Operations Branch Assignment Check Sheet: (Includes ES-201-1 & ES-501-1 Rev. 8 information)

Chief: H BUNDY

Facility/Task: CW EX

Task Start Date: 12/18/00

edis.	Fack Clart Bale. 12/10/00								
		ITEM DESCRIPTION	DUE DATE	INIT	DATE				
	0	Exam/Inspection Schedule Agreement (C.1.a;C.2.a&b)	Jun 21, 2000	JLP	4/4/2000				
	1	NRC Staff & Fac. Contact Assigned (C.1.c;C.2.e)	Jun 21, 2000	JLP	4/4/2000				
	2	Facility contact briefed on security & other issues (C.2.c)	Jun 21, 2000	JLP	4/4/2000				
	3	Corp. Notification Letter Sent (C.2.d) (Exams only)	Jun 21, 2000	9B	5pc/00				
	3a	Inspection Announcement Letter Sent (PIR & LORT if req'd)	Nov 3, 2000	VA	1				
	4	Task Expectations, Issues, & Standards Discussed w/ BC	Sep 19, 2000	40B	7/19/00				
	5#	[Reference Material Due (C.1.d;C.3.c)]	Aug 20, 2000	NA	-				
	6#	Integrated Exam Outlines Due (C.1.d&eC.3.d)	Aug 20, 2000	ØB	9/5/00				
	7*	Outlines reviewed by NRC & Feedback Sent (c.2.h;C.3.e)	Sep 3, 2000	#BB	9/6/00				
	8#	Preliminary Applications Due (C.1.j;C.2.g;ES202)	Nov 18, 2000	AB	10/9/00				
	9#	Draft Exams w/ Doc./Ref. Due (C.1.d/e/f;C.3.d)	Oct 19, 2000	1B	10/2/00				
	10#	Peer Reviewer Initials As Reviewed All Parts*	Oct 29, 2000	Ø	10/12/00				
	11#	NRC Supervisor. Initials Approving for Fac. Rev. (C.2.h;C.3.f)*	Oct 29, 2000	100	10/12/00				
	12#	Exams Reviewed w/ Fac. (C.1.h;C.2.f&hC.3.g)	Oct 29, 2000	48	11/16/00				
	13#	Final Appl. Due & Assign. Sheet Prepared (C.1.j;C.2.h;ES202)	Dec 4, 2000	to	192000				
F	14#	NRC Supervisor Approved Final Exams (C.2.i;C.3.h)*	Dec 11, 2000	9P	11/29				
	15#	Final Appl. Rec'd & Waivers Sent (C.2.g)	Dec 11, 2000	1000	1/21/00				
	16#	Proctor Rules Reviewed w/ Fac. & Written Authorized (C.3.k)	Dec 11, 2000	ØB	11/29/00				
	17	Exam/Insp Material to Team (C.3.i)	Dec 11, 2000	HBB	11/27/20				
	18#	Fac. graded exam & Comments Rec'd	Dec 30, 2000	#FFS	12/11/00				
	19#	NRC Written Grading Completed	Jan 2, 2001	18-B	12/14/00				
	20#	Examiners Finished Grading Op. Tests	Jan 2, 2001	4AB	12/26/00				
	21#	NRC Ch. Ex. Review Completed	Jan 12, 2001	HB.	12/26/00				
	22	NRC BC Review Completed*	Jan 13, 2001	(AB	12/28/00				
	23*	RPS/IP # Examinees Updated Before Report Issued	Jan 18, 2001	AB	12/28/00				
	24	License/Denials Signed & Report Issued	Jan 18, 2001	#B	12/28/00				
	25	Package Closed Out	Feb 8, 2001	The	1/9/00				
		Final Inspection Report Issued, Exam Package to OLA, Facility.	Contact Notified	of Result	s y				
	<ul> <li># Not required for inspections, except as noted.</li> <li>* Note Supervisor/Peer initials required.</li> <li>[] Required NRC-auth. exams only.</li> <li>When complete, for exams, add to pkg &amp; fwd copy to BC, for insp, fwd orig'l to BC.</li> <li>Last revised 4/4/00.</li> <li>S:\DRS\OB\Boilerplate\MISC-BOX\assignment-sheet.wp</li> </ul>								

