

CHAPTER 1

ADMINISTRATIVE INFORMATION

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1.1 DOCKETING OF TIMELY AND SUFFICIENT RENEWAL APPLICATION

Review Responsibilities

Primary - Branch responsible for license renewal projects

Secondary - Branch responsible for environmental review and
branches responsible for technical review, as appropriate

1.1.1 Areas of Review

This section addresses (1) the review of the acceptability of a license renewal application for docketing in accordance with 10 CFR 2.101 and the requirements of 10 CFR Part 54, and (2) whether a license renewal application is timely and sufficient, which allows the provisions of 10 CFR 2.109(b) to apply. Allowing this regulation, which was written to comply with the Administrative Procedures Act, to apply to the application means that the current license will not expire until the NRC makes a final determination on the license renewal application.

The review described in this section is not a detailed, in-depth review of the technical aspects of the application. The docketing and finding of a timely and sufficient renewal application does not preclude the NRC reviewers from requesting additional information as the review proceeds, nor does it predict the NRC's final determination regarding the acceptance or rejection of the renewal application. A plant's current license will not expire after the passing of the license's expiration date if the renewal application was found to be timely and sufficient. During this time, and until the renewal application has been approved by the NRC, the licensee must continue to perform its activities in accordance with the facility's CLB, including all applicable license conditions, orders, rules, and regulations.

In determining whether an application is acceptable for docketing, the following areas of the license renewal application are reviewed.

1.1.1.1 Docketing and Sufficiency of Application

The license renewal application is reviewed for acceptability for docketing as a sufficient application in accordance with 10 CFR 2.101, 10 CFR Part 51, and 10 CFR Part 54.

1.1.1.2 Timeliness of Application

The timeliness of a license renewal application is reviewed in accordance with 10 CFR 2.109(b).

1.1.2 Acceptance Criteria

1.1.2.1 Docketing/Sufficiency of Application

NRC staff determine acceptance for docketing and sufficiency on the basis of the required contents of an application, established in 10 CFR 2.101, 10 CFR 51.53(c), 54.17, 54.19, 54.21, 54.22, and 54.23. A license renewal application is sufficient if it contains the reports, analyses, and other documents required in such an application.

1.1.2.2 Timeliness of Application

In accordance with 10 CFR 2.109(b), a license renewal application is timely if it is submitted at least 5 years before the expiration of the current operating license and it is determined to be sufficient.

1.1.3 Review Procedures

A licensee may choose to submit plant-specific reports addressing portions of the license renewal rule requirements for NRC review and approval prior to submitting a renewal application. An applicant may incorporate (by reference) these reports or other information contained in previous applications for licenses or license amendments, statements, or correspondence filed with the NRC, provided that the references are clear and specific. However, the final determination of the docketing of a sufficient renewal application is made only after a formal license renewal application has been submitted to the NRC.

For each area of review, NRC staff should implement the following review procedures.

1.1.3.1 Docketing and Sufficiency of Application

Upon receipt of a tendered application for license renewal, the reviewer should determine whether the applicant has made a reasonable effort to provide the required administrative, technical, and environmental information.¹ The reviewer should use the review checklist provided in Table 1.1-1 to determine whether the application is reasonably complete and conforms to the requirements outlined in 10 CFR Part 54.

Items I.1 through I.10 in the checklist address administrative information: for the purpose of this review, the reviewer should check the "Yes" column if the required information is included in the application. Item II in the checklist addresses timeliness of the application.

Items II.1 through II.3, III, and IV in the checklist address technical information, the FSAR supplement, and technical specification changes, respectively. Chapters 2, 3, and 4 of the SRP-LR provide information regarding the technical review. Although the purpose of the docketing and sufficiency review is not to determine the technical adequacy of the application, the reviewer should determine whether the applicant has provided reasonably complete information in the application to address the renewal rule requirements. The reviewer may request assistance from appropriate technical review branches to determine whether the application provides sufficient information to address the items in the checklist so that the staff can begin their technical review. The reviewer should check the "Yes" column for a checklist item if the applicant has provided reasonably complete information in the application to address the checklist item.

