

**PART 801**

**SECTION C**

**LICENSING OF RADIOACTIVE MATERIAL**

801.C.1 Purpose and Scope.

(a) This section of these regulations provides for the licensing of radioactive material. No person shall receive, possess, use, transfer, own or acquire radioactive material except as authorized in a specific or general license issued pursuant to this section or as otherwise provided in this section.

(b) In addition to the requirements of this section, all licensees are subject to the requirements of Sections A, D, J, and T of these regulations. Furthermore, licensees engaged in industrial radiographic operations are subject to the requirements of Section E of these regulations, licensees using radionuclides in the healing arts are subject to the requirements of Section G of these regulations, licensees engaged in the extraction, mining, beneficiating, processing, use, transfer, transport, storage, and/or disposal of naturally occurring radioactive materials (NORM) are subject to the requirements of Section N of these regulations, licensees authorizing the use of sealed sources containing radioactive materials in irradiators are subject to the requirements of Section Q of these regulations, and licensees engaged in wireline and subsurface tracer studies are subject to the requirements of Section W of these regulations.

**Exemptions from the Regulatory Requirements**

801.C.3 Source Material.

(a) Any person is exempt from this section to the extent that such person receives, possesses, uses, owns, or transfers source material in any chemical mixture, compound, solution, or alloy in which the source material is by weight less than 1/20 of 1 percent (0.05 percent) of the mixture, compound, solution, or alloy.

(b) Any person is exempt from this section to the extent that such person receives, possesses, uses, or transfers unrefined and unprocessed ore containing source material; provided that, except as authorized in a specific license, such person shall not refine or process such ore.

(c) Any person is exempt from this section to the extent that such person receives, possesses, uses, or transfers:

(1) any quantities of thorium contained in

(i) incandescent gas mantles,

(ii) vacuum tubes,

- (iii) welding rods,
  - (iv) electric lamps for illuminating purposes provided that each lamp does not contain more than 50 milligrams of thorium,
  - (v) germicidal lamps, sunlamps, and lamps for outdoor or industrial lighting provided that each lamp does not contain more than 2 grams of thorium,
  - (vi) rare earth metals and compounds, mixtures, and products containing not more than 0.25 percent by weight thorium, uranium, or any combination of these, or
  - (vii) personnel neutron dosimeters, provided that each dosimeter does not contain more than 50 milligrams of thorium;
- (2) source material contained in the following products:
- (i) glazed ceramic tableware, provided that the glaze contains not more than 20 percent by weight source material,
  - (ii) glassware containing not more than 10 percent by weight source material; but not including commercially manufactured glass brick, pane glass, ceramic tile, or other glass or ceramic used in construction,
  - (iii) glass enamel or glass enamel frit containing not more than 10 percent by weight source material imported or ordered for importation into the United States, or initially distributed by manufacturers in the United States, before July 25, 1983, or
  - (iv) piezoelectric ceramic containing not more than 2 percent by weight source material;
- (3) photographic film, negatives, and prints containing uranium or thorium;
- (4) any finished product or part fabricated of, or containing, tungsten-thorium or magnesium-thorium alloys, provided that the thorium content of the alloy does not exceed 4 percent by weight and that this exemption shall not be deemed to authorize the chemical, physical, or metallurgical treatment or processing of any such product or part;
- (5) uranium contained in counterweights installed in aircraft, rockets, projectiles, and missiles, or stored or handled in connection with installation or removal of such counterweights, provided that:
- (i) the counterweights are manufactured in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission, authorizing distribution by the licensee pursuant to 10 CFR Part 40,

- (ii) each counterweight has been impressed with the following legend clearly legible through any plating or other covering: "DEPLETED URANIUM",<sup>1/</sup>
  - (iii) each counterweight is durably and legibly labeled or marked with the identification of the manufacturer and the statement: "UNAUTHORIZED ALTERATIONS PROHIBITED",<sup>1/</sup> and
  - (iv) this exemption shall not be deemed to authorize the chemical, physical, or metallurgical treatment or processing of any such counterweights other than repair or restoration of any plating or other covering;
- (6) natural or depleted uranium metal used as shielding constituting part of any shipping container, provided that:
- (i) the shipping container is conspicuously and legibly impressed with the legend "CAUTION - RADIOACTIVE SHIELDING-URANIUM", and
  - (ii) the uranium metal is encased in mild steel or equally fire resistant metal of minimum wall thickness of 1/8 inch (3.2mm);
- (7) thorium contained in finished optical lenses, provided that each lens does not contain more than 30 percent by weight of thorium, and that this exemption shall not be deemed to authorize either:
- (i) the shaping, grinding, or polishing of such lens or manufacturing processes other than the assembly of such lens into optical systems and devices without any alteration of the lens, or
  - (ii) the receipt, possession, use, or transfer of thorium contained in contact lenses, or in spectacles, or in eyepieces in binoculars or other optical instruments;
- (8) uranium contained in detector heads for use in fire detection units, provided that each detector head contains not more than 0.005 microcurie of uranium; or
- (9) thorium contained in any finished aircraft engine part containing nickel-thoria alloy, provided that:

---

<sup>1/</sup>The requirements specified in 801.C.3(c)(5)(ii) and (iii) need not be met by counterweights manufactured prior to December 31, 1969; provided, that such counterweights are impressed with the legend. "CAUTION - RADIOACTIVE MATERIAL - URANIUM", as previously required by the regulations.

- (i) the thorium is dispersed in the nickel-thoria alloy in the form of finely divided thoria (thorium dioxide), and
  - (ii) the thorium content in the nickel-thoria alloy does not exceed 4 percent by weight.
- (d) The exemptions in 801 C.3(c) do not authorize the manufacture of any of the products described.

#### 801.C.4 Radioactive Material Other Than Source Material.

##### (a) Exempt Concentrations.

- (1) Except as provided in 801.C.4(a)(2), any person is exempt from this section to the extent that such person receives, possesses, uses, transfers, owns or acquires products containing radioactive material introduced in concentrations not in excess of those listed in Appendix A of this section.
- (2) No person may introduce radioactive material into a product or material knowing or having reason to believe that it will be transferred to persons exempt under 801.C.4(a)(1) or equivalent regulations of the U.S. Nuclear Regulatory Commission, any Agreement State or Licensing State, except in accordance with a specific license issued pursuant to 801.C.28(a) or the general license provided in 801.C.90.

##### (b) Exempt Quantities.

- (1) Except as provided in 801.C.4(b)(3) and (4), any person is exempt from these regulations to the extent that such person receives, possesses, uses, transfers, owns, or acquires radioactive material in individual quantities each of which does not exceed the applicable quantity set forth in Appendix B of this section.
- (2) Any person who possesses radioactive material received or acquired under the general license formerly provided in 801.C.22(b) is exempt from the requirements for a license set forth in this section to the extent that such person possesses, uses, transfers or owns such radioactive material. Such exemption does not apply for radium-226.
- (3) This paragraph 801.C.4(b) does not authorize the production, packaging or repackaging of radioactive material for purposes of commercial distribution, or the incorporation of radioactive material into products intended for commercial distribution.
- (4) No person may, for purposes of commercial distribution, transfer radioactive material in the individual quantities set forth in Appendix B of this section, knowing or having reason to believe that such quantities of radioactive material will be transferred to persons exempt under 801.C.4(b) or equivalent regulations of the U.S. Nuclear Regulatory Commission, any

Agreement State or Licensing State, except in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission pursuant to Section 32.18 of 10 CFR Part 32 or by the Agency pursuant to C.28(b) which license states that the radioactive material may be transferred by the persons exempt under 801.C.4(b) or the equivalent regulations of the U.S. Nuclear Regulatory Commission, an Agreement State, or Licensing State.

(c) Exempt Items.

(1) Certain Items Containing Radioactive Material. Except for persons who apply radioactive material to, or persons who incorporate radioactive material into the following products, any person is exempt from these regulations to the extent that he receives, possesses, uses, transfers, owns, or acquires the following products:<sup>2/</sup>

- (i) Timepieces or hands or dials containing not more than the following specified quantities of radioactive material and not exceeding the following specified radiation dose rate:
  - (a) 925 MBq (25 millicuries) of tritium per timepiece.
  - (b) 185 MBq (5 millicuries) of tritium per hand.
  - (c) 555 MBq (15 millicuries) of tritium per dial (bezels when used shall be considered as part of the dial).
  - (d) 3.7 MBq (100 microcuries) of promethium-147 per watch or 7.4 MBq (200 microcuries) of promethium-147 per any other timepiece.
  - (e) 0.74 MBq (20 microcuries) of promethium-147 per watch hand or 1.48 MBq (40 microcuries) of promethium-147 per other timepiece hand.
  - (f) 2.22 MBq (60 microcuries) of promethium-147 per watch dial or 4.44 MBq (120 microcuries) of promethium-147 per other timepiece dial (bezels when used shall be considered as part of the dial).
  - (g) The radiation dose rate from hands and dials containing promethium-147 will not exceed, when measured through 50 milligrams per square centimeter of absorber:

---

<sup>2/</sup>Authority to transfer possession or control by the manufacturer, processor, or producer of any equipment, device, commodity, or other product containing byproduct material whose subsequent possession, use, transfer, and disposal by all other persons are exempted from regulatory requirements may be obtained only from the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555.

- (1) For wrist watches, 1 uGy (0.1 millirad) per hour at 10 centimeters from any surface.
  - (2) For pocket watches, 1 uGy (0.1 millirad) per hour at 1 centimeter from any surface.
  - (3) For any other timepiece, 2 uGy (0.2 millirad) per hour at 10 centimeters from any surface.
- (h) Thirty-seven kilo-becquerels (1 microcurie) of radium-226 per timepiece in timepieces acquired prior to May 9, 1986.
- (ii) Lock illuminators containing not more than 555 MBq (15 millicuries) of tritium or not more than 74 MBq (2 millicuries) of promethium-147 installed in automobile locks. The radiation dose rate from each lock illuminator containing promethium-147 will not exceed 10 uGy (1 millirad) per hour at 1 centimeter from any surface when measured through 50 milligrams per square centimeter of absorber.
  - (iii) Precision balances containing not more than 37 MBq (1 millicurie) of tritium per balance or not more than 18.5 MBq (0.5 millicurie) of tritium per balance part.
  - (iv) Automobile shift quadrants containing not more than 925 MBq (25 millicuries) of tritium.
  - (v) Marine compasses containing not more than 27.8 GBq (750 millicuries) of tritium gas and other marine navigational instruments containing not more than 9.25 GBq (250 millicuries) of tritium gas.
  - (vi) Thermostat dials and pointers containing not more than 925 MBq (25 millicuries) of tritium per thermostat.
  - (vii) Electron tubes; provided, that each tube does not contain more than one of the following specified quantities of radioactive material:
    - (a) 5.55 GBq (150 millicuries) of tritium per microwave receiver protector tube or 370 MBq (10 millicuries) of tritium per any other electron tube.
    - (b) 37 kBq (1 microcurie) of cobalt-60.
    - (c) 185 kBq (5 microcuries) of nickel-63.

- (d) 1.11 MBq (30 microcuries) of krypton-85.
- (e) 185 kBq (5 microcuries) of cesium-137.
- (f) 1.11 MBq (30 microcuries) of promethium-147.

And provided further, that the radiation dose rate from each electron tube containing radioactive material will not exceed 10 uGy (1 millirad) per hour at 1 centimeter from any surface when measured through 7 milligrams per square centimeter of absorber<sup>3/</sup>

(viii) Ionizing radiation measuring instruments containing, for purposes of internal calibration or standardization, one or more sources of radioactive material, provided that:

- (a) Each source contains no more than one exempt quantity set forth in Appendix B of this section, and
- (b) Each instrument contains no more than 10 exempt quantities. For purposes of this requirement, an instrument's source(s) may contain either one or different types of radionuclides and an individual exempt quantity may be composed of fractional parts of one or more of the exempt quantities in Appendix B of this section, provided that the sum of such fractions shall not exceed unity.
- (c) For americium-241, 1.85 kBq (0.05 microcurie) is considered an exempt quantity under 801.C.4(c)(1)(viii).

(ix) Spark gap irradiators containing not more than 37 kBq (1 microcurie) of cobalt-60 per spark gap irradiator for use in electrically ignited fuel oil burners having a firing rate of at least 3 gallons (11.4 l) per hour.

## (2) Self-Luminous Products Containing Radioactive Material.

- (i) Tritium, Krypton-85, or Promethium-147. Except for persons who manufacture, process, or produce self-luminous products containing tritium, krypton-85, or promethium-147, any person is exempt from these regulations to the extent that such person receives, possesses, uses, transfers, owns, or acquires tritium, krypton-85 or promethium-147 in self-luminous products manufactured, processed,

---

<sup>3</sup>For purposes of 801.C.4(c)(1)(vii), "electron tubes" include spark gap tubes, power tubes, gas tubes, including glow lamps, receiving tubes, microwave tubes, indicator tubes, pick-up tubes, radiation detection tubes, and any other completely sealed tube that is designed to conduct or control electrical currents.

produced, imported, or transferred in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission pursuant to Section 32.22 of 10 CFR Part 32, which license authorizes the transfer of the product to persons who are exempt from regulatory requirements. The exemption in 801.C.4(c)(2) does not apply to tritium, krypton-85, or promethium-147 used in products primarily for frivolous purposes or in toys or adornments.

- (ii) Radium-226. Any person is exempt from these regulations to the extent that such person receives, possesses, uses, transfers, or owns articles containing less than 3.7 kBq (0.1 microcurie) of radium-226 which were acquired prior to May 9, 1986.

(3) Gas and Aerosol Detectors Containing Radioactive Material.

- (i) Except for persons who manufacture, process, or produce gas and aerosol detectors containing radioactive material, any person is exempt from these regulations to the extent that such person receives, possesses, uses, transfers, owns, or acquires radioactive material in gas and aerosol detectors designed to protect life or property from fires and airborne hazards provided that detectors containing radioactive material shall have been manufactured, imported, or transferred in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission<sup>4</sup> pursuant to Section 32.26 of 10 CFR Part 32; or a Licensing State pursuant to 801.C.28(c) which authorizes the transfer of the detectors to persons who are exempt from regulatory requirements.
- (ii) Gas and aerosol detectors previously manufactured and distributed to general licensees in accordance with a specific license issued by an Agreement State shall be considered exempt under 801.C.4(c)(3)(i), provided that the device is labeled in accordance with the specific license authorizing distribution of the generally licensed device, and provided further that they meet the requirements of 801.C.28(c).
- (iii) Gas and aerosol detectors containing NARM previously manufactured and distributed in accordance with a specific license issued by a Licensing State shall be considered exempt under 801.C.4(c)(3)(i), provided that the device is labeled in accordance with the specific license authorizing distribution, and provided further that they meet the requirements of 801.C.28(c).

---

<sup>4</sup> Authority to transfer possession or control by the manufacturer, processor, or producer of any equipment, device, commodity, or other product containing byproduct material whose subsequent possession, use, transfer, and disposal by all other persons are exempted from regulatory requirements may be obtained only from the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555.



(4) Resins Containing Scandium-46 and Designed for Sand Consolidation in Oil Wells. Any person is exempt from these regulations to the extent that such person receives, possesses, uses, transfers, owns or acquires synthetic plastic resins containing scandium-46 which are designed for sand consolidation in oil wells. Such resins shall have been manufactured or imported in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission, or shall have been manufactured in accordance with the specifications contained in a specific license issued by the Agency or any Agreement State to the manufacturer of such resins pursuant to licensing requirements equivalent to those in Sections 32.16 and 32.17 of 10 CFR Part 32 of the regulations of the U.S. Nuclear Regulatory Commission. This exemption does not authorize the manufacture of any resins containing scandium-46.

(d) Radioactive Drug: Capsules Containing Carbon-14 Urea for “in vivo” Diagnostic Use for Humans.

(1) Except as provided in 801.C.4(d)(2) and (3) of this section, any person is exempt from the requirements for a license set forth in these regulations provided that such person receives, possesses, uses, transfers, owns, or acquires capsules containing 37 kBq (1 $\mu$ Ci) carbon-14 urea each (allowing for nominal variation that may occur during the manufacturing process), for “in vivo” diagnostic use for humans.

(2) Any person who desires to use the capsules for research involving human subjects shall apply for and receive a specific license as specified in these regulations.

(3) Any person who desires to manufacture, prepare, process, produce, package, repackage, or transfer for commercial distribution such capsules shall apply for and receive a specific license as specified in 10 CFR Part 32, Sec.32.21.

(4) Nothing in this section relieves persons from complying with applicable FDA, other Federal, and State requirements governing receipt, administration, and use of drugs.

## **Licenses**

801.C.20 Types of Licenses. Licenses for radioactive materials are of two types: general and specific.

(a) General licenses provided in this section are effective without the filing of applications with the Agency or the issuance of licensing documents to the particular persons, although the filing of a certificate with the Agency may be required by the particular general license. The general licensee is subject to all other applicable portions of these regulations and any limitations of the general license.

(b) Specific licenses require the submission of an application to the Agency and the issuance of a licensing document by the Agency. The licensee is subject to all applicable portions of these regulations as well as any limitations specified in the licensing document.

## General Licenses

### 801.C.21 General Licenses - Source Material.

(a) A general license is hereby issued authorizing commercial and industrial firms, research, educational and medical institutions, and State and local government agencies to use and transfer not more than 15 pounds (6.82 kg) of source material at any one time for research, development, educational, commercial, or operational purposes. A person authorized to use or transfer source material, pursuant to this general license, may not receive more than a total of 150 pounds (68.2 kg) of source material in any one calendar year.

(b) Persons who receive, possess, use, or transfer source material pursuant to the general license issued in 801.C.21(a) are exempt from the provisions of Sections D and J of these regulations to the extent that such receipt, possession, use, or transfer is within the terms of such general license; provided, however, that this exemption shall not be deemed to apply to any such person who is also in possession of source material under a specific license issued pursuant to this section.