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# Examination Outline Quality Checklist

Form ES-201-2

ltem	Task Description		Initials	[
1.	a. Verify that the outline(s) fit(s) the appropriate model per ES-401.	a	6. XV0	c Par
w	b. Assess whether the outline was systematically prepared and whether all knowledge and ability	98		<u>)</u>
R I T	categories are appropriately sampled.	98	PMP	JU.
Τ Ε	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	ĽB	<u>km</u>	en
Ň	d. Assess whether the repetition from previous examination outlines is excessive.	EBS	8m/2	<b>V</b> IC
2.	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, and major transients.	EB	8mp	fr
S I M	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity; ensure each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s)*, and scenarios will not be repeated over successive days.	*	*	
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	98	874	h
3. W / T	<ul> <li>a. Verify that:</li> <li>(1) the outline(s) contain(s) the required number of control room and in-plant tasks,</li> <li>(2) no more than 30% of the test material is repeated from the last NRC examination,</li> <li>(3)* no tasks are duplicated from the applicants' audit test(s), and</li> <li>(4) no more than 80% of any operating test is taken directly from the licensee's exam banks.</li> </ul>	*	*	
	<ul> <li>b. Verify that:</li> <li>(1) the tasks are distributed among the safety function groupings as specified in ES-301,</li> <li>(2) one task is conducted in a low-power or shutdown condition,</li> <li>(3) 40% of the tasks require the applicant to implement an alternate path procedure,</li> <li>(4) one in-plant task tests the applicant's response to an emergency or abnormal condition, and</li> <li>(5) the in-plant walk-through requires the applicant to enter the RCA.</li> </ul>	EBS	8mf	Aur
	c. Verify that the required administrative topics are covered, with emphasis on performance-based activities.	608	SMP	p
	d. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on successive days.	4BS	87mf	p
4. G	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam section.	EBS	8mf	je-
E N	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	<u>618</u>	KM	fr.
ER	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	900	SIM m.C	
AL	d. Check for duplication and overlap among exam sections.	4/2S CR	SIMP On C	fr.
	e. Check the entire exam for balance of coverage.	GR.S	RM	In
c. Ch	cility Reviewer(*) S <u>. M. PUTTHAT SMU PUHUMT?</u>	<i>48</i> 200	87M 8/30/ 108	8n 201 30
11	audit tests and scenaros have not been writte 23 of 24		7/67	[ <i>æ</i>
(*) No	applicable for NRC-developed examinations.			

ES-401

## Written Examination Quality Checklist

						Initia
	Item Description				a	b*
1.	Questions and answers technically accurate and	applicable	to facility		EB8	81
2.	a. NRC K/As referenced for all questions b. Facility learning objectives referenced as avail	Facility learning objectives referenced as available				
3.	RO/SRO overlap is no more than 75 percent, and SRO questions are appropriate per Section D.2.d of ES-401					811
4.	No more than 25 questions are duplicated from [practice exams, quizzes, and] the last two NRC licensing exams; enter the actual number of duplicated questions at right					81
5.	[No (Less than 5 percent) question duplication fro exam (if independently written)]	No (Less than 5 percent) question duplication from the license screening/audit				
6.	Bank use meets limits (no more than 50 percent from the bank, at least 10 percent new, and the rest modified); enter the actual question distribution at right	Bank	Modified	New /00	EBS	M
7.	Between 50 and 60 percent of the questions on the exam (including 10 new questions) are written at the comprehension/analysis level; enter the actual question distribution at right	Mem RO 4 SRO 4	ory 5	C/A 55 59	- 988	811
8.	References/handouts provided do not give away	answers			GBS	8M
9.	Question distribution meets previously approved are justified	examinatio	on outline; d	eviations	GBS	81
10.	Question psychometric quality and format meet E	S, Append	lix B, guidel	ines	GR8	8N
11.	The exam contains 100, one-point, multiple choic agrees with value on cover sheet	e items; th	e total is co	rrect and	GBS	81
c. NRC	Edu DD Cla	d Name / S Warf WAF E			int_	202 202 19
Note:	<ul> <li>* The facility reviewer's signature is not applicable NRC reviews are required.</li> <li># See special instructions (Section E.2.c) for Item </li> <li>The items in brackets do not apply to NRC-prep</li></ul>	ns 1, 4, 5, ;	and 6.	examinatior	ns; two ii	ndep

