ES-201	

## Examination Preparation Checklist

Form ES-201-1

Facility:	Vermont Jankee Date of Examination	n: <u>9/18/00</u>	
Examinat	tions Developed by: Facility (NRC circle one)		
Target Date*	Task Description / Reference	Chief Examiner's Initials	
-180	1. Examination administration date confirmed (C.1.a; C.2.a & b)	ØB-	
-120	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)	0B	
-120	3. Facility contact briefed on security & other requirements (C.2.c)	M3 5/17	
-120	4. Corporate notification letter sent (C.2.d)	013 5/18	
[-90]	[5. Reference material due (C.1.e; C.3.c)]	115-615	
-75	6. Integrated examination outline(s) due (C.1.e & f; C.3.d)	DB'	-
-70	<ol> <li>Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)</li> </ol>	AB NA.	
-45	8. Proposed examinations, supporting documentation, and reference materials due (C.1.e, f, g & h; C.3.d)	BB 417	-
-30	9. Preliminary license applications due (C.1.I; C.2.g; ES-202)	AB 8/17	
-14	10. Final license applications due and assignment sheet prepared (C.1.I; C.2.g; ES-202)	68 9/5	
-14	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	0/3 8/24	
-14	12. Examinations reviewed with facility licensee (C.1.j; C.2.f & h; C.3.g)	DB 8/29	
-7	13. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h)	PB 8/24:	9/1z
-7	14. Final applications reviewed; assignment sheet updated; waiver letters sent (C.2.g, ES-204)	0/ 9/12	/
-7	15. Proctoring/written exam administration guidelines reviewed with facility licensee and authorization granted to give written exams (if applicable) (C.3.k)	25 9/13	
-7	16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i)	B 9/13	
Th wit	rget dates are keyed to the examination date identified in the corporate not ey are for planning purposes and may be adjusted on a case-by-case basis h the facility licensee. plies only to examinations prepared by the NRC.		

### Examination Outline Quality Checklist

Facility:	Date of Examination:			
Item	Task Description		Initials	; [
		a	b*	c
1.	a. Verify that the outline(s) fit(s) the appropriate model per ES-401.	M	NA	10
W R	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D 1 of ES-401 and whether all knowledge and ability categories are appropriately sampled.	N		ß
T   T	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topica	Nº.		ſ,
E N	d. Assess whether the repetition from previous examination outenes is excessive.	Ň.		PD
2.	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, and major transients.	TF		ß
S I M	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity; ensure each applicant can be tasted using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants audit test(s)*, and scenarios will not be repeated over successive days.	TF		10
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	TF		13
3. W / T	<ul> <li>a. Verify that:</li> <li>(1) the outline(s) contain(s) the required number of control room and in-plant tasks,</li> <li>(2) no more than 30% of the test material is repeated from the last NRC examination,</li> <li>(3)* no tasks are duplicated from the applicants' audit test(s), and</li> <li>(4) no more than 80% of any operating test is taken directly from the licensee's exam banks.</li> </ul>	TF		ß
	<ul> <li>b. Verify that:</li> <li>(1) the tasks are distributed among the safety function groupings as specified in ES-301,</li> <li>(2) one task is conducted in a kw-power or shutchwn condition,</li> <li>(3) 40% of the tasks require the applicant to implement an alternate path procedure,</li> <li>(4) one in-plant task tests the applicant's response to an emergency or abnormal condition, and</li> <li>(5) the in-plant walk-through requires the applicant to enter the RCA.</li> </ul>	TF		(N)
	c. Verify that the required administrative topics are covered, with emphasis on performance-based activities.	TF		10
	d. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on successive days.	TF		13
4	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam section.			10
G E	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.			10
E	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.		<b>_</b>	1
R A	d. Check for duplication and overlap among exam sections.		1	0
L.	e. Check the entire exam for balance of coverage.		4	ĹŹ
	f. Assess whether the exam fits the appropriate job level (RO or SRO).		V,	12
a. Auth b. Facil	or Jotta Cause Amilian - 9/12/00 TOD FISH / Jond H. Villity Reviewer(*)		9/12 1 M	100
	f Examiner $\frac{1}{2} \frac{1}{2} \frac$		<b>Y // 2</b> G <sub>j</sub> ] )	Tac Da