Item V of the checklist addresses environmental information. The environmental review staff should review the supplement to the environmental report prepared by the applicant in accordance with the guidelines in NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," Supplement 1, "Operating License Renewal" (Ref. 2). The

¹ NRC Regulatory Guide 1.188, "Standard Format and Content for Applications to Renew Nuclear Power Plant Operating Licenses" (Ref. 1), provides guidance on the format and content of a renewal application.

reviewer should check the “Yes” column if it is determined that the renewal application contains environmental information consistent with the requirements of 10 CFR Part 51.

The application should address each item in the checklist in order to be considered reasonably complete and sufficient. If the reviewer determines that an item in the checklist is not applicable, the reviewer should include a brief statement that the item is not applicable and provide the basis for the statement.

If information in the application for a checklist item is either not provided or not reasonably complete and no justification is provided, the reviewer should check the “No” column for that checklist item. By checking the “No” column for any checklist item, except Item VI as discussed in Subsection 1.1.3.2, the reviewer indicates that the application is not acceptable for docketing as a sufficient renewal application, unless the applicant modifies the application to provide the missing or incomplete information.

If the reviewer determines that the application is not acceptable for docketing as a sufficient application, the letter to the applicant should clearly state that (1) the application is not sufficient and is not acceptable for docketing, and (2) the current license will expire at its expiration date. The letter should also include a description of the deficiencies found in the application and offer an opportunity for the applicant to modify its application to provide the missing or incomplete information. The reviewer should review the modified application, if submitted, to determine whether it is acceptable for docketing as a sufficient application.

If the reviewer is able to answer “Yes” to the applicable items in the checklist, the application is acceptable for docketing as a sufficient renewal application. The applicant should be notified by letter that the application is accepted for docketing. Normally, the letter should be issued within 30 days of receipt of a renewal application. A notice of acceptance for docketing of the application and notice of opportunity for a hearing regarding renewal of the license will be published in the *Federal Register*.

If the application is acceptable for docketing as a sufficient application, the staff should begin their technical review. For license renewal applications, the NRC intends to maintain the docket number of the current operating license for administrative convenience.

1.1.3.2 Timeliness of Application

Upon receipt of a tendered application for license renewal, the reviewer performs a docketing and sufficiency review, as discussed in Subsection 1.1.3.1.

If the sufficient application is submitted at least 5 years before the expiration of the current operating license, the reviewer checks the “Yes” column for Item VI in the checklist. If an applicant has to modify its application, as discussed in Subsection 1.1.3.1, before the staff can find the application acceptable for docketing as a sufficient application, the modified application should be submitted at least 5 years before the expiration of the current operating license.

If the reviewer checks the “No” column in Item VI in the checklist, indicating that a sufficient renewal application has not been submitted at least 5 years before the expiration of the current operating license, the letter to the applicant should clearly state that (1) the application is not timely, (2) the provisions in 10 CFR 2.109(b) have not been satisfied, and (3) the current license will expire on the expiration date. However, if the application is otherwise determined to be acceptable for docketing, the technical review can begin.

1.1.4 Evaluation Findings

The reviewer determines whether sufficient and adequate information has been provided to satisfy the provisions outlined here. Depending on the results of this review, one of the following conclusions is included in the staff's letter to the applicant:

- The NRC staff has determined that the applicant has submitted sufficient information that is acceptable for docketing, in accordance with 10 CFR 54.19, 54.21, 54.22, 54.23, and 51.53(c). However, the staff's determination does not preclude the request for additional information as the review proceeds.
- The application is *not acceptable* for docketing as a timely and sufficient renewal application.

1.1.5 Implementation

Except in cases in which the applicant proposes an acceptable alternative method for complying with specified portions of NRC regulations, the method described herein will be used by NRC staff in their evaluation of conformance with NRC regulations.