(c) Persons who receive, possess, use, or transfer source material pursuant to the general license in 801.C.21(a) are prohibited from administering source material, or the radiation therefrom, either externally or internally, to human beings except as may be authorized by the Agency in a specific license.

(d) A general license is hereby issued authorizing the receipt of title to source material without regard to quantity. This general license does not authorize any person to receive, possess, use, or transfer source material.

### (e) Depleted Uranium in Industrial Products and Devices.

(1) A general license is hereby issued to receive, acquire, possess, use, or transfer, in accordance with the provisions of 801.C.21(e)(2), (3), (4), and (5), depleted uranium contained in industrial products or devices for the purpose of providing a concentrated mass in a small volume of the product or device.

(2) The general license in 801.C.21(e)(1) applies only to industrial products or devices which have been manufactured either in accordance with a specific license issued to the manufacturer of the products or devices pursuant to 801.C.28(m) or in accordance with a specific license issued to the manufacturer by the U.S. Nuclear Regulatory Commission or an Agreement State which authorizes manufacture of the products or devices for distribution to persons generally licensed by the U.S. Nuclear Regulatory Commission or an Agreement State.

- (3) (i) Persons who receive, acquire, possess, or use depleted uranium pursuant to the general license established by 801.C.21(e)(1) shall file Agency Form RH-15 "Registration Certificate - Use of Depleted Uranium Under General License," with the Agency. The form shall be submitted within 30 days after the first receipt or acquisition of such depleted uranium. The general licensee shall furnish on Agency Form RH-15 the following information and such other information as may be required by that form:
- (a) name and address of the general licensee;
  - (b) a statement that the general licensee has developed and will maintain procedures designed to establish physical control over the depleted uranium described in 801.C.21(e)(1) and designed to prevent transfer of such depleted uranium in any form, including metal scrap, to persons not authorized to receive the depleted uranium; and
  - (c) name, title, address, and telephone number of the individual duly authorized to act for and on behalf of the general licensee in supervising the procedures identified in 801.C.21(e)(3)(i)(b).
- (ii) The general licensee possessing or using depleted uranium under the general license established by 801.C.21(e)(1) shall report in writing to the Agency any changes in information furnished by him in Agency Form RH-15 "Registration Certificate - Use of Depleted Uranium Under General License." The report shall be submitted within 30 days after the effective date of such change.
- (4) A person who receives, acquires, possesses, or uses depleted uranium pursuant to the general license established by 801.C.21(e)(1):
- (i) shall not introduce such depleted uranium, in any form, into a chemical, physical, or metallurgical treatment or process, except a treatment or process for repair or restoration of any plating or other covering of the depleted uranium;
  - (ii) shall not abandon such depleted uranium;
  - (iii) shall transfer or dispose of such depleted uranium only by transfer in accordance with the provisions of 801.C.40. In the case where the transferee receives the depleted uranium pursuant to the general license established by 801.C.21(e)(1), the transferor shall furnish the transferee a copy of this regulation and a copy of Agency Form RH-15. In the case where the transferee receives the depleted uranium

pursuant to a general license contained in the U.S. Nuclear Regulatory Commission's or Agreement State's regulation equivalent to 801.C.21(e)(1), the transferor shall furnish the transferee a copy of this regulation and a copy of Agency Form RH-15 accompanied by a note explaining that use of the product or device is regulated by the U.S. Nuclear Regulatory Commission or Agreement State under requirements substantially the same as those in this regulation;

(iv) within 30 days of any transfer, shall report in writing to the Agency the name and address of the person receiving the depleted uranium pursuant to such transfer; and

(v) shall not export such depleted uranium except in accordance with a license issued by the U.S. Nuclear Regulatory Commission pursuant to 10 CFR Part 110.

(5) Any person receiving, acquiring, possessing, using, or transferring depleted uranium pursuant to the general license established by 801.C.21 (e)(1) is exempt from the requirements of Sections D and J of these regulations with respect to the depleted uranium covered by that general license.

#### 801.C.22 General Licenses - Radioactive Material Other Than Source Material.

(a) Certain Devices and Equipment. A general license is hereby issued to transfer, receive, acquire, own, possess, and use radioactive material incorporated in the following devices or equipment which have been manufactured, tested and labeled by the manufacturer in accordance with a specific license issued to the manufacturer by the U.S. Nuclear Regulatory Commission for use pursuant to Section 31.3 of 10 CFR Part 31. This general license is subject to the provisions of 801.A.4 through 801.A.10, 801.C.4(a)(2), 801.C.31, 801.C.40, 801.C.50, and Sections D<sup>5</sup> and T of these regulations.

(1) Static Elimination Device. Devices designed for use as static eliminators which contain, as a sealed source or sources, radioactive material consisting of a total of not more than 18.5 MBq (500 microcuries) of polonium-210 per device.

(2) Ion Generating Tube. Devices designed for ionization of air which contain, as a sealed source or sources, radioactive material consisting of a total of not more than 18.5 MBq (500 microcuries) of polonium-210 per device or a total of not more than 1.85 GBq (50 millicuries) of hydrogen-3 (tritium) per device.

(b) Reserved.

(c) Reserved.

---

<sup>5</sup>Attention is directed particularly to the provisions of Section D of these regulations which relate to the labeling of containers.

(d) Certain Measuring, Gauging or Controlling Devices.

(1) A general license is hereby issued to commercial and industrial firms and to research, educational and medical institutions, individuals in the conduct of their business, and State or local government agencies to own, receive, acquire, possess, use or transfer in accordance with the provisions of 801.C.22(d)(2), (3), and (4), radioactive material, excluding special nuclear material, contained in devices designed and manufactured for the purpose of detecting, measuring, gauging or controlling thickness, density, level, interface location, radiation, leakage, or qualitative or quantitative chemical composition, or for producing light or an ionized atmosphere.

(2) The general license in 801.C.22(d)(1) applies only to radioactive material contained in devices which have been manufactured and labeled in accordance with the specifications contained in a specific license issued by the Agency pursuant to 801.C.28(d) or in accordance with the specifications contained in a specific license issued by the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State, which authorizes distribution of devices to persons generally licensed by the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State.<sup>6/</sup>

(3) Any person who owns, receives, acquires, possesses, uses, or transfers radioactive material in a device pursuant to the general license in 801.C.22(d)(1):

- (i) shall assure that all labels affixed to the device at the time of receipt, and bearing a statement that removal of the label is prohibited, are maintained thereon and shall comply with all instructions and precautions provided by such labels;
- (ii) shall assure that the device is tested for leakage of radioactive material and proper operation of the "on-off" mechanism and indicator, if any, at no longer than 6-month intervals or at such other intervals as are specified in the label, however,
  - (a) devices containing only krypton need not be tested for leakage of radioactive material, and
  - (b) devices containing only tritium or not more than 3.7 MBq (100 microcuries) of other beta- and/or gamma-emitting material or 0.37 MBq (10 microcuries) of alpha-emitting material and devices held in storage in the original shipping container prior to initial installation need not be tested for any purpose;
- (iii) shall assure that other testing, installation, servicing, and removal from installation involving the radioactive material, its shielding or containment, are performed:

---

<sup>6/</sup>Regulations under the Federal Food, Drug, and Cosmetic Act authorizing the use of radioactive control devices in food production require certain additional labeling thereon which is found in 21 CFR 179.21.

- (a) in accordance with the instructions provided by the labels, or
  - (b) by a person holding an applicable specific license from the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State to perform such activities;
- (iv) shall maintain records showing compliance with the requirements of 801.C.22(d)(3)(ii) and (iii). The records shall show the results of tests. The records also shall show the dates of performance of, and the names of persons performing, testing, installation, servicing, and removal from installation concerning the radioactive material, its shielding or containment. Records of tests for leakage of radioactive material required by 801.C.22(d)(3)(ii) shall be maintained for 3 years after the next required leak test is performed or until the sealed source is transferred or disposed of. Records of tests of the "on-off" mechanism and indicator required by 801.C.22(d)(3)(ii) shall be maintained for 3 years after the next required test of the "on-off" mechanism and indicator is performed or until the sealed source is transferred or disposed of. Records which are required by 801.C.22(d)(3)(iii) shall be maintained for a period of 3 years from the date of the recorded event or until the device is transferred or disposed of;
- (v) Upon the occurrence of a failure of or damage to, or any indication of a possible failure of or damage to the shielding of the radioactive material or the "on-off" mechanism or indicator, or upon the detection of 1.85 Bq (0.005 microcurie) or more removable radioactive material, shall immediately suspend operation of the device until it has been repaired by the manufacturer or other person holding an applicable specific license from the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State to repair such devices, or disposed of by transfer to a person authorized by an applicable specific license to receive the radioactive material contained in the device and, within 30 days, furnish to the Agency a report containing a brief description of the event and the remedial action taken;
- (vi) shall not abandon the device containing radioactive material;
- (vii) except as provided in 801.C.22(d)(3)(viii), shall transfer or dispose of the device containing radioactive material only by transfer to a specific licensee of the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State whose specific license authorizes him to receive the device and within 30 days after transfer of a device to a specific licensee shall furnish to the Agency a report containing identification of the device by manufacturer's name and model number and the name and address of the person receiving the device. No report is required if the device is transferred to the specific licensee in order to obtain a replacement device;
- (viii) shall transfer the device to another general licensee only;

- (a) where the device remains in use at a particular location. In such case the transferor shall give the transferee a copy of this regulation and any safety documents identified in the label on the device and within 30 days of the transfer, report to the Agency the manufacturer's name and model number of device transferred, the name and address of the transferee, and the name and/or position of an individual who may constitute a point of contact between the Agency and the transferee; or
  - (b) where the device is held in storage in the original shipping container at its intended location of use prior to initial use by a general licensee; and
- (ix) shall comply with the provisions of 801.D.1201 and 801.D.1202 of these regulations for reporting radiation incidents, theft, or loss of licensed material, but shall be exempt from the other requirements of Sections D and J of these regulations.
- (4) The general license in 801.C.22(d)(1) does not authorize the manufacture of devices containing radioactive material.
- (5) The general license provided in 801.C.22(d)(1) is subject to the provisions of 801.A.4 through 801.A.10, 801.C.31, 801.C.40, 801.C.50, and Section T of these regulations.
- (e) Luminous Safety Devices for Aircraft.
  - (1) A general license is hereby issued to own, receive, acquire, possess, and use tritium or promethium-147 contained in luminous safety devices for use in aircraft, provided:
    - (i) each device contains not more than 370 GBq (10 curies) of tritium or 11.1 GBq (300 millicuries) of promethium-147; and
    - (ii) each device has been manufactured, assembled or imported in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission, or each device has been manufactured or assembled in accordance with the specifications contained in a specific license issued by the Agency or any Agreement State to the manufacturer or assembler of such device pursuant to licensing requirements equivalent to those in Section 32.53 of 10 CFR Part 32.
  - (2) Persons who own, receive, acquire, possess, or use luminous safety devices pursuant to the general license in 801.C.22(e)(1) are exempt from the requirements of Sections D and J of these regulations except that they shall comply with the provisions of 801.D.1201 and 801.D.1202.
  - (3) This general license does not authorize the manufacture, assembly, or repair of luminous safety devices containing tritium or promethium-147.
  - (4) This general license does not authorize the ownership, receipt, acquisition, possession or use of promethium-147 contained in instrument dials.

(5) This general license is subject to the provisions of 801.A.4 through 801.A.10, 801.C.31, 801.C.40, 801.C.50, and Section T of these regulations.

(f) Ownership of Radioactive Material. A general license is hereby issued to own radioactive material without regard to quantity. Notwithstanding any other provisions of this section, this general license does not authorize the manufacture, production, transfer, receipt, possession or use of radioactive material.

(g) Calibration and Reference Sources.

(1) A general license is hereby issued to those persons listed below to own, receive, acquire, possess, use, and transfer, in accordance with the provisions of 801.C.22(g)(4) and (5), americium-241 in the form of calibration or reference sources:

- (i) any person who holds a specific license issued by the Agency which authorizes him to receive, possess, use, and transfer radioactive material; and
- (ii) any person who holds a specific license issued by the U.S. Nuclear Regulatory Commission which authorizes him to receive, possess, use, and transfer special nuclear material.

(2) A general license is hereby issued to own, receive, possess, use, and transfer plutonium in the form of calibration or reference sources in accordance with the provisions of 801.C.22(g)(4) and (5) to any person who holds a specific license issued by the Agency which authorizes him to receive, possess, use, and transfer radioactive material.

(3) A general license is hereby issued to own, receive, possess, use, and transfer radium-226 in the form of calibration or reference sources in accordance with the provisions of 801.C.22(g)(4) and (5) to any person who holds a specific license issued by the Agency which authorizes him to receive, possess, use, and transfer radioactive material.

(4) The general licenses in 801.C.22(g)(1), (2) and (3) apply only to calibration or reference sources which have been manufactured in accordance with the specifications contained in a specific license issued to the manufacturer or importer of the sources by the U.S. Nuclear Regulatory Commission pursuant to Section 32.57 of 10 CFR Part 32 or Section 70.39 of 10 CFR Part 70 or which have been manufactured in accordance with the specifications contained in a specific license issued to the manufacturer by the Agency, any Agreement State or Licensing State pursuant to licensing requirements equivalent to those contained in Section 32.57 of 10 CFR Part 32 or Section 70.39 of 10 CFR Part 70.

(5) The general licenses provided in 801.C.22(g)(1), (2), and (3) are subject to the provisions of 801.A.4 through 801.A.10, 801.C.31, 801.C.40, 801.C.50, and Sections D, J, and T of these regulations. In addition, persons who own, receive, acquire, possess, use, or transfer one or more calibration or reference sources pursuant to these general licenses:



- (i) shall not possess at any one time, at any one location of storage or use, more than 185 kBq (5 microcuries) of americium-241, 185 kBq (5 microcuries) of plutonium, or 185 kBq (5 microcuries) of radium-226 in such sources;
- (ii) shall not receive, possess, use, or transfer such source unless the source, or the storage container, bears a label which includes one of the following statements, as appropriate, or a substantially similar statement which contains the information called for in one of the following statements, as appropriate:
  - (a) The receipt, possession, use and transfer of this source, Model \_\_\_\_\_, Serial No. \_\_\_\_\_, are subject to a general license and the regulations of the U.S. Nuclear Regulatory Commission or of a State with which the Commission has entered into an agreement for the exercise of regulatory authority. Do not remove this label.

CAUTION - RADIOACTIVE MATERIAL - THIS SOURCE CONTAINS (AMERICIUM-241). (PLUTONIUM)<sup>7</sup> DO NOT TOUCH RADIOACTIVE PORTION OF THIS SOURCE.

\_\_\_\_\_  
Name of manufacturer or importer

- (b) The receipt, possession, use and transfer of this source, Model \_\_\_\_\_, Serial No. \_\_\_\_\_, are subject to a general license and the regulations of a Licensing State. Do not remove this label.

CAUTION - RADIOACTIVE MATERIAL - THIS SOURCE CONTAINS RADIUM-226. DO NOT TOUCH RADIOACTIVE PORTION OF THIS SOURCE.

\_\_\_\_\_  
Name of manufacturer or importer

- (iii) shall not transfer, abandon, or dispose of such source except by transfer to a person authorized by a license from the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State to receive the source;
- (iv) shall store such source, except when the source is being used, in a closed container adequately designed and constructed to contain americium-241, plutonium, or radium-226 which might otherwise escape during storage; and
- (v) shall not use such source for any purpose other than the calibration of radiation detectors or the standardization of other sources.

---

<sup>7</sup>Showing only the name of the appropriate material.

(6) These general licenses do not authorize the manufacture of calibration or reference sources containing americium-241, plutonium, or radium-226.

(h) Reserved.

(i) General License for Use of Radioactive Material for Certain In Vitro Clinical or Laboratory Testing.<sup>8/</sup>

(1) A general license is hereby issued to any physician, veterinarian, clinical laboratory or hospital to receive, acquire, possess, transfer or use, for any of the following stated tests, in accordance with the provisions of 801.C.22(i)(2), (3), (4), (5), and (6), the following radioactive materials in prepackaged units for use in in vitro clinical or laboratory tests not involving internal or external administration of radioactive material, or the radiation therefrom, to human beings or animals:

(i) Carbon-14, in units not exceeding 370 kBq (10 microcuries) each.

(ii) Cobalt-57, in units not exceeding 370 kBq (10 microcuries) each.

(iii) Hydrogen-3 (tritium), in units not exceeding 1.85 MBq (50 microcuries) each.

(iv) Iodine-125, in units not exceeding 370 kBq (10 microcuries) each.

(v) Mock Iodine-125 reference or calibration sources, in units not exceeding 1.85 Bq (0.05 microcurie) of iodine-129 and 1.85 Bq (0.05 microcurie) of americium-241 each.

(vi) Iodine-131, in units not exceeding 370 kBq (10 microcuries) each.

(vii) Iron-59, in units not exceeding 740 kBq (20 microcuries) each.

(viii) Selenium-75, in units not exceeding 370 kBq (10 microcuries) each.

(2) No person shall receive, acquire, possess, use or transfer radioactive material pursuant to the general license established by 801.C.22(i)(1) until that person has filed Agency Form RH-12, "Registration Certificate - In Vitro Testing with Radioactive Material Under General License", with the Agency and received from the Agency a validated copy of Agency Form RH-12 with certification number assigned. The physician, veterinarian, clinical laboratory or hospital shall furnish on Agency Form RH-12 the following information and such other information as may be required by that form:

(i) Name and address of the physician, veterinarian, clinical laboratory or hospital;

---

<sup>8/</sup>The New Drug provisions of the Federal Food, Drug, and Cosmetic Act also govern the availability and use of any specific diagnostic drugs in interstate commerce.