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## **Operating Test Quality Checklist**

Form ES-301-3

			Initi
	1. GENERAL CRITERIA	a	b
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	TF	N
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	TF	1
с.	The operating test shall not duplicate items from the applicants' audit test(s)(see Section D.1.a).	TF	
d.	Overlap with the written examination and between operating test categories is within acceptable limits.	TF	
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	TF	
	2. WALK-THROUGH (CATEGORY A & B) CRITERIA	-	Π.
	<ul> <li>references and tools, including associated procedures</li> <li>validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee</li> <li>specific performance criteria that include: <ul> <li>detailed expected actions with exact criteria and nomenclature</li> <li>system response and other examiner cues</li> <li>statements describing important observations to be made by the applicant</li> <li>criteria for successful completion of the task</li> <li>identification of critical steps and their associated performance standards</li> <li>restrictions on the sequence of steps, if applicable</li> </ul> </li> </ul>	TF	
b	The prescripted questions in Category A are predominantly open reference and meet the criteria in Attachment 1 of ES-301.	TF	
с.	Repetition from operating tests used during the previous licensing examination is within acceptable limits (30% for the walk-through) and do not compromise test integrity.	TF	
d.	At least 20 percent of the JPMs on each test are new or significantly modified.	TF	
	3. SIMULATOR (CATEGORY C) CRITERIA		
a.	The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.	TF	
c. NRC	Printed Name / Signature or $TODD F(\leq H)$ Jodd H- $NA$ ity Reviewer(*) $NA$ Chief Examiner (*) $PAULBISSETT / DHS BUSSETT$ Supervisor (*) $R:T. Contended for the formula of the form$	<u>8</u> 8	2   2   2  2  2

Simulator Scenario Quality Checklist

Form ES-301-4

19 (N 19 (N

Facility	VERMONT VANKEE Date of Exam: 9/18-32/00 Scenario Numb	oers: 1 / 2 / 3 Oper	ating T	est No	).: <b> </b>				
	QUALITATIVE ATTRIBUTES			s					
			a	ь	c				
1.									
2.	service, but it does not cue the operators into expected events. The scenarios consist mostly of related events.	•	TF	NA	M				
3.	Each event description consists of the point in the scenario when it is to be initiated the malfunction(s) that are entered to initiate the event the symptoms/cues that will be visible to the crew the expected operator actions (by shift position) the event termination point (if applicable)		τF		b				
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated i without a credible preceding incident such as a seismic event.	nto the scenario	ĩF		12				
5.	The events are valid with regard to physics and thermodynamics.	<u></u>	TF		Ø				
6.	Sequencing and timing of events is reasonable, and allows the examination complete evaluation results commensurate with the scenario objectives.	team to obtain	TF		в				
7.	If time compression techniques are used, the scenario summary clearly so in have sufficient time to carry out expected activities without undue time const given.		TF		p				
8.	The simulator modeling is not altered.		TF		0				
9.	The scenarios have been validated. Any open simulator performance deficient evaluated to ensure that functional fidelity is maintained while running the platest statest state		TF		Ø				
10.	Every operator will be evaluated using at least one new or significantly modif other scenarios have been altered in accordance with Section D.4 of ES-301	ied scenario. All	TF		þ,				
11.	All individual operator competencies can be evaluated, as verified using For the form along with the simulator scenarios).	n ES-301-6 (submit	TF		M				
12.	Each applicant will be significantly involved in the minimum number of transi specified on Form ES-301-5 (submit the form with the simulator scenarios).	ents and events	τF		h				
13.	The level of difficulty is appropriate to support licensing decisions for each c	ew position.	TF	V	1/0				
TARG	ET QUANTITATIVE ATTRIBUTES (PER SCENARIO, SEE SECTION D.4.D)	Actual Attributes			-1.				
1.	Total malfunctions (5-8)	5 1614*	TF		M				
2.	Malfunctions after EOP entry (1-2)	1 1211	TF		M				
3.	Abnormal events (2-4)	2 1313	TF		12				
4.	Major transients (1-2)	1 / 1 / 1	TF		1 m				
5.	EOPs entered/requiring substantive actions (1-2)	2 12/1	TF		1×				
6.	EOP contingencies requiring substantive actions (0-2)	0 / [ / 0	٦F		1/2				
7.	Critical tasks (2-3)	3 1312	TF		1 TK				