1.1.6 References

1. Regulatory Guide 1.188, "Standard Format and Content for Applications to Renew Nuclear Power Plant Operating Licenses."
2. NUREG-1555, "Standard Review Plans for Environmental Reviews for Nuclear Power Plants," Supplement 1, "Operating License Renewal."

**Table 1.1-1. Acceptance Review Checklist for Docketing of
Timely and Sufficient Renewal Application**

		<u>Yes</u>	<u>No</u>
I. General Information			
1.	Application identifies specific unit(s) applying for license renewal	<input type="checkbox"/>	<input type="checkbox"/>
2.	Filing of renewal application 10 CFR 54.17(a) is in accordance with:		
A.	10 CFR Part 2, Subpart A; 10 CFR 2.101	<input type="checkbox"/>	<input type="checkbox"/>
B.	10 CFR 50.4		
a.	Application is addressed to the Document Control Desk as specified in 10 CFR 50.4(a)	<input type="checkbox"/>	<input type="checkbox"/>
b.	Signed original application and 13 copies are provided to the Document Control Desk. One copy is provided to the appropriate Regional office [10 CFR 50.4(b)(3)]	<input type="checkbox"/>	<input type="checkbox"/>
c.	Form of the application meets the requirements of 10 CFR 50.4(c)	<input type="checkbox"/>	<input type="checkbox"/>
C.	10 CFR 50.30		
a.	Application is filed in accordance with 10 CFR 50.4 [10 CFR 50.30(a)(1)]	<input type="checkbox"/>	<input type="checkbox"/>
b.	Application is submitted under oath or affirmation [10 CFR 50.30(b)]	<input type="checkbox"/>	<input type="checkbox"/>
3.	Applicant is eligible to apply for a license and is not a foreign-owned or foreign-controlled entity [10 CFR 54.17(b)]	<input type="checkbox"/>	<input type="checkbox"/>
4.	Application is not submitted earlier than 20 years before expiration of current license [10 CFR 54.17(c)]	<input type="checkbox"/>	<input type="checkbox"/>
5.	Application states whether it contains applications for other kinds of licenses [10 CFR 54.17(d)]	<input type="checkbox"/>	<input type="checkbox"/>
6.	Information incorporated by reference in the application is contained in other documents previously filed with the Commission, and the references are clear and specific [10 CFR 54.17(e)]	<input type="checkbox"/>	<input type="checkbox"/>
7.	Restricted data or other defense information, if any, is separated from unclassified information in accordance with 10 CFR 50.33(j) [10 CFR 54.17(f)]	<input type="checkbox"/>	<input type="checkbox"/>
8.	If the application contains restricted data, written agreement on the control of accessibility to such information is provided [10 CFR 54.17(g)]	<input type="checkbox"/>	<input type="checkbox"/>

**Table 1.1-1. Acceptance Review Checklist for Docketing of
Timely and Sufficient Renewal Application (continued)**

	<u>Yes</u>	<u>No</u>
9. Information specified in 10 CFR 50.33(a) through (e), (h), and (i) is provided or referenced [10 CFR 54.19(a)]:		
A. Name of applicant	<input type="checkbox"/>	<input type="checkbox"/>
B. Address of applicant	<input type="checkbox"/>	<input type="checkbox"/>
C. Business description	<input type="checkbox"/>	<input type="checkbox"/>
D. Citizenship and ownership details	<input type="checkbox"/>	<input type="checkbox"/>
E. License information	<input type="checkbox"/>	<input type="checkbox"/>
F. Construction or alteration dates	<input type="checkbox"/>	<input type="checkbox"/>
G. Regulatory agencies and local publications	<input type="checkbox"/>	<input type="checkbox"/>
10. Conforming changes, as needed, to the standard indemnity agreement have been submitted (10 CFR 140.92, Appendix B) to account for the proposed change in the expiration date [10 CFR 54.19(b)]	<input type="checkbox"/>	<input type="checkbox"/>
 II. Technical Information		
1. An integrated plant assessment [10 CFR 54.21(a)] is provided, and consists of:		
A. For those SSC within the scope of license renewal [10 CFR 54.4], identification and listing of those structures and components that are subject to an aging management review (AMR) in accordance with 10 CFR 54.21(a)(1)(i) and (ii)		
a. Description of the boundary of the system or structure considered (if applicant initially scoped at the system or structure level). Within this boundary, identification of structures and components subject to an AMR. For commodity groups, description of basis for the grouping	<input type="checkbox"/>	<input type="checkbox"/>
b. Lists of structures and components subject to an AMR	<input type="checkbox"/>	<input type="checkbox"/>
B. Description and justification of methods used to identify structures and components subject to an AMR [10 CFR 54.21(a)(2)]	<input type="checkbox"/>	<input type="checkbox"/>