NUREG-1021, Revision 8

42 of 45

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## **Operating Test Quality Checklist**

Form ES-301-3

	1. GENERAL CRITERIA	a	In
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	AN I	8
b. '	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	CB3	8
с.	The operating test shall not duplicate items from the applicants' audit test(s)(see Section D.1.a).	X	Ę
d.	Overlap with the written examination and between operating test categories is within acceptable limits.	GB3	18
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	GB	8
	2. WALK-THROUGH (CATEGORY A & B) CRITERIA	-	T
a.	Each JPM includes the following, as applicable:		T
	<ul> <li>references and tools, including associated procedures</li> <li>validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee</li> <li>specific performance criteria that include:         <ul> <li>detailed expected actions with exact criteria and nomenclature</li> <li>system response and other examiner cues</li> <li>statements describing important observations to be made by the applicant</li> <li>criteria for successful completion of the task</li> <li>identification of critical steps and their associated performance standards</li> <li>restrictions on the sequence of steps, if applicable</li> </ul> </li> </ul>	EB	8
b.	The prescripted questions in Category A are predominantly open reference and meet the criteria in Attachment 1 of ES-301.	GB.	8
c.	Repetition from operating tests used during the previous licensing examination is within acceptable limits (30% for the walk-through) and do not compromise test integrity.	GB	Ŕ
d.	At least 20 percent of the JPMs on each test are new or significantly modified.	GB	₽
	3. SIMULATOR (CATEGORY C) CRITERIA		Ļ
a.	The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.	4D	K
c. NRC	Printed Name / Signature Printed Name / Signature ty Reviewer(*) Chief Examiner (*) Supervisor (*) H.F. Burndy / F.Burndy	201	ダゼキン
(*) The	acility signature is not applicable for NRC-developed tests; two independent NRC reviews are require	red.	

23 of 26

NUREG-1021, Revision 8

Simulator Scenario Quality Checklist

Form ES-301-4

raciiity.	<u>CA HAWAM Plan</u> Date of Exam: 2000 Scenario Num	bers: 1 / 2 / 940pe	rating 1	Test No	o.:	
QUALITATIVE ATTRIBUTES						
			a	ь	c	
		· · · · · · · · · · · · · · · · · · ·				
1.	The initial conditions are realistic, in that some equipment and/or instrument service, but it does not cue the operators into expected events.	tation may be out of	GB8	Buf	m	
2.	The scenarios consist mostly of related events.		190	Smp	M	
<b>3.</b>	EB	8.mf	M			
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated without a credible preceding incident such as a seismic event.	into the scenario	EBS	8mp	M	
5.	The events are valid with regard to physics and thermodynamics.		EB	8MP	N	
6.	Sequencing and timing of events is reasonable, and allows the examination complete evaluation results commensurate with the scenario objectives.	team to obtain	ES.	Banf	M	
7.	If time compression techniques are used, the scenario summary clearly so in have sufficient time to carry out expected activities without undue time consti- given.	ndicates. Operators traints. Cues are	EBS	8111	M	
8.	The simulator modeling is not altered.		B	Smf	M	
9.	The scenarios have been validated. Any open simulator performance defici- evaluated to ensure that functional fidelity is maintained while running the pl	encies have been anned scenarios.	*	*		
10.	Every operator will be evaluated using at least one new or significantly modi other scenarios have been altered in accordance with Section D.4 of ES-30	fied scenario. All	EB	8m/	M	
11.	All individual operator competencies can be evaluated, as verified using For the form along with the simulator scenarios).	m ES-301-6 (submit	EBS	SMP	A	
12.	Each applicant will be significantly involved in the minimum number of transi specified on Form ES-301-5 (submit the form with the simulator scenarios).	ents and events	EBS	BNIF	M	
13.	The level of difficulty is appropriate to support licensing decisions for each c	rew position.	9BS	BUIF	1	
TARGE	T QUANTITATIVE ATTRIBUTES (PER SCENARIO; SEE SECTION D.4.D)	Actual Attributes				
1.	Total malfunctions (5-8)	61717	(B)	BMP	M	
2.	Malfunctions after EOP entry (1-2)	2212	9B	but	M	
3.	Abnormal events (2-4)	3,4,3	SR	SMy	M	
4.	Major transients (1-2)	1,1,2	5381	AMP	M	
5.	EOPs entered/requiring substantive actions (1-2)	2,3,2	SA	SMP	N	
6.	EOP contingencies requiring substantive actions (0-2)	1,0,1	98	MP	R	
7.	Critical tasks (2-3)	2 2 2	00	Crist	N	

