities.	04/02/01	(\$40)
NMSS #17	Transfer to NWS Arena to support the Private Fuel Storage license review and hearings and the drop testing of a shipment drum, and to meet external training needs.	04/02/01	(\$190)
RG1 #6	Transfer to NRS Arena for additional external training needs.	04/19/01	(\$25)
Nuclear Materials Safety (NMS) Subtotal			(\$255)
NUCLEAR WASTE SAFETY (NWS)			
CEN #26	Transfer from NMS Arena, to support non-HLW travel to fulfill assigned responsibilities.	04/02/01	\$40
HR #13	Transfer from NRS Arena, to support the NMSS Division of Waste Management request to establish a training course on dose assessment computer codes.	04/12/01	\$60
NMSS #17	Transfer from NMS Arena to support the Private Fuel Storage license review and hearings and the drop testing of a shipment drum, and to meet external training needs.	04/02/01	\$190
NRR #11	Transfer from NRS Arena, Reactor Licensing, to support the development of a new rule for vehicle barriers at defueled power reactor sites.	04/02/01	\$34
NRR #12	Transfer to NRS Arena, Reactor License Renewal and Reactor Inspection and Performance Assessment.	04/24/01	(\$8)
Nuclear Waste Safety, (Non) High-Level Waste Subtotal			\$316
Nuclear Waste Safety, High-Level Waste (NWF) Subtotal			\$0
Nuclear Waste Safety Subtotal			\$316
INTERNATIONAL NUCLEAR SAFETY SUPPORT (INSS)			
No changes.		International Nuclear Safety Support (INSS) Subtotal	
			\$0
MANAGEMENT AND SUPPORT (M&S)			
CEN #27	Return from EDO, M&S Arena, an excess amount from EDO Contract Support funds.	04/04/01	(\$25)
CEN #27	Transfer \$24K from the Salaries and Benefits to Contract Support to cover insurance claims & indemnities.	04/04/01	\$0
CEN #28	Allocation of FY 2001 funds to M&S Arena, for travel and development of a video on transporting high-level waste for OPA.	04/17/01	\$15
HR #12	Transfer from NRS Arena, to meet increased demand for recruiting activities.	04/02/01	\$150
Management and Support (M&S) Subtotal			\$140
INSPECTOR GENERAL			
No changes.		Inspector General Subtotal	
			\$0
TOTAL CHANGE TO ALLOCATED APPROPRIATED FUNDING			(\$410)

STRATEGIC ARENA/ Allowance Holder	Description of Change	Date of Change	Amount (\$1,000s)
REIMBURSABLE AUTHORITY			
RES #17	Allocation of March deposits for Cooperative Nuclear Research Program.	04/10/01	\$410
TOTAL CHANGE TO REIMBURSABLE WORK			\$409,975

STATUS OF FY 2001 ADVISE OF ALLOWANCES AND FINANCIAL PLANS (1,000s)

ALLOWANCE HOLDER FUNDS SOURCE Strategic Arena	(1)	(2)	(3)	(4)	(5)	(6)=SUM (1)-(5)	AS OF AAFP# DATE	ALLOWANCES						
	ALLOCATED FY 2001 APPROP	FY 2000 CARRY OVER	PRIOR YEAR DEOBS	NEW RIW AND XFERS	FY 2001 APPROP XFERS	CURRENT FINANCIAL PLAN		CURRENT	% of FP	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	CUM
ADM (40)	24,337	817	0	382	0	25,536	10 04/1/01	21,199	83.0%	11,112	5,087	5,000	4,337	25,536
FEE BASED FUNDS	24,337	380	0	0	0	24,717		20,380	82.5%	10,600	4,780	5,000	4,337	24,717
Nuclear Materials Safety (2)	30	0	0	0	0	30								
Int'l. Nuc. Safety Support (4)	20	0	0	0	0	20								
Management and Support (5)	6,483	380	0	0	0	6,863								
Rental of Space & Fac. Mgt. (5)	17,804	0	0	0	0	17,804								
REIMBURSABLE	0	437	0	382	0	819		819	100.0%	512	307	0	0	819
CEN (70)	315,825	3,461	766	134	417	320,603	28 04/17/01	257,057	80.2%	105,929	77,989	73,140	63,546	320,603
FEE BASED FUNDS	305,446	3,181	16	0	(204)	308,440		245,044	79.4%	96,175	76,279	72,590	63,396	308,440
S&Bs Subtotal	282,396	0	0	0	1,392	283,788		220,392	77.7%	73,000	74,416	72,976	63,396	283,788
S&Bs - NRS (1)	155,120	0	0	0	818	155,938								
S&Bs - NMS (2)	39,593	0	0	0	508	40,101								
S&Bs - NWS (3)	22,429	0	0	0	(2)	22,427								
S&Bs - INSS (4)	3,486	0	0	0	18	3,504								
S&Bs - M&S (5)	61,768	0	0	0	50	61,818								
CS/Travel Subtotal	23,050	3,181	16	0	(1,695)	24,652		24,652	100.0%	23,175	1,863	(386)	0	24,652
Nuclear Reactor Safety (1)	5,878	50	0	0	(400)	5,528								
Nuclear Materials Safety (2)	1,641	2	0	0	(30)	1,613								
Nuclear Waste Safety (3)	2,148	2	0	0	(1,610)	540								
Int'l. Nuc. Safety Support (4)	315	75	0	0	263	653								
Management and Support (5)	13,068	3,052	16	0	182	16,318								
GENERAL FUND	2,299	1	750	0	900	3,950		3,800	96.2%	1,400	1,850	550	150	3,950
S&Bs Subtotal	2,109	1	0	0	(610)	1,500		1,350	90.0%	600	200	550	150	1,500
S&Bs-Hanford (2)	1,457	0	0	0	(730)	727								
S&Bs-Formerly Licensed Sites (3)	0	0	0	0	118	118								
S&Bs-A.I.D (4)	652	1	0	0	2	655								
CS/Travel Subtotal	190	0	750	0	1,510	2,450		2,450	100.0%	800	1,650	0	0	2,450
DOE-Hanford Tank Waste (2)	190	0	0	0	(140)	50								
General Fund - Waste (3)	0	0	750	0	0	750								
General Fund - Formerly Licn. Sites (3)	0	0	0	0	1,650	1,650								
NUCLEAR WASTE FUND	8,066	0	0	0	(280)	7,786		7,786	100.0%	8,066	(280)	0	0	7,786
Salaries and Benefits (3)	6,686	0	0	0	(238)	6,448								
High-Level Waste Regulation (3)	1,380	0	0	0	(42)	1,338								
REPRESENTATION	15	6	0	0	0	21		21	100.0%	15	6	0	0	21
TRANSFERS (31X0200)	0	14	0	0	0	14		14	100.0%	14	0	0	0	14
REIMBURSABLE	0	259	0	134	0	393		393	100.0%	259	134	0	0	393
CEN/AID Transfer (3172X1093)														
Nuclear Safety Programs	0	166	0	0	0	166	2 02/23/01	166	100.0%	25	141	0	0	166
Armenia/Kazakhstan Assistance	0	2	0	0	0	2		2		2	0	0	0	2
Russia/Ukraine Assistance	0	93	0	0	0	93		93		16	77	0	0	93
Russia/Ukraine Regulators	0	71	0	0	0	71		71		7	64	0	0	71
CEN/AID Transfer (31720/11093)														
Nuclear Safety Programs	0	1,014	0	0	0	1,014	2 01/26/01	1,014	100.0%	730	284	0	0	1,014
Armenia/Kazakhstan Assistance	0	865	0	0	0	865		865		645	220	0	0	865
Russia/Ukraine Assistance	0	149	0	0	0	149		149		85	64	0	0	149