**Table 1.1-1. Acceptance Review Checklist for Docketing of
Timely and Sufficient Renewal Application (continued)**

		<u>Yes</u>	<u>No</u>
C.	Demonstration that the effects of aging will be adequately managed for each structure and component identified, so that their intended function(s) will be maintained consistent with the current licensing basis for the period of extended operation [10 CFR 54.21(a)(3)]		
a.	Description of the intended function(s) of the structures and components	<input type="checkbox"/>	<input type="checkbox"/>
b.	Identification of applicable aging effects based on materials, environment, operating experience, etc.	<input type="checkbox"/>	<input type="checkbox"/>
c.	Identification and description of aging management programs	<input type="checkbox"/>	<input type="checkbox"/>
d.	Demonstration of aging management provided	<input type="checkbox"/>	<input type="checkbox"/>
2.	An evaluation of TLAAs is provided, and consists of:		
A.	Listing of plant-specific TLAAs in accordance with the six criteria specified in 10 CFR 54.3 [10 CFR 54.21(c)(1)]	<input type="checkbox"/>	<input type="checkbox"/>
B.	An evaluation of each identified TLAA using one of the three approaches specified in 10 CFR 54.21(c)(1)(i) to (iii)	<input type="checkbox"/>	<input type="checkbox"/>
3.	All plant-specific exemptions granted pursuant to 10 CFR 50.12 and in effect that are based on a TLAA are listed, and evaluations justifying the continuation of these exemptions for the period of extended operation are provided [10 CFR 54.21(c)(2)]	<input type="checkbox"/>	<input type="checkbox"/>
A.	Listing of plant-specific exemptions that are based on TLAAs as defined in 10 CFR 54.3 [10 CFR 54.21(c)(2)]	<input type="checkbox"/>	<input type="checkbox"/>
B.	An evaluation of each identified exemption justifying the continuation of these exemptions for the period of extended operation [10 CFR 54.21(c)(2)]	<input type="checkbox"/>	<input type="checkbox"/>
III.	An FSAR supplement [10 CFR 54.21(d)] is provided and contains the following information:		
1.	Summary description of the aging management programs and activities for managing the effects of aging	<input type="checkbox"/>	<input type="checkbox"/>
2.	Summary description of the evaluation of TLAAs	<input type="checkbox"/>	<input type="checkbox"/>

**Table 1.1-1. Acceptance Review Checklist for Docketing of
Timely and Sufficient Renewal Application (continued)**

	<u>Yes</u>	<u>No</u>
IV. Technical Specification Changes		
Any technical specification changes necessary to manage the aging effects during the period of extended operation and their justifications are included in the application [10 CFR 54.22]	<input type="checkbox"/>	<input type="checkbox"/>
V. Environmental Information		
Application includes a supplement to the environmental report that is in accordance with the requirements of Subpart A of 10 CFR Part 51 [10 CFR 54.23]	<input type="checkbox"/>	<input type="checkbox"/>
VI. Timeliness Provision		
The application is sufficient and submitted at least 5 years before expiration of current license [10 CFR 2.109(b)]. If not, application can be accepted for docketing, but the timely renewal provision in 10 CFR 2.109(b) does not apply	<input type="checkbox"/>	<input type="checkbox"/>