\* SCENARIO VAlidation scheduled "/13/2000

NUREG-1021, Revision 8

Form ES-301-4

	QUALITATIVE ATTRIBUTES			Initials	s	
			a	b		
	The initial conditions are realistic, in that some equipment and/or instrument service, but it does not cue the operators into expected events.	ation may be out of	88	BMP		
	The scenarios consist mostly of related events.		80	SMP		
	Each event description consists of the point in the scenario when it is to be initiated the malfunction(s) that are entered to initiate the event the symptoms/cues that will be visible to the crew the expected operator actions (by shift position) the event termination point (if applicable)		*	*		
	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.					
·	The events are valid with regard to physics and thermodynamics.	·	9ps	Bruf		
	Sequencing and timing of events is reasonable, and allows the examination complete evaluation results commensurate with the scenario objectives.	team to obtain	*	*		
	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.					
	The simulator modeling is not altered.		*	*		
•	The scenarios have been validated. Any open simulator performance deficient evaluated to ensure that functional fidelity is maintained while running the platest statest state		*	¥		
o.	Every operator will be evaluated using at least one new or significantly modi other scenarios have been altered in accordance with Section D.4 of ES-301		EBS	814		
1	All individual operator competencies can be evaluated, as verified using For the form along with the simulator scenarios).	m ES-301-6 (submit	*	¥		
2.	Each applicant will be significantly involved in the minimum number of transi specified on Form ES-301-5 (submit the form with the simulator scenarios).	ents and events	-	SAMP		
3	The level of difficulty is appropriate to support licensing decisions for each c	rew position.	4AS	Smp		
ARG	ET QUANTITATIVE ATTRIBUTES (PER SCENARIO; SEE SECTION D.4.D)	Actual Attributes				
	Total malfunctions (5-8)	61717	ES	Ømp		
	Malfunctions after EOP entry (1-2)	2 1212	608	SMP		
	Abnormal events (2-4)	3,4,3	918	Smp		
	Major transients (1-2)	11112	803	SMP	L.	
	EOPs entered/requiring substantive actions (1-2)	21212	<i>EB</i> 8	BINP		
	EOP contingencies requiring substantive actions (0-2)	1.6.1	600	Burg		

\* Scenario's have not written. EBS \$/50/2000

NUREG-1021, Revision 8

## **UPGRADE SENIOR REACTOR OPERATOR: U1**

Scenario	Position		
	SRO	BOP	
ILE-12/2000-DS1	X		

Applicant	Evolution	Minimum	S	cenario Numb	ər
Туре	Туре	Number	1	2	Total
	Reactivity	0	0	NA	0
	Normal	1	Е	NA	1
SRO-U	Instrument	1	B, C	NA	2
	Component	1	D, G, H	NA	3
	Major	1	F	NA	1

Author:

Chief Examiner:

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NUREG-1021

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## INSTANT SENIOR REACTOR OPERATOR: I1

