

April 12, 2001

MEMORANDUM TO: Glenn M. Tracy, Chief
Operator Licensing, Human Performance and
Plant Support Branch
Division of Inspection Program Management
Office of Nuclear Reactor Regulation

FROM: William M. Dean, Chief */RA/*
Inspection Program Branch
Division of Inspection Program Management
Office of Nuclear Reactor Regulation

SUBJECT: SUPPORT FOR REACTOR OVERSIGHT PROCESS SELF-
ASSESSMENT

In your December 21, 2000, response to my October 16, 2001, request, you agreed to perform an independent audit of green inspection findings in the four non-reactor safety cornerstones (Safeguards, Emergency Preparedness, Occupational and Public Radiation Safety) for the first three quarters of initial implementation. This information was provided in a timely manner. The December 21, 2001, memorandum also requested that you submit reports for each subsequent quarter and for future quarters, if practical, to support on-going assessment of ROP implementation. In addition, you are requested to expand your review to include all SDP characterizations of inspection findings (green, white, yellow, and red) in the four non-reactor safety cornerstone areas. The first audit report (due May 15, 2001) should cover the first full year of initial implementation for these additional items. Alan Madison of my staff has been in contact with members of your staff to discuss this request. In the future, if you determine that quarterly reports are too burdensome or the number of issues emerging are limited, less frequent formal reports may be adequate. However, it is our understanding that IOLB will be performing the audit reviews in an on-going manner throughout the year and will be able to provide informal interim status reports as necessary. We further request that IIPB be immediately notified of any identified problems or discrepancies in order to facilitate prompt dialog and resolution with the appropriate regional office.

Please be aware that we have determined that a calendar year oversight process cycle provides program support advantages and we will be shifting to such a schedule beginning January 2002. Consequently, any future annual reports will be required by February 15 each year beginning in CY2002. Also, please be aware that we have recently issued revised guidance regarding the criteria for documenting findings in Manual Chapter 0610, "Inspection Reports" which may impact your audit criteria.

Please contact Alan Madison at 301-415-1490 should you have any questions.

April 12, 2001

MEMORANDUM TO: Glenn M. Tracy, Chief
Operator Licensing, Human Performance and
Plant Support Branch
Division of Inspection Program Management
Office of Nuclear Reactor Regulation

FROM: William M. Dean, Chief */RA/*
Inspection Program Branch
Division of Inspection Program Management
Office of Nuclear Reactor Regulation

SUBJECT: SUPPORT FOR REACTOR OVERSIGHT PROCESS SELF-ASSESSMENT

In your December 21, 2000, response to my October 16, 2001, request, you agreed to perform an independent audit of green inspection findings in the four non-reactor safety cornerstones (Safeguards, Emergency Preparedness, Occupational and Public Radiation Safety) for the first three quarters of initial implementation. This information was provided in a timely manner. The December 21, 2001, memorandum also requested that you submit reports for each subsequent quarter and for future quarters, if practical, to support on-going assessment of ROP implementation. In addition, you are requested to expand your review to include all SDP characterizations of inspection findings (green, white, yellow, and red) in the four non-reactor safety cornerstone areas. The first audit report (due May 15, 2001) should cover the first full year of initial implementation for these additional items. Alan Madison of my staff has been in contact with members of your staff to discuss this request. In the future, if you determine that quarterly reports are too burdensome or the number of issues emerging are limited, less frequent formal reports may be adequate. However, it is our understanding that IOLB will be performing the audit reviews in an on-going manner throughout the year and will be able to provide informal interim status reports as necessary. We further request that IIPB be immediately notified of any identified problems or discrepancies in order to facilitate prompt dialog and resolution with the appropriate regional office.

Please be aware that we have determined that a calendar year oversight process cycle provides program support advantages and we will be shifting to such a schedule beginning January 2002. Consequently, any future annual reports will be required by February 15 each year beginning in CY2002. Also, please be aware that we have recently issued revised guidance regarding the criteria for documenting findings in Manual Chapter 0610, "Inspection Reports" which may impact your audit criteria.

Please contact Alan Madison at 301-415-1490 should you have any questions.

DISTRIBUTION:

ALMadison SRStein MSykes BBoger
KHGibson RLSullivan RLPedersen SRKlementowicz
VLOrdaz ANTardiff JEWiggington
RidsNrrDipmlipb RidsNrrDipmlolb

ACCESSION #: ML010810187

* See previous concurrence.

To receive a copy of this document, indicate in the box: "C" = Copy without enclosures "E" = Copy with enclosures "N" = No copy

OFFICE	IIPB:DIPM		IIPB:DIPM		IIPB:DIPM					
NAME	ALMadison*		MRJohnson*		WMDean					
DATE	03/22/01		04/09/01		04/12/01					

OFFICIAL RECORD COPY