\* Target attribute is it since scenario & does not have applicants in SRO pactition.

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### **Transient and Event Checklist**

Form ES-301-5

### OPERATING TEST NO.:

Applicant Type	Evolution Type	Minimum Number	S	cenario	o Numi	ber			
туре	туре	Number	1	2	3	4			
	Reactivity	1	2	ч	l				
	Normal	1	2	Ч	1				
RO	Instrument	2	3	t	3				
	Component	2	4	2,3	2				
	Major	1	5	5	4				
	Reactivity	.1	[ ]		1				
	Normal	0			NIA				
As RO	Instrument	1	NA	NA	3				
	Component	1			2				
	Major	1	/	/	4				
SRO-I									
	Reactivity	0	NA	NA					
	Normal	1	2	ч					
As SRO	Instrument	1	3	1	NA				
	Component	1	4	2,3	/				
	Major	1	5	5	/				
	Reactivity	0	1						
	Normal	1							
SRO-U	Instrument	1		NA					
	Component	1							
	Major	1							

Instructions: (1)

Chief Examiner:

(2)

Enter the operating test number and Form ES-D-1 event numbers for each evolution type. Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.4.d) but must be significant per Section C.2.a of Appendix D.

Author:

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**Competencies Checklist** 

Form ES-301-6

			<u>D-U</u>		SRO			RO	/SRC		RO-U
1		IARIO 3	4	1	SCEN 2	3	4	1	SCEI	<u>ан</u> і 3	4
1,3 4,5	1,2 3,5			1 4,5	1,2 3,4 5			113 4,5	1,2 3,5	牥	
1,3 4,5	1,2 3,5			1 4,5	(12 315			43 415	(2 3,5		
				1 4.5	1,2 3,5			1,3 4,5	1,23 4,5		
1,2 3,4,5	1,2 3,4,5			1,2 4,5	1,2 3,4 5						
1,2 3,4,5	1,1 3,4,5			4,2 4,5	1,2 3,45			1,2 3,4,5	112- 3,45		
1,2 3,4,5	1,2 3,45	-		1,2 4,5	1,2 314,5			ι, 2. 3,4,5	1,2 3,45		
(				ł	l			L	1		
	4,5 1,3 4,5 1,3 4,5 1,2 3,45 1,2 3,45 1,2 3,45	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	4,5 $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,45$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $1,2$ $1,1$ $3,4,5$ $3,4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$	4,5 $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,45$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $1,2$ $1,1$ $3,4,5$ $3,4,5$ $1,2$ $1,1$ $3,4,5$ $3,4,5$ $1,2$ $1,2$ $1,2$ $1,2$	4,5 $3,5$ $4,5$ $1,3$ $1,2$ $1$ $4,5$ $3,5$ $4,5$ $1,3$ $1,2$ $1$ $4,5$ $3,5$ $4,5$ $1,3$ $1,2$ $1$ $4,5$ $3,5$ $4,5$ $1,3$ $1,2$ $1$ $4,5$ $3,4,5$ $4,5$ $1,2$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $4,5$ $1,2$ $1,1$ $1,2$ $3,4,5$ $3,4,5$ $4,5$ $1,2$ $1,2$ $4,5$ $1,2$ $1,2$ $4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$	$4,5$ $3,5$ $4,5$ $3,\frac{4}{5}$ $1,3$ $1,2$ $1$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,4$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,2$ $4,5$ $3,45$ $1,2$ $1,2$ $4,5$ $3,45$ $1,2$ $1,2$ $4,5$ $3,45$ $1,5$ $3,45$ $4,5$ $3,45$	$4,5$ $3,5$ $4,5$ $3,\frac{4}{5}$ $1,3$ $1,2$ $1$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,15$ $1,2$ $1,1$ $4,5$ $3,15$ $1,2$ $1,1$ $4,5$ $3,15$ $1,2$ $1,1$ $4,5$ $3,145$ $1,2$ $1,2$ $4,5$ $3,145$ $1,2$ $1,2$ $4,5$ $3,145$ $1,2$ $1,5$ $4,5$ $3,145$ $1,2$ $1,5$ $1,5$ $1,5$ $1,3,4,5$ $3,4,5$ $4,5$ $3,4,5$	$4,5$ $3,5$ $4,5$ $3,\frac{4}{5}$ $1,3$ $1,2$ $1$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,5$ $1,2$ $1,2$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,1$ $4,5$ $3,45$ $1,2$ $1,2$ $1,2$ $1,3$ $3,4,5$ $3,45$ $4,5$ $3,4,5$ $1,3$ $1,5$ $1,5$ $1,5$ $1,3$ $1,5$ $1,5$ $1,5$	4,5 $3,5$ $4,5$ $3,4$ $4,5$ $1,3$ $1,2$ $1$ $1,2$ $1,3$ $1,3$ $4,5$ $3,5$ $4,5$ $3,5$ $4,5$ $4,5$ $1,3$ $1,2$ $1$ $1,12$ $1,3$ $4,5$ $1,3$ $1,2$ $1$ $1,12$ $1,3$ $4,5$ $1,3$ $1,2$ $1,2$ $1,3$ $4,5$ $4,5$ $1,3$ $1,2$ $1,2$ $1,3$ $4,5$ $4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $4,5$ $3,4$ $4,5$ $3,4$ $1,2$ $1,1$ $1,2$ $1,2$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $4,5$ $3,4,5$ $3,4,5$ $3,4,5$ $3,4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $4,5$ $3,4,5$ $7,4,5$ $7,4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1$	4,5 $3,5$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $1$ $1,2$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $1$ $1,2$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $1,12$ $1,3$ $1,2,3$ $4,5$ $4,5$ $1,2$ $1,12$ $1,2$ $1,2,3$ $4,5$ $4,5$ $4,5$ $1,2$ $1,2$ $1,2$ $1,2,3$ $4,5$ $4,5$ $4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2,3$ $1,1$ $1,2$ $1,2$ $3,4,5$ $3,4,5$ $4,5$ $3,4,5$ $4,5$ $3,4$	$4,5$ $3,5$ $4,5$ $3,\frac{4}{5}$ $4,5$ $3,5$ $1,3$ $1,2$ $1$ $1,2$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $3,5$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $4,5$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $4,5$ $1,3$ $1,2$ $4,5$ $3,5$ $4,5$ $4,5$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,1$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,1$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,2$ $1,5$ $1,5$ $1,5$ $1,5$ $1,2$ $1,2$ $1,5$ $1,5$ $1,5$ $1,5$ $1,5$

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

### Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author: Chief Examiner:

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### **Competencies Checklist**

			ant #) -I/SRC				cant # D-I/SF	12.5 10-U		•••	cant # )-I/SF	
Competencies		SCENARIO				SCE	VARI	0	SCENARIO /			
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	1.4 5	1,23 45			1,3 4,5		2,3 4					/
Diagnose Events and Conditions	1,4 5	1,2 3,5			1, 3 4,5		23 4					
Understand Plant and System Response	1,4 5	1,2 3,5			1.3 4.5		1,2 3,4					
Comply With and Use Procedures (1)	1,2 4,5	112,3 4,5			i,2,3 4,5		1,2 4			N	A	
Operate Control Boards (2)	1,2 4,5	1,2,3 4,5			1,2 3,4,5		1,4					
Communicate and Interact With the Crew	1,2 4,5	1,2 3,4,5			11,2 3,4,5		1,2 3,4			/		
Demonstrate Supervisory Ability (3)												
Comply With and Use Tech. Specs. (3)	l	l			1		2					
Notes:		-										
<ul><li>(1) Includes Technical Specification</li><li>(2) Optional for an SRO-U.</li></ul>	ication	comp	oliance	e for	an R(	Э.						

(3) Only applicable to SROs.

### Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author: Chief Examiner:

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**Competencies Checklist** 

Form ES-301-6

			ant #)				ant # -J/SF				ant # -I/SF	
Competencies		SCEN	ARIC	)		SCEN	JARI	<b>o</b>		SCEN	VARI	o/
	1	.2	3	4	1	2	3	4	1	2	3	/4
Understand and Interpret Annunciators and Alarms	1,3 4,5	1,2 3,5	2,3 4		113 415	1,73 5	2,3 4					
Diagnose Events and Conditions	1,3 4,5	1,2 3,5	2,3 4		43 4,5	1,2 3,5	2,3 4					
Understand Plant and System Response	1;2 3;4,5	1,2 7,4,5	3.4		42 3;45	1,2,3 4,5	3,4			N/	A	
Comply With and Use Procedures (1)	1,2 3,4,5	1,2 3,4,5	3,4		ir73 4,5	112 3,45	3,4					
Operate Control Boards (2)			1,3 4				1, 3 4					
Communicate and Interact With the Crew	1,2 3,4,5	1,2	1,2		112 2455	i,2 3,4,5	1,2 3,4			1		
Demonstrate Supervisory Ability (3)	1:12	1,1 3,4,5	5		1123 4.5	1,2,3 11,5						
Comply With and Use Tech. Specs. (3)	l	(	(		ł	1	1					
Notes:												

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

### Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

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Author: Chief Examiner:

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SLO SKAM Written Examination Quality Checklist

Form ES-401-7

Facility:	VERMONT	YANKEE	Date of Ex	xam: 9/00	) E	Exam Le	evel: RC	
							Initial	
		Item De	scription	<u> </u>		a	C	b
1.	Questions and an	swers technically a	accurate and applica	able to facility			12	NA
		renced for all ques g objectives refere				X	B	NA
	RO/SRO overlap per Section D.2.d		percent, and SRO	questions are	appropriate	X	B	<b>N</b> A
	<del>exams, quizzes, a</del>	and] the last two NF	i <del>cated from [practic</del> <del>RC licensing exams</del> <del>d questions at rig</del> ht		_ <del>Other</del>	Nh	NA	NA
	exam (if independ item that applies) the audit exam the audit exam the licensee co	lently written)] was and appears appro was systematicall	y and randomly dev fore the license exa no duplication, or	ted below (cf eloped; or	eck the	R	þ	NA
. '	percent from the b	imits (no more that bank, at least 10 pe fied); enter the actu it	ércent new,	nk Modifie		X	b	NA
4	the exam (includit written at the com	60 percent of the question of 10 new question prehension/analys uestion distribution	is level;	lemory 42	c/A 5 <b>8</b>	M	B	NA
7.	References/hand	outs provided do n	ot give away answe	rs	 		17	NA
8.			cific K/A statements tline; deviations are		<del>ution meet</del> s		B	NA
9.			ormat meet ES, App		elines	The second	12	NA
	The exam contain agrees with value		nultiple choice items	s; the total is (	correct and	Š	B	NA
c. NRC	y Reviewer(*) Chief Examiner(*) Regional Supervis	Sor(*) R J C		ne / Signature 4  G. BANN			Da 8// 8//	nte 17/00 7/00 7/00
	NRC reviews are # See special ins	required. structions (Section	not applicable for N E.2.c) for Items 1 <del>, 4</del> <del>y to NRC-prepared (</del>	, 5, and-68.		ns; two	indepen	dent