- (ii) the location of use; and
  - (iii) a statement that the physician, veterinarian, clinical laboratory or hospital has appropriate radiation measuring instruments to carry out in vitro clinical or laboratory tests with radioactive material as authorized under the general license in 801.C.22(i)(1) and that such tests will be performed only by personnel competent in the use of such instruments and in the handling of the radioactive material.
- (3) A person who receives, acquires, possesses or uses radioactive material pursuant to the general license established by 801.C.22(i)(1) shall comply with the following:
- (i) The general licensee shall not possess at any one time, pursuant to the general license in 801.C.22(i)(1), at any one location of storage or use, a total amount of iodine-125, iodine-131, selenium-75, iron-59, and/or cobalt-57 in excess of 7.4 MBq (200 microcuries).
  - (ii) The general licensee shall store the radioactive material, until used, in the original shipping container or in a container providing equivalent radiation protection.
  - (iii) The general licensee shall use the radioactive material only for the uses authorized by 801.C.22(i)(1).
  - (iv) The general licensee shall not transfer the radioactive material to a person who is not authorized to receive it pursuant to a license issued by the Agency, the U.S. Nuclear Regulatory Commission, any Agreement State or Licensing State, nor transfer the radioactive material in any manner other than in the unopened, labeled shipping container as received from the supplier.
  - (v) The general licensee shall dispose of the Mock Iodine-125 reference or calibration sources described in 801.C.22(i)(1)(viii) as required by 801.D.1001 of these regulations.
- (4) The general licensee shall not receive, acquire, possess, or use radioactive material pursuant to 801.C.22(i)(1):
- (i) Except as prepackaged units which are labeled in accordance with the provisions of an applicable specific license issued pursuant to 801.C.28(h) or in accordance with the provisions of a specific license issued by the U.S. Nuclear Regulatory Commission, any Agreement State or Licensing State which authorizes the manufacture and distribution of iodine-125, iodine-131, carbon-14, hydrogen-3 (tritium), iron-59, selenium-75, cobalt-57, or Mock Iodine-125 to persons generally licensed under 801.C.22(i) or its equivalent, and
  - (ii) unless one of the following statements, as appropriate, or a substantially similar statement which contains the information called for in one of the following statements, appears on a label affixed to each prepackaged unit or appears in a leaflet or brochure which accompanies the package:

- (a) This radioactive material shall be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for, in vitro clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use, and transfer are subject to the regulations and a general license of the U.S. Nuclear Regulatory Commission or of a State with which the Commission has entered into an agreement for the exercise of regulatory authority.

---

Name of manufacturer

- (b) This radioactive material shall be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for in vitro clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use and transfer are subject to the regulations and a general license of a Licensing State.

---

Name of manufacturer

(5) The physician, veterinarian, clinical laboratory or hospital possessing or using radioactive material under the general license of 801.C.22(i)(1) shall report in writing to the Agency, any changes in the information furnished by him in the "Registration Certificate - In Vitro Testing with Radioactive Material Under General License", Agency Form RH-12. The report shall be furnished within 30 days after the effective date of such change.

(6) Any person using radioactive material pursuant to the general license of 801.C.22(i)(1) is exempt from the requirements of Sections D and J of these regulations with respect to radioactive material covered by that general license, except that such persons using the Mock Iodine-125 described in 801.C.22(i)(1)(viii) shall comply with the provisions of 801.D.1001, 801.D.1201 and 801.D.1202 of these regulations.

(j) Ice Detection Devices.

(1) A general license is hereby issued to own, receive, acquire, possess, use, and transfer strontium-90 contained in ice detection devices, provided each device contains not more than 1.85 MBq (50 microcuries) of strontium-90 and each device has been manufactured or imported in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission or each device has been manufactured in accordance with the specifications contained in a specific license issued by the Agency or an Agreement State to the manufacturer of such device pursuant to licensing requirements equivalent to those in Section 32.61 of 10 CFR Part 32.

(2) Persons who own, receive, acquire, possess, use, or transfer strontium-90 contained in ice detection devices pursuant to the general license in 801.C.22(j)(1),

- (i) shall, upon occurrence of visually observable damage, such as a bend or crack or discoloration from overheating to the device, discontinue use of the device until it has been inspected, tested for leakage and repaired by a person holding a specific license from the U.S. Nuclear Regulatory Commission or an Agreement State to manufacture or service such devices; or shall dispose of the device pursuant to the provisions of 801.D.1001 of these regulations;
- (ii) shall assure that all labels affixed to the device at the time of receipt, and which bear a statement which prohibits removal of the labels, are maintained thereon; and
- (iii) are exempt from the requirements of Sections D and J of these regulations except that such persons shall comply with the provisions of 801.D.1001, 801.D.1201, and 801.D.1202.

(3) This general license does not authorize the manufacture, assembly, disassembly or repair of strontium-90 in ice detection devices.

(4) This general license is subject to the provisions of 801.A.4 through 801.A.10, 801.C.31, 801.C.40, 801.C.50, and Section T of these regulations.

801.C.23 Reserved.

## **SPECIFIC LICENSES**

801.C.24 Filing Application for Specific Licenses.

- (a) Applications for specific licenses shall be filed on a form prescribed by the Agency and shall be accompanied by the fee as provided in Section 45-14-31 of the Act.
- (b) The Agency may at any time after the filing of the original application, and before the expiration of the license, require further statements in order to enable the Agency to determine whether the application should be granted or denied or whether a license should be modified or revoked.
- (c) Each application shall be signed by the applicant or licensee or a person duly authorized to act for and on his behalf.
- (d) An application for a license may include a request for a license authorizing one or more activities.
- (e) In his application, the applicant may incorporate by reference information contained in previous applications, statements, or reports filed with the Agency provided such references are clear and specific.

(f) Applications and documents submitted to the Agency may be made available for public inspection except that the Agency may withhold any document or part thereof from public inspection if disclosure of its content is not required in the public interest and would adversely affect the interest of the person concerned.

(g) Certain applications for specific licenses submitted under this section and Sections E, G, N, Q, and W must contain a proposed decommissioning funding plan or a certification of financial assurance for decommissioning, as provided by 801.C.25(g),

(h) (1) Each application submitted after April 7, 1993, to possess radioactive materials in unsealed form, on foils or plated sources, or sealed in glass in excess of the quantities in Appendix C, "Quantities of Radioactive Materials Requiring Consideration of the Need for an Emergency Plan for Responding to a Release," of this section must contain either:

(i) An evaluation showing that the maximum dose to a person offsite due to a release of radioactive materials would not exceed 1 rem effective dose equivalent or 5 rems to the thyroid; or

(ii) An emergency plan for responding to a release of radioactive material.

(2) One or more of the following factors may be used to support an evaluation submitted under 801.C.24(h)(1)(i) of this section:

(i) The radioactive material is physically separated so that only a portion could be involved in an accident;

(ii) All or part of the radioactive material is not subject to release during an accident because of the way it is stored or packaged;

(iii) The release fraction in the respirable size range would be lower than the release fraction shown in Appendix C of this section due to the chemical or physical form of the material;

(iv) The solubility of the radioactive material would reduce the dose received;

(v) Facility design or engineered safety features in the facility would cause the release fraction to be lower than shown in Appendix C of this section;

(vi) Operating restrictions or procedures would prevent a release fraction as large as that shown in Appendix C of this section; or

(vii) Other factors appropriate for the specific facility.

(3) An emergency plan for responding to a release of radioactive material submitted under 801.C.24(h)(1)(ii) must include the following information:

- (i) Facility description. A brief description of the licensee's facility and area near the site.
- (ii) Types of accidents. An identification of each type of radioactive materials accident for which protective actions may be needed.
- (iii) Classification of accidents. A classification system for classifying accidents as alerts or site area emergencies.
- (iv) Detection of accidents. Identification of the means of detecting each type of accident in a timely manner.
- (v) Mitigation of consequences. A brief description of the means and equipment for mitigating the consequences of each type of accident, including those provided to protect workers on-site, and a description of the program for maintaining the equipment.
- (vi) Assessment of releases. A brief description of the methods and equipment to assess releases of radioactive materials.
- (vii) Responsibilities. A brief description of the responsibilities of licensee personnel should an accident occur, including identification of personnel responsible for promptly notifying offsite response organizations and the Agency, also responsibilities for developing, maintaining, and updating the plan.
- (viii) Notification and coordination. A commitment to and a brief description of the means to promptly notify offsite response organizations and request offsite assistance, including medical assistance for the treatment of contaminated injured on-site workers when appropriate. A control point must be established. The notification and coordination must be planned so that unavailability of some personnel, parts of the facility, and some equipment will not prevent the notification and coordination. The licensee shall also commit to notify the Agency immediately after notification of the appropriate offsite response organizations and not later than one hour after the licensee declares an emergency.
- (ix) Information to be communicated. A brief description of the types of information on facility status, radioactive releases, and recommended protective actions, if necessary, to be given to offsite response organizations and to the Agency.
- (x) Training. A brief description of the frequency, performance objectives and plans for the training that the licensee will provide workers on how to respond to an emergency including any special instructions and orientation tours the licensee would offer to fire, police, medical and other emergency personnel. The training shall familiarize personnel with site-specific emergency procedures. Also, the training shall thoroughly prepare site personnel for their responsibilities in the event of accident scenarios postulated as most probable for the specific site, including the use of team training for such scenarios.

(xi) Safe shutdown. A brief description of the means of restoring the facility to a safe condition after an accident.

(xii) Exercises. Provisions for conducting quarterly communications checks with offsite response organizations and biennial on-site exercises to test response to simulated emergencies. Quarterly communications checks with offsite response organizations must include the check and update of all necessary telephone numbers. The licensee shall invite offsite response organizations to participate in the biennial exercises. Participation of offsite response organizations in biennial exercises although recommended is not required. Exercises must use accident scenarios postulated as most probable for the specific site and the scenarios shall not be known to most exercise participants. The licensee shall critique each exercise using individuals not having direct implementation responsibility for the plan. Critiques of exercises must evaluate the appropriateness of the plan, emergency procedures, facilities, equipment, training of personnel, and overall effectiveness of the response. Deficiencies found by the critiques must be corrected.

(xiii) Hazardous chemicals. A certification that the applicant has met its responsibilities under the Emergency Planning and Community Right-to-Know Act of 1986, Title III, Public Law 99-499, if applicable to the applicant's activities at the proposed place of use of the radioactive material.

(4) The licensee shall allow the offsite response organizations expected to respond in case of an accident 60 days to comment on the licensee's emergency plan before submitting it to the Agency. The licensee shall provide any comments received within the 60 days to the Agency with the emergency plan.

801.C.25 General Requirements for the Issuance of Specific Licenses. A license application will be approved if the Agency determines that:

(a) the applicant is qualified by reason of training and experience to use the material in question for the purpose requested in accordance with these regulations in such a manner as to minimize danger to public health and safety or property;

(b) the applicant's proposed equipment, facilities, and procedures are adequate to minimize danger to public health and safety or property;

(c) the issuance of the license will not be inimical to the health and safety of the public; and

(d) the applicant satisfies any applicable special requirements in 801.C.26, 801.C.27, 801.C.28, or Section E, Section G, Section N, Section Q, or Section W of these regulations.

(e) Environmental Report, Commencement of Construction. In the case of an application for a license to receive and possess radioactive material for commercial waste disposal by land burial, or for the conduct of any other activity which the Agency determines will significantly affect the quality of the environment, the Agency, before commencement of construction of the plant or facility in which the activity will be conducted, has concluded, after weighing the environmental, economic, technical and



other benefits against environmental costs and considering available alternatives, that the action called for is the issuance of the proposed license, with any appropriate conditions to protect environmental values. Commencement of construction prior to such conclusion shall be grounds for denial of a license to receive and possess radioactive material in such plant or facility. As used in this paragraph the term "commencement of construction" means any clearing of land, excavation, or other substantial action that would adversely affect the environment of a site. The term does not mean site exploration, necessary roads for site exploration, borings to determine foundation conditions, or other preconstruction monitoring or testing to establish background information related to the suitability of the site or the protection of environmental values.

(f) Reserved.

(g) Financial Assurance and Recordkeeping for Decommissioning.

(1) Each applicant for a specific license authorizing the possession and use of unsealed radioactive material of half-life greater than 120 days and in quantities exceeding  $10^5$  times the applicable quantities set forth in Appendix F to Section D of these regulations shall submit a decommissioning funding plan as described in 801.C.25(g)(5). The decommissioning funding plan must also be submitted when a combination of isotopes is involved if R divided by  $10^5$  is greater than 1 (unity rule), where R is defined here as the sum of the ratios of the quantity of each isotope to the applicable value in Appendix F to Section D of these regulations.

(2) Each applicant for a specific license authorizing possession and use of radioactive material of half-life greater than 120 days and in quantities specified in 801.C.25(g)(4) shall either:

(i) Submit a decommissioning funding plan as described in 801.C.25(g)(5); or

(ii) Submit a certification that financial assurance for decommissioning has been provided in the amount prescribed by 801.C.25(g)(4) using one of the methods described in 801.C.25(g)(6). For an applicant, this certification may state that the appropriate assurance will be obtained after the application has been approved and the license issued but prior to the receipt of licensed material. If the applicant defers execution of the financial instrument until after the license has been issued, a signed original of the financial instrument obtained to satisfy the requirements of 801.C.25(g)(6) must be submitted to the Agency before receipt of licensed material. If the applicant does not defer execution of the financial instrument, the applicant shall submit to the Agency, as part of the certification, a signed original of the financial instrument obtained to satisfy the requirements of 801.C.25(g)(6).

(3) (i) Each holder of a specific license issued on or after November 15, 1992, which is of a type described in 801.C.25(g)(1) or (2), shall provide financial assurance for decommissioning in accordance with the criteria set forth in this section.

(ii) Each holder of a specific license issued before November 15, 1992, and of a type described in 801.C.25(g)(1) shall submit on or before January 1, 1993, a decommissioning funding plan as described in 801.C.25(g)(5) or a certification of

financial assurance for decommissioning in an amount at least equal to \$750,000 in accordance with the criteria set forth in this section. If the licensee submits the certification of financial assurance rather than a decommissioning funding plan at this time, the licensee shall include a decommissioning funding plan in any application for license renewal.

(iii) Each holder of a specific license issued before November 15, 1992, and of a type described in 801.C.25(g)(2) shall submit, on or before January 1, 1993, a decommissioning funding plan as described in 801.C.25(g)(5) or a certification of financial assurance for decommissioning in accordance with the criteria set forth in this section.

(iv) Any licensee who has submitted an application before November 15, 1992, for renewal of license in accordance with 801.C.33 shall provide financial assurance for decommissioning in accordance with 801.C.25(g)(1) and (2) of this section. This assurance must be submitted within 180 days of the effective date of these regulations.

(4) Table of required amounts of financial assurance for decommissioning by quantity of material.

greater than  $10^4$  but less than or equal to  $10^5$  times the applicable quantities of Appendix F to Section D of these regulations in unsealed form. (For a combination of radionuclides, if R, as defined in 801.C.25(g)(1), divided by  $10^4$  is greater than 1 but R divided by  $10^5$  is less than or equal to 1).....\$750,000

greater than  $10^3$  but less than or equal to  $10^4$  times the applicable quantities of Appendix F to Section D of these regulations in unsealed form. (For a combination of radionuclides, if R, as defined in 801.C.25(g)(1), divided by  $10^3$  is greater than 1 but R divided by  $10^4$  is less than or equal to 1).....\$150,000

greater than  $10^{10}$  times the applicable quantities of Appendix F to Section D of these regulations in sealed sources or plated foils. (For a combination of radionuclides, if R, as defined in 801.C.25(g)(1), divided by  $10^{10}$  is greater than 1).....\$ 75,000

(5) Each decommissioning funding plan must contain a cost estimate for decommissioning and a description of the method of assuring funds for decommissioning from 801.C.25(g)(6), including means of adjusting cost estimates and associated funding levels periodically over the life of the facility. The decommissioning funding plan must also contain a certification by the licensee that financial assurance for decommissioning has been provided in the amount of the cost estimate for decommissioning and a signed original of the financial instrument obtained to satisfy the requirements of 801.C.25(g)(6).

(6) Financial assurance for decommissioning must be provided by one or more of the following methods:

- (i) Prepayment. Prepayment is the deposit prior to the start of operation into an account segregated from licensee assets and outside the licensee's administrative control of cash or liquid assets such that the amount of funds would be sufficient to pay decommissioning costs. Prepayment may be in the form of a trust, escrow account, government fund, certificate of deposit, or deposit of government securities.
- (ii) A surety method, insurance, or other guarantee method. These methods guarantee that decommissioning costs will be paid should the licensee default. A surety method may be in the form of a surety bond, letter of credit, or line of credit. A parent company guarantee of funds for decommissioning costs based on a financial test may be used if the guarantee and test are as contained in Appendix D of this section. A parent company guarantee may not be used in combination with other financial methods to satisfy the requirements of this section. A guarantee of funds by the applicant or licensee for decommissioning costs based on a financial test may be used if the guarantee and test are as contained in Appendix E of this section. A guarantee by the applicant or licensee may not be used in combination with any other financial methods to satisfy the requirements of this section or in any situation where the applicant or licensee has a parent company holding majority control of the voting stock of the company. Any surety method or insurance used to provide financial assurance for decommissioning must contain the following conditions:
  - (a) The surety method or insurance must be open-ended or, if written for a specified term, such as five years, must be renewed automatically unless 90 days or more prior to the renewal date, the issuer notifies the Agency, the beneficiary, and the licensee of its intention not to renew. The surety method or insurance must also provide that the full face amount be paid to the beneficiary automatically prior to the expiration without proof of forfeiture if the licensee fails to provide a replacement acceptable to the Agency within 30 days after receipt of notification of cancellation.
  - (b) The surety method or insurance must be payable to a trust established for decommissioning costs. The trustee and trust must be acceptable to the Agency. An acceptable trustee includes an appropriate State or Federal government agency or an entity which has the authority to act as a trustee and whose trust operations are regulated and examined by a Federal or State agency.
  - (c) The surety method or insurance must remain in effect until the Agency has terminated the license.
- (iii) An external sinking fund in which deposits are made at least annually, coupled with a surety method or insurance, the value of which may decrease by the amount being accumulated in the sinking fund. An external sinking fund is a fund established and maintained by setting aside funds periodically in an account segregated from licensee assets and outside the licensee's administrative control in which the total

amount of funds would be sufficient to pay decommissioning costs at the time termination of operation is expected. An external sinking fund may be in the form of a trust, escrow account, government fund, certificate of deposit, or deposit of government securities. The surety or insurance provisions must be as stated in 801.C.25(g)(6)(ii).