STATUS OF FY 2001 ADVISE OF ALLOWANCES AND FINANCIAL PLANS (1,000s)

ALLOWANCE HOLDER FUNDS SOURCE Strategic Arena	(1)	(2)	(3)	(4)	(5)	(6) =SUM (1)-(5)	AAFP#	AS OF DATE	CURRENT	% of FP	ALLOWANCES				CUM
	ALLOCATED FY 2001 APPROP	FY 2000 CARRY OVER	PRIOR YEAR DEOBS	NEW RIW AND XFERS	FY 2001 APPROP XFERS	CURRENT FINANCIAL PLAN					1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	
CIO (10)	31,425	469	0	60	166	32,119	9	03/16/01	32,119	100.0%	18,058	14,061	0	0	32,119
FEE BASED FUNDS	31,425	411	0	0	166	32,002			32,002	100.0%	18,000	14,002	0	0	32,002
Management and Support (5)	31,425	411	0	0	166	32,002									
NUCLEAR WASTE FUND	0	0	0	0	0	0			0	N/A	0	0	0	0	0
High-Level Waste Regulation (3)	0	0	0	0	0	0									
REIMBURSABLE	0	58	0	60	0	117			117	100.0%	58	60	0	0	117
IG (30)	5,500	850	0	0	0	6,350	11	04/1/01	5,650	89.0%	2,350	2,100	1,200	700	6,350
FEE BASED FUNDS	5,500	850	0	0	0	6,350			5,650	89.0%	2,350	2,100	1,200	700	6,350
Salaries and Benefits	4,270	130	0	0	700	5,100									
Inspector General	1,230	720	0	0	(700)	1,250									
REIMBURSABLE	0	0	0	0	0	0			0	N/A	0	0	0	0	0
NMSS (50)	29,955	1,401	0	323	(1,314)	30,365	17	04/2/01	30,365	100.0%	24,095	6,270	0	0	30,365
FEE BASED FUNDS	15,574	0	0	0	(654)	14,920			14,920	100.0%	9,020	5,900	0	0	14,920
Nuclear Materials Safety (2)	7,251	0	0	0	(232)	7,019									
Nuclear Waste Safety (3)	8,298	0	0	0	(460)	7,838									
Int'l. Nuc. Safety Support (4)	25	0	0	0	38	63									
GENERAL FUND	894	6	0	0	(900)	0			0	100.0%	0	0	0	0	0
DOE-Hanford Tank Waste (2)	894	6	0	0	(900)	0									
NUCLEAR WASTE FUND	13,486	48	0	0	240	13,774			13,774	100.0%	13,534	240	0	0	13,774
High-Level Waste Regulation (3)	13,486	48	0	0	240	13,774									
REIMBURSABLE	0	1,348	0	323	0	1,671			1,671	100.0%	1,541	130	0	0	1,671
NRR (20)	11,851	47	0	240	0	12,138	12	04/24/01	12,138	100.0%	7,062	5,076	0	0	12,138
FEE BASED FUNDS	11,851	0	0	0	0	11,851			11,851	100.0%	7,000	4,851	0	0	11,851
Reactor Licensing (1)	6,705	0	0	0	(590)	6,115									
Reactor License Renewal (1)	2,945	0	0	0	144	3,089									
Reactor Inspec. & Perform. Assess (1)	1,451	0	0	0	300	1,751									
Reactor Training & Development (1)	250	0	0	0	120	370									
Nuclear Waste Safety (3)	500	0	0	0	26	526									
Int'l. Nuc. Safety Support (4)	0	0	0	0	0	0									
REIMBURSABLE	0	47	0	240	0	287			287	100.0%	62	225	0	0	287
HR (84)	9,003	12	0	0	(702)	8,313	13	04/12/01	8,313	100.0%	4,682	3,631	0	0	8,313
FEE BASED FUNDS	9,003	0	0	0	(702)	8,301			8,301	100.0%	4,670	3,631	0	0	8,301
Nuclear Reactor Safety (1)	2,966	0	0	0	(590)	2,376									
Nuclear Materials Safety (2)	629	0	0	0	0	629									
Nuclear Waste Safety (3)	0	0	0	0	60	60									
Int'l Nuclear Safety Support (4)	0	0	0	0	0	0									
Management and Support (5)	5,408	0	0	0	(172)	5,236									
NUCLEAR WASTE FUND	0	0	0	0	0	0			0	N/A	0	0	0	0	0
High-Level Waste Regulation (3)	0	0	0	0	0	0									
REIMBURSABLE	0	12	0	0	0	12			12	100.0%	12	0	0	0	12

STATUS OF FY 2001 ADVISE OF ALLOWANCES AND FINANCIAL PLANS (1,000s)

ALLOWANCE HOLDER FUNDS SOURCE Strategic Arena	(1)	(2)	(3)	(4)	(5)	(6)=SUM (1)-(5)	AS OF AAFP# DATE	CURRENT FINANCIAL PLAN	CURRENT % of FP	ALLOWANCES				CUM
	ALLOCATED FY 2001 APPROP	FY 2000 CARRY OVER	PRIOR YEAR DEOBS	NEW RIW AND XFERS	FY 2001 APPROP XFERS	1st Qtr				2nd Qtr	3rd Qtr	4th Qtr		
REGION 1 (91)	3,639	0	0	0	0	3,639	6	04/19/01	3,639 100.0%	3,639	0	0	0	3,639
FEE BASED FUNDS	3,639	0	0	0	0	3,639			3,639 100.0%	3,639	0	0	0	3,639
Nuclear Reactor Safety (1)	1,278	0	0	0	25	1,303								
Nuclear Materials Safety (2)	184	0	0	0	(25)	159								
Nuclear Waste Safety (3)	70	0	0	0	0	70								
Management and Support (5)	2,107	0	0	0	0	2,107								
REIMBURSABLE	0	0	0	0	0	0			0 N/A	0	0	0	0	0
REGION 2 (92)	4,524	0	0	0	310	4,834	6	02/07/01	4,834 100.0%	4,834	0	0	0	4,834
FEE BASED FUNDS	4,524	0	0	0	310	4,834			4,834 100.0%	4,834	0	0	0	4,834
Nuclear Reactor Safety (1)	1,327	0	0	0	310	1,637								
Nuclear Materials Safety (2)	332	0	0	0	0	332								
Nuclear Waste Safety (3)	20	0	0	0	0	20								
Management and Support (5)	2,845	0	0	0	0	2,845								
REIMBURSABLE	0	0	0	0	0	0			0 N/A	0	0	0	0	0
REGION 3 (93)	4,394	0	0	0	0	4,394	5	02/07/01	4,394 100.0%	4,394	0	0	0	4,394
FEE BASED FUNDS	4,394	0	0	0	0	4,394			4,394 100.0%	4,394	0	0	0	4,394
Nuclear Reactor Safety (1)	1,202	0	0	0	0	1,202								
Nuclear Materials Safety (2)	277	0	0	0	0	277								
Nuclear Waste Safety (3)	73	0	0	0	0	73								
Management and Support (5)	2,842	0	0	0	0	2,842								
REIMBURSABLE	0	0	0	0	0	0			0 N/A	0	0	0	0	0
REGION 4 (94)	4,269	0	0	0	0	4,269	5	02/07/01	4,269 100.0%	4,269	0	0	0	4,269
FEE BASED FUNDS	4,269	0	0	0	0	4,269			4,269 100.0%	4,269	0	0	0	4,269
Nuclear Reactor Safety (1)	1,570	0	0	0	0	1,570								
Nuclear Materials Safety (2)	332	0	0	0	0	332								
Nuclear Waste Safety (3)	96	0	0	0	0	96								
Management and Support (5)	2,271	0	0	0	0	2,271								
REIMBURSABLE	0	0	0	0	0	0			0 N/A	0	0	0	0	0
RES (60)	42,603	1,478	0	1,666	674	46,421	17	04/10/01	46,421 100.0%	29,698	16,313	410	(0)	46,421
FEE BASED FUNDS	42,603	806	0	0	674	44,083			44,083 100.0%	28,806	15,277	0	0	44,083
Nuclear Reactor Safety (1)	37,704	0	0	0	(401)	37,303								
Nuclear Materials Safety (2)	913	806	0	0	19	1,738								
Nuclear Waste Safety (3)	3,986	0	0	0	1,056	5,042								
REIMBURSABLE	0	672	0	1,666	0	2,338			2,338 100.0%	892	1,036	410	(0)	2,338