ES-401

# RO EXAM Written Examination Quality Checklist

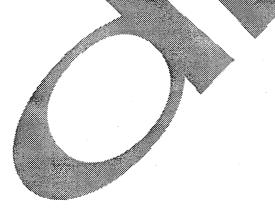
Form ES-401-7

Facility:	VERMONT YANKEE Date of Exam: 9/00	E	xam L	evel R	
				Initial	
	Item Description		а	C	br
1.	Questions and answers technically accurate and applicable to facility		X	13	NA
2.	a. NRC K/As referenced for all questions b. Facility learning objectives referenced as available		m	18	NA
3.	RO/SRO overlap is no more than 75 percent, and SRO questions are approp per Section D.2.d of ES-401	rtate	À	15	NA
4	No more than 25 questions are duplicated from [practice exams, quizzes, and] the last two NRC licensing exams enter the actual number of duplicated questions at right	her	NA	NA	MA
4.	[No (Less than 5 percent) Question duplication from the license screening au exam (if independently written)] was controlled as indicated below (check the item that applies) and appears appropriate: the audit exam was systematically and randomly developed; or the audit exam was completed before the license exam was started; or the licensee certifies that there is no duplication; or the license exam was prepared by the NRC		K	ß	NA
	and the rest modified); enter the actual question	lew ว	M	B	MA
	Between 50 and 60 percent of the questions on Memory C/A the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right 42 58		X	10	NA
7.	References/handouts provided do not give away answers		ħ	14	AIA
8.	Question content conforms with specific K/A statements in the distribution me previously approved examination outline; deviations are justified	ets	SI	B	NA
9.	Question psychometric quality and format meet ES, Appendix B, guidelines		N	10	NA
	The exam contains, 100, one-point, multiple choice items; the total is correct a agrees with value on cover sheet	ind	M	6	NA
c. NRC (	Printed Name / Signature <u>JCHV 6. OHAUSO</u> Jung Caune ty Reviewer(*) Chief Examiner(*) Regional Supervisor(*) <u>Regional Supervisor(*)</u> <u>PA intervisor(*)</u> <u>Regional Supervisor(*)</u> <u>PA intervisor(*)</u> <u>Regional Supervisor(*)</u> <u>PA intervisor(*)</u> <u>Regional Supervisor(*)</u> <u>Regional Supervisor(*)</u>	4	· · ·	Da <i>8//</i> 8/	te '7/00 []/00 []/00 []/00
:	<ul> <li>* The facility reviewer's signature is not applicable for NRC-developed exami NRC reviews are required.</li> <li># See special instructions (Section E.2.c) for Items 1,-4, 5, and-68.</li> <li>[-] The items in brackets do not apply to NRC-prepared examinations.</li> </ul>	nations	; two i	ndepend	Jent

## Post-Examination Check Sheet

Form ES-501-1

	Task Description	Date Complete
1.	Facility written exam comments or graded exams received and verified complete	10/2/00
2.	Facility written exam comments reviewed and incorporated and NRC grading completed, if necessary	10/4/00
3.	Operating tests graded by NRC examiners	9/25-28
4.	NRC Chief examiner review of written exam and operating test grading completed	10/4/00 10/11/00 10/11/00
5.	Responsible supervisor review completed	10/11/00
6.	Management (licensing official) review completed	10/11/00
7.	License and denial letters mailed	10/12/00
8.	Facility notified of results	10/11/00
9.	Examination report issued (refer to NRC MC 0610)	11/9/00
10.	Reference material returned after final resolution of any appeals	10/12/00