- (iv) In the case of Federal , State or local government licensees, a statement of intent containing a cost estimate for decommissioning or an amount based on the Table in 801.C.25(g)(4). and indicating that funds for decommissioning will be obtained when necessary.

(7) Each person licensed under this section and Sections E, G, N, Q, and W of these regulations shall keep records of information important to the safe and effective decommissioning of the facility in an identified location until ~~license is terminated by the Agency~~ **the site is released for unrestricted use. Before licensed activities are transferred or assigned in accordance with 801.C.31(b), licensees shall transfer all records described in this paragraph to the new licensee. In this case, the new licensee will be responsible for maintaining these records until the license is terminated.** If records of relevant information are kept for other purposes, reference to these records and their locations may be used. Information the Agency considers important to decommissioning consists of:

- (i) Records of spills or other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site. These records may be limited to instances when contamination remains after any cleanup procedures or when there is reasonable likelihood that contaminants may have spread to inaccessible areas as in the case of possible seepage into porous materials such as concrete. These records must include any known information on identification of involved nuclides, quantities, forms and concentrations.
- (ii) As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials are used and/or stored, and of locations of possible inaccessible contamination such as buried pipes which may be subject to contamination. If required drawings are referenced, each relevant document need not be indexed individually. If drawings are not available, the licensee shall substitute appropriate records of available information concerning these areas and locations.
- (iii) Except for areas containing only sealed sources (provided the sources have not leaked or no contamination remains after any leak) or radioactive materials having only half-lives of less than 65 days, a list contained in a single document and updated every 2 years, of the following:
  - (a) All areas designated and formerly designated restricted areas as defined in 801.A.2 of these regulations.
  - (b) All areas outside of restricted areas that require documentation under 801.C.26(g)(7)(i).

- (c) All areas outside of restricted areas where current and previous wastes have been buried as documented under 801.D.1109 of these regulations.
  - (d) All areas outside of restricted areas which contain material such that, if the license expired, the licensee would be required to either decontaminate the area to unrestricted release levels or apply for approval for disposal under 801.D.1002 of these regulations.
- (iv) Records of the cost estimate performed for the decommissioning funding plan or of the amount certified for decommissioning, and records of the funding method used for assuring funds if either a funding plan or certification is used.

801.C.26 Special Requirements for Issuance of Certain Specific Licenses for Radioactive Material.

- (a) Reserved.
- (b) Reserved.
- (c) Reserved.
- (d) Reserved.
- (e) Use of Sealed Sources in Industrial Radiography. In addition to the requirements set forth in 801.C.25 and Section E of these regulations, a specific license for use of sealed sources in industrial radiography will be issued if:
  - (1) the applicant will have an adequate program for training radiographers and radiographers assistants and submits to the Agency a schedule or description of such program which specifies the:
    - (i) initial training,
    - (ii) periodic training,
    - (iii) on-the-job training,
    - (iv) means to be used by the licensee to determine the radiographer's knowledge and understanding of and ability to comply with Agency regulations and licensing requirements, and the operating and emergency procedures of the applicant,
    - (v) means to be used by the licensee to determine the radiographer's assistant's knowledge and understanding of and ability to comply with the operating and emergency procedures of the applicant;
  - (2) the applicant has established and submits to the Agency satisfactory written operating and emergency procedures described in 801.E.202 of these regulations;

(3) the applicant will have an internal inspection system adequate to assure that these regulations, license provisions, and the applicant's operating and emergency procedures are followed by radiographers and radiographer's assistants; the inspection system shall include the performance of internal inspections at intervals not to exceed 3 months and the retention of records of such inspections for 2 years;

(4) the applicant submits to the Agency a description of the overall organizational structure pertaining to the industrial radiography program, including specified delegations of authority and responsibility for operation of the program;

(5) the applicant who desires to conduct his own leak tests has established adequate procedures to be followed in testing sealed sources for possible leakage and contamination and submits to the Agency a description of such procedures including:

- (i) instrumentation to be used,
- (ii) method of performing tests,
- (iii) pertinent experience of the individual who will perform the test; and

(6) the licensee shall conduct a program for inspection and maintenance of radiographic exposure devices and storage containers to assure proper functioning of components important to safety.

801.C.27 Special Requirements for Specific Licenses of Broad Scope. This section prescribes requirements for the issuance of specific licenses of broad scope for radioactive material and certain regulations governing holders of such licenses.<sup>9</sup>

(a) Reserved.

(b) An application for a specific license of broad scope will be approved if:

- (1) the applicant satisfies the general requirements specified in 801.C.25;
- (2) the applicant has engaged in a reasonable number of activities involving the use of radioactive material; and
- (3) the applicant has established administrative controls and provisions relating to organization and management, procedures, record keeping, material control and accounting, and management review that are necessary to assure safe operations, including:

---

<sup>9</sup> Authority to transfer possession or control by the manufacturer, processor, or producer of any equipment, device, commodity, or other product containing byproduct material whose subsequent possession, use, transfer, and disposal by all other persons are exempted from regulatory requirements may be obtained only from the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555.

- (i) the establishment of a radiation safety committee composed of such persons as a radiation safety officer, a representative of management, and persons trained and experienced in the safe use of radioactive material;
  - (ii) the appointment of a radiation safety officer who is qualified by training and experience in radiation protection, and who is available for advice and assistance on radiation safety matters; and
  - (iii) the establishment of appropriate administrative procedures to assure:
    - (a) control of procurement and use of radioactive material;
    - (b) completion of safety evaluations of proposed uses of radioactive material which take into consideration such matters as the adequacy of facilities and equipment, training and experience of the user, and the operating or handling procedures; and
    - (c) review, approval, and recording by the radiation safety committee of safety evaluations of proposed uses prepared in accordance with 801.C.27(b)(3)(iii)(b) prior to use of the radioactive material.
- (c) Reserved.
- (d) Reserved.
- (e) Specific licenses of broad scope are subject to the following conditions:
  - (1) Unless specifically authorized, persons licensed pursuant to 801.C.27 shall not:
    - (i) conduct tracer studies in the environment involving direct release of radioactive material;
    - (ii) receive, acquire, own, possess, use, or transfer devices containing 100,000 curies (3.7 PBq) or more of radioactive material in sealed sources used for irradiation of materials;
    - (iii) conduct activities for which a specific license issued by the Agency under 801.C.26, 801.C.28, Section G, or Section N is required; or
    - (iv) add or cause the addition of radioactive material to any food, beverage, cosmetic, drug, or other product designed for ingestion or inhalation by, or application to, a human being.
  - (2) Each specific license of broad scope issued under this section shall be subject to the condition that radioactive material possessed under the license may only be used by, or under the direct supervision of, individuals approved by the licensee's radiation safety committee.

801.C.28 Special Requirements for a Specific License to Manufacture, Assemble, Repair, or Distribute Commodities, Products or Devices which Contain Radioactive Material.

(a) Licensing Introduction of Radioactive Material into Products in Exempt Concentrations.

(1) In addition to the requirements set forth in 801.C.25, a specific license authorizing the introduction of radioactive material into a product or material owned by or in the possession of the licensee or another to be transferred to persons exempt under 801.C.4(a)(1) will be issued if:

- (i) the applicant submits a description of the product or material into which the radioactive material will be introduced, intended use of the radioactive material and the product or material into which it is introduced, method of introduction, initial concentration of the radioactive material in the product or material, control methods to assure that no more than the specified concentration is introduced into the product or material, estimated time interval between introduction and transfer of the product or material, and estimated concentration of the radioactive material in the product or material at the time of transfer; and
- (ii) the applicant provides reasonable assurance that the concentrations of radioactive material at the time of transfer will not exceed the concentrations in Appendix A of this section, that reconcentration of the radioactive material in concentrations exceeding those in Appendix A is not likely, that use of lower concentrations is not feasible, and that the product or material is not likely to be incorporated in any food, beverage, cosmetic, drug or other commodity or product designed for ingestion or inhalation by, or application to, a human being.

(2) Each person licensed under 801.C.28(a) shall file an annual report with the Agency which shall identify the type and quantity of each product or material into which radioactive material has been introduced during the reporting period; name and address of the person who owned or possessed the product or material, into which radioactive material has been introduced, at the time of introduction; the type and quantity of radionuclide introduced into each such product or material; and the initial concentrations of the radionuclide in the product or material at time of transfer of the radioactive material by the licensee. If no transfers of radioactive material have been made pursuant to 801.C.28(a) during the reporting period, the report shall so indicate. The report shall cover the year ending June 30, and shall be filed within 30 days thereafter.

(b) Licensing the Distribution of Radioactive Material in Exempt Quantities.<sup>10/</sup>

---

<sup>10</sup> Authority to transfer possession or control by the manufacturer, processor, or producer of any equipment, device, commodity, or other product containing byproduct material whose subsequent possession, use, transfer, and disposal by all other persons are exempted from regulatory requirements may be obtained only from the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555.



(1) An application for a specific license to distribute NARM to persons exempted from these regulations pursuant to 801.C.4(b) will be approved if:

- (i) the radioactive material is not contained in any food, beverage, cosmetic, drug, or other commodity designed for ingestion or inhalation by, or application to, a human being;
- (ii) the radioactive material is in the form of processed chemical elements, compounds, or mixtures, tissue samples, bioassay samples, counting standards, plated or encapsulated sources, or similar substances identified as radioactive and to be used for its radioactive properties, but is not incorporated into any manufactured or assembled commodity, product, or device intended for commercial distribution; and
- (iii) the applicant submits copies of prototype labels and brochures and the Agency approves such labels and brochures.

(2) The license issued under 801.C.28(b)(1) is subject to the following conditions:

- (i) No more than 10 exempt quantities shall be sold or transferred in any single transaction. However, an exempt quantity may be composed of fractional parts of one or more of the exempt quantity provided the sum of the fractions shall not exceed unity.
- (ii) Each exempt quantity shall be separately and individually packaged. No more than 10 such packaged exempt quantities shall be contained in any outer package for transfer to persons exempt pursuant to 801.C.4(b). The outer package shall be such that the dose rate at the external surface of the package does not exceed 0.5 millirem (5 uSv) per hour.
- (iii) The immediate container of each quantity or separately packaged fractional quantity of radioactive material shall bear a durable, legible label which:
  - (a) identifies the radionuclide and the quantity of radioactivity, and
  - (b) bears the words "Radioactive Material".
- (iv) In addition to the labeling information required by 801.C.28(b)(2)(iii), the label affixed to the immediate container, or an accompanying brochure, shall:
  - (a) state that the contents are exempt from Licensing State requirements,
  - (b) bear the words "Radioactive Material--Not for Human Use--Introduction into Foods, Beverages, Cosmetics, Drugs, or Medicinals, or into Products Manufactured for Commercial Distribution is Prohibited--Exempt Quantities Should Not Be Combined", and

- (c) set forth appropriate additional radiation safety precautions and instructions relating to the handling, use, storage, and disposal of the radioactive material.

(3) Each person licensed under 801.C.28(b) shall maintain records identifying, by name and address, each person to whom radioactive material is transferred for use under 801.C.4(b) or the equivalent regulations of a Licensing State, and stating the kinds and quantities of radioactive material transferred. An annual summary report stating the total quantity of each radionuclide transferred under the specific license shall be filed with the Agency. Each report shall cover the year ending June 30, and shall be filed within 30 days thereafter. If no transfers of radioactive material have been made pursuant to 801.C.28(b) during the reporting period, the report shall so indicate.

(c) Licensing the Incorporation of Naturally Occurring and Accelerator Produced Radioactive Material into Gas and Aerosol Detectors. An application for a specific license authorizing the incorporation of NARM into gas and aerosol detectors to be distributed to persons exempt under 801.C.4(c)(3) will be approved if the application satisfies requirements equivalent to those contained in Section 32.26 of 10 CFR Part 32. The maximum quantity of radium-226 in each device shall not exceed 3.7 kBq (0.1 microcurie).

(d) Licensing the Manufacture and Distribution of Devices to Persons Generally Licensed Under 80.C.22(d).

(1) An application for a specific license to manufacture or distribute devices containing radioactive material, excluding special nuclear material, to persons generally licensed under 801.C.22(d) or equivalent regulations of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State will be approved if:

- (i) the applicant satisfies the general requirements of 801.C.25;
- (ii) the applicant submits sufficient information relating to the design, manufacture, prototype testing, quality control, labels, proposed uses, installation, servicing, leak testing, operating and safety instructions, and potential hazards of the device to provide reasonable assurance that:
  - (a) the device can be safely operated by persons not having training in radiological protection,
  - (b) under ordinary conditions of handling, storage, and use of the device, the radioactive material contained in the device will not be released or inadvertently removed from the device, and it is unlikely that any person will receive, in 1 year, a dose in excess of 10 percent of the annual limits specified in the table in 801.D.201(a) of these regulations, and
  - (c) under accident conditions such as fire and explosion associated with handling, storage, and use of the device, it is unlikely that any person

would receive an external radiation dose or dose commitment in excess of the following organ doses:

Whole body; head and trunk; active blood-forming organs; gonads; or lens of eye.....150 mSv (15 rems)

Hands and forearms; feet and ankles; localized areas of skin averaged over areas no larger than 1 square centimeter.....2 Sv (200 rems)

Other organs .....500 mSv (50 rems); and

(iii) each device bears a durable, legible, clearly visible label or labels approved by the Agency, which contain in a clearly identified and separate statement:

- (a) instructions and precautions necessary to assure safe installation, operation, and servicing of the device; documents such as operating and service manuals may be identified in the label and used to provide this information,
- (b) the requirement, or lack of requirement, for leak testing, or for testing any "on-off" mechanism and indicator, including the maximum time interval for such testing, and the identification of radioactive material by isotope, quantity of radioactivity, and date of determination of the quantity, and
- (c) the information called for in one of the following statements, as appropriate, in the same or substantially similar form:

- (1) The receipt, possession, use, and transfer of this device, Model \_\_\_\_\_, Serial No. \_\_\_\_\_ <sup>11</sup>, are subject to a general license or the equivalent and the regulations of the U.S. Nuclear Regulatory Commission or a State with which the U.S. Nuclear Regulatory Commission has entered into an agreement for the exercise of regulatory authority. This label shall be maintained on the device in a legible condition. Removal of this label is prohibited.

CAUTION - RADIOACTIVE MATERIAL

---

<sup>11</sup>The model, serial number, and name of the manufacturer or distributor may be omitted from this label provided the information is elsewhere specified in labeling affixed to the device.

---

Name of manufacturer or distributor

- (2) The receipt, possession, use, and transfer of this device, Model \_\_\_\_\_, Serial No. \_\_\_\_\_<sup>12/</sup>, are subject to a general license or the equivalent, and the regulations of a Licensing State. This label shall be maintained on the device in a legible condition. Removal of this label is prohibited.

CAUTION - RADIOACTIVE MATERIAL

---

Name of manufacturer or distributor

(2) In the event the applicant desires that the device be required to be tested at intervals longer than 6 months, either for proper operation of the "on-off" mechanism and indicator, if any, or for leakage of radioactive material or for both, the applicant shall include in the application sufficient information to demonstrate that such longer interval is justified by performance characteristics of the device or similar devices and by design features which have a significant bearing on the probability or consequences of leakage of radioactive material from the device or failure of the "on-off" mechanism and indicator. In determining the acceptable interval for the test for leakage of radioactive material, the Agency will consider information which includes, but is not limited to:

- (i) primary containment or source capsule;
- (ii) protection of primary containment;
- (iii) method of sealing containment;
- (iv) containment construction materials;
- (v) form of contained radioactive material;
- (vi) maximum temperature withstood during prototype tests;
- (vii) maximum pressure withstood during prototype test;
- (viii) maximum quantity of contained radioactive material;
- (ix) radiotoxicity of contained radioactive material; and

---

<sup>12</sup>The model, serial number, and name of the manufacturer or distributor may be omitted from this label provided the information is elsewhere specified in labeling affixed to the device.

- (x) operating experience with identical devices or similarly designed and constructed devices.

(3) In the event the applicant desires that the general licensee under 801.C.22(d), or under equivalent regulations of the U.S. Nuclear Regulatory Commission, and Agreement State, or a Licensing State be authorized to install the device, collect the sample to be analyzed by a specific licensee for leakage of radioactive material, service the device, test the "on-off" mechanism and indicator, or remove the device from installation, the applicant shall include in the application written instructions to be followed by the general licensee, estimated calendar quarter doses associated with such activity or activities, and bases for such estimates. The submitted information shall demonstrate the performance of such activity or activities by an individual untrained in radiological protection, in addition to other handling, storage, and use of devices under the general license, is unlikely to cause that individual to receive a dose in excess of 10 percent of the annual limits specified in the table in 801.D.201(a) of these regulations.

(4) Each person licensed under 801.C.28(d) to distribute devices to generally licensed persons shall:

- (i) furnish a copy of the general license contained in 801.C.22(d) to each person to whom he directly or through an intermediate person transfers radioactive material in a device for use pursuant to the general license contained in 801.C.22(d);
- (ii) furnish a copy of the general license contained in the U.S. Nuclear Regulatory Commission's, Agreement State's, or Licensing State's regulation equivalent to 801.C.22(d), or alternatively, furnish a copy of the general license contained in 801.C.22(d) to each person to whom he directly or through an intermediate person transfers radioactive material in a device for use pursuant to the general license of the U.S. Nuclear Regulatory Commission, the Agreement State, or the Licensing State. If a copy of the general license in 801.C.22(d) is furnished to such a person, it shall be accompanied by a note explaining that the use of the device is regulated by the U.S. Nuclear Regulatory Commission, Agreement State, or Licensing State under requirements substantially the same as those in 801.C.22(d);
- (iii) report to the Agency all transfers of such devices to persons for use under the general license in 801.C.22(d). Such report shall identify each general licensee by name and address, an individual by name and/or position who may constitute a point of contact between the Agency and the general licensee, the type and model number of device transferred, and the quantity and type of radioactive material contained in the device. If one or more intermediate persons will temporarily possess the device at the intended place of use prior to its possession by the user, the report shall include identification of each intermediate person by name, address, contact, and relationship to the intended user. If no transfers have been made to persons generally licensed under 801.C.22(d) during the reporting period, the report shall so indicate. The report shall cover each calendar quarter and shall be filed within 30 days thereafter;

(iv) furnish reports to other agencies.