ALLOWANCE HOLDER FUNDS SOURCE	(1)	(2)	(3)	(4)	(5)	(6)=SUM (1)-(5)	AS OF					ALLOWANCES			
	ALLOCATED FY 2001 APPROP	FY 2000 CARRY OVER	PRIOR YEAR DEOBS	NEW RIW AND XFERS	FY 2001 APPROP XFERS	CURRENT FINANCIAL PLAN	AAFP#	DATE	CURRENT	% of FP	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	CUM
S&E APPROPRIATION -> FINANCIAL SUMMARY															
S&E FUNDS APPORTIONED [NOTE 1]															
FEE BASED, REP, REIM, AID, GENER/	460,272.7	27,299.5	8,000.0	7,000.0		502,572.2									
NWF-NWPA available w/o Apport.	21,552.3	382.5	20.0	0.0		21,954.8									
	481,825.0	27,682.0	8,020.0	7,000.0		524,527.0									
S&E BUDGETARY RESOURCES [NOTE 2]															
FEE BASED	457,064.7	22,303.7	4,188.3			483,556.8									
GENERAL FUND	3,193.0	1,845.4	796.0			5,834.4									
NWF - NWPA	21,552.3	382.5	13.8			21,948.5									
OFFICIAL REPRESENTATION	15.0	25.4	0.9			41.3									
REIMBURSABLE WORK [NOTE 3]	0.0	3,054.1	231.9	2,853.5		6,139.5									
TRANSFERS (X0200) [NOTE 3]	0.0	70.8	0.0	0.0		70.8									
	481,825.0	27,682.0	5,230.9	2,853.5	0.0	517,591.4									
S&E FUNDS ALLOTTED															
FEE BASED															487,243.5
GENERAL FUND															5,043.4
NWF - NWPA															21,954.8
OFFICIAL REPRESENTATION															40.4
REIMBURSABLE WORK															10,154.1
TRANSFERS (X0200)															90.8
							AA #5	03/2/01	374,227	71.3%	222,420	151,807	96,000	54,300	524,527.0
S&E FUNDS ALLOCATED															
FEE BASED	457,064.7	4,778.3	16.0	0.0	(410.0)	461,449.0									
GENERAL FUND	3,193.0	7.0	750.0	0.0	0.0	3,950.0									
NWF - NWPA	21,552.3	47.7	0.0	0.0	(40.0)	21,560.0									
OFFICIAL REPRESENTATION	15.0	6.0	0.0	0.0	0.0	21.0									
REIMBURSABLE WORK	0.0	2,832.9	0.0	2,803.5	0.0	5,636.5									
TRANSFERS (X0200)	0.0	14.0	0.0	0.0	0.0	14.0									
	481,825.0	7,685.9	766.0	2,803.5	(450.0)	492,630.5			424,747.5	86.2%	217,771.6	128,425.9	78,550.0	67,883.0	492,630.5
S&E UNALLOCATED															
FEE BASED	0.0	17,525.4	4,172.3	0.0	410.0	22,107.8									
GENERAL FUND	0.0	1,838.4	46.0	0.0	0.0	1,884.4									
NWF - NWPA	0.0	334.8	13.8	0.0	40.0	388.5									
OFFICIAL REPRESENTATION	0.0	19.4	0.9	0.0	0.0	20.3									
REIMBURSABLE WORK	0.0	221.1	231.9	50.0	0.0	503.0									
TRANSFERS (X0200)	0.0	56.8	0.0	0.0	0.0	56.8									
	0.0	19,996.0	4,464.9	50.0	450.0	24,960.9			92,843.9						
NON-NWF RESOURCES AVAILABLE															
	0.0	19,661.3	4,451.1	50.0	410.0	24,572.4			45,084.7						
									45,084.7						
									0.0						
									24,572.4						
									388.5						
NWF RESOURCES AVAILABLE	0.0	334.8	13.8	0.0	40.0	388.5			388.5						

NOTES: 1. Apportionment signed 03/02/2001. Nuclear Waste Funds are available without apportionment. These funds are available for allotment.
 2. Actual funds available to allowance holders as limited by the FY 2001 appropriation [P.L. 106-377; 106-554], FY 2000 carryover, prior-year deobligations, and reimbursable agreements. FY 2000 carryover is based on the SF-133 as of 12/31/2000. Prior-year deobligations are based on FFS reports for period 07/01 as of 05/05/2001, run date 05/05/2001.
 3. See the attached Summary of Reimbursable Work Agreements and X0200 Transfers for the details of each reimbursable agreement and transfer activity in FY 2001.

STATUS OF FY 2001 ADVISE OF ALLOWANCES AND FINANCIAL PLANS (1,000s)

ALLOWANCE HOLDER FUNDS SOURCE	(1)	(2)	(3)	(4)	(5)	(6)=SUM (1)-(5)	AS OF		ALLOWANCES							
	ALLOCATED FY 2001 APPROP	FY 2000 CARRY OVER	PRIOR YEAR DEOBS	NEW RIW AND XFERS	FY 2001 APPROP XFERS	CURRENT FINANCIAL PLAN	AAFP#	DATE	CURRENT	% of FP	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	CUM	
IG APPROPRIATION -> FINANCIAL SUMMARY																
FUNDS APPORTIONED [NOTE 4]	5,500	897.8	300.0	0		6,697.8			5,997.8	89.5%	2,400.0 36%	2,397.8 36%	1,200.0 18%	700.0 10%	6,697.8 100%	
BUDGETARY RESOURCES [NOTE 5]																
FEE BASED	5,500	897.8	272.3			6,670.0			6,670.0							
REIMBURSABLE WORK	0	0.0	0.0	0.0		0.0			0.0							
	<u>5,500</u>	<u>897.8</u>	<u>272.3</u>	<u>0.0</u>		<u>6,670.0</u>			<u>6,670.0</u>							
FUNDS ALLOTTED																
FEE BASED															6,697.8	
REIMBURSABLE WORK															0.0	
								AA #3	01/29/01	4,798	71.6%	2,400.0	2,397.8	1,200.0	700.0	6,697.8
FUNDS ALLOCATED																
FEE BASED	5,500	850.0	0.0	0.0	0.0	6,350.0	11	04/1/01	5,650.0	89.0%	2,350.0	2,100.0	1,200.0	700.0	6,350.0	
REIMBURSABLE WORK	0	0.0	0.0	0.0	0.0	0.0			0.0	N/A	0.0	0.0	0.0	0.0	0.0	
	<u>5,500</u>	<u>850.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6,350.0</u>			<u>5,650.0</u>	<u>89.0%</u>	<u>2,350.0</u>	<u>2,100.0</u>	<u>1,200.0</u>	<u>700.0</u>	<u>6,350.0</u>	
UNALLOCATED																
FEE BASED	0	47.8	272.3	0.0	0.0	320.0										
REIMBURSABLE WORK	0	0.0	0.0	0.0	0.0	0.0										
	<u>0</u>	<u>47.8</u>	<u>272.3</u>	<u>0.0</u>	<u>0.0</u>	<u>320.0</u>										
IG RESOURCES AVAILABLE	0.0	47.8	272.3	0.0	0.0	320.0			320.0	<==== AVAILABLE FOR CURRENT QUARTER ALLOWANCES						