## Written Examination Grading Quality Checklist

Faci	Facility: Date of Exam:		Exam Level: RO/SRO				
			Initials				
	Item Description	· ·	а	b	с		
1.	Answer key changes and question deletions justified and documented			NA	B		
2.	Applicants' scores checked for addition (reviewers spot check > 25% of examin	R	1	B			
3.	Grading for all borderline cases (80% +/- 2%) reviewed in detail				NA		
4.	All other failing examinations checked to ensure that grades are justified				NA		
5.	Performance on missed questions checked for training – deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants			V	B		
	Printed Na	me / Signature		D	ate		
a. C	Grader <u>Hund Com</u>	_ / JOHN G. CAREUSO	_	10/4	1/00		
b. F	b. Facility Reviewer(*)						
c. NRC Chief Examiner (*) <u>P.H. T3155ETT / DH Bungar 10/4/00</u>							
d. N	d. NRC Supervisor (*) <u>Richard V. Carte / Rog Cart 10/10/00</u>						
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.							

**Examination Security Agreement** 

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Form ES-201-3

### 1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of  $D_{18}/D_{18}$  as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement examination security may have been compromised.

### 2. <u>Post-Examination</u>

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of <u>OLIS(CO</u>. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. TIM AUTREY 2. MIKE GOSEKAMP	INSTRUCTOR/NRC POINT OF CONTROL	aberty	7/3/00	1 Slat	9/25/00
3. TRANK FAGAN	MANAGER- OPS TRAINING	MA A	) 1/3/00	MAD	9/25/00
4. WILLIAM SCHULZE	INSTRUCTOR / VALIDATION	200 the	≈ 8/15/00 8.23-00	try fer	~ 9/25/00
5. STEVE APREA	SCRO (VALIDATION	Stable ()	9-1-00	show Junile	9-25.00
6. KEN OLIVER	SCRO/ VALIDATIONS	hower	2700/15/00	KOVIISI	<u>9-125/00</u> 9/85/00
7. NELL HALLONQUIST 8. FRAN TESSIER	ADMIN ASSISTANT	And Hallongmist	1/3/00	geora Hallonquis	+ 9/25/00
9. JOHN HUDACHEK	ADMIN ASSISTANT SIMULATOR SUPPORT	John Jessier	<u>7/3/05</u>	Z	9/25/00
10. RAY MEJANDRO	SIMULATOR SUPPORT	Vunde V. H. level	7/3/00-		lef /25/00
11. ALLEN THOMAS	SIMULATOR SUPPORT	Allen fifthere 1	<u> </u>	alle Hunte	- 9/2500
12. TOM HUDRETH 13. MARK KRUDER	JIMULATOR SUPPORT	Shomas Metatral	17/3/00	Thomas Stateper	- 4/25/00
14. MILE ROMED		Mar Kong	1/2/		9/25/2
15. AL SICIAK		A LANDS		the latton	9125/00
		- A actif	<u>, 9/1/æ</u>	2011	9/27/2)

#### NOTES:

NUREG-1021, Revision 8



**Examination Security Agreement** 

Form ES-201-3

### 1. <u>Pre-Examination</u>

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Duplicate - to replace lost original

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of <u>ORINE(CO</u> as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC.Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement examination security may have been compromised.

### 2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of \_\_\_\_\_\_\_. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	<b>ØATE NOTE</b>
	JIM SHEPHERD	CRO/ VALUATION	Server AMM	9/1/00	Reun Ann	9-25-00
	CHEIS WAMSER	OPS SUPERINTENDENT OBSERVENDEN	Chterus		Charlas	1-25-00
	KEVIN MURPHY	INSTRUCTOR ( VALLDATION		9/2100	Levin Mumply (putaleus	1-25-00
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13.						
14.						
15.				-		

NOTES:

NUREG-1021, Revision 8