Scenario		Position				
	RO	SRO	BOP			
ILE-12/2000-DS1		X				
ILE-12/2000-DS2	X					

Applicant	Evolution	Minimum	S	Scenario Numbe	ər
Туре	Туре	Number	1	2	Total
	Reactivity	1	NA	Α	1
	Normal	0	NA	С	1
As RO	Instrument	1	NA	В	1
	Component	1	NA	E, F, H, I	4
	Major	1	NA	G	1
SRO-I					
	Reactivity	0	0	NA	0
	Normal	1	E	NA	1
As SRO	Instrument	• 1	B, C	NA	2
	Component	1	D, G, H	NA	3
	Major	1	F	NA	1

Author:

Ø. Stavin

Chief Examiner:

NUREG-1021

## **REACTOR OPERATOR:** <u>R1</u>

Scenario	Position		
	RO	BOP	
ILE-12/2000-DS1	X		
ILE-12/2000-DS2		X	

Applicant	Evolution	Minimum	S	Scenario Numbe	ər
Туре	Туре	Number	1	2	Total
	Reactivity	1	Α	0	1
	Normal	1	Е	0	1
RO	Instrument	2	В	D	2
	Component	2	D, G, H	E, H, I	6
	Major	1	F	G	2

Author:

Chief Examiner:

NUREG-1021

#### **REACTOR OPERATOR: R2**

Scenario	Position			
	RO	BOP		
ILE-12/2000-DS1	X			
ILE-12/2000-DS2		X		

Applicant	Evolution	Minimum	Scenario Number						
Туре	Туре	Number	1	2	Total				
	Reactivity	1	Α	0	1				
	Normal	1	Е	0	1				
RO	Instrument	2	В	D	2				
	Component	2	D, G, H	E, H, I	6				
	Major	1	F	G	2				

Author:

Chief Examiner:

**NUREG-1021** 

## **REACTOR OPERATOR:** R3

Scenario	Position					
	RO	BOP				
ILE-12/2000-DS1	X					
ILE-12/2000-DS2		X				

Applicant	Evolution	Minimum	Scenario Number						
Туре	Туре	Number	1	2	Total				
	Reactivity	1	Α	0	1				
	Normal	1	Е	0	1				
RO	Instrument	2	В	D	2				
	Component	2	D, G, H	Е, Н, І	6				
	Major	1	F	G	2				

Author:

Chief Examiner:

NUREG-1021

## **REACTOR OPERATOR:** R4

Scenario	Position				
	RO	BOP			
ILE-12/2000-DS1		X			
ILE-12/2000-DS2	X				

Applicant	Evolution	Minimum	Scenario Number						
Туре	Туре	Number	1	2	Total				
	Reactivity	1	0	A	1				
	Normal	1 .	0	С	1				
RO	Instrument	2	С	В	2				
	Component	2	D, G, H	E, F, H, I	7				
	Major	1	F	G	2				

Author:

Chief Examiner:

NUREG-1021

**Competencies Checklist** 

Form ES-301-6

	A	Applicants I2/I3 SRO-I			Applicant U1 SRO-U				Applicant RO/SRO-I/SRO-U				
Competencies		SCE	NARI	0		SCE	NARI	0		SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4	
Understand and Interpret Annunciators and Alarms	B,C D,F	B,D E,F	-	-	B,C D,F	N/A							
Diagnose Events and Conditions	B,C D,F	B,D F,G			B,C D,F	N/A							
Understand Plant and System Response	C,D F	C,D F			C,D F	N/A							
Comply With and Use Procedures (1)	C,D F,G H	C,D F			C,D F,G H	N/A	-						
Operate Control Boards (2)	N/A	C,G H			N/A	N/A							
Communicate and Interact With the Crew	ALL	ALL			ALL	N/A							
Demonstrate Supervisory Ability (3)	B,C D,F	N/A			B,C D,F	N/A							
Comply With and Use Tech. Specs. (3)	В	N/A			В	N/A							
Nataa	•							<b>.</b>	1				

Notes:

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

#### Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

Chief Examiner:

**Competencies Checklist** 

Form ES-301-6

		Applicant R1 Applicant R2 RO RO				A	Applicants I1/I4 SRO-I						
Competencies		SCE	VARI	0		SCE	NARI	0		SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4	
Understand and Interpret Annunciators and Alarms	B,D	B,D F			B,D	B,D F							
Diagnose Events and Conditions	B,D F	B,D E,G			B,D F	B,D E,G					- 		
Understand Plant and System Response	C,D F	B,D F			C,D F	B,D F			· · · · · · ·				
Comply With and Use Procedures (1)	E,G	B,E		· · ·	E,G	B,E	· ·						
Operate Control Boards (2)	B,D E	D,G H,I		5	B,D E	D,G H,I							
Communicate and Interact With the Crew	ALL	ALL		•	ALL	ALL		· ·					
Demonstrate Supervisory Ability (3)	N/A	N/A			N/A	N/A							
Comply With and Use Tech. Specs. (3)	N/A	N/A			N/A	N/A	-						

Notes:

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

#### Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Author:

SULP Willeff / HG Bundle

Chief Examiner:

Competencies Checklist

Form ES-301-6

	Applicant R3 RO				Applio F	cant I RO	R4	A	Applicants I1/I4 SRO-I			
Competencies		SCE	VARI	0		SCE	NARI	0		SCEI		0
	1	2	3	4	1	2	3	4	1	2	3	4
Understand and Interpret Annunciators and Alarms	B,D	B,D F			B,D	B,D E,F						
Diagnose Events and Conditions	B,D F	B,D E,G			C,D	B,D F,G						
Understand Plant and System Response	C,D F	B,D F	• <u> </u>		C,D F	C,D F						
Comply With and Use Procedures (1)	E,G	B,E			C,D F	C,D F				-		-  
Operate Control Boards (2)	B,D E	D,G H,I			C,G H	C,G H						
Communicate and Interact With the Crew	ALL	ALL			ALL	ALL						
Demonstrate Supervisory Ability (3)	N/A	N/A			N/A	N/A						
Comply With and Use Tech. Specs. (3)	N/A	N/A			N/A	N/A						

Notes:

(1) Includes Technical Specification compliance for an RO.

(2) Optional for an SRO-U.

(3) Only applicable to SROs.

#### Instructions:

Circle the applicant's license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Chief Examiner:

Author:

## Written Examination Grading Quality Checklist

Form ES-403-1

Facility: GA HAWAY Plant Date of Exam: 1/30/2008	Exam Le	vel: R	5/SRO
		Initials	
Item Description	а	b	с
1. Answer key changes and question deletions justified and documented	EBS .	8M)	Ø3
<ol> <li>Applicants' scores checked for addition errors (reviewers spot check &gt; 25% of examinations)</li> </ol>	GBS	8MP	Ø₿
3. Grading for all borderline cases (80% +/- 2%) reviewed in detail NO border live CASeS	NA	N/A	NA HB
4. All other failing examinations checked to ensure that grades are justified NO San Wes	NIA	N/A	NA
<ol> <li>Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants</li> </ol>	918 '	BMP	(He)
Printed Name / Signature		D	ate
a. Grader Edward B. Stewart /245/ Iduard B. Thewar	1	12/	1/00
b. Facility Reviewer(*) S.M. PUTAHUFF SM SM Autout		20001	201
c. NRC Chief Examiner (*) H.F. Burdy Her Hora	-ly	12/	12/00
d. NRC Supervisor (*) ILlellet John Hallet		r/1	5/00
(*) The facility reviewer's signature is not applicable for examinatio NRC; two independent NRC reviews are required.	ns grade	ed by th	ne

NUREG-1021, Revision 8

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ES-403

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## Written Examination Grading Quality Checklist

Form ES-403-1

Facility: CALLAWAY PLANT Date of Exam: 11/30/2000	Exam Le	evel:	) SRO						
		Initials							
Item Description	а	b	с						
1. Answer key changes and question deletions justified and documented	GBS	SHIP	HR3						
2. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	EB.	OWP	<i>#</i> 33						
3. Grading for all borderline cases (80% +/- 2%) reviewed in detail	EB	M	#B						
4. All other failing examinations checked to ensure that grades are justified NO fartures	NIA	NA	NA						
5. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	EBS .	Smf	t kes						
Printed Name / Signature		D	ate						
a. Grader Edward B. Stewart / EBS / Edward	<u>l. Stfirs</u>	<u>+ 12/</u>	1/00						
b. Facility Reviewer(*) S.M. PUTTHUFF SMP SMARH	ffr	2000	201						
c. NRC Chief Examiner (*) H.F. Bundy HB HBB	er	_[2/(	2/00						
d. NRC Supervisor (*) Illellet Jhardent 15/00									
(*) The facility reviewer's signature is not applicable for examination NRC; two independent NRC reviews are required.	ons grad	ed by tl	he						