NOTES:

- Apportionment signed 03/02/2001. These funds are available for allotment.
- Actual funds available to allowance holders as limited by the FY 2001 appropriation [P.L. 106-377], FY 2000 carryover, prior-year deobligations, and reimbursable agreements. FY 2000 carryover is based on the SF-133 as of 12/31/2000. Prior-year deobligations are based on FFS reports for period 07/01 as of 05/05/2001, run date 05/05/2001.

ALLOWANCE HOLDER FUNDS SOURCE Strategic Arena	(1)	(2)	(3)	(4)	(5)	(6) =SUM (1)-(5)	AS OF AAFP# DATE	ALLOWANCES				CUM			
	ALLOCATED FY 2001 APPROP	FY 2000 CARRY OVER	PRIOR YEAR DEOBS	NEW RIW AND XFERS	FY 2001 APPROP XFERS	CURRENT FINANCIAL PLAN		CURRENT	% of FP	1st Qtr	2nd Qtr		3rd Qtr	4th Qtr	
AID TRANSFER ALLOCATION (3172X1093) FOR THE NUCLEAR SAFETY PROGRAMS -> FINANCIAL SUMMARY															
AUTHORIZED BY A.I.D. [Note 6]		165.908				165.908							165.908		
BUDGETARY RESOURCES (3172X1093)															
Nonexpenditure Transfer Authorization from AID			[Note 6]												
Armenia/Kazakhstan Assistance (OIP) [Note 7]	1.783		3.3			5.1							5.1		
Russia/Ukraine Assistance (OIP) [Note 7]	93.207		0.0			93.2							93.2		
Russia/Ukraine Regulators (OIP) [Note 8]	70.913		0.0			70.9							70.9		
Total	165.903		3.3			169.2							169.2		
FUNDS ALLOTTED							AA #2	02/13/01	165.9	100.0%	25.0	140.9	0.0	0.0	165.908
FUNDS ALLOCATED TO CEN															
Armenia/Kazakhstan Assistance (B&R#401405)	1.783		0.0			1.8			1.8	100.0%	1.7	0.1	0.0	0.0	1.8
Russia/Ukraine Assistance (B&R#401410)	93.207		0.0			93.2			93.2	100.0%	15.9	77.3	0.0	0.0	93.2
Russia/Ukraine Regulators (B&R#401415)	70.913		0.0			70.9			70.9	100.0%	7.3	63.6	0.0	0.0	70.9
Total	165.903		0.0			165.9	2	02/23/01	165.9	100.0%	25.0	140.9	0.0	0.0	165.903
UNALLOCATED															
Armenia/Kazakhstan Assistance	0.0		3.3			3.3									3.3
Russia/Ukraine Assistance	0.0		0.0			0.0									0.0
Russia/Ukraine Regulators	0.0		0.0			0.0									0.0
Total	0.0		3.3			3.3			3.3						3.3
															0.0

3.3 <==== Unallocated Budgetary Resources
 0.0 <==== Authorized by A.I.D.

NOTES:

- FY 2000 carryover was \$165,908.06; \$165,908.00 were authorized per letter dated 02/13/2001, from E. White, A.I.D. Office of Financial Management. Prior-year deobligations are available only after receiving A.I.D. concurrence. Prior-year deobligations are based on FFS reports for period 07/01 as of 05/05/2001, run date 05/05/2001. Travel refunds of \$4,144.98 apply to the three X1093 programs as follows: \$821.15 to Armenia/Kazakhstan (B&R# 401405); \$2,800.25 to Russia/Ukraine (B&R#401410); and \$523.58 to Russia/Ukraine (B&R# 401415). A.I.D. needs to confirm which countries and projects to apply the refunds.
- These funds were received based on a Memorandum of Agreement (MOA), executed 07/07/1995, by which the Agency for International Development (A.I.D.) allocated \$5.5 million to the NRC for the Nuclear Safety Assistance Program in Russia, Ukraine, Kazakhstan, and Armenia. By memorandum, dated 12/30/1997, A.I.D. extended the MOA completion date to 12/31/1998. By memorandum, dated 02/04/1999, A.I.D. extended the MOA completion date to 09/30/1999. By memorandum, dated 09/17/1999, A.I.D. extended the MOA completion date to 09/30/2000. By memorandum, dated 06/21/2000, A.I.D. extended the MOA completion date to 09/30/2001. FY 2000 carryover funds were \$91,371.55. Funds may not be allocated in excess of the dollar ceilings for each country based on the FY 2000 unobligated carryover as follows: Russia \$5,747.67; Ukraine \$84,660.66 (A.I.D. did not authorize \$.06 of the \$165,908.06, therefore the original carryover of \$84,660.72 was reduced by \$.06); Armenia \$65.82; and Kazakhstan \$897.34. NOTE: Cents have not be allocated.
- These funds were received based on a MOA, executed 09/29/1995, by which A.I.D. allocated \$3.4 million to the NRC for the Nuclear Safety Program in Russia and Ukraine (the NRC Program Tasks for Assisting Regulators). Amendment #1, signed on 05/20/1997, extended the MOA completion date to 05/01/1998 and reprogrammed \$1 million from Ukraine to Russia. Amendment #2, signed on 04/03/1998, extended the MOA completion date to 02/25/1999 and reprogrammed funds to higher priority projects within country ceilings. By memorandum, dated 02/04/1999, A.I.D. extended the MOA completion date to 09/30/1999. By memorandum, dated 09/17/1999, A.I.D. extended the MOA completion date to 09/30/2000. By memorandum, dated 06/21/2000, A.I.D. extended the MOA completion date to 09/30/2001. Funds may not be obligated in excess of the dollar ceilings for each country based on the FY 2000 unobligated carryover funds as follows: Russia \$56,546.62 and Ukraine \$13,844.91. NOTE: Cents have not be allocated.