- (a) Report to the U.S. Nuclear Regulatory Commission all transfers of such devices to persons for use under the U.S. Nuclear Regulatory Commission general license in Section 31.5 of 10 CFR Part 31.
- (b) Report to the responsible State agency all transfers of devices manufactured and distributed pursuant to 801.C.28(d) for use under a general license in that State's regulations equivalent to 801.C.22(d).
- (c) Such reports shall identify each general licensee by name and address, an individual by name and/or position who may constitute a point of contact between the Agency and the general licensee, the type and model of the device transferred, and the quantity and type of radioactive material contained in the device. If one or more intermediate persons will temporarily possess the device at the intended place of use prior to its possession by the user, the report shall include identification of each intermediate person by name, address, contact, and relationship to the intended user. The report shall be submitted within 30 days after the end of each calendar quarter in which such a device is transferred to the generally licensed person.
- (d) If no transfers have been made to U.S. Nuclear Regulatory Commission licensees during the reporting period, this information shall be reported to the U.S. Nuclear Regulatory Commission.
- (e) If no transfers have been made to general licensees within a particular State during the reporting period, this information shall be reported to the responsible State agency upon request of that agency; and

(v) keep records showing the name, address, and the point of contact for each general licensee to whom he directly or through an intermediate person transfers radioactive material in devices for use pursuant to the general license provided in 801.C.22(d), or equivalent regulations of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State. The records shall show the date of each transfer, the radionuclide and the quantity of radioactivity in each device transferred, the identity of any intermediate person, and compliance with the report requirements of 801.C.28(d)(4).

(e) Special Requirements for the Manufacture, Assembly, or Repair of Luminous Safety Devices for Use in Aircraft. An application for a specific license to manufacture, assemble, or repair luminous safety devices containing tritium or promethium-147 for use in aircraft, for distribution to persons generally licensed under 801.C.22(e) will be approved if:

- (1) the applicant satisfies the general requirements specified in 801.C.25; and

- (2) the applicant satisfies the requirements of Sections 32.53, 32.54, 32.55, 32.56, and 32.101 of 10 CFR Part 32, or their equivalent.

(f) Special Requirements for License to Manufacture Calibration Sources Containing Americium-241, Plutonium or Radium-226 for Distribution to Persons Generally Licensed Under 801.C.22(g). An application for a specific license to manufacture calibration and reference sources containing americium-241, plutonium or radium-226 to persons generally licensed under 801.C.22(g) will be approved if:

- (1) the applicant satisfies the general requirement of 801.C.25; and
- (2) the applicant satisfies the requirements of Sections 32.57, 32.58, 32.59, and 32.102 of 10 CFR Part 32 and Section 70.39 of 10 CFR Part 70 or their equivalent.

(g) Reserved.

(h) Manufacture and Distribution of Radioactive Material for Certain In Vitro Clinical or Laboratory Testing Under General License. An application for a specific license to manufacture or distribute radioactive material for use under the general license of 801.C.22(i) will be approved if:

- (1) the applicant satisfies the general requirements specified in 801.C.25.
- (2) the radioactive material is to be prepared for distribution in prepackaged units of:
  - (i) carbon-14 in units not exceeding 370 kBq (10 microcuries) each.
  - (ii) cobalt-57 in units not exceeding 370 kBq (10 microcuries) each.
  - (iii) hydrogen-3 (tritium) in units not exceeding 1.85 MBq (50 microcuries) each.
  - (iv) iodine-125 in units not exceeding 370 kBq (10 microcuries) each.
  - (v) Mock Iodine-125 in units not exceeding 1.85 kBq (0.05 microcurie) of iodine-129 and 1.85 kBq (0.05 microcurie) of americium-241 each.
  - (vi) iodine-131 in units not exceeding 370 kBq (10 microcuries) each.
  - (vii) iron-59 in units not exceeding 740 kBq (20 microcuries) each.
  - (viii) selenium-75 in units not exceeding 370 kBq (10 microcuries) each.
- (3) each prepackaged unit bears a durable, clearly visible label:
  - (i) identifying the radioactive contents as to chemical form and radionuclide, and indicating that the amount of radioactivity does not exceed 370 kBq (10 microcuries) of iodine-125, iodine-131, carbon-14, cobalt-57, or selenium-75; 1.85

MBq (50 microcuries) of hydrogen-3 (tritium); 740 kBq (20 microcuries) of iron-59; or Mock Iodine-125 in units not exceeding 1.85 kBq (0.05 microcurie) of iodine-129 and 185 Bq (0.005 microcurie) of americium-241 each; and

- (ii) displaying the radiation caution symbol described in 801.D.901(a) and the words, "CAUTION, RADIOACTIVE MATERIAL". and "Not for Internal or External Use in Humans or Animals".

(4) one of the following statements, as appropriate, or a substantially similar statement which contains the information called for in one of the following statements, appears on a label affixed to each prepackaged unit or appears in a leaflet or brochure which accompanies the package:

- (i) This radioactive material may be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for in vitro clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use, and transfer are subject to the regulations and a general license of the U.S. Nuclear Regulatory Commission or of a State with which the Commission has entered into an agreement for the exercise of regulatory authority.

---

Name of manufacturer

- (ii) This radioactive material may be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for in vitro clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use, and transfer are subject to the regulations and a general license of a Licensing State.

---

Name of manufacturer

(5) the label affixed to the unit, or the leaflet or brochure which accompanies the package, contains adequate information as to the precautions to be observed in handling and storing such radioactive material. In the case of the Mock Iodine-125 reference or calibration source, the information accompanying the source must also contain directions to the licensee regarding the waste disposal requirements set out in 801.D.1001 of these regulations.

- (i) Licensing the Manufacture and Distribution of Ice Detection Devices. An application for a specific license to manufacture and distribute ice detection devices to persons generally licenses under 801.C.22(j) will be approved if:

- (1) the applicant satisfies the general requirements of 801.C.25; and



(2) the criteria of Sections 32.61, 32.62, and 32.103 of 10 CFR Part 32 are met.

(j) Manufacture, Preparation, or Transfer for Commercial and Distribution of Radioactive Drugs Radiopharmaceuticals Containing Radioactive Material for Medical Use Pursuant to Section G of These Regulations. An application for a specific license to manufacture, and distribute radiopharmaceuticals containing radioactive material for use by persons licensed pursuant to Section G for the uses listed in 801.G.30, 801.G.32 and 801.G.36. will be approved if:

(1) An application for a specific license to manufacture, prepare, or transfer for commercial distribution radioactive drugs containing radioactive material for use by persons authorized pursuant to Section G of these regulations will be approved if:

(i) the applicant satisfies the general requirements specified in 801.C.25 of this section;

(ii) the applicant submits evidence that the applicant is at least one of the following:

(i) ~~the radiopharmaceutical containing radioactive material will be manufactured, labeled, and packaged in accordance with the Federal Food, Drug and Cosmetic Act or the Public Health Service Act, such as a new drug application (NDA) approved by the Food and Drug Administration (FDA), or a "Notice of Claimed Investigational Exemption for a New Drug" (IND) that has been accepted by the FDA, or~~

(a) Registered or licensed with the U. S. Food and Drug Administration (FDA) as a drug manufacturer;

(ii) ~~the manufacture and distribution of the radiopharmaceutical containing radioactive material is not subject to the Federal Food, Drug and Cosmetic Act and the Public Health Service Act;~~

(b) Registered or licensed with a state agency as a drug manufacturer; or

(c) Licensed as a pharmacy by a State Board of Pharmacy.

(iii) the applicant submits information on the radionuclide, chemical and physical form; the maximum activity per vial, syringe, generator, or other container of the radioactive drug; ~~packaging including maximum activity per package, and the shielding provided by the packaging of the radioactive material to show it which is appropriate for safe handling and storage of radiopharmaceuticals~~ the radioactive drugs by medical use licensees; and

- (4) ~~(i) the label affixed to each package of the radiopharmaceutical contains information on the radionuclide, quantity, and date of assay and the label affixed to each package, or the leaflet or brochure which accompanies each package, contains a statement that the radiopharmaceutical is licensed by the Agency for distribution to persons licensed to use radioactive material listed in 801.G.30, 801.G.32, or 801.G.36 of these regulations, as appropriate, or under equivalent licenses of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State.~~
- ~~(ii) the labels, leaflets, or brochures required by 801.C.28(j)(4)(i) are in addition to the labeling required by the Food and Drug Administration (FDA) and they may be separate from or, with the approval of FDA, may be combined with the labeling required by FDA.~~
- (iv) The applicant satisfies the following labeling requirements:
- (a) a label is affixed to each transport radiation shield, whether it is constructed of lead, glass, plastic, or other material, of a radioactive drug to be transferred for commercial distribution. The label must include the radiation symbol and the words "CAUTION, RADIOACTIVE MATERIAL" or "DANGER, RADIOACTIVE MATERIAL"; the name of the radioactive drug or its abbreviation; and the quantity of radioactivity at a specified date and time. For radioactive drugs with a half life greater than 100 days, the time may be omitted.
  - (b) a label is affixed to each syringe, vial, or other container used to hold a radioactive drug to be transferred for commercial distribution. The label must include the radiation symbol and the words "CAUTION, RADIOACTIVE MATERIAL" or "DANGER, RADIOACTIVE MATERIAL" and an identifier that ensures that the syringe, vial, or other container can be correlated with the information on the transport radiation shield label.
- (2) A licensee described by 801.C.28(j)(1)(ii)(c) of this section:
- (i) may prepare radioactive drugs for medical use, as defined in 801.G.2 of these regulations provided that the radioactive drug is prepared by either an authorized nuclear pharmacist, as specified in 801.C.28(j)(2)(ii) of this section, or an individual under the supervision of an authorized nuclear pharmacist.
  - (ii) may allow a pharmacist to work as an authorized nuclear pharmacist if:
    - (a) this individual qualifies as an authorized nuclear pharmacist as defined in 10 CFR 35.2 or equivalent regulations of an Agreement State, or
    - (b) this individual meets the requirements specified in 10 CFR 35.980(b) and 35.972 or equivalent regulations of an Agreement State and the licensee has

received an approved license amendment identifying this individual as an authorized nuclear pharmacist.

- (iii) shall provide to the Agency a copy of each individual's certification by the Board of Pharmaceutical Specialties, or an U.S. Nuclear Regulatory Commission or Agreement State license, and a copy of the State Board of Pharmacy license, no later than 30 days after the date that the licensee allows, pursuant to paragraphs (2)(ii)(a) of this section, the individual to work as an authorized nuclear pharmacist.

(3) A licensee shall possess and use instrumentation to measure the radioactivity of radioactive drugs. The licensee shall have procedures for use of the instrumentation. The licensee shall measure, by direct measurement or by combination of measurements and calculations, the amount of radioactivity in dosages of alpha-, beta-, or photon-emitting radioactive drugs prior to transfer for commercial distribution. In addition, the licensee shall:

- (i) Perform tests before initial use periodically, and following repair, on each instrument for accuracy, linearity, and geometry dependence, as appropriate for the use of the instrument; and make adjustments when necessary; and
- (ii) Check each instrument for constancy and proper operation at the beginning of each day of use.

(4) Nothing in this section relieves the licensee from complying with applicable FDA, other Federal, and State requirements governing radioactive drugs.

- (k) Manufacture and Distribution of Generators or Reagent Kits for Preparation of Radiopharmaceuticals Containing Radioactive Material.<sup>13/</sup> An application for a specific license to manufacture and distribute generators or reagent kits containing radioactive material for preparation of radiopharmaceuticals by persons licensed pursuant to this section for the uses listed in 801.G.32 of these regulations will be approved if:

- (1) the applicant satisfies the general requirements specified in 801.C.25;
- (2) the applicant submits evidence that:
  - (i) the generator or reagent kit is to be manufactured, labeled and packaged in accordance with the Federal Food, Drug and Cosmetic Act or the Public Health Service Act, such as a new drug application (NDA) approved by the Food and Drug

---

<sup>13</sup> Although the Agency does not regulate the manufacture and distribution of reagent kits that do not contain radioactive material, it does regulate the use of such reagent kits for the preparation of radiopharmaceuticals containing radioactive material as part of its licensing and regulation of the users of radioactive material. Any manufacturer of reagent kits that do not contain radioactive material who desires to have his reagent kits approved by the Agency for use by persons licensed pursuant to 801.G.32 of these regulations may submit the pertinent information specified in 801.C.28(k).

Administration (FDA), or a "Notice of Claimed Investigational Exemption for a New Drug" (IND) that has been accepted by the FDA, or

- (ii) the manufacture and distribution of the generator or reagent kit are not subject to the Federal Food, Drug and Cosmetic Act and the Public Health Service Act;
- (3) the applicant submits information on the radionuclide, chemical and physical form, packaging including maximum activity per package, and shielding provided by the packaging of the radioactive material contained in the generator or reagent kit;
- (4) the label affixed to the generator or reagent kit contains information on the radionuclide, quantity, and date of assay; and
- (5) the label affixed to the generator or reagent kit, or the leaflet or brochure which accompanies the generator or reagent kit, contains:
  - (i) adequate information, from a radiation safety standpoint, on the procedures to be followed and the equipment and shielding to be used in eluting the generator or processing radioactive material with the reagent kit, and
  - (ii) a statement that this generator or reagent kit, as appropriate, is approved for distribution to persons licensed by the Agency to use radioactive material identified in 801.G.32 of these regulations or under equivalent licenses of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State. The labels, leaflets, or brochures required by 801.C.28(k) are in addition to the labeling required by the Food and Drug Administration (FDA) and they may be separate from or, with the approval of FDA, may be combined with the labeling required by FDA.

(1) Manufacture and Distribution of Sources or Devices Containing Radioactive Material for Medical Use. An application for a specific license to manufacture and distribute sources and devices containing radioactive material to persons licensed pursuant to Section G for use as a calibration or reference source or for the uses listed in 801.G.40 and 801.G.42 of these regulations will be approved if:

- (1) the applicant satisfies the general requirements in 801.C.25 of this section;
- (2) the applicant submits sufficient information regarding each type of source or device pertinent to an evaluation of its radiation safety, including:
  - (i) the radioactive material contained, its chemical and physical form, and amount,
  - (ii) details of design and construction of the source or device,
  - (iii) procedures for, and results of, prototype tests to demonstrate that the source or device will maintain its integrity under stresses likely to be encountered in normal use and accidents,

- (iv) for devices containing radioactive material, the radiation profile of a prototype device,
  - (v) details of quality control procedures to assure that production sources and devices meet the standards of the design and prototype tests,
  - (vi) procedures and standards for calibrating sources and devices,
  - (vii) legend and methods for labeling sources and devices as to their radioactive content, and
  - (viii) instructions for handling and storing the source or device from the radiation safety standpoint; these instructions are to be included on a durable label attached to the source or device or attached to a permanent storage container for the source or device; provided, that instructions which are too lengthy for such label may be summarized on the label and printed in detail on a brochure which is referenced on the label;
- (3) the label affixed to the source or device, or to the permanent storage container for the source or device, contains information on the radionuclide, quantity, and date of assay, and a statement that the name of source or device is licensed by the Agency for distribution to persons licensed to use radioactive material identified in Section 801.G.19, 801.G.40, or 801.G.42 of these regulations, as appropriate, or under equivalent licenses of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State, provided, that such labeling for sources which do not require long term storage may be on a leaflet or brochure which accompanies the source;
- (4) in the event the applicant desires that the source or device be required to be tested for leakage of radioactive material at intervals longer than 6 months, he shall include in his application sufficient information to demonstrate that such longer interval is justified by performance characteristics of the source or device or similar sources or devices and by design features that have a significant bearing on the probability or consequences of leakage of radioactive material from the source; and
- (5) in determining the acceptable interval for test of leakage of radioactive material, the Agency will consider information that includes, but is not limited to:
- (i) primary containment or source capsule,
  - (ii) protection of primary containment,
  - (iii) method of sealing containment,
  - (iv) containment construction materials,
  - (v) form of contained radioactive material,

- (vi) maximum temperature withstood during prototype tests,
- (vii) maximum pressure withstood during prototype tests,
- (viii) maximum quantity of contained radioactive material,
- (ix) radiotoxicity of contained radioactive material, and
- (x) operating experience with identical sources or devices or similarly designed and constructed sources or devices.

(m) Requirements for License to Manufacture and Distribute Industrial Products Containing Depleted Uranium for Mass-Volume Applications.

(1) An application for a specific license to manufacture industrial products and devices containing depleted uranium for use pursuant to 801.C.21(d) or equivalent regulations of the U.S. Nuclear Regulatory Commission or an Agreement State will be approved if:

- (i) the applicant satisfies the general requirements specified in 801.C.25;
- (ii) the applicant submits sufficient information relating to the design, manufacture, prototype testing, quality control procedures, labeling or marking, proposed uses, and potential hazards of the industrial product or device to provide reasonable assurance that possession, use, or transfer of the depleted uranium in the product or device is not likely to cause any individual to receive, in 1 year, a radiation dose in excess of 10 percent of the annual limits specified in 801.D.201(a) of these regulations; and
- (iii) the applicant submits sufficient information regarding the industrial product or device and the presence of depleted uranium for a mass-volume application in the product or device to provide reasonable assurance that unique benefits will accrue to the public because of the usefulness of the product or device.