NUREG-1021, Revision 8

 Page 1 of 4

 07/21/2000
 13:21:47

 Report 21
 13:21:47

### Operator Licensing Exam Schedule From 11/01/2000 To 12/31/2000

Region: 4 Phase Code: 5 Operational

Exam Wee	ek Site/Docket No./Insp Rpt #	# Ca	andidates	Туре	Exam Au	thor Chief Examiner	Examiners Assigned
11/13/2000	0 Callaway / 05000483 / 2000302			Prep		BUNDY, HOWARD F.	BUNDY, HOWARD F. GAGE, PAUL C.
12/18/2000	0 Callaway / 05000483 / 2000302	RO - 4 SROU - 1	SROI - 1	Admin	FFF	BUNDY, HOWARD F.	BUNDY, HOWARD F. GAGE, PAUL C.

Sites: CALL Orgs: 4620 Exam Author: ALL Page 2 of 4 07/21/2000 1;

Report 21

13:21:47

## Operator Licensing Exam Schedule From 11/01/2000 To 12/31/2000

Region: 4 Phase Code: 5 Operational

#### Summary By Date

11/2000 CALL - Callaway					-
RO - 0	SROI - 0	SROU - 0	LSRO - 0	Total for Callaway: 0	
11/2000					
RO - 0	SROI - 0	SROU - 0	LSRO - 0	Total for 11/2000: 0	
12/2000 CALL - Callaway					
RO - 4	SROI - 1	SROU - 1	LSRO - 0	Total for Callaway: 6	

Page 3 of 4 07/21/2000 13:21: Report 21 Region: 4	47 Phase Code: 5 C	Operational	Operator Lice From 11/01	nsing Exam Schedule /2000 To 12/31/2000		
Summary By Site						
CALL - Callaway RO - 4	SROI - 1	SROU - 1	LSRO - 0	Total for Callaway: 6	na an a	
				rotarior Gallaway. O		
X						
			•			

Sites: CALL Orgs: 4620 Exam Author:ALL

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Page 4 of 4 07/21/2000 13:21:47 Report 21			Operator Licensing Exam Schedule From 11/01/2000 To 12/31/2000				
Region: 4	Phase Code: 5	Operational					
Summary By Region							
Region 4 RO - 4	SROI - 1	SROU - 1	LSRO - 0	Total for Region 4: 6			
				•			

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Sites: CALL Orgs: 4620 Exam Author:ALL **Union Electric** Callaway Plant PO Box 620 Fulton, MO 65251

January 4, 2001



Mr. Howard Bundy, Lead Examiner U.S. Nuclear Regulatory Commission Harris Tower 611 Ryan Plaza Drive, Suite 400 Arlington, TX 76011-8064

Howard:

#### SUBJECT: CALLAWAY PLAJNT NOVEMBER/DECEMBER EXAM

Please find enclosed ES-201-3, Examination Security Agreement, for the November/December 2000 Exam.

Should you need any additional information or have any questions concerning the material, please contact Edward Stewart or Stanley Putthoff at (573) 676-4771 or me at (573) 676-8739.