STATUS OF FY 2001 ADVISE OF ALLOWANCES AND FINANCIAL PLANS (AAFPs)
 SUMMARY OF REIMBURSABLE WORK AGREEMENTS AND TRANSFERS (X0200)

Active Reimbursable Agreements and Transfers (X0200) for FY 2001 Holder/ Description	Program Code	Performance End Date	(1) Total Authority		(2)	(3)	(4)	(5) = 2+3+4	(6)	(7) = 5-6	(8)	(9) = 7-8
			Reimbursable/ Transfer	FY 2000 Unobligated Carryover	FY 2001 New Authority	FY 2001 Prior-Year Deobligations	Subtotal FY 2001 Resources	Authority Expired in FY 2001	Total FY 2001 Available	FY 2001 Financial Plans	FY 2001 Available To Allocate	
ADM												
Criminal History Program	511701	On-going	N/A *1	276,828.49	455,760.00	0.00	732,588.49	0	732,588.49			
Material Access Authorization Program	511702	On-going	N/A *1	96,713.83	237,164.00	0.00	333,877.83	0	333,877.83			
Information Access Authorization Program	511703	On-going	N/A *1	44,605.00	260.00	0.00	44,865.00	0	44,865.00			
FY 2001 estimated receipts unrealized					(304,331.32)		(304,331.32)		(304,331.32)		807,000.00	438,047.30
EWRA Assistance (NonFed.-Toner Cartridge Recycling)	511704	On-going	7,239 *14	1,775.60	0.00	0.00	1,775.60	0	1,775.60		1,774.70	0.90
EWRA Assistance (Federal-Paper)	511604	On-going	17,081 *14	10,225.90	0.00	0.00	10,225.90	0	10,225.90		10,225.30	0.60
SUBTOTAL ADM												
				430,148.82	388,852.68	0.00	819,001.50	0	819,001.50		819,000.00	1.50
CEN												
Internal Revenue Service	103815	07/15/01	94,000 *12	5,000.00	0.00	0.00	5,000.00	0	5,000.00		5,000.00	0.00
NRR/DOE Naval Nuclear Propulsion Program	103821	09/30/03	12,500 *13	1,200.00	0.00	0.00	1,200.00	0	1,200.00		1,200.00	0.00
DOE Review of Safety Analyses Rpt for Virg. Class Sub	103823	09/30/03	377,550 *17	37,372.00	75,000.00	2,910.55	115,282.55	0	115,282.55		112,372.00	2,910.55
DOE - Pebble Bed Modular Reactor Tech & Fuel Evalua	110608	03/09/01	20,000	0.00	20,000.00	0.00	20,000.00	0	20,000.00		20,000.00	0.00
DOE IAA Technical Support for Licensing and Regulatory												
Compliance/ Office of Fissile Materials, mod. #9	201802	12/28/00	204,396 *3	5,000.00	0.00	0.00	5,000.00	0.00	5,000.00		5,000.00	0.00
DOE For. Res. Rctr. Spent Nuc. Fuel Shipmnt, mod. #6	202812	09/30/03	620,000 *4	15,000.00	0.00	0.00	15,000.00	0	15,000.00		15,000.00	0.00
DOE - West Valley Spent Fuel SAR, mod. #1	202830	06/15/01	575,000 *15	15,000.00	0.00	0.00	15,000.00	0	15,000.00		15,000.00	0.00
NASA INSRP Mars Exploration Rover 2003	205831	10/31/01	25,000 *19	0.00	7,000.00	0.00	7,000.00	0	7,000.00		7,000.00	0.00
IAEA / International Invitational Travel	401606	On-going	N/A	0.00	0.00	0.00	0.00	0	0.00		0.00	0.00
A.I.D. R.E.E.P. #180-0030, new Lithuania, amend #3	401660	09/30/01	1,328,000 *6	183,589.85	0.00	0.00	183,589.85	0	183,589.85		0.00	183,589.85
A.I.D. IAA E. Europe, Reg Energy Effic Project, #8 (IP)	401671/5	09/01/02	3,791,000 *7	124,669.95	0.00	201,098.75	325,768.70	0	325,768.70		0.00	325,768.70
DOE Material Protection, Ctrl. & Actng. Ast Russia/NIS	401817	05/14/05	165,000 *16	25,000.00	0.00	(1.23)	24,998.77	0	24,998.77		25,000.00	(1.23)
DOE-Fissile Materials Disposition/Russia	401818	12/27/03	60,000	0.00	10,000.00	0.00	10,000.00	0	10,000.00		10,000.00	0.00
Material Access Authorization Program	511702	On-going	N/A *1	13,917.19	0.00	132.32	14,049.51	0	14,049.51		7,000.00	7,049.51
SUBTOTAL CEN												
				425,748.99	112,000.00	204,140.39	741,889.38	0.00	741,889.38		222,572.00	519,317.38
CIO												
Univ. of Illinois Employee Detail	521706	03/14/01	205,920 *9	57,652.97	59,500.00	0.00	117,152.97	0	117,152.97		117,152.97	0.00
SUBTOTAL CIO												
				57,652.97	59,500.00	0.00	117,152.97	0.00	117,152.97		117,152.97	0.00
HR												
Agreement States Training - Reactor	107707	On-going	N/A	0.00	0.00	0.00	0.00	0	0.00		0.00	0.00
Agreement States Training - Materials	208708	On-going	N/A	0.00	0.00	0.00	0.00	0	0.00		0.00	0.00
GSA Recycling Funds: Childcare Tuition Assistance	512604	On-going	12,168 *10	12,167.59	0.00	0.00	12,167.59	0	12,167.59		12,167.21	0.38
SUBTOTAL OHR												
				12,167.59	0.00	0.00	12,167.59	0.00	12,167.59		12,167.21	0.38
NMSS												
DOE IAA Technical Support for Licensing and Regulatory												
Compliance/ Office of Fissile Materials, mod. #9	201802	12/28/00	204,396 *3	55,453.00	0.00	0.00	55,453.00	0.00	55,453.00		55,453.00	0.00
DOE For. Res. Rctr. Spent Nuc. Fuel Shipmnt, mod. #6	202812	09/30/03	620,000 *4	259,055.00	0.00	0.00	259,055.00	0	259,055.00		259,055.00	0.00
NMSS/DOE Naval Nuclear Propulsion Program	202821	09/30/03	12,500 *13	0.00	0.00	0.00	0.00	0	0.00		0.00	0.00
NMSS/DOE Naval Nuclear Propulsion Program (PN382)	202822	09/30/01	867,000 *18	409,868.50	94,500.00	26,000.00	530,366.50	0	530,366.50		504,366.50	26,000.00
DOE - West Valley Spent Fuel SAR, mod. #1	202830	06/15/01	575,000 *15	463,396.50	0.00	0.00	463,396.50	0	463,396.50		463,396.50	0.00
DOE Waste Storage Tanks at Savannah River, mod. #4	301805	09/30/00	202,634 *5	0.00	0.00	1,430.60	1,430.60	0	1,430.60		0.00	1,430.60
DOE Alum-Based Res. Reactor Spent Nuc. Fuel	301807	12/31/01	125,000 *2	18,510.76	0.00	0.00	18,510.76	0	18,510.76		18,510.76	0.00
DOE Review of Fort St. Vrain Cask for Spent Fuel Shipr	308832	08/28/01	193,000	0.00	193,000.00	0.00	193,000.00	0	193,000.00		193,000.00	0.00
DOE Material Protection, Ctrl. & Actng. Ast Russia/NIS	401817	05/14/05	165,000 *16	126,781.00	0.00	0.00	126,781.00	0	126,781.00		126,781.00	0.00
DOE-Fissile Materials Disposition/Russia	401818	12/27/03	60,000	0.00	50,000.00	0.00	50,000.00	0	50,000.00		50,000.00	0.00
SUBTOTAL NMSS												
				1,333,062.76	337,500.00	27,430.60	1,697,993.36	0.00	1,697,993.36		1,670,562.76	27,430.60
NRR												
Navy MOU for Porting Reviews	103804	09/30/01	15,000	0.00	15,000.00	0.00	15,000.00	0	15,000.00		15,000.00	0.00
Internal Revenue Service	103815	07/15/01	94,000 *12	26,723.00	0.00	0.00	26,723.00	0	26,723.00		26,723.00	0.00
NRR/DOE Naval Nuclear Propulsion Program	103821	09/30/03	12,500 *13	0.00	0.00	0.00	0.00	0	0.00		0.00	0.00
DOE Review of Safety Analyses Rpt for Virg. Class Sub	103823	09/30/03	377,550 *17	20,383.00	225,000.00	0.00	245,383.00	0	245,383.00		245,383.00	0.00
SUBTOTAL NRR												
				47,106.00	240,000.00	0.00	287,106.00	0.00	287,106.00		287,106.00	0.00