(2) In the case of an industrial product or device whose unique benefits are questionable, the Agency will approve an application for a specific license under 801.C.28(m) only if the product or device is found to combine a high degree of utility and low probability of uncontrolled disposal and dispersal of significant quantities of depleted uranium into the environment.

(3) The Agency may deny any application for a specific license under 801. C.28(m) if the end use(s) of the industrial product or device cannot be reasonably foreseen.

(4) Each person licensed pursuant to 801.C.28(m)(1) shall:

- (i) maintain the level of quality control required by the license in the manufacture of the industrial product or device, and in the installation of the depleted uranium into the product or device;

- (ii) label or mark each unit to:
  - (a) identify the manufacturer of the product or device and the number of the license under which the product or device was manufactured, the fact that the product or device contains depleted uranium, and the quantity of depleted uranium in each product or device; and
  - (b) state that the receipt, possession, use, and transfer of the product or device are subject to a general license or the equivalent and the regulations of the U.S. Nuclear Regulatory Commission or an Agreement State;
- (iii) assure that the depleted uranium before being installed in each product or device has been impressed with the following legend clearly legible through any plating or other covering: "Depleted Uranium";
- (iv)
  - (a) furnish a copy of the general license contained in 801.C.21(d) and a copy of the Agency Form RH-15 to each person to whom he transfers depleted uranium in a product or device for use pursuant to the general license contained in 801.C.21(d), or
  - (b) furnish a copy of the general license contained in the U.S. Nuclear Regulatory Commission's or Agreement State's regulation equivalent to 801.C.21(d) and a copy of the U.S. Nuclear Regulatory Commission's or Agreement State's certificate, or alternatively, furnish a copy of the general license contained in 801.C.21(d) and a copy of Agency Form RH-15 to each person to whom he transfers depleted uranium in a product or device for use pursuant to the general license of the U.S. Nuclear Regulatory Commission or an Agreement State, with a note explaining that use of the product or device is regulated by the U.S. Nuclear Regulatory Commission or an Agreement State under requirements substantially the same as those in 801.C.21(d);
- (v) report to the Agency all transfers of industrial products or devices to persons for use under the general license in 801.C.21(d). Such report shall identify each general licensee by name and address, an individual by name and/or position who may constitute a point of contact between the Agency and the general licensee, the type and model number of device transferred, and the quantity of depleted uranium contained in the product or device. The report shall be submitted within 30 days after the end of each calendar quarter in which such a product or device is transferred to the generally licensed person. If no transfers have been made to persons generally licensed under 801.C.21(d) during the reporting period, the report shall so indicate.
- (vi)
  - (a) report to the U.S. Nuclear Regulatory Commission all transfers of industrial products or devices to persons for use under the U.S. Nuclear

Regulatory Commission general license in Section 40.25 of 10 CFR Part 40,

- (b) report to the responsible State agency all transfers of devices manufactured and distributed pursuant to 801.C.28(m) for use under a general license in that State's regulations equivalent to 801.C.21(d),
  - (c) such report shall identify each general licensee by name and address, an individual by name and/or position who may constitute a point of contact between the agency and the general licensee, the type and model number of the device transferred, and the quantity of depleted uranium contained in the product or device. The report shall be submitted within 30 days after the end of each calendar quarter in which such product or device is transferred to the generally licensed person,
  - (d) if no transfers have been made to U.S. Nuclear Regulatory Commission licensees during the reporting period, this information shall be reported to the U.S. Nuclear Regulatory Commission, and
  - (e) if no transfers have been made to general licensees within a particular Agreement State during the reporting period, this information shall be reported to the responsible Agreement State agency upon the request of that agency; and
- (vii) keep records showing the name, address, and point of contact for each general licensee to whom he transfers depleted uranium in industrial products or devices for use pursuant to the general license provided in 801.C.21(d) or equivalent regulations of the U.S. Nuclear Regulatory Commission or an Agreement State. The records shall be maintained for a period of 2 years and shall show the date of each transfer, the quantity of depleted uranium in each product or device transferred, and compliance with the report requirements of this section.

801.C.29 Reserved.

801.C.30 Issuance of Specific Licenses.

- (a) Upon a determination that an application meets the requirements of the Act and the regulations of the Agency, the Agency will issue a specific license authorizing the proposed activity in such form and containing such conditions and limitations as it deems appropriate or necessary.
- (b) The Agency may incorporate in any license at the time of issuance, or thereafter by appropriate rule, regulation, or order, such additional requirements and conditions with respect to the licensee's receipt, possession, use, and transfer of radioactive material subject to this section as it deems appropriate or necessary in order to:
  - (1) minimize danger to public health and safety or property;



- (2) require such reports and keeping of such records, and to provide for such inspections of activities under the license as may be appropriate or necessary; and
- (3) prevent loss or theft of material subject to this section.

801.C.31 Specific Terms and Conditions of License.

(a) Each license issued pursuant to the regulations in this section and the regulations in Sections E, G, N, Q, and W shall be subject to all the provisions of the Act, now or hereafter in effect, and to all rules, regulations, and orders of the Agency.

(b) No license issued or granted pursuant to the regulations in this section and Sections E, G, N, Q, and W nor any right to possess or utilize radioactive material granted by any license shall be transferred, assigned, or in any manner disposed of, either voluntarily or involuntarily, directly or indirectly, through transfer of control of any license to any person unless the Agency shall, after securing full information, find that the transfer is in accordance with the provisions of the Act, now or hereafter in effect, and to all valid rules, regulations, and orders of the Agency, and shall give its consent in writing.

(c) If licensed activities are transferred or assigned in accordance with 801.C.31(b), each licensee authorized to possess radioactive material, with a half-life greater than 120 days, in an unsealed form, shall transfer the following records to the new licensee and the new licensee will be responsible for maintaining these records until the license is terminated:

(1) Records of disposal of licensed material made under 801.D.1002 (including burials authorized before May 9, 1986), 801.D.1003, 801.D.1004, 801.D.1005; and

(2) Records required by 801.D.1103(b)(4).

(~~e~~d) Each person licensed by the Agency pursuant to the regulations in this section and Sections E, G, N, Q, and W shall confine use and possession of the material licensed to the locations and purposes authorized in the license.

(~~d~~e) Each licensee shall notify the Agency in writing when the licensee decided to permanently discontinue all activities involving materials authorized under the license.

(~~e~~f) (1) Each licensee shall notify the Agency in writing immediately following the filing of a voluntary or involuntary petition for bankruptcy under any Chapter of Title 11 (Bankruptcy) of the United States Code by or against:

- (i) the licensee;
- (ii) an entity (as that term is defined in 11 U.S.C. 101(14)) controlling the licensee or listing the license or licensee as property of the estate; or
- (iii) an affiliate (as that term is defined in 11 U.S.C. 101(2)) of the licensee.

(2) The notification shall indicate:

- (i) the bankruptcy court in which the petition for bankruptcy was filed; and
- (ii) the date of the filing of the petition.

(fg) Licensees required to submit emergency plans by 801.C.24(h) shall follow the emergency plan approved by the Agency. The licensee may change the approved emergency plan without Agency approval only if the changes do not decrease the effectiveness of the plan. The licensee shall furnish the change to the Agency and to affected offsite response organizations within six months after the change is made. Proposed changes that decrease, or potentially decrease, the effectiveness of the approved emergency plan may not be implemented without prior application to and prior approval by the Agency.

801.C.32 Expiration and Termination of Licenses and Decommissioning of Sites and Separate Buildings or Outdoor Areas.

(a) Each specific license expires at the end of the day on the expiration date stated in the license unless the licensee has filed an application for renewal under 801.C.33 not less than 30 days before the expiration date stated in the existing license. If an application for renewal has been filed at least 30 days prior to the expiration date stated in the existing license, the existing license expires at the end of the day on which the Agency makes a final determination to deny the renewal application or, if the determination states an expiration date, the expiration date stated in the determination.

(b) Each specific license revoked by the Agency expires at the end of the day on the date of the Agency's final determination to revoke the license, or on the expiration date stated in the determination, or as otherwise provided by Agency Order.

(c) Each specific license continues in effect, beyond the expiration date if necessary, with respect to possession of radioactive material until the Agency notifies the licensee in writing that the license is terminated. During this time, the licensee shall:

- (1) Limit actions involving radioactive material to those related to decommissioning; and
- (2) Continue to control entry to restricted areas until they are suitable for release in accordance with Agency requirements.

(d) Within 60 days of the occurrence of any of the following, each licensee shall provide notification to the Agency in writing of such occurrence, and either begin decommissioning its site, or any separate building or outdoor area that contains residual radioactivity so that the building or outdoor area is suitable for release in accordance with Agency requirements, or submit within 12 months of notification a decommissioning plan, if required by 801.C.32(g)(1), and begin decommissioning upon approval of that plan if:

- (1) The license has expired pursuant to 801.C.32(a) or (b); or

- (2) The licensee has decided to permanently cease principal activities, as defined in this section, at the entire site or in any separate building or outdoor area that contains residual radioactivity such that the building or outdoor area is unsuitable for release in accordance with Agency requirements; or
  - (3) No principal activities under the license have been conducted for a period of 24 months; or
  - (4) No principal activities have been conducted for a period of 24 months in any separate building or outdoor area that contains residual radioactivity such that the building or outdoor area is unsuitable for release in accordance with Agency requirements.
- (e) Coincident with the notification required by 801.C.32(d), the licensee shall maintain in effect all decommissioning financial assurances established by the licensee pursuant to 801.C.25(g) in conjunction with a license issuance or renewal or as required by this section. The amount of the financial assurance must be increased, or may be decreased, as appropriate, to cover the detailed cost estimate for decommissioning established pursuant to 801.C.32(g)(4)(v).
- (1) Any licensee who has not provided financial assurance to cover the detailed cost estimate submitted with the decommissioning plan shall do so within 180 days of the effective date of these regulations.
  - (2) Following approval of the decommissioning plan, a licensee may reduce the amount of the financial assurance as decommissioning proceeds and radiological contamination is reduced at the site with the approval of the Agency.
- (f) The Agency may grant a request to extend the time periods established in 801.C.32(d) if the Agency determines that this relief is not detrimental to the public health and safety and is otherwise in the public interest. The request must be submitted no later than 30 days before notification pursuant to 801.C.32(d). The schedule for decommissioning set forth in 801.C.32(d) may not commence until the Agency has made a determination on the request.
- (g) (1) A decommissioning plan must be submitted if required by license condition or if the procedures and activities necessary to carry out decommissioning of the site or separate building or outdoor area have not been previously approved by the Agency and these procedures could increase potential health and safety impacts to workers or to the public, such as in any of the following cases:
- (i) Procedures would involve techniques not applied routinely during cleanup or maintenance operations;
  - (ii) Workers would be entering areas not normally occupied where surface contamination and radiation levels are significantly higher than routinely encountered during operation;
  - (iii) Procedures could result in significantly greater airborne concentrations of radioactive materials than are present during operation; or

- (iv) Procedures could result in significantly greater releases of radioactive material to the environment than those associated with operation.
- (2) The Agency may approve an alternate schedule for submittal of a decommissioning plan required pursuant to 801.C.32(d) if the Agency determines that the alternative schedule is necessary to the effective conduct of decommissioning operations and presents no undue risk from radiation to the public health and safety and is otherwise in the public interest.
- (3) Procedures such as those listed in 801.C.32(g)(1) with potential health and safety impacts may not be carried out prior to approval of the decommissioning plan.
- (4) The proposed decommissioning plan for the site or separate building or outdoor area must include:
  - (i) A description of the conditions of the site or separate building or outdoor area sufficient to evaluate the acceptability of the plan;
  - (ii) A description of planned decommissioning activities;
  - (iii) A description of methods used to ensure protection of workers and the environment against radiation hazards during decommissioning.
  - (iv) A description of the planned final radiation survey; and
  - (v) An updated detailed cost estimate for decommissioning, comparison of that estimate with present funds set aside for decommissioning, and a plan for assuring the availability of adequate funds for completion of decommissioning.
  - (vi) For decommissioning plans calling for completion of decommissioning later than 24 months after plan approval, the plan shall include a justification for the delay based on the criteria in 801.C.32(i).
- (5) The proposed decommissioning plan will be approved by the Agency if the information therein demonstrates that the decommissioning will be completed as soon as practicable and that the health and safety of workers and the public will be adequately protected.
- (h)
  - (1) Except as provided in 801.C.32(i), licensees shall complete decommissioning of the site or separate building or outdoor area as soon as practicable but no later than 24 months following the initiation of decommissioning.
  - (2) Except as provided in 801.C.32(i), when decommissioning involves the entire site, the licensee shall request license termination as soon as practicable but no later than 24 months following the initiation of decommissioning.
- (i) The Agency may approve a request for an alternative schedule for completion of decommissioning of the site or separate building or outdoor area, and license termination if

appropriate, if the Agency determines that the alternative is warranted by consideration of the following:

- (1) Whether it is technically feasible to complete decommissioning within the allotted 24-month period;
  - (2) Whether sufficient waste disposal capacity is available to allow completion of decommissioning within the allotted 24-month period;
  - (3) Whether a significant volume reduction in wastes requiring disposal will be achieved by allowing short-lived radionuclides to decay;
  - (4) Whether a significant reduction in radiation exposure to workers can be achieved by allowing short-lived radionuclides to decay; and
  - (5) Other site-specific factors which the Agency may consider appropriate on a case-by-base basis, such as the regulatory requirements of other government agencies, lawsuits, ground-water treatment activities, monitored natural ground-water restoration, actions that could result in more environmental harm than deferred cleanup, and other factors beyond the control of the licensee.
- (j) As the final step in decommissioning, the licensee shall:
- (1) Certify the disposition of all licensed material, including accumulated wastes, by submitting a completed Agency Form RH-18, "Certification of Disposition of Materials" or equivalent information; and
  - (2) Conduct a radiation survey of the premises where the licensed activities were carried out and submit a report of the results of this survey unless the licensee demonstrates that the premises are suitable for release in ~~some other manner~~ **accordance with the criteria for decommissioning in 801.D.1402, 801.D.1403, or 801.D.1404.** The licensee shall, as appropriate:
    - (i) Report levels of gamma radiation in units of millisieverts (microrentgen) per hour at one meter from surfaces, and report levels of radioactivity, including alpha and beta, in units of disintegrations per minute or megabecquerels (microcuries) per 100 square centimeters-removable and fixed-for surfaces, megabecquerels (microcuries) per milliliter for water, and becquerels (picocuries) per gram for solids such as soils or concrete; and
    - (ii) Specify the survey instrument(s) used and certify that each instrument is properly calibrated and tested.
- (k) Specific licenses, including expired licenses, will be terminated by written notice to the licensee when the Agency determines that:
- (1) Radioactive material has been properly disposed;

- (2) Reasonable effort has been made to eliminate residual radioactive contamination, if present; and
- (3) (i) A radiation survey has been performed which demonstrates that the premises are suitable for release in accordance with ~~Agency requirements~~ **the criteria for decommissioning in 801.D.1402, 801.D.1403, or 801.D.1404.**; or
- (ii) Other information submitted by the licensee is sufficient to demonstrate that the premises are suitable for release in accordance with ~~Agency requirements~~ **the criteria for decommissioning in 801.D.1402, 801.D.1403, or 801.D.1404.**

#### 801.C.33 Renewal of Licenses.

- (a) Applications for renewal of specific licenses shall be filed in accordance with 801.C.24.
- (b) In any case in which a licensee, not less than 30 days prior to expiration of his existing license, has filed an application in proper form for renewal or for a new license authorizing the same activities, such existing license shall not expire until final action by the Agency.

#### 801.C.34 Amendment of Licenses at Request of Licensee.

Applications for amendment of a license shall be filed in accordance with 801.C.24 and shall specify the respects in which the licensee desires the license to be amended and the grounds for such amendment.

#### 801.C.35 Agency Action on Applications to Renew or Amend.

In considering an application by a licensee to renew or amend the license, the Agency will apply the criteria set forth in 801.C.25, 801.C.26, 801.C.27, and 801.C.28, and in Sections E, G, N, Q, or W of these regulations as applicable.

#### 801.C.36 Reserved.

#### 801.C.37 Reserved.

#### 801.C.38 Reserved.

#### 801.C.39 Reserved.

#### 801.C.40 Transfer of Material.

- (a) No licensee shall transfer radioactive material except as authorized pursuant to 801.C.40.
- (b) Except as otherwise provided in his license and subject to the provisions of 801.C.40(c) and (d), any licensee may transfer radioactive material:

- (1) to the Agency;<sup>14/</sup>
  - (2) to the U.S. Department of Energy;
  - (3) to any person exempt from the regulations in the section to the extent permitted under such exemption;
  - (4) to any person authorized to receive such material under terms of a general license or its equivalent, or a specific license or equivalent licensing document, issued by the Agency, the U.S. Nuclear Regulatory Commission, any Agreement State or any Licensing State, or to any person otherwise authorized to receive such material by the Federal Government or any agency thereof, the Agency, an Agreement State, or a Licensing State; or
  - (5) as otherwise authorized by the Agency in writing.
- (c) Before transferring radioactive material to a specific licensee of the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State, or to a general licensee who is required to register with the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State prior to receipt of the radioactive material, the licensee transferring the material shall verify that the transferee's license authorizes the receipt of the type, form, and quantity of radioactive material to be transferred.
- (d) Any of the following methods for the verification required by 801.C.40(c) is acceptable:
- (1) The transferor may possess and read a current copy of the transferee's specific license or registration certificate.
  - (2) The transferor may possess a written certification by the transferee that the transferee is authorized by license or registration certificate to receive the type, form, and quantity of radioactive material to be transferred, specifying the license or registration certificate number, issuing agency, and expiration date.
  - (3) For emergency shipments, the transferor may accept oral certification by the transferee that the transferee is authorized by license or registration certificate to receive the type, form, and quantity of radioactive material to be transferred, specifying the license or registration certificate number, issuing agency, and expiration date; provided, that the oral certification is confirmed in writing within 10 days.
  - (4) The transferor may obtain other information compiled by a reporting service from official records of the Agency, the U.S. Nuclear Regulatory Commission, the licensing agency of an Agreement State, or a Licensing State regarding the identity of licensees and the scope and expiration dates of licenses and registration.