Respectfully,

R.J. Hil

Richard J. Neil Shift Supervisor, Operations Training

RJN/EBS/tmw

Enclosure: ES-201-3

a subsidiary of Ameren Corporation

**Examination Security Agreement** 

Form ES-201-3

#### 1. <u>Pre-Examination</u>

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of <u>1217</u> <u>126</u> <u>1277</u> <u>126</u> <u>1277</u> <u>1277</u>

#### 2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of  $\frac{421}{121}$  From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE SIGNATURE (2)	DATE NOTE
1. Edward B. Stewart	O.S. / Exam Writer	Edward Stayout	8/14/10 Elizabe Hongut	12/27/00
2. JUNPAT THOFT	OP SUP/EAN WATCH	SMUP (ALMANT	2000814 I MAY WALLAN	TANO1227
3. R. J. Neil	SS, Ops Training	RAMIN	8/18/00 R.J. NeitH	01/02/01
4. <u>D.K. Branson</u>	<u>clerk</u>	Defenck-Bradson	8/15/00 Colum Branson	12/27/00
5. Theresa M. Wendring	Clerk	Theresa M. Wendring	8/23/00 Theresa Wondring	1-2-01
6. Eric T. Schulte	Systems Engineer-Simulator	Eie T. Schulte	\$125/2000 Eric I. Schutte	12/27/2000
7. John a bostman	Trains sugarise - Finalato		9/1/2000 Althot	1/2/2001
8. AnnaM. Duncan	Training Supvproofread			
9. SCOTT M. HALVERSON	GENERAL SUPPORTAL SINULATER STATE	S MANGER ON TO MART THE BE	92512000 North for	12/28/20=0
10. Ballard Simmons	Operating Supervisor	Sallard Simmon	9/21/00 Balland Simonins	1/2/201 #1
11. DAVE E. NICHOUS		Dog E Nichola,	g/s7/00 Serf Victor	1/2/01
12. Gerald W Holtmeyer	R.O.	201042	9/27/00 2000	1-4-01
13. JOHN E. OAKES	Systems Engineer - Simulator	Allehan	10/11/2000 Ally h	01/02/2001 0 12/21/14
14. ANDREW G. NELSON	SIM. SIW SPEC	Vuguen-	11/01/200 Ciglulon	01/02/2001 68 12/21/02 12/27/000 2000
15. Stephen L. Turner		Septer Tun	11/02/00 Stephen L. Tumer	1/4/01 #2
NOTES: 771 SigNed	Ballard Simmon's of Stephen L. Turner off	f Der telami en 1/2	101 Show A. A. Theren t	,
#2 Signed	Stephen L. Turner off	or teleman ili	CI DI Hand	,
NUREG-1021, Revision 8		PA of DA	1 laway D. Sulleun	
		24 01 24 7		
1. 2				

ES-201

**Examination Security Agreement** 

Form ES-201-3

#### 1. <u>Pre-Examination</u>

ES-201

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of <u>IIIOT</u> as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC.Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement examination security may have been compromised.

#### 2. <u>Post-Examination</u>

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of *figure integer*. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. <u>Michael D Jenninge</u> 2. JAMES W. DUMAS 3. <u>GREGORY L. BRADLEY</u> 4. <u>EVELINI H. Bradley</u> 5. <u>Mark J. Muleuski</u> 6. J. D. Blosser 7. <u>J. Alfdemberge</u> 8. <u>Robert B. MoodATTT</u> 9. <u>SAMUEL V. HENDERBON D</u>	Training Supr / LOCT Reactor Operator OPERATIONS SUPERVISOR Sperations Supervisor STS, Inst. Sys. Dec. Mgr. Ops Support Operating Supervisor Operating Supervisor	Millennings Jame he Dunn Headley Mark & Jame Mark & Jame Jack & Murghy III	11-14-2040 11-15-200 <u>11/15/2000</u> 11/16/2000 12/20/20 12/20/20 12/20/20	Ref Provident	$ \frac{1-2-2\alpha_{1}}{1-4-2001} \\ \frac{1/4/01}{1/4/01} \\ \frac{1/2/01}{1/2/1} \\ \frac{1/2/1}{1-2/1} \\ \frac{1/2/1}{1-2/47} \\ \alpha_{1} \\ \frac{1}{2}/87/\alpha_{2} \\ $
5. Smiller         Smiller           10.         11.           11.         12.           13.         14.           15.         15.	OPERATINA Supervisor		<i>Œ_iźţŁo/∞</i> ς	Second Market	

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NUREG-1021, Revision 8