STATUS OF FY 2000 ADVICE OF ALLOWANCES AND FINANCIAL PLANS (AAFPs)
 SUMMARY OF REIMBURSABLE WORK AGREEMENTS AND TRANSFERS (X0200)
 FOOTNOTES

Active Reimbursable Agreements and Transfers (X0200) for FY 2000 Holder/ Description	Program Code	Performance End Date	(1)	(2)	(3)	(4)	(5) = 2+3+4	(6)	(7) = 5-6	(8)	(9) = 7-8	
			Total Authority Reimbursable/ Transfer	FY 2000 Unobligated Carryover	FY 2001 New Authority	FY 2001 Prior-Year Deobligations	Subtotal FY 2001 Resources	Authority Expired In FY 2001	Total FY 2001 Available	FY 2001 Financial Plans	FY 2001 Available To Allocate	
RES												
EPRI - Dry Cask Storage	110/205712	On-going	412,000	0.00	206,000.00	0.00	206,000.00	0	206,000.00	206,000.00	0.00	
Westinghouse Cooperative Research Agreements	110711	12/31/01	100,000	0.00	50,000.00	0.00	50,000.00	0	50,000.00	50,000.00	0.00	
NASA INSRP Mars Exploration Rover 2003	205831	10/31/01	25,000 *19	0.00	18,000.00	0.00	18,000.00	0	18,000.00	18,000.00	0.00	
A.I.D. IAA E. Europe, Reg Energy Effic Project, #8 (IP)	401671/5	09/01/02	2,200,000 *7	5,123.35	0.00	0.00	5,123.35	0	5,123.35	0.00	5,123.35	
Foreign Cooperative Research Agreements	401710	On-going	N/A	530,953.57	1,441,681.00	282.13	1,972,916.70	0	1,972,916.70	1,972,634.57	282.13	
DTRA Core Conversion Project - Russia	401813	09/30/01	250,000 *8	91,282.94	0.00	0.00	91,282.94	0	91,282.94	91,282.94	0.00	
SUBTOTAL RES				627,359.86	1,715,681.00	282.13	2,343,322.99	0.00	2,343,322.99	2,337,917.51	5,405.48	
TOTAL S&E REIMBURSABLE FUNDS					2,933,246.99	2,853,533.68	231,853.12	6,018,633.79	0.00	6,018,633.79	5,466,478.45	552,155.34
CEN												
A.I.D. Nonexpenditure Transfer	401520	On-going	5,500,000 *11	70,831.67	0.00	0.00	70,831.67	0	70,831.67	29,072.00	41,759.67	
SUBTOTAL X0200 TRANSFERS					70,831.67	0.00	0.00	70,831.67	0	70,831.67	29,072.00	41,759.67

- *1. The total reimbursable authority for CHP, MAAP, and IAAP is the actual cash receipts for each program. The allocation of \$814,000 represents the combined total of \$425,147.32 in FY 2000 carryover funds and \$ 388,852.68 estimated FY 2001 new authority for all three programs.
- *2 The DOE Aluminum-Based Research Reactor Spent Nuclear Fuel agreement was signed on 08/26/1997 for \$250,000 with a performance period from 08/26/97 to 12/31/2001.
- *3 The DOE agreement was originally funded with \$150,000 for a performance period from 09/01/1995 to 08/31/1997. On 07/02/1997, the Director of NMSS signed modifications #1 through #5 which changed the agreement as follows: Modification #1 changed the NRC Project Officer, modification #2 DOE reduced total funding by \$100,000, modification #3 increased funding by \$28,000, modification #4 increased funding by \$50,000 and extended the performance end date to 8/31/99, modification #5 revised the statement of work, schedule, and terms and conditions. The net effect of these modifications is that \$22,000 of unobligated carryover was rescinded. On 08/01/1997, modification #6 revised the statement of work. On 05/06/1998, modification #7 increased funding by \$200,000. On 09/04/1998, modification #8 increased funding by \$40,000. On 10/05/99, modification #9 extended the completion date to 12/28/99. On January 4, 2000, modification #10 deobligated \$123,604.50 from the agreement. On June 15, 2000, modification #11 & 12 corrected the total funding to \$204,395.50 (reduction of \$40,000) and modification # 12 corrected the end date to 12/28/2000 and changed the NRC technical project manager from Abraham Eiss to Barry Mendelsohn.
- *4 The DOE agreement, Review of Foreign Research Reactor Spent Nuclear Fuel Shipments, was signed on 03/31/1998 with a completion date of 09/30/1999 & funding of \$60,000. On 09/02/1998, modification #1 added \$60,000. On 09/25/1998, modification #2 changed the statement of work. On 12/03/1998, modification #3 added \$150,000 to support an expedited review and evaluation of certificates of compliance of foreign countries submitted for revalidation by DOT under 49 CFR 171.12. On 02/09/1999, modification #4 provided another \$200,000. Tracy Mustin, DOE program manager of the DOE Office of Spent Fuel Management, Office of Nuclear Material and Facility Stabilization, clarified in a letter, dated 03/15/1999, that all funds applied to the interagency agreement may be used for all tasks in the SOW and in any subsequent modifications; the only limitation, outlined in Modification #3, is a ceiling of \$600,000 in FY 1999 for the task of reviewing and evaluating certificates of compliance for foreign-owned transportation packages. Program code 202820 has been combined with program code 202812. MOD #5, signed 05/21/1999, added \$150,000 of funding. MOD #6, signed 09/29/1999, extended the expiration date to 09/30/2003. Total funding is \$620,000.
- *5 The DOE agreement is for a performance period from 07/09/1997 to 03/01/1998. DOE funded \$250,000 (\$127,000 for CEN and \$123,000 for NMSS). Modification #1, signed on 01/30/1998, extended this agreement to 09/30/1998. Modification #2, signed on 10/14/1998, extended this agreement to 09/30/1999. Modification #3, signed on 05/14/1999, provided another \$75,000. Modification #4, signed on 11/08/99, extended this agreement to 09/30/2000. Agreement is in the process of being modified to extend the performance date. FY 2000 unobligated carryover is \$7,248.53. Per B. Jennifer Davis in NMSS, this agreement will be extended in FY 2001.