---

<sup>14</sup> A licensee may transfer material to the Agency only after receiving prior approval from the Agency.

(5) When none of the methods of verification described in 801.C.40(d)(1) through (4) are readily available or when a transferor desires to verify that information received by one of such methods is correct or up-to-date, the transferor may obtain and record confirmation from the Agency, the U.S. Nuclear Regulatory Commission, or the licensing agency of an Agreement State, or a Licensing State that the transferee is licensed to receive the radioactive material.

(e) Shipment and transport of radioactive material shall be in accordance with the provisions of Section T of these regulations.

#### 801.C.50 Modification and Revocation of Licenses.

(a) The terms and conditions of all licenses shall be subject to amendment, revision, or modification or the license may be suspended or revoked by reason of amendments to the Act, or by reason of rules, regulations, and orders issued by the Agency.

(b) Any license may be revoked, suspended, or modified, in whole or in part, for any material false statement in the application or any statement of fact required under provisions of the Act, or because of conditions revealed by such application or statement of fact or any report, record, or inspection or other means which would warrant the Agency to refuse to grant a license on an original application, or for violation of, or failure to observe any of the terms and conditions of the Act, or of the license, or of any rule, regulation, or order of the Agency.

(c) Except in cases of willfulness or those in which the public health, interest or safety requires otherwise, no license shall be modified, suspended, or revoked unless, prior to the institution of proceedings therefor, facts or conduct which may warrant such action shall have been called to the attention of the licensee in writing and the licensee shall have been accorded an opportunity to demonstrate or achieve compliance with all lawful requirements.

#### Reciprocity

#### 801.C.90 Reciprocal Recognition of Licenses for Byproduct, Source, **Naturally Occurring and Accelerator-Produced Radioactive Material and Special** Nuclear Material in Quantities Not Sufficient to Form a Critical Mass.

(a) Subject to these regulations, any person who holds a specific license from the U.S. Nuclear Regulatory Commission, ~~or~~ an Agreement State, **or a Licensing State**, and issued by the agency having jurisdiction where the licensee maintains an office for directing the licensed activity and at which radiation safety records are normally maintained, is hereby granted a general license to conduct the activities authorized in such licensing document within this State, **except for areas of exclusive federal jurisdiction**, for a period not in excess of 180 days in any calendar year provided that:

(1) the licensing document does not limit the activity authorized by such document to specified installations or locations;



- (2) the out-of-state licensee notifies the Agency in writing at least 3 days prior to engaging in such activity. Such notification shall indicate the location, period, and type of proposed possession and use within the State, and shall be accompanied by a copy of the pertinent licensing document and an annual fee as provided in Section 45-14-31 of the Act. If, for a specific case, the 3 day period would impose an undue hardship on the out-of-state licensee, the licensee may, upon application to the Agency, obtain permission to proceed sooner. The Agency may waive the requirement for filing additional written notifications during the remainder of the calendar year following the receipt of the initial notification from a person engaging in activities under the general license provided in 801.C.90(a)(~~+~~);
  - (3) the out-of-state licensee complies with all applicable regulations of the Agency and with all the terms and conditions of the licensing document, except any such terms and conditions which may be inconsistent with applicable regulations of the Agency;
  - (4) the out-of-state licensee supplies such other information as the Agency may request; and
  - (5) the out-of-state licensee shall not transfer or dispose of radioactive material possessed or used under the general license provided in 801.C.90(a)(~~+~~) except by transfer to a person:
    - (i) specifically licensed by the Agency or by the U.S. Nuclear Regulatory Commission, ~~or an Agreement State,~~ or a Licensing State to receive such material, or
    - (ii) exempt from the requirements for a license for such material under 801.C.4(a).
- (b) Notwithstanding the provisions of 801.C.90(a)(~~+~~), any person who holds a specific license issued by the U.S. Nuclear Regulatory Commission, ~~or an Agreement State,~~ or a Licensing State authorizing the holder to manufacture, transfer, install, or service a device described in 801.C.22(d)(1) within areas subject to the jurisdiction of the licensing body is hereby granted a general license to install, transfer, demonstrate, or service such a device in this State provided that:
- (1) such person shall file a report with the Agency within 30 days after the end of each calendar quarter in which any device is transferred to or installed in this State. Each such report shall identify each general licensee to whom such device is transferred by name and address, the type of device transferred, and the quantity and type of radioactive material contained in the device;
  - (2) the device has been manufactured, labeled, installed, and serviced in accordance with applicable provisions of the specific license issued to such person by the U.S. Nuclear Regulatory Commission, ~~or an Agreement State,~~ or a Licensing State;
  - (3) such person shall assure that any labels required to be affixed to the device under regulations of the authority which licensed manufacture of the device bear a statement that "Removal of the label is prohibited"; and
  - (4) the holder of the specific license shall furnish to each general licensee to whom he transfers such device or on whose premises he installs such device a copy of the general license

contained in 801.C.22(d) or in equivalent regulations of the agency having jurisdiction over the manufacture and distribution of the device.

(c) The Agency may withdraw, limit, or qualify its acceptance of any specific license or equivalent licensing document issued by the U.S. Nuclear Regulatory Commission or an Agreement State, or any product distributed pursuant to such licensing document, upon determining that such action is necessary in order to prevent undue hazard to public health and safety or property.

~~(b) Licenses of Naturally Occurring and Accelerator-Produced Radioactive Material:~~

~~———— (1) Subject to these regulations, any person who holds a specific license from a Licensing State, and issued by the agency having jurisdiction where the licensee maintains an office for directing the licensed activity and at which radiation safety records are normally maintained, is hereby granted a general license to conduct the activities authorized in such licensing document within this State for a period not in excess of 180 days in any calendar year provided that:~~

~~———— (i) the licensing document does not limit the activity authorized by such document to specified installations or locations;~~

~~———— (ii) the out-of-state licensee notifies the Agency in writing at least 3 days prior to engaging in such activity. Such notification shall indicate the location, period, and type of proposed possession and use within the State, and shall be accompanied by a copy of the pertinent licensing document and an annual fee as provided in Section 45-14-31 of the Act. If, for a specific case, the 3 day period would impose an undue hardship on the out-of-state licensee, the licensee may, upon application to the Agency, obtain permission to proceed sooner. The Agency may waive the requirement for filing additional written notifications during the remainder of the calendar year following the receipt of the initial notification from a person engaging in activities under the general license provided in 801.C.90(b)(1);~~

~~———— (iii) the out-of-state licensee complies with all applicable regulations of the Agency and with all the terms and conditions of the licensing document, except any such terms and conditions which may be inconsistent with applicable regulations of the Agency;~~

~~———— (iv) the out-of-state licensee supplies such other information as the Agency may request; and~~

~~———— (v) the out-of-state licensee shall not transfer or dispose of radioactive material possessed or used under the general license provided in 801.C.90(b)(1) except by transfer to a person:~~

~~———— (a) specifically licensed by the Agency or by another Licensing State to receive such material, or~~

- ~~(b) exempt from the requirements for a license for such material under 801.C.4.~~
- ~~(2) Notwithstanding the provisions of 801.C.90(b)(1), any person who holds a specific license issued by a Licensing State authorizing the holder to manufacture, transfer, install, or service a device described in 801.C.22(d)(1) within areas subject to the jurisdiction of the licensing body is hereby granted a general license to install, transfer, demonstrate or service such a device in this State provided that:~~
- ~~(i) Such person shall file a report with the Agency within 30 days after the end of each calendar quarter in which any device is transferred to or installed in this State. Each such report shall identify each general licensee to whom such device is transferred by name and address, the type of device transferred, and the quantity and type of radioactive material contained in the device;~~
  - ~~(ii) The device has been manufactured, labeled, installed, and serviced in accordance with applicable provisions of the specific license issued to such person by a Licensing State;~~
  - ~~(iii) Such person shall assure that any labels required to be affixed to the device under regulations of the authority which licensed manufacture of the device bear a statement that "Removal of this label is prohibited"; and~~
  - ~~(iv) The holder of the specific license shall furnish to each general licensee to whom he transfers such device or on whose premises he installs such device a copy of the general license contained in 801.C.22(d) or in equivalent regulations of the agency having jurisdiction over the manufacture and distribution of the device.~~
- ~~(3) The Agency may withdraw, limit, or qualify its acceptance of any specific license or equivalent licensing document issued by a Licensing State, or any product distributed pursuant to such licensing document, upon determining that such action is necessary in order to prevent undue hazard to public health and safety or property.~~

# PART 801

## SECTION C

### APPENDIX A

#### EXEMPT CONCENTRATIONS

Element (atomic number)	Radionuclide	Column I Gas concentration uCi/ml <u>1/</u>	Column II Liquid and solid concentration uCi/ml <u>2/</u>
Antimony (51)	Sb-122		3X10-4
	Sb-124		2X10-4
	Sb-125		1X10-3
Argon (18)	Ar-37	1X10-3	
	Ar-41	4X10-7	
Arsenic (33)	As-73		5X10-3
	As-74		5X10-4
	As-76		2X10-4
	As-77		8X10-4
Barium (56)	Ba-131		2X10-3
	Ba-140		3X10-4
Beryllium (4)	Be-7		2X10-2
Bismuth (83)	Bi-206		4X10-4
Bromine (35)	Br-82	4X10-7	3X10-3
Cadmium (48)	Cd-109		2X10-3
	Cd-115m		3X10-4
	Cd-115		3X10-4
Calcium (20)	Ca-45		9X10-5
	Ca-47		5X10-4
Carbon (6)	C-14	1X10-6	8X10-3
Cerium (58)	Ce-141		9X10-4
	Ce-143		4X10-4
	Ce-144		1X10-4
Cesium (55)	Cs-131		2X10-2
	Cs-134m		6X10-2
	Cs-134		9X10-5
Chlorine (17)	Cl-38	9X10-7	4X10-3
Chromium (24)	Cr-51		2X10-2

1/ Values are given in Column I only for those materials normally used as gases.

2/ uCi/g for solids.

Element (atomic number)	Radionuclide	Column I Gas concentration uCi/ml <u>1</u> /	Column II Liquid and solid concentration uCi/ml <u>2</u> /
Cobalt (27)	Co-57		5X10-3
	Co-58		1X10-3
	Co-60		5X10-4
Copper (29)	Cu-64		3X10-3
Dysprosium (66)	Dy-165		4X10-3
	Dy-166		4X10-4
Erbium (68)	Er-169		9X10-4
	Er-171		1X10-3
Europium (63)	Eu-152(9.2 h)		6X10-4
	Eu-155		2X10-3
Flourine (9)	F-18	2X10-6	8X10-3
Gadolinium (64)	Gd-153		2X10-3
	Gd-159		8X10-4
Gallium (31)	Ga-72		4X10-4
Germanium (32)	Ge-71		2X10-2
Gold (79)	Au-196		2X10-3
	Au-198		5X10-4
	Au-199		2X10-3
Hafnium (72)	Hf-181		7X10-4
Hydrogen (1)	H-3	5X10-6	3X10-2
Indium (49)	In-113m		1X10-2
	In-114m		2X10-4
Iodine (53)	I-126	3X10-9	2X10-5
	I-131	3X10-9	2X10-5
	I-132	8X10-8	6X10-4
	I-133	1X10-8	7X10-5
	I-134	2X10-7	1X10-3
Iridium (77)	Ir-190		2X10-3
	Ir-192		4X10-4
	Ir-194		3X10-4
Iron (26)	Fe-55		8X10-3
	Fe-59		6X10-4
Krypton (36)	Kr-85m	1X10-6	
	Kr-85	3X10-6	

1/ Values are given in Column I only for those materials normally used as gases.

2/ uCi/g for solids.

Element (atomic number)	Radionuclide	Column I Gas concentration uci/ml 1/	Column II Liquid and solid concentration uci/ml 2/
Lanthanum (57)	La-140		2X10-4
Lead (82)	Pb-203		4X10-3
Lutetium (71)	Lu-177		1X10-3
Manganese (25)	Mn-52		3X10-4
	Mn-54		1X10-3
	Mn-56		1X10-3
Mercury (80)	Hg-197m		2X10-3
	Hg-197		3X10-3
	Hg-203		2X10-4
Molybdenum (42)	Mo-99		2X10-3
Neodymium (60)	Nd-147		6X10-4
	Nd-149		3X10-3
Nickel (28)	Ni-65		1X10-3
Niobium (Columbium)(41)	Nb-95		1X10-3
	Nb-97		9X10-3
Osmium (76)	Os-185		7X10-4
	Os-191m		3X10-2
	Os-191		2X10-3
	Os-193		6X10-4
Palladium (46)	Pd-103		3X10-3
	Pd-109		9x10-4
Phosphorus (15)	P-32		2X10-4
Platinum (78)	Pt-191		1X10-3
	Pt-193m		1X10-2
	Pt-197m		1X10-2
	Pt-197		1X10-3
Potassium (19)	K-42		3X10-3
Praseodymium (59)	Pr-142		3X10-4
	Pr-143		5X10-4
Promethium (61)	Pm-147		2X10-3
	Pm-149		4X10-4
Rhenium (75)	Re-183		6X10-3
	Re-186		9X10-4
	Re-188		6X10-4

1/ Values are given in Column I only for those materials normally used as gases.

2/ uci/g for solids.

Element (atomic number)	Radionuclide	Column I Gas concentration uci/ml <u>1</u> /	Column II Liquid and solid concentration uci/ml <u>2</u> /
Rhodium (45)	Rh-103m		1X10-1
	Rh-105		1X10-3
Rubidium (37)	Rb-86		7X10-4
Ruthenium (44)	Ru-97		4X10-3
	Ru-103		8X10-4
	Ru-105		1X10-3
	Ru-106		1X10-4
Samarium (62)	Sm-153		8X10-4
Scandium (21)	Sc-46		4X10-4
	Sc-47		9X10-4
	Sc-48		3X10-4
Selenium (34)	Se-75		3X10-3
Silicon (14)	Si-31		9X10-3
Silver (47)	Ag-105		1X10-3
	Ag-110m		3X10-4
	Ag-111		4X10-4
Sodium (11)	Na-24		2X10-3
Strontium (38)	Sr-85		1X10-3
	Sr-89		1X10-4
	Sr-91		7X10-4
	Sr-92		7X10-4
Sulfur (16)	S-35	9X10-8	6X10-4
Tantalum (73)	Ta-182		4X10-4
Technetium (43)	Tc-96m		1X10-1
	Tc-96		1X10-3
Tellurium (52)	Te-125m		2X10-3
	Te-127m		6X10-4
	Te-127		3X10-3
	Te-129m		3X10-4
	Te-131m		6X10-4
	Te-132		3X10-4
Terbium (65)	Tb-160		4X10-4
Thallium (81)	Tl-200		4X10-3
	Tl-201		3X10-3

1/ Values are given in Column I only for those materials normally used as gases.

2/ uCi/g for solids.



Element (atomic number)	Radionuclide	Column I Gas concentration uci/ml <u>1</u> /	Column II Liquid and solid concentration uci/ml <u>2</u> /
	Tl-202		1X10 <sup>-3</sup>
	Tl-204		1X10 <sup>-3</sup>
Thulium (69)	Tm-170		5X10 <sup>-4</sup>
	Tm-171		5X10 <sup>-3</sup>
Tin (50)	Sn-113		9X10 <sup>-4</sup>
	Sn-125		2X10 <sup>-4</sup>
Tungsten (Wolfram) (74)	W-181		4X10 <sup>-3</sup>
	W-187		7X10 <sup>-4</sup>
Vanadium (23)	V-48		3X10 <sup>-4</sup>
Xenon (54)	Xe-131m	4X10 <sup>-6</sup>	
	Xe-133	3X10 <sup>-6</sup>	
	Xe-135	1X10 <sup>-6</sup>	
Ytterbium (70)	Yb-175		1X10 <sup>-3</sup>
Yttrium (39)	Y-90		2X10 <sup>-4</sup>
	Y-91m		3X10 <sup>-2</sup>
	Y-91		3X10 <sup>-4</sup>
	Y-92		6X10 <sup>-4</sup>
	Y-93		3X10 <sup>-4</sup>
Zinc (30)	Zn-65		1X10 <sup>-3</sup>
	Zn-69m		7X10 <sup>-4</sup>
	Zn-69		2X10 <sup>-2</sup>
Zirconium (40)	Zr-95		6X10 <sup>-4</sup>
	Zr-97		2X10 <sup>-4</sup>
Beta- and/or gamma-emitting radioactive material not listed above with half-life of less than 3 years.		1X10 <sup>-10</sup>	1X10 <sup>-6</sup>

1/ Values are given in Column I only for those materials normally used as gases.

2/ uCi/g for solids.

Note 1: Many radionuclides transform into other radionuclides which are also radioactive. In expressing the concentrations in Appendix A, the activity stated is that of the parent radionuclide and takes into account the radioactive decay products.

Note 2: For purposes of Section 801.C.4 where there is involved a combination of radionuclides, the limit for the combination should be derived as follows: Determine for each radionuclide in the product the ratio between the radioactivity concentration present in the product and the exempt radioactivity concentration established in Appendix A for the specific radionuclide when not in combination. The sum of such ratios may not exceed "1".

Example:  $\frac{\text{Concentration of Radionuclide A in Product}}{\text{Exempt concentration of Radionuclide A}} +$

$\frac{\text{Concentration of Radionuclide B in Product}}{\text{Exempt concentration of Radionuclide B}} \leq 1$

Note 3: To convert uCi/ml to SI units of megabecquerels per liter multiply the above values by 37.

Example: Zirconium (40) Zr-97 ( $2 \times 10^{-4}$  uCi/ml multiplied by 37 is equivalent to  $74 \times 10^{-4}$  MBq/l).