- *6 The new reimbursable agreement for the Regional Energy Efficiency Project was received on 09/10/1998, but not allocated in FY 1998. The agreement enables NRC to provide technical support and consultation to improve the operational safety of the Ignalia Nuclear Power Plant in Lithuania. The completion date was 12/31/99. Amendment #1, signed on 07/06/1999, increased funding by \$528,000. In memo dated 11/23/1999, DCPM sent letter requesting extension to 09/30/2000. Amendment #2, signed on 01/21/2000, extended the completion date to 09/01/2000. Amendment #3, signed on 08/08/00, increased funding by \$150,000 and extended the completion date to 09/30/2001.
- *7 The original AID IAA Eastern Europe agreement for \$900,000 was received in FY 1992. In FY 1993, \$400,000 was allocated to CEN and \$500,000 allocated to RES. Amendment #1 at the end of FY 1993 provided \$1,623,000 of new funds (\$973,000 for CEN and \$650,000 for RES) and extended the performance period to 06/14/1996. Amendment #2, signed on 09/29/1994, provided \$1,500,000 of new funds (\$850,000 for CEN and \$650,000 for RES), the performance end date remained 06/14/1996. Amendment #3, signed on 09/29/1995, provided \$1,000,000 of new funds (\$600,000 for CEN and \$400,000 for RES) and extended the performance period to 06/30/1997. Amendment #4, signed on 09/19/1996, provided \$400,000 of new funds (for CEN) and extended the performance end date to 12/31/1997. Amendment #5, signed on 03/20/1997, provided \$568,000 of new funds (for CEN) and extended the performance end date to 09/30/1998. Amendment #6, signed on 09/03/1998, extended the completion date to 12/31/1999. The total agreement amount is \$5,991,000 (\$3,791,000 for CEN and \$2,200,000 for RES). In FY 1998, RES deobligated its prior-year unexpended balance of \$5,123.35. In memo, dated 11/23/1999, DCPM sent letter requesting extension to 09/30/2000. Amendment #7, signed on 01/21/2000, extended the completion date to 09/01/2000. Amendment #8, signed on 09/01/2000, extended the completion date to 09/01/2002.
- *8 The Defense Threat Reduction Agency (DTRA, formerly DSWA) IACRO #97-8801, signed on 04/10/1998, provided \$30,000 with an expiration date of 09/30/1999. Amendment #1, signed on 07/30/1998, provided an additional \$20,000. Amendment #2, signed on 11/20/1998, provided another \$200,000. FY 1999 unobligated carryover is \$95,033.56 (\$17,000 for CEN and \$78,033.56 for RES). Amendment #3, signed on 10/22/99, extended the completion date to 09/30/2001.
- *9 A reimbursable employee detail with the University of Illinois was signed on 3/13/98 with a period of performance from 03/15/1998 to 03/14/2000. The University of Illinois provided an initial advance of \$100,833. The total estimated amount for the agreement is \$205,920. The University provided an additional \$52,544 advance in October 1998 for estimated costs through 09/14/1999. In August 1999, the University provided another \$52,543. In FY 1999, this agreement was under CEN. In FY 2000, this agreement is under CIO. Memorandum, dated 03/21/2000, extended the period of performance through 05/13/00 and provided an additional \$18,600. In May 2000, the University provided another \$94,400 and extended the period of performance to 03/14/01.
- *10 Funds received from GSA via the On-Line Payment and Collection (OPAC) System for NRC's recycling receipts are available for the NRC Childcare Development Tuition Assistance Program per Section 608 of P.L. 104-208 and a February 6, 1997, memorandum from Hugh Thompson, the former EDO. Allocation of \$33,176.37 of new reimbursable funds was received on 09/01/1999. Allocation of \$34,296.77 per the May 5, 2000, memorandum from W. Travers, EDO. Allocation of \$12,167.21 per the October 17, 2000, memorandum from W. Travers, EDO.
- *11 The A.I.D. nonexpenditure transfer of \$5.5 million into NRC's 31X0200 account was received in FY 1994 as follows: \$3 million for Russia and \$2.5 million for Ukraine.
- *12 The Internal Revenue Service reimbursable agreement was received on 07/15/1999 with a period of performance until 07/15/2000. Modification #1, signed on 06/29/00, extended the expiration date to 07/15/01.
- *13 The DOE reimbursable agreement for the Naval Nuclear Propulsion Program was received on 08/18/1999 with a period of performance until 09/30/2003. The initial \$25,000 was split: \$12,500 for NRR and \$12,500 for NMSS. Modification #2, signed on 10/19/1999, extended the statement of work.
- *14 Funds received from the sale of recycling toner cartridges and GSA OPAC payments for paper recycling are available for assistance to EWRA. FY 2000 new authority consists of \$8,400 (Toner cartridges: \$1,544.40; GSA: \$6,855.6) and \$12,000 (Toner cartridges: \$1,774.70; GSA: \$10,225.30)
- *15 This DOE agreement is for a period of performance through 03/31/2001. Modification #1, signed 05/22/2000, extended the agreement to 06/15/2001.
- *16 This DOE agreement is for \$165,000 and a period of performance through 05/14/2005.
- *17 This DOE agreement is for \$77,550 and a period of performance through 09/30/2003. Modification #1, signed 02/06/2001, increased funding by \$300,000.

*18 This DOE agreement is for \$772,500 and a period of performance through 09/30/2001. Modification #1, signed 12/29/00, provided an additional \$94,500.

*19 This NASA agreement is for \$25,000 and a period of performance through 10/31/2001.

COMPUTATION OF THE PRIOR-YEAR DEOBLIGATIONS BY FUND SOURCE
April 2001 (Accounting Period 07/01)

Fund	Fund Source	Data Source/ FFS Report	FYs 90 - 93	FYs 94 - 95	FY 96	FY 97	FY 98	FY 99	FY 00	Total		
S&E	S&E Total	FFS 711	48,193.23	37,577.13	14,706.85	169,398.54	635,737.87	810,694.80	3,514,549.73	5,230,858.15		
		Adjustments/ Expired Reimbursable	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00		
	S&E Total		48,193.23	37,577.13	14,706.85	169,398.54	635,737.87	810,694.80	3,514,549.73	5,230,858.15		
	Representation	OCFO FFS 702 (07G-23-541305)					0.00	0.00	893.13	893.13		
	Transfers X0200	OCFO FFS 702 AID (FYs 95-99)			0.00	0.00	0.00	0.00	0.00	0.00		
		Transfers X0200 Total			0.00	0.00	0.00	0.00	0.00	0.00		
	Reimbursable	FFS 702		0.00	0.01	194.80	4,149.72	0.00	87.33	227,421.27	231,853.13	
		Adjustments/ Expired Reimbursable		0.00	0.00	0.00	0.00	0.00	0.00	0.00		
	Reimbursable Available			0.00	0.01	194.80	4,149.72	0.00	87.33	227,421.27	231,853.13	
	NWF	NMSS FFS 702			0.00					0.00	0.00	
OCFO FFS 702 (77F-21-250000/97F-15-301149/97F-21-301209/075-21-301209)					0.00	(849.93)	0.00	4,045.90	10,404.66	13,600.63		
HLW External Training (HR) FFS 702 (094-15-301219)									187.07	187.07		
NWF Total/ FFS 711 (FYs 93-96)				0.00	0.00	(849.93)	0.00	4,045.90	10,591.73	13,787.70		
General Fund	S&Bs (CEN) FFS 702 (X70-10-009901, J7001)-Hanford							0.00	771.00	771.00		
	S&Bs (CEN) FFS 702 (X70-10-009901, J7002)-DOE External								45,000.00	45,000.00		
	S&Bs (CEN) FFS 702 (X70-10-009901, J7003)-Tritium								750,212.78	750,212.78		
	Hanford Travel (CEN) FFS 702 (07W-21-213215)											
	Hanford Gen Info Tech Doe (NMSS) FFS 702 (050-15-213120)											
	LSN-HLW (CEN) FFS 702 (07D-15-307115)				0.00	0.00	0.00	0.00	795,983.78	795,983.78		
General Fund Total												
Non-Fee Based	= REPR+AID+REIM+NWF+GF		0.00	0.01	194.80	3,299.79	0.00	4,133.23	1,034,889.91	1,042,517.74		
Fee Based/FFS 711	= S&E Total - Non-Fee Based		48,193.23	37,577.12	14,512.05	166,098.75	635,737.87	806,561.57	2,479,659.82	4,188,340.41		
IG	IG Fee Based	FFS 702				0.00	199,428.42	24,929.02	5,789.14	42,128.80		
AID	Transfer X1093	Armenia/Assist	B&R# 021000/401405			3,274.24	0.00			3,274.24		
		Kazakst/Assist	B&R# 021000/401405				0.00			0.00		
		TMC Rebates	B&R# 021000/401405						0.00		0.00	
		Subtotal	B&R# 021000/401405								3,274.24	
		Russia/Assist	B&R# 022000/401410			0.00	0.00	0.00	0.00	0.00	0.00	
		Ukraine/Assist	B&R# 022000/401410							0.00	0.00	
		TMC Rebates	B&R# 022000/401410							0.00	0.00	
		Subtotal	B&R# 022000/401410			0.00	0.00	0.00	0.00	0.00	0.00	
		Russia/Regulat	B&R# 023000/401415							0.00	0.00	
		Ukraine/Regulat	B&R# 023000/401415							0.00	0.00	
		TMC Rebates	B&R# 023000/401415							0.00	0.00	
		Subtotal	B&R# 023000/401415								0.00	
		Total AID X1093 (FFS 702)					3,274.24	0.00	0.00	0.00	0.00	3,274.24
		GSA	Transfer X4542	FFS 702 ('96 - '97)				0.00				0.00
AID	Transfer 671093 (Expired)	Armenia/Regulat	B&R# 024000				0.00			0.00		
		Kazakst/Regulat	B&R# 024000					0.00		0.00		
AID	Transfer 781093 (Expired)	Armenia/Kazak	B&R# 025000					0.00		0.00		
		Russia/Ukraine	B&R# 026000						0.00	0.00		
AID	Transfer 891093 (Expired)	Armenia/Kazak	B&R# 401425						0.00	0.00		
		Russia/Ukraine	B&R# 401430						2,093.61	2,093.61		
AID	Transfer 901093 (Expired)	Armenia	B&R# 401435						0.00	0.00		
		Kazakst	B&R# 401435						2,093.61	2,093.61		
		Subtotal	B&R# 401435							0.00	0.00	
		Russia	B&R# 401440						4,159.58	4,159.58		
		Ukraine	B&R# 401440					4,159.58	4,159.58			
Subtotal		B&R# 401440							6,253.19	6,253.19		
Total AID 901093 (FFS 702)									0.00	0.00		
AID	Transfer 011093	Armenia	B&R# 401445						3,273.69	3,273.69		
		Kazakst	B&R# 401445						3,273.69	3,273.69		
		Subtotal	B&R# 401445							116.41	116.41	
		Russia	B&R# 401445						0.00	0.00		
		Ukraine	B&R# 401445						116.41	116.41		
		Subtotal	B&R# 401445							3,390.10	3,390.10	
Total AID 011093 (FFS 702)												