**PART 801**

**SECTION C**

**APPENDIX B**

**EXEMPT QUANTITIES**

Radioactive Material	Microcuries
Antimony-122 (Sb-122)	100
Antimony-124 (Sb-124)	10
Antimony-125 (Sb-125)	10
Arsenic-73 (As-73)	100
Arsenic-74 (As-74)	10
Arsenic-76 (As-76)	10
Arsenic-77 (As-77)	100
Barium-131 (Ba-131)	10
Barium-133 (Ba-133)	10
Barium-140 (Ba-140)	10
Bismuth-210 (Bi-210)	1
Bromine-82 (Br-82)	10
Cadmium-109 (Cd-109)	10
Cadmium-115M (Cd-115m)	10
Cadmium-115 (Cd-115)	100
Calcium-45 (Ca-45)	10
Calcium-47 (Ca-47)	10
Carbon-14 (C-14)	100
Cerium-141 (Ce-141)	100
Cerium-143 (Ce-143)	100
Cerium-144 (Ce-144)	1
Cesium-129 (Cs-129)	100
Cesium-131 (Cs-131)	1,000
Cesium-134m (Cs-134m)	100
Cesium-134 (Cs-134)	1
Cesium-135 (Cs-135)	10
Cesium-136 (Cs-136)	10
Cesium-137 (Cs-137)	10
Chlorine-36 (Cl-36)	10
Chlorine-38 (Cl-38)	10
Chromium-51 (Cr-51)	1,000
Cobalt-57 (Co-57)	100
Cobalt-58m (Co-58m)	10
Cobalt-58 (Co-58)	10
Cobalt-60 (Co-60)	1

## Radioactive Material

## Microcuries

---

Copper-64 (Cu-64)	100
Dysprosium-165 (Dy-165)	10
Dysprosium-166 (Dy-166)	100
Erbium-169 (Er-169)	100
Erbium-171 (Er-171)	100
Europium-152 (Eu-152) 9.2h	100
Europium-152 (Eu-152) 13 yr	1
Europium-154 (Eu-154)	1
Europium-155 (Eu-155)	10
Fluorine-18 (F-18)	1,000
Gadolinium-153 (Gd-153)	10
Gadolinium-159 (Gd-159)	100
Gallium-67 (Ga-67)	100
Gallium-72 (Ga-72)	10
Germanium-68 (Ge-68)	10
Germanium-71 (Ge-71)	100
Gold-195 (Au-195)	10
Gold-198 (Au-198)	100
Gold-199 (Au-199)	100
Hafnium-181 (Hf-181)	10
Holmium-166 (Ho-166)	100
Hydrogen-3 (H-3)	1,000
Indium-111 (In-111)	100
Indium-113m (In-113m)	100
Indium-114m (In-114m)	10
Indium-115m (In-115m)	100
Indium-115 (In-115)	10
Iodine-123 (I-123)	100
Iodine-125 (I-125)	1
Iodine-126 (I-126)	1
Iodine-129 (I-129)	0.1
Iodine-131 (I-131)	1
Iodine-132 (I-132)	10
Iodine-133 (I-133)	1
Iodine-134 (I-134)	10
Iodine-135 (I-135)	10
Iridium-192 (Ir-192)	10
Iridium-194 (Ir-194)	100
Iron-52 (Fe-52)	10
Iron-55 (Fe-55)	100
Iron-59 (Fe-59)	10
Krypton-85 (Kr-85)	100
Krypton-87 (Kr-87)	10

## Radioactive Material

## Microcuries

---

Lanthanum-140 (La-140)	10
Lutetium-177 (Lu-177)	100
Manganese-52 (Mn-52)	10
Manganese-54 (Mn-54)	10
Manganese-56 (Mn-56)	10
Mercury-197m (Hg-197m)	100
Mercury-197 (Hg-197)	100
Mercury-203 (Hg-203)	10
Molybdenum-99 (Mo-99)	100
Neodymium-147 (Nd-147)	100
Neodymium-149 (Nd-149)	100
Nickel-59 (Ni-59)	100
Nickel-63 (Ni-63)	10
Nickel-65 (Ni-65)	100
Niobium-93m (Nb-93m)	10
Niobium-95 (Nb-95)	10
Niobium-97 (Nb-97)	10
Osmium-185 (Os-185)	10
Osmium-191m (Os-191m)	100
Osmium-191 (Os-191)	100
Osmium-193 (Os-193)	100
Palladium-103 (Pd-103)	100
Palladium-109 (Pd-109)	100
Phosphorus-32 (P-32)	10
Platinum-191 (Pt-191)	100
Platinum-193m (Pt-193m)	100
Platinum-193 (Pt-193)	100
Platinum-197m (Pt-197m)	100
Platinum-197 (Pt-197)	100
Polonium-210 (Po-210)	0.1
Potassium-42 (K-42)	10
Potassium-43 (K-43)	10
Praseodymium-142 (Pr-142)	100
Praseodymium-143 (Pr-143)	100
Promethium-147 (Pm-147)	10
Promethium-149 (Pm-149)	10
Rhenium-186 (Re-186)	100
Rhenium-188 (Re-188)	100
Rhodium-103m (Rh-103m)	100
Rhodium-105 (Rh-105)	100
Rubidium-81 (Rb-81)	10
Rubidium-86 (Rb-86)	10
Rubidium-87 (Rb-87)	10

## Radioactive Material

## Microcuries

---

Ruthenium-97 (Ru-97)	100
Ruthenium-103 (Ru-103)	10
Ruthenium-105 (Ru-105)	10
Ruthenium-106 (Ru-106)	1
Samarium-151 (Sm-151)	10
Samarium-153 (Sm-153)	100
Scandium-46 (Sc-46)	10
Scandium-47 (Sc-47)	100
Scandium-48 (Sc-48)	10
Selenium-75 (Se-75)	10
Silicon-31 (Si-31)	100
Silver-105 (Ag-105)	10
Silver-110m (Ag-110m)	1
Silver-111 (Ag-111)	100
Sodium-22 (Na-22)	10
Sodium-24 (Na-24)	10
Strontium-85 (Sr-85)	10
Strontium-89 (Sr-89)	1
Strontium-90 (Sr-90)	0.1
Strontium-91 (Sr-91)	10
Strontium-92 (Sr-92)	10
Sulphur-35 (S-35)	100
Tantalum-182 (Ta-182)	10
Technetium-96 (Tc-96)	10
Technetium-97m (Tc-97m)	100
Technetium-97 (Tc-97)	100
Technetium-99m (Tc-99m)	100
Technetium-99 (Tc-99)	10
Tellurium-125m (Te-125m)	10
Tellurium-127m (Te-127m)	10
Tellurium-127 (Te-127)	100
Tellurium-129m (Te-129m)	10
Tellurium-129 (Te-129)	100
Tellurium-131m (Te-131m)	10
Tellurium-132 (Te-132)	10
Terbium-160 (Tb-160)	10
Thallium-200 (Tl-200)	100
Thallium-201 (Tl-201)	100
Thallium-202 (Tl-202)	100
Thallium-204 (Tl-204)	10
Thulium-170 (Tm-170)	10
Thulium-171 (Tm-171)	10
Tin-113 (Sn-113)	10

Radioactive Material	Microcuries
Tin-125 (Sn-125)	10
Tungsten-181 (W-181)	10
Tungsten-185 (W-185)	10
Tungsten-187 (W-187)	100
Vanadium-48 (V-48)	10
Xenon-131m (Xe-131m)	1,000
Xenon-133 (Xe-133)	100
Xenon-135 (Xe-135)	100
Ytterbium-175 (Yb-175)	100
Yttrium-87 (Y-87)	10
Yttrium-88 (Y-88)	10
Yttrium-90 (Y-90)	10
Yttrium-91 (Y-91)	10
Yttrium-92 (Y-92)	100
Yttrium-93 (Y-93)	100
Zinc-65 (Zn-65)	10
Zinc-69m (Zn-69m)	100
Zinc-69 (Zn-69)	1,000
Zirconium-93 (Zr-93)	10
Zirconium-95 (Zr-95)	10
Zirconium-97 (Zr-97)	10
Any radioactive material not listed above other than alpha-emitting radioactive material	0.1

Note 1: For purposes of 801.C.25(f)(5)(ii) where there is involved a combination of radionuclides, the limit for the combination should be derived as follows:

Determine the amount of each radionuclide possessed and 1,000 times the amount in Appendix B for each of those radionuclides when not in combination. The sum of the ratios of those quantities may not exceed 1.

Example:

$$\frac{\text{Amt. of radionuclide A possessed}}{1000 \times \text{Appendix B quantity for radionuclide A}} + \frac{\text{Amt. of radionuclide B possessed}}{1000 \times \text{Appendix B quantity for radionuclide B}} \leq 1$$

Note 2: To convert microcuries (uCi) to SI units of kilobecquerels (kBq), multiply the above values by 37.

Example: Zirconium-97 (10 uCi multiplied by 37 is equivalent to 370 kBq).

**PART 801**

**SECTION C**

**APPENDIX C**

**Quantities of Radioactive Materials Requiring Consideration of the Need for an Emergency Plan for Responding to a Release.**

Radioactive Material	Release fraction	Quantity (curies)
Actinium-228	0.001	4,000
Americium-241	.001	2
Americium-242	.001	2
Americium-243	.001	2
Antimony-124	.01	4,000
Antimony-126	.01	6,000
Barium-133	.01	10,000
Barium-140	.01	30,000
Bismuth-207	.01	5,000
Bismuth-210	.01	600
Cadmium-109	.01	1,000
Cadmium-113	.01	80
Calcium-45	.01	20,000
Californium-252	.001	9 (20 mg)
Carbon-14	.01	50,000
	Non CO	
Cerium-141	.01	10,000
Cerium-144	.01	300
Cesium-134	.01	2,000
Cesium-137	.01	3,000
Chlorine-36	.5	100
Chromium-51	.01	300,000
Cobalt-60	.001	5,000
Copper-64	.01	200,000
Curium-242	.001	60
Curium-243	.001	3
Curium-244	.001	4
Curium-245	.001	2
Europium-152	.01	500
Europium-154	.01	400
Europium-155	.01	3,000
Germanium-68	.01	2,000



Radioactive Material	Release fraction	Quantity (curies)
Gadolinium-153	.01	5,000
Gold-198	.01	30,000
Hafnium-172	.01	400
Hafnium-181	.01	7,000
Holmium-166m	.01	100
Hydrogen-3	.5	20,000
Iodine-125	.5	10
Iodine-131	.5	10
Indium-114m	.01	1,000
Iridium-192	.001	40,000
Iron-55	.01	40,000
Iron-59	.01	7,000
Krypton-85	1.0	6,000,000
Lead-210	.01	8
Manganese-56	.01	60,000
Mercury-203	.01	10,000
Molybdenum-99	.01	30,000
Neptunium-237	.001	2
Nickel-63	.01	20,000
Niobium-94	.01	300
Phosphorus-32	.5	100
Phosphorus-33	.5	1,000
Polonium-210	.01	10
Potassium-42	.01	9,000
Promethium-145	.01	4,000
Promethium-147	.01	4,000
Ruthenium-106	.01	200
Samarium-151	.01	4,000
Scandium-46	.01	3,000
Selenium-75	.01	10,000
Silver-110m	.01	1,000
Sodium-22	.01	9,000
Sodium-24	.01	10,000
Strontium-89	.01	3,000
Strontium-90	.01	90
Sulfur-35	.5	900
Technetium-99	.01	10,000
Technetium-99m	.01	400,000
Tellurium-127m	.01	5,000
Tellurium-129m	.01	5,000
Terbium-160	.01	4,000
Thulium-170	.01	4,000

Radioactive Material	Release fraction	Quantity (curies)
Tin-113	.01	10,000
Tin-123	.01	3,000
Tin-126	.01	3,000
Titanium-44	.01	100
Vanadium-48	.01	7,000
Xenon-133	1.0	900,000
Yttrium-91	.01	2,000
Zinc-65	.01	5,000
Zirconium-93	.01	400
Zirconium-95	.01	5,000
Any other beta-gamma emitter	.01	10,000
Mixed fission products	.01	1,000
Mixed corrosion products	.01	10,000
Contaminated equipment beta-gamma	.001	10,000
Irradiated material, any form other than solid noncombustible	.01	1,000
Irradiated material, solid noncombustible	.001	10,000
Mixed radioactive waste, beta-gamma	.01	1,000
Packaged mixed waste, beta-gamma <sup>1/</sup>	.001	10,00
Any other alpha emitter	.001	2
Contaminated equipment, alpha	.0001	20
Packaged waste, alpha <sup>1/</sup>	.0001	20
Combinations of radioactive materials listed above <sup>2/</sup>		

<sup>1/</sup> Waste packaged in Type B containers does not require an emergency plan.

<sup>2/</sup> For combinations of radioactive materials, consideration of the need for an emergency plan is required if the sum of the ratios of the quantity of each radioactive material authorized to the quantity listed for that material in Appendix C exceeds one.

## **PART 801**

### **SECTION C**

#### **APPENDIX D**

#### **Criteria Relating to Use of Financial Tests and Parent Company Guarantees for Providing Reasonable Assurance of Funds for Decommissioning.**

##### **I. Introduction**

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on obtaining a parent company guarantee that funds will be available for decommissioning costs and on a demonstration that the parent company passes a financial test. This appendix establishes criteria for passing the financial test and for obtaining the parent company guarantee.

##### **II. Financial Test**

A. To pass the financial test, the parent company must meet the criteria of either paragraph A.1 or A.2 of this section:

(1) The parent company must have:

- (i) Two of the following three ratios: A ratio of total liabilities to net worth less than 2.0; a ratio of the sum of net income plus depreciation, depletion, and amortization to total liabilities greater than 0.1; and a ratio of current assets to current liabilities greater than 1.5; and
- (ii) Networking capital and tangible net worth each at least six times the current decommissioning cost estimates (or prescribed amount if a certification is used); and
- (iii) Tangible net worth of at least \$10 million; and
- (iv) Assets located in the United States amounting to at least 90 percent of total assets or at least six times the current decommissioning cost estimates (or prescribed amount if a certification is used).

(2) The parent company must have:

- (i) A current rating for its most recent bond issuance of AAA, AA, A or BBB as issued by Standard and Poor's or Aaa, Aa, A, or Baa as issued by Moody's and

- (ii) Tangible net worth at least six times the current decommissioning cost estimate (or prescribed amount if a certification is used); and
- (iii) Tangible net worth of at least \$10 million; and
- (iv) Assets located in the United States amounting to at least 90 percent of total assets or at least six times the current decommissioning cost estimates (or prescribed amount if certification is used).

B. The parent company's independent certified public accountant must have compared the data used by the parent company in the financial test, which is derived from the independently audited, year end financial statements for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform the Agency within 90 days of any matters coming to the auditor's attention which cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

C. (1) After the initial financial test, the parent company must repeat the passage of the test within 90 days after the close of each succeeding fiscal year.

(2) If the parent company no longer meets the requirements of paragraph A of this section, the licensee must send notice to the Agency of intent to establish alternate financial assurance as specified in the Agency's regulations. The notice must be sent by certified mail within 90 days after the end of the fiscal year for which the year end financial data show that the parent company no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

### III. Parent Company Guarantee

The terms of a parent company guarantee which an applicant or licensee obtains must provide that:

A. The parent company guarantee will remain in force unless the guarantor sends notice of cancellation by certified mail to the licensee and the Agency. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by both the licensee and the Agency, as evidenced by the return receipts.

B. If the licensee fails to provide alternate financial assurance as specified in the Agency's regulations within 90 days after receipt by the licensee and Agency of a notice of cancellation of the parent company guarantee from the guarantor, the guarantor will provide such alternative financial assurance in the name of the licensee.

C. The parent company guarantee and financial test provisions must remain in effect until the Agency has terminated the license.

D. If a trust is established for decommissioning costs, the trustee and trust must be acceptable to the Agency. An acceptable trustee includes an appropriate State or Federal government agency or an entity which has the authority to act as a trustee and whose trust operations are regulated and examined by a Federal or State agency.

## **PART 801**

### **SECTION C**

#### **APPENDIX E**

#### **Criteria Relating to Use of Financial Tests and Self-Guarantees for Providing Reasonable Assurance of Funds for Decommissioning.**

##### **I. Introduction**

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix. This appendix establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

##### **II. Financial Test**

##### **A. To pass the financial test, a company must meet all of the following criteria:**

- (1) Tangible net worth at least 10 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (2) Assets located in the United States amounting to at least 90 percent of total assets or at least 10 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (3) A current rating for its most recent bond issuance of AAA, AA, or A as issued by Standard and Poor's (S&P), or Aaa, Aa, or A as issued by Moodys.

##### **B. To pass the financial test, a company must meet all of the following additional requirements:**

- (1) The company must have at least one class of equity securities registered under the Securities Exchange Act of 1934.
- (2) The company's independent certified public accountant must have compared the data used by the company in the financial test which is derived from the independently audited, year end financial statements for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform this Agency within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

(3) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

C. If the licensee no longer meets the requirements of Section II.A. of this appendix, the licensee must send immediate notice to the Agency of its intent to establish alternate financial assurance as specified in these regulations within 120 days of such notice.

### III. Company Self-Guarantee

The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

A. The guarantee will remain in force unless the licensee sends notice of cancellation by certified mail to the Agency. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by the Agency, as evidenced by the return receipt.

B. The licensee shall provide alternative financial assurance as specified in these regulations within 90 days following receipt by the Agency of a notice of cancellation of the guarantee.

C. The guarantee and financial test provisions must remain in effect until the Agency has terminated the license or until another financial assurance method acceptable to the Agency has been put in effect by the licensee.

D. The licensee will promptly forward to the Agency and the licensee's independent auditor all reports covering the latest fiscal year filed by the licensee with the Securities and Exchange Commission pursuant to the requirements of section 13 of the Securities and Exchange Act of 1934.

E. If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee will provide notice in writing of such fact to the Agency within 20 days after publication of the change by the rating service. If the licensee's most recent bond issuance ceases to be rated in any category of A or above by both Standard and Poors and Moodys, the licensee no longer meets the requirements of Section II.A. of this appendix.

F. The applicant or licensee must provide to the Agency a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Agency, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.