Notes: Negative deobligations reflect increased obligations for prior years.

FFS reports for period 05/01 (as of 03/03/01). FCSB received FFS reports on 03/05/01.

Prepared by: Gary Robinson

Date: 04 / /01

Verified by: *S. Park*

Date: 5/17/01

		FY 94 - 95	FY 96	FY 97	FY 98	FY 99	FY 00	Subtotal	Expired	Total
AH	Reimbursable Agreement									
ADP	Criminal History Program B&R# 824100/811701					0.00	0.00	0.00	0.00	0.00
	Materials Access Auth. Program B&R# 824200/811702						0.00	0.00	0.00	0.00
	Information Access Auth. Program B&R# 824300/811703						0.00	0.00	0.00	0.00
	ADM Subtotal		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
NMSS	ADM Subtotal		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Expired: Ukraine IACR#94-8003 FY94 - J5056, J5057, J5058; FY95-96 B&R#815300							0.00	0.00	0.00
	Expired: Kazakhstan IACR#94-613 FY94 - J5062; FY95-96 B&R#815100							0.00	0.00	0.00
	Expired: Russia Original IACR#94-601 FY94 - J5051; FY95-96 B&R#815300			1,430.60	0.00	0.00		1,430.60	0.00	1,430.60
	DOE - Savannah River FY97 B&R#817405							0.00	0.00	0.00
	DOE - Aluminum Based Research Reactor Spent Nuclear Fuel FY98 B&R#817407							26,000.00	0.00	26,000.00
	DOE - Review of Naval Spent B&R#050-202822							0.00	0.00	0.00
	DOE - U.S. Enrichment Corp. FY97 B&R#817411							26,000.00	0.00	26,000.00
	NMSS Subtotal		0.00	1,430.60	0.00	0.00	0.00	27,430.60	0.00	27,430.60
CEN	NMSS Subtotal							0.00	0.00	0.00
	Expired: AID Energy Efficiency Lithuania B&R #401800						0.00	0.00	0.00	0.00
	Expired: Russia Original IACR#94-601 FY96 B&R# 815300							0.00	0.00	0.00
	AID IAA E. Europe REEP#180-0030			2,719.12	0.00	0.00	198,379.63	201,098.75	201,098.75	201,098.75
	FY97, FY00 B&R# 77G-60-817005, 07G-60-401674							0.00	0.00	0.00
	Expired: AID Lebanon Ctrg. - Rs & Us		0.00	0.00				0.00	0.00	0.00
	FY93/FY94 - 80100003; FY95-96 B&R# 817201							0.00	0.00	0.00
	Expired: AID Lebanon (Subsequent 1)							0.00	0.00	0.00
	FY94 - 801004; FY95-96 B&R# 817202			0.00				0.00	0.00	0.00
	Expired: INSA-Rev of Cassini Missions FY98 B&R #817401							0.00	0.00	0.00
	DOE- Safety Evaluation of Brookhaven Lab FY98 B&R#817410/103810							0.00	0.00	0.00
	DOE Foreign Research Reactor Spent Nuclear Fuel FY98 B&R#817412/202812							0.00	0.00	0.00
	DOE Foreign Research Reactor Spent Nuclear Fuel FY98 B&R#817412/202812							0.00	0.00	0.00
	DOE - Virginia Class Submarine Reactor - FN362711 FY00 B&R#103823							2,910.55	0.00	2,910.55
	DOE - Virginia Class Submarine Reactor - FN362711 FY00 B&R#103823							(1.23)	(1.23)	(1.23)
	DOE - Materials Protection, Control, and Accounting Support FY00 B&R# 401817							132.32	0.00	132.32
	Materials Access Auth. Program FY 00 B&R# 511702							204,140.39	0.00	204,140.39
	CEN Subtotal	0.00	0.00	2,719.12	0.00	0.00	201,421.27	204,140.39	0.00	204,140.39
RES	Expired DOE Radiation Exposure Mon Sys			0.00				0.00	0.00	0.00
	FY96 B&R# 816000, MS338, REIRS							0.00	0.00	0.00
	Federal Railroad Administration FY98 B&R# 817414/10814	0.01	194.80	0.00	0.00	87.33	0.00	282.13	0.00	282.13
	Foreign Coop Research Agreements B&R# 660-60-826100, 860-60-401710	0.01	194.80	0.00	0.00	87.33	(0.01)	282.13	0.00	282.13
	RES Subtotal	0.01	194.80	0.00	0.00	87.33	0.00	231,853.12	0.00	231,853.12
	TOTAL per FFS 702 report	0.01	194.80	4,149.72	0.00	87.33	227,421.26	231,853.12	0.00	231,853.12
	Adjustments & Expired Funds									
	Total RIV Deobligations Available	0.01	194.80	4,149.72	0.00	87.33	227,421.26	231,853.12	0.00	231,853.12